

# A combined triggering-propagation modeling approach for the assessment of rainfall induced debris flow susceptibility

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## Abstract

Rainfall-induced shallow slides can evolve into debris flows that move rapidly downstream with devastating consequences. Mapping the susceptibility to debris flow is an important aid for risk mitigation. We propose a novel practical approach to derive debris flow inundation maps useful for susceptibility assessment, that is based on the integrated use of DEM-based spatially-distributed hydrological and slope stability models with debris flow propagation models. More specifically, the TRIGRS infiltration and infinite slope stability model and the FLO-2D model for the simulation of the related debris flow propagation and deposition are combined. An empirical instability-to-debris flow triggering threshold calibrated on the basis of observed events, is applied to link the two models and to accomplish the task of determining the amount of unstable mass that develops as a debris flow. Calibration of the proposed methodology is carried out based on real data of the debris flow event occurred on 1 October 2009, in the Peloritani mountains area (Italy). Model performance, assessed by receiver-operating-characteristics (ROC) indexes, evidences fairly good reproduction of the observed event. Comparison with the performance of the traditional debris flow modeling procedure, in which sediment and water hydrographs are inputted as lumped at selected points on top of the streams, are also performed, in order to assess quantitatively the limitations of such com-

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monly applied approach. Results show that the proposed method, besides of being more process-consistent than the traditional hydrograph-based approach, can potentially provide a more accurate simulation of debris-flow phenomena, in terms of spatial patterns of erosion and deposition as well on the quantification of mobilized volumes and depths, avoiding debris flow triggering volume overestimation and consequently overestimation of maximum inundation flow depths.

*Keywords:* susceptibility assessment, debris flow, triggering modeling, propagation modeling, TRIGRS, Giampileri

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## 1. Introduction

Rainfall induced landslides and debris flows are among the most damaging natural hazards [8, 45]. Each year landslides cause thousands of casualties and billions of dollars in damages across the world [17, 14]. Furthermore, under certain conditions shallow landslides may evolve into debris flows, causing devastating effects on downstream areas.

Effective landslide risk mitigation strategies start from the estimation of debris flow susceptibility, i.e. likelihood of debris flow occurrence and the extension of the area potentially affected by propagation and deposition of the mobilized mass. Indeed, susceptibility estimation is an essential step for the assessment of landslide risk and for the identification of appropriate structural and/or non structural mitigation measures. To this end, debris flow triggering and propagation models represent useful tools [21, 19], since they enable to build up reliable inundations maps.

Traditional assessment of debris flows propagation requires the definition of an initiation scenario as well as the characterization of the rheology of the moving mass [29]. The former task is generally carried out through the estimation of an hydrograph, incremented by a suitable coefficient to account for the solid fraction transported by the debris flow [e.g., 40, 48, 25], or, alternatively, through the definition of an event magnitude based on sediment instability.

21 Characterization of the rheology entails the choice of suitable rheological laws,  
22 to describe the specific sediment-water mixture subject to movement.

23 The definition of the event scenario through a hydrograph-based procedure,  
24 however, presents two main weak points. First of all, the input is not spatially  
25 distributed, since the hydrograph is usually given at some user-defined points.  
26 Furthermore, the estimate of the magnitude of the event is often quite uncertain,  
27 as it is based on empirical relationship. Thus, the resulting propagation may be  
28 affected by significant errors.

29 On the other hand, various physically based hydrological and slope stability  
30 models have been developed to model landslide triggering, also in a spatially  
31 distributed fashion [31, 3, 4, 2, 12, 20, 42, 30]. Such models essentially compute  
32 on a DEM basis cells which are likely unstable (and thus can potentially trigger  
33 a debris flow) in response to rainfall events, given initial soil moisture conditions.  
34 Such models also find application to determine landslide triggering thresholds  
35 for early warning [42, 43, 37].

36 In this paper, a debris flow susceptibility assessment approach which com-  
37 bines spatially-distributed hydrological model and slope stability analysis with  
38 debris flow propagation and deposition models is proposed.

39 More specifically, the TRIGRS saturated model [3, 4], is combined with FLO-  
40 2D model for simulating debris flow propagation and deposition [34]. TRIGRS,  
41 based on simplifications of the Richards' equation [20] enables to compute the  
42 watershed cells subject to geomechanical instability in response to rainfall and  
43 has been successfully applied to assess landslide initiation in different case-study  
44 areas [38, 37, 43, 3, 4, 2, 44].

45 Although in principle, use of the outcome of hydrological and slope stability  
46 models, namely the potentially unstable cells, as input to propagation models  
47 could appear as a straightforward exercise, however this is seldom the case since  
48 generally not all of the potentially unstable cells (identified by the triggering  
49 models) evolve into landslide and debris flows. In order to overcome such a  
50 problem, a topography-based instability-to-debris flow triggering threshold is  
51 applied to the output of the TRIGRS model, and the identified triggered cells are

52 here used as a spatially-distributed input to the FLO-2D model for simulating  
53 debris flow propagation and deposition.

54 The resulting framework is quite general and is independent from the spe-  
55 cific triggering and propagation models adopted. Furthermore, the procedure is  
56 in principle more process-consistent than the use of hyper-concentrated hydro-  
57 graphs as input to the FLO-2D model, and may find application also to predict  
58 future debris flow initiation and propagation.

59 The methodology is applied to the well documented debris flow event oc-  
60 curred at Giampilieri (Italy) on 1 October 2009. The results of such application  
61 are then compared to those provided by the traditional approach, where the  
62 input to the propagation model is as an hyper-concentrated flow hydrograph  
63 whose total volumes are those resulting from the application of the TRIGRS  
64 and instability-to-debris flow triggering threshold, in order to evaluate uncer-  
65 tainty related to the use of single input points rather than a spatially distributed  
66 input.

67 A comparison of the derived debris flow volumes with those computed by  
68 well-known empirical relationships is also carried out, for a more complete as-  
69 sessment of the performance of the rainfall infiltration and geotechnical insta-  
70 bility model application.

71 Paper outline is as follows. In Section 2 the methodology for integrated  
72 debris flow susceptibility assessment is illustrated as well as the proposed ap-  
73 proach to identify the unstable cells among the potentially unstable ones. Sec-  
74 tion 3 describes the case-study area and the alluvial event of October 1<sup>st</sup>, 2009,  
75 the available data and the debris flow susceptibility assessment, and discusses  
76 the results in terms of inundation map, comparing present integrated spatially-  
77 distributed-input approach and the traditional method, and analysing their per-  
78 formance. Finally, Section 4 presents the conclusions and outlooks for further  
79 developments.

80 **2. Material and methods**

81 *2.1. Rainfall infiltration and geotechnical instability model*

82 To identify the unstable cells of a given catchment, in response to a given  
 83 rainfall event, we use a model based on the TRIGRS v.1 software [3, 4, 2]. The  
 84 resulting unstable domain is then filtered to estimate the cells that will likely  
 85 contribute to debris flow formation (Sect. 2.3).

86 A sketch of a typical digital terrain model cell used in the computations is  
 87 shown in Figure 1.

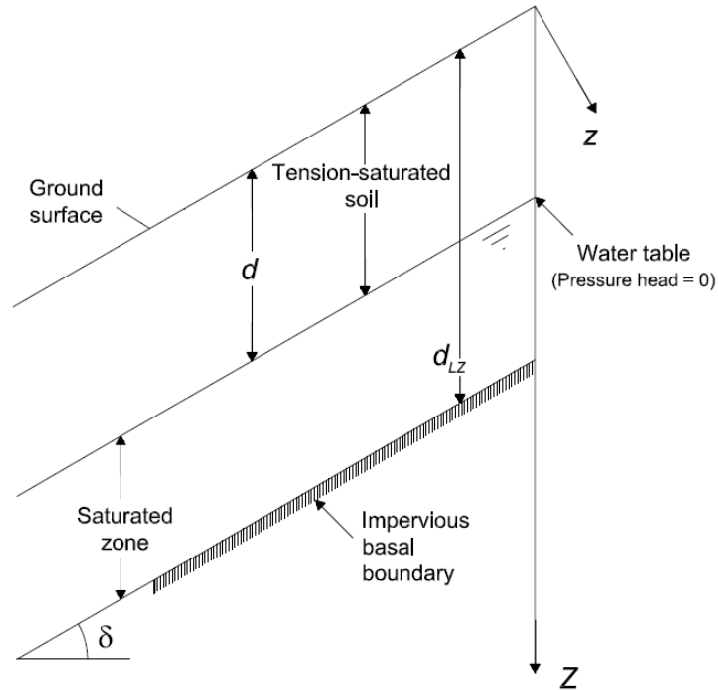


Figure 1: Sketch illustrating the TRIGRS model for pore pressure head and slope stability computation [adapted from 3].

88 The pressure head  $\psi$  at a given depth  $Z$  and time instant  $t$  of each cell in  
 89 response to a given rainfall event  $I_{n,Z}$   $n = 1, 2, \dots, N$ , for given initial conditions  
 90 (i.e., an initial water table depth  $d$ ), reads:

$$\begin{aligned}
\psi(Z, t) = & (Z - d)\beta + \\
& 2 \sum_{n=1}^N \frac{I_n Z}{K_S} H(t - t_n) [D_1(t - t_n)]^{1/2} \cdot \\
& \sum_{m=1}^{\infty} \left\{ \operatorname{ierfc} \left[ \frac{(2m-1)d_{LZ} - (d_{LZ} - Z)}{2[D_1(t - t_n)]^{1/2}} \right] + \operatorname{ierfc} \left[ \frac{(2m-1)d_{LZ} + (d_{LZ} - Z)}{2[D_1(t - t_n)]^{1/2}} \right] \right\} - \quad (1) \\
& 2 \sum_{n=1}^N \frac{I_n Z}{K_S} H(t - t_{n+1}) [D_1(t - t_{n+1})]^{1/2} \cdot \\
& \sum_{m=1}^{\infty} \left\{ \operatorname{ierfc} \left[ \frac{(2m-1)d_{LZ} - (d_{LZ} - Z)}{2[D_1(t - t_{n+1})]^{1/2}} \right] + \operatorname{ierfc} \left[ \frac{(2m-1)d_{LZ} + (d_{LZ} - Z)}{2[D_1(t - t_{n+1})]^{1/2}} \right] \right\}
\end{aligned}$$

91 where  $d_{LZ}$  is the thickness of the permeable soil layer,  $K_S$  is the saturated  
92 hydraulic conductivity,  $\beta = \cos^2 \delta$ ,  $\delta$  is the terrain slope,  $H(\bullet)$  is the Heaviside  
93 step function,  $D_1 = D_0 / \cos^2 \delta$ ,  $D_0$  being the saturated soil diffusivity, and  
94  $\operatorname{ierfc}(x) = \frac{1}{\sqrt{\pi}} \exp(-x^2) - x \operatorname{erfc}(x)$ ,  $\operatorname{erfc}$  being the complementary error function.  
95 Pressure head under downward gravity-driven flow cannot exceed that resulting  
96 when the water table is at the ground surface [20], namely

$$\psi(Z, t) \leq Z\beta \quad (2)$$

97 One of the main assumption of the model is that the solution presented in  
98 Eq. (1) is valid only for tension-saturated initial conditions, so that a linearized  
99 version of the Richards equation can be considered to be valid, and the hydraulic  
100 conductivity can be approximated by its value at saturation [20, 2]. It is worth  
101 to mention that in our application, no flow routing due to rainfall exceeding  
102 infiltration capacity is performed.

103 After pressure head is computed according to Eqns. (1-2), the factor of  
104 safety, which measures the degree of geomechanical stability is computed by the  
105 infinite slope formula [51]:

$$\operatorname{FS}(d_{LZ}, t) = \frac{\tan \phi'}{\tan \delta} + \frac{c' - \psi(d_{LZ}, t) \gamma_w \tan \phi'}{\gamma_s d_{LZ} \sin \delta \cos \delta}, \quad (3)$$

106 where  $c'$  is soil cohesion for effective stress,  $\phi'$  is the soil friction angle for  
107 effective stress,  $\gamma_w$  is the unit weight of groundwater,  $\gamma_s$  is the soil unit weight. In  
108 the scheme associated with equation 3 the failure occurs at the basal boundary,  
109  $Z = d_{LZ}$ , since pressure head results maximum at that depth.

110 *2.2. Debris flow propagation model*

111 FLO-2D is a commercial code developed by O'Brien [33], adopted worldwide  
 112 for modeling debris flow phenomena and delineating flood susceptibility. It is a  
 113 pseudo two-dimensional model in space, based on depth-integrated flow equa-  
 114 tions. Hyper-concentrated sediment flows are simulated considering a mono-  
 115 phase approach, assuming an empirical quadratic rheological relation [33]. The  
 116 basic equations implemented in the model consist of the continuity equation:

$$\frac{\partial h}{\partial t} + \frac{\partial(hV)}{\partial x} = i \quad (4)$$

117 and the equation of motion:

$$S_f = S_o - \frac{\partial h}{\partial x} - \frac{V}{g} \frac{\partial V}{\partial x} - \frac{1}{g} \frac{\partial V}{\partial t} \quad (5)$$

118 where  $S_o$  is bed slope,  $h$  is the flow depth,  $V$  is the depth-averaged velocity,  $i$   
 119 is the excess rainfall intensity (assumed equal to zero in the present application),  
 120 and  $x$  is the generic direction of motion.

121 In order to solve the momentum equation, FLO-2D considers, for each cell,  
 122 eight potential flow directions. Each velocity computation is essentially one-  
 123 dimensional and solved independently from the other seven directions, so  $h$  and  
 124  $V$  are related to one of the eight flow directions  $x$ .

125 The total friction slope can be expressed as follows:

$$S_f = \frac{\tau_B}{\rho gh} + \frac{K\mu_B V}{8\rho gh^2} + \frac{n^2 V^2}{h^{\frac{4}{3}}} \quad (6)$$

126 where  $\tau_B$  is the Bingham yield stress,  $h$  is the flow depth,  $V$  is the mean  
 127 flow velocity along the flow direction,  $\rho$  is mixture density,  $K$  is the laminar flow  
 128 resistance coefficient,  $g$  is gravitational acceleration,  $\mu_B$  is the Bingham viscosity,  
 129 and  $n$  is the pseudo-Manning's resistance coefficient, which accounts for both  
 130 turbulent boundary friction and internal collisional stresses. In particular, the  
 131 yield stress  $\tau_B$ , the dynamic viscosity  $\mu_B$  and the resistance coefficient  $n$  are  
 132 influenced by the sediment concentration and, therefore, can be described by  
 133 the following equations [34]:

$$\tau_B = \alpha_1 e^{\beta_1 C_v} \quad (7)$$

$$\mu_B = \alpha_2 e^{\beta_2 C_v} \quad (8)$$

$$n = n_t 0.538 e^{6.0896 C_v} \quad (9)$$

134 where  $C_v$  is the volumetric concentration,  $\alpha_1$ ,  $\beta_1$ ,  $\alpha_2$  and  $\beta_2$  are empirical co-  
 135 efficients defined by laboratory experiments [35], and  $n_t$  is the turbulent n-value  
 136 [34]. More detailed information about the numerical scheme and the general  
 137 constitutive fluid equations adopted in the model can be found in O'Brien [34].

### 138 2.3. Models coupling

139 The proposed procedure for coupling the hydrological and propagation mod-  
 140 els can be described following the sketch illustrated in Figure 2, where the dif-  
 141 ferent modelling phases and the related input data are presented.

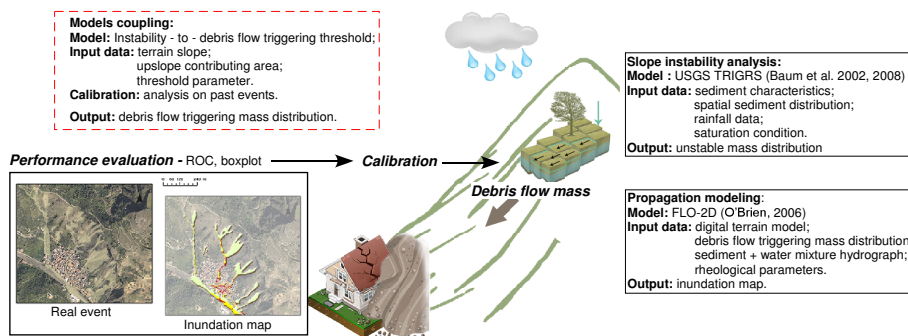


Figure 2: Representative sketch of the different steps and related input data that characterize the proposed methodology.

142 As already mentioned, a key step of the procedure is the identification of  
 143 the triggering cells, to be applied as input to the propagation model, among  
 144 the potentially unstable ones identified through the slope instability analysis.  
 145 Indeed, such a step is crucial for a proper mass release simulation [26], since



146 generally not all the unstable cells move downward as a debris flow. Within the  
 147 proposed approach the slope stability model is linked to the propagation model  
 148 by applying a triggering-to-debris flow instability threshold. In particular, once  
 149 the triggering rainfall event is defined and the soil moisture initial conditions  
 150 are defined, the cells potentially unstable are computed as those characterized  
 151 by a factor of safety less than 1 ( $FS \leq 1$ ), thus obtaining the map of potentially  
 152 unstable cells. Such cells then may take part to two different possible instability  
 153 triggering phenomena (see Marchi et al. [27]): a) hyper-concentrated flow, b)  
 154 debris flow generation. The instability-to- debris flow triggering threshold aims  
 155 at distinguishing the triggering volume involved in these two processes. The  
 156 unstable cells whose characteristics fall above the threshold propagate as a debris  
 157 flow, while those below contribute to hyper-concentrated flow. Only the cell that  
 158 contribute to debris flow formation are inputed to the debris flow routing model  
 159 (FLO-2D in our case).

160 In general, whether or not a cell is triggered depends on the sediment charac-  
 161 teristics (i.e. mean grain size, permeability, cohesion etc) and sediment spatial  
 162 distribution (i.e. soil depth variation), as well as the geological characteristics  
 163 of the catchment (i.e terrain slope) [49]. For an area of given soil properties, the  
 164 main variables controlling the transition between instability and landslide trig-  
 165 gering, are terrain slope  $\delta$  and the upslope contributing area  $S_{ua}$  [41, 18, 36, 7].

166 In our work, we slightly modify the instability-to-debris flow triggering thresh-  
 167 old for terrain slope  $\delta$  proposed by Rickenmann and Zimmermann [41] and others  
 168 [18, 36, 7], for extreme debris flow events:

$$\tan \delta = \begin{cases} 0.312S_{ua} & \text{if } 0.01\text{km}^2 \leq S_{ua} < 2.5\text{km}^2 \\ 0.26 & \text{if } S_{ua} \geq 2.5\text{km}^2 \end{cases} \quad (10)$$

169 where  $S_{ua}$  is the upslope contributing area and  $\delta$  the terrain slope.

170 The instability-to-debris flow triggering threshold may be derived by analysing  
 171 several debris flow events occurred in a specific area. Here we introduce a pa-  
 172 rameter in eq. 10 to be calibrated based on observations of real debris flow event,

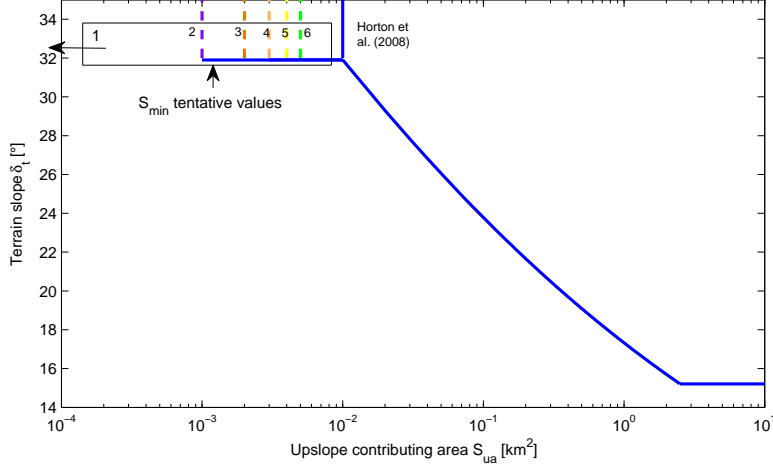


Figure 3: Threshold for deriving debris flow propagating cells from the unstable ones, determined via the TRIGRS Grid-Based Infiltration and Slope stability model. The  $S_{min}$  parameter is to be calibrated, based on comparison with observed debris flow inundation maps and ROC analysis. The values corresponding to the six simulations performed later in Section 3.3 are also indicated in the plot.

173 maintaining the same functional form. We choose to have just one free parame-  
 174 ter since this leads to higher generalization capabilities as well as simplicity. In  
 175 particular, in the above mentioned studies the most uncertain parameter seems  
 176 to be the minimum contributing area for initiation. Thus, in order to adapt  
 177 such instability-to-debris flow triggering threshold in basins characterized by a  
 178 relatively small area (approximately less than 1 km<sup>2</sup>), we propose the following  
 179 threshold:

$$\tan \delta = \begin{cases} 0.618 & \text{if } S_{min} \leq S_{ua} < 0.01\text{km}^2 \\ 0.312S_{ua}^{-0.15} & \text{if } 0.01\text{km}^2 \leq S_{ua} < 2.5\text{km}^2 \\ 0.26 & \text{if } S_{ua} \geq 2.5\text{km}^2 \end{cases} \quad (11)$$

180 where the minimum contributing area  $S_{min}$  is calibrated on the basis of  
 181 observed events (see Figs. 2 and 3).

182 The calibration of  $S_{min}$  is carried out by searching the value which leads to

183 the best reproduction of the observed debris-flow propagation and deposition.  
184 To this end, Receiver Operating Characteristic (ROC) analysis is used to com-  
185 pare the performances of the model for different values of  $S_{\min}$ . The optimal  
186  $S_{\min}$  value can then be potentially used as a reference value for a predictive  
187 susceptibility mapping in similar regions, namely in an area characterized by  
188 similar geology and rainfall climate. Various ROC indexes can be used to mea-  
189 sure the model performance [16]. Suitable indexes are the Equitable Critical  
190 Success Index (ECSI), also known as the Equitable Threat Score or Gilbert  
191 skill score, and the Heidke skill score (HSS). These indexes are defined as:

$$ECSI = \frac{TP - TP_{rnd}}{TP + FN + FP - TP_{rnd}} \quad (12)$$

$$HSS = \frac{TP + TN - E}{T - E} \quad (13)$$

192 where  $TP$  is the number of true positives,  $FN$  the number of false nega-  
193 tives,  $FP$  the number of false positive,  $T = TP + FN + FP + TN$ ,  $TP_{rnd} =$   
194  $\frac{(TP+FN)(TP+FP)}{T}$  and  $E = \frac{1}{T}(TP + FN)(TP + FP) + (TN + FN)(TN + FP)$ .  
195 In our case,  $TP$  is the number of cells where the debris material has deposited  
196 both in the field and in simulation;  $TN$  is the number of cells where the debris  
197 material has not deposited both in the field and in simulation;  $FP$  is the num-  
198 ber of cells where the debris material has deposited in the simulation but not  
199 in the field;  $FN$  is the number of cells where the debris material has deposited  
200 in the field but not in simulation. The two chosen indexes seems to be among  
201 those that suffer less about the limitations of other indexes when the goal is  
202 the reproduction of spatial information where the number of Negatives is much  
203 higher than the number of Positives, such as in landslide phenomena [32, 16].

### 204 **3. Application**

#### 205 *3.1. Case study area*

206 The analysed area is located in the Peloritani mountains, Sicily, Italy. Specif-  
207 ically we consider debris flow data from the event of 1 October 2009 that hit

208 the town of Giampilieri. Giampilieri is a small village located in the South part  
209 of Messina Province (Sicily). The historic and most urbanized part of the town  
210 is located on the left bank of the Giampilieri river and is mainly settled on  
211 slopeland, because of the limited plain area available and the peculiar geomor-  
212 phologic conditions of the site. The town is crossed by three main tributaries  
213 of the Giampilieri river (from West to East: Loco, Sopra Urno and Puntale  
214 streams) and others smaller catchments (indicated in Fig. 4 with a reference  
215 number). The three main streams drain small watersheds of 0.14 km<sup>2</sup> (Loco),  
216 0.07 km<sup>2</sup> (Sopra Urno) and 0.03 km<sup>2</sup> (Puntale) characterized by narrow valleys  
217 (Fig. 4), with elevation ranging approximately between 50 and 400 m a.s.l., and  
218 with a significant proportion of slopes in the interval 30° - 40°. Soil in the area  
219 is composed by highly erodible metamorphic material. The pluviometric regime  
220 is that typical of the semiarid areas, with long dry spells during the summer,  
221 and high intensity rainstorms of short duration occurring mostly between Oc-  
222 tober and March. The morphology of the small catchments leads to impulsive  
223 flash-flood responses. The Peloritani Mountains in general are shaped as several  
224 gullies next to each other which induce a high rainfall spatial variability due to  
225 orographic effects.

226 On 1 October 2009, about 250 mm of rainfall fell in 9 hours, which triggered  
227 more than 600 landslides, in an area of 50 km<sup>2</sup> of the Messina Province, mostly  
228 evolving into devastating debris flows. This event caused the death of 37 persons,  
229 about 100 injuries and the evacuation of 1700 residents [15].

230 Figure 5 shows evidences about slope erosion (see Figure 5a) and damages  
231 occurred to the Giampilieri village (Figure 5b-c).

232 Return period of the rainfall event has been estimated based on observations  
233 from the rain gauges in the nearby area in the order of hundreds of years [15].  
234 Although landslide triggering may occur for lower return periods [44], the excep-  
235 tional magnitude of the event may be also related to a high 15-days antecedent  
236 cumulative precipitation, greater than 100 mm, according to measurements of  
237 the rain gauge station nearest to Giampilieri in S. Stefano di Briga (see Fig.  
238 6a).

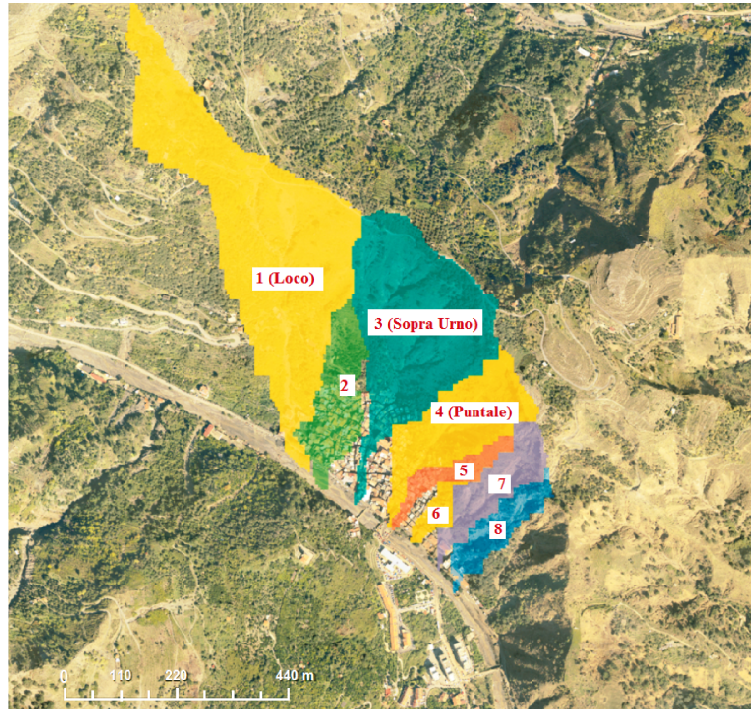


Figure 4: Map showing the creek basins in the analysed area, where basins are numbered from left to right. Nomenclature follows the scientific literature [38, 47, 46].

239 Cumulative rainfall depths in the period September 5<sup>th</sup> - October 5<sup>th</sup>, 2009  
 240 are shown in the Figure 6a. Such rainfall data were collected by four different  
 241 stations (Fiusedimisi, S. Stefano di Briga, Messina Istituto Geofisico, Antillo).  
 242 The Messina Province has been affected by three important rainfall events, oc-  
 243 curred on 16th September 2009, 23<sup>rd</sup>-24<sup>th</sup> September 2009 and 1<sup>st</sup> October 2009.  
 244 Therefore, the 1<sup>st</sup> October event it can be assumed that happened when the soil  
 245 was close to saturated condition. Figure 6b shows a detailed representation of  
 246 rainfall the event of October, 1<sup>st</sup> 2009 by means of the data gathered from rain  
 247 gauges, showing the high spatial variability of the rainfall event.

248 The analysis of post event conditions provide useful information about the  
 249 event development and the consequent damages. Debris flow propagation path  
 250 presented in Figure 7 affected both the natural slopland area (defined in the



Figure 5: Photo-panorama of Giampileri village after the alluvial event: a) the slope scoured by debris flows; b) Giampileri urbanized area damaged by the alluvial event; c) Giampileri urbanized area where the sediment deposit level is recognizable.

251 legend as basin) and the urbanized area. Such a distinction will be useful later  
 252 on for discussing results. Data on sediment deposit level inside the urbanized  
 253 area are also available (see Figure 7b)). This reference map reflects propagation  
 254 of only debris flow material, and not that of hyperconcentrated flows. Indeed,  
 255 the latter component is assumed to exit the area under investigation, because  
 256 of its relatively low viscosity.

### 257 3.2. *Input Data*

258 The topographic input consist of a digital terrain model (DTM) acquired  
 259 before the alluvial event of 2009 and characterized by a resolution of 2 m. The  
 260 DTM has been integrated with information from official maps and orthopho-  
 261 tos, concerning the distribution of the buildings. A grid system, with square  
 262 cells  $2 \times 2$  m, has been used as a base for modeling processing. Soil proper-

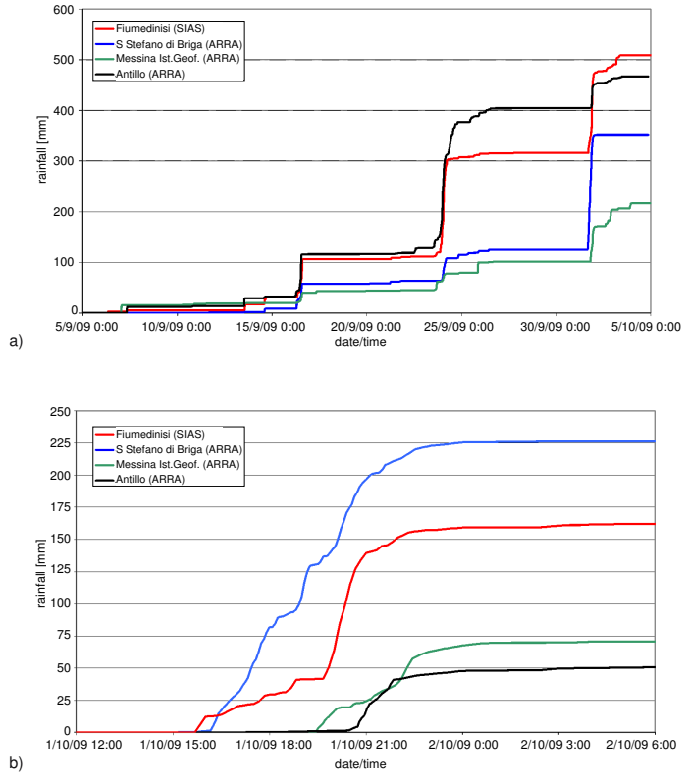


Figure 6: Cumulative rainfall depth data reconstructed from information coming from Fiumedinisi, S. Stefano di Briga, Messina Istituto Geofisico and Antillo stations, for the period ranging: a) from 5<sup>st</sup> September to 5<sup>th</sup> October 2009; b) from 12:00 of 1<sup>st</sup> October 2009 until 6:00 a.m. of 2<sup>nd</sup> October 2009.

263 ties data used to compute unstable cells by means of the TRIGRS model,  
 264 and globally representative of the Giampilieri area, are summarized in Table  
 265 1. Most of the properties are assumed to be constant within the basin, except  
 266 for soil depth, which has been related to slope using a relationship calibrated  
 267 on available borehole measurements  $d_{LZ} = 32 \exp(-0.07\delta)$  [38]. The limited  
 268 knowledge of soil properties distribution is a problem common to many studies  
 269 [31, 9, 42, 43, 2, 50], which explains why constant sediment characteristic along  
 270 the soil depth are generally assumed in practice. This limit hampers the applica-

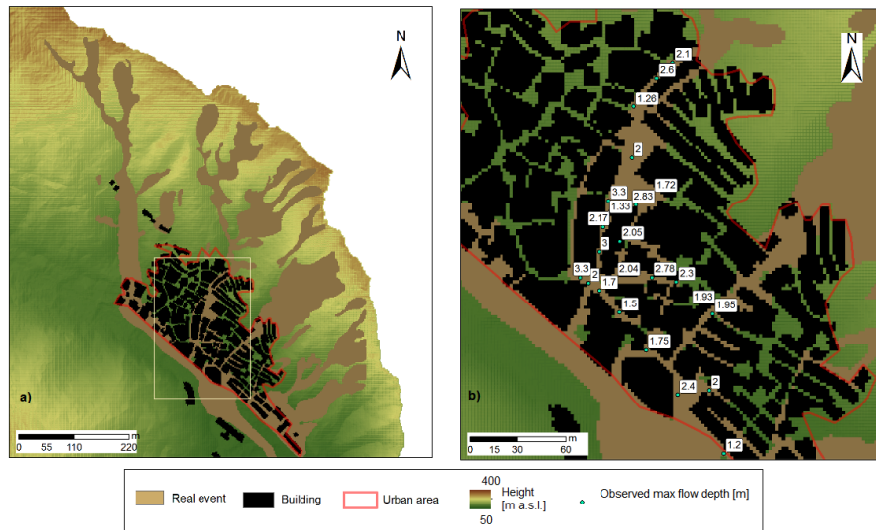


Figure 7: 1 October 2009 maps showing the assumed real event data for model calibration and performance assessment: a) areas affected by landslide-debris-flow phenomena, b) enlargement showing locations and values of observed maximum flow depths in the urbanized area of Giampilieri Village. Maps show the urban area considered in performance assessment for comparison of the performances in such area respect to those on the whole area.

271 tion of more sophisticated models based on distributed hydrological variables (as  
 272 soil moisture, lateral water flow, infiltration, streamflow), as the one proposed  
 273 recently by Anagnostopoulos et al. [1], which includes also analysis of phenom-  
 274 ena as solid hydraulic hysteresis and preferential flow increasing the predictive  
 275 capability. Fan et al. [13], based on the application of a stochastic approach  
 276 to model the triggering phenomena using soil type and initial water content  
 277 variation data, indicates that the soil propriety variability could be responsible  
 278 of an increase of landslide volume. It is worth to point out that among all soil  
 279 proprieties the spatial distribution of sediment characteristics plays a crucial  
 280 role on the model predictive ability [24, 11, 1]. Nevertheless, the modeling of  
 281 soil parameter uncertainties falls beyond the scope of this paper.

282 Regarding the hydraulic condition, the rainfall time history in different sta-  
 283 tions back to one month up to the 1 October rainfall event shows a significant



Table 1: Soil properties data used as input to the TRIGRS model [38].

$\phi'$	$c'$	$\gamma_s$	$K_s$	$D_0$	$d_{LZ}$
[°]	[Pa]	[N/m <sup>2</sup> ]	[m/s <sup>2</sup> ]	[m <sup>2</sup> /s]	[m]
39	4000	19000	$2 \times 10^{-5}$	$5 \times 10^{-5}$	$32 \exp(-0.07\delta)$

284 amount of antecedent rainfall (see Figure 6a). This likely allows the use of  
 285 a tension-saturated model for simulating infiltration processes triggering land-  
 286 slides on 1 October 2009 (see Sect. 2.1). In general, a more precise infiltration  
 287 model is needed to account for infiltration dynamics in the unsaturated zone  
 288 considering the soil water characteristic curve, and thus a less simplified version  
 289 of the Richards' equation (see e.g. [4, 2, 39, 38]). Considering that the rainfall  
 290 alluvial event was characterized by high spatial variability, our application has  
 291 been carried out by using the data of Santo Stefano di Briga rainfall gauge sta-  
 292 tion (see Figure 6b), which is the closest to the investigated area and therefore  
 293 it is considered representative of the 1 October 2009 event in Giampileri [39].  
 294 This rainfall time series has been inputed to the TRIGRS model at an hourly  
 295 time step. The final instability map corresponding to few hours after the cease  
 296 of rainfall is used in input to the propagation model FLO-2D, after applying  
 297 the instability-to-debris-flow-triggering threshold.

298 Regarding the calibration of the triggering to debris flow threshold (see  
 299 eq. 11), the debris flow susceptibility maps are obtained considering the total  
 300 amount of unstable volume defined by the rainfall infiltration and geotechnical  
 301 instability model, and then those resulting applying Eqn. 11 with the following  
 302 values of the triggering parameter  $S_{min}$  are assumed: 0.001 ha, 0.002 ha, 0.003  
 303 ha, 0.004 ha, 0.005 ha.

304 Regarding the propagation model, the debris flow phenomena is simulated  
 305 considering the unstable volume in each grid cell. In light of the soil saturated  
 306 condition, each single unstable cell volume is transformed in a water-sediment  
 307 mixture triangular hydrograph characterized by a sediment concentration of  
 308 0.5, with time peak equals to the average basin concentration time (about 6

309 minutes). The unstable cells are modeled to be triggered as debris flow at the  
310 same instant, the effects of delayed mass release being beyond the scope of this  
311 paper. The rheological characteristics of the propagating mixture, such as the  
312 yield stress  $\tau_B$ , the dynamic viscosity  $\mu_B$  and the resistance coefficient  $n$ , are  
313 those determined by Stancanelli and Foti [46], which were obtained through a  
314 calibration procedure carried out with reference to the same area considered in  
315 this study.

### 316 3.3. Debris flow susceptibility assessment

317 Debris flow susceptibility assessment is carried out applying the proposed  
318 methodology (indicated in the following as SD "spatially distributed" input) to  
319 the Giampileri area where data of the 1 October 2009 event, described in Sect.  
320 3.2, are available for calibration and validation.

321 First, we carried out the slope instability analysis by means of rainfall infil-  
322 tration and geotechnical instability model (Sect. 2.1). Figure 8 shows the results  
323 in terms of instability map applying the TRIGRS model, and considering the  
324 six different values of the  $S_{min}$  parameter in Eqn. 11.

325 From the first instability map of the Fig. 8, the presence of isolated un-  
326 stable cells can be seen. This is related to the infinite slope assumption in the  
327 adopted geotechnical model, for which the failure of each cell is assumed to be  
328 independent from the other ones in the catchment. Due to lateral (parallel to  
329 the hillslope) forces, real failure generally presents some connectivity, a feature  
330 which is better captured by models which include a multi-dimensional analysis  
331 of failure [cf. 26, 30, 5, 1], to which our approach may be potentially extended.

332 The values of  $S_{min}$  adopted in the simulations and the resulting triggered  
333 volumes are reported in Table 2. In case of lower and higher  $S_{min}$  the debris  
334 flow volume is assumed to have a reduction respectively of 34% and 67% of the  
335 total triggering volume ( $S_{min}=0$ ).

336 The landslide-triggering maps are used directly as input to the FLO-2D  
337 propagation model; specifically, a hydrograph of volume equal to that of the  
338 displaced soil, is associated to each triggered cell. The inundated areas thus

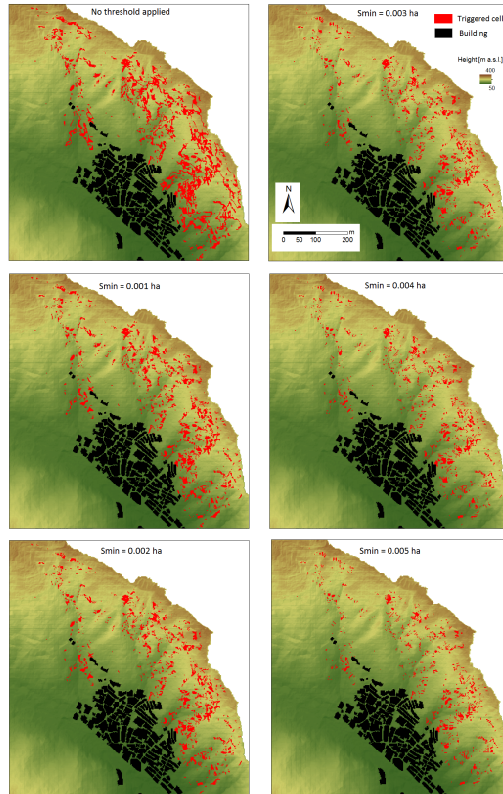


Figure 8: Maps showing the simulated triggered cells for the 1 October 2009 event, obtained by the TRIGRS model and subsequent application of the instability-to-debris-flow-triggering threshold with different values of the  $S_{min}$  parameter, as indicated in the panels. Simulations have been carried out considering as input to the TRIGRS model the hourly-resolution rainfall event measured at the nearest raingauge available (S. Stefano di Briga).

Table 2: Input triggered volumes, computed by TRIGRS and the specified unstable-to-triggering threshold, for each considered basin (see Fig 5a). These volumes have been used as input in the traditional hydrograph-based approach.

	Volume per basin [m <sup>3</sup> ]								Total
	1 (Loco)	2	3 (Sopra Urno)	4 (Puntale)	5	6	7	8	
TRIGRS as-it-is	11060.8	57.9	20769.6	13814.9	1635.4	319.4	5888.3	3969.2	57515.5
$S_{min} = 0.001$ ha	7662.1	50.3	14272.5	9452.1	1076.8	242.9	3182.6	2273.0	38212.2
$S_{min} = 0.002$ ha	6513.4	42.8	12383.2	8431.8	946.9	188.5	2623.9	1937.2	33067.7
$S_{min} = 0.003$ ha	5055.0	29.2	9919.2	7142.5	770.9	101.4	2053.9	1508	26580.2
$S_{min} = 0.004$ ha	4191.0	15.3	8540.7	6459.5	684.9	81.2	1817.3	1302.6	23092.4
$S_{min} = 0.005$ ha	3354.8	15.3	6944.6	5649.6	547.3	40.3	1489.3	1105.5	19146.7

339 obtained by means of the propagation model are shown in Fig. 9.

340 The extent of inundation area and the flow-depths (see Figure 9) at the  
341 end of the event decreases as higher values of the triggering threshold  $S_{min}$  are  
342 applied to the slope instability map.

343 A few other simulations, emulating the usual procedure of modeling debris  
344 flow run-out with the total unstable volumes of debris mixture hydrographs  
345 triggered at the top of the sub-basin streams, have also been carried out. We  
346 refer to this method as the "traditional" T one. The aim is to have a reference  
347 useful for evaluating the performance of the SD approach proposed here. In  
348 particular SD simulations adopted for comparison are those performed with  
349 the volumes resulting from the application of the less restricting thresholds,  
350 corresponding to lower values of  $S_{min}$  (case of no threshold, then Sim=0.001 ha  
351 and Smin 0.002 ha). The resulting inundation maps are presented in Figure 10.

352 The spatial distribution of the cells affected by debris flows resulting from  
353 the different scenarios is evaluated by comparison with the observed inundation  
354 maps, based on the ROC-based indexes of Eqs. 12 and 13 (as shown in Figure  
355 11).

356 The performances have been assessed separately for the whole domain of sim-  
357 ulation (denoted as "basin") and for the urbanized area (indicated as "urban"),  
358 which are defined in Fig. 7a.

359 As it can be inferred from the plots, there is a significant difference between  
360 the quality of the reproduction of the real event related to the whole basin

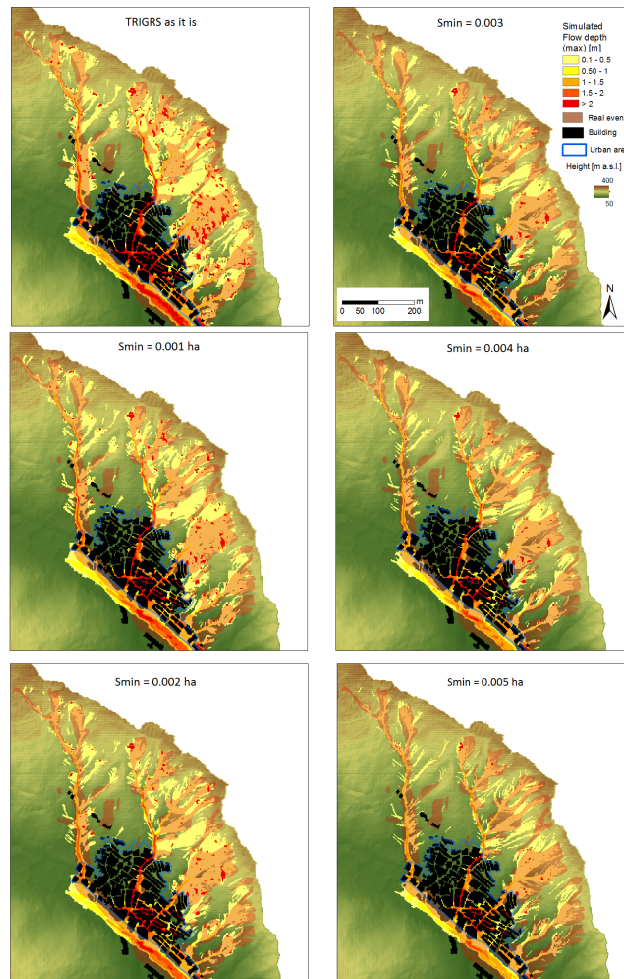


Figure 9: Estimated inundation maps corresponding to different instability-to-debris flow triggering thresholds (Spatially Distributed input: SD).

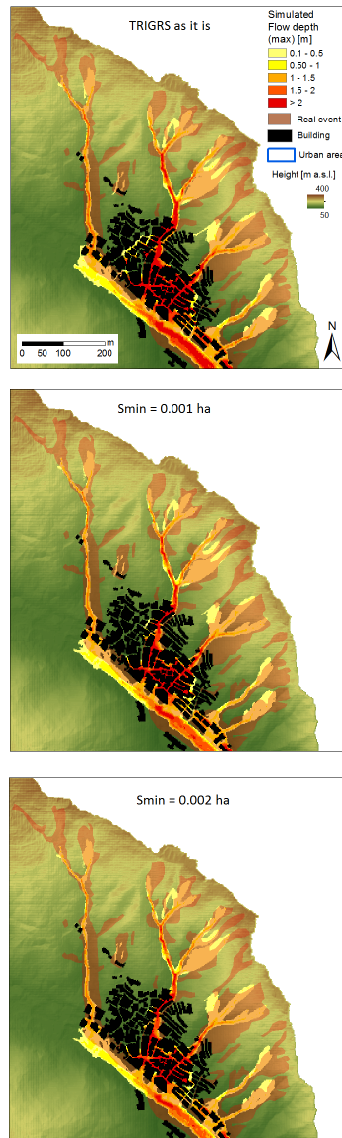


Figure 10: Estimated inundation maps corresponding to different instability-to-debris flow triggering thresholds where inputs are given as lumped hydrographs (Traditional input: T).

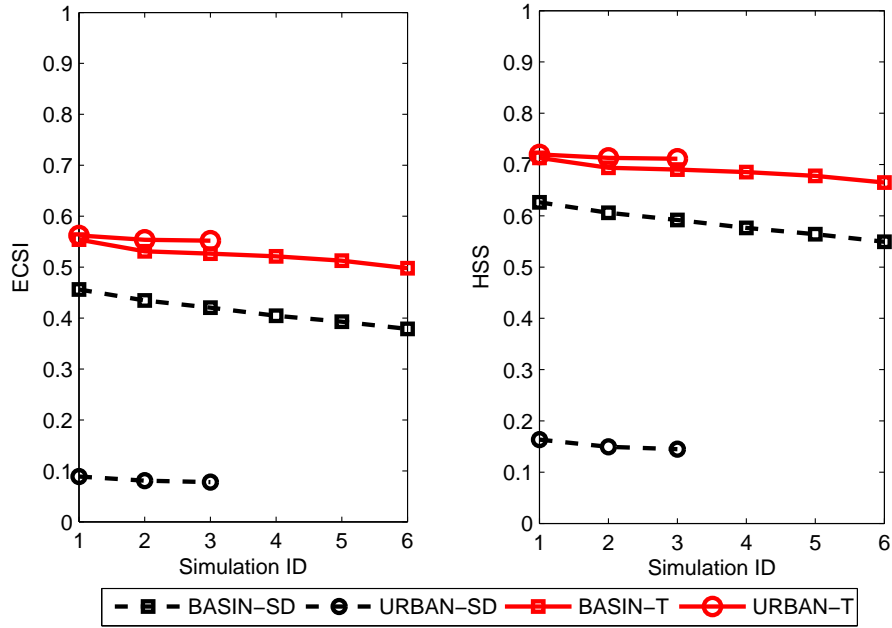


Figure 11: Assessment of model performances in the areal reproduction of the real 1<sup>st</sup> October event for all six values of the parameter  $S_{min}$  in terms of the Equitable Critical Success Index (ECSI) and the Heidke skill score ROC indexes represented for the basin area and the urbanized area, for the proposed spatially-distributed (SD), and the traditional (T) methodologies. The SIMULATION IDs represent respectively the following: 1. "No threshold", 2.  $S_{min} = 0.001$  ha, 3.  $S_{min} = 0.002$  ha, 4.  $S_{min} = 0.003$  ha, 5.  $S_{min} = 0.004$  ha, 6.  $S_{min} = 0.005$  ha. Though the indexes exhibit only little variations respect to  $S_{min}$ , there is a clear difference between performances in the urban area and those in the whole simulation domain. In particular performances in the whole basin are worse than those in the urban area.

361 (indicated in black within the figure) and that related to the urbanized area  
362 only (red lines), where the model clearly performs better. This highlights that  
363 the prediction of triggered areas is a difficult task; in the urban area results  
364 are better, but there clearly large margins of improvement (ROC indexes are  
365 not higher than 0.8). Lower assessment performance, in case of the entire area,  
366 is presumably addressable to assumption of constant sediment characteristics  
367 within the basin. Indeed, the latter influences the evaluation of the triggering  
368 volume and its distribution on the slopes (basin area). On the other hand,  
369 better performance results are obtained within the urbanized area, where fac-  
370 tors as DEM accuracy (high in our case) and rheological parameters (previous  
371 calibrated in Stancanelli and Foti [46]) play a significant role.

372 From figure 11, it can be inferred that performances of the SD and T ap-  
373 proaches are very similar with respect to the urban area (see red continuous and  
374 dotted lines), while with respect to the whole basin area, the former performs  
375 significantly better. This reflects the fact that triggered areas are taken into  
376 account when specifically assessed by suited models, whereas they are neglected  
377 in the case of the traditional hydrograph-based approach. Indeed the better  
378 results obtained by the T approach in the urbanized area are partially due to  
379 the reduced heterogeneity and the presence of roads and buildings that limit  
380 the possible flow paths.

381 Regarding the best value of the threshold parameter  $S_{min}$  it can be seen that  
382 the differences are quite small, but still it seems that performances decrease as  
383 the threshold parameter  $S_{min}$  becomes more restrictive, though it can be stated  
384 that the first three simulations are practically equivalent in terms of resulting  
385 ROC indexes. In order to better identify which of the three simulations is the  
386 best, a comparison between observed and simulated depths at the same point  
387 locations has been performed (see Fig. 7); Table 3 shows the related data.

388 Direct comparison of such depths may not be particularly significant, since  
389 it depends on the criteria by which the comparison is carried out. Hence we  
390 consider more adequate a coarser assessment, based on the global distribution  
391 of debris flow depths in the observation locations, with the aim is to understand



Table 3: Comparison of maximum flow depths [m] measured and simulated at the specific locations shown in Fig. 7, for both the proposed (spatially distributed) and traditional methodologies.

Observed	Spatially distributed method			Traditional method		
	$S_{min} = 0.001$ ha	$S_{min} = 0.002$ ha	$S_{min} = 0.003$ ha	$S_{min} = 0.001$ ha	$S_{min} = 0.002$ ha	$S_{min} = 0.003$ ha
3.30	1.94	1.86	1.74	2.89	2.17	1.96
2.00	1.88	1.80	1.68	2.83	2.11	1.89
3.00	5.15	5.02	4.75	6.63	5.76	5.46
1.70	2.11	1.96	1.67	3.16	2.41	2.17
2.17	5.53	5.32	4.91	7.46	6.30	5.96
3.30	3.82	3.65	3.33	5.58	4.52	4.12
2.04	1.58	1.44	1.20	2.55	1.90	1.69
1.33	2.64	2.47	2.17	4.37	3.32	2.97
2.05	0.00	0.00	0.00	0.00	0.00	0.00
1.50	3.08	2.81	2.35	4.90	3.70	3.29
1.26	2.10	2.00	1.81	3.10	2.50	2.29
2.00	1.71	1.63	1.50	2.44	1.97	1.82
2.83	1.50	1.33	1.02	3.20	2.13	1.76
1.75	1.88	1.69	1.46	3.07	2.28	2.02
1.72	0.00	0.00	0.00	0.93	0.00	0.00
2.78	2.60	2.46	2.21	3.61	2.99	2.74
2.60	4.32	4.14	3.83	6.13	5.09	4.71
2.10	3.48	3.27	2.94	5.72	4.55	4.13
2.30	2.65	2.49	2.23	3.67	3.01	2.81
2.40	1.27	1.17	1.07	1.93	1.52	1.38
2.00	1.12	1.02	0.90	1.77	1.36	1.22
1.95	1.56	1.51	1.37	3.13	2.45	2.25
1.93	1.53	1.50	1.40	2.78	2.23	2.08
1.20	0.27	0.27	0.23	0.36	0.32	0.31

392 if the model performs globally well in reproducing the magnitudes of the flow  
393 depths. The box-plots of Fig. 12 compare the distribution of maximum flow  
394 depths, as derived from Table 3.

395 In particular, for each value of  $S_{min}$  the first box-plots represent the dis-  
396 tribution of observed maximum flow-depths on the points where observations  
397 were available, and the second one represents that of the simulated flow-depths.  
398 As it can be seen from the box-plots, to apply no instability-to-debris flow  
399 triggering filter leads to an over-estimation of the flow-depths. This may be  
400 accepted in some applications, if one desires conservativeness of results. Filter  
401 of  $S_{min} = 0.001$  ha leads indeed to the best results, since  $S_{min} = 0.002$  ha is  
402 not conservative in this case the median maximum flow-depth, represented by  
403 central line of the box plots, is less than the observed median.

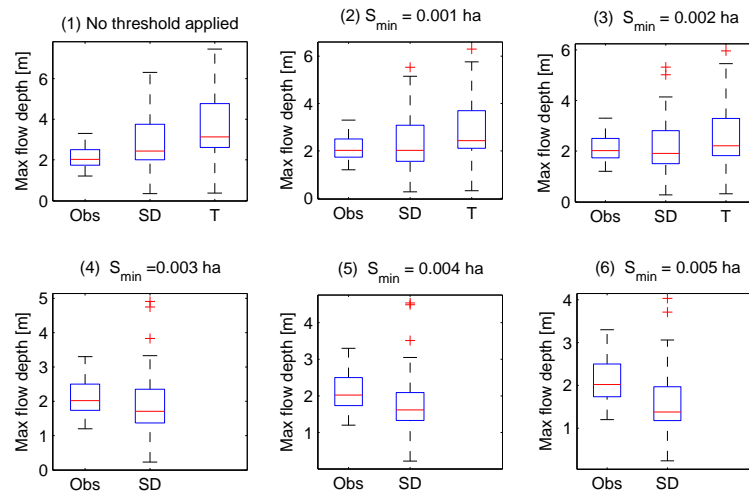


Figure 12: Comparison of the maximum debris flow depth reproduction of the 1 October event for all six scenarios in terms of the box-and-whiskers-plot for the traditional and the proposed spatially-distributed methodology. The box width is equal to the interquartile range and the central value indicates the median value, data outside the whiskers are "out-of-range" values. These plots compare observed-simulated flow depth pairs measured at the same locations, and are obtained from data shown in Table 3.

404 The results allow to state that for a susceptibility assessment at the catch-  
405 ment scale the SD approach may be more reliable and conservative, while, in the  
406 case that one needs to assess susceptibility in the urbanized area, the traditional  
407 approach may still yield reliable results.

408 Finally, in order to evaluate the performance of the rainfall infiltration and  
409 geotechnical instability model in estimating the total eroded volume from the  
410 slope and the feasibility of the triggering to debris flow threshold application,  
411 the unstable volume have been estimated by commonly-applied empirical for-  
412 mular and then compared them to those obtained with our methodology (see  
413 Tab. 2). Table 4 shows unstable volume estimation for the Loco basin deter-  
414 mined applying different approaches, such as: comparison of pre and post event  
415 Digital Terrain Model (DTM) [53], output of the TRIGRS modelling, empirical  
416 formulations [6, 10, 23, 28, 52]. It is quite evident that the empirical formu-  
417 lations give only approximate estimations of the possible maximum intensity  
418 of slope erodible events [22] and present an high variability. In any case, the  
419 results obtained by the proposed methodology suggest that the estimation per-  
420 formed by Ventisette et al. [53] is comparable in dimension  $O(10^4 m^3)$  with the  
421 one evaluated by means by means of the physically based slope stability model,  
422 when no instability-to-debris flow triggering threshold is applied. The applica-  
423 tion of the instability-to-debris flow triggering threshold is useful to identify the  
424 portion of the total unstable sediment that concurs to the debris flow forma-  
425 tion. In case of the alluvial event of 1 October 2009 for the Loco basin, the best  
426 simulation (see  $S_{min} = 0.001$  ha in Table 2) indicates that an amount of about  
427 31 % of the total sediment unstable volume is eroded as hyperconcentrated flow  
428 phenomena. That means that the debris flow that is preceded by a 30 minutes  
429 of hyperconcentrated flow, when assuming a solid concentration of 0.2.

#### 430 **4. Conclusions**

431 Modelling debris flow triggering and propagation provides useful tools for  
432 susceptibility mapping, an important step for risk mitigation in landslide prone

Table 4: Unstable volume estimation for the Loco basin using: TRIGRS model, pre-event and post event DEM [53], and several empirical formula [6, 10, 23, 28, 52].

applied methods	volume [ $m^3$ ]
TRIGRS model	11060
Ventisette et al. [53]	13507
Bianco and Franzini [6]	3829
Bottino et al. [10]	12008
Kronfellner-Kraus [23]	7184
Marchi and Tecca [28]	9129
Tropeano and Turconi [52]	83262

433 areas. Here a methodology for debris-flow modelling is proposed, which cou-  
 434 ples a spatially distributed map of potential unstable areas and the subsequent  
 435 propagation and deposition of the triggered masses. To this end, a simple and  
 436 general empirical framework for combining triggering and propagation models  
 437 has been proposed in the paper; the framework is one step toward improving  
 438 commonly-applied debris flow susceptibility methods, where the triggered mass  
 439 is estimated by simply incrementing the flood hydrograph by a more or less em-  
 440 pirical multiplier that accounts for the presence of the solid phase. The latter  
 441 approach presents various drawbacks, mainly related to the fact that the desta-  
 442 bilized sediment masses are prescribed as input at points chosen empirically  
 443 and arbitrarily. In addition this lumped approach is strongly basin specific, i.e.  
 444 depends on the draining basin on which the flood hydrograph is computed.

445 One crucial step of the proposed methodology is the definition of the land-  
 446 slide instability-to-debris flow triggering threshold, to identify those cells, among  
 447 the potentially unstable ones resulting from the application of the hydrological-  
 448 geotechnical models, that effectively contribute to debris flow. This threshold  
 449 may be determined successfully by using data available from past events (in-  
 450 undated areas map and spatial distribution of maximum flow depths). To this  
 451 aim receiver-operating characteristics (ROC) analysis and statistical tools that

452 allow to compare the simulated maximum flow depths with those observed have  
453 been used. The calibrated instability-to-debris flow triggering threshold may be  
454 used to perform predictive susceptibility mapping in nearby areas that present  
455 similar soil hydraulic and geo-mechanical properties. The proposed approach  
456 leads to promising results, which may be improved by a multi-parametric op-  
457 timization and model sensitivity analysis respect to uncertain soil parameter  
458 values.

459 Simulation of the Giampilieri event occurred on 1 October 2009, leads to a  
460 generally good agreement with observations. Nonetheless, the procedure still  
461 has some limitations, including a) the infinite slope stability analysis on which  
462 the TRIGRS model is based generally tends to overestimate unstable cells, be-  
463 cause of the neglecting of lateral strength, b) in the FLO-2D model, lack of  
464 accounting for erosion processes in high slope region underestimate the vol-  
465 ume of the propagation mass. Thus there are still margins of improvement, as  
466 suggested by the ROC indexes. The proposed spatially distributed hydrograph  
467 approach is found to produce robust and reliable results, especially when assess-  
468 ing susceptibility in the urban area. Moreover, the use of a spatially distributed  
469 model for the estimation of the triggered cells, being more consistent with the  
470 real process, may lead to better results in the upper parts of the basin where  
471 the triggering takes place.

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