

### Morphologic, Syntactic, and Phonologic Distance Between Japanese and Altaic, Dravidian, Austronesian, and Korean Languages

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#### Abstract:

The present study measures the resemblances of Japanese with Altaic languages (Turkic; Tungstic; Mongolic; Nivkh); the Dravidian language Tamil; Austronesian languages (Western Malayo-Polynesian; Malayo-Sumbawan; Central Luzon; Central Malayo-Polynesian), and Korean, in an effort to pin down the genealogy of Japanese. Morphologic, syntactic, and phonologic distance are calculated using data from corpora. The chi-square homogeneity test and Euclidean distances are used for statistical analysis. The finding brings to light, morphologically, in the light of preferences of causative/inchoative verb alternation patterning and morphemes that convey the alternation, that Japanese and Korean are close for the most part. Syntactically, Altaics and Tamil convey case via suffixes; case in Austronesian languages is marked by prefixes.

Japanese and Korean share a similarity in rendering case with particles. Phonologically, the Tamil and Austronesian languages share a resemblance in the harmony of vowel height. The Korean, Altaic languages, and Austronesian languages show similarities in the harmony of vowel backness. Japanese, the Altaic languages, and the Austronesian language Madurese display vowel-consonant harmony. Pulling these strands together, a conclusion is thus drawn that Japanese is most closely related to Korean.

Keywords: Japanese, genealogy, morphology, phonology, case system.

### Introduction

Previous studies on the genealogy of the Japanese language have considered six streams: (a) that it originated within the Korean language (Aston, 1879); (b) that it originated within the Polynesian language (Ohno, 1957); (c) that it was an Altaic language the typological approach (Hattori, 1959); (d) that it was a member of the Dravidian family, and resembled the Tamil language (the historical approach) (Ohno, 1981); (e) that it was a mixture of Tungus and Austronesian (the linguistic-geographic approach) (Matsumoto, 2007; Sasakiyama 2012); and (f) as Robbeets et al. (2021) have argued, that

it was of Transeurasian origin - it developed in the West Liao River region in the Early Neolithic and dispersed into two branches in the Late Neolithic and Bronze Age, with the northward branch expanding to the Mongolian Plateau, with Proto-Turkic, and the eastward branch dispersing to the Korean peninsula and to the Japanese islands. The present study looks at the correspondences of Japanese with the Altaic languages, the Austronesian languages, the Dravidian language Tamil, and Korean, by distances morphology measuring of (causative/inchoative alternation verb patterning; the morphemes that render verb alternation); syntax (case marking system; word

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order); and phonology (assimilation in vowels and consonants). It aims to arrive at a conclusion about the genealogy of Japanese.

### Japanese

Modern Japanese is deemed to be phonologically moraic and morphologically agglutinative, cf. (1).

(1) a. moraic L H L | | |

ku ji ra

b. agglutinative

食べ-させ-られ-まし-た-か

tabe-sase-rare-mashi-ta-ka.

eat (stem)-causative-passive voicehonorification-tense. past-question marker

Agglutination refers to: one or more suffixes are added to a verb/adjective stem to give rise to complex predicates. It differs from (a) inflection, e.g. Germanic language: walk, walk-s, walk-ed; (b) internal inflection, e.g. African language Tchad: ve (telic)/ve (atelic); (c) vowel alternation, e.g. Arabic language: katab (telic, active voice)/kattab (causative, telic, active voice)/kutib (telic, passive voice); (d). fusion, e.g. Latin: stella 'star-singular.nominative'; (e) isolation. Chinese: wð vì jīng zhī e.g. dào nà gè gù shì; Thai: rŵaŋ nán phom rúu léew 'I already know that story'; (f) incorporation, e.g. African language Chicheŵa: Mtsikana ana-chitits-a kuti mtsuko u-gw-e. [girl AGR-do-make-ASP that waterpot AGR-fall-ASP] (Baker, 1988).

| Timeline      | Joomon period         |               | Yayoi period   |  |  |
|---------------|-----------------------|---------------|----------------|--|--|
|               | Ten thousand - B.C. 3 | $\rightarrow$ | B.C. 3 –3 A.D. |  |  |
| Influenced by | Polynesian            |               | Korean         |  |  |

# Figure 1. The origin of the Japanese language (Ohno, 1957)

There has been an extensive study regarding the genealogy of Japanese in the literature. In earlier

times, the mainstream view took a historicallinguistic approach. Ohno (1957) argues that Japanese originated in Polynesian in the Joomon period (10,000 years ago–3 BC) and was influenced by Korean in the Yayoi period (3 BC–

Ohno (1981) conducted a field investigation in Tamil (the Dravidian family of languages, spoken in the southeast of India and Sri Lanka) and assumed Japanese originated in the Austronesian family of languages during the Joomon period and was connected to the Tamil language of the Yayoi period (see Figure 2).

| Timeline      | Joomon period         |          | Yayoi period             |
|---------------|-----------------------|----------|--------------------------|
|               | Ten thousand – B.C. 3 | <b>→</b> | B.C. 3 –3 A.D.           |
| Influenced by | Austronesian          |          | Tamil (Dravidian family) |

# Figure 2. The origin of the Japanese language (Ohno, 1981)

A different view comes from typological linguists. Hattori (1959) deems Japanese an Altaic language. Matsumoto (2001) argues that the Altaic people migrated to Japan, along with their languages, between the end of the Joomon period and the beginning of the Yayoi period. The 'Altaic-related' view is also championed outside of Japan, with Miler (1971) being the main scholar propagating this view. The evidence that Miler (1971) defends is the resemblance of word order, i.e. SOV (Turkic, Tungstic, and Mongolic).

Another pathway comes from a linguisticgeographic view, alleging that Japanese is a mixed language made up of the Northern Tungstic and Southern Austronesian languages (Matsumoto, 2007). A similar conclusion is reached by Sasakiyama (2012), who studied the sound and lexicons in Old Japanese from ethnology and discovered that: the Ka(h) particle is used both in Indonesian (kah) and Japanese (ka) as a question marker;  $\mathcal{N}$  (eight) behaves as a prefix, meaning 'many' instead of a quantifier; and 親ova refers to an ancestor, which resembles Malaysian usage. The similarities in lexicons have inspired linguists to deduce that the

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Austronesian languages have a genetic relationship with Japanese.

There is another notable work to mention: Kobashi and Tanaka (2011) created unrooted trees from 12 languages via: (a) neighbor joining; (b) maximum parsimony; and (c) Bayesian. The three tests all indicate that the distance between Japanese and the Altaic languages is the shortest, which indicates that Japanese is closest mostly to the Altaic languages. The approaches that have contributed to the Japanese genealogy so far are summarised in Table 1.

| Hypothesis 1 | Korean originated  | Aston (1879)           | historical linguistic approach                                   |
|--------------|--|------------------------|--|
| Hypothesis 2 | Polynesian originated  | Ohno (1957)            | historical linguistic approach                                   |
| Hypothesis 3 | Altaic originated  | Hattori (1959)         | typological approach   |
| Hypothesis 4 | Dravidian related  | Ohno (1981)            | historical linguistic approach                                   |
| Hypothesis 5 | Mixed language (Northern<br>Tungusic & Southern<br>Austronesian) | Matsumoto (2007)       | linguistic geographic approach                                   |
| Hypothesis 6 | West Liao River region   | Robbeets et al. (2021) | language dispersal, agriculture expansions, population movements |

| T-1-1-1  | Desertance | 1          | I   | [       |       |      |
|----------|------------|------------|-----|---------|-------|------|
| Table I. | Previous   | nypotneses | onj | apanese | genea | logy |

Even if we take similarities between Japanese and potentially related languages (e.g. being agglutinative, displaying SOV word order) into consideration, there are data indicating distinctions. In particular, the phonological feature of vowel harmony is salient in the Altaics, but does not exist in Modern Japanese. Certainly, it can be argued that in Old Japanese (AD 700-800; a dead language spoken in Asuka and Nara periods), the eight vowels, i.e. /a/,  $/e_1/$ ,  $/e_2/$ ,  $/i_1/, /i_2/, /o_1/, /o_2/, /u/$ , may suggest harmony. However, no evidence so far has confirmed the existence of harmonic assimilation thousand years ago. Undeniable distinctions are further tied to case marking and affixation. In an Austronesian language, case is marked by prefixes, while Japanese case is conveyed by particles. It appears then that neither the historical nor the typological approach gives us the most precise account of Japanese affiliation. In this paper, we take a more rigorous approach by making use of insights from mathematical linguistics.

The present study calculates the resemblances of Japanese with the Altaic languages; Tamil; the Austronesian languages, and the nearest continental neighbor, Korean, with a focus upon:

(I) **Morphologic distance**: causative/ inchoative verb alternation patterning; the morphemes that render verb alternation; (II) **Syntactic distance**: the case marking system; word order ((a) order of subject, verb, and object; (b) order of adjective and noun; and (c) order of adposition and noun); and

(III) **Phonologic distance**: assimilation (vowel harmony and vowel-consonant harmony).

This paper is organised as follows. Section 2 introduces the corpora. It also provides an insight into the chi-square homogeneity test and Euclidean distance. Sections 3 measures the morphologic distance, i.e. the patterning of causative/inchoative verb alternation and the distribution of morphemes that convey verb alternation. Section 4 moves on to a comparison of syntax: the case marking system and word order. Section 5 delves into a phonologic issue, i.e. assimilation in vowels and consonants. Section 6 highlights the results and concludes the paper.

### Methodology

### Data

The central goal of this study is to establish whether Japanese is morphologically, syntactically, and phonologically related to the Altaic, Austronesian, Dravidian, and Korean languages. To this end, distances of morphology, syntax, and phonology are calculated. The morphological distance is measured with data from *The World Atlas of Transitivity Pairs* (Dryer, 2005), focusing particularly on two issues: (a) alternation patterning frequency; and (b) morphemes that invite causative/inchoative verb alternation.

The syntactic distance is measured with data from *The World Atlas of Language Structures* (Dryer, 2005).

#### Analysis

To decide whether a morphologic relationship exists between two languages, the feature values and patterning frequencies are calculated. Building on this, the chi-square homogeneity test is employed to identify the distance between them. The morphological distance is determined through the formula:

$$x^{2} = \sum \frac{(0-E)2}{E} \sim x^{2}(r-1)(c-1)$$
 (1)

Among them, the number of degrees of freedom of the  $\chi 2$  independence test statistics:

```
d.f. = (rows - 1) * (columns - 1) (2)
```

The E in the formula stands for the expected frequency accounts, determined via:

$$E = \frac{\text{Row variable Total} \times \text{Column variable Total}}{\text{Grand Total}}$$
(3)

The syntactic distance is determined via Euclidean distance. Assume  $L_1$  and  $L_2$  as the vectors representing the compared languages, the syntactic distance between L1 (L1,1,..., L<sub>1,n</sub>) and L<sub>2</sub> (L<sub>2,1</sub>,..., L<sub>2,n</sub>) would be calculated through the formula:

$$d(L1, L2) = \sqrt{(L1, 1 - L2, 1)^2 + (L1, 2 - L2, 2)^2 + \dots + (L1, n - L2, n)^2} = \sqrt{\sum_{i=1}^{n} (L1, n - L2, n)^2}$$
(4)

In addition, given that Old Japanese is a dead language, it seems difficult to segment and tag the documents that are written in different writing systems. In a pilot study, the MeCab and Unidic Libraries<sup>1</sup> are tested. Neither of them, however, seems to return proper results.

| 4  | 1   | 1   | PUNCT | 補助記号-句点       | -       | 3 | punct | -        | SpaceAft | er=No    |               |
|----|-----|-----|-------|---------------|---------|---|-------|----------|----------|----------|---------------|
| 5  |     |     | PUNCT | 補助記号-句点       |         | 3 | punct |          | SpaceAft | er=No    |               |
| 6  | 伊豆  | イズ  | PROPN | 名詞-固有名詞-地     | 名般      |   | 8     | compound | i        |          | SpaceAfter=No |
| 7  | 毛夜  | 毛夜  | NOUN  | 接尾辞-名詞的       | 般       |   | 8     | compound | i        |          | SpaceAfter=No |
| 8  | 弊賀岐 | 弊賀岐 | NOUN  | 名詞 - 普通名詞 - サ | · 変 可 能 |   | Θ     | root     |          | SpaceAft | er=No         |
| 9  |     |     | PUNCT | 補助記号-句点       |         | 8 | punct |          | SpaceAft | er=No    |               |
| 10 |     |     | PUNCT | 補助記号 - 句点     |         | 8 | punct |          | SpacesAf | ter=\n   |               |

Figure 3. Kojiki kayō, hentai-kanbun data (MeCab)

MeCab did not segment the sample data伊豆毛 夜弊賀岐 idumwo ya-pye-gaki properly. 伊豆 毛idumwo is a name of a place and therefore ought to be segmented as follows.

(6) 伊豆

毛夜弊賀岐

idumwo

ya-pye-gaki

Idumo many-fenced palace

Though Unidic2ud successfully segmented 夜久 毛ya-kumwo and多都 tatsu, it fails to tag them properly, i.e. 夜 ya is a prefix in 夜久毛, meaning 'many'; 多都 tatsu is an unergative verb, corresponding to tatsu (rise).



| 5  | '   | 1   | PUNCT | 補助記号-句点       | - | 4 | punct | -        | SpaceAft | ter=No   |               |
|----|-----|-----|-------|---------------|---|---|-------|----------|----------|----------|---------------|
| 6  |     |     | PUNCT | 補助記号-句点       |   | 4 | punct |          | SpaceAft | ter=No   |               |
| 7  | 夜久毛 | 夜久毛 | NOUN  | 名詞 - 普通名詞 - 一 | 般 |   | 8     | compound | i        |          | SpaceAfter=No |
| 8  | 多都  | 多都  | NOUN  | 名詞 - 普通名詞 - 一 | 般 |   | 0     | root     |          | SpaceAft | ter=No        |
| 9  |     |     | PUNCT | 補助記号-句点       |   | 8 | punct |          | SpaceAft | ter=No   |               |
| 10 |     |     | PUNCT | 補助記号-句点       |   | 8 | punct |          | SpaceAft | ter=No   |               |
| 11 | )   |     | PUNCT | 補助記号括弧閉       |   | 8 | punct |          | SpacesAt | fter=\n  |               |

#### Figure 4. Nihonshoki kayō, junsee-kanbun data (Unidic2ud)

The present study would therefore handedly mark the part of speech of Old Japanese data. (7) provides an illustration of segmentation as well as the tagging.

(7) 加是布加牟登須 [kaze puka-mu to su] 'say that the wind would blow' (Kojiki kayō. 20).

[kaze puka-mu to su]

登to is a complementiser, indicating a subclause rendered by the verb 須su (say), which indicates an SOCV (SOV) word order.

### **Results and Discussion**

#### Morphologic distances between Japanese and the Altaics, the Austronesians, Tamil, and Korean

Drawing on the methodology highlighted above, this section proceeds to examine the correspondences in Japanese and the potentially related languages. Our starting point is morphology. Two matters are posed: (a) distance on causative/inchoative verb alternation patterning; and (b) distance on morphemes that convey the alternation.

To begin with, causative/inchoative verb alternation is observed in about 16 language families and 80 languages. Haspelmath (1993) generalized five alternation patterns: (a) the anticausative type; (b) the causative type; (c) the equipollent type; (d) the labile type; and (e) the suppletive. Table 2 presents the morphological relationship between the inchoative and causative verbs of each pattern.

# Table 2. Morphological relationshipsbetween inchoative and causative verbs

| Causative/incho   | Formal relationship between       |  |  |
|-------------------|-----------------------------------|--|--|
| ative alternation | causative/inchoative verb         |  |  |
| pattern           |                                   |  |  |
| Anticausative (A) | Causative verb based; Inchoative  |  |  |
|                   | verb derived via                  |  |  |
|                   | anticausativisation (e.g. kir-0/- |  |  |
|                   | (r)u 'cut [transitive]'→ kir-e-ru |  |  |
|                   | 'cut [intransitive]')             |  |  |
| Causative (C)     | Inchoative verb based; Causative  |  |  |
|                   | verb derived via causativisation  |  |  |
|                   | (e.g. or-e-ru 'break              |  |  |
|                   | [intransitive]'→or-ø-(r)u) 'break |  |  |
|                   | [transitive]')                    |  |  |
| Equipollent (E)   | Causative/inchoative verb derive  |  |  |
|                   | from the same root (e.g. hiroi    |  |  |
|                   | (Adj)→hirom-ar-u 'broaden'        |  |  |
|                   | [unaccusative]; hiroi             |  |  |
|                   | (Adj)→hirom-e-ru 'broaden'        |  |  |
|                   | [transitive])                     |  |  |
| Labile (L)        | Causative/inchoative verb share   |  |  |
|                   | the same word form (e.g. ma-ku    |  |  |
|                   | 'roll'; tojiru 'close')           |  |  |
| Suppletive (S)    | Causative/inchoative verb are     |  |  |
|                   | formally distinct and underived   |  |  |
|                   | (e.g. die/kill)                   |  |  |

Alternation patterns in the Altaics, Austronesians, Tamil, Japanese, and Korean

The Altaic language family has the following genera:

(a) Turkic genus: Kyrgyz, Khakas, Kazakh, Azerbaijani, Uzbek, Turkish, and Turkmen

(b) Tungusic genus: Ewen, Nanai, Udihe, and Manchu

(c) Mongolic genus: Mongolian

| Genera         | Languages   | Causative/inchoative | Causative/inchoative alternation (other option)               |
|----------------|-------------|----------------------|---|
|                |             | alternation (main)   |   |
| Tungstic genus | Ewen        | A (13 tokens)        | O (8 tokens); S (8 tokens); C (4 tokens); L (4 tokens)        |
|                | Nanai       | C (11 tokens)        | A (9 tokens); E (9 tokens); L (5 tokens); S (7 tokens)        |
|                | Udihe       | S (19 tokens)        | C (11 tokens); O (9 tokens); L (3 tokens); A (2 tokens); E (2 |
|                |             |                      | tokens)   |
|                | Manchu      | C (26 tokens)        | S (11 tokens); O (5 tokens); L (3 tokens); E (1 tokens); A (1 |
|                |             |                      | tokens)   |
| Turkic genus   | Kyrgyz      | C (19 tokens)        | A (14 tokens); E (5 tokens); S (1 token)                      |
|                | Khakas      | C (17 tokens)        | A (12tokens); E (2 tokens); S (1 token)                       |
|                | Kazakh      | C (22 tokens)        | A (13 tokens); E (2 tokens); S (1 token)                      |
|                | Azerbaijani | C (21 tokens)        | A (16 tokens); E (4 tokens); L (1 token)                      |
|                | Uzbek       | C (18 tokens)        | A (10 tokens); E (4 tokens)                                   |
|                | Turkish     | C (18 tokens)        | A (10 tokens); E (3 tokens); S (1 tokens)                     |
|                | Turkmen     | C (15 tokens)        | A (12 tokens); E (2 tokens); S (1 token); L (1 token)         |
| Mongolic genus | Mongolian   | C (26 tokens)        | A (8 tokens); E (1 token); S (1 token) and L (1 token)        |
| Nivkh genus    | Sakha       | C (23 tokens)        | A (7 tokens) and E (5 tokens)                                 |

#### Table 3. Causative/inchoative verb alternation patterns in Altaic languages

Altaic languages are more likely to derive a causative verb via causativization (i.e. pattern C). The second largest number of tokens is attributed to anticausativization (i.e. pattern A: deriving an inchoative verb by adding a morpheme to a causative verb root). The equipollent pattern had the third largest applicability.

Turning to Austronesian languages, four genera are examined.

#### (a) The Western Malayo-Polynesian genus

Ilokano and Tagalog appear to favor pattern E (18 and 31 tokens, respectively), with C the second option (15 and 6 tokens).

#### (b) The Malayo-Sumbawan genus

In Indonesian, pattern E (18 tokens) is preferred, with pattern C the second likely option (15 tokens).

#### (c) The Central Luzon genus

Kapampangan is more in favor of pattern E (28 tokens), with pattern A the second option (8 tokens).

#### (d) The Central Malayo-Polynesian genus

Lamaholot apparently prefers pattern C (16 tokens) to the other options (e.g. L pattern: 6 tokens).

| Austronesian              | Languages | Causative/inchoative      | Causative/inchoative alternation |
|---------------------------|-----------|---------------------------|----------------------------------|
| Family                    |           | alternation (main option) | (other option)                   |
| Western Malayo-Polynesian | Ilokano   | E (18 tokens)             | C (5 tokens); A (11 tokens)      |
| genus                     | Tagalog   | E (31 tokens)             | C (6tokens)                      |
|                           |           |                           |                                  |

#### Table 4. Causative/inchoative verb alternation patterns in Austronesian languages

| Austronesian              | Languages   | Causative/inchoative      | Causative/inchoative alternation         |
|---------------------------|-------------|---------------------------|--|
| Family                    |             | alternation (main option) | (other option)                           |
| Western Malayo-Polynesian | Ilokano     | E (18 tokens)             | C (5 tokens); A (11 tokens)              |
| genus                     | Tagalog     | E (31 tokens)             | C (6tokens)                              |
| Malayo-Sumbawan genus     | Indonesian  | E (18 tokens)             | C (15 tokens)                            |
| Central Luzon genus       | Kapampangan | E (28 tokens)             | A (8 tokens); C (5 tokens); S (1 token); |
|                           |             |                           | L (1 token)                              |
| Central Malayo-Polynesian | Lamaholot   | C (16 tokens)             | L (6 token); E (1 token); S (1 token)    |
| genus                     |             |                           |  |

Austronesian languages' causative/inchoative verb alternation patterning is summarised in Table 4.

In Japanese, pattern A (20 tokens) is the most favored, with pattern C (16 tokens); E (4 tokens);

S (3 tokens); and L (2 tokens), as the second, third, fourth, and fifth preference. The most favored option in Tamil and Korean would be pattern C (Tamil: 75 tokens; Korean: 15 tokens). A summary of the discussion is provided in Table 5.

| Table 5. Causative/i | inchoative verb | alternation | pattern in | Japanese, | Tamil and Korean |
|----------------------|-----------------|-------------|------------|-----------|------------------|
|----------------------|-----------------|-------------|------------|-----------|------------------|

| Languages | Causative/inchoative alternation<br>pattern<br>(main) | Causative/inchoative alternation pattern<br>(others)    |
|-----------|---|---|
| Japanese  | A (20 tokens)   | C (16 tokens); E (4 tokens); S (3 tokens); L (2 tokens) |
| Tamil     | C (75 tokens)   | L (12 tokens); S (2 tokens)                             |
| Korean    | C (15 tokens)   | A (13 tokens); L (3 tokens); E (3 tokens); S (1 token)  |

With the data established, a thorough frequency analysis could provide clearer insights into how these languages are related morphologically. A chi-square homogeneity test was carried out. The results are summarized in Table 6.

#### Table 6. Morphological distance via Chi-square homogeneity

| Language genera                               | Language    | x <sup>2</sup> |
|---|-------------|----------------|
| Altaic, Tungstic genus                        | Ewen        | 4.06           |
|   | Nanai       | 5.4            |
|   | Udihe       | 6.2            |
|   | Manchu      | 19.8           |
| Altaic, Turkic genus                          | Kyrgyz      | 1.3            |
|   | Khakas      | 1.58           |
|   | Kazakh      | 2.98           |
|   | Azerbaijani | 1.1            |
|   | Uzbek       | 2.59           |
|   | Turkish     | 2.49           |
|   | Turkmen     | 0.96           |
| Altaic, Mongolic genus                        | Mongolian   | 9.03           |
| Altaic, Nivkh genus                           | Sakha       | 7.2            |
| Unknown                                       | Korean      | 0.52           |
| Austronesian, Western Malayo-Polynesian genus | Ilokano     | 20.9           |
|   | Tagalog     | 45.3           |
| Austronesian, Malayo-Sumbawan genus           | Indonesian  | 28.6           |
| Austronesian, Central Luzon genus             | Kapampangan | 28.8           |
| Austronesian, Central Malayo-Polynesian genus | Lamaholot   | 14.9           |
| Dravidian family                              | Tamil       | 50.8           |

It appears:

(a) Korean has the lowest  $x^2$  and thus is deemed mostly close to Japanese.

(b) The Altaic language family, particularly the Turkic genus, the Turkmen language, seems to bear a close morphological link to Japanese.

(c) The four genera in the Austronesian family and the Dravidian family of languages do not occur to facilitate a morphological relationship with Japanese.

Perhaps we can pause and draw a preliminary conclusion here: the Dravidian language Tamil and Austronesian languages are unexpectedly remote from Japanese. This results do not fit into Ohno's hypothesis (1981). Korean shows a morphological closeness to Japanese. The Altaic language Turkmen appears to have the second closest likely kinship to Japanese. This is to be elaborated from a morphological point of view, to which we now turn.

# Morphemes that convey causativization / anticausativization

Before getting started, it would be appropriate to give a rough classification of morphemes that render causative/inchoative verb alternation:

.

а.

/. .

. .

(8)

Added morpheme: a morpheme that carries a causative/inchoative property is added to an inchoative/causative verb root.

Paired morpheme: a morpheme that indicates a causative/inchoative property is paired with another morpheme that bears inchoative/causative property.

Table 7 presents a picture of morpheme types that convey causative/inchoative verb alternation morphemes in Japanese, the Altaics, the Austronesians, Tamil, and Korean.

A 1. .

| Table 7. Cau | isative/ incho | Tamil a         | and Korean | in Japanese, Aitai | ics, Austronesians, |
|--------------|----------------|-----------------|------------|--------------------|---------------------|
| Language     | Languages      | Causativisation | Morpheme   | Inchoative         | Morpheme            |

| Language   | Languages   | Causativisation        | Morpheme      | Inchoative           | Morpheme      |
|------------|-------------|------------------------|---------------|----------------------|---------------|
| family     |             | morpheme               | types         | morpheme             | types         |
| Altaic     | Turkish     | /-et/, /-dur/,         | added suffix  | /-il/, /-öl/ (-ol/), | added suffix  |
| Turkic     |             | /-ir/ (/-tir/, /-dir/) |               | /-ül/ (/-ul/)        |               |
|            |             |                        |               |                      |               |
|            |             | /-t/                   | paired suffix |                      |               |
|            |             |                        |               | /-n/                 | paired suffix |
|            |             |                        |               |                      |               |
|            |             |                        |               |                      |               |
|            |             |                        |               |                      | -             |
|            |             |                        |               | /öl -/               | prefix        |
|            | Turkmen     | /-dur/                 | added suffix  | /-ui/, /-yl/         | added suffix  |
|            |             | /-t/                   | paired suffix | /-n/                 | paired suffix |
|            | Khakas      | /-dir/                 | added suffix  | /-il/                | added suffix  |
|            |             | /-t/                   | paired suffix | /-n-/                | paired suffix |
|            | Uzbek       | /-dir/                 | added suffix  | /-il/                | added suffix  |
|            |             | /-t/                   | paired suffix | /-n-/                | paired suffix |
|            | Azerbaijani | /-t/, /-dur/, /-ir/    | added suffix  | /-il/, /-n/, /-uil/  | added suffix  |
|            | Kyrgyz      | /-t/, /-tur/           | added suffix  | /-il/, /-ul/         | added suffix  |
|            | Kazakh      | /-dir/, /-ir/          | added suffix  | /-il/                | added suffix  |
|            |             | /-t/                   | paired suffix | /-n-/                | paired suffix |
| Tungstic   | Ewen        | /-u/, /-n/             | added suffix  | /-p/                 | added suffix  |
|            | Nanai       | /-wəən/, /-waan/       | added suffix  | /-biə/, /-p/         | added suffix  |
|            |             | /-li/                  | paired suffix | /-ə/                 | paired suffix |
|            | Udihe       | /-wənə/, /-waan/       | added suffix  | null                 |               |
|            | Manchu      | /-bu/                  | added suffix  | null                 |               |
| Mongolic   | Mongolian   | /-g/, /-ö/ (/-o/), /-  | added suffix  | /-gd/                | added suffix  |
| C          | C C         | a/, /-e/,              |               |                      |               |
|            |             | /-uul/ (/-üül/)        |               |                      |               |
|            |             | /-1/                   | paired suffix | /-r/                 | paired suffix |
| Nivkh      | Sakha       | /-ar/, /-t/, /-or/, /- | added suffix  | /-un/, /-n/          | added suffix  |
|            |             | er/, /-uït/, /-nör/    |               |                      |               |
| Indonesian |             | /-en/                  | added prefix  |                      |               |
| Western    | Ilokano     | /pa-/                  | added prefix  | /ma-/, /ag-/,        | added prefix  |
| Malayo-    |             |                        |               | /mang-/              |               |
| Polynesian | Tagalog     | /pa-/, /i-/            | added prefix  | /ma-/                | added prefix  |



|            |             | /-in/                    | added suffix  |                       |               |
|------------|-------------|--------------------------|---------------|-----------------------|---------------|
| Indonesian | Kapampangan | /pa-/, /i-/              | added prefix  | /ma-/, /mi-/          | added prefix  |
| Central    |             | /-p/                     | paired suffix | /-m/                  | paired prefix |
| Luzon      |             | -                        | _             |                       |               |
| Indonesian | Indonesian  | /me-/, /ke-/             | added prefix  | /di-/, /me-/, /ter-   | added prefix  |
| Malayo-    |             |                          |               | /, /ber-/, /ke-/      |               |
| Sumbawan   |             | /-kan/                   | added suffix  | null                  |               |
| Indonesian | Lamaholot   | /nə̃?ə̃-/                | added prefix  | null                  |               |
| Central    |             |                          |               |                       |               |
| Malayo-    |             |                          |               |                       |               |
| Polynesian |             |                          |               |                       |               |
| Unknown    | Japanese    | /-e-/, /-as-/, /-os-/,   | paired suffix | /-ar-/, /-e-/, /-i-/  | paired suffix |
|            |             | /-s-/                    |               |                       |               |
| Dravidian  | Tamil       | /-kkavai/, /-            | added suffix  | null                  | null          |
|            |             | kkaccey/,                |               |                       |               |
|            |             | /-yavai/, /-yaccey/,     |               |                       |               |
|            |             | /-ttu/,                  |               |                       |               |
|            |             | /-ppu/, /-Rpi/, /-       |               |                       |               |
|            |             | ppi/                     |               |                       |               |
|            |             | /-avai/, /-accey/        | paired suffix | /-u/                  | paired suffix |
| Unknown    | Korean      | /-i-/, /li-/, /-wu-/, /- | added suffix  | /-ci-/, /li-/, /-y-/, | added suffix  |
|            |             | y-/, /-hi-/              |               | /-hi-/                |               |

In Altaic languages, suffixes play an essential role, specifically:

(a) In the Turkic genus, Turkish suffixes /-et/, /-t/, /-dur/, /-ir/ (/-tir/, /-dir/) render causativization; /-il/, /-n/, /-öl/, /-ül/ (/-ul/) convey anticausativization; /-t/ and /-n/ are a pair of

causative/inchoative verb suffix. Turkmen suffixes /-dur-/ indicate causativization, while

suffixes /-ul/, /-yl/ render anticausativization; /-t-/ and /-n/ are a pair of causative/inchoative

verb suffixes.

(b) The Tungstic genus distinguishes the Turkic genus in that there are only added suffixes, e.g. /-wənə/ and /-bu/ for causativization in Udihe and Manchu.

Tamil is a language where causativization plays the main part (pattern C attributes 75 tokens among a total of 89 tokens), leading to a rich inventory of causative suffixes. Among the ten types of causative suffixes, eight are added causative suffixes, which presents the following derivation regulation.

# (9). Regulation of causativisation via suffix in Tamil

(a). /-kkavai/ ends with vowel /a/ \*attached to a root that

(`a). /-kkaccey/ ends with vowel /i/

\*attached to a root that

(b). /-yavai/ \*attached to a root that ends with vowel /i/

(`b). /-yaccey/ \*attached to a root that ends with vowel /i/

(e). /-ttu/ \*attached to a root that ends with consonant /r/

(f). /-ppu/

(g). /-Rpi/

(h). /-ppi/

There are additionally two paired suffixes in Tamil:

# (10) **Two pairs of suffixes rendering** causativization in Tamil

(a)  $/-u/ \rightarrow /-avai/$  \*attached to a root that ends with vowel /u/

(`a) /-u/  $\rightarrow$  /-accey/ \*attached to a root that ends with vowel /u/

Like Tamil, Altaics, Japanese, and Korean both employ a suffix for causative/inchoative alternations. Japanese suffixes /-e-/, /-as-/, /- os-/, /-s-/ invite causativization, while /-ar-/, /e-/, /-i-/ render anticausativization. In Korean, /-i-/ (/-hi/, /-li/, /-ki/) and /wu-/ are causative suffixes, while /ha-/ and /ci-/ are inchoative.

Turning to the Austronesians, unlike the aforementioned languages, prefixes play an essential role in causative/inchoative verb alternation:

### (11) **Prefixes in Austronesian verb** alternation

(a) In Ilokano, /ma-/ and /pa-/ are a pair of prefixes that bear causative/inchoative characters.

/ma-/ (/mang-/), /ag-/ are prefixes of anticausativization.

(b) Indonesian employs the prefix and suffix: /me-/ and /di-/ are a pair of prefixes rendering

causativization/anticausativization; /ke-/ is a prefix that may indicate the causative as well as

the inchoative; /me-/ and /ber-/ are inchoative prefixes and /-kan/ is a causativization suffix.

(c) In Kapampangan, prefixes /pa-/ and /i-/ are causative, and /ma-/, /mi-/ are inchoative; /-p/

and /-m/ are a pair of causative/inchoative prefixes.

(d) Lamaholot's alternation displays only two patterns: causativization and labile. The prefix

/n3?3-/ renders all instances of causativization.

In light of the preferences of verb alternation patterning and based on morphemes that contribute to the alternation, we assume it is Japanese and Korean that have the shortest morphological distance<sup>2</sup>; the second closest languages to Japanese would be the Altaic languages.

A further look into syntactic distance might shed more light on this position.

SyntacticDistancesBetweenJapaneseandtheAltaics,theAustronesians, Tamil, and Korean

**Case Marking** 

Cross-linguistically, there are nine ways of marking a case across languages (Dryer, 2005).

#### (12) Case marking typologically

- (a) case is rendered by suffixes
- (b) case is rendered by prefixes
- (c) case is coded by tone
- (d) case is conveyed via changing a stem
- (e) case is rendered by postpositional clitics
- (f) case is rendered by prepositional clitics
- (g) case is rendered by inpositional clitics
- (h) mixed morphological case
- (i) no case affixes or appositional clitics

Case in Modern Japanese is marked by particles (postpositional clitics in Dryer's (2005) terminology).

#### (13) Case marking in Modern Japanese

| a. nominative case particle: | が  | ga   |
|------------------------------|----|------|
| b. accusative case particle  | を  | 0    |
| genitive case particle       | の  | no   |
| dative case particle         | に  | ni   |
| instrumental case particle   | で  | de   |
| ablative case particle       | から | kara |
| directional case particle    | ^  | e    |
| comitative case particle     | لح | to   |

Case marking in Old Japanese offers rather a complex picture. Note that the writing system in Old Japanese is a mixture: hentai-kanbun, 'variant Chinese' (Kojiki kayō AD 712); junseikanbun, 'classical Chinese' (Nihonshoki kayō AD 720); and man'yōgana (Man'yōshū AD.759). Here, case is marked by particles, via a variety of characters, as shown in Figure 5–Figure 7.



| 行标签          | <del>↓</del> Î | 登  | 迩  | 賀  | 能  | 袁  | 总计  |
|--------------|----------------|----|----|----|----|----|-----|
| Accusative   |                |    |    |    |    | 42 | 42  |
| Comitative   |                | 8  |    |    |    |    | 8   |
| Complementis | er             | 23 |    |    |    |    | 23  |
| Dative       |                |    | 17 |    |    |    | 17  |
| Genitive     |                |    |    |    | 12 |    | 12  |
| Nominative   |                |    |    | 10 |    |    | 10  |
| 总计           |                | 31 | 17 | 10 | 12 | 42 | 112 |

Figure 5. Case particles in Kojiki kayō (AD.712, hentai-kanbun 'variant Chinese')



Figure 6. Case particles in Nihonshoki kayō (AD.720, junsei-kanbun 'classical Chinese')



Figure 7. Case particles in Man'yōshū (AD.759, man'yōgana)

It was discovered that, in Old Japanese:

(a) The most frequent particle indicates the genitive case (five types, 256 tokens), rendered by  $\hbar$  (133 tokens) and  $\geq$  (123 tokens). The Chinese character  $\hbar$  is borrowed for its phonetic value, while  $\geq$  is borrowed for its semantic value.

(b) The second largest number of tokens is attributed to dative case particles (eight types, 270 tokens), e.g.  $\pi$  (90 tokens), 爾 (83 tokens), 珥 (66 tokens), 迩 (17 tokens), 二 (11 tokens), 庭 (one token), 荷 (one token), and 意 (one token).

(c) Seven types, 140 tokens, are applied to accusative case particle, i.e. 乎 (65 tokens), 袁 (43 tokens), 遠 (three tokens), 塢 (25 tokens), 廻 (one token), 雄 (one token), and 烏 (two tokens).

(d) The complementizer case particle has the fourth largest applicability. Among them are the following lexemes: 登 (31 tokens); 跡 (seven tokens); 等 (five tokens); 苔 (two tokens); and 騰 (two tokens) – these are borrowed for phonetic value while与 (two tokens) is for semantic value.

(e) There are six types, with 86 tokens in nominative case particles. Among them, 我 (eight tokens) and 吾 (two tokens) are borrowed for semantic value, 何 (one token), 加 (one token), 賀 (11 token) and 餓 (63 tokens) are borrowed for phonetic value.

Case particles in Old Japanese is summarised in Figure 8:



Figure 8. Case particles in Old Japanese

The Korean case system is rendered by suffixes (corresponding to Japanese case particles). The case suffixes split into two groups: (a) a case suffix attaches to a lexeme of a closed syllable (i.e. CVC, consonant-vowel-consonant); and (b) a case suffix attaches to a lexeme that is an open syllable (i.e. CV, consonant-vowel).

(14) Case marking in Modern Korean and Japanese (Table 8).

| Case              | Korean case suffix CVC | Korean case suffix CV | Japanese case particles |
|-------------------|------------------------|-----------------------|-------------------------|
| nominative case   | 0                      | 가                     | が                       |
| accusative case   | 일                      | を                     |                         |
| genitive case     |                        | Ø                     |                         |
| dative case       |                        | に                     |                         |
| instrumental case | 으로 로                   |                       | で                       |
| locative case     | 0                      | で                     |                         |
| comitative case   | 과                      | 와                     | ٤                       |

#### Table 8. Case marking in Modern Korean and Japanese

Furthermore, both Japanese and Korean feature an accusative case alignment system.

(15) a. Taroo wa kabin o watta.

Taroo top vase acc break-past

b. Kabin ga wareta.

Vase nom broke

(16).a. 타로 는 꽃병 을 깨뜨렸다.

Taroo top vase acc breakpast

b.꽃병 이 깨졌다.

Vase nom broke

In (15a) and (16a), the transitive verb watta, 깨뜨렸다 (broke), has two arguments: the external argument 'Taroo' (agent A) and the internal argument 'vase' (direct object O). In (15b) and (16b), the intransitive verb wareta, 깨졌다 (broke), has only one argument, i.e. 'vase' (subject S). The subject of intransitive verb behaves like the agent of a transitive verb but differently from the object of a transitive verb:  $A=S\neq O$  (Tsunoda, 2009).

Moving on to the Altaic languages, these are highly agglutinative, a leading case being indicated by suffixes. Essentially, the case suffixes obey vowel harmony and vowelconsonant harmony; (17) and (18) are illustrations from Turkish.

## (17) Turkish dative case affixes (vowel harmony)

(i) /-a/: attach to the lexemes that end with vowels /a/, /1/, /o/, /u/, e.g. okula (go to).

(ii) /-e/: attached to the lexemes that end with vowels /e/, /i/,  $/\ddot{o}/$ ,  $/\ddot{u}/$ , e.g. işe (drive to).

#### (18) Turkish accusative case affixes (vowelconsonant harmony)<sup>3</sup>

(i). /-y1/, /-yi/, /-yu/, /-yü/: attach to lexemes that end in vowels, e.g. gazeteyi (being reading).

(ii). /-1/, /-i/, /-u/, /-ü/: attach to lexemes that end in consonants, e.g. sandviçi (want).

Like the Altaics, case in Tamil is also marked by suffixes. The Austronesian languages display an isolation character, in that no affixes or adpositional clitics are employed to render a case. To put it another way, the Austronesian languages have no case marking. Table 9 summarizes the case marking systems in Modern Japanese, Old Japanese, the Altaics, the Austronesians, Tamil, and the Korean languages.

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| Family       | Genera                    | Languages       | Case marking                       |
|--------------|---------------------------|-----------------|------------------------------------|
| Altaic       | Tungstic genus            | Ewen            | Suffix                             |
|              |                           | Nanai           | Suffix                             |
|              |                           | Udihe           | Suffix                             |
|              |                           | Manchu          | Suffix                             |
|              | Turkic genus              | Kyrgyz          | Suffix                             |
|              |                           | Khakas          | Suffix                             |
|              |                           | Kazakh          | Suffix                             |
|              |                           | Azerbaijani     | Suffix                             |
|              |                           | Uzbek           | Suffix                             |
|              |                           | Turkish         | Suffix                             |
|              |                           | Turkmen         | Suffix                             |
|              | Mongolic genus            | Mongolian       | Suffix                             |
|              | Nivkh genus               | Sakha           | Suffix                             |
| Austronesian | Western Malayo-Polynesian | Tagalog         | No case marking                    |
|              | Malayo-Sumbawan genus     | Indonesian      | No case marking                    |
|              | Central Luzon genus       | Kapampangan     | No case marking                    |
|              | Central Malayo-Polynesian | Lamaholot       | No case marking                    |
|              | genus                     |                 |                                    |
| Dravidian    |                           | Tamil           | Suffix                             |
| unknown      |                           | Modern Japanese | Postpositional clitics (particles) |
|              |                           | Old Japanese    | Postpositional clitics             |
| unknown      |                           | Korean          | Postpositional clitics             |

Table 9. Case marking in Japanese, Altaics, Austronesians, Tamil and Korean

The conclusion emerging from our examination is that Japanese shares a similar marking system with Korean. Altaic case marking differs from Japanese in two ways: (a) Japanese conveys case via particles, while the Altaic languages employ suffixes; (b) Modern Japanese case markers do not involve a harmonic rule, while the case markers of the Altaic languages follow vowel assimilation. The distinctions further extend to delicate matters. For instance, the Turkish comitative and instrumental case share the same marking, i.e. suffix -le, while in Japanese, the two are rendered by different particles. The Mongolian dative and locative case share the same marking, i.e. the suffixes -A (d), -aA (ad), -HA (id), -T (t), while in Japanese, they are differentiated by different particles. In Indonesian, passive voices are employed extensively. In sentences with active and passive voices, only the agents of passive sentences are marked, which has prompted linguists to assume that Indonesian is an ergative language (Cartier, 1979). Japanese, an accusative language, does not facilitate a syntactic correspondence with Austronesian languages.

| Family | Genera              | Languages   | Word order   | Adjective Noun | Preposition / |
|--------|---------------------|-------------|--------------|----------------|---------------|
|        |                     |             |              |                | postposition  |
|        | Tungstic genus      | Udihe       | SOV; SV; OV  | Adjective-Noun | Postposition  |
|        |                     | Manchu      | SOV; SV; OV  | Adjective-Noun | Postposition  |
|        | Turkic genus        | Azerbaijani | SOV; SV; OV  | Adjective-Noun | Postposition  |
|        | _                   | Uzbek       | SOV; SV; OV  | Adjective-Noun | Postposition  |
|        |                     | Turkish     | SOV; SV; OV  | Adjective-Noun | Postposition  |
|        |                     | Turkmen     | SOV; SV; OV  | Adjective-Noun | Postposition  |
|        | Malayo-Sumbawan     | Indonesian  | SVO; SV; VOS | Noun-Adjective | Preposition   |
|        | genus               |             |              |                |               |
|        | Central Luzon genus | Kapampangan | VS; VO; VOX  | Noun-Adjective | Preposition   |
|        | Central Malayo-     | Lamaholot   | SVO; SV; VOS | Noun-Adjective | Preposition   |
|        | Polynesian genus    |             |              |                |               |

| Table 10. Word order in | Japanese, Altaics, A | Austronesians, Tami | l and Korean |
|-------------------------|----------------------|---------------------|--------------|
|-------------------------|----------------------|---------------------|--------------|

| Dravidian | Tamil        | SOV; SV; OV  | Adjective-Noun | Postposition  |
|-----------|--------------|--------------|----------------|---------------|
| unknown   | Modern       | SOV; SV; OV  | Adjective-Noun | Postposition  |
|           | Japanese     |              |                |               |
|           | Old Japanese | SOV; SV; OV; | Adjective-Noun | Postposition; |
|           |              | $\rm XVO^4$  |                | Preposition   |
| unknown   | Korean       | SOV; SV; OV  | Adjective-Noun | Postposition  |

### Word order

To confirm our findings, this section looks at word order, specifically: (a) the order of subject, verb, and object; (b) the order of adjective and noun; and (c) the order of adposition and noun.

Incorporating the information from the World Atlas of Language Structure, we arrive at the following comparative results among the languages.

The Altaic languages, Tamil, Modern Japanese, and Korean are verb final, i.e. the adjective comes before the noun and the adpositional phrase comes after the noun (i.e. postpositional). The Austronesian languages, however, are verb initial, with the adjective coming after the noun and the adpositional phrase coming before the noun (i.e. prepositional). This study calculated the Euclidean distance via python with a focus upon: (a) the order of subject-object-verb; (b) the order of adjective-noun; and (c) the order of adposition-noun. Assuming that  $L_1$  and  $L_2$  as the vectors representing the compared languages, the syntactic distance between  $L_1$  ( $L_{1,1}$ , ...,  $L_{1,n}$ ) and  $L_2$  ( $L_{2,1}$ , . . .,  $L_{2,n}$ ) would be determined through the formula:

(19)

 $d(L_1, L_2) =$ 

$$\sqrt{(L_{1,1} - L_{2,1})^2 + (L_{1,2} - L_{2,2})^2 + \dots + (L_{1,n} - L_{2,n})^2} = \sqrt{\sum_{i=1}^n (L_{1,n} - L_{2,n})^2}$$
(5)

The following results are returned.

# (20) Distance regarding word order in Japanese and the languages in focus:

(a). Japanese and Altaics: dJapanese-Altaic  $\approx 0$ 

(b). Japanese and Tamil: dJapanese-Tamil  $\approx 0$ 

(c). Japanese and Korean: dJapanese-Korean  $\approx 0$ 

(d). Japanese and Indonesian: dJapanese-Indonesian & Lamaholot ≈2.44

(e). Japanese and Kapampangan:dJapanese-Kapampangan ≈ 2.44

(f). Japanese and Lamaholot: dJapanese-Lamaholot ≈2.44

The syntactic distance between Japanese, Tamil, Korean, and the Altaic languages are equally short, which in turn suggests that Japanese, Tamil, Korean, and the Altaic languages share similarities in regard to word order.

This section has drawn a picture of the morphologic distances between Japanese and potentially related languages. Drawing the strands together, we can conclude that Japanese and Korean are, for the most part, closely related syntactically.

#### Phonologic Distances Between Japanese and Altaic, Austronesian, Tamil, and Korean

Another matter that serves to reveal the genealogy of Japanese involves assimilation. The discussion starts with vowel harmony.

Vowel harmony is a phonological process where all the vowels are required to be of the same class: VaCVbCVbC  $\rightarrow$  VaCVaCVaC (e.g. front or back, rounded or unrounded, alveolar or postalveolar) in a stem, and continue to harmonize the affixes in derivation, inflection, or conveying a case (e.g. case in the Altaic languages is marked by suffixes). To be precise, vowel harmony entails the following variations (Rose & Walker, 2011):

#### (21) Types of vowel harmony

Backness harmony



#### (25) Tamil vowels (Table 11)

Round harmony

Height harmony

Tongue root harmony

Vowel harmony is extensive in the Altaic languages. In the Turkic genus, two harmonic types are presented: front/back vowels and rounded/unrounded vowels (Kurtuluş, 2000). (22) is an illustration taken from Azerbaijani.

# (22) **Turkic genus, Azerbaijani vowel** harmony (Backness Type & Round Type)

[+front] & [-rounded] vowels: / e, ə, i/

[+front] & [+rounded] vowels: / ö, ü/

[+back] & [-rounded] vowels: / a, 1/

[+back] & [+rounded] vowels: / o, u/

Mongolian has seven vowels, /i/[i],  $/\ddot{u}/[u]$ ,  $/u/[\upsilon]$ , /e/[r],  $/\ddot{o}/[o]$ , /o/[5], /a/[a], and presents a tongue root harmony type: /a,  $\upsilon$ ,  $\upsilon$ ,  $\sigma/are$  pronounced with a retracted tongue root (RTR), are masculine, and tend to render a negative reading; /u, e, o/are pronounced with an advanced tongue root (ATR), are feminine, and tend to convey a positive meaning. The remaining /i/ is neutral.

(23) **Mongolian vowel harmony** (Tongue Root Type)

RTR vowels:  $/a, \upsilon, \upsilon/$ , masculine, negative

ATR vowels: /u, e, o/, feminin, positive

Korean has 21 vowels (10 monophthongs; 11 diphthongs) and 19 consonants. The vowel harmony falls into the 'backness harmony' type,

i.e. front vowels tend to be positive ( $\uparrow$  [a],  $\bot$  [o]), while middle vowels render a rough meaning ( $\uparrow$  [eo],  $\top$  [u]).

(24) **Korean vowel harmony** (Backness Harmony Type)

Front vowels:  $[a], \perp [o], positive$ 

Middle vowels:  $\dashv$  [eo],  $\neg$  [u], negative

Tamil has ten to 14 vowels (25). Among them, ten are short/long pairs; four are diphthongs.

Table 11. Tamil vowels

|                | /a/              | /e/     | /i/          | /o/               | /u/          |
|----------------|------------------|---------|--------------|-------------------|--------------|
| Short<br>vowel | [8]              | ଗ [e]   | <b>இ</b> [1] | ତ] <b>ର</b>       | <b>உ</b> [u] |
| Long<br>vowel  | <b>ஆ</b><br>[a:] | FF [e:] | [i]<br>83    | <u>ഉണ</u><br>[0:] | [u:]         |

On the basis of Beckman's (1998) insights, Tamil middle vowels and round vowels appear only in initial syllables. Moreover, in spoken Tamil, it appears that /o/ and /e/ are lowered to [ $\mathfrak{g}$ ] and [ $\mathfrak{g}$ ] when they are followed by /a/. When long vowel FF [e:] is followed by a low vowel, it would be lowered to [ $\mathfrak{a}$ ]<sup>5</sup>. Given this 'vowel lowering', perhaps we can arrive at an initial conclusion that spoken Tamil displays a harmony of vowel height.

In the Austronesian languages, vowel harmonization displays two patterns: height harmony and backness harmony. Robinson (1968), Kroeger (1992), Hurlbut (1981), Harris (1993), and Boutin (1993) show that a coastal dialect spoken in Malaysia, i.e. Tindal, has four vowels: /a/, /i/, /o/, /u/; /o/ changes to /a/if it is followed by a syllable containing /a/. It should be noted that adjacent distance is not obligatory; to put it another way, consonants may intervene between /o/ and /a/. 'Backness harmony' is suggested. Moreover, Tindal shares a striking similarity with the Kagoshima dialect, of the southwest of Japan, in that the stress in Tindal and Kagoshima always falls on the penultimate syllable. As Hirayama (1936) puts it, in the Kagoshima dialect, the rule of penultimate stress is always followed, i.e. even if it is followed by a case particle, or forms a compound noun with multiple noun components. This finding is solid evidence for the Austronesian-origin hypothesis regarding the genealogy of Japanese.

The backness harmony type is seen in Chamorro, another Austronesian language, spoken in Guam and the Mariana islands. The progressive vowel assimilation is as follows: for a back vowel (/a/, /o/, /u/) in a root, when proceeded by a front vowel, the back vowel



would be replaced by the front vowel (/ $\ddot{a}$ /, /e/, /i/) so as to match to the same tongue height; the proceeding front vowel can be rendered by articles, affixes (mark case), or a modifier, as specified in (26).

(26). **Vowel harmony in Chamorro** (on the basis of Topping's (1968) insights) (Table 12).

| Condition                     | (backness harmony)           |
|-------------------------------|------------------------------|
| Definite article i 'the'      | $/u/ \rightarrow /i/$        |
| Definite, personal article si | $/a/ \rightarrow /\ddot{a}/$ |
| Indefinite article ni         | $/o/ \rightarrow /e/$        |
| Goal case marker -in-         | $/o/ \rightarrow /e/$        |
| (infix)                       |                              |
| Directional case marker       | $/a/ \rightarrow /\ddot{a}/$ |
| san- (prefix)                 |                              |
| Prefix mi- 'lots of'          | $/o/ \rightarrow /e/$        |

Table 12. Vowel harmony in Chamorro

Chamorro further bears height harmony: in morphemes with the structure  $CV_1CV_2$ , the height of the stressed vowel restricts the range of the height of the final vowel (Topping, 1968). The phenomenon of vowel harmony also extends to other Austronesian languages. For example, in Selayarese, /e/ and /o/ are lowered when followed by a syllable with /a/; essentially, adjacent distance is required between /e/, /o/, and /a/. In Kadazan (a language spoken in Malaysia), for vowel /a/ in a root, when followed by a syllable with /o/, /a/ would become /o/ (Hurlbut, 1988). This in turns suggests a harmony of height.

An important matter we have pointed out earlier, but which it is necessary to come back to at this point, is whether the eight vowels in Old Japanese, i.e. /a/,  $/e_1/$ ,  $/e_2/$ ,  $/i_1/$ ,  $/i_2/$ ,  $/o_1/$ ,  $/o_2/$ ,  $/u/^6$ , assign assimilation. We would like to recapitulate here that Old Japanese had three writing systems, resulting in vowels being conveyed by a variety of characters. (27)–(29) are vowels and corresponding characters based on Bjarke Frellesvig (2010).

#### (27) /i/ in Old Japanese

- a. 伊i, 為wi, 知ti, 遅di, 之si, 自zi, 爾ni, 利ri
- b. 比 pi1, 鼻 bi1, 美 mi1, 支 ki1, 祇 gi1

- c. 肥 pi2, 備 bi2, 未 mi2, 貴 ki2, 疑 gi2
- (28) /e/ in Old Japanese
- a. 天te, 田de, 勢se, 是ze, 尼ne
- b. 平 pe1, 弁 be1, 売 me1, 家 ke1, 牙 ge1
- c. 戸 pe2, 倍 be2, 米 me2, 気 ke2, 義 ge2
- (29) /o/ in Old Japanese
- a. 於 o, 乎 wo, 富 po

b. 毛 mo<sub>1</sub>, 古 ko<sub>1</sub>, 刀 do<sub>1</sub>, 度 do<sub>1</sub>, 俗 zo<sub>1</sub>

c. 母 mo<sub>2</sub>, 其 go<sub>2</sub>, 止to<sub>2</sub>, 特do<sub>2</sub>, 乃no<sub>2</sub>

Arisaka (1934) puts forward a constraint on the combination of the vowels, known as Arisaka's law, cf. (30).

# (30) The law of vowel's occurrences in Old Japanese

a.  $/\mathrm{o}_1/$  and  $/\mathrm{o}_2/$  cannot appear in a monological unit

b. /u/ seldom occur with /o\_2/ in a monological unit

c. /a/ seldom occur with /o\_2/ in a monological unit

Arisaka's law is considered a solid support to the hypothesis that Japanese originated in the Altaic languages. Another important work to mention is Ohno (1980), where Japanese is deemed to originate in four vowels: /a/, /i/, /u/,  $/\ddot{o}/$  (o<sub>2</sub>). It was  $[/u/+/i_1/]$  and  $[/i_1/+/o_2/]$  that merged into  $/i_2/$ ;  $[/i_1/+/a/]$  merged into  $/e_1/$ ;  $[/a/+/i_1/]$  merged into  $/e_2/$ ; and /u/+/a/ merged into  $/o_1/$ .

#### (31) Old Japanese vowels (Ohno's regard)

$$\begin{array}{c} /u/+/i_1/\\ \\ /i_1/+/o_2/ \end{array} \rightarrow /i_2/\\ /i_1/+/a/ \rightarrow /e_1/\\ /a/+/i_1/ \rightarrow /e_2/\\ /u/+/a/ \rightarrow /o_1/ \end{array}$$

We are obliged to point out that such mergings are fossils and thus cannot be alleged as examples of harmonization. It is at this point



where our greatest difficulty surfaces: does Old Japanese truly bear vowel harmony? A corpusbased investigation might help us to answer the question. As harmony processes can be adjacent as well as widely separated, this study selects the mono noun and the compound noun as candidates. The data is drawn from The Japanese Lexicon: A Rendaku Encyclopedia. Fifty tokens of each group are extracted randomly. The findings are summarized in Table 13.

| Mono noun (N <sub>1</sub> )         |        | Compound noun (N <sub>1</sub> -N <sub>2</sub> ) |        |  |
|-------------------------------------|--------|---|--------|--|
| Combination of vowels               | Tokens | Combination of vowels                           | Tokens |  |
| /a/+/o <sub>2</sub> /               | 4      | /a/+/o <sub>2</sub> /                           | 1      |  |
| $/i_1/+/e_1/$                       | 1      | $/a/+/i_1/+/e_1/$                               | 8      |  |
| /a/+/a/                             | 9      | /a/+/i <sub>1</sub> /+/O <sub>2</sub> /         | 2      |  |
| /i <sub>1</sub> /+/ <sub>O2</sub> / | 1      | $/a/+/e_1/+/i_1/+/o_2/$                         | 2      |  |
| /a/+/u/                             | 8      | /a/+/u/   | 5      |  |
| /o <sub>1</sub> /+/o <sub>1</sub> / | 3      | $/a/+/e_1/+/u/$                                 | 3      |  |
| $/a/+/i_1/$                         | 7      | /a/+/i <sub>1</sub> /                           | 10     |  |
| $/u/+/i_{1}/$                       | 1      | $/a/+/i_1/+/o_1/$                               | 1      |  |
| /u/+/o <sub>2</sub> /               | 1      | $/a/+/e_1/+/o_2/$                               | 1      |  |
| $/u/+/e_2/$                         | 1      | /a/+/i <sub>1</sub> /+/u/                       | 6      |  |
| /u1/+/i1/                           | 1      | $/a/+/u/+/i_1/+/o_2/$                           | 1      |  |
| /o <sub>1</sub> /+/i <sub>1</sub> / | 1      | /a/+/01/  | 3      |  |
| /a/+/e <sub>1</sub> /               | 1      | $/a/+/u/+/i_2/+/e_2/$                           | 1      |  |
| $/a/+/i_1/+/o_1/$                   | 1      | $/a/+/e_1/$                                     | 3      |  |
| $/a/+/i_1/+/o_2/$                   | 1      | /a/+/a/   | 2      |  |
|                                     |        | $/a/+/u/+/e_1/+/e_2/$                           | 1      |  |
|                                     |        | $/a/+/o_1/+/i_1/$                               | 1      |  |
|                                     |        | $/a/+/o_1/+/e_1/+/i_1/$                         | 2      |  |
|                                     |        | $/a/+/i_1/+/e_1/+/e_2/$                         | 1      |  |
|                                     |        | $/a/+/o_2/+/e_1/+/i_1/$                         | 1      |  |
|                                     |        | /e <sub>1</sub> /+/i <sub>1</sub> /             | 2      |  |

#### Table 13. Cooccurrences of vowels in mono noun and compound noun in Old Japanese

The finding brings to light that the combination of  $[O_1 + O_2]$  in either a mono noun or a compound noun is ruled out, which supports

Arisaka's law (1934). However, in regard to Arisaka, the ill-formed  $[/u/+/o_2/]$  and  $[/a/+/o_2/]$  are well accepted in a mono noun

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and a compound noun. This brings out the idea that harmony in Old Japanese was not salient, as in the Altaic languages and Korean; maybe the hypothesis of vowel harmony in Old Japanese ought to be rejected.

Having said this, vowel-consonant harmony is detected in the sequential voicing phenomenon in Old Japanese. Sequential voicing refers to when, during a process of forming a nominal compound, the second constituents (N<sub>2</sub>) rendered by aspirated consonants become voiced. This study shows that there is an assimilation between Old Japanese vowels, /a/,  $/e_1/$ ,  $/e_2/$ ,  $/i_1/$ ,  $/i_2/$ ,  $/o_1/$ ,  $/o_2/$ , and /u/, and the aspirated consonants, /k/, /s/, /t/, and /h/.

# (32) Vowel-consonant harmony in sequential voicing in Old Japanese

/a/ is most likely to form with  $N_2$  where the initial consonant is /k/, /p/ or /t/

 $o_1/$  and  $/o_2/$  are both likely to combine with a voiced consonant /k/

It is unlikely that vowel /a/ will invite a voiced  $'/s/-initial' N_1'$ 

 $/e_1/$  is more likely to invite a voiced consonant than  $/e_2/$ 

 $/e_1/$  does not invite a voiced /p/

 $/e_2/$  does not yield a voiced /s/

 $/i_1/$  is likely to take a voiced consonant than  $/i_2/$ 

/k/ and /t/ are most likely to be voiced when combining with  $N_1$  ending with  $/i_1/$ 

 $/i_2/$  does not invite a voiced /p/, /s/ or /t/

/u/ never results in a voiced /s/

The vowel-consonant harmony outside Japanese is seen in Turkish accusative case affixes (illustration (18)) and Madurese (Austronesian family). Madurese has 27 consonants and eight vowels: (a) front unrounded: /i/,  $/\epsilon/$ ; (b) back rounded: /u/, /3/; (c) high back unrounded:  $\frac{1}{4}$ ,  $\frac{3}{3}$ ; and (d) low back unrounded:  $\frac{3}{3}$ ,  $\frac{3}{2}$ . The following vowel-consonant harmony is confirmed: (a) consonants of voiced stops, voiceless aspirated stops tend to cooccur with vowels /i/, /x/, /u/; and (b) consonants of voiceless unaspirated stops, nasals, and voiceless fricatives are likely to occur with vowels  $\frac{a}{\frac{\epsilon}}$ ,  $/\mathfrak{I}$  (Anderson, 1991). It should be pointed out that the harmonization differs in that Japanese and Madurese vowel-consonant harmony arrives at a lexical level, while Altaic vowel-consonant harmony is facilitated at a morph-syntactic level, suffixation. Table 14 outlines the patterns of vowel harmony in the Altaics, the Austronesians, Tamil, Japanese, and the Korean language

| Family       | Genera                               | Languages       | Vowel harmony                     | Vowel-consonant          |  |
|--------------|--------------------------------------|-----------------|-----------------------------------|--------------------------|--|
|              |                                      |                 |                                   | harmony                  |  |
| Altaic       | Turkic genus                         | Azerbaijani     | Backness harmony                  | O, morph-syntactic level |  |
|              |                                      |                 | & Round harmony                   |                          |  |
|              | Mongolic                             | Mongolian       | Tongue Root harmony               |                          |  |
| Dravidian    |                                      | Tamil           | Height harmony                    |                          |  |
| unknown      |                                      | Modern Japanese | ×                                 | O, lexicon level         |  |
|              |                                      | Old Japanese    | ×                                 | O, lexicon level         |  |
| unknown      |                                      | Korean          | Backness Harmony                  |                          |  |
| Austronesian | ustronesian Malayo-Sumbawan Madurese |                 | ×                                 | O, lexicon level         |  |
|              | Malayo-Polynesian                    | Selayarese      | Height harmony                    | ×                        |  |
|              | North Borneo                         | Kadazan         | Height harmony                    | ×                        |  |
|              | Chamorro                             | Chamorro        | Height harmony & backness harmony | ×                        |  |
|              | North Borneo                         | Tindal          | Backness harmony                  | ×                        |  |

#### Table 14. Phonologic distances between Japanese, Altaics, Austronesians, Tamil and Korean



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It turns out that Tamil shares similarities with the Austronesian languages in displaying a 'height harmony'. Korean shares a similarity with the Altaic languages and the Austronesian languages in presenting 'backness harmony'. Japanese shares similarities with the Altaic languages in 'vowel-consonant harmony'. However, it ought to be pointed that the phenomenon is seen in a different part of speech: in Japanese, vowelconsonant harmony is detected in the compound noun; in the Altaic languages, it is observed in case marking. The phonology in Modern Japanese and Modern Korean is worthy of attention. The two bear different phonological structures. Japanese is CV (consonant-vowel). Korean has two types: CVC (consonant-vowel-consonant) and CV. The vowel system in Old Japanese, however, comes to resemble Korean's monophthong. A corresponding list is provided in (29).

(29) Korean monophthong corresponds to Old Japanese vowels (Table 15)

|                  | [a]       | [ɔ]  | [0]   | [u]      | [ɯ]  | [i]   | [3]  | [e]   |
|------------------|-----------|--|---|----------|--|---|--|---|
| Old<br>Japanese  | 阿a        | 母 mo <sub>2</sub> , 其 go <sub>2</sub> ,<br>止to <sub>2</sub> , 特do2, 乃<br>no <sub>2</sub> | 毛 mo <sub>1</sub> ,古 ko <sub>1</sub> ,<br>刀 do <sub>1</sub> ,度 do <sub>1</sub> ,<br>俗 zo <sub>1</sub> | 宇u       | 肥 pi <sub>2</sub> ,<br>備 bi <sub>2</sub> ,<br>未 mi <sub>2</sub> ,<br>貴 ki <sub>2</sub> ,疑<br>gi <sub>2</sub> | 比 pi1,<br>鼻 bi1,<br>美 mi1,<br>支 ki1,<br>祇 gi1 | 戸 pe <sub>2</sub> , 倍<br>be <sub>2</sub> , 米 me <sub>2</sub> ,<br>気 ke <sub>2</sub> ,義 ge <sub>2</sub> | 平 pe <sub>1</sub> , 弁<br>be <sub>1</sub> , 売 me <sub>1</sub> ,<br>家 ke <sub>1</sub> , 牙 ge <sub>1</sub> |
| Modern<br>Korean | /a/<br>}- | /o/<br>-]  | /o/<br>上  | /u/<br>T | /ɯ/<br>—   | /i/<br>]                                      | /ε/<br>Η   | /e/<br>-]]  |

#### Table 15. Korean monophthong corresponds to Old Japanese vowels

The similarity of vowel systems thousands of years ago suggests the idea that the Japanese language derived from the language spoken on the Korean peninsula.

With this in mind, we assume that Japanese does not have salient similarities with the Austronesian languages in regard to phonology. The phonologic distinctions between Japanese and the Austronesian languages further tie to the fact that the Austronesian languages are stress languages, while Japanese is moraic.

### Conclusion

The main aim of this study was to uncover how Japanese is related to the Altaic languages, the Austronesian languages, the Dravidian language Tamil, and Korean. The chi-square homogeneity test and Euclidean distance were a great help in achieving this goal, enabling us to calculate the morphologic, syntactic, and phonologic distances between the languages. Morphologically, in light of preferences of causative/inchoative verb alternation patterning and morphemes that convey the alternation, it is postulated that Japanese and Korean are for the most part close; the language with the second closest features to Japanese is the Altaic language Turkmen.

Syntactically, Japanese and Korean share a similarity in rendering case with particles; the Altaics and Tamil convey case via suffixes; case in the Austronesian languages is conveyed by prefixes. Furthermore, Altaic language case markers follow vowel harmony and vowelconsonant harmony, while Japanese case markers do not involve a harmonic rule. Japanese, Tamil, Korean, and the Altaic languages share the same word order: 'verb final' (SOV). Austronesian languages are mostly verb initial (VOS). Morpho-syntactic distinctions between the Austronesian languages and Japanese further extend to language typology, i.e. Indonesian has an ergative language character (Cartier, 1979), while Japanese is an accusative language.

Phonologically, Tamil and the Austronesian languages share a resemblance in harmony of vowel height. Korean, the Altaic languages, and the Austronesian languages have similarities in the harmony of vowel backness. Japanese, the Altaic languages, and the Austronesian language Madurese display vowel-consonant harmony. It should be pointed out that the harmonization in Japanese and Madurese reaches the lexical level (nominal compounding), while in the Altaic languages, vowel-consonant harmony is facilitated by morpho-syntax (suffixation).

Pulling these strands together, we can conclude that Japanese is most closely related to Korean. Perhaps it would not be unsound to note that the Austronesian language Tindal and the Kagoshima dialect (Japanese) bear a penultimate stress.

This study may not be able to account for all linguistic resources in all languages, but we hope that the conclusion reached by this study can be tested by other grammatical elements.

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#### **Old Japanese resources**

Kojiki kayō: 112 poems; approx. 2527 words, written in hentai-kanbun 'variant Chinese',

Completed year: AD. 712, genre: historical book that supports the emperor's sovereignty.

Nihon shoki kayō: 133 poems; approx. 2444 words, written in junsei-kanbun 'classical Chinese', Completed year: AD. 720, genre: Authentic historical book. Man'yōshū: 4685 poems; approx. 83706 words, written in man'yōgana, Completed year: AD. 759, genre: poetry.



### Appendix

<sup>1</sup> Japanese morphological analysers further include Janome, Chasen, KyTea, and Juman.

<sup>2</sup> One may argue that the similarity in morphology in Korean and Japanese perhaps resulted in the Japanese annexation (1910–1945). Although Japanese was made an official language, leading to a mixed Hanja-Hangul script during the annexation, the effects are limited to the lexicon (lexical roots are written in Hanja), with the Korean grammatical forms retained. The morphology-driven feature in Korean was established long before the annexation. <sup>3</sup> Vowel harmony is worth commenting on. We will return to it in Section 5.

<sup>4</sup> X refers to oblique.

<sup>5</sup> This information is drawn from https://www.reddit.com/r/linguistics/comme nts/nrg00d/vowel\_harmony\_in\_tamil/

<sup>6</sup> In Early Middle Japanese, when the pure phonetic script kana was invented, vowels merged into: /a/, /i/, /u/, /e/, and /o/.

