

Enhancing Risk Aware Decision in Healthcare through Probabilistic Modeling of Uncertainty

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ABSTRACT

In domains with high stakes, like healthcare and medicine, trustworthy and robust decision-making is crucial due to the potential risks associated with misclassification. However, many traditional machine learning classifiers lack calibrated predictions, and reliable uncertainty estimates for new unseen data. This paper addresses the challenge of uncertainty quantification in text classification in healthcare and proposes a three-fold approach to *support* robust and trustworthy decision-making by medical practitioners. To evaluate our solution, we implement it on a multi-label medical transcription dataset from Kaggle. Our study demonstrates three significant results: the ability of our model to reject uncertain predictions by providing a null set, the provision of set predictions with guaranteed coverage for further investigation, and the prioritization of decision-making based on confidence levels of predictions with the same label. Additionally, we tackle the issue of imbalanced datasets in the medical domain by employing the Mondrian Conformal Predictor with a Naïve Bayes classifier. Our findings are expected to enhance the risk-aware decision-making process in the medical field.

CCS CONCEPTS

• **Computing methodologies** → **Probabilistic reasoning**.

KEYWORDS

uncertainty, conformal inference, risk-aware decision

1 INTRODUCTION

Machine learning has emerged as a powerful tool in the medical domain, providing healthcare professionals with a means to make more informed decisions and improve patient outcomes [1]. Medical transcript analysis, in particular, holds the potential to assist in diagnostics [2], treatment planning [3], and patient monitoring [4]. However, traditional natural language processing techniques have limitations that can result in inaccuracies in classification [5, 6].

Natural Language Processing (NLP) techniques, specifically text classification, can be leveraged in various industry applications to overcome these limitations. Several methods have been proposed to achieve this goal. [3, 7] However, they are often under-utilized due to the criticality of the decisions involved and a lack of confidence in individual decisions. Currently, with text classification, we don't have a mechanism to 'tell' the model to be more strict or lenient while making a decision. Hence, the accuracy of the model solely depends on the classifier.

1.1 Related Work

Text classification has been widely explored in the field of NLP, and it has found applications in various domains such as finance [8], military [9], and medical [10, 11], among others. Most of the research in this field has focused on developing algorithms that can improve accuracy while keeping the computational cost low [12]. A few works [13] have used aleatory and epistemic uncertainty. Still, they do not quantify the prediction of each new prediction, while [14] focuses on the profound learning aspect of text classification. However, we still see a gap in the practical realization and the applicability of the metrics for confident decision-making for a text classification system.

2 NOVEL CONTRIBUTIONS

The novelty of this work is that the proposed mechanism not only gives classification out, but two measures, i.e., confidence and credibility, to tune those decisions based on the criticality and control the algorithmic decision-making. The main contributions of this paper are as follows:

- Uncertainty quantification for each prediction for improved and robust decision-making for the medical domain with imbalanced data.
- An algorithm-agnostic framework with an option to reject predictions made by the model and the reduction of false positive rate when the model says for a particular prediction for which it is unsure.
- Disease severity rating mechanism helps the decision makers prioritize individualized treatment.

3 PROPOSED SOLUTION

We use the dataset from Kaggle Medical transcription data scraped from mtsamples.com, which has used only 8 Medical specialties for the classification task. The experimental results, source code, and the dataset is hosted on GitHub ¹. We used Mondrian conformal predictor as an uncertainty quantifier as a wrapper on top of the Naïve Bayes classifier; however, any other classification algorithm can also be used. Designing an efficient non-conformity score is of prime importance and shall be taken care of by the designer. The solution provides the three contributions as discussed in the results section.

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¹<https://github.com/rahvis/CECS590>

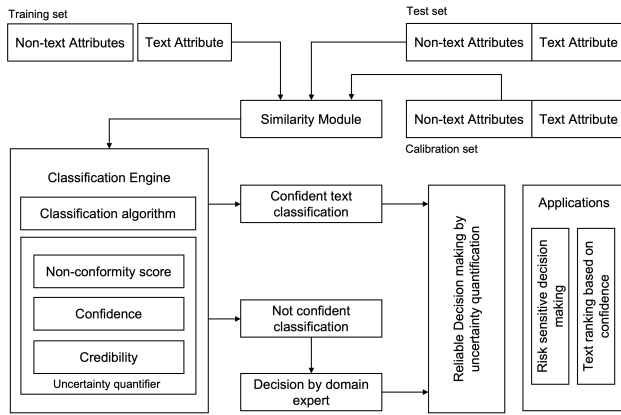


Figure 1: Conformalized text classification for implementing a reliable decision making

4 RESULTS

4.1 Conformal Inference

Conformal prediction is a framework for constructing prediction intervals for machine learning models. The main goal of conformal prediction is to provide a measure of confidence for the predictions made by a model. Traditional performance metrics like precision and recall are insufficient in conformal prediction because they do not capture the uncertainty in the predictions.

p0	p1	p2	p3	p4	p5	p6	p7	y
0.03	0.03	0.04	0.03	0.03	0.83	0.02	0.03	5
0	0	0	0.95	0	0	0	0	3
0.1	0.1	0.54	0.1	0.1	0.1	0.1	0.1	2
0.08	0.08	0.09	0.08	0.67	0.08	0.08	0.08	4
0.1	0.11	0.1	0.5	0.11	0.1	0.11	0.1	3
0.12	0.14	0.36	0.12	0.12	0.12	0.12	0.12	2
1	0	0	0	0	0	0	0	0

Figure 2: Conformal inference and associated p-values for each label for 7 test samples

The p-value is a metric for measuring the confidence of a machine learning model’s predictions. It is calculated by comparing the model’s prediction for a new piece of data with its predictions for the data on which it was trained through hypothesis testing. Suppose the new data differs significantly from the data seen during training. In that case, the p-value will be low, indicating that the model’s prediction for the new data may not be as reliable.

4.2 Reject - I don’t know

When a model does not get any of the p-values greater than the alpha value, it outputs a NULL set as shown in the Figure 3.

4.3 Disease severity rating

We use the confidence metrics to rank the predicted labels, which are defined as:

$$\text{Confidence}(x) = \sup\{1 - \epsilon : |\Gamma_\epsilon(x)| \leq 1\}.$$

Significance	Confidence	Prediction
0.5	0.5	{NULL}
0.14	0.86	{N}
0.13	0.87	{A, N}

Figure 3: Model says I don’t know based on the NULL set.

Here, data point 7 is of high priority compared to data point 6 because of the confidence metrics.

	Confidence	Credibility	y_pred
1	0.962	0.831	5
6	0.863	0.358	2
7	0.999	0.997	0

Table 1: Adoption of confidence for risk-aware ranking

5 CONCLUSION

The medical field poses a challenge when it comes to trusting individual predictions because of its complexity and uncertainty. This research paper introduces a framework not tied to any specific algorithm and aims to quantify the uncertainty associated with new and unseen data points in the medical domain. The proposed approach is tested on a dataset of medical transcriptions and demonstrates promising yet modest results, offering a valuable contribution from a methodological standpoint. Furthermore, we demonstrate how ranking labels based on their associated risks can assist in prioritizing treatment in large-scale scenarios. In future work, we plan to evaluate various customized conformal prediction techniques such as Mondrian conformal predictors, risk-aware prediction sets (RAPS), and top-k methods.

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