



Introduction to Generalist Repositories for NIH Data Sharing

September 15, 2022 GREI Collaborative Webinar Series

Sonia Barbosa, *Manager of Curation, Harvard Dataverse* Jennifer Gibson, *Executive Director, Dryad* Nici Pfeiffer, *Chief Product Officer, Center for Open Science (OSF)* Ana Van Gulick, PhD, *Government & Funder Lead, Head of Data Review, Figshare*



What is a generalist repository?



Generalist Repositories store and preserve a wide variety of data types and research outputs and usually accept data regardless of the type, format, content, disciplinary focus, or research institution affiliation.

Flexibility + Trusted Data Repository Standards



NIH Research Data Ecosystem





Domain-specific Repositories

NIH-supported Scientific Data Repositories*				
Institute or Center All	a Repository Name ∳	Repository Description Keyword Filter	Open Data Submission	÷
Common Fund	Epigenomics	Epigenomic, 6 histone modification marks, DNAse I, DNA methylation, transcriptome for wide variety of cell types and tissues.	No	
Common Fund	exRNA Atlas	Includes exRNA profiles derived from various biofluids and conditions and currently stores data profiled from small RNA sequencing assays.	No	
Common Fund	<u>GTEx</u>	The Genotype-Tissue Expression (GTEx) project aims to provide to the scientific community a resource with which to study human gene expression and regulation and its relationship to genetic variation. This project will collect and analyze multiple human tissues from donors who are also densely genotyped, to assess genetic variation within their genomes. By analyzing global RNA expression within individual tissues and treating the expression levels of genes as quantitative traits, variations in gene expression that are highly correlated with genetic variation can be identified as expression quantitative trait loci, or eQTLs.	No	
Common Fund	HMP DACC	The HMP DACC is a common repository for diverse human microbiome datsets and minimum reporting standards for the Common Fund Human Microbiome Project (HMP)	No	

In general, NIH does not endorse or require sharing data in any particular repository, although some initiatives and funding opportunities will have individual requirements. Overall, NIH encourages researchers to select the repository that is **most appropriate** for their data type and discipline.

Also see: https://www.re3data.org/

https://sharing.nih.gov/data-management-and-sharing-policy/sharing-scientific-data/repositories-for-sharing-scientific-data

https://www.nlm.nih.gov/NIHbmic/domain_specific_repositories.html



NIH Research Data Ecosystem





Generalist Repositories



DATA MANAGEMENT AND SHARING POLICY

GENOMIC DATA SHARING POLICY

Home > Data Management and Sharing Policy > Sharing Scientific Data > Generalist Repositories

Generalist Repositories

While NIH encourages the use of domain-specific repositories where possible, such repositories are discipline or the type of data they generate, a generalist repository can be a useful place to share dat disciplinary focus. NIH does not recommend a specific generalist repository and the list below, which

• Dataverse

- Dryad
- Figshare
- Mendeley Data
- Open Science Framework
- Synapse
- Vivli
- Zenodo

While NIH encourages the use of domain-specific repositories where possible, such repositories are not available for all datasets. When investigators cannot locate a repository for their discipline or the type of data they generate, a **generalist repository** can be a useful place to share data. Generalist repositories accept data regardless of data type, format, content, or disciplinary focus.

https://sharing.nih.gov/data-management-and-sharing-policy/sharing-scientific-data/generalist-repositories





https://datascience.nih.gov/data-ecosystem/exploring-a-generalist-repository-for-nih-funded-data



https://datascience.nih.gov/data-ecosystem/nih-data-repository-workshop-summary









Vision: to develop collaborative approaches for data management and sharing through inclusion of the generalist repositories in the NIH data ecosystem and better enable search and discovery of NIH funded data in the generalist repositories.

Mission: to establish a common set of capabilities, services, metrics, and social infrastructure; raise general awareness and facilitate researchers to adopt FAIR principles to better share and reuse data.

This initiative will further enhance the biomedical data ecosystem and help researchers find and share data from NIH-funded studies in generalist repositories.









GREI Objectives

Align with Desirable Characteristics for Data Repositories	Implement browse & search for NIH funded data	Develop consistent metadata models	Conduct limited Q/AC of the NIH funded data
Enable connectivity of digital objects	Use case support, including cross repository use cases	Implement open metrics	Develop educational materials
	Conduct broad outreach (workshops)	Commit to "Co-opetition"	

Openly share software & work products developed under the award



Generalist Repository Features



Desirable Characteristics of Data Repositories

When choosing a repository to manage and share data resulting from Federally funded research, here are some desirable characteristics to look for:

Unique Persistent Identifiers	
Long-Term Sustainability	
Metadata	
Curation and Quality Assurance	
Free and Easy Access	
Broad and Measured Reuse	
Clear User Guidance	
Security and Integrity	
Confidentiality	
Common Format	
Provenance	
Retention Policy	

Guidance set forth by NIH And by <u>The National Science</u> and Technology Council, cited in OSTP guidance





Unique Persistent Identifiers

- Citable
- Digital Object Identifier (DOI) assigned
- Remains accessible when dataset is no longer available
- PIDs support data discovery, reporting, and research assessment

Metadata

- Discovery, reuse, and citation
- Using schema that are appropriate to and widely used across the community(ies) the repository serves

Free and Easy Access

- Broad, equitable, and maximally open access to datasets and their metadata
- Access free of charge in a timely manner after submission



Curation and Quality Assurance

- Provides or has mechanism for other to provide expert curation and quality assurance
- Improves accuracy and integrity of datasets and metadata

Clear Use Guidance

- Provides documentation describing terms of dataset access and use
- Examples: particular licenses, need for approval by a data use committee, etc.

Broad and Measured Reuse

- Datasets and their metadata available with broadest possible terms of reuse
- Ability to measure attribution, citation, and reuse of data



Common Format

- Datasets and metadata can be downloaded, accessed, or exported from the repository
- Non-proprietary formats consistent with those used in the community(ies) the repository serves

Confidentiality

...

- Documented capabilities and safeguards
- Complies with applicable confidentiality, risk management, and continuous monitoring requirements for sensitive data

Provenance

 Mechanisms in place to record the origin, chain of custody, and any modifications to submitted datasets and metadata



Security and Integrity

- Meets generally accepted criteria for preventing unauthorized access to and modification of data
- Has levels of security that are appropriate to the sensitivity of data

Retention Policy

 Provides documentation on policies for data retention within the repository

Long-Term Sustainability

- Plan for long-term management of data
- Building on a stable technical infrastructure and funding plans
- Contingency plans for unforeseen events



Additional Considerations for Human Data

Fidelity to Consent: Documented procedures to restrict dataset access

Restricted Use Compliant: Documented procedures to communicate and enforce data use restrictions

Privacy:Implements and provides documentation to protect human subjects' data

Plan for Breach: Security measures that include a response plan for detected data breaches

Download Control: Controls and audits access to and download of datasets

Violations: Procedures for addressing violations of terms-of-use by users and data mismanagement

Request Review: Established and transparent process for reviewing data access requests



Finding a Repository

NIH Repositories for Sharing Data

Fairsharing Generalist Repository

Comparison

	MATCH ALL TERMS		MATCH ANY TE	RM		
Ø	MAIN	TAINED	NOT MAIN	TAINED		
ß	RECOM	RECOMMENDED		NOT RECOMMENDED		
Ø	READY	DEPRECATED	UNCERTAIN	IN DEV.		
Q Sea	irch		•	APPLY		
Subjects						







Generalist Repository Use Cases



1. I share my data with a generalist repository because:

- There is no dedicated repository for my discipline
- My results don't fit within the scope of an existing repository



2. I share *a version of* my data in a generalist repository because:

- I have made sensitive data available in a restricted repository and wish to make a desensitized, public version available as well
- Different disciplinary communities will benefit from access to my data



3. I search for data in a generalist repository because I wish to:

- Compare or verify results using data from similar investigations or subjects
- Define or expand the scope of investigation
- Reach beyond immediate circles of knowledge and collaboration
- Build on earlier findings, avoid redundancy, and avoid dead-ends



Generalist Repositories for NIH Data Management and Sharing



How generalist repositories fit into the new NIH DMSP

DMSP is required for:

• Any NIH award (grant, contract, intramural) producing research data

DMSP should include the following components:

- The expected schedule for data sharing
- The format of the dataset
- The documentation to be provided with the dataset
- Whether any analytic tools also will be provided
- Whether a data-sharing agreement will be required

Data Management

Proper data management is crucial for maintaining scientific rigor and research integrity. Learn about best practices for scientific data management.

ON THIS PAGE:

P Data Management
FAIR Principles
Length of Time to Maintain Data
Metadata and Other Associated Documentation
Maming Conventions
Common Data Elements
Data Storage Format
Data Security





Using generalist repositories together with discipline-specific repositories

Discipline specific may provide option that generalists repositories do not: file previews, analysis and visualization tools, discipline specific metadata standards, larger file size support.

Generalist repositories may help fill any sharing needs not met by the former:

Open format files, supplementary **documentation**, **custom metadata** and they allow **linking to related content** managed elsewhere. Generalist repositories are **free to use** (some provide limited project/file size support unless you have an institutional affiliation)

Characteristic	Dryad	Harvard Dataverse Repository	Figshare	Mendeley	OSF	Vivli	Zenodo
All Repositories							
Unique Persistent Identifiers	Met -	Met	Met	Met	Met	Met -	Met 👻
	Each dataset published with Dryad is given a unique Digital Object Identifier (OD). Dols are reserved at the start of a submission and minted upon publication. If datasets are updated, the DOI always resolves to the latest version.	Harvard Dataverse Repository assigns DOIs to all datasets. Dataset authors can identify themselves and other types of data contributors using the following types of unique IDs: ORCID, ISNI, LCNA, VIAF, GND, DAI, researcherID, ScopusID.	All research made publicly available on Figshare gets allocated a DataCite Digital Object Identifier (DOI) at the point of publication. DOIs can also be reserved in advance. Figshare authors can add their ORCID ID, to their Figshare Author Profile and can sync Figshare with ORCID and DataCite so that all of their public items from Figshare are pushed to ORCID.	This is available out of the box. MD reserves a DOI when the dataset is created and minis it when the dataset is published MD provides PIDs for individual files and folders within a dataset	OSF uses Globally Unique Identifiers (GUIDs) on all objects (users, files, projects, components, registrations, and preprints) across the platform, which are citable in scholarly communication. OSF also supports registration of DOIs for projects, components, and research registrations with Datacite, and for preprints with Crossref. OSF collects ORCID IDs for users and contributors, and provides those with metadata sent for DOI minting, as well as ROR identifiers when contributor affiliations are known.	All clinical research that is available for search and request on the Vivil patform is assigned a DataCite Digital Object Identifier (DOI) at the time the metadata for the clinical research data appears in the Vivil search and is available for request. The clinical research dataset is assigned a main DOI with a parent-child data object reference for all data and documents associated with a study's data package to support data discovery. If the data or supporting documents are ever updated, this is chronicled and tracked and is noted in the version control within the persistent identifier.	Zenodo assigns a Digital Object Identifier (DOI) to all resource types deposited, including datasets. Zenodo also supports use of additional unique IDs such as ORCID IDs for creators/contributors, ROR for organizations, and implementations of controlled vocabularies such as LCSH.

Characteristic	Dryad	Harvard Dataverse Repository	Figshare	Mendeley	OSF	Vivli
Free and Easy Access	Met 👻	Met 👻	Met -	Met 👻	Met	Met 👻
	Dryad publishes research and associated metadata data exclusively under a Creative Commons Zero (CC0) License to ensure broadest possible dissemination. We make data publicly available only after it is curated by our team – ensuring that data are appropriate for sharing openly under a CC0 license, sensitive information has been removed, files are accessible and understandable for other users, and descriptive metadata are provided to facilitate downstream discovery and reuse. Dryad's API provides free, convenient, machine-readable access to all metadata and datasets.	The Harvard Dataverse Repository is free for use up to 2.5GB per file and 1 terabyte of data per deposit/collection. The repository encourages the use of the CC0 license and lets depositors use other standard and custom licenses and terms. Open content can be accessed directly via the UI or API, and restricted content can be requested using a "request access" feature if enabled by the data depositor; all restricted content must contain terms of access when "request access" is not used. Depositors with collections over the alloted byte size may have the opportunity to pay for larger data support.	Figshare believes that data should be as open as possible and should always be free to access. All content hosted on figshare infrastructure can be downloaded by anyone, with no need to log in. The content can also be mass downloaded or mined using the figshare API, also openly available to anyone at docs. figshare.com. When more restricted access to data is required, Figshare supports this via embargo, private link, and linked data options. An embargo can be applied for any period of time on either the files only or the entire item. Figshare for institutions portals can also restrict access to logged-in users, groups, or by IP range, and can enable a 'request access' feature.	Cur communal repository is designed to support Open Science: access to published datasets is available for free while providing options for the data submitter to delay the availability of data by setting an embargo period.	OSF is free to use by research producers and consumers. Signing up for an account on OSF is quick and easy, by providing a name, email, and password, or by using ORCID or institutional credentials. Access to view and download public content on OSF is free and does not require an account. OSF pages are available in English, with ongoing efforts to support internationalization through infrastructure support and community engagement. Content is posted to OSF in many languages and content is viewed and accessed across the globe.	Access to metadata and data hosted by Vivi is free and accessible to all, subject to meeting a data contributor's data sharing policies, which are publicly stated on our website.

Using generalist repositories together with discipline-specific repositories

GREI generalist repositories have agreed upon **common metadata** and **open metrics** standards to promote discovery and usability of shared data:

Metadata Models

- Title
- Description
- Author/Creator
- Funder
- Grant ID
- Publication Year
- Content Type



Impact, reporting, share dataset DOIs in grant report, biosketch etc

Make Data Count:

Data Usage:

- Standardize data views and downloads against the COUNTER Code of Practice for Research Data
- Expose views and downloads to the public through UI or through sending to DataCite (or both)

Data Citation:

- Contribute: Collect author asserted related articles and other relevant (to each repository community) scholarly outputs (e.g., preprints, software, and datasets through UI)
- Contribute: Send related scholarly outputs to DataCite with proper relation types in metadata
- Display: Expose related data citations from external sources (e.g., EventData, Dimensions, etc) to published data datasets on landing pages



Impact, reporting, share dataset DOIs in grant report, biosketch etc

Metadata for Data Metrics

- Funding Information:
 - Collect funding body ID (e.g., Crossref Funder Registry) and grant number for biomedical and life science datasets and send to DataCite
- Institutional Information:
 - Collect institutional affiliations with a ROR ID** and send to DataCite
- Disciplinary Information:
 - Collect disciplinary information for biomedical and life science datasets and send to DataCite
- People Information:
 - Collect (not required) ORCIDs where possible for researchers and expose through ORCID profile and/or DataCite

Be sure to attend the November and December webinars on sharing and best practices!







a webinar series

GREI Collaborative Webinar Series on Data Sharing in Generalist Repositories



Registration available

SF







MENDELEY DATA





Upcoming GREI Webinars

Meet the GREI Generalist Repositories Wednesday, October 12 at 1pm ET / 10am PT



How to include generalist repositories in your NIH data management and sharing plans Thursday, November 10 at 3pm ET / Noon PT

> Best practices for sharing data in a generalist repository: Metadata, data preparation, and reporting Thursday, December 8 at 3pm ET / Noon PT

Register and learn more at: <u>https://datascience.nih.gov/grei-</u>collaborative-webinar-series





Save the date!

GREI Workshop



- Fully online
- Generalist Repository best practices
- Generalist repositories in the future
- Guest speakers, training, repository development

Tuesday, January 24 & Wednesday, January 25, 2023



Seeking your Questions and Feedback!

- What questions do you have about using generalist repositories?
- What would you like to hear from GREI generalist repositories at future webinars?
- Please complete our survey
 - o <u>https://tinyurl.com/GREIWebinar1survey</u>
- Get in touch at <u>GREI@nih.gov</u>

