

# **From Chaos To Control**

**How Dutch university libraries collectively  
build, manage and use a data warehouse  
for open access management**

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**Simple questions, simple answers....  
.... If you have the data available!**

**“What are the costs of APCs in the wild in 2022?”**

**“S/N claims that the cap in their R&P proposal will cover all our articles, is that true?”**

**“The uptake of this new R&P deal is less than expected. Are eligible authors publishing outside the deal and should we discuss a retrospective OA action with the publisher? Or is there a post covid dip in overall output that explains it all?”**

# Added Value UKB Datahub

## SITUATION 2019

- **Limited overview**
  - Experts working in isolation
  - Decentral
- **Limited insight**
  - Ad-hoc reports
  - Single sources
- **Limited control**
  - Data quality 'as is'
  - Status cap 'as is'



## CURRENT SITUATION

- **Better overview**
  - Experts collaborating
  - Central
- **Better insight**
  - Continues data and reports
  - Combining sources
- **Better control**
  - Managing Data quality
  - Responsive

# UKB Data Hub: The Team

## Strong participation of university libraries

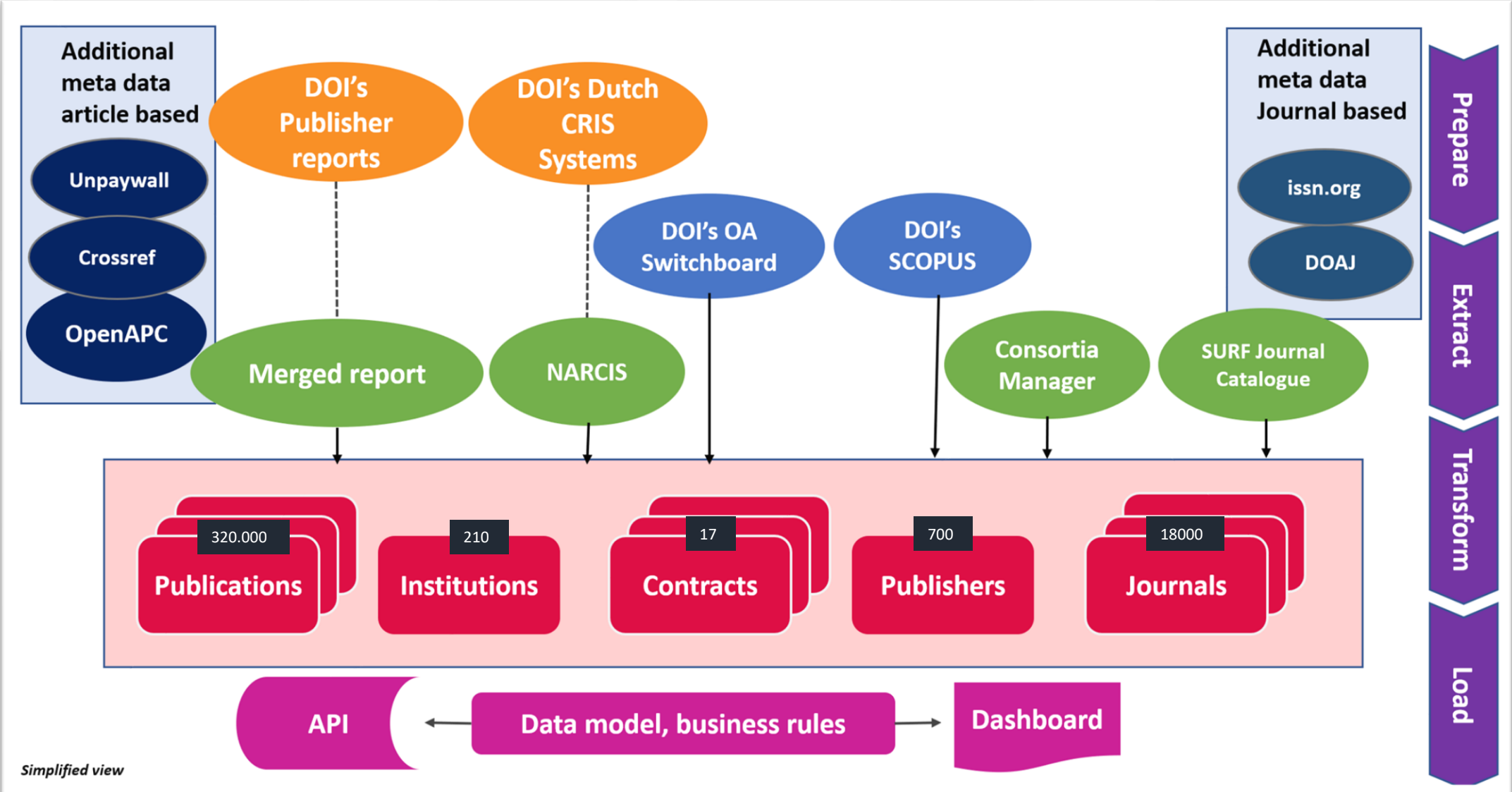
- (Project) management: VU (0.2 fte)
- Research output expertise: UU, Maastricht, Leiden (0.6 fte)
- Journal meta data expertise WUR (0.2 fte)
- IT system management expertise: UU (0.4 fte)
- BI expertise: VU (0.2 fte, temporary)
- Qlik software expertise: TUE / UU (0.3 fte)

## And external expertise (sr level, capacity building for faster development)

- System development
- Data retrieval
- Dashboarding



# UKB Data Hub: Data Model



# UKB Data Hub: General Dashboard

Total Publications  
**321.105**

Total Open Access  
**243.625**

Gold Open Access  
**194.736**

Green Open Access  
**48.889**

Closed Access  
**75.147**

Bronze Open Access  
**1.590**

% Open Access  
**75,9%**

% Closed Access  
**23,9%**

Time

Institute

Article

Publisher and Journal

Access

Source

Source description

Publication Year

2107  
2024  
2023  
2022  
2021

Institute Type

UNL 58.2%  
NFU 27.0%  
STZ 4.7%  
RESEARCH ... 3.7%  
RKI 1.3%

DOI

Article Is Corresponding

N  
Unknown  
Y

Publisher

Journal Title

ISSN-L

Journal Publishrights

N  
Y

Article Publishrights

N  
Y

BR OA Status

Bronze open access  
Closed access  
Gold open access  
Green open access  
Unknown

BR Licence Type

CC BY  
CC BY-NC  
CC BY-NC-ND

Journal OA Status

UPW OA Status

T/F - Part of Publisher...

FALSE  
TRUE

T/F - Part of Scopus

TRUE  
FALSE

T/F - Part of NARCIS

TRUE  
FALSE

T/F - Part of OA Switc...

FALSE  
TRUE

Source description

- 1 - Complete
- 2 - Publisher Information & Scopus
- 3 - Publisher Information & Narcis
- 4 - Publisher Information Only
- 5 - Scopus & Narcis
- 6 - Scopus Only
- 7 - Narcis Only

OAS

OA Lifecycle

www.doi.org

4 Sources

Year OA Check Date

2023  
2022  
2021  
2020  
2019  
2018

Corr Institute

3TU Centre for Ethics and Te...  
Academic Center for Evidenc...  
Academisch Medisch Centrum

Institute Abbr

Institute Full Name

Publication Type

article  
conference  
non-peer reviewed

Scopus Funder Info

Publisher Funder Info

Publisher (PR+OAS)

**71.062**

Scopus

**290.590**

Narcis

**254.125**

Article publishrights Y

**96.629**

Publishers

**705**

Corresponding articles

**182.099**

Institutes

**211**

Unique ISSN-L

**18.731**

DOI	Article Title	Public... Year	Publisher	ISSN-L	Journal Title	Institute	Corr author	Article Is Corre...	Corr institute	Source Desc.	PR OAS Source	Public... Date	OAch...	BR OA Status	UPV OA stat
10.1097/CCO.000000000000...	IMAGE GUIDED SURGERY IN ORAL CANCER: TOWARDS IMPROVED MARGIN CONTROL	2022	Lippincott Williams & Wilkins	1040-8746	Current Opinion in Oncology	UMC Utrecht	Remco - de Bree	Y	UMC Utrecht	1 - Complete	PR	2022-05-01	2022-03-01	Gold open access	hyb
10.35241/EMERALDOPENR...	EXPERIENCES AND	2022	Emerald Group Publishing Ltd.	2631-3952	Emerald Open Research	Universiteit Utrecht	Julia Kasch	Y	Universiteit Utrecht	3 - Publisher Information	PR	2022-08-04	2022-08-04	Gold open access	golg

# UKB Data Hub: General Dashboard

## Zooming In On One Institution, One Year

UKBsis Dashboard 2023

Analyseren Werkblad | Vertellen Presentatie

Article Year 2022 | Article Corr Inst... Rijksuniversiteit Gron...

99. Publication Details

Total Publications 1.968	Total Open Access 1.878	Gold Open Access 1.644	Green Open Access 234	Closed Access 89	Bronze Open Access 0	<b>% Open Access 95,4%</b>	% Closed Access 4,5%
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**OAS**

**OA Lifecycle**

**www.doi.org**

**4 Sources**

Time	Institute	Article	Publisher and Journal	Access	Source
<b>Publication Year</b> Year OA Check Date 2023 2022 2021 2020 2019 2018	<b>Institute Type</b> UNL 100.0% KNAW NFW <b>Corr Institute</b> Institute Abbr Institute Full Name	<b>DOI</b> <b>Article Is Corresponding</b> Y N Unknown <b>Publication Type</b> <b>Scopus Funder Info</b> <b>Publisher Funder Info</b>	<b>Publisher</b> <b>Journal Title</b> <b>ISSN-L</b> <b>Journal Publishrights</b> N Y <b>Article Publishrights</b>	<b>BR OA Status</b> Closed access Gold open access Green open access Unknown <b>Bronze open access</b> <b>BR Licence Type</b> <b>Journal OA Status</b> <b>UPW OA Status</b>	<b>T/F - Part of Publisher Report</b> FALSE TRUE <b>T/F - Part of Scopus</b> TRUE FALSE <b>T/F - Part of NARCIS</b> <b>T/F - Part of OA Switchboard</b>

Publisher (PR+OAS) 1.166	Scopus 1.914	Narcis 1.759	Article publishrights Y 1.315	Publishers 111	Corresponding articles 1.968	Institutes 1	Unique ISSN-L 1.236
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DOI	Article Title	Public... Year	Publisher	ISSN-L	Journal Title	Institute	Corr author	Article Is Corre...	Corr institute	Source Desc.	PR OAS Source
10.1093/MNRAS/STAC3381	BUDHIES V: THE BARYONIC TULLY-FISHER RELATION AT	2022	Oxford University Press (Journals)	0035-8711	Monthly Notices of the Royal Astronomical Society	Rijksuniversiteit Groningen	A R Gogate-MA W Verheijen	Y	Rijksuniversiteit Groningen	4 - Publisher Information Only	PR OAS

# UKB Data Hub: General Dashboard

## APC's in the wild for universities 2020-2022

The dashboard displays various metrics and filters for publication data. A red oval highlights the filter tabs at the top, including 'Article Year', 'Article Institute...', 'Article Is Corres...', 'Article Publicati...', 'Journal Deal Pu...', 'T/F - Part of Pub...', and 'UPW Article OA...'. A dropdown menu for 'UPW Article OA...' is open, showing options: gold, hybrid, bronze, closed, green, and Unknown. The 'gold' and 'hybrid' options are selected with green checkmarks.

**99. Publication Details**

Summary Metrics:

- Total Publications: 15.935
- Total Open Access: 15.935
- Gold Open Access: 15.935
- Green Open Access: 0
- Closed Access: 0
- Bronze Open Access: 0
- % Open Access: 100,0%
- % Closed Access: 0,0%

Filters and Selections:

- OAS** (Selected)
- OA Lifecycle
- www.doi.org
- 4 Sources

Time: Publication Year (2023, 2022, 2021, 2020, 2019, 2018)

Institute: UNL (100.0%), KLEINE..., NFU

Article: DOI, Article Is Corresponding (Y), Publication Type (N)

Publisher and Journal: Publisher, Journal Title, ISSN-L, Journal Publishrights (N), Article Publishrights (Y)

Source: T/F - Part of Publisher Report (FALSE), T/F - Part of Scopus (TRUE), T/F - Part of NARCIS, T/F - Part of OA Switchboard

Source description: 1 - Complete, 2 - Publisher Information & Scopus, 3 - Publisher Information & Narcis, 5 - Scopus & Narcis, 6 - Scopus Only, 4 - Publisher Information Only, 7 - Narcis Only

Summary Metrics (Bottom):

- Publisher (PR+OAS): 289
- Scopus: 15.914
- Narcis: 14.732
- Article publishrights Y: 0
- Publishers: 355
- Corresponding articles: 15.935
- Institutes: 14
- Unique ISSN-L: 2.128

UPW OA status	UPW-date	UPW is_Lo...	Journals_ID	Journal OA Status	[Article Publis...	Journal Deal PublishRi...	BR Article Licence Type	UPW Article License	BR Article Publication Type	PR APC	Journal APC	Open...
gold	03-07-2023	1	2022 2160-1836	Full OA	N	N	CC BY	CC BY	article	-	€ 2.113,61	€ 1.924,82



# UKB Datahub: Filter to Check Non-Compliance

Total Publications	Total Open Access	Gold Open Access	Green Open Access	Closed Access	Unknown Access	% Open Access	% Closed Access
140	140	140	0	0	0	100,0%	0

**Entity** Filter on Organisation

**DOI** Filter on DOI

**Publisher** Filter on Publisher or Journal

**Access** Filter on OA status and OA type

**Source** Filter on source of data

Publication Date Select date range

OA Check Date Select date range

Corr Institute

Institute Main

Institute Type

OA Status

Article OA Status (BR)

Journal OA Status

Source Description

T/F - Part of Publisher Report

PR 140

Scopus 60

Narcis 28

Publish Rights 'Y' 137

Publishers 8

Institutes 22

Mapping Institute Names 3.966

Unique ISSN-L 122

Article ID	Article OACheckDate	Article OA Status (BR)	UPW OA status	UPW-date	Journal Access	Article Uni Publis...	Deal PublishRi...	Article Licence Type	Article Funder Info
2022-09-09	2022-09-09	Gold open access	hybrid	08-10-2022	Hybrid	Y	Y	OA-CC-BY-NC	ZonMw
2022-07-07	2022-07-07	Gold open access	hybrid	08-10-2022	Hybrid	Y	Y	OA-CC-BY-NC	ZonMw
2022-08-28	2022-09-29	Gold open access	hybrid	08-10-2022	Hybrid	Y	Y	CC-BY-NC-ND	nederlandse organisatie voor wetenschappelijk onderzoek

# UKB Data Hub: Potential Missed Open Access

## Additional manual check needed

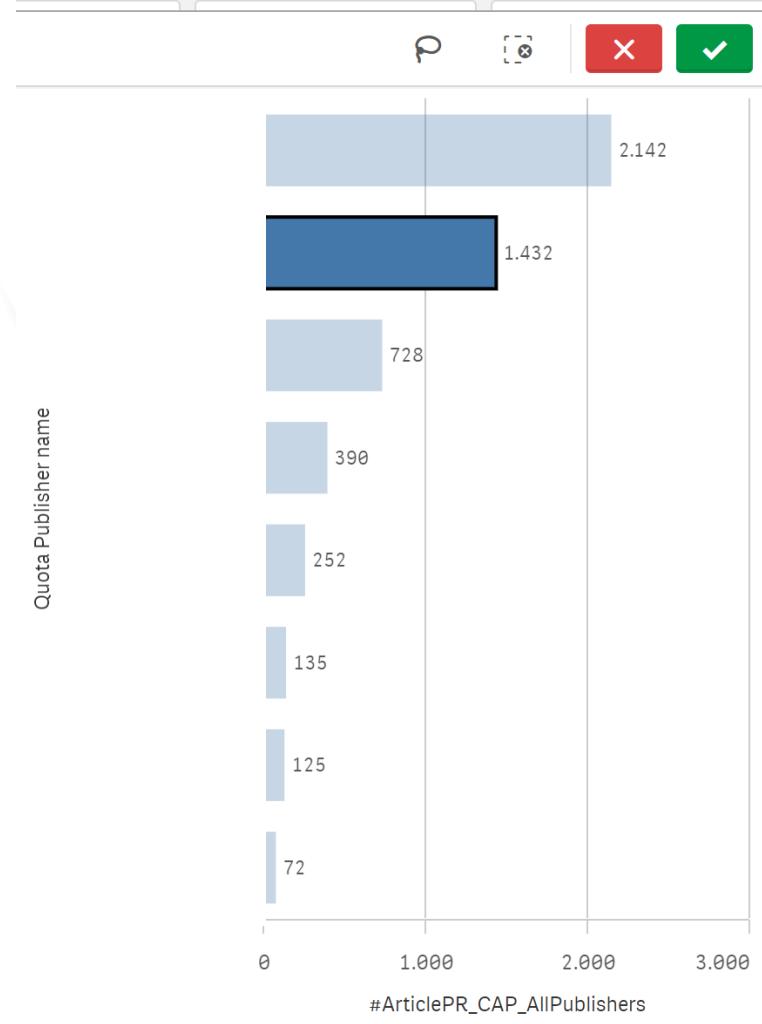
- Corresponding author with multiple affiliations
- Multiple corresponding authors
- First online date versus journal date
- Publication type

The screenshot displays the UKB Dashboard 2023 interface. At the top, there are browser tabs for 'Article Year 2023', 'Article Institute ... 2 van 12', 'Article Is Corres...', 'Journal Deal Pu...', and 'Publisher Elsevier B.V.'. The main content area is titled '99. Publication Details' and features several summary cards: 'Total Publications', 'Total Open Access', 'Gold Open Access', 'Green Open Access' (47), 'Closed Access', 'Bronze Open Access', '% Open Access' (54,0%), and '% Closed Access' (45,9%). Below these are sections for 'Article', 'Publisher and Journal Information', 'Access', 'Source', and 'Scopus Funder Info'. The 'Access' section shows 'BR OA Status' with 'Closed access' selected. The 'Source' section shows 'T/F - Part of Publisher Report' with 'FALSE' selected. At the bottom, there are summary cards for 'Article publishrights' (748), 'Publishers' (1), 'Corresponding articles' (748), 'Institutes' (22), and 'Unique ISSN-L' (472). A search bar at the bottom contains fields for 'ISSN-L', 'Journal Title', 'Institute', 'Corr author', 'Article Is Corres...', 'Corr institute', and 'Source Desc'.

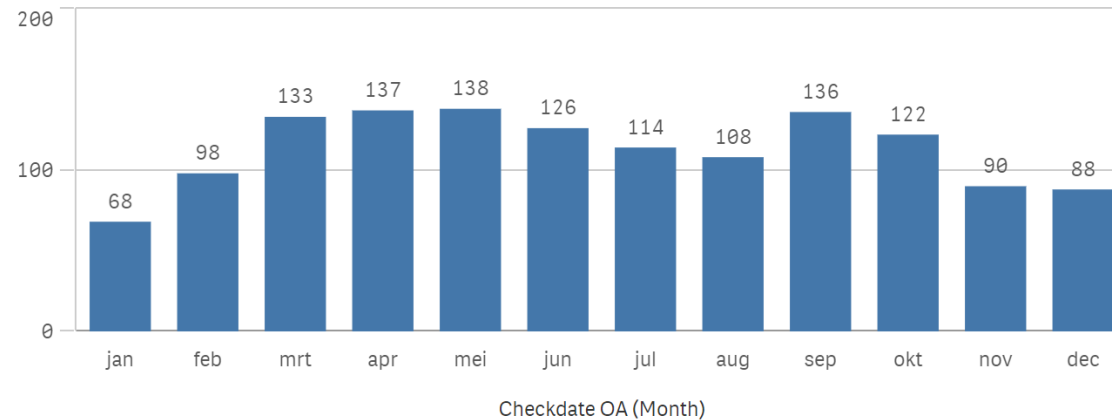
On the left side, a flowchart outlines the logic for identifying repair requests based on a DOI. The process starts with a 'DOI' input, leading to a decision diamond: 'First published = current year?'. If 'NO', it proceeds to 'No repair request'. If 'YES', it goes to another decision: 'Is article type eligible?'. If 'NO', it also leads to 'No repair request'. If 'YES', it reaches a decision: 'Corr author used consortium\* institute as corresponding affiliation?'. If 'NO', it goes to 'Consortium\* institute named as 1 of the affiliations?'. If 'NO', it leads to 'No repair request'. If 'YES', it goes to 'Consortium\* institute mail address used?'. If 'NO', it leads to 'No repair request'. If 'YES', it leads to a green box labeled 'Repair request'.

# UKB Data Hub: Cap Dashboard

## 5. Cap Monitoring (CAP Publishers)



### Monthly OA publications by Publisher



### Select OA Check Date

Select date range

### Total Count of OA Publica...

1.432

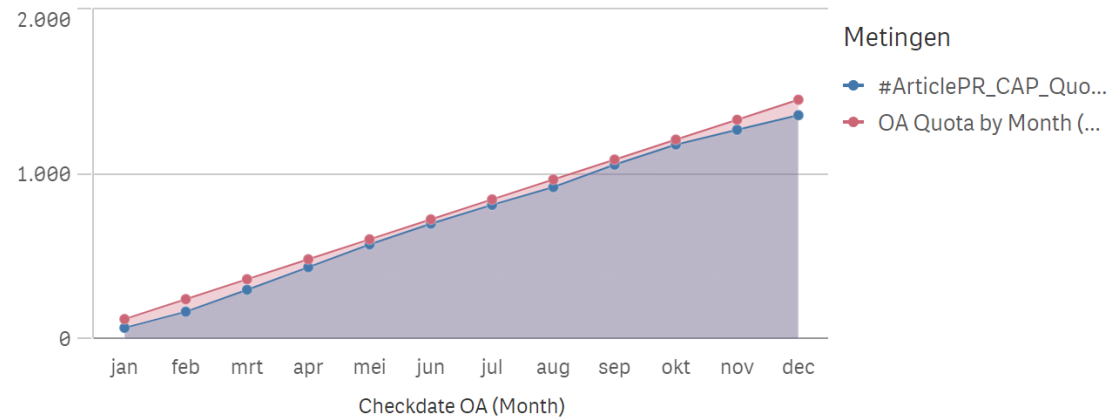
### Yearly Quota

1.452

### Delta

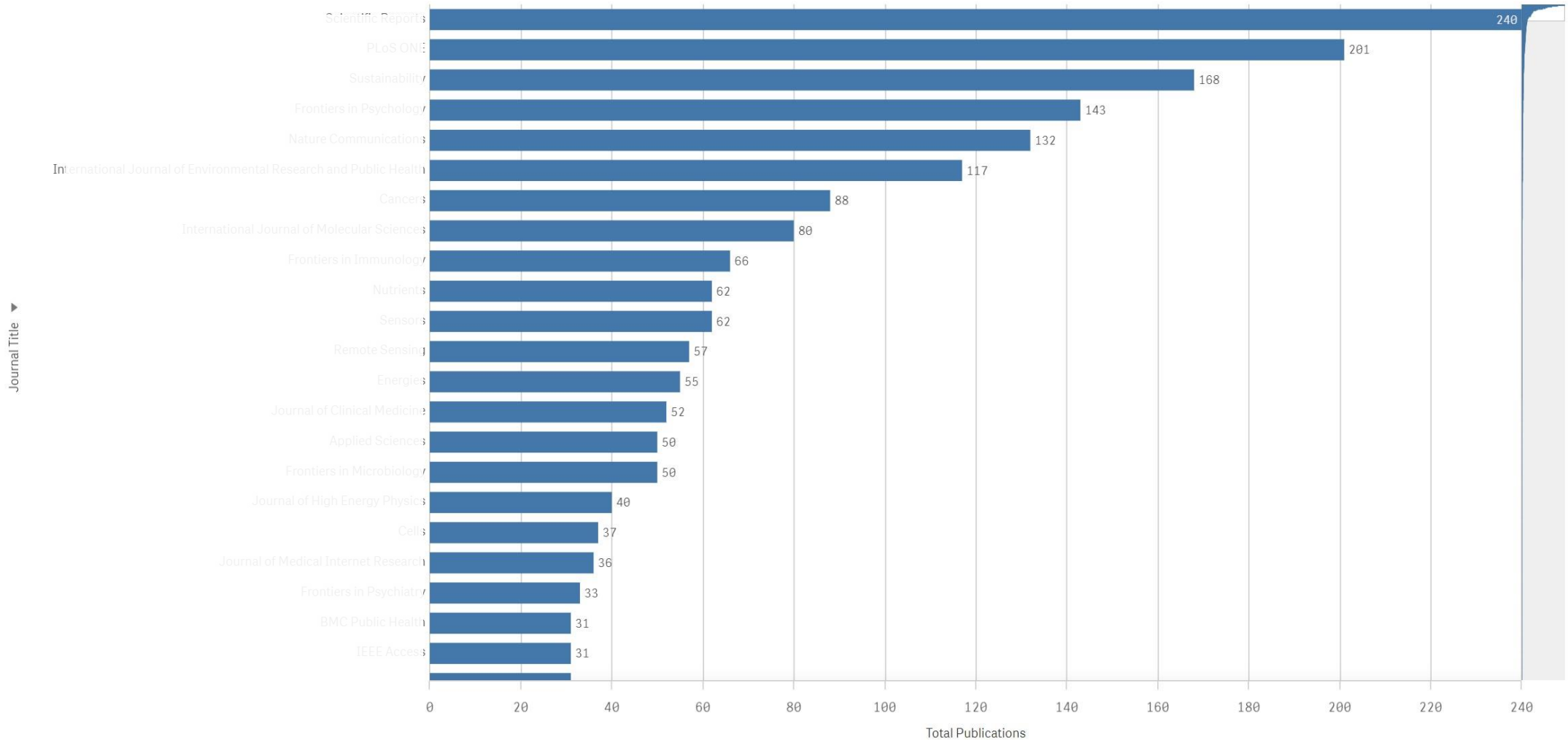
20

### Cumulative Count of OA publications



# Full OA journals outside Consortium Deals with most University Publications in 2021

Journal Publications



3. Publica

Year

2014

Publisher

Institute Type

VSNU ✓

HBO

KNAW

NFU

NWO

OVERIG

OVERHEID

STZ

TO2

ZIEKENHUIS

Institute Abbr

Source Description

5 - Scopus & Narcis

6 - Scopus Only

1 - Complete

2 - PR & Scopus

3 - PR & Narcis

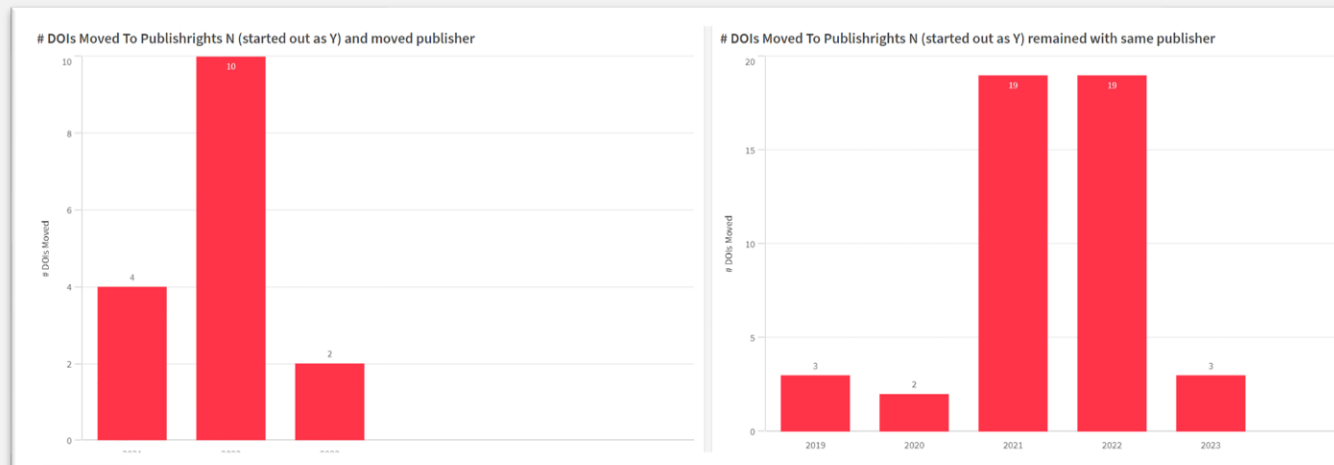
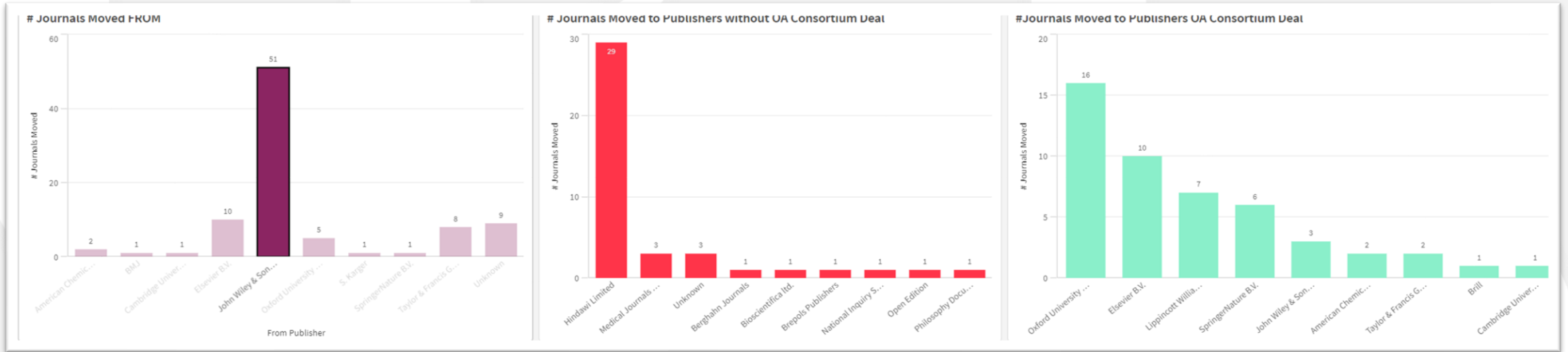
4 - PR Only

7 - Narcis Only

# UKB Data Hub: % journals with publish rights per consortium deal 2018 - 2023

	Publisher 1	Publisher 2	Publisher 3	Publisher 4	Publisher 5	Publisher 6	Publisher 7	Publisher 8	Publisher 9	Publisher 10	Publisher 11	Publisher 12	Publisher 13	Publisher 14	Publisher 15	Publisher 16	Publisher 17
2018	85%		23%	67%	20%	52%	52%		84%	66%			80%	81%	84%	83%	47%
2019	98%		20%	85%	71%	96%	59%	97%	88%	69%	82%	87%	93%	83%	90%	87%	63%
2020	92%		90%	89%	91%	94%	51%	94%	87%	68%	81%	78%	98%	92%	90%	89%	68%
2021	100%		87%	94%	91%	94%	61%	95%	84%	76%	78%	83%	96%	93%	88%	88%	80%
2022	89%	75%	86%	95%	90%	97%	69%	95%	82%	73%	76%	78%	96%	95%	89%	90%	77%
2023	92%	72%	87%	95%	91%	93%	64%	86%	84%	80%	80%	77%	97%	94%	93%	91%	91%

# UKB Data Hub: impact portfolio dynamics



# UKB Data Hub: Challenges & Solutions

- **Lack of report standards (*Publisher reports*) => using own tool to standardize, promoting OASwitchboard**
- **No APIs (*CCC dashboards, publisher dashboards*) => manual processing**
- **Need for more PIDs (*Organizations, Funders, Publisher*) => controlled lists, prefix**
- **Stability of data sources (*end of Narcis*) => additional work (*OpenAire*)**
- **Data quality (*UPW OA status, Scopus corr author status*) => multiple sources & business rules**
  
- **Overall complexity: Agile development**
- **Urgent need for data services from day 1: perpetual beta state. From consortium deals to all publishers, from corresponding articles to all articles, from universities to all research institutes.**

# **From Chaos To Control**

**How Dutch university libraries collectively  
build, manage and use a data warehouse  
for open access management**

**Arjan Schalken, Program Manager UKB**

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