



Tantalum Oxynitride Nanotube Film Arrays for Unconventional Nanostructured Photo-electrodes Active with Visible Light

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Abstract:

Tantalum-oxy-nitride nano-ordered nanotubes (TaO_xN_y NTs) for film-type electrodes were successfully synthesised through anodic oxidation of metallic Ta followed by high-temperature annealing in an NH₃ atmosphere to prepare unconventional nanostructured photoactive electrodes active with visible light. Their morphology was studied by scanning electron microscopy (SEM-EDX), showing that the tube length and inner diameter correlate with the synthesis parameters. The nitridation temperature was also investigated, showing that the conversion of bare Ta₂O₅ into TaO_xN_y strongly influences the absorption in the visible region with a bandgap shift from 4.0eV of the Ta₂O₅ NTs to 2.1eV of the TaO_xN_y NTs treated at 800°C. Photo-electrochemical and catalytic performances were evaluated with chronoamperometric measurements and different photo-reaction using AM 1.5 G solar simulator light: (i) degradation of methylene blue (MB), (ii) ethanol gas-phase photo-reforming and (iii) bias-assisted photoelectrochemical water splitting. The nitridation temperature increases the degree of N- substitution in TaO_xN_y NTs, increasing the visible light photocatalytic performances. The TaO_xN_y NTs film-type electrode, obtained by nitriding at 800°C, shows the highest photocurrent value (0.1 mA·cm⁻²), MB's highest rate of degradation, and H₂ photo-production.

Keywords

Tantalum Oxynitride, TaON nanotube array, nanostructured film electrodes, ethanol photoreforming, H₂ photocatalytic production, visible-light photocatalysis

Introduction

The interest in visible-light photoactive materials is drastically increasing for applications going from environmental remediation [1, 2] to H₂ photocatalytic production or CO₂ reduction [3-6] as part of the general effort towards renewable-energy-driven processes [7-11]. Photocatalytic water splitting, in particular, has largely attracted research interest. An intense effort was dedicated to developing semiconductor materials with a band-gap within the visible range since the discovery of Honda and Fujishima of the photocatalytic properties of TiO₂ in the late 1970s [12]. While the application has now been scale-up to a large (100 m²) demonstration unit using modified, aluminium-doped strontium titanate particulate photocatalysts [13], the solar-to-hydrogen (STH) efficiency is still low (STH=0.76%), and downstream separation of H₂ and O₂ is required.

A photoelectrocatalytic (PEC) approach, where the production of H₂ and O₂ occurs in physically separated compartments, is preferable from this perspective [14]. Avoiding liquid electrolytes, with the membrane acting as both the element separating the anodic/cathodic sections and closing the ionic transport circuit, is also a preferable engineering choice because it reduces costs and improves scalability [15, 16]. However, this reactor design requires that the photoanode has a specific configuration allowing high light harvesting and efficient collection of the photogenerated current together with the transport of the photogenerated protons from water photo-oxidation to the membrane located on the back of the photo-anode [17, 18]. An ordered array of vertically-aligned semiconductor nanotubes (NTs) grown over a perforated metallic foil (acting as an electron collector) would be ideal for achieving these objectives [19-21].

As cited above, we used this nanostructured film electrode (based on TiO₂ NTs film array) in PEC applications for water splitting and CO₂ reduction. Preparing these materials by controlled anodic oxidation of Ti foils is an established technology [22-25]. We also have studied several aspects of their synthesis and use in various photoactive reactions [26-29]. TiO₂ is attractive as a semiconductor for various reasons: stability, eco-compatibility, corrosion resistance, good absorption of ultraviolet light, etc. Still, the main limit is the activity only with ultraviolet light, e.g., about 4% of the incident solar light. Many studies have been made to improve visible-light activity by doping, heterojunctions, plasmonic effects, etc. Still, progress has been limited, and in several cases, the methods are not well suited to modify the properties of TiO₂ NTs film-type electrodes [30-33].

The conversion of oxides to oxynitrides is a well-established method for particulate photocatalysts to shift the band gap within the visible region [34-36]. Among oxynitrides, Tantalum OxyNitride (TaO_xN_y) show interesting performances [37-40]. Semiconductors such as TaON [41] and Ta₃N₅ [42, 43] possess an interesting band structure, in the ~2.2-2.7 eV range depending on the amount of N

present in the structure, allowing a theoretical solar-to-hydrogen efficiency as high as 15.9% under AM 1.5 G $100 \text{ mW}\cdot\text{cm}^{-2}$ irradiation [43].

TaO_xN_y compounds can be obtained through high-temperature treatment in an NH_3 atmosphere of Ta_2O_5 . However, most of the studies regarding particulate materials, while limited studies reported the synthesis and use of nanostructured film-type electrodes characterised by an ordered array of vertically-aligned NTs [24, 38, 42, 44] and on the preparation by anodic oxidation of the ordered array of Ta_2O_5 NTs [45-50].

Starting from our previous experience with nanostructured TiO_2 , we synthesise Ta_2O_5 nanotubes through anodic oxidation of metallic Ta. Anodic oxidation of metals is a technique that allows easily obtaining the desired morphology of the resulting oxide by simply changing the right synthesis parameters [51-53]. For example, extending the anodisation time usually increases the oxide film thickness while the composition of the electrolyte consent to grow the oxide layer in different shapes (tubes, rods, honeycombs, etc.) [54-56]. This work analyses the correlation between synthesis parameters, the resulting morphology and the photocatalytic performances of electrodes based on TaO_xN_y NTs ordered array. The photocatalytic activity of the tantalum TaO_xN_y NTs varying the synthesis parameters and the nitridation temperature was also investigated.

Material and methods

Preparation

Synthesis of Ta_2O_5 NTs film array. A two-step anodisation process was made. First, Ta discs (35 mm diameter, 0.125 mm thickness, supplied by Alfa Aesar) were pre-treated with 400 and 600-grit abrasive paper and later sonicated in isopropyl alcohol for 30 min. Two different electrochemical baths were used. The first consisted of ethylene glycol with 0.33% wt of NH_4F and 3% vol of H_2O . The second electrolyte was composed of H_2SO_4 (98% Sigma Aldrich) with HF 1% vol (30% Sigma Aldrich) and 4% vol H_2O . Agilent E3612A DC Power Supply and Keithley 2000 multimeter were used to apply the desired voltage and measure the current during anodisation. Applied potential ranged from 20 to 60V, and time varied from 1min to 1h. After the anodisation, the samples were sonicated in H_2O to remove the oxide, and the resulting Ta foil was used for a second anodisation step.

Conversion to TaO_xN_y . The Ta_2O_5 NTs film array samples were annealed in a quartz tubular furnace under a flow of 20 mL min^{-1} of NH_3 (10%He) to convert the tantalum oxide nanotubes to TaO_xN_y . The temperature ranged from 600 to 900°C at a heating rate of $25^\circ\text{C min}^{-1}$ and then kept constant for the entire treatment time of 3h. The samples reported as oxide were annealed in a muffle furnace at 450°C for 3h.

Characterisation

UV-visible Diffuse Reflectance Spectroscopy measurements were performed by a Thermo Fischer 220 Spectroradiometer equipped with an integrating sphere for solid samples, using BaSO₄ as the reference and in the air. Scanning Electron Microscopy (Phenom ProX Desktop) was used for structural and morphological characterisation operated at an accelerating voltage of 10 kV. The nanotube diameter and length were directly measured from SEM images. Photoelectrochemical measurements were performed in a three-electrode cell equipped with a quartz window. A Pt wire was used as the counter electrode, and a 3M KCl-Ag/AgCl as the reference electrode. All measurements were performed at room temperature in 1 M Na₂SO₃ solution at 0.3 V using a 2049 AMEL potentiostat-galvanostat.

Photocatalytic tests

Three different types of testing were conducted. The degradation of methylene blue (MB) was carried out in a home-made glass flask equipped with a quartz window that allows the catalysts to be irradiated: the samples were suspended inside the reactor, 150 mL of 10 μM MB aqueous solution was stirred in the dark for 30 min under nitrogen flow (10 mL min⁻¹) at room temperature. Samples were taken out at regular intervals, and MB concentration changes were monitored using UV/Vis at a wavelength of 665 nm. The second type of test was made using the same flask equipment discussed above, but in gas phase configuration, essentially 20 mL of EtOH was placed on the bottom of the flask and heated at 60°C under slow stirring. The catalysts were suspended inside the reactor, and samples were taken out at regular intervals and sent to GC (Agilent 7890A) for product detection. The third test was conducted in an H-type electrochemical cell with a quartz window. The anode was the Ta-based material, and the cathode was a platinum tip. Ag/Ag-Cl was used as a reference electrode. The electrolytes used were Na₂O₃ 0.1 M in the anode chamber and H₂SO₄ 0.5 M in the cathode chamber. The two electrolyte compartments were separated with a reinforced fluorinated Nafion membrane. Products were detected using Hiden analytical HPR-20 R&D mass spectrometer. For all the experiments discussed, the light source was a Xe-arc lamp (ORIEL, 300W) with the addition of an AM 1.5G solar simulator filter.

Results

Synthesis of the photoelectrode and their characteristics

Factors controlling the features of the Ta₂O₅ NTs film array. Based on previous experience synthesising Ti nanotubes [54], we initially used an ethylene-glycol-based electrolyte. [Figure 1a](#) reports an SEM (scanning electron microscopy) image of Ta oxide prepared by one step of anodisation in ethylene glycol with NH₄F as a fluorine source and 4% vol. of H₂O. [Figure 1b](#) shows an SEM image of a sample prepared with HF as a direct fluorine source. In both images, an irregular porous oxide structure (with pores ranging from 40 to 100 nm) can be observed. There is no evidence of a tubular structure. However, the sample prepared with HF shows a more homogeneous surface. These first

results prove that the methodologies optimised for preparing a well-homogeneous film array of vertically-aligned TiO_2 NTs cannot be applied directly to the tantalum-oxide case.

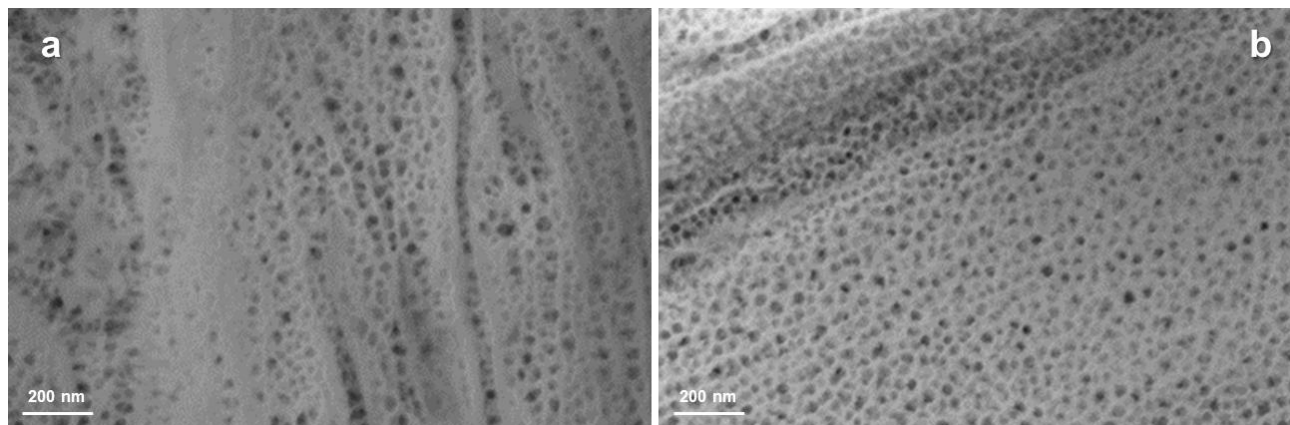


Figure 1. SEM images of Ta oxide anodised in EG-based electrolyte: a) with NH_4F as fluorine source and b) with HF as fluorine source.

To improve the preparation, we thus moved to the use of H_2SO_4 as the main electrolyte, in agreement with literature indications [57]. [Figure 2](#) shows the SEM images of the sample prepared at 60 V for 10 min using this electrolyte. The sample was rather inhomogeneous. Ta oxide nanopores did not completely cover their surface. The cross-section image ([Figure 2a](#)) confirms the presence of a nanotubular structure but without the highly ordered array structure, which is the major responsibility for the increase in the catalytic activity of these materials. Particularly, the presence of nano-islands with flower-like tube organisation can be observed in [Figure 2b](#).

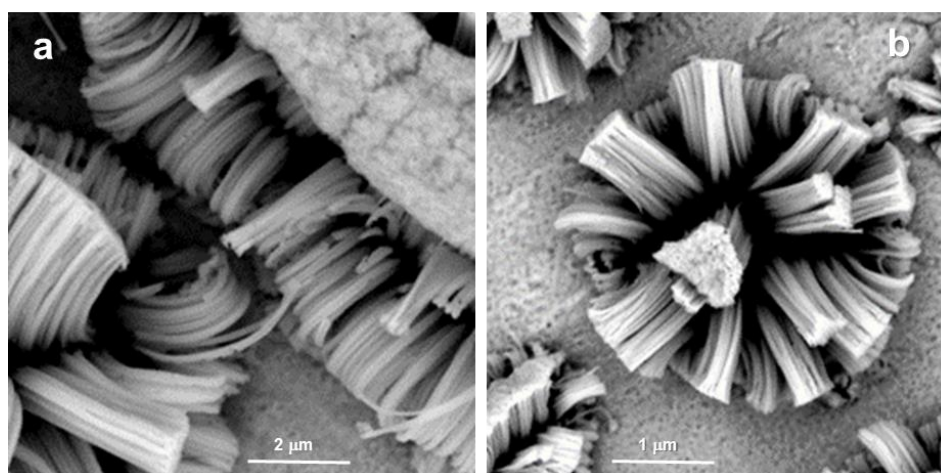


Figure 2. SEM image of Ta_2O_5 nanotubes, a) cross-section image, b) flower-type island nanotubes.

A double step of anodisation was performed to obtain a more robust and homogeneous photoactive layer. After the first anodisation step, the nanostructured oxide layer was rinsed with deionised water and then removed by ultrasonic treatment for 30 min in water. The remaining Ta substrate was then

anodised in a second anodisation step. The diagram in [Figure 3](#) depicts the Ta/Ta₂O₅ nanostructured electrode. SEM images show the different steps of the nanotube formation.

Specifically, [Figure 3a](#) shows the top view of the Ta₂O₅ NTs film array, evidencing a homogeneous structure with a honeycomb aspect. [Figure 3b](#) shows the resulting oxide layer after the membrane detachment, revealing the template used for the second anodisation step. [Figure 3c](#) shows the upside-down view of the nanotube oxide membrane, indicating that the bottom of the tubes is closed. [Figure 3d](#) shows the cross-section image of the membrane, revealing the high 1-D nano-order needed for improving the charge transport to the metallic collector layer, the remaining not anodised tantalum.

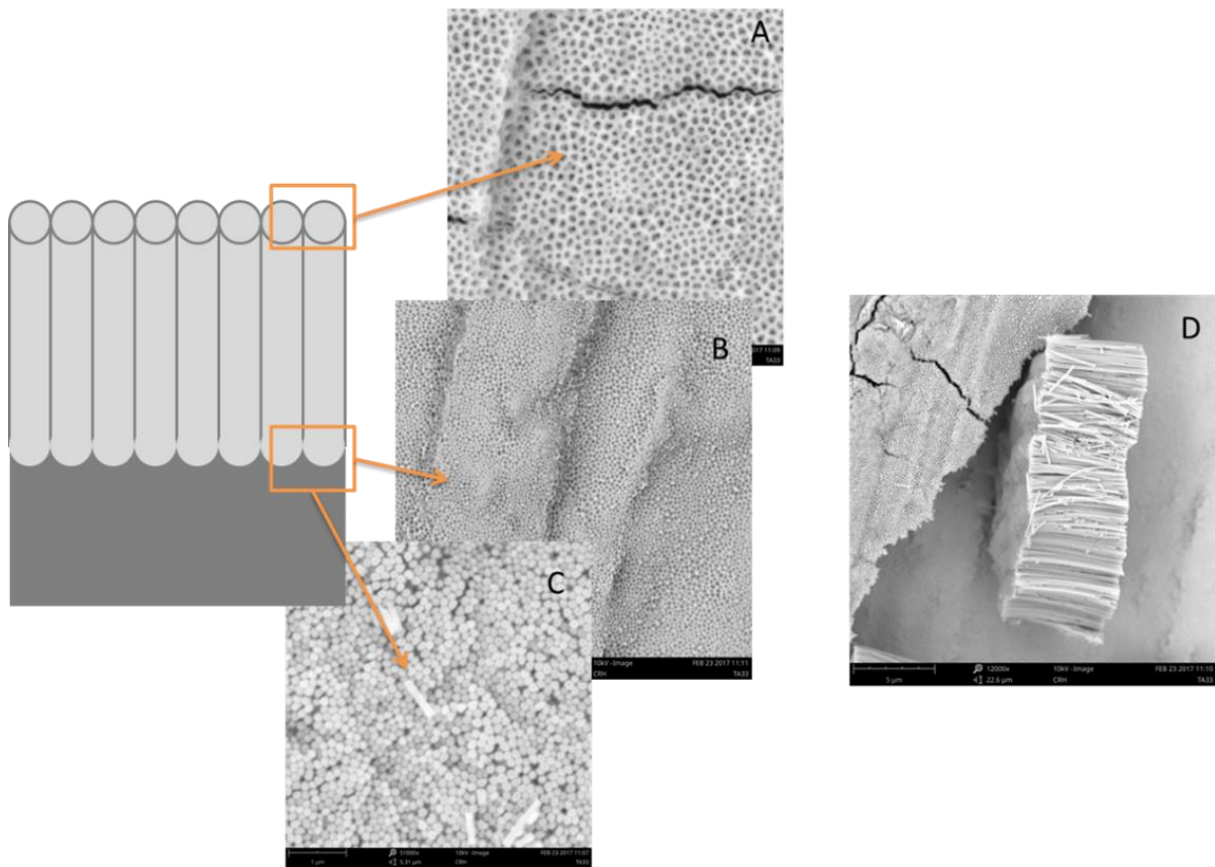


Figure 3. Schematic representation and SEM images of the different Ta/Ta₂O₅ NTs film array layers. SEM images: a) top view, b) remaining template after sonication, c) upside down view of the membrane, and d) cross-section image.

[Figure 4](#) shows the SEM images of the Ta₂O₅ NTs film array obtained by the double-step anodisation technique at 40 V (10 min for each step). The NTs film array appears quite compact and homogenous. The pores at the top surface are the opening of the nanotubes, while no interstitial spaces are present among the nanotubes.

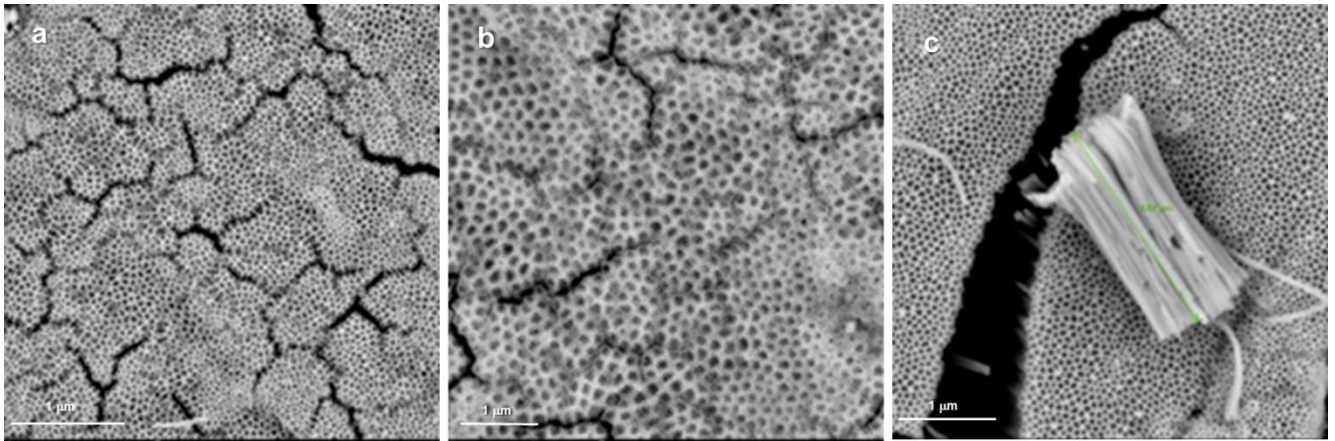


Figure 4. SEM images of a) low magnification of Ta₂O₅ nanotubes, b) high magnification, and c) cross section obtained from a detached piece of nanostructured Ta oxide.

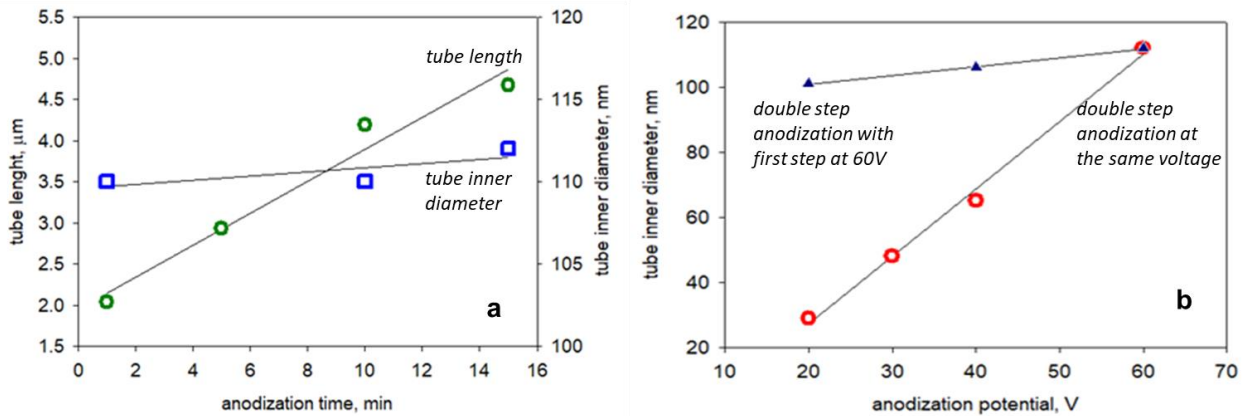


Figure 5. Relationship between voltage and time on tube morphology for a) single-step anodisation and b) double-step anodisation. Symbols are the experimental data, while the lines indicate the linear fitting by regression.

The effect of the anodisation time and applied potential was investigated in detail. [Figure 5a](#) shows the relationship between time and tube length. We obtained tubes of about ~2μm length in around one min of anodisation and up to ~5 μm in 15 min of anodisation. A faster process is present than Ti anodisation to form TiO₂ NTs [54, 58, 59]. [Figure 5b](#) shows the profile of the inner diameter of the tubes versus the applied potential. The red circle shows the samples that were anodised at the same voltage in both anodisation steps, while the blue triangle shows the samples that were anodised in the first step at 60V. Results demonstrate that the first step of anodisation mainly controls the inner diameter of the nanotubes. The sample anodised at 60V/20V possesses an inner diameter much wider than the sample anodised at 20V/20V.

Nitridation procedure. The nitridation of Ta₂O₅ NTs to TaO_xN_y NTs was made by annealing in the 500-800°C temperature range under ammonia flow for different times (from 2 to 6 h). This high-

temperature post-treatment was needed to partially replace the O atoms with N in the Ta₂O₅ lattice. This treatment shifts the high bang gap of Ta₂O₅ (4.2 eV) to a lower value (~2.1eV). The decrease of the band gap is proportional to the N loading. However, TaO_xN_y NTs films show mechanical issues, as they did not have strong adhesion to the underlying Ta substrate and suffer from serious cracking and peeling-off of the nanotubes from the substrate.

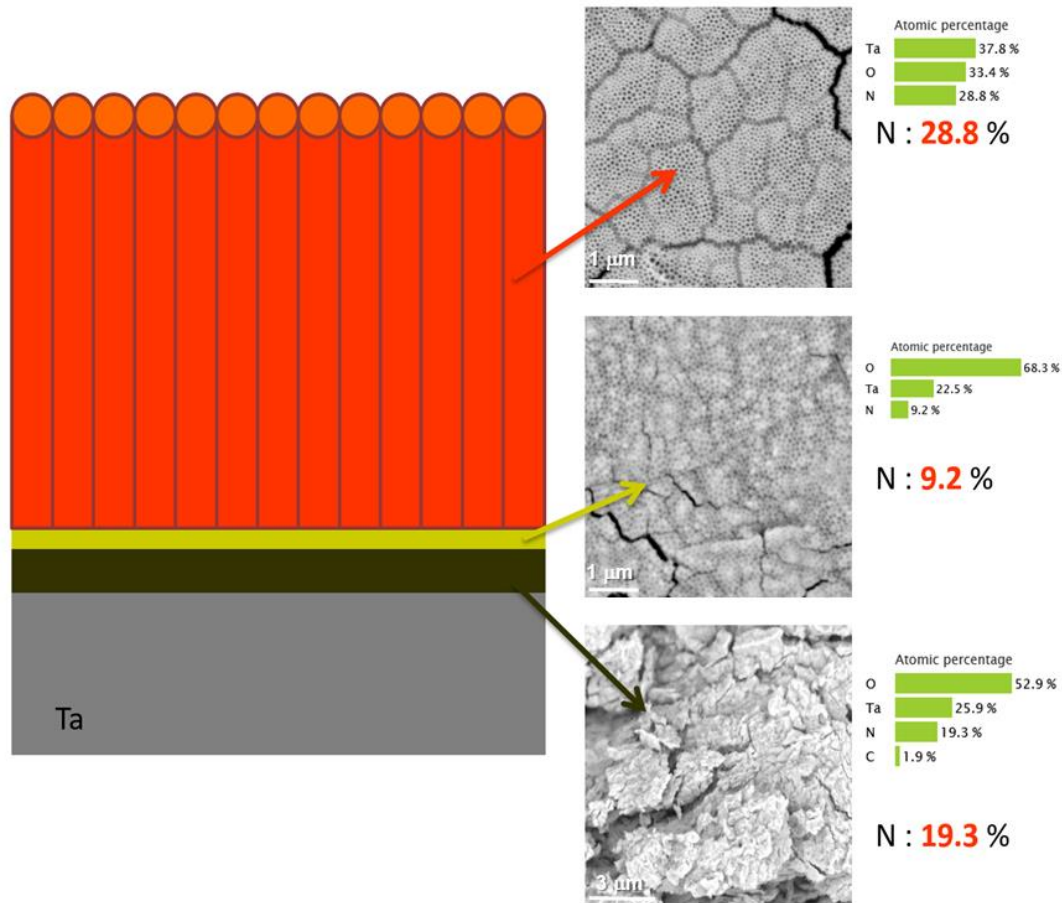


Figure 6. Schematic illustration of different layers of TaO_xN_y NTs electrodes after the nitridation process, EDX data reported for sample nitrided at 900°C for 3h.

Figure 6 schematically describes this effect as a function of the nitridation degree with the support of SEM images and EDX analysis. These are samples obtained by the two-step anodisation procedure. The TaO_xN_y NTs, show a highly ordered tubular architecture (the orange part in the picture), with about 28% of nitrogen (as shown by EDX analysis). Under the nanotubes, a further oxide layer can be observed (the yellow layer in the picture), having a reduced replacement of oxygen with nitrogen, about 9%. Furthermore, another Ta oxide amorphous layer was detected (reported in black in the picture) over the metallic Ta remaining non-oxidised. The nitrogen concentration in this layer is about

19%. This amorphous layer is likely responsible for the poor adhesion of the TaO_xN_x NTs film array to the metallic Ta substrate.

EDX results, reported in Figure 7, show that by increasing the nitridation temperature, the quantity of N increased: from 2.7% at 500°C to 19.1% at 900°C. EDX analysis also shows the presence of S in the sample nitride at 500°C, probably coming from the electrolyte bath and not present at higher temperatures.

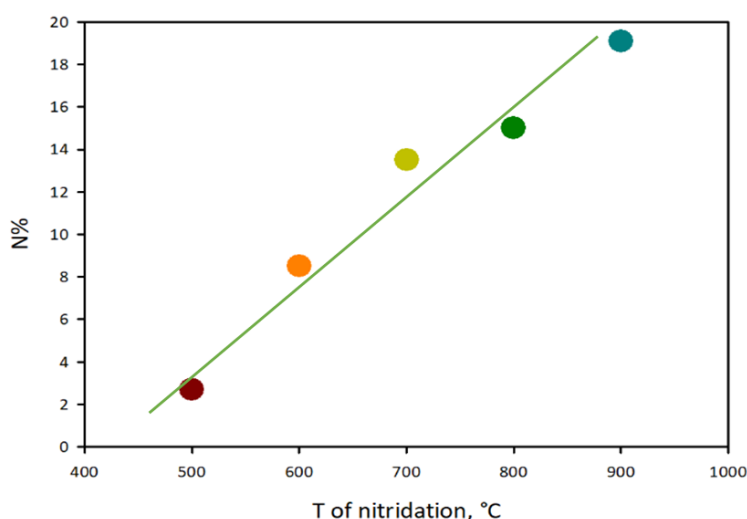


Figure 7. EDX atomic percentages wt. of nitrogen (N%) in TaO_xN_y NTs film array samples as a function of the nitridation temperature (T).

Light absorbance characteristics. The UV-visible spectra of the TaO_xN_x NTs film array annealed in NH_3 at different temperatures are reported in Figure 8. In all the spectra, the characteristic high absorbance in the UV region of the bare Ta oxide can be observed. However, TaO_xN_x NTs show a wide absorption in the visible region due to the modification of the band gap and specially the defects in the oxide, leading to lower energy defect states [35, 37].

The temperature increase in the nitridation steps leads to a well-defined peak at 540 nm for the sample nitride at 700°C and 550nm for the sample nitride at 800°C. At higher nitridation temperatures (900°C), however, the TaO_xN_x NT shows very poor mechanical stability and is thus omitted from Figure 8.

The band gaps (BGs) were estimated from the data in Figure 8 by using the Tauc-plot method. Figure 9 reports the BG calculation for the Ta_2O_5 commercial powder showing a BG of 4.0 eV. For the Ta_2O_5 NTs (annealed at 500°C in the air), a shift to 3.9eV is observed, consistent with observations for TiO_2 powder and TiO_2 NTs. The sample nitrided at 800°C shows a BG of 2.01eV, demonstrating that the nitridation procedure converts Ta_2O_5 to TaO_xN_x . For the samples prepared at 500°C and 600°C

the estimation of the BG is not precise due to the presence of too many defects, creating a large diffuse absorption in the visible region [58].

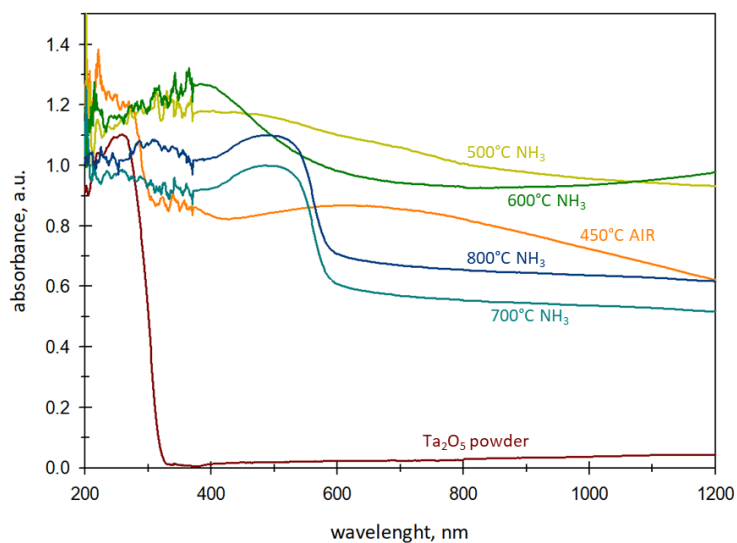


Figure 8. UV-visible spectra of TaO_xN_x NTs film array treated at different nitridation temperatures. Ta_2O_5 nanotubes (450°C AIR) and commercial Ta_2O_5 powder are reported as references.

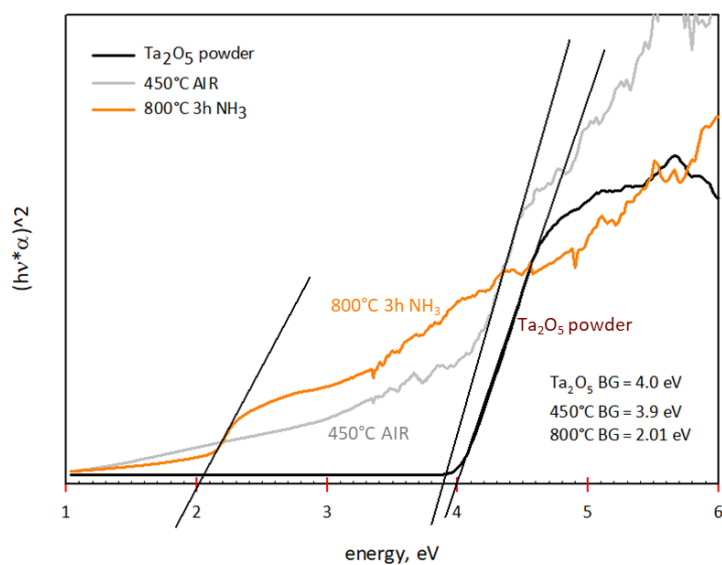


Figure 9. Band gap energy (E_g) determination from Tauc plot.

Photobehavior of the TaO_xN_x NTs film array and structure-activity relations

Photocurrent performances. Figure 10 shows the results of the photocurrent measurements using an AM 1.5G filter, simulating the standard terrestrial solar distribution. This filter was used for all the tests. Figure 10a shows the photocurrent measurements for the samples prepared at different voltages and nitrided at the same temperature (700°C). The sample anodised at 40 V (see figure 10a) gave the

highest photocurrent response (with respect to the sample prepared at 20 and 60 V). The sample prepared at 40 V has a tube diameter of about 65-70 nm and a tube length of less than 2 μm . [Figure 10b](#) shows the differences in the photocurrent between two samples anodised at 40 V but treated with ammonia at different temperatures. The nitridation temperature has a positive effect in terms of photocurrent. This result is related to the higher substitution of N into the Ta_2O_5 lattice with No content increasing from 13.5% to 15.0%, see [Figure 7](#).

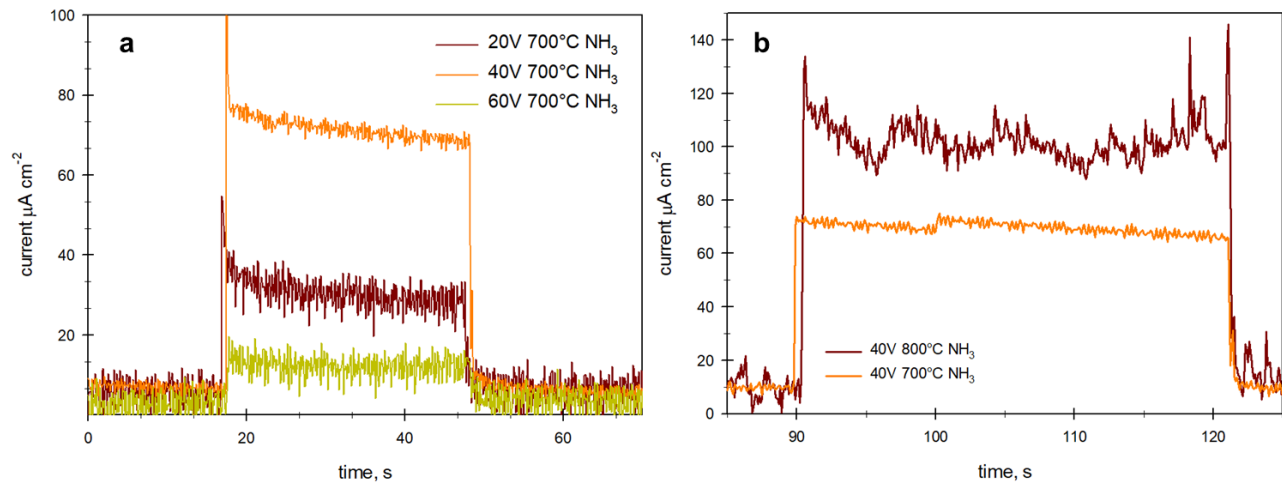


Figure 10. a) Photocurrent signals under AM 1.5G illumination of TaO_xN_x NTs film array prepared at different voltages; b) photocurrent signals for 40 V anodised sample with different nitridation temperatures.

Methylene blue (MB) degradation tests. MB photodegradation tests were used as model tests to analyze the photo performances of the TaO_xN_x NTs film array. The results are reported in [Figure 11a](#). In contrast, [Figure 11b](#) reports the relationship between the MB degradation rate and the atomic percentages wt. of nitrogen (N%) in the NTs film array samples. The Ta_2O_5 powder has nearly negligible activity, while the Ta_2O_5 NTs film array shows some activity, consistently with a slight shift of the BG to the visible region. The partial substitution of oxygen with nitrogen due to nitridation increases the degradation rate. Still, there is a drastic change above 700°C in agreement with the development a clear band around 500 nm in the UV-visible spectrum ([Figure 8](#)) associated with the formation of a more ordered crystalline structure and the thermal annealing of defects. Thus, in addition to the change in the band gap associated with the degree of substitution of oxygen with nitrogen, the presence of defects induced by the treatment negatively influences photocatalytic performances. A temperature above 700°C is thus necessary to reduce these defects and improve photocatalytic performances. However, as commented before, temperatures of treatment with ammonia above 800°C lead to mechanically fragile films which cannot be used for the catalytic tests.

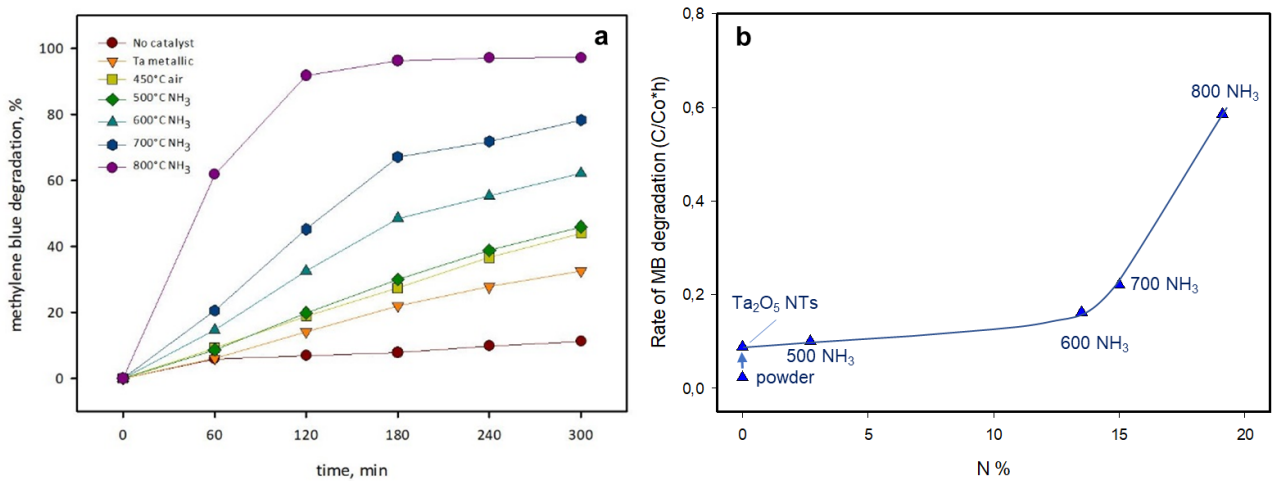


Figure 11. a) Methylene blue degradation as a function of time under AM 1.5 G solar simulator filter and using different TaOxNy NTs photocatalysts; b) Relationship between the rate of MB degradation and the atomic percentages wt. of nitrogen (N%) in the NTs film array samples.

Ethanol photoreforming to produce H₂. The photocatalytic behaviour of the materials produced was also tested in the gas phase ethanol photo-reforming to produce H₂ [29]. Figure 12 shows the H₂ production rate ($\mu\text{mol}\cdot\text{min}^{-1}$) obtained for Ta₂O₅ powder (Sigma Aldrich - SA) before or after annealing in an ammonia flow at 900°C (3 h) and for Ta₂O₅ NTs film arrays. The two power samples were spray-coated onto metallic Ta to form a film similar to that of NTs film array. The results show a higher H₂ production rate of Ta₂O₅ NTs film array than Ta₂O₅ powder. This effect is likely associated with the BG shifts due to nanostructure.

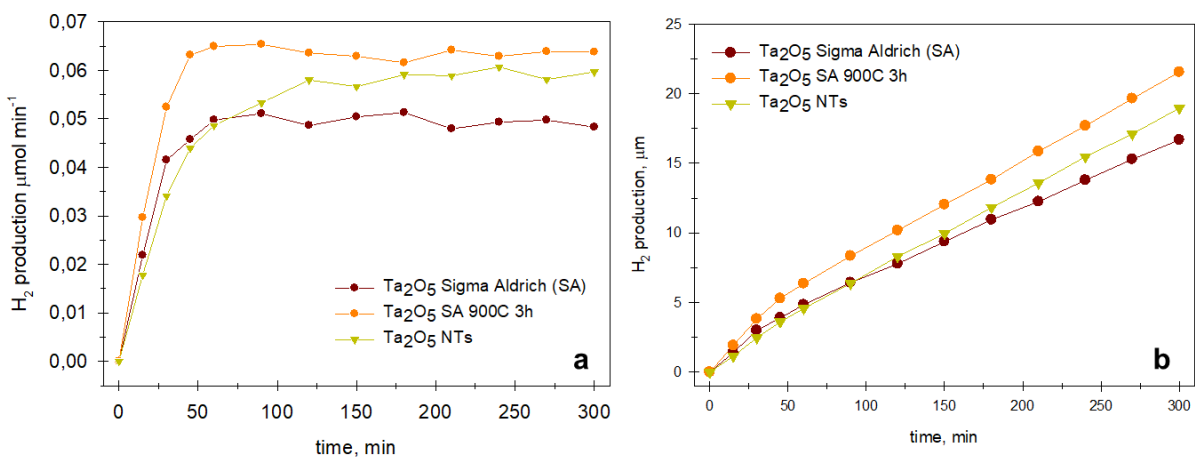


Figure 12. a) H₂ evolution during ethanol photo-reforming experiments using Ta₂O₅ NTs and Sigma Aldrich (SA) Ta₂O₅ powder as reference (before and after nitridation at 900°C for 3h), b) cumulative H₂ production during 5h tests.

Ta₂O₅ (SA) treated with ammonia at 900°C instead provided the highest H₂ productivity due to the higher absorbance in the visible region. TaO_xN_y NTs film array cannot be tested in this reactor due to their scarce robustness. However, when their mechanical resistance could be improved, allowing their use in this type of photoreactors, Figure 12 suggest their practical use as efficient photoanodes for H₂ production by ethanol photo-reforming.

Photo-assisted H₂ generation tests. These were made in an H-type cell at 2V vs Ag/AgCl (see the materials and methods section). Two samples were used for these tests: i) Ta₂O₅ NTs and TaO_xN_y NTs film arrays. Both samples were prepared with the same synthesis parameters (40V/40V), but the oxy-nitride sample was annealed in ammonia at 800°C for 3h. The active surface area is 1cm sq. The results are reported in Figure 13.

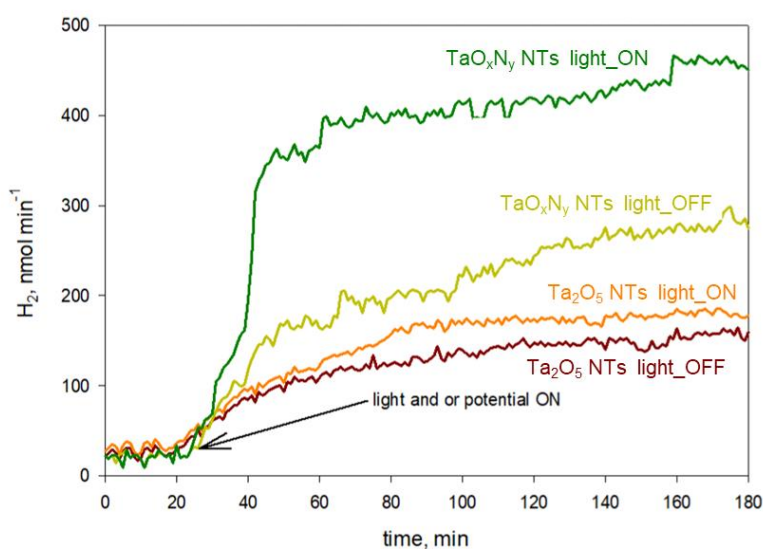


Figure 13. The H₂ production rate in an H-type cell operating at 2V vs Ag/AgCl for NTs film-array in the oxide and nitride form. At 20 min, the potential is switched on in the presence or not also of light irradiation. Without light, the generation of H₂ occurs by electrolysis. With light_on by photo-assisted electrolysis.

In these photo-assisted H₂ generation tests, at 20 min, the potential (2V) is switched, monitoring the rate of H₂ production by electrolysis, in the absence or presence of light irradiation. The first corresponds thus to the electrolysis case, and the second is the photo-assisted electrolysis case.

The results show that Ta oxide possesses a very low activity under AM 1.5 G solar light due to the BG in the UV region. The difference in the H₂ production is minor between electrolysis and photo-assisted electrolysis. Differently, the nitride sample shows a large increment in photo-assisted H₂ production (about 37%) compared to the electrolysis case. The increment is 62% if we compare the nitride with the oxide sample.

Conclusions

This work demonstrates that tantalum oxide NTs arrays can be successfully synthesised through a two-step anodisation process. The choice of the synthesis parameters allowed us to obtain the desired morphology, the nanotube length varying from 2 μm up to 5 μm , and the inner tube diameter ranging from 20 nm to 100 nm. To improve the absorbance in the visible region, the tantalum oxide samples were successfully converted in TaO_xN_y NTs film array via high-temperature annealing under ammonia flow. The band gap shifts from 4.2 eV of the bare tantalum oxide to 2.1 eV of the sample treated at 800°C. EDX analysis showed that increasing the nitridation temperature increases the amount of nitrogen present in the lattice of the nanotubes going from 2.7% for the sample treated at 500°C to about 19% for the sample prepared at 900°C. Increasing the degree of substitution of N leads to enhanced photocatalytic performances, as shown by chrono-amperometry measurements. The sample prepared at 800°C shows a higher photocurrent value (120 $\text{nA}\cdot\text{cm}^{-2}$).

Three different types of photoactivity tests were made. MB degradation confirms that the degree of substitution of N increases the catalytic activity of the TaO_xN_y NTs film array. The increase is not linear because, increasing the annealing temperature in the NH_3 atmosphere, a reduction in the defectivity also occurs. Above about 700°C, thus, a drastic change in the rate of MD degradation as a function of the increase in nitridation degree occurs. The sample with the higher amount of N (800°C) shows the best degradation rate that reaches almost 100% in just 180 min of irradiation. However, at higher annealing temperatures, the loss in mechanical stability prevents further improvement of the performances.

Ethanol photoreforming tests show that both the presence of a nanostructure and N's degree of substitution positively affect H_2 production. Photo-assisted H_2 generation tests also show the promotion effect in the visible-light activity by the nanostructure and the formation of an oxy-nitride. The TaO_xN_y NTs film array shows an H_2 production 37% higher in the photo-assisted process than only electrolysis and 62% higher than the Ta_2O_5 NTs film array electrode.

In conclusion, the TaO_xN_y NTs film array electrodes are good candidates for photo and photoelectrochemical applications. However, the mechanical robustness has to be improved. In particular, the results presented here indicate the interface oxide layer between the NTs and the Ta substrate as the critical element.

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