



1 **Combining several indicators to assess the effectiveness of tailor-made health**
2 **plans in pig farms**

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12

13 **Abstract**

14 A tailor-made health plan is a set of recommendations for a farmer to achieve and maintain a
15 high health and welfare status. Tailored to each farm, it is intended to be an effective way of
16 triggering change. This study aimed to assess the effectiveness of tailor-made health plans in
17 pig farms, designed in various situations after a systematic biosecurity and herd health audit.
18 An intervention study was carried out in 20 farrow-to-finish pig farms. An initial standardized
19 audit and discussion between the farm veterinarian and the farmer resulted in a specific plan.
20 Compliance with recommendations was monitored during 8 months. Changes in health,
21 performances and antimicrobial use were monitored. We defined two categories of plans: i) 14
22 plans targeting a given health disorder present in a farm; ii) 17 plans to improve prevention, not
23 targeting a specific health disorder (one farm could have both types of plans). A small number
24 of priority recommendations were made per farm. In 18 farms, farmers implemented 1 to 4
25 recommendations (none in 2 farms). Of the 17 non-disorder-specific plans, 11 were considered
26 effective (>50% recommendations implemented), 3 intermediate (at least one but less than half
27 of the recommendations implemented) and 3 ineffective (no implementation). Of the 14
28 disorder-specific plans, 9 were followed with full or good compliance (>50% recommendations
29 implemented), 2 with intermediate compliance (1 recommendation implemented out of 2) and
30 3 with no compliance (no recommendation implemented). When at least one recommendation
31 was implemented, change in clinical, performance and antimicrobial use indicators was
32 assessed if a biological association with the disorder was deemed plausible and if their initial
33 value showed room for improvement. Improvement was evidenced 4/9, 1/6 and 1/6 times for
34 these indicators, respectively. Independently, veterinarians concluded that 8/14 plans were
35 effective. Overall, tailor-made health plans were effective in triggering changes in farm
36 management. Three key points were identified for future assessments of the effectiveness of
37 tailor-made health plans. Compliance should be the first indicator of assessment. Outcome
38 indicators and their monitoring periods should be adapted to each farm and to the targeted health
39 disorder. Indicators should be combined to have a holistic description of the evolution of a
40 health disorder. Further research is needed to identify how to select indicators to combine and
41 how to combine them, according to health disorders.

42

43 **Keywords:** health plan, tailor-made, pig farms, effectiveness, assessment, indicators

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45

46 **Introduction**

47 Achieving and maintaining a high pig health status is essential for pig farm
48 sustainability. Keeping healthy pigs in farms can avoid major economic losses at a farm level
49 but also for the pig industry thanks to improved performances, reduced mortality and treatment
50 costs (Maes et al., 2018; Nathues et al., 2017). For instance, Porcine Reproductive and
51 Respiratory Syndrome virus (PPRSv) cost for the pig industry in the US was estimated at \$664
52 million annually (Holtkamp et al., 2013). Infectious diseases are very frequent in pig farms and
53 their prevention and cure contribute to animal welfare (Fraser et al., 1997; OIE, 2021) and
54 public health (Lun et al., 2007). Moreover, reducing the risk of infectious diseases is a concern
55 for European consumers (Clark et al., 2019).

56 In pig farms, vaccination and biosecurity are the two main tools to prevent infectious
57 diseases. Biosecurity is the application of measures aiming to reduce the risk of introduction
58 and spread of pathogens (Alarcón et al., 2021). Biosecurity is a topic frequently discussed with
59 farmers, with increased concern since the risk of African swine fever spread in Europe (Dixon
60 et al., 2019). The prevention of the introduction and the spread of pathogens in farms refer to
61 external and internal biosecurity, respectively. Biosecurity measures refer to segregation,
62 hygiene, or management procedures excluding medically effective feed additives and
63 preventive/curative treatment of animals (Huber et al., 2022). Biosecurity audits can be
64 performed considering all the possible biosecurity measures or only the ones related to a
65 specific disease (Silva et al., 2018). Biosecurity audits may lead to the formulation of
66 recommendations by veterinarians targeting the biosecurity measures that are considered
67 essential for the farm but were not implemented.

68 Recommendations of veterinarians aim at improving a health status or at preventing its
69 potential deterioration. However, no health improvement can be expected if farmers do not
70 comply with formulated recommendations. Farmers may – or may not - comply with
71 recommendations according to the cost of the measures (Alarcon et al., 2014), the amount of
72 work required (Garforth et al., 2013), the risk perception they have (Simon-Grifé et al., 2013)
73 or their personality traits (Delpont et al., 2021; Racicot et al., 2012). Furthermore, farmers are
74 more likely to comply with recommendations when they perceive their benefits (Garforth et al.,
75 2013; Renault et al., 2021; Valeeva et al., 2011). Veterinarians thus face the challenges to
76 formulate recommendations that are perceived relevant by farmers and to communicate them
77 effectively.

78 Tailor-made health and welfare plans include farm-specific recommendations adapted
79 to the farm context and are more likely to meet farmers' objectives (Bard et al., 2019; Blanco-
80 Penedo et al., 2019; Garforth, 2015; Kristensen and Jakobsen, 2011; Lam et al., 2011). They
81 are formulated by herd veterinarians after analysing the specific farm context (*i.e.* health
82 situation, risks, performances and socio-economic situation). In dairy cow studies, tailor-made
83 health plans are aimed at improving different health conditions that could differ between farms
84 (*e.g.* udder health, reproduction or locomotor disorders) (Duval et al., 2018; Ivemeyer et al.,
85 2012; Sjöström et al., 2019; Svensson et al., 2019; Tremetsberger et al., 2015). In pig and
86 poultry studies, most tailor-made health plans are aimed primarily at reducing antimicrobial
87 use, without jeopardizing health, technical or economic performances (Collineau et al., 2017;
88 Postma et al., 2017; Raasch et al., 2020; Rojo-Gimeno et al., 2016; Roskam et al., 2019). The
89 assessment of the effectiveness of health plans is necessary to provide feedback on their benefits
90 to farmers and herd veterinarians. However, neither a clear definition of the effectiveness of a
91 health plan nor a reference method to assess it have been proposed so far.

92 In order to assess the effectiveness of a tailor-made health plan, Tremetsberger and
93 Winckler (2015) proposed to consider “the degree of implementation [...] as a measure of
94 success” and to monitor indicators related to health evolutions. A tailor-made health plan
95 mainly aims to improve herd health, and other parameters may evolve jointly (*e.g.* drug use,
96 productivity). In on-farm pig studies, the effectiveness was assessed considering the decrease
97 of antimicrobial use combined with an absence of deterioration of i) disease incidence, ii) net
98 farm profit per sow per year or iii) technical performances (Collineau et al., 2017; Postma et
99 al., 2017; Raasch et al., 2020). No study combined all these types of indicators. A holistic
100 description of the effectiveness of tailor-made health plans thus requires to combine several
101 complementary indicators.

102 This study aimed at assessing the effectiveness of tailor-made health plans in pig farms,
103 designed in a variety of situations after a systematic audit on biosecurity and herd health. In an
104 intervention study, tailor-made health plans were developed and compliance with
105 recommendations, health, technical performances and antimicrobial use were monitored. We
106 here assumed that a combination of compliance assessment and of several indicators at farm
107 scale can be appropriate to assess the effectiveness of farm specific health plans. Since there is
108 no reference method to assess effectiveness, seven methods were used and compared to identify
109 key points for developing future assessments in farms.

110

111 **Material and Methods**

112 **Intervention study design**

113 An intervention study was conducted in 20 farrow-to-finish French pig farms with the
114 aim to assess the effectiveness of Tailor-Made Health Plans (TMHP). Figure 1 provides a
115 synthetic overview of the study design. The intervention in each farm was based on the
116 collection of a set of data during an initial farm visit, leading to the formulation of
117 recommendations by veterinarians at the end of the visit. Collected data were: i) results of a
118 systematic biosecurity audit, ii) description of management practices not related to biosecurity
119 (including other measures promoting health than biosecurity, feeding, housing and
120 reproduction), iii) observed clinical signs at every physiological stage, iv) past records of health
121 disorders, v) antimicrobial purchases during the previous year and vi) records of technical
122 performances during the previous year. A TMHP was a set of tailor-made recommendations
123 formulated by the veterinarian, for the farm aiming at improving pig health. Three visits were
124 included in a prospective longitudinal study to initiate and follow-up the TMHP: i) visit 1 was
125 performed to describe the initial farm context by collecting data then to formulate
126 recommendations, ii) visit 2 was performed to assess compliance with recommendations
127 formulated at visit 1, iii) visit 3 was performed to collect the same data as at the visit 1 and carry
128 out an update on compliance. After the visit 3, the opinion of the farm's veterinarian was asked
129 with regard to the evolution of the health situation in the farm. Standardized indicators were
130 calculated for health, technical performances and antimicrobial use. Indicators were estimated
131 at visits 1 and 3 to assess possible evolutions. The effectiveness of TMHP was assessed after
132 visit 3 with seven methods relying on compliance with recommendations, evolutions of
133 indicators and veterinarians' opinion. Visit 2 and 3 occurred around four and eight months after
134 visit 1 respectively. Farms were visited between December 2020 and December 2021.

135

136 **Farm recruitment**

137 Twenty farrow-to-finish pig farms were recruited in western France. Veterinarians from
138 10 different practices were asked to recruit farms in which the formulation of a TMHP was
139 deemed useful to improve biosecurity or animal health. A total of 14 veterinarians selected 20
140 farms (six veterinarians selected two farms). Two farms were organic and 18 were conventional.
141 Seven farms out the 18 conventional farms had other specifications: i) four farms were Label
142 Rouge (République Française, 2017), ii) two farms were antibiotic-free from birth and iii) one

143 farm was antibiotic free from 42 days of age. The 20 farms were related to 10 different
144 cooperatives.

145

146 **Biosecurity audit**

147 A biosecurity audit was conceived for the HealthyLivestock project and was named
148 BiosEcurity risk Assessment Tool (BEAT; see Appendix). The objective of the BEAT was to
149 describe systematically implemented *vs* non-implemented biosecurity measures, and to identify
150 the ones needing improvement and considered critical by the veterinarian for a given farm. The
151 BEAT was conceived considering three farm zones (FAO): i) public: outside the professional
152 zone, ii) professional: zone dedicated to the movement of authorized persons and vehicles and
153 the storage or transit of incoming and outgoing products, iii) herd: livestock zone with housing
154 facilities. Transitions between zones were also considered: transition 1, from the public zone to
155 the professional zone and transition 2, from the professional zone to the herd zone. A total of
156 97 biosecurity measures were assessed and distributed in the five zones: public (n=12),
157 transition 1 (n=24), professional (n=12), transition 2 (n=19) and herd (n=30). Internal and
158 external biosecurity were assessed considering introduction and circulation of pathogens
159 through i) neighbourhood activities, ii) external vehicles, iii) rendering management, iv)
160 visitors, v) staff, vi) farm animals, vii) wildlife, viii) feeding, ix) unnecessary access, x) manure
161 management, xi) cleaning-disinfection, xii) purchases and xiii) shared equipment. In a few
162 farms, some biosecurity measures were not relevant in their given context and were thus not
163 assessed (for instance quarantine for farms with self-replacement of gilts).

164 Each initial audit was systematically performed through i) a face-to-face interview with
165 the farmer, the farm veterinarian and the first author, and ii) a farm inspection (visit 1). The
166 audit was repeated at visit 3 by the first author through a face-to-face interview with the farmer
167 and a farm inspection. Results of the audits were recorded in an Excel template (available from
168 the authors upon request). A biosecurity measure was scored 1 when implemented and 0
169 otherwise.

170

171 **Monitoring of indicators**

172 Indicators were recorded or calculated to summarize clinical observations, technical
173 performances and antimicrobial use before and after the intervention (Table 1). The monitored

174 period depended on the indicator considered. Clinical indicators were calculated at visits 1 and
175 3 whereas technical performance and antimicrobial use indicators were cumulative over a
176 period of one year (see below).

177 *Clinical observation*

178 Clinical indicators were designed before the visits and based on i) their ability to
179 measure an improvement in biosecurity and ii) their specific association with infectious diseases
180 likely to be present in pig farms in the study area. Respiratory and digestive disorders were
181 systematically investigated at visit 1 and visit 3. Cough and sneeze counts were used to assess
182 respiratory disorders. Faeces scoring was used to assess digestive disorders. Different
183 physiological stages were observed (*i.e.* a total of six stages: i) gestating sows, ii) suckling
184 piglets, iii) the youngest batch of weaned piglets, iv) the oldest batch of weaned piglets before
185 entering the fattening unit, v) the youngest batch of fattening pigs and vi) the oldest batch of
186 fattening pigs before being sent to the slaughterhouse).

187 *Technical performances*

188 Technical performance data were collected from farm records. Data were collected for
189 i) the year preceding the intervention and ii) the on-going year period. The average daily gain
190 (ADG) and the feed conversion ratio (FCR) in the wean-to-finish period, the mortality rate in
191 post-weaning and fattening units, and the number of piglets weaned/sow/year (PWSY) were
192 selected to cover the whole production cycle.

193 *Antimicrobial use*

194 Antimicrobial use was assessed with Defined Daily Dose for animals (DDDvet;
195 European Medicines Agency, 2015). DDDvet were calculated from antimicrobial purchase data
196 of the farm. DDDvet were calculated for sows, suckling piglets, weaners and fatteners for the
197 year preceding the intervention and for the on-going year.

198

199 **Collection of health documents**

200 Past records of health disorders and vaccination protocols were collected from the
201 veterinarians before the visit 1. Veterinarian reports, performed at least once a year per farm,
202 were systematically collected for the year preceding the intervention. Reports of laboratory
203 analyses or of lesions observed at the slaughterhouse were collected when available.

204

205 **Formulation of Tailor-Made Health Plan**

206 A Tailor-Made Health Plan (TMHP) was defined as a set of tailor-made
207 recommendations at farm scale made by the farm veterinarian. Recommendations could be
208 biosecurity measures that were not implemented by the farmer and prioritized by veterinarians
209 considering the farm context (Levallois et al., 2022). Other recommendations than biosecurity
210 measures could be formulated considering the farm context and in particular the presence of
211 health disorders. Recommendations were recorded systematically by the first author.

212 We defined two distinct types of TMHP with: i) measures recommended to improve one
213 specific targeted health disorder present in the farm (thereafter named TMHP_{disorder}) or ii)
214 measures recommended to prevent pathogen introduction or circulation not targeting a specific
215 disorder (thereafter named TMHP_{prev}). In the perspective of the assessment, we considered that
216 only one single health disorder was targeted per TMHP_{disorder}. If several distinct health disorders
217 were targeted in one farm, several TMHP_{disorder} were distinguished. Therefore, for a given farm,
218 veterinarians could either formulate i) one TMHP_{disorder}, ii) several TMHP_{disorder}, iii) one
219 TMHP_{prev}, iv) one TMHP_{disorder} and one TMHP_{prev} or v) several TMHP_{disorder} and one TMHP_{prev}.

220

221 **Assessment of compliance with recommendations**

222 Compliance with recommendations was assessed by the first author through face-to-
223 face interviews with farmers at the visit 2, that occurred around four months after visit 1. TMHP
224 recommendations were reminded to farmers. Then, farmers were asked if each recommendation
225 had been implemented or not. If not, a reason to explain the absence of compliance was
226 systematically asked to farmers and recorded in writing. An update on compliance was carried
227 out at the visit 3 with the same method, around eight months after visit 1. Observations by farm
228 inspection were performed during farm visits 2 and 3 to double check the compliance
229 assessment when it was possible.

230

231 **Categorisation and evolution of indicators**

232 We considered that indicators could improve only if there was room for improvement
233 at visit 1. Cut-off values were defined to determine the presence of room for improvement for

234 each indicator (Table 2). Cut-off values for clinical indicators were defined by considering i)
235 the distributions of observed values in all physiological stages and ii) past records of respiratory
236 and digestive disorders in farms. These cut-off values led to three categories of severity: i) mild,
237 ii) moderate and iii) severe (Table 1). Categories were defined considering ranges of clinical
238 observations. For instance, a number of coughs (or sneezes) / 2 minutes / 100 animals < 1 was
239 observed in all farms where no respiratory disorders were reported and > 5 in all farms where
240 important respiratory disorders were reported.. An absence of faeces scores 2 and 3 was
241 observed in all farms where no digestive disorder was reported (cumulated percentage of 0%)..
242 As regards technical performances, cut-off values were defined with reference values from the
243 collected records (average performances of a company). For antimicrobial use, no reference
244 value was available for any physiological stage: cut-off values were determined by the first
245 quartile of the data distribution (presented in appendix, Figure A1).

246 There was room for improvement for:

- 247 • Clinical situation: when indicators (cough or sneeze counts, faeces scores) were
248 classified in categories moderate or severe at visit 1.
- 249 • Technical performances: could always be improved whatever the initial situation.
- 250 • Antimicrobial use: when farm DDDvet > 0 mg/day/kg/1000 animals.

251 Criteria of evolutions for indicators are defined in Table 2.

- 252 • Clinical situation: improved or deteriorated at visit 3 if indicators were classified in a
253 lower or a higher category than at visit 1, respectively.
- 254 • Technical performances: improved or deteriorated at visit 3 if the value of their
255 indicators at visit 1 increased or decreased (ADG, PWSY) and decreased or increased
256 (FCR, mortality) by 2%, respectively.
- 257 • Antimicrobial use: improved or deteriorated if the DDDvet decreased or increased by
258 10% between the two monitored periods..

259 For all types of indicators, a *statu quo* was defined when there was neither an improvement nor
260 a deterioration.

261

262

263

264 **Veterinarian's opinion on the evolution of health disorders**

265 Veterinarians' opinions on the evolution of health disorders were recorded after the visit
266 3, independently of the visit. They were orally asked by phone or face-to-face. Veterinarians
267 were asked if there was a health disorder improvement, *statu quo* or deterioration according to
268 their routine health monitoring of the farm through the period since visit 1. All their opinions
269 were recorded in writing. Our results of the assessment of compliance and indicators were not
270 shared with veterinarians at this time of the study.

271

272 **Assessment of effectiveness of Tailor-Made Health Plans**

273 In the absence of a reference method to assess the effectiveness of a TMHP, we proposed
274 to use seven methods to identify their advantages and limitations. Figure 2 provides a
275 description of the seven methods used. In this study, effectiveness is the observation of the
276 expected effects of a TMHP that were: i) the improvement of a targeted health disorder and its
277 consequences after compliance with recommendations (for a TMHP_{disorder}) or ii) the
278 implementation of measures to prevent pathogen introduction or circulation (for a TMHP_{prev}).

279 On the one hand, the assessment of effectiveness for a TMHP_{disorder} was based on six
280 methods:

- 281 A) Veterinarians' opinion
- 282 B) A combination of the compliance assessment and the evolutions of clinical observations
283 (thereafter named clinical observation method)
- 284 C) A combination of the compliance assessment and the evolutions of technical
285 performances (thereafter named technical performance method)
- 286 D) A combination of the compliance assessment and the evolutions of antimicrobial use
287 (thereafter named antimicrobial use method)
- 288 E) A combination of the compliance assessment and the evolutions of all selected
289 indicators (clinical observations, technical performances and antimicrobial use;
290 thereafter named the all-indicator method)
- 291 F) A combination of the compliance assessment and the evolutions of available indicators
292 (allowing assessment despite missing data; thereafter named the available-indicator
293 method)

294 To be used, a method had to be feasible (available data) and biologically relevant for
295 the given TMHP. Indicators could be not assessed in two situations. Firstly, an indicator could
296 be unavailable in a farm: no monitoring of technical performances, no records on antimicrobial
297 use and no animals in a given physiological stage at the time of the visit. Secondly, there could
298 be no room for improvement according to the baseline value of the initial visit (as defined in
299 Table 2). When one of these two particular cases occurred for clinical observation or technical
300 performance or antimicrobial use method, no assessment was performed and consequently, no
301 assessment was performed for the all-indicator method since data were missing. On the
302 contrary, the available-indicator method could still be performed when at least one of the
303 indicators was available. An indicator was considered biologically relevant for a given TMHP,
304 when it was possible to assume that its evolution was associated with the evolution of the
305 targeted health disorder. DDD_{vet} was considered relevant when antimicrobials were used to cure
306 the health disorder of interest before the intervention. Indicators used to assess effectiveness
307 could thus differ between $TMHP_{disorder}$.

308 On the other hand, the assessment of effectiveness for a $TMHP_{prev}$ was only based on
309 the compliance assessment (method G). Indeed, according to the nature of recommendations
310 (mainly targeting external biosecurity, see below), no direct effect on the available indicators
311 could be assumed in the time frame of the study.

312 Whatever the method, three ranked levels of TMHP effectiveness were possible (*i.e.* i)
313 effective, ii) intermediate or *statu quo*, iii) ineffective) and were scored 2, 1 and 0 respectively:

- 314 • $TMHP_{disorder}$ effectiveness based on veterinarians' opinions (method A):
 - 315 ○ Effective (score 2): improvement of the health disorder
 - 316 ○ *Statu quo* (score 1): no evolution of the health disorder
 - 317 ○ Ineffective (score 0): deterioration of the health disorder
- 318
- 319 • $TMHP_{disorder}$ effectiveness based on a combination of compliance assessment and the
320 evolution of indicators, with each type of indicators considered separately (*i.e.* clinical
321 observations or technical performances or antimicrobial use for methods B, C, D,
322 respectively):
 - 323 ○ Effective (score 2): at least one recommendation was implemented, and at least
324 one indicator improved and the other indicators did not deteriorate

- 325 ○ Intermediate (score 1): at least one recommendation was implemented and
326 indicators neither improved nor deteriorated
- 327 ○ Ineffective (score 0):
- 328 ▪ no recommendation was implemented since we considered that
329 recommendations “can only effectively improve health and welfare if
330 they are actually implemented on-farm” (Tremetsberger and Winckler,
331 2015), or
- 332 ▪ at least one recommendation was implemented but at least one indicator
333 deteriorated (whatever the evolutions of other indicators)
- 334
- 335 • TMHP_{disorder} effectiveness based on a combination of compliance assessment and the
336 evolution of all selected or available indicators (methods E and F):
- 337 ○ Method E: this method could be performed only if all selected indicators were
338 available. The method for assessing effectiveness was the same as for methods
339 B, C, D but all types of selected indicators were combined.
- 340 ○ Method F: this method combined all available indicators in a given farm.
341 Method F could therefore be performed despite missing data among selected
342 indicators. Moreover, this method was less limitative to assess effectiveness:
- 343 ▪ Effective (score 2): at least one recommendation was implemented and
344 at least one indicator improved, no matter the evolution of other available
345 indicators
- 346 ▪ Intermediate (score 1): at least one recommendation was implemented
347 and at least one indicator neither improved nor deteriorated (and no
348 indicator improved; no matter if other available indicators deteriorated)
- 349 ▪ Ineffective (score 0):
- 350 ▪ no recommendation was implemented, or
- 351 ▪ at least one recommendation was implemented but all available
352 indicators deteriorated
- 353
- 354 • TMHP_{prev} effectiveness (method G):
- 355 ○ Effective (score 2): half or more than half of the recommendations were
356 implemented
- 357 ○ Intermediate (score 1): at least one but less than half of the recommendations
358 were implemented

359 ○ Ineffective (score 0): no recommendation was implemented

360

361 **Data analyses**

362 Regarding the results of biosecurity audits, the percentage of implemented biosecurity
363 measures was calculated in each zone.

364 Results of the different methods to score effectiveness of the TMHP_{disorder} were
365 compared by visual inspection. The possible use of each method, the scores, and the
366 concordance or discrepancies between methods were displayed.

367

368 **Results**

369 **Farm characteristics**

370 Farm size ranged from 70 to 800 sows with an average number of 244 sows. The batch
371 management ranged between a 1-week system (a batch farrowing every week) and a 7-week
372 system (7-week interval between farrowing of two consecutive batches). All farms were
373 included in the follow-up (visits 2 and 3). One farmer in charge of the animals was replaced by
374 another one during the study period.

375

376 **Initial situation**

377 *Biosecurity*

378 At visit 1, percentages of implemented biosecurity measures according to the five farm
379 zones were: 44.5 ± 12.2% (public), 56.6 ± 10.0% (transition public-professional), 60.3 ± 10.9%
380 (professional), 58.6 ± 14.9% (transition professional-herd), 72.4 ± 10.2% (herd) (Figure 3). On
381 average, 34.9 ± 7.2 biosecurity measures (*i.e.* 38.3 ± 7.9%) were not implemented at visit 1
382 when all zones were considered.

383 *Recommendations*

384 The number of recommendations per farm ranged from 1 to 6 with a total of 69
385 recommendations. On average, 3.5 ± 1.7 recommendations were formulated per farm. A total
386 of 40 recommendations were related to biosecurity and 29 recommendations were related to

387 antimicrobial use, environmental enrichment, feeding, housing facilities, laboratory analyses,
388 management practices or vaccines. An overview of these recommendations grouped by
389 categories is provided in Table 3. The most frequent biosecurity recommendations concerned
390 the public-professional transition zone (n=19). These biosecurity recommendations mainly
391 targeted at implementing measures related to hygiene lock (n=9) and at fencing professional
392 zone (n=9). Recommendations not related to biosecurity mainly focused on implementing a
393 new vaccination scheme (n=10), or on advising laboratory analyses (n=6).

394 *Tailor-Made Health Plans*

395 The number of recommendations per type of tailor-made health plans (TMHP) ranged
396 from 1 to 4 for TMHP_{disorder} (targeting a health disorder to improve) and from 1 to 5 for
397 TMHP_{prev} (targeting preventive measures to implement). Table 4 provides a description of the
398 type of TMHP per farm and the number of formulated and implemented recommendations.
399 Fourteen TMHP_{disorder} and seventeen TMHP_{prev} were formulated. One farm had two
400 TMHP_{disorder} and ten farms had both types of TMHP (one TMHP_{disorder} and one TMHP_{prev}). The
401 mean number of recommendations was higher in farms that had both TMHP_{prev} and
402 TMHP_{disorder} (4.4 ± 0.9 recommendations) than for farms that had only one TMHP_{prev} or one
403 TMHP_{disorder} (respectively 2.7 ± 0.9 and 1.7 ± 0.9 recommendations).

404

405 **After intervention**

406 *Changes in biosecurity*

407 The evolutions of the percentage of implemented biosecurity measures are presented in
408 Figure 3. Major improvements in biosecurity observed at the visit 3 concerned the public-
409 professional transition zone (with on average 1.3 additional measures implemented after
410 intervention). The most frequent implemented biosecurity measures were the perimeter fences
411 around the professional zone (4 farms) or hygiene locks (4 farms).

412 All the implemented measures at the visit 1 were still implemented at the visit 3 in 16
413 out of the 20 farms. For four farms, there was a decrease in the number of implemented
414 biosecurity measures at visit 3: in three farms one or two measures were temporarily suspended
415 and in one farm nine measures were not implemented anymore. For this latter farm, the farmer
416 at visit 3 was not the one in charge of the animals at visit 1.

417

418 *Compliance*

419 The number of recommendations formulated, implemented or planned to be
420 implemented in the future at visit 2 is provided for each farm in Figure 4. The number of
421 implemented recommendations at visit 2 ranged from 0 to 4 per farm. At least one
422 recommendation was implemented in 18 farms out of 20. Six farmers implemented one
423 recommendation, whereas 12 farmers implemented two or more recommendations. Overall, the
424 total number of implemented recommendations per zone and per category is described in Table
425 3.

426 Table 4 shows for each type of TMHP the numbers of implemented recommendations
427 per farm (mean \pm standard deviation) as well as the compliance percentage (percent of
428 implemented recommendations out of formulated recommendations). The compliance was
429 higher in farms concerned by only TMHP_{disorder} ($88.9 \pm 19.2\%$) than in farms concerned by i)
430 both TMHP_{disorder} and TMHP_{prev} ($58.7 \pm 25.8\%$) or ii) only TMHP_{prev} ($51.4 \pm 36.9\%$). There
431 was no compliance with any recommendations for three TMHP_{disorder}, a compliance with half
432 or more than half of the recommendations (but not all) for five TMHP_{disorder} and a compliance
433 for all the recommendations for six TMHP_{disorder}.

434 For TMHP_{prev}, unwillingness and lack of time were the most frequent reasons to explain
435 an incomplete compliance (Table 5). For TMHP_{disorder}, feasibility and lack of time were the
436 most frequent reasons to explain an incomplete compliance. Some of the recommendations
437 were planned to be implemented in the future but were not implemented at visit 2 and 3. They
438 were all preventive measures. Despite farmers' willingness, lack of time (for 6
439 recommendations in 5 plans) or lack of money (for 2 recommendations in 2 plans) prevented
440 them for implementing measures at visit 3.

441

442 **Evolutions of indicators between visits 1 and 3**

443 *Clinical observations considering health disorder to improve*

444 Five farms were concerned by respiratory disorders targeted to be improved. Among
445 them, at least one respiratory indicators (cough and sneeze counts) improved in four farms; both
446 indicators neither improved nor deteriorated (*i.e. statu quo*) in one farm.

447 Seven farms were concerned by digestive disorders targeted to be improved. Digestive
448 indicators (faeces scores) improved in two farms and deteriorated in one farm. The cumulated

449 percentage of faeces scores 2 and 3 at visit 1 was 0% in three farms: there was no room for
450 improvement in these farms (despite the health plan formulated by the veterinarians targeted a
451 digestive disorder). Faeces score could not be assessed in one farm since piglets were not yet
452 born at the time of the visit.

453 Two farms were concerned by health disorders that could not be assessed with the
454 clinical observations selected when the protocol was designed. One farm was concerned by tail-
455 biting in fattening units and one farm was concerned by neurological and locomotion disorders
456 related to *Streptococcus suis*.

457 *Technical performances in farms where the plan targeted a health disorder to improve*

458 ADG improved in two farms and deteriorated in three farms. FCR improved in two
459 farms, did neither improve nor deteriorate in one farm and deteriorated in two farms. Evolutions
460 of ADG and FCR would have been relevant in five out of the 13 farms concerned by a
461 TMHP_{disorder} but could not be assessed since they were not monitored by farmers. Indicators of
462 technical performances at farm scale are presented in appendix (Table A1).

463 *Antimicrobial use in farms where the plan targeted a health disorder to improve*

464 Antimicrobial use targeting a health disorder of interest decreased in one farm, neither
465 decreased nor increased in one farm and increased in four farms according to DDDvet.
466 Evolutions of DDDvet would have been relevant in four other farms but could not be assessed
467 since they were not provided by veterinarians.

468

469 **Effectiveness of Tailor-Made Health Plans**

470 Table 6 displays the assessment of the effectiveness of the 14 TMHP_{disorder} according to
471 the six methods A, B, C, D, E and F. It describes the compliance with recommendations, the
472 evolution of indicators between visits 1 and 3 and the scores of effectiveness. Table A2
473 (appendix) describes the type of health disorders to improve per TMHP_{disorder} and the values of
474 indicators allowing to define the evolutions of indicators (*i.e.* improvement, *statu quo*,
475 deterioration).

- 476 • Method A – Veterinarians' opinion: eight TMHP_{disorder} were effective, one presented a
477 *statu quo* of the health disorder evolution and five were ineffective.

- 478 • Method B - Clinical observation method: four TMHP_{disorder} were effective, one had an
479 intermediate effectiveness and four were ineffective. Effectiveness could not be
480 assessed for five TMHP_{disorder} with method B for different reasons: no clinical indicator
481 initially selected was relevant to show an improvement in the targeted health disorder
482 in one farm; there was no room for improvement at visit 1 in three farms according to
483 the baseline value of clinical indicators; clinical indicator could not be monitored in one
484 farm (no animals were present at the targeted physiological stage).
- 485 • Method C - Technical performance method: one TMHP_{disorder} was effective and five
486 were ineffective. Effectiveness could not be assessed for four TMHP_{disorder} with method
487 C since technical performances could not be provided by farmers. Technical
488 performance indicators were not relevant for four farms where the health disorder
489 concerned a physiological stage not monitored.
- 490 • Method D - Antimicrobial use method: one TMHP_{disorder} was effective, one had an
491 intermediate effectiveness and five were ineffective. Effectiveness could not be assessed
492 for eight TMHP_{disorder} for different reasons: antimicrobial use could not be provided by
493 veterinarians in four farms; no antimicrobials were given in three farms before the
494 intervention, despite of the presence of an health disorder
- 495 • Method E – All-indicator method (clinical observations, technical performances and
496 antimicrobial use): five TMHP_{disorder} were ineffective. Effectiveness could not be
497 assessed for nine TMHP_{disorder} since at least one indicator of the methods B, C and D
498 was not assessed (for the reasons given above).
- 499 • Method F – Available-indicator method: seven TMHP_{disorder} were effective and five
500 were ineffective. Effectiveness could not be assessed for two TMHP_{disorder} for different
501 reasons: i) clinical indicator informed that there was no room for improvement at visit
502 1, and neither technical performance data nor antimicrobial use data were provided; ii)
503 clinical indicator could not be assessed (no animals were present at the targeted
504 physiological stage), technical performances were not relevant (since target animals
505 were suckling piglets whereas indicators concerned pigs from wean-to-finish) and
506 antimicrobial use data were not provided.

507 The number of times a method could be used differed widely between methods A, B, C, D, E
508 and F:

- 509 • The most used methods were the veterinarians' opinion (A), the available-indicator
510 method (F) and the clinical observation method (B) (14, 12 and 9 times out of 14,
511 respectively).
- 512 • The least used method were the all-indicator (E), technical performance (C) and
513 antimicrobial use (D) methods (4, 6 and 7 times out of 14, respectively).
- 514 • From 1 to 6 methods could be used to assess the effectiveness of a TMHP_{disorder}.
- 515 • All the relevant methods could be used for four TMHP_{disorder} .

516 The scores of effectiveness differed widely between methods A, B, C, D, E and F:

- 517 • The highest proportions of scores 2 were obtained for the veterinarians' opinion (A),
518 the available-indicator method (F) and the clinical observation method (B) (8/14, 7/12
519 and 4/9, respectively).
- 520 • The lowest proportions of scores 2 were obtained for the all-indicator (E), the technical
521 performance (C) and antimicrobial use (D) methods (0/4, 1/6, and 1/7, respectively).

522 The level of inter-method agreement differed:

- 523 • The results of the clinical observation (B) and the available-indicator (F) methods
524 matched the most frequently with those of the veterinarians' opinion (A) (7 times out of
525 9, 8 times out of 12, respectively). When discrepant, scores obtained with veterinarians'
526 opinions (A) were either higher (once with method B, twice with method F) or lower
527 (once with method B, twice with method F).
- 528 • Clinical observation method (B) and the method combining all available indicators (F)
529 matched seven times out of nine. When discrepant, scores obtained with the clinical
530 observation method (B) were lower than with the available-indicator method (F).
- 531 • Technical performance (C) and antimicrobial use (D) methods were the two methods
532 whose results were least consistent with those of the veterinarians' opinion (A) (2 times
533 out of 6, 4 times out of 7, respectively). When discrepant, scores obtained with
534 veterinarians' opinions (A) were higher.

535 Figure 5 describes the results of the effectiveness assessment based on compliance for
536 TMHP_{prev} (G). Out of the 17 TMHP_{prev}, 11 were effective, three had an intermediate
537 effectiveness and three were ineffective.

538

539 **Discussion**

540 In this study, we aimed at assessing the effectiveness of tailor-made health plans
541 designed in a variety of situations following a systematic audit on biosecurity and herd health.
542 Farms were recruited according to their diversity of health statuses and management practices.
543 Resource-based indicator (compliance) and outcome-based indicators (clinical observations,
544 technical performances, and antimicrobial use) were used in this purpose. Seven methods were
545 used and compared to identify key points for the development of future assessments of the
546 effectiveness of health plans in farms. The observations performed at visit 1 were considered
547 to be the control of the monitored farms. It was not feasible to have a control group with on-
548 farm conditions where farmers do not implement any new practices. Furthermore, developing
549 a tailor-made approach, we considered that the situation of each farm is unique and can only be
550 compared to itself.

551 The compliance with plans was good: almost all of the farmers in this study
552 implemented at least one recommendation (only two out of 20 did not), and on average more
553 than 50% of the recommendations were implemented in each plan. Compliance was
554 systematically considered as a criterion to evaluate the effectiveness of two types of plans. It
555 was the only indicator for prevention plans not targeting any specific health disorder, and the
556 first indicator for plans targeting a health disorder, before assessing outcome-based indicators.
557 For prevention plans, outcome-based indicators could not be implemented due to the type of
558 biosecurity measures recommended. Indeed, the recommended preventive measures mainly
559 concerned the prevention of the introduction of pathogens into the farm (perimeter fence,
560 hygiene lock). To evidence the effectiveness of external biosecurity, farms must be exposed to
561 the risk of pathogen introduction. However, these risks were low in our cohort (closed housing
562 facilities, absence of epizootics during the study, advisors and farmers trained in biosecurity).
563 That is why compliance was the only indicator used to assess the effectiveness of prevention
564 plans. Based on compliance, the majority of prevention plans not targeting any specific health
565 disorder were considered effective. The implementation of preventive measures could be
566 motivated by farmers' risk aversion (Renault et al., 2021), farmers' confidence in their ability to
567 implement new management practices in their daily work (Jones et al., 2016), or the need to
568 comply with French legislation which has been strengthened since the spread of African Swine
569 Fever in Europe (République Française, 2018). Using compliance as a “marker of success” was
570 suggested by Tremetsberger and Winckler (2015) and used in other studies on tailor-made
571 health plans in pig (Collineau et al., 2017) or dairy farms (Duval et al., 2018; Green et al., 2007;

572 Sjöström et al., 2019). Here, we proposed to use compliance as the first indicator of the
573 effectiveness of health plans, then to add outcome-based indicators to the assessment when it
574 assumed to be relevant. In our cohort, we used this method for plans targeting a specific health
575 disorder present in farms. In that case, we assumed that evidencing a change in indicator can be
576 a useful step to assess effectiveness (even if causation and association cannot be proven in such
577 a study design). On the contrary, in case of the improvement of an outcome-based indicator
578 without implementation of any measures, the observed improvement cannot be attributed to the
579 effectiveness of the health plan. This situation was observed in two farms where outcome-based
580 indicators improved in absence of the implementation of recommended measures. This would
581 have led to erroneous conclusions, if compliance had not been the first criterion considered to
582 assess effectiveness.

583 Both types of plans included a low number of prioritized recommendations, which was
584 much lower than the number of biosecurity measures not implemented according to the audit.
585 We assume that selecting and prioritizing recommendations could have enhanced compliance.
586 This could have allowed farmers to more easily focus on a specific target to improve. If a larger
587 number of recommendations had been formulated, farmers may have neglected some of them.
588 In a context where economic and time budgets are limited for farmers, some recommendations
589 could have been not implemented due to a lack of money or time (Alarcon et al., 2014).
590 Nonetheless, tailor-made health plans formulated in dairy farms in Germany and Sweden
591 included a median number of recommendations higher than in our study (*i.e.*, 7 in Germany; 15
592 in Sweden), but their median compliance rate of 67% was similar (Sjöström et al., 2019). To
593 explain the high compliance rates despite the high number of recommendations, Sjöström et
594 al. (2019) argued that herd health planning was probably regularly included in a monitoring
595 system for Swedish dairy farmers. Thus, a large number of recommendations is not necessarily
596 a barrier to compliance but requires that the veterinarian knows well the farmers with whom he
597 works and their motivation, to adapt their advices and taking into account the likelihood of
598 implementing the recommendations.

599 Compliance with plans targeting a health disorder was better than with prevention plans
600 not targeting a specific health disorder. Other reasons than prioritizing recommendations could
601 explain this difference. Farmers most often cited a lack of willingness as a reason for not
602 implementing all the recommended measures of a prevention plan. This reason was more
603 frequently cited than the economic cost of recommendations, which is known to be a barrier to
604 compliance (Alarcon et al., 2014; Garforth et al., 2013). We assume that farmers perceived less

605 potential benefit to preventive measures in the absence of a health disorder. For example, two
606 pig farmers in this study who reared their pigs in closed housing facilities did not implement a
607 perimeter fence due to a lack of willingness, despite the recommendations of the prevention
608 plans. It is likely that these farmers did not perceive any benefits due to the low risk of disease
609 introduction by wild boars (closed housing facilities) and the high cost of perimeter fences. It
610 is known that the perception of benefits can enhance compliance in the context of a disease risk
611 management (Delpont et al., 2021; Garforth et al., 2013; Moya et al., 2020; Ritter et al., 2017;
612 Svensson et al., 2019). One way to improve the perception of benefits is to communicate with
613 farmers about evidence-based benefits (Renault et al., 2021; Valeeva et al., 2011). Monitoring
614 outcome-based indicators to assess the effectiveness of plans can contribute to substantiate
615 evidence-based benefits.

616 In this study, we aimed to describe the evolution of health disorder with several
617 outcome-based indicators related to the targeted disorder. Clinical observations are specific
618 indicators of a health disorder. In our cohort, two-thirds of the plans could be assessed with
619 these indicators. When plans could be assessed, clinical indicators improved about half of times.
620 Three reasons explained why one-third of the plans could not be assessed with clinical
621 observations. First, clinical observations could not always be performed at the time of the visit.
622 The protocol dictated the timing of the visits, so that not all physiological stages could be
623 observed, due for example to later farrowing than expected. Secondly, clinical observations
624 could not be relevant to the targeted health disorder. Outcome-based indicators were selected *a*
625 *priori* based on i) their ability to assess a change in health disorder with the implementation of
626 a health plan and ii) their specific association with the main infectious diseases likely to be
627 present in the pig farms of the study area. In particular, respiratory and digestive disorders were
628 the most common disorders in the study area. Therefore, the outcome-based indicators selected
629 *a priori* did not allow to monitor other health disorders. For example, a nervous disorder was
630 observed in one farm and could thus not be monitored with the clinical indicators selected *a*
631 *priori*. Thirdly, there was no clinical signs at the first visit. Therefore, we concluded that there
632 was no room for improvement, even though veterinarians had previously observed the health
633 disorder. We could have observed animals before or after clinical expressions of the disorders
634 . . For all these reasons, we recommend that the type of clinical indicators and their monitoring
635 modalities (duration, frequency of observations) are selected after the first farm visit, depending
636 on the health disorder targeted by the plan.

637 Technical performances and antimicrobial use can provide additional evidence-based
638 benefits of a plan. However, these indicators are non-specific as other factors besides the
639 targeted disorder can induce their variations. In our cohort, these indicators could not be
640 assessed for more than half of the plans because they were not available. When available, these
641 indicators improved for less than a quarter of times. The two main difficulties in using these
642 indicators were data availability and the choice of the period to monitor them. Technical
643 performances were not systematically monitored by all farmers, and the purchase records of
644 antimicrobial were not always provided by veterinarians. The difficulty of accessing
645 antimicrobial use data in pig farms had already been described in another intervention study in
646 Belgium, where tailor-made health plans were also formulated (Postma et al., 2017). The usual
647 follow-up period indicated in the technical documents and antimicrobial purchase records in
648 our cohort was one year. This time window may not be suitable for all indicators and all health
649 disorders. For example, it was probably too long to observe a decrease in antimicrobial use
650 attributable to plan effectiveness in our cohort. To overcome this limitation, we recommend to
651 adapt the studied time window of each monitored indicator to the targeted health disorder.

652 The opinions of veterinarians on the effectiveness of health plans targeting a specific
653 health disorder were recorded for each plan, regardless of the assessed indicators. We aimed to
654 compare the opinions of veterinarians with five methods assessing effectiveness to discuss
655 potential reasons for discrepancies. The majority of veterinarians involved in this study had
656 been collaborating with the recruited farmers for several years. They were familiar with these
657 farmers and the health context of the farm beforehand. It is assumed that the length of the
658 relationships and the knowledge of the farms allowed the veterinarians to access different types
659 of information to conclude on the effectiveness of their health plans. Indeed, Bard et al. (2019)
660 observed through qualitative interviews with pig farmers and veterinarians, that advisors could
661 access certain information or not depending on the quality of their relationship with the farmer.
662 Furthermore, the clinical reasoning of veterinarians was based on holistic information gathering
663 (May, 2013; Vinten et al., 2016). It is assumed that some outcome-based indicators are included
664 among all the collected information.

665 The effectiveness of a plan targeting a health disorder could differ according to the
666 method used. Therefore, the outcome-based indicators captured *a priori* complementary
667 information. Discrepancies in effectiveness could be explained by differences between
668 indicators in specificity or in studied time window. Veterinarians' opinions mostly matched
669 with clinical observations. The few discrepancies between these two methods suggest that the

670 information captured by clinical observations could have sometimes a limited temporal validity
671 or be incomplete. The temporal validity of observed clinical information is limited since clinical
672 severity could differ depending on the observation time. Incomplete information may be due to
673 the fact that a single outcome-based indicator does not provide enough information to precisely
674 describe a health disorder in farm (Zimmerman et al., 2019). Combinations of indicators were
675 thus used to have a more holistic health description. The combinations were complex to use.
676 One method required the combination of all outcome-based indicators and concluded to an
677 effective plan, only if an improvement in at least one indicator was observed without any
678 deterioration elsewhere. The individual limits of each indicator (missing data, low specificity,
679 inadequate studied time window) explain why this method was rarely applicable and
680 systematically resulted in ineffective plans. Another method, which only combined the
681 available indicators, could be used (by construction) more frequently than all other methods,
682 except for the method based on the veterinarians' opinion. Some discrepancies in results
683 compared to veterinarians' opinion could be explained by the lack of specificity or limited
684 temporal validity of the available indicators. Our results suggest that the relevance of combining
685 indicators to assess the evolution of a health disorder depends i) on the availability of data in
686 farm, ii) on the specificity of the indicators, and iii) on the relevance of the targeted time window
687 to monitor indicators. The absence of data for clinical indicators, technical performances, and
688 antimicrobial use could have been avoided by selecting indicators adapted to each farm in
689 collaboration with farmers and veterinarians (Duval et al., 2016; Tremetsberger et al., 2015;
690 Vaarst, 2011). This approach allows to assess the evolution of a health disorder within a farm
691 but not to compare or to synthesize results in several farms, since the indicators used would *a*
692 *priori* differ across farms.

693 Careful consideration is required to identify how to choose indicators and how to
694 combine them according to specific health disorders. Missing data and inadequate studied time
695 window observed in this study, suggest that indicators and their monitoring modalities (length,
696 frequency) should be selected after an initial visit of the farm, in collaboration with farmers and
697 veterinarians (Duval et al., 2016; Tremetsberger and Winckler, 2015; Vaarst, 2011). This will
698 allow a more precise adaptation of health monitoring in each farm and a more accurate
699 description of the evolution of health disorders. Moreover, other types of outcome-based
700 indicators, in addition to those used in this study, could be considered to provide a more
701 comprehensive description of health. For instance, observations in slaughterhouses could be
702 performed since they are useful for some health disorders (Scollo et al., 2022). Indicator to

703 assess the effectiveness of the use of antimicrobials could be considered, such as bacterial load
704 or recovery rate after treatment. A multi-criteria method based on, as already used by (Martín
705 et al., 2017) to assess the welfare of finishing pigs, would be of interest to holistically assess
706 the evolution of a health disorder.

707

708 **Conclusion**

709 Tailor-made health plans were designed in a variety of situations following a systematic
710 audit on biosecurity and herd health. Two types of tailor-made health plans could be formulated
711 to each farm : a plan to improve prevention not targeting a specific health disorder, and a plan
712 to improve one targeted specific health disorder. To assess the effectiveness of prevention plans,
713 only the compliance of recommended measures was assumed to be relevant. Most of prevention
714 plans were effective since recommended measures were implemented. To assess the
715 effectiveness of plans targeting a health disorder to improve, outcome-based indicators were
716 used in addition to compliance. The effectiveness assessment with a combination of indicators
717 was complex. Three key points were identified from these results for future assessments of the
718 effectiveness of tailor-made health plans. Firstly, compliance should be the first indicator of
719 assessment. Secondly, outcome-based indicators and their monitoring modalities (length,
720 frequency) should be adapted to each farm and to the targeted health disorder. Thirdly,
721 indicators should be combined to have a holistic and precise description of a health disorder.
722 Further research is needed to identify how to select indicators to combine and how to combine
723 them, according to health disorders.

724

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730 **Data availability**

731 Data are available online: 105281/zenodo.7788872 of the webpage hosting the data
732 <https://doi.org/10.5281/zenodo.7788872>

733 **Conflict of interest disclosure**

734 The authors declare that they comply with the PCI rule of having no financial conflicts
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740

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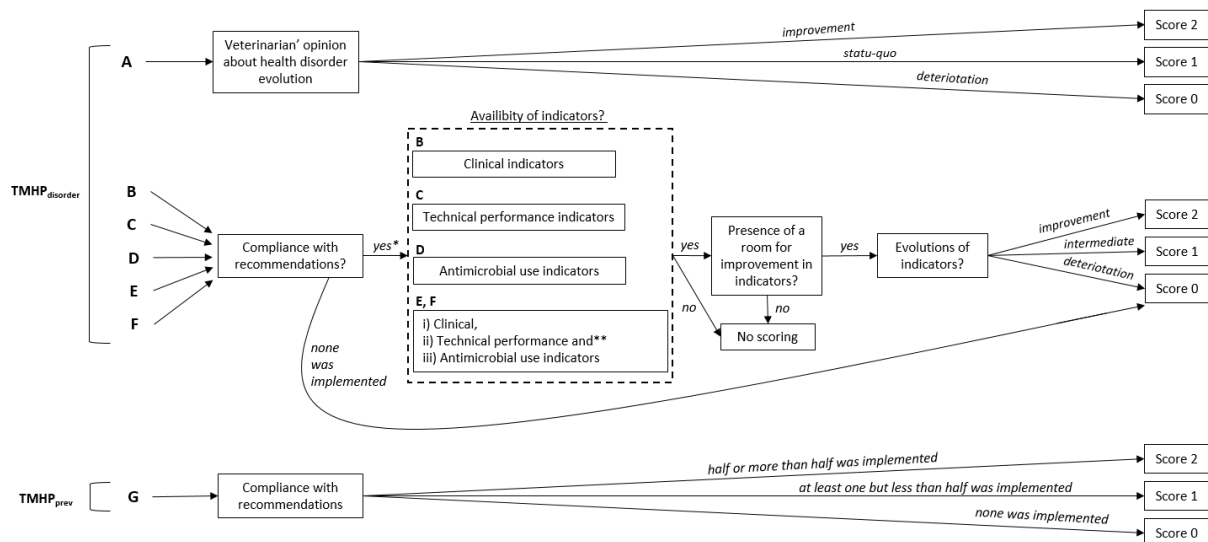
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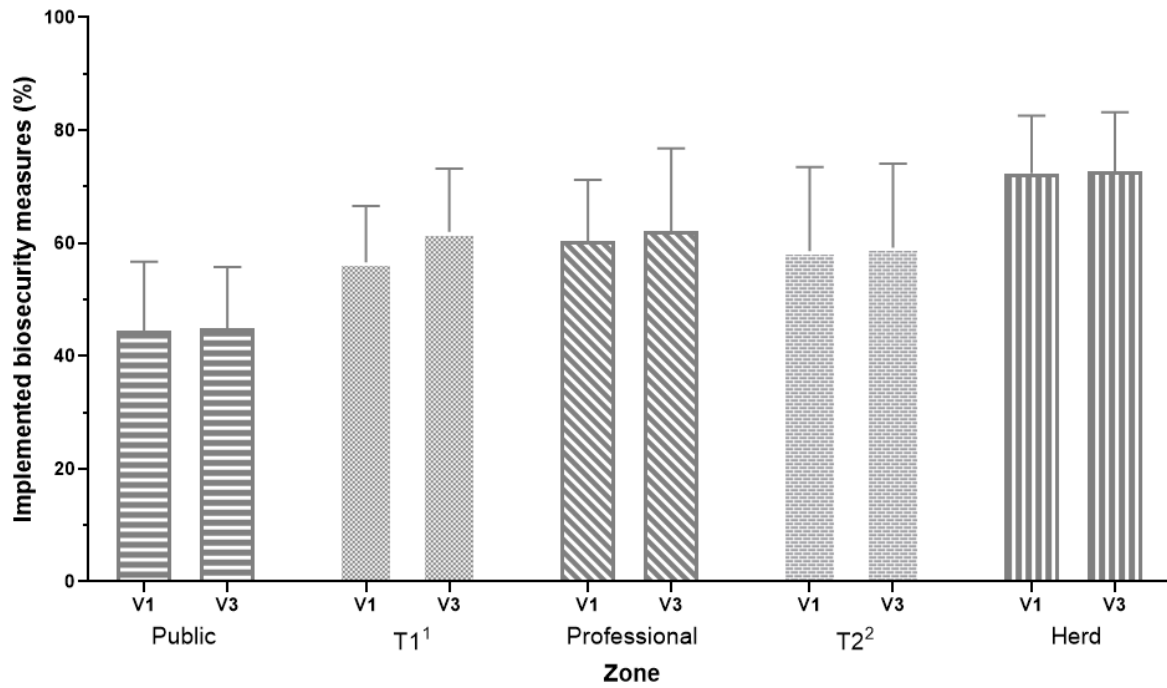
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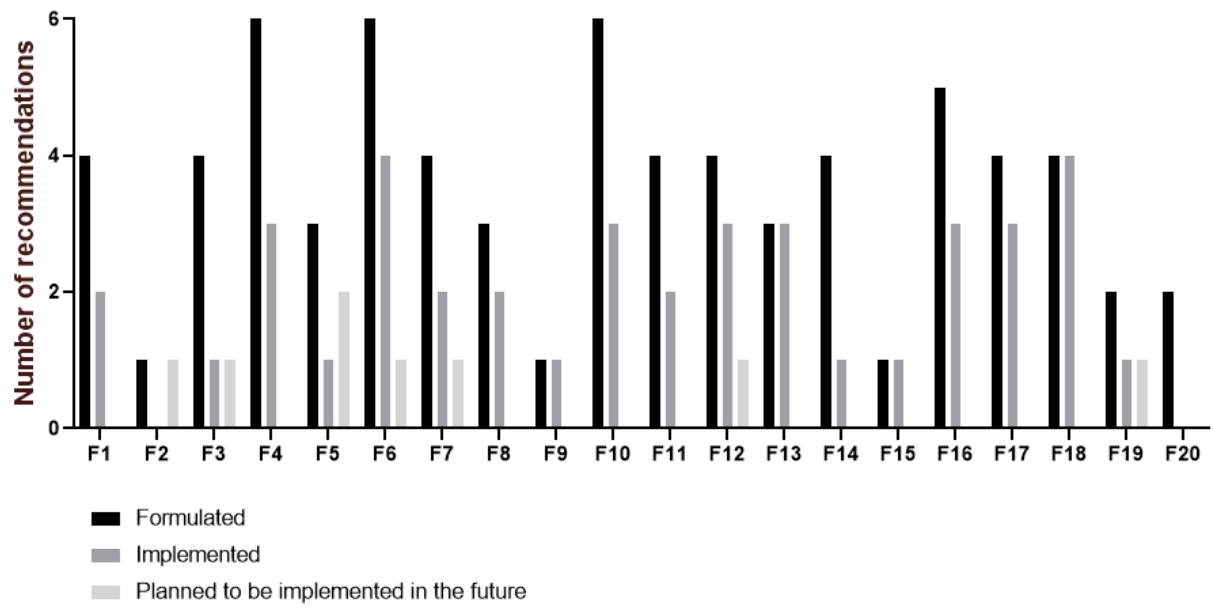
914 **Figure 2:** Description of the methods to assess the effectiveness of tailor-made health plans
 915 (score 2: effective; score 1: intermediate effectiveness; score 0: ineffective) considering seven
 916 methods, six for TMHP_{disorder} (A: veterinarians' opinion; B: compliance with recommendations
 917 and evolution of clinical indicators; C: compliance with recommendations and evolution of
 918 technical performance indicators, D: compliance with recommendations and evolution of
 919 antimicrobial use indicator, E: compliance with recommendations and evolutions of all
 920 selected indicators, F: compliance with recommendations and evolutions of available
 921 indicators) and one method G for TMHP_{prev} based on compliance assessment (*: at least one
 922 recommendation was implemented; **: difference between methods E and F as defined above)

923



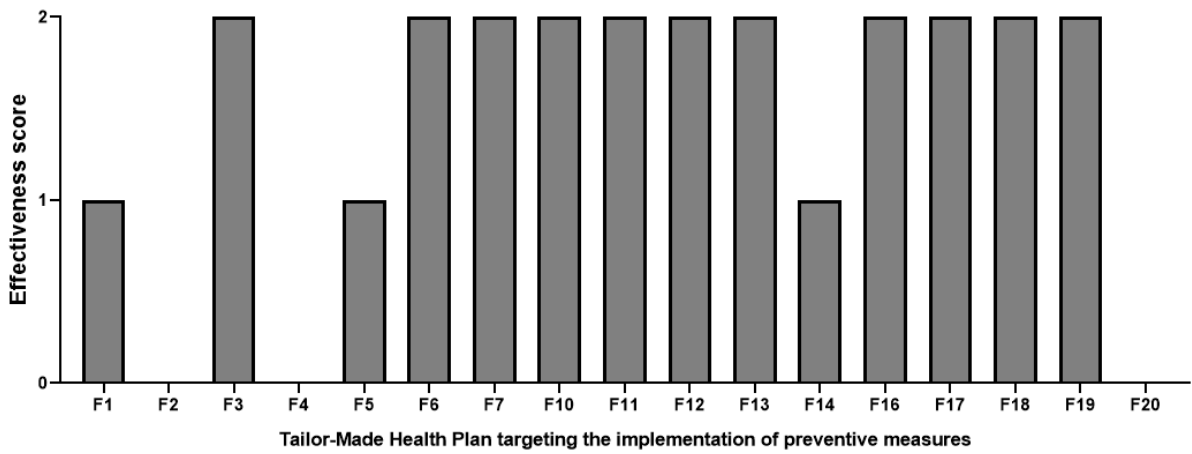
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925 **Figure 3:** Percentage of biosecurity measures implemented at visits 1 and 3 (before and after
 926 the formulation of tailor-made health plans) in 20 farrow-to-finish pig farms according the five
 927 farm zones (1: first transition zone between public and professional zones; 2: second transition
 928 zone between professional and herd zones)



929

930 **Figure 4:** Number of recommendations formulated in tailor-made health plans, implemented
 931 and planned to be implemented after visit 2 in 20 farrow-to-finish pig farms



932

933 **Figure 5:** Assessment of tailor-made health plans with method G based on compliance assessment (Score 2=
 934 effective; 1= intermediate; 0= ineffective) for 17 Tailor-Made Health Plans targeting the implementation of
 935 preventive measures)

936

937

938

939 **Table 1:** Description of indicators used to monitor evolution of health, performances and antimicrobial use after the formulation of tailor-made health plans, based on a
 940 systematic audit of biosecurity and herd health in 20 farrow-to-finish pig farms

| Type of indicator | Indicator | Unit | Method description | Categories of severity | | |
|------------------------|------------------------------------|-----------------------------------|---|---|--|---|
| | | | | 1: mild | 2: moderate | 3: severe |
| Clinical observations | Cough count <i>or</i> Sneeze count | Number / 2 minutes / 100 animals | Counting three times for two minutes for each physiological stage. Cough (or sneeze) counts = $\sum \text{coughs (or sneezes) counted} * \frac{100}{\text{Number of observed animals}} * \frac{1}{3}$ | <1 count / 2 minutes / 100 animals | [1 ; 5[counts / 2 minutes / 100 animals | ≥ 5 counts / 2 minutes / 100 animals |
| | Faeces score | - | Attribution of a faeces score at a pen scale from 1 to 4: <ul style="list-style-type: none"> ▪ Score 0: absence of diarrhoea (firm faeces) ▪ Score 1: absence of diarrhoea but presence of some water (soft faeces) ▪ Score 2: presence of diarrhoea (very soft faeces) ▪ Score 3: important diarrhoea (liquid faeces). Percentage of occurrence of each faeces score (Score %) was calculated at each visit: $\text{Score \%} = \frac{\text{Number of a given faeces score}}{\text{Total number of faeces score}} * 100$ | 0% of scores 2 and 3 accumulated |]0; 20[% of scores 2 and 3 accumulated | $\geq 20\%$ of scores 2 and 3 accumulated |
| Technical performances | ADG ¹ | g/day | Collected from technical documents (wean-to-finish period) | Categories of severity only concerned clinical observations | | |
| | FCR ² | kg/kg | Collected from technical documents (post-weaning and fattening periods) | | | |
| | Mortality | % | Collected from technical documents | | | |
| | PWSY ³ | Number of piglets weaned/sow/year | Collected from technical documents | | | |
| Antimicrobial use | DDDvet ⁴ | mg/day/kg | Defined Daily Dose for animals (DDDvet; European Medicines Agency, 2015) = $\sum_{\text{all antimicrobials used}} \frac{\text{active substance weight}}{\text{dose} * \text{animal weight of a category}}$ | Categories of severity only concerned clinical observations | | |

941 1: ADG = Average Daily Gain

942 2: FCR = Feed Conversion Ratio

943 3: PWSY = Piglets Weaned per Sow per Year

944 4: DDDvet = Defined Daily Dose for animals

945

946 **Table 2:** Indicators and criteria used to define room for improvement at visit 1 and to characterize evolutions
 947 between visits 1 and 3 (*i.e.* improvement or deterioration; see Table 1 for the definitions of categories) in 20 farrow-
 948 to-finish pig farms

| Type of indicator | Indicator (unit) | Baseline | Presence of room for improvement at the initial situation | Improvement criteria | Deterioration criteria |
|------------------------|---|--------------------------|---|---|--|
| Clinical observations | Cough count (count/2minutes/100animals) | Visit 1 | Indicator classified in categories 2 or 3 at visit 1 | Indicator classified in a lower category at visit 3 than at visit 1 | Indicator classified in a higher category at visit 3 than at visit 1 |
| | Sneeze count (count/2minutes/100animals) | Visit 1 | | | |
| | Faeces score (%) | Visit 1 | | | |
| Technical performances | ADG ¹ (g/day) | Year before intervention | - ⁵ | Relative increase by 2% | Relative decrease by 2% |
| | FCR ² (kg/kg) | | - | Relative decrease by 2% | Relative increase by 2% |
| | Mortality (%) | | - | Decrease by 2% | Increase by 2% |
| | PWSY ³ (piglets weaned /sow/year) | | - | Relative increase by 2% | Relative decrease by 2% |
| Antimicrobial use | DDDvet ⁴ sows (mg/day/kg/1000 animals) | | >0 | Relative decrease by 10% | Relative increase by 10% |
| | DDDvet piglets | | >0 | | |
| | DDDvet weaners | | >0 | | |
| | DDDvet fatteners | | >0 | | |

949 1: ADG = Average Daily Gain

950 2: FCR = Feed Conversion Ratio

951 3: PWSY = Piglets Weaned per Sow per Year

952 4: DDDvet = Defined Daily Dose for animals

953 5: - = we considered that there was room for improvement for technical performances

954 **Table 3:** Distribution of the recommendations formulated in tailor-made health plans based on a systematic audit of biosecurity and herd health, and implemented in 20
 955 farrow-to-finish pig farms

| Categories of recommendations in the tailor-made health plan | Number of formulated recommendations | Number of implemented recommendations |
|--|--------------------------------------|---------------------------------------|
| Biosecurity | 40 | 22 |
| Public zone | 1 | 1 |
| Maintaining in the public zone persons and vehicles with unnecessary access to the professional zone | 1 | 1 |
| Transition public-professional zone | 19 | 9 |
| Prevention of the contamination of the professional zone due to unnecessary access | 1 | 1 |
| Prevention of the contamination of the professional zone by farmers or visitors | 9 | 4 |
| Prevention of the contamination of the professional zone by wild animals | 9 | 4 |
| Professional zone | 3 | 2 |
| Prevention of the contamination associated to the elimination of dead animals | 1 | 0 |
| Prevention of the persistency of pathogens in the professional zone | 2 | 2 |
| Transition professional-herd zone | 6 | 5 |
| Prevention of the introduction of pathogens by purchased animals | 2 | 2 |
| Prevention of the introduction of pathogens by farmers | 4 | 3 |
| Herd zone | 11 | 5 |
| Prevention of the transmission of pathogens by farmers or visitors | 2 | 0 |
| Prevention of the transmission of pathogen between animals of different ages | 1 | 0 |
| Prevention of transmission of pathogens due to infected building | 3 | 3 |
| Reduction of situations at risk due to heterogeneous herd immunity | 4 | 2 |
| Reduction of situations at risk due to high loads of pathogens | 1 | 0 |
| Other recommendations | 29 | 20 |
| Antimicrobial use: individual treatment | 1 | 1 |
| Environmental enrichment | 5 | 1 |
| Feeding | 2 | 2 |
| Housing facilities : temperature or ventilation parameters | 2 | 1 |
| Laboratory analyses | 6 | 6 |
| Management practices | 3 | 0 |
| Vaccines : implementation of a new vaccination scheme | 10 | 9 |

956

957 **Table 4:** Number of formulated and implemented recommendations per farms per tailor-made health plans
 958 targeting a health disorder to improve (TMHP_{disorder}) or preventive measures to implement (TMHP_{prev})

| | Number of farms | Number of recommendations per farm (Mean ± standard-deviation) | | Compliance (%) (Mean ± standard-deviation) |
|---------------------------------------|-----------------|---|-------------|---|
| | | Formulated | Implemented | |
| TMHP _{disorder} ¹ | 3 | 1.7 ± 0.9 | 1.3 ± 0.6 | 88.9 ± 19.2 |
| TMHP _{prev} ² | 7 | 2.7 ± 0.9 | 1.4 ± 1.3 | 51.4 ± 36.9 |
| Both ³ | 10 | 4.4 ± 0.9 | 2.7 ± 1.2 | 58.7 ± 25.8 |
| <i>TMHP_{disorder}</i> | | 1.8 ± 0.8 | 1.2 ± 0.9 | 64.2 ± 39.3 |
| <i>TMHP_{prev}</i> | | 2.6 ± 0.8 | 1.5 ± 1.1 | 52.7 ± 34.7 |

959 1: TMHP_{disorder} = Tailor-made health plan to improve a health disorder

960 2: TMHP_{prev} = Tailor-made health plan to improve farm prevention

961 3: Farmer concerned by a tailor-made health plan to improve a health disorder and a tailor-made health plan to improve
 962 prevention. One of these 10 farms was concerned by two TMHP_{disorder} and one TMHP_{prev}.

963 **Table 5:** Description of the reasons of an incomplete compliance to recommendations in farms

| | TMHP_{disorder}¹ | TMHP_{prev}² |
|---|--|--|
| Number of plan with an incomplete compliance | 8 | 14 |
| Total number of plans | 14 | 17 |
| Reasons of non-full compliance | | |
| Feasibility | 3 | 1 |
| Lack of money | 1 | 3 |
| Lack of time | 3 | 5 |
| Unwillingness | 1 | 5 |

964 1: TMHP_{disorder} = Tailor-made health plan to improve a health disorder

965 2: TMHP_{prev} = Tailor-made health plan to improve farm prevention

966 **Table 6:** Assessment of the effectiveness of 14 tailor-made health plans targeting a health disorder to improve (*TMHP_{disorder}*) according to six methods (*A: veterinarians'*
967 *opinion; B: compliance with recommendation and evolution of clinical indicators; C: compliance with recommendation and evolution of technical performance indicators, D:*
968 *compliance with recommendation and evolution of antimicrobial use indicator, E: compliance with recommendations and evolutions of all selected indicators; F: compliance*
969 *with recommendations and evolutions of available indicators*). Result for each method: 2: *effective, 1: intermediate effectiveness; 0: ineffective* (for definitions, see text)

| | Indicators to assess effectiveness | | | | | | | Results of the methods to assess effectiveness | | | | | |
|-----------------------------------|------------------------------------|------------------------|--------------|---------------------------|------------------|------------------|---------------------|--|----|-----------------|----|----|----|
| Farm and TMHP _{disorder} | Compliance proportion | Cough count | Sneeze count | Faeces score | ADG ¹ | FCR ² | DDDvet ³ | A | B | C | D | E | F |
| F1 | 1/1 | Improved ⁴ | Improved | - ⁵ | NA ⁶ | NA | - | 2 | 2 | NS ⁷ | - | NS | 2 |
| F3 | 0/1 | Improved | Improved | - | Deteriorated | Deteriorated | - | 0 | 0 | 0 | - | 0 | 0 |
| F4 | 3/4 | - | - | No room for improvement | NA | NA | Deteriorated | 2 | NS | NS | 0 | NS | 0 |
| F6 | 1/1 | - | - | Improved | - | - | Deteriorated | 0 | 2 | - | 0 | 0 | 2 |
| F8 | 2/3 | - | - | No room for improvement | - | - | Deteriorated | 2 | NS | - | 0 | NS | 0 |
| F9 | 1/1 | - | - | - | Deteriorated | Improved | Improved | 2 | NS | 0 | 2 | NS | 2 |
| F10a | 2/3 | Improved | Statu quo | - | NA | NA | - | 2 | 2 | NS | - | NS | 2 |
| F10b | 0/1 | - | - | Improved | - | - | Deteriorated | 0 | 0 | - | 0 | 0 | 0 |
| F11 | 2/2 | - | - | No room for improvement | NA | NA | NA | 1 | NS | NS | NS | NS | NS |
| F14 | 0/1 | - | - | - | NA | NA | - | 0 | 0 | 0 | 0 | 0 | 0 |
| F15 | 1/1 | Improved | Statu quo | - | Deteriorated | Statu quo | Statu quo | 2 | 2 | 0 | 1 | 0 | 2 |
| F16 | 1/2 | - | - | Deteriorated ⁴ | Improved | Deteriorated | NA | 0 | 0 | 0 | NS | NS | 2 |
| F17 | 1/2 | - | - | NA | - | - | NA | 2 | NS | - | NS | NS | NS |
| F18 | 1/1 | Statu quo ⁴ | Statu quo | - | Improved | Improved | NA | 2 | 1 | 2 | NS | NS | 2 |

970 1: ADG = Average Daily Gain

971 2: FCR = Feed Conversion Ratio

972 3: DDDvet = Defined Daily Dose for animals of antimicrobials. DDDvet were only considered to describe the evolution of health disorders when antimicrobials were administrated to animals for
973 the identified health disorders

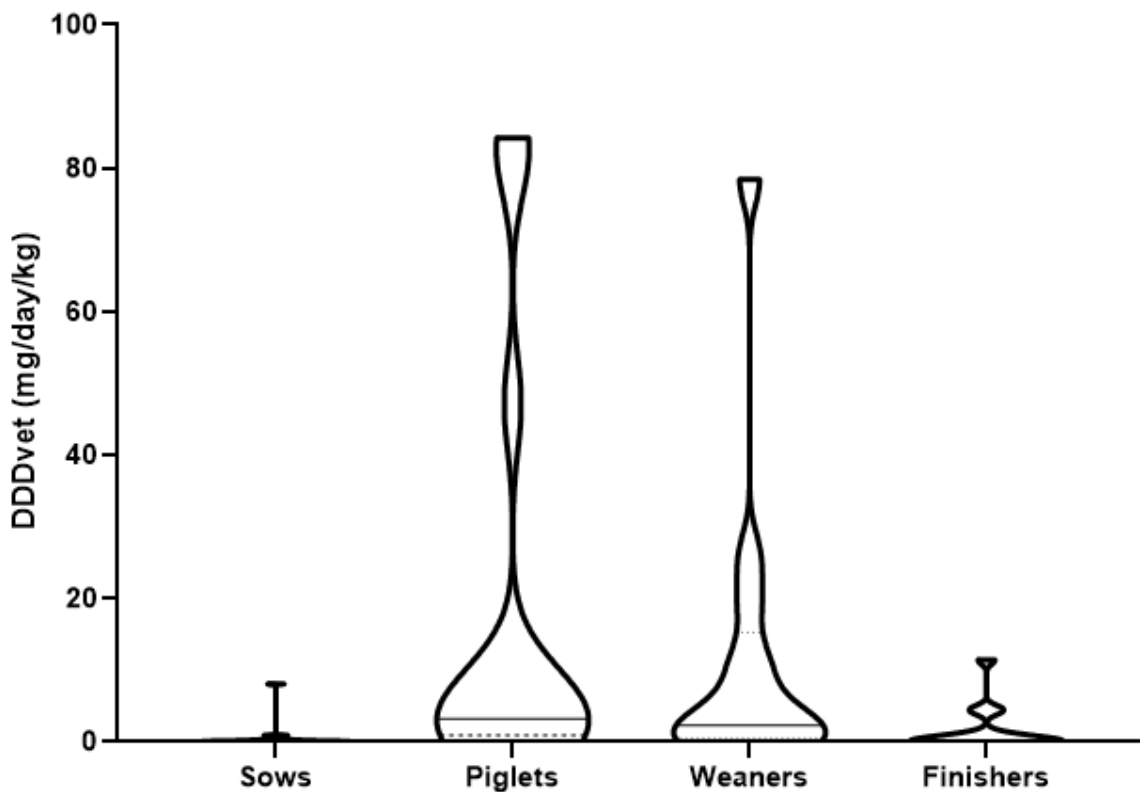
974 4: Definition of improved, statu quo, deteriorated: see Table 2

975 5: Indicator was not considered to assess tailor-made health plan effectiveness because its evolution was not biologically linked to the targeted health disorder evolution. In particular, DDDvet
976 were only selected to assess effectiveness when there was an initial antimicrobial use to cure the targeted health disorder

977 6: NA = Not Available. Indicators were selected to assess effectiveness but observations could not be performed during visits or data could not be provided by farmers and/or veterinarians

978 7: NS = No scoring since indicators were not available or presented no room for improvement at the first visit

980



981

982 **Figure A1:** Distribution of farm Defined Daily Dose for animals (DDDvet) for each group of
 983 animals (n=12 farms): sows, suckling piglets, weaners and finishers. Violin plots including
 984 medians (plain lines) and first and third quartiles (dotted lines). The first quartile was the
 985 selected cut-off value to define the presence of room for improvement (*i.e.* a DDDvet value
 986 higher than first quartile for each physiological stage).

987

988

989 **Table A1:** Mean and standard-deviation of technical performance indicators in farms the year
 990 before the intervention and the on-going year after intervention

| | Number of farms with available data | Mean \pm standard deviation | |
|--|-------------------------------------|-------------------------------|------------------|
| | | Before | After |
| Number of piglets weaned / productive sow / year | 15 | 30.7 \pm 3.3 | 31.5 \pm 3.6 |
| ADG ¹ wean-to-finish (g/day) | 12 | 718.3 \pm 56.8 | 718.7 \pm 62.0 |
| FCR ² wean-to-finish (kg/kg) | 12 | 2.5 \pm 0.3 | 2.5 \pm 0.2 |
| Mortality post-weaning (%) | 11 | 4.0 \pm 4.6 | 3.9 \pm 4.0 |
| Mortality fattening (%) | 10 | 3.3 \pm 1.9 | 3.6 \pm 1.2 |

991 1: ADG = Average Daily Gain

992 2: FCR = Feed Conversion Ratio

993

994 **Table A2:** Description of identified health disorders in farms at visit 1 and of the evolutions of
 995 indicators related to health disorders

| Farm | Health disorder | Animals concerned | Indicator Visit 1 – Visit 3 | | | | | | |
|------|---|---|--|---|-----------------------------|------------------------|------------------------|--|--|
| | | | Cough Number / 2 minutes / 100 animals | Sneeze Number / 2 minutes / 100 animals | Faeces score % scores 2 + 3 | ADG ¹ g/day | FCR ² kg/kg | DDDvet ³ mg/day/kg/1000 animals | Missing indicator ⁴ |
| F1 | Cough and sneeze | Post-weaning piglets | 56.0 - 0.0 | 14.0 - 1.4 | / ⁵ | NA ⁶ | NA | / | / |
| F3 | Cough and sneeze | Post-weaning piglets | 13.8 - 2.7 | 22.3 - 2.2 | / | 766 - 746 | 2.24 - 2.29 | / | / |
| F4 | Ileitis | Fattening pigs | / | / | 0 - 0 | NA | NA | 4.5 – 17.3 | / |
| F6 | Diarrhoea | Suckling piglets | / | / | 50 - 0 | / | / | 2.7 – 3.3 | / |
| F8 | Diarrhoea | Suckling piglets | / | / | 0 – 0 | / | / | 81.0 – 168.5 | / |
| F9 | Neurologic and locomotor disorders related to <i>Streptococcus suis</i> | Post-weaning piglets | / | / | / | 731 - 714 | 2.44 - 2.39 | 5.3 – 4.0 | Clinical observation of locomotor and neurologic disorders |
| F10a | Porcine Respiratory and Reproductive Syndrom | Fattening pigs | 1.0 – 0 | 19.4 – 6.1 | / | NA | NA | / | / |
| | | Gestating sows | / | / | / | / | / | / | Numbers of born dead, abortion |
| F10b | Diarrhoea | Suckling piglets | / | / | 100 - 0 | / | / | 0.4 – 0.9 | / |
| F11 | Ileitis | Fattening pigs | / | / | 0 - 0 | NA | NA | NA | / |
| F14 | Tail biting | Post-weaning piglets and fattening pigs | / | / | / | NA | NA | / | Clinical observation of the severity of tail biting |
| F15 | Cough and sneeze | Post-weaning piglets | 10.6 - 0.3 | 3.2 - 3.9 | / | 742 - 718 | 2.25 - 2.28 | 3.2 – 3.0 | / |
| F16 | Diarrhoea | Post-weaning piglets | / | / | 12.5 - 77.8 | 733 - 766 | 2.18 - 2.30 | NA | / |
| F17 | Diarrhoea | Suckling piglets | / | / | NA | / | / | NA | / |
| F18 | Cough | Fattening pigs | 35.6 - 12.9 | 6.2 - 6.4 | / | 710 - 721 | 2.76 - 2.61 | NA | / |

996 1: ADG = Average Daily Gain

997 2: FCR = Feed Conversion Ratio

998 3: DDDvet = Defined Daily Dose for animals of antimicrobials.

999 4: Indicator that were not monitored in this study could be required to describe the identified health disorders

1000 5 : Indicator not selected since its evolution could not be biologically explained by the health disorder evolution. Regarding
 1001 DDDvet, their values were only considered to describe the evolution of health disorders when antimicrobials were
 1002 administrated to animals for the identified health disorders before the intervention

1003 6: NA = Not assessed since animals could not be observed at the time of the visit or because data could not be provided by
 1004 farmers and/or veterinarians

1005

Biosecurity Risk Analysis Tool (BEAT) - Pig farms - Healthy Livestock



Introduction

This draft Risk Analysis Tool is based on literature review of risks for major French and Italian pig diseases. The structure of the audit anticipates on the format of the health plansto be worked out, which will according to the description based on the FAO risk zoning (red-orange-green).

Farm characteristics

Name company/farmer:

Adress, residence:

nr. pig houses/nr. pig per house:

Guideline to veterinarian and pig farmer

Step 1 Define on-farm risk zones

Download a Google Earth map of the farm location and color the risk zones (red-orange-green)

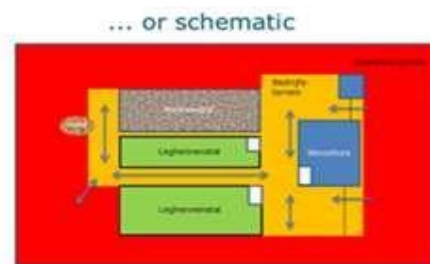
Make a schematic drawing of the farm location and color the risk zones, and identify the buildings, stables, storage sites, pathways et cetera.

Example

Green zone = pig houses and entree rooms: clean, strictly isolated, restricted access

Orange zone = paved surfaces and functional farm areas: biosecurity measures to reduce contamination with foreign manure to medium/low risk

Red zone = external areas (unpaved roads, ditches, pasture, etc.: high risks, farmers acting opportunities)



Step 2 Go through the risk analysis tool

Answer the questions belonging to the different zones and transition lines between zones (see tabs) and score the risk. The sections 'TRANSITION ORANGE-GREEN ZONE' and 'GREEN ZONE' should be filled out for each pig house on the farm

Step 3 Interpretation

In the tab "Overall scores" at the end of the file, allow to show an overview of scores per zone. Veterinarian and farmer: Analyze together the automatically generated scores and discuss: where are opportunities for improvements?

Step 4 Health plan

Make an action plan with SMART formulated preventative actions for strengthening of on-farm biosecurity

*NB: * in the following pages refers to the following caption : write NA for non applicable constitions*

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Biosecurity in the red zone (public zone)

| | Risk Factors | Objective | Conditions | Means in place to reach the objective | Score*: 1 no risk or under control / 0,75 low risk / 0,25 moderate risk / 0 high risk | Major improvement needed | Is it critical in this farm (yes/no) |
|----|--------------------------|---|--|---------------------------------------|---|--------------------------|--------------------------------------|
| 1 | Neighbourhood activities | Awareness of at-risk situation due to neighbourhood | Pig density in the area - average pig density at municipality level >300 pigs/km²: no score 1; yes score 0 | | | | |
| 2 | | | Distance to other pig farms: >3km score 1; 1 to 3 km score 0.75; 0.5 to 1 km score 0.25, 0.5km score 0 | | | | |
| 3 | | | Abattoir close to the farm - distance: >3km score 1; 1 to 3 kmscore 0.75; 0.5 to 1 km score 0.25, 0.5km score 0 | | | | |
| 4 | | | Road with frequent pig transport close to the farm - distance: >3km score 1; 1 to 3 km score 0.75; 0.5 to 1 km score 0.25, 0.5km score 0 | | | | |
| 5 | | | Wild boars spotted in the neighborhood within a radius of 10km: no score 1; yes score 0 | | | | |
| 6 | External vehicles | To maintain in the public zone vehicles and persons with no necessary access to the professional zone | Parking for staff and visitors in the public zone: yes score 1; no score 0 | | | | |
| 7 | | | Separate access ways for rendering plant trucks: yes score 1; no score 0 | | | | |
| 8 | | | Separate access for feed supply: yes score 1; no score 0 | | | | |
| 9 | | | Separate access for manure elimination: yes score 1; no score 0 | | | | |
| 10 | Dead animals | To reduce load of pathogens associated with elimination of dead animals | Storage of cadavers in the public zone: yes score 1; no score 0 | | | | |
| 11 | | | Frequency of elimination of cadavers from the farm adapted to the storage: yes score 1; no score 0 | | | | |
| 12 | | | Cleaning and disinfection of the storage equipment after every cadaver collection: yes score 1; no score 0 | | | | |

*write NA in column F if not applicable

(higher score is less risk)

(max= 12 if all points applicable. Otherwise max score is calculated in F18)

OVERALL BIOSECURITY SCORE RED ZONE:

0

Maximum possible score

0

Percentage of maximum score:

#DIV/0!

Biosecurity in the transition between the red zone (public zone) and the orange zone (professional zone)

| | Risk Factors | Objective | Conditions | Means in place to reach the objective | Score*: 1 no risk or under control / 0,75 low risk / 0,25 moderate risk / 0 high risk | Major improvement needed | Is it critical in this farm (yes/no) |
|----|---|---|---|---------------------------------------|---|--------------------------|--------------------------------------|
| 1 | Contamination from truck and visitors | To prevent contamination of the professional zone by trucks and visitors | Arrival sign: yes score 1; no score 0 | | | | |
| 2 | | | Access exclusively for pig transport vehicles: yes score 1; no score 0 | | | | |
| 3 | | | Access limited to in-advance-thoroughly-cleaned-and-disinfected transport vehicles: yes score 1; no score 0 | | | | |
| 4 | | | Cleaning and disinfection of tires before entering the orange zone (all transports): yes score 1; no score 0 | | | | |
| 5 | | | Truck platform equipped with fixed or manual equipment for wheels, lateral and undersides vehicles disinfection: yes score 1; no score 0 | | | | |
| 6 | | | Presence of a platform to house temporarily and load pigs for slaughter: yes score 1; no score 0 | | | | |
| 7 | | | Cleaning and disinfection of the platform after each delivery: yes score 1; no score 0 | | | | |
| 8 | Contamination by wildlife | To prevent contamination of the professional zone by wildlife | Delimitation of the professional zone to prevent access of wild animals (e.g. perimetral fence against wild boars): yes score 1; no score 0 | | | | |
| 9 | Contamination by staff in charge of elimination of dead animals | To prevent contamination by staff in charge of elimination of dead animals in the public zone | Specific clothes and shoes for staff to eliminate dead animals in the public zone: yes score 1; no score 0 | | | | |
| 10 | | | Cleaning and disinfection of the material used to transfer dead animals in the public zone: yes score 1; no score 0 | | | | |
| 11 | | | Cleaning and disinfection of the shoes after transfer of dead animals in the public zone: yes score 1; no score 0 | | | | |
| 12 | | | Hand washing after transfer of dead animals in the public zone: yes score 1; no score 0 | | | | |
| 13 | Staff and visitors | To prevent introduction of diseases by staff and visitors entering the farm | Well located hygiene lock with dirty and clean area available: yes score 1; no score 0 | | | | |
| 14 | | | Provision of the hygiene lock with company footwear overboots: yes score 1; no score 0 | | | | |
| 15 | | | Provision of the hygiene lock with company clothes/overalls: yes score 1; no score 0 | | | | |
| 16 | | | Provision of the hygiene lock with hand hygiene facilities: yes score 1; no score 0 | | | | |
| 17 | | | Provision of the hygiene lock with one or more showers: yes score 1; no score 0 | | | | |
| 18 | | | Provision of the hygiene lock with adequate hygiene Standard Operating Procedure for visitors / employees / farmer available: yes score 1; no score 0 | | | | |
| 19 | | | Correct use of hygiene lock provisions by farm workers: yes score 1; no score 0 | | | | |
| 20 | | | Correct use of hygiene lock provisions by visitors: yes score 1; no score 0 | | | | |
| 21 | Unnecessary access | To avoid unnecessary access to the professional zone | Clear delimitation of the professional zone: yes score 1; no score 0 | | | | |
| 22 | | | No access of the public to the orange zone: no access score 1; possible access score 0 | | | | |
| 23 | | | No access of trucks eliminating dead animals: no access score 1; possible score 0 | | | | |
| 24 | | | Availability of a visitors' register mentioning a period of at least 12 hours between two pig farm visits: yes score 1; no score 0 | | | | |

*write NA in column F if not applicable

(higher score is less risk)

(max= 24 if all points applicable. Otherwise max score is calculated in F36 = applicable points x 4)

OVERALL BIOSECURITY SCORE TRANSITION ZONE R-O: |

0

Maximum score

0

Percentage of maximum score:

#DIV/0!

Biosecurity in the orange zone (professional zone)

| | Risk Factors | Objective | Conditions | Means in place to reach the objective | Score ^a : 1 no risk or under control / 0,75 low risk / 0,25 moderate risk / 0 high risk | Major improvement needed | Is it critical in this farm (yes/no) |
|----|---|--|---|---------------------------------------|--|--------------------------|--------------------------------------|
| 1 | Contamination by wildlife | To prevent contamination of the professional zone by wildlife | Protocols for control of rodents: protocol + registered treatments score 1; no protocol or no register for treatments score 0 | | | | |
| 2 | | | Protocols for control of insects (protocol + registered treatments score 1; no protocol or no register for treatments score 0) | | | | |
| 3 | Contamination by manure | To prevent contamination by the manure | Manure storage separated from the pig houses: yes score 1; no score 0 | | | | |
| 4 | | | Possible contamination from slurry tanks to pig houses during transfer and storage of manure: no score 1; yes score 0 | | | | |
| 5 | Pathogen persistence | To prevent persistence of pathogens in the professional zone | Stored material providing shelter for rodents and parasites: no score 1; yes score 0 | | | | |
| 6 | | | Washable surface and flooring combined with high pressure water: yes score 1; no score 0 | | | | |
| 7 | Contamination by staff storing dead animals | To prevent contamination by staff in charge of storing dead animals in the professional zone | Specific gloves, clothes and shoes for staff to transfer and store dead animals in the professional zone: yes score 1; no score 0 | | | | |
| 8 | | | Cleaning and disinfection of the material used to transfer dead animals in the professional zone: yes score 1; no score 0 | | | | |
| 9 | | | Cleaning and disinfection of shoes after the transfer of dead animals in the professional zone: yes score 1; no score 0 | | | | |
| 10 | | | Hand washing and disinfection after the transfer of dead animals in the professional zone: yes score 1; no score 0 | | | | |
| 11 | | | Daily elimination of cadavers from the professional zone: yes score 1; no score 0 | | | | |
| 12 | | | Cleaning and disinfection of the storage equipment after every cadaver collection: yes score 1; no score 0 | | | | |

^awrite NA in column F if not applicable

(higher score is less risk)

(max= 12 if all points applicable. Otherwise max score is calculated in F36 = applicable points)

OVERALL BIOSECURITY SCORE ORANGE ZONE: | 0

Maximum score 0

Percentage of maximum score: #DIV/0!

| | Risk Factors | Objective | Conditions | Means in place to reach the objective | Score ^a : 1 no risk or under control / 0,75 low risk / 0,25 moderate risk / 0 high risk | Major improvement needed | Is it critical in this farm (yes/no) |
|----|--|--|--|---------------------------------------|--|--------------------------|--------------------------------------|
| 1 | Pathogens from purchased animals | To prevent pathogen introduction by animals introduced into the herd | Origin of animals: Specific Pathogen Free farms score 1; from a unique farm score 0.75; from more than one known farm score 0.25; from more than one unknown farm score 0 | | | | |
| 2 | | | Position of the quarantine in the farm (distance from other pig houses >120 m score 1; from 60 to 120 m score 0.75; from 30 to 60 m score 0.25; <30 m score 0) | | | | |
| 3 | | | Conditions of quarantine (duration at least 30 d, daily observation, cleaning and disinfection after each batch): yes score 1; no score 0 | | | | |
| 4 | Pathogens from other purchases | To prevent introduction of pathogens by other purchases | Facilities for delivery in the livestock zone: room available to store temporarily and check materials score 1; no room available score 0 | | | | |
| 5 | | | Origin of purchased goods (to be listed and assessed): risk under control score 1; possible introduction of pathogens score 0 | | | | |
| 6 | Pathogens from shared equipment | To prevent introduction of pathogens by shared equipment entering the farm | Use of equipment shared between farms: no score 1; yes score 0 | | | | |
| 7 | | | Presence of a room, disinfectants and a Standard Operating Procedure for disinfection of shared equipment: yes score 1; no score 0 | | | | |
| 8 | Pathogens from staff or visitors | To prevent introduction of pathogens by staff/visitors | Contacts of staff with other pig farms: no score 1; yes score 0 | | | | |
| 9 | | | Entrance room available, with clear dirty and clean areas, as hygiene lock at the entrance of the pig houses for farrowing or weaning or quarantine: yes score 1; no score 0 | | | | |
| 10 | | | Specific footwear available at the entrance of the pig house: yes score 1; no score 0 | | | | |
| 11 | | | Specific clothes/overalls available at the entrance of the pig house: yes score 1; no score 0 | | | | |
| 12 | | | Hand hygiene facilities available at the entrance of the pig house: yes score 1; no score 0 | | | | |
| 13 | | | Barn hygiene protocol available for visitors / employees / farmer: yes score 1; no score 0 | | | | |
| 14 | | | Correct use of provisions at the entrance of the pig house by farm workers: yes score 1; no score 0 | | | | |
| 15 | | | Correct use of entrance room at the entrance of the pig house provisions by visitors: yes score 1; no score 0 | | | | |
| 16 | Unnecessary access to the livestock zone | No unnecessary access to the livestock zone | No unnecessary access of persons: no access score 1; access score 0 | | | | |
| 17 | | | No unnecessary of domestic animals: no access score 1; access score 0 | | | | |
| 18 | | | Presence of anti-bird nets: yes score 1; no score 0 | | | | |
| 19 | | | Presence of anti-insect screens: yes score 1; no score 0 | | | | |

^awrite NA in column F if not applicable
To be completed for each pig house on the farm

(higher score is less risk)

(max= 19 if all applicable conditions. Otherwise max score is calculated in F36 = applicable points)

OVERALL BIOSECURITY SCORE TRANSITION ZONE O-G: |

0

Maximum score

0

Percentage of maximum score:

#DIV/0!

| Risk factors | Objectives | Conditions | Means in place to reach the objective | Score*: 1 no risk or under control / 0,75 low risk / 0,25 moderate risk / 0 high risk | Major improvement needed | Is it critical in this farm (yes/no) |
|--------------|---|--|---|---|--------------------------|--------------------------------------|
| 1 | Animal contact between age groups | To prevent transmission of pathogens between age groups by animal contacts | Strict separation between housing for different age groups: yes score 1; no score 0 | | | |
| 2 | | | No mixing between batches in the farrowing, weaning and fattening sectors: yes score 1; no score 0 | | | |
| 3 | Animal contact with contaminated premises | To prevent transmission of pathogens between age groups by premises | Standard Operating Procedures available and applied for "all-out" cleaning, disinfection and duration of the empty period: yes score 1; no score 0 | | | |
| 4 | | | Cleaning and disinfection of corridors and transfer zones after any animal transfer to prevent contamination of animals: yes score 1; no score 0 | | | |
| 5 | Animal contact with contaminated staff | To prevent transmission of pathogens between age groups by staff | One-way organisation of work from the most susceptible to the most infectious animals (or separate sectors and staff): yes score 1; no score 0 | | | |
| 6 | | | Change of clothes/overalls and footwear/overshoes between sectors: yes score 1; no score 0 | | | |
| 7 | | | Change of gloves or hand washing and disinfection after handling diseased animals: yes score 1; no score 0 | | | |
| 8 | | | Training of staff on the biosecurity Standard Operating Procedures: yes score 1; no score 0 | | | |
| 9 | Animal contact with contaminated materials | To prevent transmission of pathogens between animals by materials and intervention | Suitable manipulable materials for environmental enrichment according to Recommendation (EU) 2016/336. Take note of the type of material (e.g. whole straw, chopped straw, hard wood, soft wood, rope of natural fibre, metal chain), quantity in kg/pig/day and frequency of distribution: yes score 1; no score 0 | | | |
| 10 | | | Materials, movable equipment and tools specific to the different age groups: yes score 1; no score 0 | | | |
| 11 | | | Cleaning and disinfection of materials, movable equipment and tools shared between sectors: yes score 1; no score 0 | | | |
| 12 | | | Cleaning and disinfection of tools for interventions on piglets after birth in the farrowing sector: yes score 1; no score 0 | | | |
| 13 | | | Dedicated injection needles for each age group of pigs or forevery 10 heads individually housed (i.e. newly pregnant sows): yes score 1; no score 0 | | | |
| 14 | High load of pathogens | To reduce the risk of exposure to high loads of pathogens | Regular cleaning of housing at all stages other than all in all out: yes score 1; no score 0 | | | |
| 15 | | | Animal density of suckling, weaning, growing and fattening pigs, adapted to the weight of the pigs (see the "scoring instructions" in appendix section and take note of the type of pen floor inside the pig house: fully slatted floor, partially slatted floor, solid floor): lowest score of all stages | | | |
| 16 | | | Management of diseased animals to reduce contact with healthy animals (availability and use of hospital pens): yes score 1; no score 0 | | | |
| 17 | | | Shower and parasite treatments of sows before entering the farrowing room: yes score 1; no score 0 | | | |
| 18 | Heterogeneous herd immunity | To reduce at-risk situations due to heterogeneous herd immunity | Management of gilts before introduction into the herd with a contamination period in quarantine: yes score 1; no score 0 | | | |
| 20 | | | Constitution of batches of sows with grouped farrowing note interval between batches: yes score 1; no score 0 | | | |
| 21 | | | Constitution of pens of weaners and fattening pigs from full litters: yes score 1; no score 0 | | | |
| 22 | | | Vaccination plan (consistent between consecutive batches in the medium and long term): yes score 1; no score 0 | | | |
| 23 | | | Check access and intake colostrum by piglets to in the farrowing sector: yes score 1; no score 0 | | | |
| 24 | Contaminated feed or water or enrichment material | To prevent contaminated feed or water or enrichment material | Controlled origin and regular quality checks of feed: yes score 1; no score 0 | | | |
| | | | Regular quality checks of drinking water: at least yearly for water sampled at drinkers score 1; at least yearly for water sampled at source score 0,75; otherwise score 0 | | | |
| 25 | | | Controlled conditions for conservation of feed including no access of rodents (inclusion of the pig house in the rodent control plan): yes score 1; no score 0 | | | |
| 26 | | | Frequent cleaning of water supply equipments (take note of how and how often): yes score 1; no score 0 | | | |
| 27 | | | Regular cleaning and disinfection of waterpipes and reservoirs: yes score 1; no score 0 | | | |
| 28 | | | Concentrate feeds are salmonella free: yes score 1; no score 0 | | | |
| 29 | | | Storage of materials on farm for at least 3 months before use (e.g. enrichment material like straw, wood): yes score 1; no score 0 | | | |
| 30 | | | No use of food waste (e.g. enrichment material like straw, wood): no use score 1; use score 0 | | | |

*Write NA in column F if not applicable

(higher score is less risk)

(max = 30 for all applicable conditions. Otherwise max score is calculated in F36 = applicable points)

To be completed for each pig house on the farm

OVERALL BIOSECURITY SCORE GREEN ZONE:

0

Maximum score

0

Percentage of maximum score:

#DIV/0!

Overall farm scores on biosecurity regarding the zones and transition lines between the zones

Final version 2023/03/21

| FARM SCORES | | |
|------------------------------|--------------------|-------------------------|
| Zones and transition lines | % of maximum score | (higher % is less risk) |
| RED ZONE | 0% | |
| Transition line Red-Orange | 0% | |
| ORANGE ZONE | 0% | |
| Transition line Orange-Green | 0% | |
| GREEN ZONE | 0% | |
| Farm average score | 0% | |

APPENDIX BEAT: Instructions for scoring Animal density (Green zone sheet - line 15)

| Scores | Space allowance m²/head | | | |
|-------------------------------------|---|-------------|-------------|----------|
| | 0 | 0.25 | 0.75 | 1 |
| Pig category and live weight | | | | |
| Piglets <10kg LW | <0,15 | 0,15-0,17 | 0,17-0,22 | >0,22 |
| Weaners 10-20 kg LW | <0,20 | 0,20-0,27 | 0,27-0,35 | >0,35 |
| Weaners/Growers 20-30 kg | <0,30 | 0,30-0,35 | 0,35-0,46 | >0,46 |
| Growers 30-50 kg | <0,40 | 0,40-0,50 | 0,50-0,65 | >0,65 |
| Growers/Fatteners 50-85 kg | <0,55 | 0,55-0,71 | 0,71-0,92 | >0,92 |
| Fatteners 85-110 kg | <0,65 | 0,65-0,84 | 0,84-1,10 | >1,10 |
| Fatteners 110-140 kg | < 1,00 | 1,00-1,12 | 1,12-1,29 | >1,29 |
| Fatteners over 140 kg | <1,00 | 1,00-1,29 | 1,29-1,47 | >1,47 |

