

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1 **Science-based decision-making on complex issues: Marcellus shale**  
2 **gas hydrofracking and New York City water supply**

3 Timothy T. Eaton

4 *School of Earth and Environmental Sciences*

5 *Queens College - City University of New York*

6 *65-30 Kissena Blvd.*

7 *Flushing, NY 11367 U.S.A.*

8 Phone: 718 997-3327

9 Fax: 718 997-3299

10 Timothy.Eaton@qc.cuny.edu

11 Abstract

12 Complex scientific and non-scientific considerations are central to the  
13 pending decisions about "hydrofracking" or high volume hydraulic  
14 fracturing (HVHF) to exploit unconventional natural gas resources  
15 worldwide. While incipient plans are being made internationally for major  
16 shale reservoirs, production and technology are most advanced in the  
17 United States, particularly in Texas and Pennsylvania, with a pending  
18 decision in New York state whether to proceed. In contrast to the narrow  
19 scientific and technical debate to date, focused on either greenhouse gas  
20 emissions or water resources, toxicology and land use in the watersheds  
21 that supply drinking water to New York City (NYC), I review the scientific  
22 and technical aspects in combination with global climate change and other  
23 critical issues in energy tradeoffs, economics and political regulation to  
24 evaluate the major liabilities and benefits. Although potential benefits of  
25 Marcellus natural gas exploitation are large for transition to a clean energy  
26 economy, at present the regulatory framework in New York State is  
27 inadequate to prevent potentially irreversible threats to the local  
28 environment and New York City water supply. Major investments in state

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

29 and federal regulatory enforcement will be required to avoid these  
30 environmental consequences, and a ban on drilling within the NYC water  
31 supply watersheds is appropriate, even if more highly regulated Marcellus  
32 gas production is eventually permitted elsewhere in New York state.

33 **Keywords:** hydrofracking, energy, fossil fuel; unconventional; natural  
34 gas; shale; water resources; environment; economics; regulation

35 **Key Points:**

- 36 • Previous analyses have taken too narrow a perspective on the risks and  
37 benefits of hydrofracking or HVHF in New York state, with implications  
38 for unconventional natural gas production elsewhere around the world
- 39 • Benefits of HVHF natural gas production for reducing dependence on even  
40 more damaging coal-fired electrical power generation are great but so are  
41 environmental and public health liabilities
- 42 • Protecting watersheds for NYC and other major municipality water supply  
43 is paramount but strengthening of federal and state regulatory oversight is  
44 needed for reducing potential adverse impacts of HVHF elsewhere in NY  
45 state

47 **1. Introduction**

48 There is an urgent need to reduce the current global energy dependence on  
49 fossil fuels, because of the risks of rising greenhouse gas (GHG) emissions  
50 driving global climate change (IPCC, 2007), and because most conventional oil  
51 and gas reserves may no longer be reliably supplied due to political instability.

52 New unconventional discoveries have dramatically expanded estimates of natural

Formatted: Font: Not Bold

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65  
66  
67  
68  
69  
70  
71  
72  
73  
74  
75  
76  
77

53 gas reserves (US DOE, 2009; IEA, 2011; US EIA, 2012) and natural gas is a  
54 preferred fuel for energy-efficient electricity production because it is cleaner-  
55 burning compared to coal (Hultman et al. 2011). Recent discovery of  
56 unconventional gas in the Marcellus shale in the northern Appalachian mountains  
57 of the US provides a potential new energy source close to major mid-Atlantic  
58 urban centers. Therefore, many have advocated a greater use of natural gas, as a  
59 “bridge” fuel towards a renewable energy future (e.g. IPCC, 2007; Moniz et al.,  
60 2010; Jenner and Lamadrid, 2012) despite controversy over the economics  
61 (Hughes, 2011; Brooks, 2012) and life-cycle GHG costs (Burnham et al., 2012;  
62 O'Sullivan and Paltsev, 2012) of unconventional gas compared to other energy  
63 options.

64 Unconventional gas production from shales like the Marcellus Formation  
65 in the eastern United States (Soeder and Kappel, 2009; Kerr, 2010; Kargbo et al.,  
66 2010; Lee et al., 2011) raises important questions about scientific decisionmaking,  
67 environmental protection, public health and water resources (US GAO, 2012).  
68 For this reason, in New York State , the governor has imposed a de-facto  
69 moratorium on the method for gas production: "hydrofracking" or high-volume  
70 hydraulic fracturing (HVHF), pending completion of further environmental and  
71 public health studies. An ongoing state regulatory process has resulted in a public  
72 document, the draft supplemental generic environmental impact study (dSGEIS  
73 available at <http://www.dec.ny.gov/energy/58440.html>), which is currently  
74 undergoing review by the New York State Departmental of Environmental  
75 Conservation (DEC). In contrast to a point-by-point evaluation of that lengthy  
76 draft dSGEIS, this paper focuses on the interaction among scientific and technical  
77 issues of local environmental protection and other relevant spheres of concern to

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

78 humankind such as energy policy, land use, economics, regulation, politics and  
79 ultimately global climate change. These interactions really determine how to  
80 prioritize risks for health and wellbeing of affected populations. Formal risk  
81 assessment is premature without analysis of such interactions and initial  
82 screening of risk (AEA Technology 2012). This work therefore involves more the  
83 problem formulation of risk as opposed to formal risk assessment (US EPA, 2003;  
84 US NRC, 2008).

85           Public controversy over the hydraulic fracturing methods necessary for  
86 unconventional gas production has stimulated numerous highly focused and  
87 conflicting contributions in the literature on narrow technical issues (Schon 2011;  
88 Pyron 2011; Osborn et al. 2011; Howarth et al., 2011; Warner et al., 2012;  
89 O'Sullivan and Paltsev, 2012). While clarity on narrow issues is important, a sole  
90 focus on scientific and technical aspects is unlikely to have prevented such recent  
91 environmental catastrophes as the Fukushima Daiichi nuclear plant  
92 explosions/tsunami disaster in Japan or the Deep Horizon oil-well blowout in the  
93 Gulf of Mexico. A better analogy to potential unforeseen impacts of Marcellus  
94 natural gas production might be the slower-developing but even more disastrous  
95 epidemic of arsenic poisoning due to widespread consumption of contaminated  
96 groundwater in Bangladesh (Dhar et al., 1997). These unforeseen catastrophes  
97 result not just from scientific uncertainty but more importantly from an avoidable  
98 reactive cascade of events driven by economic and political choices.

99           While some have touched on broader considerations concerning Marcellus  
100 shale gas production (Howarth and Ingraffea, 2011; Engelder, 2011),  
101 scientifically-based decisionmaking needs to explicitly account for non-scientific  
102 issues related to human activities. Despite general studies of the intersection

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

103 between energy use and water resources (Harte and El-Gasseir 1978; Harte 1983;  
104 Gleick 1994, Jenner and Lamadrid, 2012), there are few pertinent tradeoff  
105 analyses in specific situations (Rahm and Riha 2012; Stephenson et al., 2012).  
106 Scientists have particular responsibilities (Hansen 2007, Maxim and van der  
107 Sluijs, 2011) to help develop timely, systematic approaches that consider  
108 overlapping scientific, technical, environmental, sociological, economic and  
109 political considerations, evaluate their relative importance for the issue at hand,  
110 and thereby formulate recommendations for policy decisionmaking.

111           The novel aspect of this review is that it combines an analysis of the  
112 scientific and technical aspects of hydraulic fracturing to produce natural gas from  
113 the Marcellus formation in New York state compared to the risks of endangering  
114 the New York City (NYC) water supply (Figure 1), while also considering the  
115 broader impacts on global climate change and even more critical issues regarding  
116 energy tradeoffs, economics and political regulation. Because of its similarity to  
117 larger and equally time-sensitive natural resource issues that require policy  
118 responses, like global climate change, decisions about Marcellus shale gas drilling  
119 have much larger implications beyond the U.S. Mid-Atlantic region. Although  
120 the United States is currently the only country with widespread unconventional  
121 natural gas production using hydraulic fracturing, many countries are thought to  
122 have significant unconventional natural gas potential (Rogers 2011), and concerns  
123 have been expressed by the European Union about the potential environmental  
124 and human health risks of such production (AEA Technology 2012).

125           Starting with a narrow perspective on the scientific (hydrological and  
126 chemical) and technical aspects of water resources and natural gas drilling in the  
127 Marcellus shale, the underlying land-disturbance and geological factors are

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

128 analyzed, then the broader energy and economic aspects, followed by the  
129 regulatory and ultimately political foundations of this issue. The intent is to  
130 develop a proactive framework for rational, timely decision-making by weighing  
131 relative merits in the face of incomplete information, and seek a broader  
132 perspective on common ground for consensus in the case of Marcellus shale  
133 drilling in and near the watersheds that provide New York City water supply.

134 **2. Hydrological and chemical aspects**

135 *2.1 Protection of the New York City water supply*

136 The almost nine million residents of New York City have been supplied  
137 since 1915 with drinking water from the Catskill-Delaware watersheds west of the  
138 Hudson River, which directly overlie the northeastern corner (about 4100 km<sup>2</sup> or  
139 8.5%) of the Marcellus shale subcrop that extends from the Appalachians north  
140 across the southern tier of New York State. Land surface runoff from these  
141 watersheds drains into several reservoirs from which water is transported via  
142 aqueducts and tunnels to the city (Figure 1). New York City has the largest  
143 unfiltered water supply (NYC DEP, 2010) among United States large cities, most  
144 of which operate expensive water filtration and treatment facilities.

145 Over the last couple decades, New York City Department of  
146 Environmental Protection (NYC DEP) has demonstrated every five years that the  
147 system meets strict criteria according to the US Environmental Protection Agency  
148 (US EPA) Surface Water Treatment Rule, thereby enabling a federal Filtration  
149 Avoidance Determination (FAD) (NYC DEP, 2010). To accomplish this, the  
150 NYC DEP is pursuing an aggressive preventive campaign of subsidies for  
151 agricultural best management practices (BMPs), in collaboration with large and

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

152 small landowners, to maintain contaminants such as excess coliforms, pathogens,  
153 turbidity and nutrients considerably below federally-mandated levels (NYC DEP,  
154 2010). Water quality is carefully managed in all watersheds, and protection  
155 efforts in the largest and westernmost Cannonsville watershed have been topics of  
156 research and modeling (Bryant et al., 2008, Rao et al. 2009).

157

### 158 *2.2 New water resources threats*

159 In these watersheds supplying New York City potable water, drilling for  
160 Marcellus shale gas development presents additional threats to water resources,  
161 which the current BMPs cannot mitigate. Extracting natural gas from shale (Kerr,  
162 2010; Lee et al., 2011) involves the latest drilling industry techniques of  
163 horizontal directional drilling and high-volume hydraulic fracturing (HVHF,  
164 hydrofracturing or "hydrofracking") (US GAO, 2012; Kargbo et al., 2010; Bybee,  
165 2007). These techniques use 7500-38,000 m<sup>3</sup> of water per well (Kargbo et al.  
166 2010; US DOE-NETL 2010) and various chemical additives (Waxman et al.  
167 2011) injected at high pressures to open and force sand into fractures in the rock,  
168 enabling release of gas. Although the Marcellus shale lies hundreds to thousands  
169 of meters below land surface, these drilling activities present a threat to both  
170 groundwater and surface water resources.

### 171 *2.3 Well drilling process*

172 The well-drilling process itself, developed from oil and mineral  
173 exploration, uses a clay slurry as lubricant. The base fluid can be water, oil, or a  
174 synthetic such as vegetable esters or olefins (Sadiq et al., 2003). Although water-  
175 based fluids (WBF) are more environmentally benign, oil-based fluids (OBF) or

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

176 synthetic-based fluids (SBF) are often preferable in shales because they are more  
177 stable, less reactive and support the borehole better. OBF-saturated rock  
178 fragments, or cuttings, removed from the borehole during drilling can be a  
179 significant source of contaminants to the environment because they do not  
180 degrade readily (Sadiq et al., 2003). Few studies have evaluated relative merits  
181 and risks of these various fluids and rock cuttings, however the high levels of  
182 natural radioactivity in cuttings from some Marcellus shale well borings have  
183 raised concern (Kargbo et al., 2010, Lee et al., 2011).

184 Clear evidence for past contamination by drilling fluids is slim (Kargbo et  
185 al., 2010; US EPA 2011), but natural gas that seeps into shallow groundwater  
186 presents an explosion risk if it degasses into confined areas such as basements.  
187 Although methane, the major component of natural gas, occurs naturally in  
188 shallow groundwater (Molofsky et al., 2011) in northern Pennsylvania and  
189 southern New York, controversy surrounds the role of gas drilling in shallow  
190 aquifer contamination. Careful geochemical analysis, and methane and strontium  
191 isotopic signatures (Osborn et al., 2011; Warner et al. 2012) have now shown that  
192 some methane and groundwater salinization in shallow drinking water wells is  
193 attributable to natural upward seepage of natural gas and brine, respectively, from  
194 reservoirs like the Marcellus formation. This has important implications for large-  
195 scale development of natural gas drilling using thousands of boreholes reaching  
196 depths of over a mile below land surface, as described later.

#### 197 ***2.4 Surface water impacts***

198 The greater threat appears to be to surface water because of local water  
199 demand and wastewater disposal, although groundwater concerns are revisited

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

200 later in conjunction with bedrock integrity and well abandonment issues. There is  
201 also a need at land surface for effective management and safe disposal of the tens  
202 of thousands of cubic meters of water and additives (sand and chemicals) needed  
203 per well for hydrofracturing. The final environmental impact assessment  
204 commissioned by the New York City Department of Environmental Protection  
205 (Hazen and Sawyer, 2009) assumes a full build-out of up to 6000 hydrofractured  
206 Marcellus shale gas wells in the NYC water supply watersheds and presents a  
207 comprehensive evaluation of the environmental risks, the most important of which  
208 are addressed here.

209           Although few have examined water usage impacts of shale-gas drilling  
210 (O'Shea, 2011; Rahm and Riha 2012), natural gas production from shales  
211 elsewhere, using similar drilling methods, provides examples of likely impacts on  
212 surface water availability. Permitting requests of up to  $2.5 \times 10^5 \text{ m}^3$  of water per  
213 year over 10 years have been reported for the Barnett shale in Texas (Rahm,  
214 2011). After initial hydrofracturing, wells decline rapidly in gas output after the  
215 first year or two, and repeated hydrofracturing and infill drilling is used to  
216 maximize ultimate recovery (as is now happening in Texas), which could increase  
217 water demand (US DOE-NETL 2010). More comprehensive analyses (Elcock,  
218 2010) indicate that increased exploitation of unconventional energy resources like  
219 gas, and their use for electrical power generation will require significant growth in  
220 U.S. freshwater use.

221           For example, in the Susquehanna River basin, overlying the Marcellus in  
222 western NY state (Figure 1), a recent analysis (Rahm and Riha 2012) suggested  
223 that surface water availability in all but the largest rivers, and effective treatment  
224 capacity is inadequate to support the drilling of hundreds of gas production wells

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

225 per year. In the NYC supply watersheds, projected diversions of water needed for  
226 hydrofracturing a maximum build-out of wells could range from 0.8 to up to 1.5  
227  $\times 10^7 \text{ m}^3$  per year of additional demand (Hazen and Sawyer, 2009). The higher  
228 level of diversion represents 1000x the amount anticipated to require significant  
229 expansion of NYC water supply storage for maintaining supply safety (Flexible  
230 Flow Management Program, 2008). Alternatively, groundwater withdrawals to  
231 supply such hydrofracturing would deplete shallow aquifers and baseflow that  
232 sustains current streamflow, also adversely affecting watershed storage.

233 Water quality impacts from natural gas exploitation depend on the  
234 constituents of produced wastewater from drilling operations (Fakhru'l-Razi et al.,  
235 2009), which include both additives (Waxman et al., 2011; Aminto and Olson  
236 2012) and natural contaminants such as minerals and radionuclides in the  
237 Marcellus (Kargbo et al., 2010; Lee et al., 2011). As with coalbed methane  
238 (CBM) extraction (Clarke 1996; Healy et al., 2008), produced waste-water poses  
239 the most important environmental risks, often having total dissolved solids (TDS)  
240 concentrations in the tens to hundreds of thousands of mg/L (US GAO, 2012). Of  
241 the total volumes of water needed for hydrofracturing the Marcellus shale, gas  
242 production causes 10-40% return flow up the borehole (Gregory et al., 2011;  
243 Hazen and Sawyer, 2009) although an increasing proportion of this produced  
244 water is now recycled (US GAO, 2012). Recycling or disposal of the remaining  
245 waste brines will likely require dilution and treatment because deep reinjection, a  
246 common method in oilfields, is more expensive.

247 Industry accounts of hydrofracturing de-emphasize the amount of chemical  
248 additives, many of which are carcinogenic, as a proportion of hydrofracturing  
249 water (1-2% by volume). However, over the 20 year timeframe projected for the

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

250 development of Marcellus shale gas drilling, the total mass of chemical additives  
251 (not including sand proppant) amounts to several hundred tons per day, and over  
252 500 tons per day if repeated hydrofracturing is used to delay inevitable well  
253 production declines (Hazen and Sawyer, 2009). In addition to diesel fuel, until  
254 recently used in hydrofracturing (Kargbo et al., 2010), other less-well-known  
255 hydrocarbon additives are hazardous to human and environmental health  
256 (Waxman et al. 2011; Aminto and Olson 2012). These include biocides  
257 (Struchtemeyer et al. 2012), endocrine-disrupting compounds, mutagens,  
258 teratogens and other toxins that present human health risks at very low dosages  
259 with long-term exposure (Hazen and Sawyer, 2009). The mere introduction and  
260 usage of hundreds of tons per day, over decades, of such toxic chemical additives  
261 in watersheds that provide drinking water to millions of New York City residents,  
262 is a significant cause of concern.

263           Although hydrofracturing fluids can be highly variable in their  
264 composition depending on the geology and fracturing outcome desired, most of  
265 these additives are unregulated with regard to drinking water standards and do not  
266 have maximum contaminant levels (MCLs) established by federal (US EPA) or  
267 state (NYS Dept of Health) authorities. A recent modeling study (Aminto and  
268 Olson 2012) of a hypothetical spill into air, water and soil of additives used in  
269 Pennsylvania Marcellus hydrofracturing has shown that resulting concentrations  
270 in a receiving surface water body exceed the 5 µg/L MCL standard for many  
271 organic compounds in New York state. Furthermore, the environmental impact  
272 study commissioned by the NYC Dept of Environmental Protection (Hazen and  
273 Sawyer, 2009) presents two dilution scenarios in which acute spills of  
274 hydrofracturing chemicals from a dozen wells or less could threaten the volumes

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

275 of water contained in several major reservoirs (assumed partial mixing, reservoirs  
276 at low levels). Resulting exceedances of the US EPA MCL in those reservoirs  
277 highlights the severe risk posed by large-scale Marcellus shale gas exploitation.  
278 The risk is likely even greater, and more insidious, of numerous small site spills  
279 which go undetected and eventually enter drinking water reservoirs, with  
280 irreversible consequences. Aggressive enforcement of BMPs for pollution  
281 prevention, stormwater control, waste minimization and handling could reduce,  
282 but never eliminate such risk.

283

### 284 **3. Land-disturbance, and geologic factors**

285 The expansion of similar unconventional natural gas drilling in other areas  
286 of the United States has been dramatic (Figure 2) in the last decade. While future  
287 growth is difficult to predict (recent production drilling in the Barnett shale has  
288 since lagged due to declines in natural gas prices (Rogers, 2011)), projected  
289 expansion of the Marcellus shale drilling from neighboring Pennsylvania into  
290 New York is likely to follow similar trends, starting from the date that HVHF  
291 permits are issued. To compensate for the different regional extents of the shales  
292 in the locations illustrated, the data (adapted from Hazen and Sawyer 2009) have  
293 been normalized for well density per 2600 km<sup>2</sup> (1000 mi<sup>2</sup>). However, even this  
294 data reduction cannot fully account for evolution in well densities as natural gas  
295 fields are developed, because future well siting and infill development depends on  
296 production records of existing wells. Nevertheless, since exponential trends  
297 cannot be sustained, applying a logistic function fitted to existing data for the  
298 much smaller Barnett Formation in Texas, but offset in time, suggests that

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

299 extremely rapid development can be expected in the Marcellus in New York State  
300 for at least 6-8 years from initiation.

301 **3.1 Land use changes**

302 Such large-scale exploitation of natural gas resources from the underlying  
303 Marcellus shale would necessarily fragment the largely rural, forested and  
304 agricultural landscape near the NYC water supply watersheds. Of immediate  
305 concern are land use changes such as the construction of roads, well-pads and  
306 pipelines that accompany intensive natural gas drilling. While the impact of each  
307 individual well drilling operation is relatively minor, the cumulative impact of  
308 thousands of wells scattered across the watershed threatens the quality of runoff to  
309 streams and water supply reservoirs over time (Mitchell and Casman, 2011).  
310 Experience in other shale-gas-producing areas shows that a density of 3.5 or more  
311 wells per km<sup>2</sup> can be anticipated in highly productive areas for fully developed  
312 gas fields (Hazen and Sawyer, 2009; US DOE NETL 2010), although these  
313 densities have not been reached to date in most unconventional fields (Figure 2).  
314 Multiple directional wells are expected to be drilled from each wellpad for natural  
315 gas production in the Marcellus shale. Each wellpad is likely to have a footprint  
316 of about 2.8 ha., part of which will remain in operation for the well's productive  
317 life of up to 20 years.

318 Industrial operation involving heavy truck traffic requires a compacted  
319 gravel substrate, leading to increased stormwater runoff and erosion potential  
320 (Hazen and Sawyer, 2009). Each well is estimated to require 900 to 1300 truck  
321 access trips, up to 6600 for multiple horizontal well pads (NTC, 2009), resulting  
322 in tens to hundreds of thousands of additional truck trips for many wells over a

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

323 large area. In Wyoming, Huntington and Ksaibati (2009) showed that county  
324 roads suffered severe damage from heavy truck traffic associated with well  
325 drilling, with one half of road repairs concentrated on only 15% of the roads.  
326 These impacts in a semiarid environment likely underestimate the damage and  
327 repair costs necessary for a more humid climate like New York.

328 **3.2 Aquifer, well and bedrock integrity**

329 Contamination of shallow aquifers, used for individual home water supply  
330 in rural areas, could result from either infiltration of wastewater (Healy et al.,  
331 2008) or subsurface leakage of drilling fluids or natural gas through or along drill  
332 casings (ODNR, 2008; US EPA 2011). Standard techniques in well drilling, such  
333 as cementing casing pipe, are increasingly scrutinized (Ladva et al., 2005) since  
334 effective seals may not be achieved in many cases (Harrison, 1985; US EPA,  
335 2011). Although casing defects and the subsurface migration of natural gas  
336 through fractures are rare, the consequences can be catastrophic, resulting in  
337 surface explosions over 11 km away from a leaking deep gas storage well in  
338 Kansas in 2001 (Nissen et al. 2004a, 2004b; Watney et al. 2003). Regulatory  
339 oversight of natural gas and oil-well seals has lagged the proliferation of well  
340 borings in the 20th century, such that even in a highly regulated operation in  
341 Alberta, Canada, up to 10% of existing wells have been found to have inadequate  
342 seals, though more recent failure rates are down to 2% (Watson and Bachu,  
343 2009).

344 The geology underlying the NYC water supply watersheds (Hazen and  
345 Sawyer, 2009; US DOE NETL 2010), consists of thin surficial deposits and  
346 Devonian-age sedimentary rocks (sandstone, shale, siltstone and limestone) that

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

347 include the Marcellus shale. Although conventional hydrogeologic analyses  
348 assume extremely slow flow rates through these rocks based on equivalent porous  
349 medium assumptions (ICF International, 2009), more sophisticated detailed  
350 studies (e.g., Runkel et al., 2006) show that even non-karstic sedimentary rocks  
351 (sandstone and shale) contain significant brittle fractures, which allow faster  
352 preferential flowpaths along bedding-planes over distances of kilometers. While  
353 solute transport is typically slower than pressurized gas flow in such fractures,  
354 both are highly unpredictable and essentially undetectable, barring major events.  
355 The limited flow between deep and shallow formations that has already been  
356 shown using isotope tracers (Warner et al., 2012) can be locally enhanced by  
357 interconnection of existing preferential flowpaths by well drilling and  
358 hydrofracturing, which destabilize existing hydraulic gradients by changing  
359 pressure regimes.

360           Understanding of flow and leakage through such heterogeneous connected  
361 fracture networks even in sedimentary rock requires new paradigms (Eaton, 2006,  
362 and references therein), and is at the forefront of hydrogeologic research  
363 especially for purposes of geological carbon sequestration (DOE NETL, 2010).  
364 Considerable information on brittle fault structures and linear features extending  
365 laterally for kilometers and vertically for thousands of meters has been  
366 documented by engineering studies for the emplacement of the current water  
367 supply tunnels (Figure 1) that transport water outside the watersheds to New York  
368 City (Hazen and Sawyer, 2009). The NYS DEC dSGEIS study anticipates buffer  
369 zones between sensitive resources or infrastructure and permitted natural gas  
370 drilling locations, but the widths of those buffer zones (100s of m) are well short  
371 of the known lengths of many mapped linear fault features.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

372           The New York City DEP has expressed concern about possible impacts on  
373 this tunnel infrastructure from extensive hydrofracturing in close proximity  
374 (Hazen and Sawyer, 2009). A major issue is that the tunnels extend up to 8 km  
375 outside the hydrographic boundaries of the watersheds (Figure 1) and therefore  
376 are not entirely included in the currently proposed protected area described in the  
377 NYS DEC dSGEIS. These bedrock water tunnels lie 100-300 m below grade  
378 (well below the water table), are concrete-lined, and have served well for decades,  
379 but are designed to retain transported potable water, not resist external  
380 overpressures. In fact, existing chronic leakage through tunnel liner cracks  
381 indicates they would be vulnerable to additional damage from changing external  
382 stresses, accumulation of explosive natural gas in access and maintenance  
383 infrastructure and even fracture-flow contamination at occasional low operating  
384 pressures (atmospheric) due to groundwater inflow (Hazen and Sawyer 2009).

385

386   **4.   Energy and economic aspects**

387           Analysis of possible impacts of Marcellus shale gas drilling must consider  
388 the resulting tradeoffs in the larger context of global climate change driven by  
389 fossil fuel GHG emissions. Specifically, compared to current domination of coal-  
390 fired electrical generation in the United States, what are the environmental  
391 consequences that may be avoided by a potential substitution with natural gas? In  
392 fact, a recent study (Lu et al. 2012) has shown that such substitution has already  
393 contributed to a reduction in CO<sub>2</sub> emissions from US electrical generation from  
394 2008 to 2009. Potential economic and environmental benefits of natural gas  
395 drilling, representing a desirable transition to a cleaner energy economy, need to  
396 be weighed against the economic and environmental costs, that are not necessarily

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

397 limited to New York state.

398

399 **4.1 Global greenhouse gas (GHG) emissions**

400 Closure of coal-fired power plants and their substitution by higher  
401 efficiency, lower-emission electrical generation, like using natural gas, has been  
402 identified as one of the principal options to reduce greenhouse gas (GHG)  
403 emissions (Pacala and Socolow, 2004). Other than natural gas, there is no other  
404 readily deployable energy generation technology that provides the necessary  
405 replacement base load to balance the intermittency of renewable energy  
406 generation like wind. Therefore, increased natural gas production from the  
407 Marcellus would be beneficial in this regard. But economic and cleaner energy  
408 benefits of natural gas may be illusory if only GHG emissions at the point of  
409 combustion are considered (Hughes 2011). Furthermore, natural gas consists of  
410 mostly methane, a more powerful driver of global climate change than carbon  
411 dioxide (Howarth et al., 2011, Shindell et al. 2009).

412 Due to poor regulation, production and pipeline transportation of natural  
413 gas causes numerous unaccounted-for sources of GHG emissions to the  
414 atmosphere, the magnitude of which is under debate (Howarth et al. 2011;  
415 O'Sullivan and Paltsev 2012). Unconventional gas exploitation causes methane  
416 emissions from the wellhead during and after the drilling is completed, and while  
417 the gas is processed and transported. These fugitive methane emissions are poorly  
418 constrained (US EPA, 2010), but could conservatively amount to up to 7.9% of  
419 lifecycle well production (Howarth et al., 2011). While modeling studies of GHG  
420 emissions from shale gas production with differing assumptions are proliferating

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

421 (e.g. Jiang et al. 2011; Weber and Clavin 2012), there is a shortage of actual field  
422 studies. However, recent work (Petron et al., 2012), focusing on VOCs and  
423 methane from a natural gas field in Colorado, showed that the uncertainty of and  
424 actual GHG emissions percentages are higher than many assumed values in the  
425 models, and closer to those of Howarth et al. (2011).

426

#### 427 *4.2 Substitution for coal, and public health impacts*

428 Many existing "lifecycle" analyses in the debate over the environmental  
429 impact of natural gas do not take into account the default (current) GHG  
430 emissions of coal-fired generation (Weber and Clavin, 2012), and others do not  
431 evaluate environmental impact other than GHG emissions (Howarth et al., 2011;  
432 Hultman et al. 2011; Burnham et al., 2012) in their assessment of different fuels  
433 for electrical generation. However, non-GHG impacts dominate current U.S.  
434 electricity production, almost half of which is generated using coal, and 34% of  
435 that capacity is from plants more than 40 years old, with little to no modern  
436 pollution controls, such as scrubbers or other technology (Hughes, 2011). Many  
437 existing "lifecycle" analyses focusing on GHG impacts are therefore too narrow  
438 for comparison of tradeoffs related to increased Marcellus shale gas production.

439 In fact, in contrast to natural gas production, most "externalities" or  
440 environmental damages from coal-fired electricity are not related to climate  
441 change (Levy et al., 2009; US NRC, 2009; Epstein et al., 2011). These impacts  
442 are largely due to air pollution from sulfates and other particulates and related  
443 cumulative public health consequences. Others are related to water  
444 contamination due to coal sludge storage accidents, and ecological and economic

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

445 costs (including opportunity costs) of land transformation due to mountain-top  
446 removal (MTR) in Appalachia (Epstein et al., 2011). Estimates of non-climate-  
447 related total hidden annual costs of coal for electrical generation range from \$62  
448 billion (US NRC, 2009) to \$281 billion (Epstein et al., 2009). The total hidden  
449 cost (environmental and health) damage from the most polluting coal-fired  
450 electrical generation plants is estimated to be seven times as much as the damage  
451 from the most polluting natural gas-fired electrical generation plants (US NRC,  
452 2009). US national net impacts from substitution of natural gas for coal in  
453 electrical production are likely to be positive due to reduction of coal-related  
454 externalities along with GHG emissions, however the full economics of the global  
455 climate change problem (Goodstein, 2011) are beyond the scope of this work.

456           Nevertheless, a more-straightforward assessment of economic costs of  
457 shale gas exploitation is possible based simply on potential health impacts, and  
458 effects on local populations in New York. Air quality has deteriorated in the  
459 United States where natural gas resources are currently being exploited (Kargbo et  
460 al., 2010, Petron et al. 2012). Public health impacts in Colorado and Pennsylvania  
461 have been estimated (Colorado School of Public Health, 2011; Lauer, 2011;  
462 McKenzie et al. 2012). The leading air-quality risk to public health in Colorado is  
463 increased subchronic exposures to airborne hydrocarbon carcinogens and  
464 increased cumulative cancer risks for those residing within 0.8 km (0.5 mile) of  
465 gas-producing wells compared to those living farther away (McKenzie et al.,  
466 2012). Other impacts considered in the Colorado public health study (Colorado  
467 School of Public Health, 2011) and elsewhere (Lauer, 2012) involve particulates,  
468 degradation of water quality, light pollution, and industrial noise from drilling and  
469 compressor stations. These health impacts are clearly potentially severe for

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

470 residents of New York state where Marcellus drilling may be permitted, and  
471 would need to be substantially mitigated.

472

473 ***4.3. Economic impacts and tradeoffs in New York State***

474 The tradeoffs between who benefits and who is adversely affected by  
475 natural gas drilling, what size these populations are and where they are located,  
476 are relevant here. Conventional environmental economics methods (willingness  
477 to pay, choice experiments, contingent valuation or analytical hierarchy processes)  
478 for assessing risks and costs of natural resources degradation (Martin-Ortega and  
479 Berbel, 2010) suffer from incomplete information (Konishi and Coggins, 2008)  
480 and depend on polling the inhabitants of the landscape affected. Further issues  
481 for such local market-based, cost-benefit analysis are that widely accepted  
482 watershed protection methods such as the US EPA total maximum daily load  
483 (TMDL) approach simply do not produce positive benefit-cost ratios (e.g.  
484 Borisova et al., 2008), and most studies do not consider spatial heterogeneity  
485 (populations not inhabiting the areas in question) (Brouwer et al., 2010). Such  
486 environmental economics methods are impractical in the case of Marcellus shale  
487 drilling and the New York City water supply because the benefits and costs accrue  
488 at least in part to different populations.

489 Even so, natural gas drilling has been promoted, in industry-sponsored  
490 economic impact reports, as either an economic boon to the state and struggling  
491 communities in rural areas (see Kinnaman, 2012 and references therein), or  
492 alternatively a threat to the current tourism-based economy in rural counties  
493 (Rumbach, 2011). Detailed analysis of these dueling economic impact

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

494 perspectives is beyond the scope of this work, but many of their assumptions and  
495 economic modeling procedures have been questioned (Kinnaman, 2012). What is  
496 clear is that lower natural gas prices due to increased production from the  
497 Marcellus will benefit electricity consumers and many others by reducing the  
498 percentage of power produced from coal-fired plants and associated externalities.  
499 The difference between the shorter term (10-20 years) of the "boom" type  
500 economic development benefits associated with natural gas production and the  
501 eventual long-term costs of the permanent transformation of the landscape would  
502 depend on the economic discount rate used (Goodstein 2011).

503           However, considering the relative populations concerned who stand to  
504 benefit or suffer adverse consequences provides a baseline for comparison. The  
505 southern tier New York state counties along the Pennsylvania border (Figure 1)  
506 have a population of less than 700,000, many of whom would benefit from  
507 royalties due to leasing of mineral rights to natural gas producers (Kargbo et al.,  
508 2010). This population could suffer health-related impacts from proximity to the  
509 gas-producing wells and economic impacts from landscape transformation.  
510 Compared to this are the costs and public health consequences of potential  
511 degradation of a public water supply for a much larger population (almost 9  
512 million) in New York City. Currently, New York City taxpayers invest a modest  
513 US\$3 million/yr to support conservation practices in the Cannonsville watershed  
514 (Bryant et al., 2008), and about US\$50 million/yr for the combined watershed  
515 protection program. However, if the US EPA rescinds its filtration avoidance  
516 determination (FAD), the alternative costs of water filtration and treatment for  
517 New York City have been estimated in the billions of US\$ (Bryant et al., 2008),  
518 largely for the construction and operation of the facilities needed.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

519

520 **5. Regulatory and political aspects**

521 Finally, the ultimate consideration when assessing a scientific and  
522 technical issue with major public policy implications is the political and  
523 regulatory landscape. Experts tend to view the scientific and technical aspects in  
524 isolation, whereas the success of public policy decisions about these issues can  
525 depend more on politics. Despite solidifying scientific consensus (IPCC, 2007)  
526 on the need for GHG emissions reduction, and widespread international  
527 ratification of the 1997 Kyoto Protocol, the unwillingness of the United States to  
528 ratify and the collapse of the former Soviet Union and Eastern European industrial  
529 production have probably had more impact on GHG emission trends over the last  
530 20 years. Recent attempts in the field of uncertainty analysis to address this  
531 dilemma have called for scientific knowledge that is used in political  
532 decisionmaking to be placed in the proper socio-political context to be relevant  
533 (Maxim and van der Sluijs, 2011), and such is the intent of this work.

534 **5.1 Federal regulatory gaps**

535 There are numerous exemptions and limitations in federal environmental  
536 legislation and US EPA authority to regulate unconventional natural gas drilling  
537 (Wiseman, 2012; US GAO, 2012). Of the eight major pieces of legislation (Safe  
538 Drinking Water Act - SDWA; Clean Water Act - CWA; Clean Air Act - CAA;  
539 Resource Conservation and Recovery Act - RCRA; Comprehensive  
540 Environmental Response, Compensation and Liability Act - CERCLA;  
541 Emergency Planning and Community Right-to-Know Act - EPCRA; Toxic  
542 Substances Control Act - TSCA; and Federal Insecticide, Fungicide and

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

543 Rodenticide Act - FIFRA), the first six have important exemptions related to oil  
544 and natural gas development.

545           The most important exemptions to these laws were created by the 2005  
546 Energy Policy Act, by which hydraulic fracturing is specifically exempted from  
547 regulation under the SDWA Underground Injection Control program, except if  
548 diesel fuel is injected (US GAO, 2012; Wiseman, 2012). Under the CWA,  
549 pollutant discharges from industrial sites and wastewater treatment facilities are  
550 regulated, however oil and gas production well sites are exempted from National  
551 Pollutant Discharge Elimination System (NPDES) permitting. The CAA exempts  
552 certain naturally-occurring hydrocarbon mixtures from air quality regulation and  
553 prohibits aggregating emissions from multiple well sites, pipelines or pumping  
554 stations, hence no oil and gas wells have been regulated as air pollutant sources to  
555 date (US GAO, 2012).

556           The US EPA issued a controversial determination in 1988 that oil and gas  
557 development waste are not covered under RCRA, governing hazardous solid  
558 waste, but the agency retains "imminent and substantial endangerment"  
559 authorization to intervene. It is clear that these major gaps and exemptions  
560 hinder federal oversight of environmental protection in the case of hydraulic  
561 fracturing, and legislation (the FRAC Act) to close the CWA exemptions has been  
562 introduced in Congress (Rahm, 2011), but not yet passed. Due to the limitations  
563 in federal legislation, the primary responsibility for enforcement of environmental  
564 regulation of oil and gas production has rested at the state level, in particular  
565 where states have been delegated responsibility ("primacy") to enforce federal  
566 law.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

567            **5.2 State regulatory authority and experience**

568            The considerable differences in state authority, regulatory structure and  
569 history of oil and gas exploration make comparisons among states' levels of  
570 regulatory effectiveness very difficult. Several recent studies have analyzed  
571 various aspects of regulatory experience, focusing on Pennsylvania (Mitchell and  
572 Casman, 2011), Texas (Rahm, 2011) and a comparison of these and several other  
573 states (Wiseman, 2012). Over the period 2008-2011, Pennsylvania and Texas,  
574 both with long histories of oil and gas exploration, provide a comparison between  
575 a state with fairly aggressive enforcement (PA) leading to the largest number of  
576 violations of state environmental or oil and gas laws (Wiseman, 2012); and a state  
577 (TX) with a very "oil and gas-friendly" regulatory environment and looser  
578 enforcement (Rahm, 2011).

579            One concern that emerges is that oil and gas production operational  
580 methods developed under less restrictive state regulatory structures (Texas) are  
581 inconsistent with prevailing regulation (Pennsylvania) that is being established by  
582 states with higher environmental protection standards. Another is that  
583 technological innovations and economic incentives that do not currently include  
584 the costs of environmental protection are now driving the boom in unconventional  
585 natural gas production. Gas well productivity is declining, and unconventional  
586 natural gas is now being produced at a loss, given that the marginal cost of  
587 production is much higher than world gas prices (Rogers, 2011; Hughes, 2011).  
588 Current regulatory authority and environmental protection have not been able to  
589 keep up with the economic drivers of unconventional gas production where it is  
590 now occurring in the United States. These regulatory shortfalls are manifest  
591 especially in the areas of well plugging or sealing and in the ability of states to

1  
2  
3 592 field sufficient inspectors for oversight.  
4  
5

6 593 **5.3 State regulatory shortfalls**  
7  
8

9 594 At the end of their economically useful life, wells in oil and natural gas  
10  
11 595 fields must be properly sealed with cement to close direct pathways between the  
12  
13 596 reservoir and the surface, or even shallow groundwater, to avoid environmental  
14  
15 597 damage as detailed previously. Many of the hundreds of thousands of wells  
16  
17 598 estimated to have been drilled over the last century in Pennsylvania and New  
18  
19 599 York have not been adequately plugged (Crain 1969), in part because modern  
20  
21 600 record-keeping and verification of well sealing only began in the 1980s. While  
22  
23 601 more modern oil and gas fields in Alberta (Watson and Bachu 2009) have such  
24  
25 602 records numbering in the hundreds of thousands, older oil and gas provinces in  
26  
27 603 Pennsylvania and New York where wells were drilled to 2000 ft depth or more  
28  
29 604 (Hartnagel and Russell 1925; Van Tyne 1998) only have records of tens of  
30  
31 605 thousands of wells (NYSDEC regulates approximately 40,000 known wells, most  
32  
33 606 of which are not sealed, but operational or on "inactive status"). The mismatch in  
34  
35 607 numbers corresponds to numerous leaking "legacy wells" whose location is often  
36  
37 608 unknown (Watson and Bachu, 2009; Mitchell and Casman, 2011).  
38

39  
40 609 While enforcement has undoubtedly improved, the economic incentives  
41  
42 610 that led to this poor regulatory compliance still exist. As natural gas wells decline  
43  
44 611 in production after the first couple years, they are generally transferred from the  
45  
46 612 original gas producers to smaller entities, either other gas producers or even  
47  
48 613 landowners. Furthermore, many wells are put on "inactive status" or otherwise  
49  
50 614 escape regulatory oversight when operators default. Costs of proper well sealing,  
51  
52 615 which ranges from \$100,000 to \$700,000 per well, are thereby avoided because  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

616 minimum federal and state bonding levels are generally inadequate and  
617 reclamation costs are often deferred for decades (Mitchell and Casman, 2011).

618           The other major concern is the need for adequate field-based monitoring  
619 and inspections by regulatory personnel to ensure compliance with environmental  
620 standards. Beyond the gaps in federal legislation and the historical development  
621 of the oil and gas industry, the states' regulatory framework has often been  
622 reactive and inadequate to prevent violations, notably in Pennsylvania (Rahm,  
623 2011). Some of the reasons for this ineffectiveness include lack of state funding  
624 (Mitchell and Casman, 2012), inefficient organization or incompleteness of  
625 records (Wiseman, 2012), fragmentation of environmental regulation authority  
626 and an anti-regulatory political climate (Rahm, 2011). For example, prior to the  
627 growth in unconventional gas drilling in Pennsylvania, it was estimated that due  
628 to inadequate funding rates, it would require 160 years to plug known existing  
629 "orphan" wells (Mitchell and Casman, 2012). Furthermore, in Texas, for natural  
630 gas extraction from the Barnett shale, violations recorded declined in 2009-2011  
631 from the rates in 2008, apparently because the Texas Railroad Commission  
632 regulator suffered personnel losses due to a hiring freeze (Wiseman, 2012).

633 **6. Discussion**

634           To evaluate these major scientific and technical issues, the related  
635 geological, land use, economic and energy aspects, as well as the regulatory and  
636 political context of the Marcellus shale gas exploitation and the New York City  
637 water supply, a framework for decisionmaking is needed. Consider the  
638 semiquantitative interplay of three general domains originally identified by  
639 Rogers (2011): geology; technology; and regulatory and public acceptance. A

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

640 Venn-type graphical approach used in pharmacology and bioinformatics (Ruskey  
641 et al., 2006; Chen and Boutros, 2011) allows plotting the overlap of these three  
642 areas to analyze common ground for decisionmaking. It is useful to consider  
643 Venn diagram circles overlaid on a triaxial plot (Figure 3) to constrain relative  
644 size, corresponding to domain possibility, and proximity to origin, corresponding  
645 to how well the possibility is put into practice for that domain. An arbitrary scale  
646 on the axes represents increasing implementation toward the origin in an abstract  
647 "decision-space". Since perfect implementation (concentric circles at origin) is  
648 unattainable, the centers of the circles, for which the relative size needs to be  
649 determined, plot at different locations on the three axes.

650

### 651 *6.1 Triaxial Venn diagram logic and analysis*

652 A convenient starting point is the known geographical setting of the  
653 Marcellus shale, the northeastern end of which underlies the southern tier of New  
654 York state (Figure 1). From a geological perspective, all of the Marcellus shale is  
655 potentially a source of natural gas, but only a subset (unknown until sufficient  
656 wells have been drilled) of that area will be the most highly productive.  
657 Extraction of natural gas from such shales was not even technologically viable  
658 until recent decades with the advent of hydraulic fracturing, and depth, thickness  
659 and organic content are still limiting factors. Therefore, the size of the circle  
660 representing technology is necessarily smaller than the circle representing  
661 geology, analogous to the difference between reserve and resource of any fossil  
662 fuel.

663 The development of hydraulic fracturing has enlarged the circle of

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

664 technological viability and moved it closer to the center, enabling considerable  
665 overlap with the area of geological resource. Similarly, United States' energy  
666 needs and growth of natural gas production have enlarged the circle of regulatory  
667 and public acceptance and moved it closer to the center, enabling overlap with the  
668 other two circles. It is clear, however, that the circle of regulatory and public  
669 acceptance is the smallest of the three, and the challenge is to identify what is the  
670 overlap of the three circles, what lies inside and outside, and what might be  
671 necessary to maximize the size of the intersecting common ground for publicly  
672 acceptable Marcellus shale gas drilling.

673

674 ***6.2 Application to decisionmaking about hydraulic fracturing in***  
675 ***New York state***

676 The dramatic expansion of drilling for natural gas in the Marcellus shale  
677 indicates that there is considerable growing overlap of technology and geology  
678 due to rapidly advancing technological innovation in the drilling industry,  
679 however this may be counterbalanced by economic considerations such as low  
680 natural gas prices. The trend of the much smaller overlap for regulatory and  
681 public acceptance in New York state is not as evident due to the continuing  
682 controversy in the scientific literature and public media over the environmental  
683 impact. In any event, a careful weighing of the different merits and liabilities  
684 described earlier is necessary for expansion of the area of regulatory and public  
685 acceptance. The major pros and cons are summarized in Table 1, taking into  
686 account a broader range of issues than are commonly discussed.

687 A key consideration must be that the geographical area occupied by the  
688 watersheds supplying municipal water to New York City is less than 10% of the

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

689 area of the Marcellus underlying New York state. A reasonable first step in  
690 decisionmaking would therefore be to recognize that for the NYC watersheds  
691 overlying the Marcellus, the risks clearly outweigh any merits, and that the de-  
692 facto moratorium on drilling within a generous buffer setback of those watersheds  
693 and associated infrastructure (water supply tunnels), be formalized into a ban.  
694 This would include directional drilling from areas outside those buffer zones, and  
695 accept that the NYC watersheds lie permanently outside the circle of regulatory  
696 and public acceptance for Marcellus drilling (Figure 3).

697           Beyond the boundaries of the buffer setbacks around the NYC water  
698 supply watersheds and infrastructure, the situation is less clear-cut. The benefits  
699 of increased natural gas use from the Marcellus shale (Table 1) are potentially  
700 great for transition to cleaner energy if the liabilities could be substantially  
701 reduced. Much of the environmental impact from Marcellus shale drilling is due  
702 to regulatory lapses, either at the federal or state level. This suggests that  
703 considerable strengthening of state and federal regulatory oversight is a possible  
704 avenue for reducing the environmental liability. In other words, enhancing  
705 oversight could enlarge the circle of regulatory and public acceptance (Figure 3)  
706 in New York state and move it down the axis of increasing implementation to  
707 expand the area of overlap or common ground for publicly acceptable HVHF.  
708

### 709           ***6.3 Regulatory enhancement recommendations***

710           Federal oversight is increasing with the US EPA promulgation in 2012 of  
711 the final rules on GHG reporting by operators of petroleum and natural gas  
712 systems, with a minimum facility threshold of 25,000 metric tons carbon dioxide

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

713 equivalent (CO<sub>2</sub>e) per year  
714 (<http://www.epa.gov/ghgreporting/reporters/subpart/w.html>). Furthermore, the  
715 US EPA is tightening New Source Review (Bushnell and Wolfram 2012)  
716 requirements for airborne emission standards for the oil and gas sector  
717 (<http://www.epa.gov/airquality/oilandgas/pdfs/20120418rtc.pdf>), regulations due  
718 to be in place by 2015, barring potential litigation. An obvious next step would  
719 be for the U.S. Congress to close the gaps in federal law (CWA, SDWA, CAA,  
720 RCRA, CERCLA, EPCRA) that have long exempted the oil and gas industry from  
721 national environmental protection legislation (US GAO, 2012; Wiseman, 2012).  
722 However, such an outcome is uncertain due to the current ideological deadlock in  
723 the U.S. Congress.

724 State regulatory oversight in New York, as proposed in the draft  
725 supplemental generic environmental impact study (dSGEIS) currently undergoing  
726 review by the NYS Dept of Environmental Conservation, is more rigorous than in  
727 many other states, particularly with respect to mandated buffer zones for natural  
728 gas infrastructure from natural resources (Wiseman, 2012) and requirements for  
729 closed-tank systems for wastewater capture in most cases. However, budget  
730 reductions at both the U.S. state and federal levels have thinned out regulatory  
731 personnel ranks below the minimum needed for current enforcement  
732 responsibilities. In 2010, the head of New York State DEC was dismissed  
733 following the release of an internal memo that documented a 21% reduction in  
734 workforce since 2008, and warned that fewer staff will be available for oversight  
735 of Marcellus natural gas drilling. Over the last 3 years, Pennsylvania, with several  
736 hundred inspectors, has been unable to effectively prevent serious violations  
737 (Wiseman, 2011; Rahm, 2011), so it is unlikely that New York, with a widely

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

738 reported number of inspectors less than 20 in 2012, will be able to enforce  
739 effective regulations, no matter how rigorous they are. The major step to build  
740 public confidence that regulation will be able to reduce the environmental  
741 liabilities (Table 1) is to hire and train skilled regulatory inspection staff in the  
742 thousands, as is currently the case in major gas-producing states like Michigan  
743 and Texas (Wiseman, 2011).

744 While it is technically feasible to mitigate much of this environmental  
745 impact of expanded Marcellus gas drilling in New York State, market forces will  
746 cause natural gas companies to avoid the responsibility and costs of such  
747 mitigation (Mitchell and Casman, 2011). Many technologies exist for treatment  
748 of produced water (Fakhru'l-Razi et al., 2009; Gregory et al. 2011), and New  
749 York state regulations should mandate such treatment and a 90% minimum for  
750 recycling instead of simply encouraging such practices. Recently reported  
751 alternatives to massive water usage and wastewater generation include  
752 hydrofracturing using liquid petroleum gas and liquid CO<sub>2</sub> (Kargbo et al., 2010),  
753 which could be strongly encouraged. While limits on gas venting and flaring,  
754 reduced emissions completions (REC) to minimize gas well GHG emissions, and  
755 restrictions on diesel engines are proposed as part of the revised NY state  
756 dSGEIS, more is needed to ensure necessary environmental protection. For  
757 example, requiring gas distribution lines to be in place upon well completion  
758 would allow a ban on all venting and flaring except in case of emergency, and  
759 onsite engines could be required to operate with a 20% minimum percentage of  
760 biodiesel or compressed natural gas (CNG), limits that would increase in 5 year  
761 increments.

762 A serious concern remains about proper well construction and sealing or

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

763 plugging. Outside the NYC watersheds to the west (Figure 1), the counties along  
764 the Pennsylvania state line (the "Southern Tier") account for over 77% of oil and  
765 natural gas wells registered in New York State, and are likely to be centers of  
766 Marcellus shale gas production. According to a recent survey of public records,  
767 most (89%) depleted oil and gas wells in New York state have not been  
768 adequately plugged or sealed over the last 25 years, leaving tens of thousands as  
769 potential conduits for contamination, most of which have unknown locations.

770 Current proposed regulations (revised dSGEIS) require Marcellus well drilling  
771 permit applicants to identify non-producing or abandoned (sealed) wells within  
772 one mile of the proposed drilling location for HVHF, however it is not clear if this  
773 entails locating all previously unknown or improperly sealed wells that may pose  
774 a catastrophic environmental protection risk during new well drilling. Mitigating  
775 the rare but very real hazards posed by such historical wells would require  
776 substantially strengthened regulatory oversight.

777 Fortunately, New York State has a strong tradition of environmental  
778 protection of part of the NYC watersheds in question, which is off-limits to  
779 development in perpetuity in the Catskill State Forest Preserve (Figure 1). The  
780 Catskill State Forest includes private land within a boundary known as the "blue  
781 line", which encompasses an area occupying effectively the southeastern half of  
782 the New York City water supply watersheds. Current regulatory proposals  
783 anticipate including all watersheds or major aquifer areas that supply major  
784 municipalities like NYC, which are already partially protected by other City-  
785 owned property or conservation easements, in the area prohibited to HVHF  
786 drilling (Figure 1). It would also be important to prohibit deep directional  
787 drilling underneath those areas (from surface locations outside) and to increase

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

788 necessary setbacks to that protected area and associated water supply

789 infrastructure (currently proposed to be only ~1200 m).

790

791 **7. Conclusions**

792 Even if consensus can be achieved on the scientific and technical issues

793 outlined earlier, gas production from the Marcellus shale is so economically

794 important that the New York State governor and legislature will ultimately decide

795 whether and how to allow such natural resource development to proceed. The

796 nature of political decision-making is primarily non-scientific, and as in recent

797 integrated water management (Kragt et al., 2009), other interdisciplinary factors

798 enter into consideration and may even trump science-based analysis. Avoiding

799 this outcome can be accomplished by maintaining the present moratorium while

800 addressing the broader issues described in this work.

801 It may never be possible to quantify all of the costs and benefits associated

802 with the prospect of natural gas drilling in and near the watersheds that supply

803 New York City with drinking water. However, while potential benefits may be

804 great in the context of future energy policy towards a low-carbon economy,

805 liabilities are also very significant and could outweigh benefits (Table 1).

806 Whether benefits of Marcellus shale gas drilling exceed liabilities thus depends on

807 enforcement of strong environmental regulations to minimize liabilities and

808 achieve greater public acceptance of HVHF in New York State (Figure 3). In the

809 current political and regulatory climate, it remains unclear whether this can be

810 accomplished. Specific recommendations from this analysis for New York State

811 to obtain greater public acceptance and environmental protection include:

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

- 812                   • Immediate hiring and training of sufficient NYS DEC
- 813                   inspectors (1000+) and increasing agency funding for
- 814                   monitoring eventual HVHF and gas pipeline operations in
- 815                   NY state
  
- 816                   • Permanent banning of HVHF within NYC and other major
- 817                   municipal water supply watersheds, including a 5000 m
- 818                   buffer zone from associated infrastructure (water supply
- 819                   tunnels) and watershed perimeter, and prohibiting deep
- 820                   directional drilling underneath such watersheds or major
- 821                   aquifers.
  
- 822                   • Mandating a minimum of 90% produced water recycling,
- 823                   minimum 20% biodiesel or CNG for all drilling site and
- 824                   truck operations, banning gas venting or flaring except in
- 825                   case of emergency, mandating immediate connection to gas
- 826                   distribution lines.

827                   Without significant investment in state and federal regulatory enforcement,  
828                   the intense scrutiny of thousands of gas wells necessary to avoid incremental  
829                   degradation of watershed protection or shallow groundwater will not be possible.  
830                   However, recent developments provide grounds for guarded optimism. The  
831                   history of mineral exploitation in the United States is one of dramatic reduction of  
832                   adverse environmental consequences of mining operations. 19th century  
833                   practices resulted in acid mine drainage and numerous Superfund site designations  
834                   in Colorado and other western states, but 21st century mine reclamation with  
835                   sufficient regulatory oversight has avoided environmental degradation in several  
836                   U.S. states and Canada.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

837           In this situation involving politics, economics, geology, hydrology and  
838 water quality, the usual methods of risk assessment may not provide useful  
839 answers (Hattis and Goble, 2003) and the “precautionary principle” may be the  
840 best benchmark for decision-making (Stayner et al., 2002). Maintaining the  
841 current New York State moratorium on hydrofracturing of horizontal wells seems  
842 a prudent step in light of the legislative uncertainty. It is likely that HVHF will be  
843 highly regulated and eventually permitted in the remaining 90%+ of the Marcellus  
844 shale subcrop across the southern tier of New York. Time will tell whether the  
845 potential liabilities or benefits (Table 1) of natural gas exploitation from the  
846 Marcellus shale will be realized.

847  
848 Acknowledgements: The assistance of Alan Mason in helping to draft an earlier version  
849 of this work is greatly appreciated. The comments of four anonymous reviewers also  
850 were helpful in improving the manuscript.

851  
852  
853  
854  
855  
856  
857  
858  
859  
860  
861  
862

863

864 **Table 1.** Considerations for assessing environmental impact of Marcellus shale  
865 gas drilling in New York State

<b>Major Liabilities</b>	<b>Major Benefits</b>
<b>1. Degradation of watershed and groundwater protection</b> <ul style="list-style-type: none"><li>• US EPA FAD may not be renewed, requiring NYC water supply filtration</li><li>• Long-term increase in chronic contamination of NYC and other water supply, requiring increased storage, health degradation of NY residents</li></ul>	<b>1. Substitution for coal in electricity generation</b> <ul style="list-style-type: none"><li>• Less particulate air emissions, lower health impacts</li><li>• Enables phase-out of coal to combat climate change</li><li>• Reduction of mountaintop removal for coal mining</li></ul>
<b>2. Industrial infrastructure degradation of rural landscape</b> <ul style="list-style-type: none"><li>• Loss of recreational tourism and resulting local and state revenue</li><li>• Fragmentation of wildlife habitat by roads and pipelines</li></ul>	<b>2. Transition fuel to carbon-constrained economy</b> <ul style="list-style-type: none"><li>• Lower natural gas prices speed rather than slow closing obsolete coal power plants</li><li>• Enhance baseload electrical generation capability substituting for intermittent sources (e.g. wind, solar)</li></ul>
<b>3. Uncertainty of effect on global GHG emissions reduction efforts</b> <ul style="list-style-type: none"><li>• Fugitive emissions may result in increased global warming potential</li></ul>	<b>3. Proximity of energy source for electrical generation to the urban centers of the NE United States</b> <ul style="list-style-type: none"><li>• Avoids natural gas supply bottleneck due to imports and long-distance transportation costs</li><li>• Lower costs for locally-produced gas, electricity</li></ul>
<b>4. Local pollution of air by VOCs/ozone precursors</b> <ul style="list-style-type: none"><li>• Long-term health effects on local populations</li><li>• Reduction of regional air quality</li></ul>	<b>4. Local economic investment in upstate NY</b> <ul style="list-style-type: none"><li>• Employment year-round in contrast to current seasonal tourism</li><li>• Per-capita revenue for local landowners from mineral leasing</li></ul>
<b>5. Local pollution and depletion of streams</b> <ul style="list-style-type: none"><li>• Long-term health effects on local populations</li><li>• Ecological impact of increased pollution on local wildlife</li></ul>	

866

867

868

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

869

870 **Figure Captions**

871

872 Figure 1. Location of the watersheds (inset) that supply drinking water to New  
873 York City (adapted from NYC DEP) in relation to the subcrop of the Marcellus  
874 Formation (USGS); heavy line indicates eastern boundary of Marcellus shale  
875 subcrop (Milici and Swezey, 2006). Contours in regional map indicate shale  
876 thickness; dashed lighter line on inset indicates boundary of Catskill Forest  
877 Reserve (NYS DEC).

878 Figure 2. History and projected growth of U.S. unconventional natural gas  
879 development in different shale formations. Curves are a logistic function fitted to  
880 data from the Barnett Formation in Texas, showing expected trends for other  
881 production areas, including the Marcellus shale in New York State (data from  
882 Hazen and Sawyer (2009))

883

884 Figure 3. Conceptual triaxial Venn diagram showing common ground among  
885 domains of geology, technology, and public and regulatory acceptance for HVHF  
886 in New York State. Axes show increased implementation towards origin.  
887 Vertical hachures show present limited acceptance of Marcellus HVHF, angled  
888 hachures show possible future consensus if regulatory and public acceptance  
889 domain can be expanded and moved inward along implementation axis.

890

891 **References**

892 AEA Technology. Support to the identification of potential risks for the  
893 environment and human health arising from hydrocarbons operations  
894 involving hydraulic fracturing in Europe. Report AEA/R/ED57281 for  
895 European Commission DG Environment 2012; Available at  
896 [http://ec.europa.eu/environment/integration/energy/pdf/fracking%20study.](http://ec.europa.eu/environment/integration/energy/pdf/fracking%20study.pdf)  
897 pdf [Accessed March 2013]

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

898 Aminto A, Olson MS. Four-compartment partition model of hazardout  
899 components in hydraulic fractureing fluid additives. *Journal of Natural*  
900 *Gas Science and Engineering* 2012; 7:16-21,  
901 doi:10.1016/j.jngse.2012.03.0006

902 Borisova T, Collins A, D'Souza G, Benson M, Wolfe ML, Benham B. A benefit-  
903 cost analysis of total maximum daily load implementation. *Journal of the*  
904 *American Water Resources Association (JAWRA)* 2008; 44(4):1009-  
905 1023, doi:10.1111/j.1752-1688.2008.00216.x

906 Brooks A. Optimistic NPC report could point US energy strategy in wrong  
907 direction, *Energy Strategy Reviews* 2012; 1:57-61  
908 doi:10.1016/j.esr.2011.11.002

909 Brouwer R, Martin-Ortega J, Berbel J. Spatial preference heterogeneity: a choice  
910 experiment, *Land Economics* 2010; 86(3): 552-568

911 Bryant RB, Veith TL, Kleinman PJA, Gburek WJ. Cannonsville Reservoir and  
912 Town Brook watersheds: documenting conservation efforts to protect New  
913 York City's drinking water. *Journal of Soil and Water Conservation* 2008;  
914 63(6): 339-344

915 Burnham A, Han J, Clark CE, Wang M, Dunn JB, Palou-Rivera I. Life-cycle  
916 greenhouse gas emissions of shale gas, natural gas, coal and petroleum,  
917 *Environmental Science and Technology* 2012; 46:619-627  
918 dx.doi.org/10.1021/es201942m

919 Bushnell JB, Wolfram CD. Enforcement of vintage differentiated regulations: the  
920 case of new source review. *Journal of Environmental Economics and*  
921 *Management* 2012; 64: 137-152,  
922 <http://dx.doi.org/10.1016/j.jeem.2012.01.006>

923 Bybee K. Optimizing completion strategies for fracture initiation in Barnett Shale  
924 horizontal wells, *Highlights of Ketter AA, Daniels JL, Heinze JR, Waters*  
925 *G, SPE paper 103232. Journal of Petroleum Technology* 2007; 59(3): 45-  
926 46

927 Chen H, Boutros PC. VennDiagram: a package for the generation of highly-  
928 customizable Venn and Euler diagrams in R. *BMC Bioinformatics* 2011;  
929 12:35, <http://www.biomedcentral.com/1471-2105/12/35>

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

930 Clarke LB. Environmental aspects of coalbed methane extraction, with emphasis  
931 on water treatment and disposal. Transactions of the Institution of Mining  
932 and Metallurgy Section A Mining industry 1996; 105: A105-A113  
933 Colorado School of Public Health. Battlement Mesa Health Impact Assessment  
934 (2nd and final draft) and Environmental Health and Monitoring Study,  
935 Garfield Co. Colorado 2011; Available at [http://www.garfield-](http://www.garfield-county.com/environmental-health/battlement-mesa-health-impact-assessment-draft2.aspx)  
936 [county.com/environmental-health/battlement-mesa-health-impact-](http://www.garfield-county.com/environmental-health/battlement-mesa-health-impact-assessment-draft2.aspx)  
937 [assessment-draft2.aspx](http://www.garfield-county.com/environmental-health/battlement-mesa-health-impact-assessment-draft2.aspx). [Accessed January 2013]  
938 Crain LJ. Groundwater pollution from natural gas and oil production in New  
939 York, New York Water Resources Commission Report of Investigation  
940 RI-5, 1969; prepared by USGS in cooperation with NY State Health Dept.  
941 15 p.  
942 Dhar RK, Biswas BK, Samanta G, Mandal BK, Chakraborti D, Roy S, Khan AW,  
943 Ahmed SA, Hadi SA . Groundwater arsenic calamity in Bangladesh.  
944 Current Science 1997; 73(1): 48-59  
945 Eaton TT. On the importance of geological heterogeneity for flow simulation.  
946 Sedimentary Geology 2006; 184(3-4): 187-201  
947 Elcock D. Future U.S. Water Consumption: The Role of Energy Production.  
948 Journal of the American Water Resources Association (JAWRA) 2010;  
949 46(3): 447-460, doi:10.1111/j.1752-1688.2009.00413.x  
950 Engelder T. Comment: Should fracking stop? - No, it's too valuable. Nature 2011;  
951 477: 271-75  
952 Epstein PR, Buonocore JJ, Eckerle K, Hendryx M, Stout III BM, Heinberg R et  
953 al., Full cost accounting for the life cycle of coal. Annals of the New York  
954 Academy of Sciences 2011; 1219:73-98. doi: 10.1111/j.1749-  
955 6632.2010.05890.x  
956 Fakhru'l-Razi A, Pendashteh A, Abdullah LC, Biak DRA, Madaeni SS, Abidin  
957 ZZ. Review of technologies for oil and gas produced water treatment.  
958 Journal of Hazardous Materials 2009; 170 : 530-551,  
959 doi:10.1016/j.jhazmat.2009.05.044  
960 Flexible Flow Management Program. Agreement of the Parties to the 1954 U.S.  
961 Supreme Court Decree, Effective June 1, 2012. Available at  
962 [http://water.usgs.gov/osw/odrm/documents/FFMP\\_FINAL.pdf](http://water.usgs.gov/osw/odrm/documents/FFMP_FINAL.pdf). [Accessed  
963 January 2013]

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

964 Goodstein E. Reconciling the science and economics of climate change, *Climatic*  
965 *Change* 2011; 106: 661-5, doi:10.1007/s10584-011-0039-3

966 Gleick PH. Water and Energy. *Annual Reviews of Energy and the Environment*  
967 1994; 19: 267-299

968 Gregory KB, Vidic RD, Dzombak DA. Water management challenges associated  
969 with the production of shale gas by hydraulic fracturing. *Elements* 2011;  
970 7:181-1886, DOI: 10.2113/gselements.7.3.181

971 Hansen JE. Scientific reticence and sea-level rise. *Environmental Research*  
972 *Letters* 2007; 2 doi:10.1088/1748-9326/2/2/024002

973 Harrison SS. Contamination of aquifers by overpressuring the annulus of oil and  
974 gas wells. *Ground Water* 1985; 23(3): 317-324

975 Hartnagel CA, Russell WL. The oil fields of New York State. *AAPG Bulletin*  
976 1925; 9(4): 798

977 Harte J. Water constraints on energy development: a framework for analysis.  
978 *Water Resources Bulletin* 1983; 19(1): 51-57

979 Harte J, El-Gasseir M. Energy and water. *Science* 1978; 199: 623-634

980 Hattis D, Goble R. The Red Book, risk assessment and policy analysis: the road  
981 not taken. *Human and Ecological Risk Assessment* 2003; 9: 1297-1306.  
982 doi: 10.1080/10807030390240319

983 Hazen and Sawyer. Final impact assessment report: Impact assessment of natural  
984 gas production in the New York City water supply watershed. report  
985 commissioned by the New York City Dept of Environmental Protection  
986 2009; Available at [http://www.nyc.gov/html/dep/html/press\\_releases/09-](http://www.nyc.gov/html/dep/html/press_releases/09-15pr.shtml)  
987 [15pr.shtml](http://www.nyc.gov/html/dep/html/press_releases/09-15pr.shtml) [Accessed January 2013]

988 Healy RW, Rice CA, Bartos TT, McKinley MP. Infiltration from an  
989 impoundment for coal-bed natural gas, Powder River Basin, Wyoming:  
990 Evolution of water and sediment chemistry. *Water Resources Research*  
991 2008; 44(6): Article Number W06424

992 Howarth RW, Santoro R, Ingraffea A . Methane and the greenhouse-gas  
993 footprint of natural gas from shale formations - a letter. *Climatic Change*  
994 2011; 106:679-690. doi:10.1007/s10584-011-0061-5

995 Howarth RW, Ingraffea A. Comment: Should fracking stop? - Yes, it's too high  
996 risk. *Nature* 2011; 477: 271-73

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

997 Hughes JD. Will Natural Gas Fuel America in the 21st Century? Post Carbon  
998 Institute; 2011. Available at [http://www.postcarbon.org/report/331901-](http://www.postcarbon.org/report/331901-report-will-natural-gas-fuel-america)  
999 [report-will-natural-gas-fuel-america](http://www.postcarbon.org/report/331901-report-will-natural-gas-fuel-america) [Accessed January 2013]

1000 Hultman NE, Rebois D, Scholten M, Ramig C. The greenhouse gas impact of  
1001 unconventional gas for electricity generation. Environmental Research  
1002 Letters 2011; 6 (4):1-9, doi:10.1088/1748-9326/6/4/044008

1003 Huntington G, Ksaibati K. Method for assessing heavy traffic impacts on gravel  
1004 roads serving oil and gas-drilling operations. Transportation Research  
1005 Record: Journal of the Transportation Research Board 2009; No.2101: 17-  
1006 24

1007 ICF International. Technical assistance for the Draft Supplemental Generic EIS:  
1008 Oil, Gas and Solution Mining Regulatory Program - Well Permit Issuance  
1009 for Horizontal Drilling and High-Volume Hydraulic Fracturing to Develop  
1010 the Marcellus Shale and Other Low Permeability Gas Reservoirs -  
1011 Agreement No. 9679, 2009. report to the New York State Energy Energy  
1012 Research and Development Authority. Available at  
1013 [www.nyscrda.ny.gov/~Publications/Research-and-Development-](http://www.nyscrda.ny.gov/~Publications/Research-and-Development-Technical-Reports/Other-Technical-Reports/~media/Files/Publications/NYSERDA/ng/icf-task-1.ashx)  
1014 [Technical-Reports/Other-Technical-](http://www.nyscrda.ny.gov/~Publications/Research-and-Development-Technical-Reports/Other-Technical-Reports/~media/Files/Publications/NYSERDA/ng/icf-task-1.ashx)  
1015 [Reports/~media/Files/Publications/NYSERDA/ng/icf-task-1.ashx](http://www.nyscrda.ny.gov/~Publications/Research-and-Development-Technical-Reports/Other-Technical-Reports/~media/Files/Publications/NYSERDA/ng/icf-task-1.ashx).  
1016 [Accessed January 2013]

1017 IEA. World Energy Outlook 2011. Paris: International Energy  
1018 Agency; 2011. Available at <http://www.worldenergyoutlook.org/>  
1019 [Accessed January 2013].

1020 IPCC. Climate Change 2007: Synthesis Report. Contribution of Working Groups  
1021 I, II, and III to the Fourth Assessment Report of the Intergovernmental  
1022 Panel on Climate Change (Core Writing Team: Pachauri RK, Reisinger A  
1023 (eds.)). IPCC, Geneva, Switzerland; 2007.

1024 Jenner S, Lamadrid AJ. Shale gas vs. coal: Policy implications from  
1025 environmental impact comparisons of shale gas, conventional gas, and  
1026 coal on air, water and land in the United States. Energy Policy 2012;  
1027 <http://dx.doi.org/10.1016/j.enpol.2012.11.010>

1028 Jiang M, Griffin WM, Hendrickson G, Jaramillo P, VanBriesen J, Venkatesh A.  
1029 Life cycle greenhouse gas emissions of Marcellus shale gas.  
1030 Environmental Research Letters 2011; 6 (3), 1-9, doi:10.1088/1748-

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1031 9326/6/3/034014Kargbo DM, Wilhelm RG, Campbell DJ. Natural gas  
1032 plays in the Marcellus Shale: challenges and potential opportunities.  
1033 Environmental Science and Technology 2010; 44: 5679-5684  
1034 Kerr RA. Natural gas from shale bursts onto the scene. Science 2010; 328: 1624-  
1035 1626  
1036 Kinnaman TC. The economic impact of shale gas extraction: a review of existing  
1037 studies. Ecological Economics 2011; 70: 1243-1249.  
1038 doi:[10.1016/j.ecolecon.2011.02.005](https://doi.org/10.1016/j.ecolecon.2011.02.005)  
1039 Konishi Y, Coggins JS. Environmental risk and welfare valuation under imperfect  
1040 information. Resource and Energy Economics 2008; 30: 150-169,  
1041 doi:[10.1016/j.reseneeco.2007.05.002](https://doi.org/10.1016/j.reseneeco.2007.05.002)  
1042 Kragt ME, Newham LTH, Bennett J, Jakeman AJ. An integrated approach to  
1043 linking economic valuation and catchment modeling. Environmental  
1044 Modeling and Software 2011; 26: 92-102.  
1045 doi:[10.1016/j.envsoft.2010.04.002](https://doi.org/10.1016/j.envsoft.2010.04.002)  
1046 Ladva HKJ, Craster B, Jones TGJ, Goldsmith G, Scott D. The cement-to-  
1047 formation interface in zonal isolation. SPE Drilling and Completion 2005;  
1048 20(3): 186-197  
1049 Lauver LS. Environmental health advocacy: an overview of natural gas drilling in  
1050 Northeast Pennsylvania and implications for pediatric nursing. Journal of  
1051 Pediatric Nursing 2012; 27: 383-389, doi:[10.1016/j.pedn.2011.07.012](https://doi.org/10.1016/j.pedn.2011.07.012)  
1052 Lee DS, Herman JD, Elsworth D, Kim HT, Lee HS. A critical evaluation of  
1053 unconventional gas recovery from the Marcellus shale, northeastern  
1054 United States. KSCE Journal of Civil Engineering 2011; 15(4): 679-687  
1055 Levy JI, Baxter LK, Schwartz J. Uncertainty and variability in health-related  
1056 damages from coal-fired power plants in the United States. Risk Analysis  
1057 2009; 29: 7. DOI: [10.1111/j.1539-6924.2009.01227.x](https://doi.org/10.1111/j.1539-6924.2009.01227.x)  
1058 Lu X, Salovaara J, McElroy MB. Implications of the Recent Reductions in  
1059 Natural Gas Prices for Emissions of CO2 from the US Power Sector.  
1060 Environmental Science and Technology 2012; 46(5): 3014-3021,  
1061 [dx.doi.org/10.1021/es203750k](https://doi.org/10.1021/es203750k) |  
1062 Martin-Ortega J, Berbel J. Using multi-criteria analysis to explore non-market  
1063 monetary values of water quality changes in the context of the Water

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1064 Framework Directive. *Science of the Total Environment* 2010; 408: 3990-  
1065 3997, doi:[10.1016/j.scitotenv.2010.03.048](https://doi.org/10.1016/j.scitotenv.2010.03.048)

1066 Maxim L, van der Sluijs JP. Quality in environmental science for policy:  
1067 assessing uncertainty as a component of policy analysis. *Environmental*  
1068 *Science* 2011; 14: 482-492, doi:[10.1016/j.envsci.2011.01.003](https://doi.org/10.1016/j.envsci.2011.01.003)

1069 McKenzie LM, Witter RZ, Newman LS, Adgate JL. Human health risk  
1070 assessment of air emissions from development of unconventional natural  
1071 gas resources. *Science of the Total Environment* 2012; 79-87.  
1072 doi:[10.1016/j.scitotenv.2012.02.018](https://doi.org/10.1016/j.scitotenv.2012.02.018)

1073 Milici R, Swezey C. Assessment of Appalachian Basin oil and gas resources:  
1074 Devonian shale - Middle and Upper Paleozoic Total Petroleum System.  
1075 2006. U.S. Geological Survey Open-File Report 2006-1237. Available at  
1076 <http://pubs.usgs.gov/of/2006/1237/>. [Accessed August 2011]

1077 Mitchell AL, Casman EA. Economic incentives and regulatory framework for  
1078 shale gas well site reclamation in Pennsylvania. *Environmental Science*  
1079 *and Technology* 2011; 45: 9506-9514, dx.doi.org/10.1021/es2021796

1080 Molofsky, L., Connor, J., Wylie, A., Wagner, T. Methane in Pennsylvania water  
1081 wells unrelated to Marcellus shale fracturing. *Oil and Gas Journal* 2011;  
1082 109(49):54

1083 Moniz EJ, Jacoby HD, Meggs AJM. The Future of Natural Gas - Interim Report.  
1084 Massachusetts Institute of Technology, Cambridge, MA: MIT Energy  
1085 Initiative. Available at <http://mitei.mit.edu/publications/reports-studies>.  
1086 [Accessed January 2013]

1087 Nissen SE, Watney WL, Xia J. High-resolution seismic detection of shallow  
1088 natural gas beneath Hutchinson, Kansas. *Environmental Geosciences*  
1089 2004a; 11(3): 129-142

1090 Nissen SE, Watney WL, Bhattacharya S, Byrnes AP, Young D. Geologic factors  
1091 controlling natural gas distribution related to the January 2001 gas  
1092 explosions in Hutchinson, Kansas. Kansas Geological Survey 2004b;  
1093 Open-File Report 2004-21, Available at  
1094 [http://www.kgs.ku.edu/PRS/publication/2004/AAPG/NG\\_Migration/P1-](http://www.kgs.ku.edu/PRS/publication/2004/AAPG/NG_Migration/P1-02.html)  
1095 [02.html](http://www.kgs.ku.edu/PRS/publication/2004/AAPG/NG_Migration/P1-02.html) [Accessed January 2013]

1096 NTC. Impacts on Community Character of Horizontal Drilling and High Volume  
1097 Hydraulic Fracturing in Marcellus Shale and Other Low--Permeability Gas

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1098 Reservoirs. revised 1/2011, report commissioned by New York State  
1099 Energy Research and Development Authority. 2009. Available at  
1100 [http://www.nyserda.ny.gov/en/Publications/NYSERDA-General-](http://www.nyserda.ny.gov/en/Publications/NYSERDA-General-Reports/~media/Files/Publications/NYSERDA/ng/ntc.ashx)  
1101 [Reports/~media/Files/Publications/NYSERDA/ng/ntc.ashx](http://www.nyserda.ny.gov/en/Publications/NYSERDA/ng/ntc.ashx) [Accessed  
1102 January 2013]  
1103 NYC DEP. Filtration avoidance annual report for the period January 1 through  
1104 December 31, 2009. New York City Department of Environmental  
1105 Protection; 2010  
1106 [http://www.nyc.gov/html/dep/pdf/2009\\_bws\\_fad\\_annual.pdf](http://www.nyc.gov/html/dep/pdf/2009_bws_fad_annual.pdf). [Accessed  
1107 January 2013]  
1108 ODNR. Report on the investigation of the natural gas invasion of aquifers in  
1109 Bainbridge Township of Geauga County, Ohio. Ohio Department of  
1110 Natural Resources Division of Mineral Resources Management 2008.  
1111 Available at <http://www.dnr.state.oh.us/Portals/11/bainbridge/report.pdf>.  
1112 [Accessed January 2013]  
1113 Osborn SG, Vengosh A, Warner NR, Jackson RB. Methane contamination of  
1114 drinking water accompanying gas-well drilling and hydraulic fracturing.  
1115 Proceedings of the National Academies of Science 2011; 108 (20): 8172-  
1116 8176 - [www.pnas.org/cgi/doi/10.1073/pnas.1100682108](http://www.pnas.org/cgi/doi/10.1073/pnas.1100682108).  
1117 O'Shea, KJ. A conceptual review of water extraction requirements associated  
1118 with shale gas activities in New Brunswick. Atlantic Geology 2011; 47:34  
1119 O'Sullivan F, Paltsev S. Shale gas production: potential versus actual greenhouse  
1120 gas emissions, Environmental Research Letters 2012; 7: [doi:10.1088/1748-](https://doi.org/10.1088/1748-9326/7/4/044030)  
1121 [9326/7/4/044030](https://doi.org/10.1088/1748-9326/7/4/044030)  
1122 Pacala S, Socolow R. Stabilization wedges: Solving the climate problem for the  
1123 next 50 years with current technologies. Science 2004; 305: 968-972  
1124 Petron G, Frost G, Miller BR, Hirsch AI, Montzka SA, Karion A, et al.  
1125 Hydrocarbon emissions characterization in the Colorado front range: a  
1126 pilot study. Journal of Geophysical Research 2012; 117: D04304,  
1127 <http://dx.doi.org/10.1029/2011JD016360>.  
1128 Pyron AJ. Marcellus shale gas, hydrogeology, and the truth. Oil and Gas Journal  
1129 2011; 109(13): 60-7  
1130 Rahm D. Regulating hydraulic fracturing in shale gas plays: the case of Texas.  
1131 Energy Policy 2011; 39: 2974-2981. [doi:10.1016/j.enpol.2011.03.009](https://doi.org/10.1016/j.enpol.2011.03.009)

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1132 Rahm BG, Riha SJ. Toward strategic management of shale gas development:  
1133 Regional, collective impacts on water resources. *Environmental Science &*  
1134 *Policy* 2012; 17: 12-23

1135 Rao NS, Easton ZM, Schneiderman EM, Zion MS, Steenhuis DTS. Modeling  
1136 watershed-scale effectiveness of agricultural best management practices to  
1137 reduce phosphorus loading. *Journal of Environmental Management* 2009;:  
1138 1385-1395

1139 Rogers H. Shale gas - the unfolding story, *Oxford Review of Economic Policy*  
1140 2011; 27(1):117-143, doi: 10.1093/oxrep/grr004

1141 Rumbach A. Natural Gas Drilling in the Marcellus Shale: Potential Impacts  
1142 on the Tourism Economy of the Southern Tier. Technical Report. Southern  
1143 Tier Central Regional Planning & Development Board. 2011. Available at  
1144 [http://www.stcplanning.org/usr/Program\\_Areas/Energy/Naturalgas\\_Resources/STC\\_RumbachMarcellusTourismFinal.pdf](http://www.stcplanning.org/usr/Program_Areas/Energy/Naturalgas_Resources/STC_RumbachMarcellusTourismFinal.pdf). [Accessed January 2013]

1146 Runkel AC, Tipping RG, Alexander Jr EC, Alexander SC. Hydrostratigraphic  
1147 characterization of intergranular and secondary porosity in part of the  
1148 Cambrian sandstone aquifer system of the cratonic interior of North  
1149 America: improving predictability of hydrogeologic properties.  
1150 *Sedimentary Geology* 2006; 184(3-4): 281-304

1151 Ruskey F, Savage CD, Wagon S. The search for simple symmetric Venn  
1152 diagrams. *Notices of the AMS* 2006; 53(11): 1304-1311.

1153 Sadiq R, Husain T, Veitch B, Bose N. Evaluation of generic types of drilling fluid  
1154 using a risk-based analytic hierarchy process. *Environmental*  
1155 *Management* 2003; 32(6): 778-787

1156 Schon S. Letter: Hydraulic fracturing not responsible for methane migration.  
1157 *Proceedings of the National Academy of Sciences* 2011; 108:37  
1158 [www.pnas.org/cgi/doi/10.1073/pnas.1107960108](http://www.pnas.org/cgi/doi/10.1073/pnas.1107960108)

1159 Shindell DT, Faluvegi G, Koch DM, Schmidt GA, Unger N, Bauer SE. Improved  
1160 attribution of climate forcing to emissions. *Science* 2009; 326: 716-718

1161 Soeder DJ, Kappel WM. Water resources and natural gas production from the  
1162 Marcellus Shale, U.S. Geological Survey Fact Sheet 2009-303; 2009

1163 Stayner L, Toraason M, Hattis D. Risk assessment at the crossroads of the 21st  
1164 century: opportunities and challenges for research. *Human and Ecological*  
1165 *Risk Assessment* 2002; 8(6): 1195-1202

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1166 Stephenson E, Doukas A, Shaw K. "Greenwashing gas: might a 'transition fuel'  
1167 label legitimize carbon-intensive natural gas development?" Energy Policy  
1168 2012; 452-459 <http://dx.doi.org/10.1016/j.enpol.2012.04.010>  
1169 Struchtemeyer CG, Morrison MD, Elshahed MS. A critical assessment of the  
1170 efficacy of biocides used during the hydraulic fracturing process in shale  
1171 natural gas wells. International Biodeterioration & Biodegradation 2012;  
1172 71: 15-21  
1173 US DOE. Modern shale gas development in the United States: A Primer, Office of  
1174 Fossil Energy and National Energy Technology Laboratory Report, United  
1175 States Department of Energy; 2009. Available at:  
1176 [http://www.fossil.energy.gov/programs/oilgas/publications/naturalgas\\_gen  
1177 eral/Shale\\_Gas\\_Primer\\_2009.pdf](http://www.fossil.energy.gov/programs/oilgas/publications/naturalgas_general/Shale_Gas_Primer_2009.pdf) [Accessed January 2013].  
1178 US DOE-NETL. Impact of the Marcellus Shale gas play on current and future  
1179 CCS activities. United States Dept of Energy National Energy  
1180 Technology Laboratory report, 2010; Available at  
1181 [www.netl.doe.gov/technologies/carbon\\_seq/refshelf/Marcellus\\_CCS.pdf](http://www.netl.doe.gov/technologies/carbon_seq/refshelf/Marcellus_CCS.pdf)  
1182 [Accessed January 2013]  
1183 US EIA, Annual Energy Outlook 2012 Early Release Overview, Washington, DC:  
1184 Energy Information Administration, United States Department of Energy;  
1185 2012, Available at: <http://www.eia.gov/forecasts/aeo/er/index.cfm>  
1186 [Accessed January 2013].  
1187 US EPA. Investigation of ground water contamination near Pavillion, Wyoming,  
1188 U.S. Environmental Protection Agency draft report EPA 600/R-00/000,  
1189 2011, Available at  
1190 <http://www.epa.gov/region8/superfund/wy/pavillion/index.html>  
1191 [Accessed January 2013]  
1192 US EPA. Greenhouse Gas Emissions Reporting from the Petroleum and Natural  
1193 Gas Industry. Background Technical Support Document. United States  
1194 Environmental Protection Agency 2010, Available at  
1195 [http://www.epa.gov/ghgreporting/documents/pdf/2010/Subpart-  
1197 W\\_TSD.pdf](http://www.epa.gov/ghgreporting/documents/pdf/2010/Subpart-<br/>1196 W_TSD.pdf). [Accessed January 2013]  
1198 US EPA. Framework for cumulative risk assessment, National Center for  
1199 Environmental Assessment. U.S. Environmental Protection Agency. 2003.  
EPA/600/P-02/001F. Washington DC.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1200 US GAO. Unconventional oil and gas development: Key environmental and  
1201 public health requirements. U.S. Government Accounting Office; 2012.  
1202 Available at <http://www.gao.gov/products/GAO-12-874> [Accessed  
1203 January 2013]

1204 US NRC. Hidden Costs of Energy - Unpriced Consequences of Energy  
1205 Production and Use. Expert consensus Report In Brief by the Committee  
1206 on Health, Environment and other External Costs and Benefits of Energy  
1207 Production and Consumption. National Academy of Sciences; 2009,  
1208 Available at [http://dels.nas.edu/resources/static-assets/materials-based-on-](http://dels.nas.edu/resources/static-assets/materials-based-on-reports/reports-in-brief/hidden_costs_of_energy_Final.pdf)  
1209 [reports/reports-in-brief/hidden\\_costs\\_of\\_energy\\_Final.pdf](http://dels.nas.edu/resources/static-assets/materials-based-on-reports/reports-in-brief/hidden_costs_of_energy_Final.pdf). [Accessed  
1210 January 2013]

1211 US NRC. Science and Decisions: Advancing Risk Assessment. report of the  
1212 National Research Council. National Academies Press. 2008.  
1213 Washington, D.C. 421 p.

1214 Van Tyne AM. History of New York State oil fields. AAPG Bulletin 1998; 82(9):  
1215 1775.

1216 Warner NR, Jackson RB, Darrah TH, Osborn SG, Down A, Zhao K, White A,  
1217 Vengosh A. Geochemical evidence for possible natural migration of  
1218 Marcellus Formation brine to shallow aquifers in Pennsylvania.  
1219 Proceedings of the National Academies of Science 2012; 109(30): 11961-  
1220 11966, [www.pnas.org/cgi/doi/10.1073/pnas.1121181109](http://www.pnas.org/cgi/doi/10.1073/pnas.1121181109)

1221 Watney WL, Nissen SE, Bhattacharya S, Young D. Evaluation of the Role of  
1222 Evaporite Karst in the Hutchinson, Kansas Gas Explosions, January 17  
1223 and 18, 2001. In Johnson, KS, Neal J T, editors. Evaporite  
1224 karst and engineering/environmental problems in the United States:  
1225 Oklahoma Geological Survey Circular 109; 2003 p.119-147.

1226 Watson TL, Bachu S. Evaluation of the potential for gas and CO<sub>2</sub> leakage along  
1227 wellbores. SPE Paper 106817. SPE Drilling and Completion 2009; 24(1).  
1228 115-126

1229 Waxman HA, Markey EJ, DeGette D. Chemicals used in hydraulic fracturing.  
1230 United States House of Representatives Committee on Energy and  
1231 Commerce 2011; Available at  
1232 <http://democrats.energycommerce.house.gov> [Accessed March 2013]

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60  
61  
62  
63  
64  
65

1233 Weber CL, Clavin C. Life cycle carbon footprint of shale gas: review of evidence  
1234 and implications. Environmental Science and Technology 2012; 46: 5688-  
1235 5695. [dx.doi.org/10.1021/es300375n](http://dx.doi.org/10.1021/es300375n)  
1236 Wiseman HJ, State Enforcement of Shale Gas Development Regulations,  
1237 Including Hydraulic Fracturing. FSU College of Law 2012; Public Law  
1238 Research Paper Forthcoming; Available at SSRN:  
1239 <http://ssrn.com/abstract=1992064> or  
1240 <http://dx.doi.org/10.2139/ssrn.1992064> [Accessed January 2013]  
1241  
1242  
1243  
1244

Figure 1

[Click here to download Figure: EatonSTEFig1.eps](#)

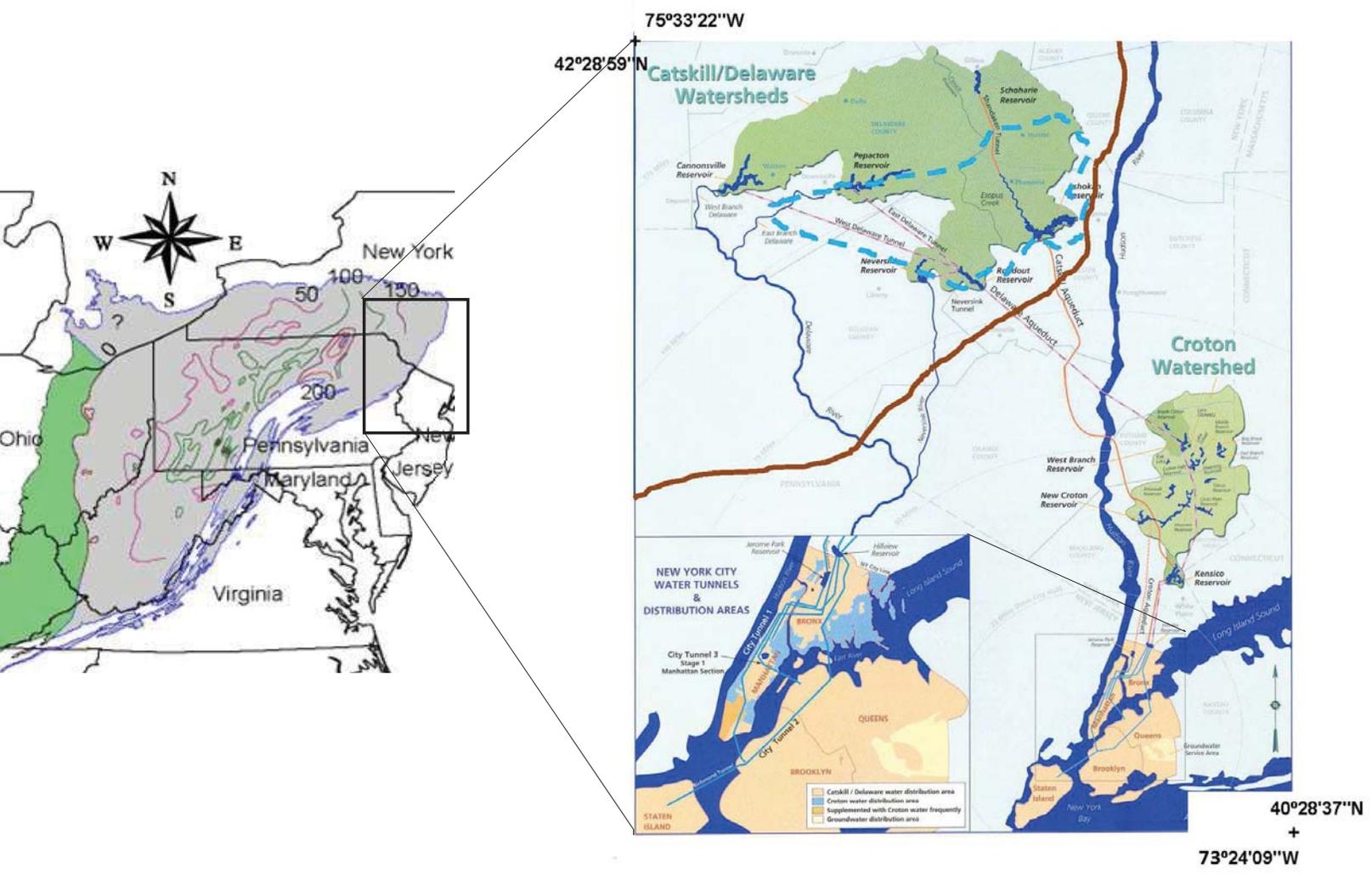


Figure 2  
Click here to download Figure: EatonSTEFig2.eps

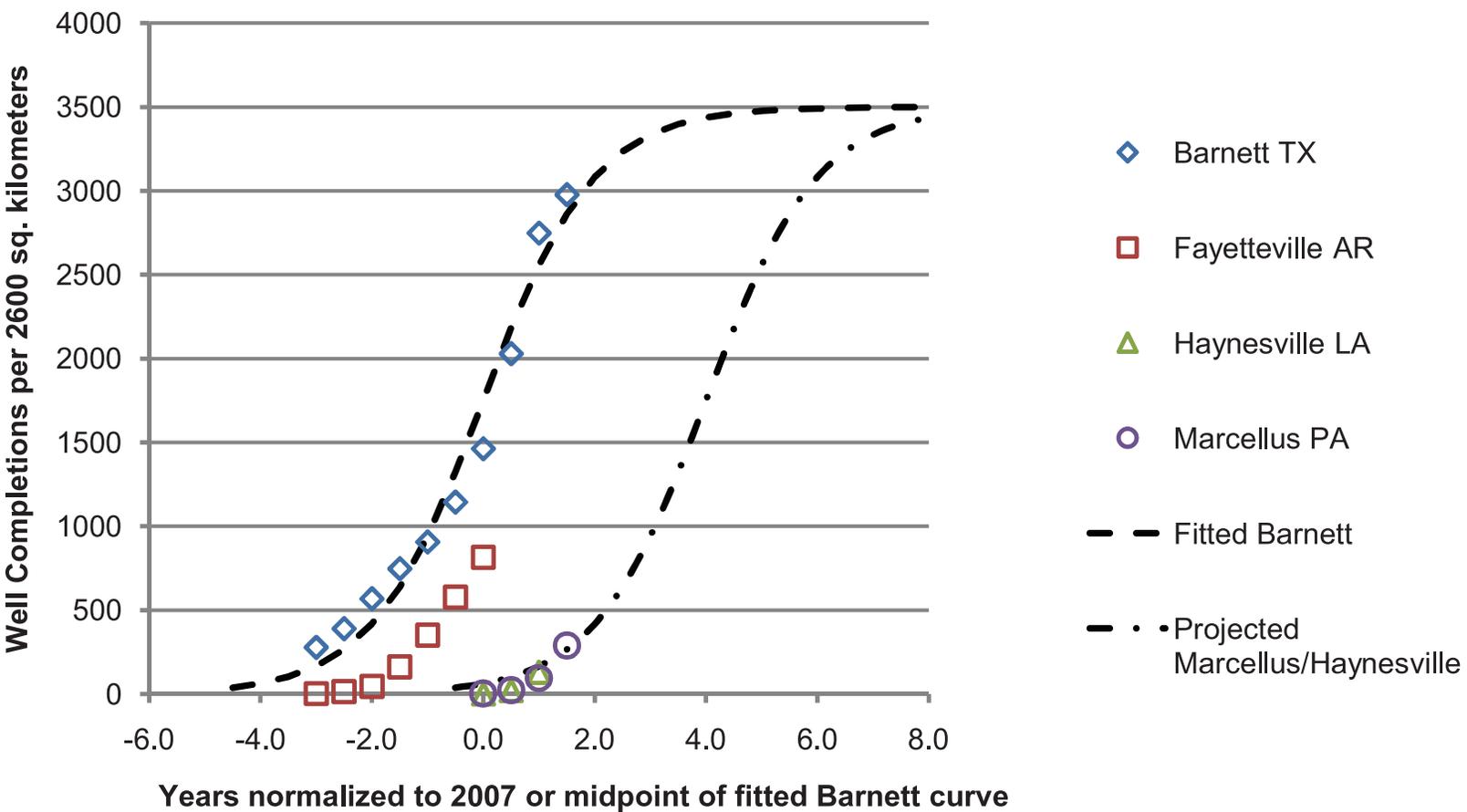


Figure 3  
Click here to download Figure: EatonSTEFig3.eps

