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Vaccine xxx (2006) xxx-xxx



www.elsevier.com/locate/vaccine

Advances in vaccine stability monitoring technology

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Received 11 April 2006; received in revised form 5 May 2006; accepted 5 May 2006

7 Abstract

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Electronic time-temperature indicator (eTTI) monitors can be programmed to exactly follow the stability characteristics of vaccines with a high degree of realism. The monitors have a visual output, enabling vaccine status to be assessed at a glance, and can also output more detailed statistical data. When packaged with vaccine vials in groups of about 10 vials per box, the eTTI can remain with a vaccine throughout most of the vaccine's lifetime. The monitors can detect essentially all cold-chain breaks, and can detect issues, such as inadvertent freezing, that are presently not detected by other vaccine stability monitors such as Vaccine Vial Monitors (VVM).

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14 Keywords: Vaccine; Stability; Cold-chain

1 1. Introduction

Vaccines are designed to present foreign antigens to the
immune system in a way that elicits a strong and lasting
immune response. To do this, vaccines often present the vaccine antigen in a form that is non-covalently bound to vaccine
adjuvants, such as aluminum salts [1,2].

As a result, vaccines are often very temperature sensitive. 7 Higher temperatures can cause denaturation and degradation 8 of the vaccine's protein or carbohydrate-based antigens [3]. 9 Temperatures below freezing can often produce ice crystal 10 formation that may either denature the antigen, or alterna-11 tively alter the structure of the vaccine-adjuvant complex. 12 Thus, to be effective, vaccines must be stored within a rela-13 tively narrow temperature range. 14

Due to the temperature-sensitivity of vaccines, the process of distributing vaccines to patients is complex and somewhat fragile. At the manufacturer and national storage facility level, logistics personnel employ sophisticated tracking and well-regulated refrigeration systems to ensure that the vaccines are properly handled. As the vaccine passes to regional

* Tel.: +1 408 348 1495; fax: +1 408 399 9705. *E-mail address:* szweig@clinisense.com. and local storage depots, however, the chances for prob-21 lems tend to grow because the process may not be as well 22 controlled [4-6]. At the local level, vaccines are usually mon-23 itored by manual methods that require office personnel to 24 make a paper record of the vaccine storage conditions on a 25 daily or twice-daily basis. This use of manual tracking meth-26 ods increases the chance of human error [7]. Compounding 27 the problem is the fact that refrigeration systems at the local 28 level are often not designed or certified for vaccine storage. 29

From a failure modes effects analysis (FMEA) and human 30 factors standpoint [8,9], current vaccine cold-chain practices 31 have a number of problems. These problematic aspects are 32 (1) the engineering challenges of maintaining refrigeration 33 equipment that can meet the narrow vaccine storage temper-34 ature requirements; (2) the fact that thermally deteriorated 35 vaccine is difficult to detect; and (3) the many human factors 36 issues created by the need for manual record keeping and 37 manual records transfer. 38

The first engineering FMEA issue is caused by the ³⁹ limitations of present-day refrigeration technology. This is ⁴⁰ because there is often only a small difference between the ⁴¹ lower end of the proper storage temperature (e.g. $2 \degree C$) and ⁴² the upper end of the improper storage temperature (e.g. 43 $0 \degree C$). This is a challenging goal for refrigeration engineers ⁴⁴ [10]. ⁴⁵

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² doi:10.1016/j.vaccine.2006.05.007

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The narrower the gap is between the lower end of 46 the proper storage temperature and the upper end of the 47 improper storage temperature, the greater the engineering 48 challenges become. Refrigerators are feedback-controlled 49 systems, which by necessity must be allowed some free-50 dom to fluctuate both below and above the desired set 51 point. The smaller the allowed range of fluctuation, the 52 greater the engineering challenges, and the greater the like-53 lihood that a given refrigeration unit will fail to meet these 54 challenges. 55

The second major FMEA problem is detection-in this 56 case detecting thermally damaged vaccines. In the 1990s, the 57 World Health Organization, recognizing the severity of vac-58 cine cold-chain problems, took a first step towards addressing 59 some of these issues by mandating that WHO vaccines incor-60 porate chemical time-temperature indicator labels (Vaccine 61 Vial Monitors, or "VVM") onto vaccine vials. Initially VVM 62 were required for Oral Polio Vaccine (OPV), and later this 63 mandate was extended to all vaccines [11,12]. These VVM 64 indicators, which cost only about seven cents a unit, con-65 tain a chemical that becomes darker in response to heat over 66 time. This indicator is printed as a small square against a 67 larger circular background, which itself has a preprinted ref-68 erence color. By modifying the chemistry, the rate in which 69 the chemical gets darker as a function of time and tempera-70 ture can be adjusted. The color of the preprinted background 71 square can also be increased or decreased. As a result (assum-72 ing that the vaccine has a relatively simple time-temperature 73 deterioration curve), the VVM can be set to approximately 74 track the time-temperature sensitivity curve of materials 75 with simple, Arrhenius decay, time-temperature degradation 76 characteristics. 77

The VVM system was, and is, well suited for OPV and 78 other vaccines that deteriorate with simple Arrhenius kinet-79 ics, and which are tolerant to freezing. However, VVM are 80 not perfect, and are not appropriate for, all situations. One 81 of the biggest weaknesses is the VVM's inability to monitor 82 more complex time-temperature stability situations, such as 83 sensitivity to freezing. This is because the chemically based 84 VVM indicator dye system continues to get darker, even 85 under proper refrigeration, but does not get darker or register 86 a color change if the vaccine is frozen. Thus, VVM can moni-87 tor stability problems caused by high temperatures, but often 88 are less accurate at monitoring stability problems caused by 89 low temperatures [13]. 90

As a result, when VVM are used with freezing sensitive vaccines, there is a disconnect between "looking fresh" and "being fresh". If the vaccine has been accidentally frozen, the VVM will continue to look fine, but the vaccine may be inactive. This leads to the third major FMEA problem, human factors.

From the human factors standpoint, vaccine transport personnel can hardly be expected to be experts in vaccine stability and the fine points of VVM chemistry. They tend to read the VVM labels, assume that the labels are correct, and make the logical conclusion that lower temperatures are better. As a result, in order to make the vaccines look "still fresher", some personnel may be tempted to lower the temperature of their refrigerators closer to freezing. As a result, the refrigerators, which were probably hard-pressed to maintain a 5 °C \pm 3 °C temperature range to begin with, are now asked to maintain 2 °C + 6 °C - 0 °C setting. This, unfortunately, is beyond the control capability of most real-world refrigeration units.

The FMEA motivation behind the VVM concept, improv-109 ing the reliability of the vaccine cold-chain by increasing the 110 ability of workers to promptly detect deteriorated vaccines, 111 was absolutely correct in theory. The real life problems are 112 caused by the fact that the VVM do not accurately track true 113 vaccine time-temperature stability for all vaccines [14]. If 114 improved time-temperature indicators could be developed 115 that more accurately tracked vaccine stability, then the present 116 harmful practice of storing vaccines at low temperatures to 117 avoid triggering chemical VVM could be prevented. This 118 change would allow the original FMEA logic behind the 119 VVM mandate-detect improper vaccine storage conditions, 120 to be finally realized. All types of improper vaccine storage 121 would now be detected. 122

At present, WHO and other vaccine agencies are attempt-123 ing to work around the limitations of current VVM by rec-124 ommending that additional freeze monitoring devices be 125 used [15]. For example, WHO recommends that a Freeze-126 WatchTM indicator be placed in the middle of all cold-boxes 127 during transport of freezing sensitive vaccines, and addition-128 ally that all cold-sensitive vaccines be evaluated for possible 129 freezing damage using the shake-test. This informal use of 130 additional devices and ad-hoc detection schemes has a num-131 ber of problems, however, and does not stand up well to 132 FMEA analysis. These problems are: 133

- 1: Presently available freezing indicators are difficult to 134 customize to match a particular vaccine's cold sensitiv-135 ity. Only two configurations are available, one of which 136 warns at 0° C for 1 h, and one of which warns at -4° C 137 for 1 h. Vaccines have more complex freezing damage 138 time-temperature stability profiles, however. Since the 139 freeze-watch cannot be customized to match the profile 140 of a particular vaccine, the freeze-watch indicators tend 141 to be unrealistic, and may have credibility issues in the 142 field. 143
- 2: Because only a single freeze-watch indicator is used in the center of the box, possible freezing damage to vaccines located on the periphery of the box may go undetected.
- 3: Each freeze-watch indicator monitors only a tiny part of the cold-chain—that is, transport between one cold-chain storage facility to the next.
- 4: Because the freeze-watch indicators are not permanently fixed to the vaccine packaging, the indicators may be overlooked or accidentally discarded when the vaccines are unpacked. As a result, accidental freezing may go undetected.
- 5: The shake-test for accidental vaccine freezing, although better than nothing, is not particularly reliable. A recent 156

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publication suggests that there is a lack of standardiza-157 tion in shake-test procedures. In a recent survey, only 158 37-60% of Indian physicians in a vaccine-handling train-159 ing course could correctly identify frozen vaccines using 160 this method [16]. An earlier article in a Spanish journal 161 also commented on the insensitivity of the shake-test [17]. 162 A 1996 Canadian article, which also studied this issue, 163 concluded: "the 'shake test' is not a satisfactory means to 164 detect freezing-induced damage. The only reliable means 165 to determine if vaccines have been inadvertently frozen 166 and thawed is to place temperature monitoring devices 167 beside them during transport" [18,19]. 168

169 2. Time for a new approach?

Rather than try to work around the defects of present
VVM, would it be possible do utilize some of the many
advances in modern electronics to design a better vaccine
stability monitoring system? If so, what would a better system
tem be like?

An ideal next-generation vaccine time temperature stability monitor might have the following characteristics:

- 177 1: Fit the vaccine's true time–temperature stability curve at all temperature ranges.
- 179 2: Travel with the vaccine from the time of initial packaginguntil final use in the field.
- 181 3: Have a lifetime as long as the vaccine's lifetime.
- 182 4: Allow workers to instantly see vaccine status at a glance.
- 183 5: For deteriorated vaccines, explain why (when and how)184 the deterioration occurred.

As it turns out, this is now possible, and, in fact, is now 185 available on a demonstration basis. Modern electronics has 186 pushed the cost of microprocessors down to a few cents per 187 unit. Similarly, there have been advances in other areas of 188 electronics, such as paper-thin batteries, low-cost radiofre-189 quency (RFID) chips, low-energy visual displays such as 190 liquid crystal displays (LCD), and more recently even flexible 191 printed visual displays. As a result, it is now possible to con-192 struct sophisticated time-temperature indicators (based on 193 modern electronics) that are capable of accurately monitor-194 ing vaccine stability in a cost effective manner [20]. Here the 195 technology behind these modern electronic time-temperature 196 indicators (eTTI) is discussed in detail, and its possible util-197 ity in improving the integrity of the vaccine cold-chain is 198 examined. 199

200 3. The physics of electronic stability monitoring

The issues and procedures for establishing the basic stability properties of a vaccine have been discussed in previous publications [21,22]. For the purposes of this discussion, it is assumed that the time-temperature stability of the vaccines in question has been determined at a number of different temperatures, and that these stability data have been reviewed and are considered to be accurate. 2007

Once a set of accurate vaccine stability data has been estab-208 lished at various temperatures, the steps needed to construct 209 an accurate electronic time-temperature stability monitor can 210 begin. The physics of electronic stability monitoring is based 211 on the concept that a vaccine's time-temperature stability 212 lifetime is a cumulative function. That is, each minute after 213 a vaccine is initially produced, the vaccine slowly deteri-214 orates according to some function of temperature. When 215 the deterioration reaches a point where too much of the 216 vaccine has deteriorated to be immunologically effective 217 (determined by experimental studies), then the vaccine is no 218 longer good. 219

Mathematically, this approach computes vaccine stability as an integral of time versus a function of temperature, that is: 222

Stability =
$$B - \int_0^{\text{Time}} P(\text{temp}) dt$$
 (1) 223

Here P(temp) is a function that describes how quickly the vaccine deteriorates at a given temperature, and the $\int_0^{\text{Time}} dt$ is simply a sum (integral) of all the temperatures that the vaccine has experienced up until the present moment "Time" in its lifetime, starting at time = 0. "*B*" is the number of deterioration "stability points" allowed before the vaccine is no longer considered good. 230

The P(temp) function is based on physical chemistry. 231 Although vaccine labeling typically contains instructions 232 such as "store between 2° C and 8° C, do not freeze", we 233 all realize that storage at 9 °C will not make a big difference 234 in vaccine storage lifetime, but storage at 30 °C probably will. 235 We also realize that for vaccines that tolerate freezing, stor-236 age at temperatures below 0°C will not adversely hurt the 237 lifetime, and even may be beneficial. By contrast, for freeze 238 sensitive vaccines, storage at -5 °C may cause the vaccine 239 to immediately lose its effectiveness. How can an electronic 240 stability monitor be programmed to understand these effects? 241

The key here is to incorporate the experimentally deter-242 mined stability data into the P(temp) equation. For example, 243 for temperatures above zero, the vaccine's temperature sensi-244 tivity can be accurately reproduced by mathematically "con-245 necting the dots" from experimentally determined vaccine 246 stability data obtained at defined temperatures, (e.g. 4-8 °C, 247 $25 \,^{\circ}\text{C}$, $30 \,^{\circ}\text{C}$, $40 \,^{\circ}\text{C}$). This can usually be done using multi-248 ple Arrhenius equations to cover this range in multiple steps, 249 where each equation is of the form: 250

$$k = A e^{(E_a/RT)} \tag{2}$$

Here, multiple versions of Eq. (2) are used to compute the P(temp) function between the experimentally determined data points. (In this Arrhenius equation, "k" is the rate of deterioration, A is an experimentally determined variable, E_a is an experimentally determined variable, R is a constant, and T is the temperature in K.) 257

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Stability: HEPV vs OPV



Fig. 1. A graph showing the relative time-temperature stability of hepatitis B vaccine and Oral Polio Vaccine, based on the 1998 WHO study [24]. The OPV vaccine has a relatively simple exponential decay curve with no major inflection points. By contrast, the hepatitis B vaccine has a phase change at -0.5 °C and becomes unstable below this point. Note the flat plateau between 0 °C and 8 °C, which represents the fact that the stated lifetime of hepatitis B at 2 °C is the same as 8 °C.

Using modern computer spreadsheets, it is a relatively 258 simple matter to determine the proper A and E_a values to 259 make the Arrhenius equation fit the observed experimental 260 data at various time points, such as 8 °C and 25 °C. Once this 26 is done, these same spreadsheets can accurately predict what 262 the likely vaccine stability properties will be at in-between 263 temperatures, such as 9°C or 24°C. Clearly 9°C will be 264 much like 8 °C, 24 °C will be much like 25 °C, and so on. 265

As an example, consider the stability properties of two
vaccines, one of which is sensitive to freezing (hepatitis B),
and one of which is resistant to freezing (Oral Polio Vaccine).
A table summarizing the stability of these two vaccines at
various temperatures, using data from a 1998 WHO study
[23] is shown below.

A figure showing a graph of this stability data is shown inFig. 1.

One drawback of chemical VVM is that VVM attempt to fit the entire vaccine stability range using only a single Arrhenius equation. The results obtained using this VVM type approach with hepatitis B and OPV vaccines is shown in Fig. 2.

As can be seen in Fig. 2, the indicator dye chemistry used 279 in present VVM works well for some vaccines, but not well 280 with other vaccines. VVM match the stability characteristics 281 of vaccines with simple exponential decay curves fairly well. 282 Thus, OPV, which has an exponential-like decay curve, is fit 283 fairly well by VVM. By contrast, hepatitis B vaccine, which 284 has a more complex stability curve, does not work as well 285 with chemical VVM. Although the VVM fit is adequate at 286 higher temperatures, there is a major discrepancy between the 287



Fig. 2. A graph showing how the hepatitis B and OPV stability data can be fit using a simple Arrhenius-type exponential decay model, similar to that used in chemical VVM. As can be seen, although the fit between the OPV vaccine data and the exponential decay model isn't perfect, it is quite good above 0 °C, and generally tracks the OPV vaccine at freezing temperatures. By contrast, although the fit between the hepatitis B data and the exponential decay model is also fairly good above 0 °C, there is a large discrepancy in this fit below 0 °C. The Arrhenius model used in the VVM indicates that the vaccine is good when, in fact, freezing has damaged the vaccine.

stated VVM stability and the real hepatitis B vaccine stability at lower temperatures. As a result, the chemical VVM fails to warn about the instability of hepatitis B vaccine below freezing. 291

The poor ability of VVM to match the stability character-292 istics of freeze-sensitive vaccines creates a number of human 293 factors problems. The OPV VVM reinforces the belief that 294 there is no downside to lower temperatures. Indeed, the OPV 295 VVM teaches that lower temperatures are preferable, because 296 the OPV VVM continues to change color at 2-8 °C. Is it 297 any wonder that cold-chain personnel, when confronted with 298 VVM that fail to warn about freezing damage, tend to acci-299 dentally freeze cold-sensitive vaccines? Thus, an important 300 goal of electronic time-temperature stability indicators is to 301 avoid this problem by exactly reproducing the stability char-302 acteristics of vaccines that have complex time-temperature 303 stability curves. 304

4. Programming eTTIs to match the stability of hepatitis B and OPV vaccine

Using the stability data from Table 1, and filling in the gaps in the data with suitable Arrhenius curves, *P*(temp) functions can be computed for both hepatitis B vaccine and OPV vaccine. These *P*(temp) functions are shown in graphical form in Fig. 3.

These P(temp) functions in turn can then be incorporated312into stability Eq. (1) to produce hepatitis B and OPV stability313Eqs. (3) and (4), shown below.314

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 Table 1

 Experimentally determined vaccine stability data

	Hepatitis B	Oral Polio Vaccine
Lifetime at −20 °C	0 days	2 years
Lifetime at -0.5 °C	0 days	(freeze-thaw tolerant)
Lifetime at 5 °C (2–8 °C)	3 years	6 months
Lifetime at 22–25 °C	30 days	12 days
Lifetime at 37 °C	7 days	1.9 days
Lifetime at 45–50 °C	45 °C, 3 days	50 °C, 3 h

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An example hepatitis B vaccine stability equation is:

³¹⁶ HepB = 157, 608 -
$$\int_0^{\text{Time}} P(\text{temp})_{\text{HepB}}$$
 (3)

317 An example Oral Polio Vaccine stability equation is:

³¹⁸ OPV = 106, 228 -
$$\sum_{0}^{\text{Time}} P(\text{temp})_{\text{OPV}}$$
 (4)

Fig. 4 shows the results of these calculations. As can be 319 seen, the fit between the eTTI stability and the experimen-320 tally determined vaccine stability data is essentially perfect. 321 In actuality, the fit is often even better than the example in 322 the graph, because in this example, the digital signal error 323 of the electronic time-temperature indicator was slightly 324 increased above optimum values in order to make the dif-325 ferences between the vaccine and the eTTI large enough to 326 be visible. 327

In this simplified example, it is assumed that the *P*(temp) value is taken every hour, and freeze-thaw effects are not calculated. In real life, it is preferable to compute the temper-



Fig. 3. A graph showing the stability P(temp) function vs. time for hepatitis B and OPV vaccine. The P(temp) values cover a wide numeric range, and thus this graph shows the log of the P(temp) value in order to allow the entire P(temp) numeric range to be easily visualized. Here, the P(temp) value is computed to fit the vaccine stability data from Table 1, assuming that the stability integration (Eqs. (3) and (4)) is done on an hourly basis. Lower P(temp) values indicate a longer stability lifetime, and higher P(temp) values indicate a shorter stability lifetime. Note that the OPV lifetime increases below 0 °C, while hepatitis B lifetime abruptly drops to zero below 0 °C.



Fig. 4. Electronic time–temperature indicator stability data output. Here the eTTI continually computes the hepatitis B and OPV stability Eqs. (3) and (4) using the P(temp) function from Fig. 3, and tells the user when the values of Eqs. (3) and (4) reach zero. Because the P(temp) value can be customized to exactly fit the experimental stability data, the fit between the eTTI stability output and the real experimental data is excellent for both vaccines.

ature more often, such as every few minutes, and also deduct the effects of freeze-thaw cycles (if any), from the overall stability equation lifetime as well.

To implement this type of function in an electronic 334 time-temperature indicator, a modern low-cost, low-power 335 programmable processor is used. Although many micropro-336 cessors or microcontrollers can be used for this purpose, one 337 particularly good choice is the Texas Instruments MSP430 338 microprocessor/microcontroller series. This microprocessor 339 is available in volume for under 50 cents a unit, can do sophis-340 ticated 16-bit arithmetic calculations. 341

The microprocessor in turn is put into a low-cost case or 342 tag with a temperature measuring thermistor (usually costing 343 a few cents per unit), a low-power liquid crystal display, and a 344 battery. The overall tag is not much larger or more expensive 345 than a digital watch, which is made in the tens or hundreds 346 of millions yearly for only \$1-3 a unit. In addition to visual 347 output, which gives immediate feedback to users in the field, 348 numeric output may also be obtained by incorporating a low-349 cost infrared light emitting diode (LED) or RFID transmitter 350 in the unit [24]. For ultra-low cost applications, a data trans-351 mitting LED can be added for only a few cents per unit, and 352 this data can be downloaded via a special RS232 computer 353 cable equipped with a photodiode detector. This cable can be 354 produced for only a few dollars. All users can immediately 355 read the unit via the visual LCD display. Users using a data 356 cable and a computer may download supplemental tracking 357 and statistical data. 358

At this time, it is difficult to give an exact estimate of what such units would cost at high production volumes (e.g. hundreds of thousands or millions of units). This is because electronics costs vary greatly depending upon the production

eTTI fit to HepB and OPV vaccine stability

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Fig. 5. Schematic drawing showing an electronic time temperature indicator (stability tag) on the right, as well as a drawing showing how this tag is programmed on the left. To use this tag, the vaccine stability parameters are calculated, and then downloaded from a stability programmer computer to the stability tag. These parameters correspond to the P(temp) and "B" values from Eqs. (3) and (4). After the stability tag is initialized, the battery powered microprocessor continually computes the P(temp) value using temperature data from the thermistor, and continually displays if the result of the stability equation (such as Eqs. (3) and (4)) is positive (in which case the vaccine is OK), or negative (in which case the vaccine has expired due to using up its stability lifetime).

volume and the specific design of the device. The demonstration LifeTrack units discussed in this paper, which were
produced in low production volumes (e.g. batches of a few
1000 units), and which did not have a cost-optimized design,
had a production cost of about \$5.00 a unit. It is anticipated
that at high volumes, and with a cost-optimized design, the
costs would be considerably less than this.

A schematic diagram of an eTTI tag is shown in Fig. 5, 370 and a photograph of a LifeTrackTM demonstrator eTTI cir-37 cuit board is shown in Fig. 6. An example of a LifeTrack 372 visual output is shown in Fig. 7. In this example, the eTTI 373 374 has a large \pm display that immediately shows at a glance if the vaccine is still good or not. This unit also has a supple-375 mental bar-graph display that shows what percentage of the 376 vaccine's lifetime is still remaining. 377

The units can be programmed to keep an ongoing record 378 of temperatures experienced by the tag from the time of ini-379 tial vaccine packaging until the time the tag is read, similar 380 to an airplane crash recorder. In the event of vaccine stability 381 failure, the tag preserves a record of the temperatures expe-382 rienced just before the failure, as well as the time elapsed 383 since the failure. This allows users to determine exactly 384 when and how the cold-chain failures occurred (e.g. 40 °C 385 temperatures experienced for 5h, 3 weeks ago), and take 386 appropriate corrective action. Previous studies have shown 387 that this type of immediate feedback can greatly improve the reliability of the cold-chain [26]. An example of this type 389 of "crash analysis" output from a LifeTrack unit is shown 390 in Fig. 8. 391

Even in volume, electronic time–temperature indicators are likely to cost a few dollars each, which will tend to make



Programming port LED

Fig. 6. Electronic circuit board for a LifeTrackTM demonstration vaccine electronic time–temperature stability monitor. The circuit board contains a coin-sized battery, which can power the unit for about 4 years. The board also contains a liquid crystal display which is continuously on, and which allows the status of the vaccine to be instantly read. The board also contains a programming plug (bottom) and an infrared light emitting diode (LED) which enables statistical stability data to be output to an outside computer, at user request, using a low-cost RS232 cable equipped with an infrared detector. RFID output could also be done using an RFID output chip.

them too expensive to incorporate into individual vials. At the same time, the eTTI tags will have the most value if the tags stay with the vaccine from the time of initial manufacture up until the time that the vaccine is used. 397

Individual vaccine vials are usually placed into secondary 398 packaging (boxes) of 10–50 vials per box. These boxes are 399 usually labeled with the same vaccine lot number that is 400 printed on the vaccine vial labels. The vaccine boxes are then 401 usually placed in larger shipping cartons, which can con-402 tain between 10 and 50 boxes of vaccine (a total of 300-500 403 vials per shipping carton). The individual vaccine vials stay 404 with the vaccine boxes through essentially all of the steps in 405 the cold-chain, from manufacturer to final use. As a result, 406 electronic time-temperature indicators securely affixed to 407 lot-coded vaccine boxes would provide essentially all of the 408 benefits of the present VVM system, and would addition-409 ally allow more precise temperature monitoring and detailed 410 analysis of cold-chain failure. This method would reduce the 411 cost of the electronic TTI to about 15-30 cents per vial. This 412 is still more expensive than VVM, which cost about 7 cents 413 a unit, but is within reason. Here, the higher eTTI cost is 414 divided by the number of vaccine vials in the box. A dia-415 gram of this configuration is shown in Fig. 9. To improve 416 accuracy, the thermocouple in the TTI can be mounted fac-417

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Fig. 7. Diagram of the visual output from the LifeTrackTM electronic time-temperature indicator shown in Fig. 6. When the vaccine is fresh, a large "+" is visible, and all four stability lifetime bars are shown. As the vaccine ages and loses shelf life, this progressive loss is shown by the smaller number of stability bars. At 50% of remaining lifetime, only two stability bars are shown. The large "+" at 50% lifetime, however, lets users know that the vaccine is still good. When the stability lifetime is fully exhausted, the display changes to a large "-" sign, letting users know that the vaccine is not good. The remaining lifetime stability bars are optional. These can be omitted, or alternatively replaced with a numeric remaining-stability lifetime display, or other display, as desired.

ing the inside the box, or even in the box interior (on a short 418 probe). 419

In many situations, eTTI and VVM may co-exist. eTTI 420 can be used at the carton level to insure that the vaccines 421 have traveled through the majority of the cold-chain in an 422 intact state. VVM, attached to the individual vials, may then 423 monitor the final stage at the local level, where cartons are 424 opened and the individual vials distributed to local health-425 care workers. 426

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5. Benefits to the vaccine field

eTTI could provide a number of benefits for the vaccine 428 field. The immediate and accurate vaccine stability feedback 429 that the eTTI would provide would allow weak links in the 430 cold-chain to be quickly detected [25]. This could produce 431 a rapid improvement in the worldwide vaccine cold-chain 432 system. These improvements could also result in significant 433 cost reductions due to less wasted vaccine, and could also 434

Thu Apr 6 15:03:06 2006 OPV , ID Code: 12345123451234 Security code: 39577, Checksum: 6127 vs 6127 OK Status: EXPIRED Hours elapsed since LifeTrack start: 3736 Hours run before expiration: 2407, Average temperature: -6.3 +/- 19.9 C Hours run since expiration: 1329, Average temperature: 23.5 +/- 0.9 C Temperature logger (degrees C): Logger frequency: 12.0 hours, total logger time: 1200.0 hours Temperatures recorded before expiration: 2534.0 hr.] -19, -20, -20, -20, -20, -19, -19. -19, -20, -19, [- 2414.0 hr.] -15, -19, -19, -19, -19, -20, -18, -19. -20, -19, [-] 2294.0 hr.] -18, -19, -20, -20, -20, -19, -19, -19, -19, -18, 2174.0 hr.1 -18. -20. -19. -20. -19. -20. -19. 5 23. 23. 2054.0 hr.] 25, 24, 24, 23, 23, 23, 23, 22, 24, 25, [- 1934.0 hr.] 24. 25. 23. 23. 24. 23. 22. 22. 24. 23. [- 1814.0 hr.] 23, 23 24, 23, 22, 22, 23, 23, 23, 24,

21, Logger expired on Fri Feb 10 05:03:06 2006 (local time), 1329 hours ago.

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Fig. 8. Example of the type of statistical stability data that can be downloaded from a LifeTrack vaccine electronic time-temperature indicator. In this example, the LifeTrack unit is incorporated into a box of OPV vaccine. The OPV vaccine has been mishandled, and has expired unexpectedly early. The LifeTrack LCD display shows a "-", letting users know that the vaccine should not be used. When downloaded, the LifeTrack data shows what happened to the vaccine. The date the LifeTrack unit was downloaded, 6 April 2006, is shown at the top. The status of the vaccine is shown as EXPIRED. The lowest line shows that the vaccine expired on Friday, 10 February 2006 at around 5:00 a.m. in the morning. The logger data, with time points every 12 h, shows that the vaccine had been properly frozen until about 2090 h before the logger was downloaded on 6 April 2006. Thus, the vaccine was accidentally left outside the freezer about 87 days before 6 April 2006, which would have been around 9 January 2006.

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Fig. 9. Diagram showing the differences in packaging between a chemical VVM (circles) and an electronic time-temperature indicator. The VVM are attached to the individual vaccine vials. By contrast, the eTTI are attached to the vaccine box. The vaccine box will normally be printed with the same lot number that is printed on the individual vaccine vials. The vaccine vials stay associated with the vaccine box (with the attached eTTI) until shortly before use. As a result, although the eTTI is not attached to the individual vaccine vials, the eTTI remains with the vaccine vials through essentially all of the cold-chain links.

save lives due to a lower rate of inoculation with ineffective 435 vaccines 436

Some simple calculations show that by intelligent use, 437 eTTI should be very cost effective. As previously discussed, 438 costs can be substantially reduced by using the eTTI on a 439 per-box basis. Additionally, not every vaccine box need be 440 labeled. Costs can be reduced further by only labeling a cer-441 tain percentage of the boxes, and using these labeled boxes 442 as cold-chain "tracer" units. 443

The technology to implement such eTTI units is here 444 today [26]. Demonstration quantities of LifeTrack units are 445 currently available. These can be used by outside organi-446 zations to evaluate the concept, and to generate sufficient 447 experimental data to determine if larger scale implementa-448 tion is warranted. CliniSense welcomes such interest, and 449 will cooperate with such studies. However, implementing this 450 approach is more complicated than just attaching some elec-451 tronic tags to some vaccine boxes. This effort will require 452 coordination between national and international healthcare 453 organizations, regulatory agencies, vaccine companies, and 454 electronics manufacturers. 455

Although electronics vendors are quite willing to produce 456 this type of electronic tag in high volumes, they will not make 457 the necessary investment in production capability unless they 458 are assured large orders from either national health agencies 459 or vaccine companies. 460

Vaccine companies are in a good position to drive this 461 change, and will likely do so if they see that there is enough 462 demand from customers (usually national or international 463 healthcare agencies) to warrant the expense and effort. 464

National or international healthcare agencies, which rep-465 resent the ultimate vaccine customers, can play a very impor-466 tant role here. Here, cost effectiveness calculations will 467 be important. ETTI will likely lead to vaccine cold-chain 468 improvements, resulting in less wasted vaccine, reduced 469 healthcare expenses and reduced mortality (from ineffective 470 vaccinations). These agencies should ideally build these pro-471 jections into various mathematical models, and compute if 472 the calculated eTTI outcomes compare favorably with other 473 alternative uses of scarce health-care funding. 474

One way to proceed may be through demonstration 475 projects for the most problematic vaccines, such as DPT 476 and hepatitis B. eTTI might then be gradually introduced 477 as tracers randomly put on vaccine boxes, to flush out cold-478 chain issues. The data obtained from these initial studies can 479 then be submitted to regulatory agencies to gain appropriate 480 approvals and certification. As this approach gains accep-481 tance, a larger number of vaccines would eventually follow. 482

6. Conclusion

In conclusion, although electronic time-temperature sta-484 bility monitors represent a break from classic vaccine packag-485 ing technology, this approach offers a number of compelling 486 benefits to the vaccine field. Modern electronics offers a 487 way to substantially improve vaccine distribution, and allow 488 healthcare workers worldwide to be confident in the effec-489 tiveness of the vaccines they are administering. 490

Acknowledgements

Conflicts of Interest: Dr. Zweig is the CEO of CliniSense 492 Corporation, a company that produces and licenses LifeTrack 493 programmable time-temperature indicator technology. 494

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