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1 **Emulsions containing essential oils, their components or volatile**  
2 **semiochemicals as promising tools for insect pest and pathogen**  
3 **management**

4  
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38 **Abstract**

39 Most of the traditional strategies used for facing the management of insect pest and diseases  
40 have started to fail due to different toxicological issues such as the resistance of target  
41 organism and the impact on environment and human health. This has made mandatory to seek  
42 new effective strategies, which minimize the risks and hazards without compromising the  
43 effectiveness of the products. The use of essential oils, their components and semiochemicals  
44 (pheromones and allelochemicals) has become a promising safe and eco-sustainable  
45 alternative for controlling insect pest and pathogens. However, the practical applications of  
46 this type of molecules remain rather limited because their high volatility, poor solubility in  
47 water and low chemical stability. Therefore, it is required to design strategies enabling their  
48 use without any alteration of their biological and chemical properties. Oil-in-water  
49 nano/microemulsions are currently considered as promising tools for taking advantage of the  
50 bioactivity of essential oils and their components against insects and other pathogens.  
51 Furthermore, these colloidal systems also allows the encapsulation and controlled release of  
52 semiochemicals, which enables their use in traps for monitoring, trapping or mating  
53 disruption of insects, and in push-pull strategies for their behavioral manipulation. This has  
54 been possible because the use of nano/microemulsions allows combining the protection  
55 provided by the hydrophobic environment created within the droplets with the enhanced  
56 dispersion of the molecules in an aqueous environment, which favors the handling of the  
57 bioactive molecules, and limits their degradation, without any detrimental effect over their  
58 biological activity. This review analyzes some of the most recent advances on the use of  
59 emulsion-like dispersions as a tool for controlling insect pest and pathogens. It is worth noting  
60 that even though the current physico-chemical knowledge about these systems is relatively  
61 poor, a deeper study of the physico-chemical aspects of nanoemulsions/microemulsions  
62 containing essential oils, their components or semiochemicals, may help for developing most  
63 effective formulations, enabling the generalization of their use.

64 **Keywords:** semiochemicals; nanoemulsions; microemulsions; toxicity; bioactivity; disease  
65 management; essential oils

66

67

## 68 **1. Introduction**

69 The impact of diseases transmitted by insects, e.g. dengue, zika, chikungunya, yellow fever or  
70 malaria has increased worldwide in recent years [1-4]. On the other side, insect activity also  
71 impacts on grain and seeds, which results in constrains to food security and economic loss [5,  
72 6]. Furthermore, human health and environment are affected by different microorganism, such  
73 as bacteria or fungi. This has driven important research efforts on seeking new strategies for  
74 the management of insect pest, pathogens and diseases. Among such strategies the chemical  
75 control is probably the most exploited approach during the last fifty years [7]. Commercially  
76 available formulations of pesticides and other biocides are commonly liquid mixtures  
77 (aqueous or non-aqueous solutions/dispersions) or solid systems (wetable powders or water-  
78 dispersible granules) [8], which contains synthetic molecules.

79 Paying attention to pesticide formulations, most of the commonly used formulations contain  
80 pyrethroids, organophosphates, organochlorines, carbamates, glyphosate, and triazoles.  
81 However, the potential risks and hazards for non-target organisms and environment  
82 (pollution) associated with the excessive use and misuse of such synthetic insecticides are  
83 important barriers to their regulatory approval or when their use is granted, their application  
84 conditions undergo periodical revisions [9, 10]. Furthermore, the emergence of cross-  
85 resistance against synthetic insecticides in insect pest with medical interest is another  
86 important drawback that drives the progressive substitution of conventional insecticides from  
87 the pest control programs for eco-sustainable molecules [11-16].

88 The current trends on integral insect pest and disease management pay special attention to the  
89 biopesticide application, i.e. natural products and agents that provoke specific biological  
90 response on individuals [17, 18]. However, it should be noted that the meaning of the  
91 biopesticide concept remains subjected to a strong controversy. According to the European  
92 Commission, biopesticides are pesticides based on microorganisms or natural products,  
93 whereas the Environmental Protection Agency (EPA) of USA provides an extended definition  
94 of the biopesticide concept, including naturally occurring substances (biochemical pesticides),  
95 microorganisms (microbial pesticides) and substances produced by plants containing added  
96 genetic material (plant-incorporated protectants, PIPs), which enable the pest control [19]. An  
97 extended definition of biopesticides includes any molecule or agent obtained from different  
98 organism (plants, bacteria and other microbes, fungi, etc.), with their main advantages in  
99 relation to traditional chemical pesticides being: (i) less toxicity; (ii) impact only on the target

100 pest or closely related organisms; (iii) reduced amount results in a strong effectiveness; (iv)  
101 fast decomposition, and (v) low exposition, minimizing the pollution risks [19].

102 A promising alternative for pest control is the use of pesticides based on essential oils (EOs)  
103 and their components (EOCs). These molecules are natural products extracted from aromatic  
104 plants, having the ability to inhibit the activity of several phytopathogens, human pathogens,  
105 and insects [20]. The use of semiochemical compounds, or simply semiochemicals [21] is  
106 other alternative with growing interest in recent years for pest control. Semiochemicals (SEs)  
107 are chemical compounds enabling the biological communication between living organisms  
108 [21, 22]. Insects use semiochemicals to locate mate, host, or food source, avoid competition,  
109 escape natural enemies, and overcome natural defense systems of their hosts [23].  
110 Semiochemicals can be divided into two groups depending on whether they result in a  
111 biological response on individuals of the same species than the releasing one (pheromones) or  
112 on individuals of different species than the releasing one (allelochemicals) [24]. The current  
113 interest on the use SEs in pest management are related to their high specificity against insects  
114 and their shorter persistence in the environment [25]. On the other hand, the interest for the  
115 use of EOs and EOCs is based on these molecules are associated with their role as larvicidal,  
116 adulticidal, antifeeding compounds [26-28], their capacity to delay the adult emergence and  
117 cause egg mortality [29, 30], their deterrent effects [31, 32], and their arrestant and repellent  
118 action [33]. However, the promising properties of EOs, EOCs and SEs cannot hide the many  
119 drawbacks associated with their use, including their volatility, limited solubility in water and  
120 their thermal and chemical lability (most of these molecules are prompt to oxidation upon  
121 exposure to the environment), which make the manipulation and handling of these  
122 compounds difficult, and are important drawbacks towards the commercialization of  
123 formulations containing this type of molecules [32, 34-37]. Therefore, it is urgent seeking  
124 suitable methodologies enabling the design of new eco-sustainable products for integral pest  
125 management, which take advantage of the biological activity of EOs, EOCs and SEs.

126 The current advances of the physico-chemistry of colloidal systems has provided the bases for  
127 designing more eco-sustainable formulations for pesticides. These formulations are based on  
128 the solubilisation, encapsulation and/or protection of molecules with insecticidal activity on  
129 different types of nanocarriers, including soft nanoparticles (e.g. polymers or solid lipid), hard  
130 nanomaterials, cyclodextrins, liposomes or emulsions [38-47]. These nanocarriers allow one  
131 to concentrate the bioactive compounds within controlled containers, enhancing the stability  
132 and availability of the lipophilic molecules (EOs, EOCs or SEs). Thus, it is possible to ensure

133 a more controlled release of the active ingredients, minimizing their losses during the  
134 processing and storage [48-53]. Furthermore, the molecular stability of EOs, EOCs or SEs is  
135 enhanced due to the retardation of the crystal growth and the minimization of their chemical  
136 reactivity (volatility, photodegradation, hydrolysis, oxidation, thermal decomposition or  
137 isomerization) [54, 55].

138 Oil in water (o/w) emulsions containing EOs, EOCs and SEs within the oil phase, alone or in  
139 combination with other compounds, have become a very promising tool for overcoming the  
140 main drawbacks associated with the handling, storage and application of these molecules [56,  
141 57]. This takes advantage of the ability of emulsions to load and deliver water insoluble  
142 molecules. This combined with the high surface area available for interaction and the easiness  
143 of their preparation has stimulated the research on the application of emulsions containing  
144 EOs, EOCs and SEs as a powerful tool for an integrated pest management strategy [58-60].  
145 Furthermore, the simplicity of the methodologies used for preparation of emulsions  
146 containing EOs, EOCs and SEs allows, in many cases, the preparation of the formulations *in*  
147 *situ*, which reduces the cost and pollutant emissions associated with the transport of  
148 formulations containing high solvent amounts from stores to the application places, helping  
149 on the minimization of the CO<sub>2</sub> footprint. The use of emulsion-like systems as carriers relies  
150 many times in the solubilization of the active compound within the disperse phase, commonly  
151 the oil one. However, in the particular case of emulsions containing of EOs and EOCs they  
152 are commonly introduced directly as the oil phase of the emulsions [61].

153 This review will be mainly focused on the study of the use of emulsion-like systems  
154 containing EOs, EOCs and SEs within the oil phase, and the effectiveness of such emulsions  
155 against insect pest. Despite this work is mainly interested in the potential applications against  
156 pest, the properties of EOs and EOCs as antimicrobial, antioxidant, anti-inflammatory,  
157 analgesic or antiseptic makes necessary to include some discussion about these aspects [62,  
158 63].

159

## 160 **2. Formulations for insect pest and pathogen control**

161 Pesticides have been used on the control of animal pests, plant diseases, and weeds since  
162 ancient times and are available as dusts, gels, granules, liquids, aerosols, wettable powders,  
163 concentrates, aqueous flowable, emulsifiable concentrate (EC), pellets or pre-mixed solutions.

164 These forms in which pesticides are sold or commercialized are called pesticide formulations.  
165 Pesticide formulation also involves the process in which different chemical substances,  
166 including the active drug are combined to produce the final product [64]. Therefore, a  
167 pesticide formulation contains the active ingredient, which is commonly the molecule  
168 enabling the control of the target pest, an organic solvent or mineral clay acting as carrier of  
169 the active molecules, surface-active ingredients (thickeners, stickers or spreaders) and/or other  
170 ingredients, such as stabilizers, dyes and chemicals to improve or enhance the activity as  
171 pesticide of the formulation [65].

172 The design and development of well sketched vectors, with a well-established and known  
173 chemical composition and physical properties, for loading and controlled delivery of active  
174 compounds, have focused, in recent years, the research activity of many groups to obtain new  
175 efficient platforms that allow overcoming the limitations of the currently used pesticide  
176 formulation [66]. During many years, researchers have tried to obtained formulations  
177 containing EOs, EOCs and SEs following methodologies that were reminiscent to those used  
178 in the preparation of conventional agrochemical formulations for improving the performance  
179 of pesticides or enhancing their bioactivity against pests. However, the advances in the  
180 formulation technologies occurred in the last decade have provided many tools to the  
181 researchers for the optimization of formulations containing of EOs, EOCs or SEs, with  
182 different colloidal systems playing a central role in the current trends of the pesticide industry,  
183 e.g. surfactant free emulsions [41, 67], nanoparticles [68], nanoemulsions [69, 70], vesicles,  
184 liposomes and micelles [71, 72], gels [42, 73], creams[74] and microcapsules [75, 76].

185

### 186 **3. Why essential oils?**

187 Essential oils are highly hydrophobic odorous natural compounds with a very limited  
188 solubility in water. Essential oils are produced by different organisms for defense, signaling  
189 or as part of their secondary metabolism, which makes these compounds a bio-resource for  
190 the preparation of eco-sustainable consumers products [77-79]. It is worth mentioning that  
191 even though there are different essential oil-like mixtures from animal origin (musks or civet  
192 and sperm whale) or produced by microorganisms, the international regulations only consider  
193 as essential oils to raw materials from vegetable sources (including flowers, roots, bark,  
194 leaves, seeds, peel, fruits, wood, and whole plants) [80, 81]. In particular, it is widely  
195 accepted that essential oils are synthesized by aromatic plants belonging to the angiosperm

196 family, i.e. *Asteraceae*, *Apiaceae*, *Lamiaceae*, *Lauraceae*, *Myrtaceae*, *Rutaceae*, and  
197 *Verbenaceae* [82]. They can be obtained from different parts of the plants, including roots,  
198 stems, leaves or flowers, with their extraction being possible through hydrodistillation, steam  
199 distillation, dry distillation, or mechanical pressing procedures [83]. The role of EOs in plants  
200 can include: (i) attraction of pollinators and other beneficial insects; (ii) thermo-protection,  
201 and (iii) defense against pests and/or microorganisms [83]. From a chemical point of view,  
202 essential oils are very complex mixtures of different volatile molecules (in some cases more  
203 than 60) including terpenes, alcohols, esters, aldehydes, ketones, phenols, ethers, and other  
204 minor compounds. However, their biological properties are commonly characterized by two  
205 or three components with appear in a relatively high concentration (20-70 wt%). It should be  
206 noted that among the compounds comprising essential oils, some of them can present an  
207 undesirable role [84].

208 The use of essential oils (EOs), or their components (EOCs), is widespread in food,  
209 perfumery, cosmetics and toiletries, and pharmaceutical industries [37, 79, 85]. This is due to  
210 their wide spectrum of biological activities against insect pest, bacteria, yeast, and fungi [86].  
211 In particular, the EOs and EOCs present repellent, insecticidal, and growth-reducing effects  
212 on different insects. This activity is mainly the results of their neurotoxic effects to insects  
213 through mechanisms addressed to different sites of action: (i) interference with  $\gamma$ -  
214 aminobutyric acid receptors (GABA); (ii) modulation of the octopamine synapses, and (iii)  
215 inhibition of acetylcholinesterase or P450 cytochrome [50, 83, 87-92]. In addition to the  
216 neurotoxic mode of action, Olmedo et al. [93] reported that the essential oil from *T. filifolia*  
217 and (E)-anethole may act as oxidizing agents on insect (lipid peroxidation), and hence their  
218 insecticidal activity is not only depend of the acetylcholinesterase inhibition. Essentials oils  
219 can also increase the ionic permeability of the cell membrane rather than disturbing cell wall  
220 biosynthesis as was reported by Freire et al. [94]. Furthermore, essential oils may affect the  
221 integrity of DNA, the mitochondrial respiration chain and the AChE activity in insect as was  
222 reported by Castillo-Morales et al. [95] on *Aedes aegypti* larvae.

223 EOs and their components can be considered a broad family of structurally diverse  
224 compounds having different insecticidal and repellent mechanisms, which has fostered their  
225 use in formulations of pesticides as active ingredient or co-adjuvant for more than two  
226 decades. However, their full potential have not been reached yet because EOs and their  
227 components present a high volatility, and a low residuality, i.e. the persistence of their action  
228 remains shortly after their application. On the other side, EOs and EOCs are considered safe



229 and eco-sustainable, having a low to moderate toxicity in mammals (the dose needed for  
230 resulting in a mortality of the 50% of individuals, LD<sub>50</sub>, belonging to a rodent populations is  
231 in the range 800-3000 mg·kg<sup>-1</sup>) [49, 96, 97].

232 The promising properties of EOs and their components against different insects cannot hide  
233 the many drawbacks reported in relation to their use, among which are included their  
234 volatility, poor water solubility and oxidation. These play a very critical role on the biological  
235 activity, application and persistence of EOs and EOCs. The use of nanoformulations including  
236 EOs or EOCs can solve, at least partially, the above-mentioned limitations, allowing for a  
237 reduction of their degradation and increase of their residuality due to the minimization of the  
238 evaporation. The inclusion of EOs and EOCs in nanoformulations also provides the bases for  
239 their controlled release, making easy their application and handling. Furthermore, an increase  
240 on the biological activity of EOs and EOCs can be expected due to the small size of the  
241 particles including them, their high surface area and facilitated distribution [96]. This leads to  
242 a situation in which many nanoformulations are effective even at very low concentrations.  
243 However, the exact mode of action of these nanoformulations is far from clear yet, even  
244 though it is generally assumed that their effectiveness is related to their ability to penetrate  
245 through the insect cuticle [98, 99]. It is worth mentioning that the promising bioactivity of  
246 nanoformulations containing EOs and EOCs cannot hide the uncertainties associated with the  
247 possible persistence of the nanoformulations in the ecosystems and their possible toxicity on  
248 non-target organism [97]. Thus, the regulatory approval of the use of nanoformulations  
249 containing essential oils remain the most important challenge towards the generalization of  
250 their use, and consequently towards their commercialization [48, 50, 100].

251

#### 252 **4. Oil in water emulsions containing essential oils and their components**

253 The use of oil in water (o/w) emulsions, mainly microemulsions and nanoemulsions,  
254 including EOs and EOCs have been revealed as a promising approach for improving the  
255 dispersion in aqueous environment, penetration and spreading (taking advantage of the low  
256 interfacial tension) of these natural compounds, providing the bases for the preparation of  
257 insecticides and repellents. This is also possible thanks to the hydrophobic core formed by the  
258 surfactant molecules which provides protection to the molecules, avoiding the degradation of  
259 their properties and extending their bioavailability for long periods of time [69, 101-108].  
260 Furthermore, the small size of the dispersed droplets containing the oil phase allows

261 overcoming important issues related to their use in the preparation of consumer products, such  
262 as the destabilization of the dispersion as result of the gravitational forces. However, the  
263 current knowledge about the efficacy of emulsions containing EOs and EOCs against pest  
264 remains very limited yet [61, 69, 101-103, 107, 109].

265 The use of essential oil emulsion based delivery systems is an emerging area on pest control.  
266 However, there are limited studies on their application under real field conditions, which  
267 offers many challenges to governments, in particular to the regulatory agencies, and  
268 industries. It should be remarked that the many properties of essential oils have stimulated a  
269 strong development of their application for controlling the proliferation of pathogens,  
270 especially in food industry. However, many of the studies related to the effectiveness of such  
271 formulations have been performed on model systems, and hence the understanding of their  
272 biological activity on real complex matrices remains a challenge [110, 111]. The broad  
273 interest of systems containing essential oils is clearly evidenced by the works by  
274 Balasubramani et al. [112, 113]. They tested the antibacterial, antioxidant and larvicidal  
275 activity of nanoemulsions containing essential oils of *Ocimum basilicum* and *Vitex negundo*,  
276 and found a very efficient performance of the dispersions in the three applications.

#### 277 **4.1. Microemulsions containing essential oils and their components**

278 Microemulsions are homogeneous and isotropic colloidal dispersions presenting a low  
279 viscosity, optical transparency and thermodynamic stability. The thermodynamic balance  
280 associated with the formation of microemulsions can be understood considering the free  
281 Gibbs energy balance described considering the following expression [114]

$$282 \Delta G = \gamma \Delta A - T \Delta S, \quad (1)$$

283 with  $\gamma$  and  $\Delta A$  being the oil/water interfacial tension and the area variation associated with the  
284 droplet formation, respectively, and T and  $\Delta S$  the absolute temperature and the variation of  
285 the system entropy. The spontaneous formation of microemulsions requires a negative value  
286 of  $\Delta G$ , which can be understood considering that even though the  $\Delta A$  is very high (formation  
287 of many small oil droplets), the low value of the interfacial and the big change of the entropy  
288 favor the transition from separate phases to the formation of microemulsions.

289 The use of microemulsions containing EOs or EOCs can be accounted as ideal candidates for  
290 the fabrication of release systems. This is because the combination of their thermodynamic  
291 stability with the small size of the oil droplets results in several interesting properties for their

292 use as cargo systems for loading and release hydrophobic substances: (i) ability to disperse  
293 EO's in an aqueous phase; (ii) prolong the time of action; (iii) prevent the degradation of the  
294 encapsulated compounds, and (iv) increase the solubility of various active substances in  
295 water. Furthermore, microemulsions are commonly prepared following a simple procedure,  
296 which makes their preparation procedure an easily scalable process [105]. Table 1  
297 summarizes some examples of different microemulsions containing essential oils and their  
298 potential applications.

299 A very important aspect, when formulations based in micromulsions are considered, is to  
300 define the pseudo-ternary phase diagram (water/oil/surfactant+co-surfactant) of the  
301 considered system. Chaisri et al. [115] studied microemulsions of citronella oil in water  
302 stabilized by tween 20 and propylene glycol in different weight ratio (3:1, 2:1, 1:1, 1:2 and  
303 1:3), and found that the optimal mixture of surfactant/co-surfactant was the one with a weight  
304 ratio 3:1. The use of such mixture allows maximizing the compositional region in which  
305 microemulsions are formed. The obtained microemulsions had a transparent yellow color with  
306 droplet presenting an average size in the range 20-40 nm, good long-term stability and low  
307 viscosity. The application of the microemulsions as acaricidal against *Rhipicephalus*  
308 *microplus* showed that the concentration of essential oil required to result in a 100% of  
309 mortality of larvae was 4-fold lower than the required when the free essential oil is used for  
310 the same purpose. Furthermore, the mortality produced by microemulsions in adult  
311 individuals was also significantly higher than that associated with the free essential oil  
312 (almost 2-fold higher), and even than that associated with the use of the synthetic insecticide  
313 cypermethrin. The latter can be understood considering the emergence of resistance to the  
314 cypermethrin in *Rhipicephalus microplus*, which makes the microemulsions a promising tool  
315 for facing the difficulties in the pest management associated with the emergence of resistance.

316 Xu et al. [116] also studied the acaricidal activity of microemulsions, in particular they  
317 studied microemulsions containing neem oil stabilized using a mixture of tween 80 and  
318 sodium dodecyl benzene sulfonate (weight ratio 4:1) as surfactant and hexyl alcohol as co-  
319 surfactant. The preparation of the microemulsions was performed by homogenization of the  
320 compounds under mild conditions (stirring at 800 rpm during 15 minutes at 40 °C). This  
321 procedure results in the formation of very stable dispersions of globular droplets, which  
322 remain stable even after their storage at 4 and 54 °C during 15 days. Furthermore, the  
323 obtained emulsions resist to successive freezing-defreezing cycles without any evidence of  
324 destabilization. The exposure of *Sarcoptes scabie* var. *cuniculi* larvae to the microemulsions

325 containing neem oil evidenced their noticeable acaricidal activity (evaluated as the time  
326 required to kill the tested larvae). Navayan et al. [117] also used a mixture of surfactants  
327 (tween 80 and span 20 in weight ratio 1:1) combined with a co-surfactant (propylene glycol)  
328 for the stabilization of microemulsions of *Eucalyptus globulus* essential oil. The obtained  
329 microemulsions contain droplets with a mean size in the range 16-66 nm, and present a  
330 viscosity in the range 230-330 cps. Zhang et al. [118] deepen on the different aspects that  
331 govern the stabilization of microemulsions containing essential oils. They prepared  
332 microemulsions of different EOs stabilized by mixtures of sucrose octanoate ester and soy  
333 lecithin, and found that together with the composition of the emulsifying mixture, the  
334 chemical nature of the essential plays an essential role on the extension of the microemulsion  
335 region. Navayan et al. [117] analyzed the insecticidal activity of *Eucalyptus globulus* essential  
336 oil and found a high repellency activity against *Culex pipiens* and *Ochlerotatus caspius*  
337 mosquitoes (almost 3 times higher than the free essential oil). This is explained considering  
338 that the inclusion of the essential oil within the emulsion droplets reduces the evaporation of  
339 the active molecules and delays the release of the essential oil. Thus, the repellent material  
340 remain longer time in the environment, giving as result a more prolonged exposure of the  
341 mosquito to the repellent molecules. It is worth mentioning that even though the protection  
342 associated with the use of microemulsions is relatively high, it remains very far from that  
343 obtained from conventional synthetic repellent. However, the use of microemulsions for  
344 substituting synthetic repellent minimize the dermal irritation upon application of the  
345 formulation. Thus, repellent products based on essential oils may be a safe alternative to  
346 conventional products.

347 Laothaweerungsawat et al. [119] deepened on the different factor that influence the formation  
348 of microemulsions, including the surfactant and co-surfactant types, and the surfactant to co-  
349 surfactant ratio. For this purpose, they prepared pseudo-ternary phase diagram of mixtures  
350 containing different proportions of water, essential oil (*Origanum vulgare* essential oil),  
351 different type of co-surfactants (butylene glycol, propylene glycol and glycerin) and various  
352 types of surfactant belonging to the polysorbate family (tween 60, tween 80 and tween 85).  
353 The found that the compositional range corresponding to the formation of microemulsions  
354 was significantly different depending on the specific chemical nature of the used surfactant,  
355 with the hydrophilic-lipophilic balance (HLB) of the surfactants and their structure being the  
356 two most critical parameters for controlling the extension of the microemulsion region.  
357 Furthermore, Laothaweerungsawat et al. [119] also explored the role of the co-surfactant

358 nature and found that the highest the hydrophobicity of the co-surfactant the largest the  
359 microemulsion region is. This is explained considering that most hydrophobic co-surfactant  
360 can be better incorporated within the hydrophobic region of the surfactant film, leading to a  
361 significant reduction of their rigidity and favoring the stabilization of the microemulsions. All  
362 the obtained microemulsions were found to be optically homogeneous, transparent, non-  
363 birefringent isotropic liquid with a yellowish color. Furthermore, the microemulsions showed  
364 a good stability after their exposure to 6 cycles of heating-cooling, without any significant  
365 change on their physico-chemical characteristics (appearance, particle size, polydispersity,  
366 zeta potential, electrical conductivity, refractive index, pH, and viscosity). Biological test of  
367 the microemulsions showed that the encapsulation of the *Origanum vulgare* improved the  
368 release profile of carvacrol (main component of the essential oil) which enables their use for  
369 transdermal delivery. Furthermore, the microemulsions results in an enhanced anti-  
370 inflammatory activity in comparison to the free essential oil, and in particular the inhibitory  
371 activity of the microemulsions against the secretion of TNF- $\alpha$  was found comparable to that  
372 induced by the dexamethasone, and stronger against the secretion of IL-6.

373 Hamed et al. [120] used the oil titration method for preparing alcohol free microemulsions of  
374 clove oil and its main phenolic component (eugenol), without the use of any co-surfactant.  
375 This approach for preparing microemulsions relies on the drop by drop addition of the oil into  
376 vials containing a fixed amount of an aqueous solution of the surfactant (tween 20,  
377 concentration 5 wt%). This approach allows determining the maximum oil amount that can be  
378 included within the dispersion to obtain microemulsions (1.1 and 0.9 wt% for clove oil and  
379 eugenol, respectively) and results in the formation of monodisperse microemulsions with  
380 droplets presenting a mean size slightly below of 10 nm. The high water content of the  
381 obtained microemulsions (around 94 wt%) makes them a promising alternative for the  
382 preparation of antimicrobial and antioxidant formulations. The antioxidant activity of the  
383 microemulsions was evaluated by measuring the ability to scavenge the stable 2,2-diphenyl-1-  
384 picrylhydrazyl free radical, with microemulsions of both clove oil and eugenol presenting  
385 higher antioxidant activity than the free essential oil. Furthermore, this antioxidant activity is  
386 increased with the concentration of phenolic compounds in the microemulsions, i.e.  
387 microemulsions of eugenol presents a higher antioxidant character than those containing the  
388 whole clove oil. Hamed et al. [120] also tested the activity of their microemulsions against  
389 different Gram-positive and Gram-negative bacteria, and found that the latter were more  
390 resistant to the treatment with the microemulsions. Nevertheless, the increase of the

391 concentration of phenolic compound results in a significant increase of the susceptibility of  
392 both type of bacteria. Purwasena et al. [121] also studied microemulsions of clove oil  
393 stabilized by tween 20 for inhibition of the proliferation of *Pseudomonas aeruginosa* films.  
394 These studies showed that clove oil microemulsions can prevent, at least partially, the  
395 proliferation of *P. aeruginosa* biofilms. Similarly, microemulsions containing *Salvia*  
396 *officinalis* and *Cinnamomum cassia* oils were found to present a good efficacy for avoiding  
397 the proliferation of *Staphylococcus aureus* on surfaces [122]. Furthermore, the inoculation of  
398 microemulsions containing clove oil alters the extracellular protease activity and swarming  
399 motility of *Pseudomonas aeruginosa* [121]. Clove oils microemulsions have been also studied  
400 as antifungal against the proliferation of *Penicillium Digitatum in vivo* and in orange fruit.  
401 The results showed that the inclusion of the essential oil within the microemulsions results in  
402 a stronger inhibitory activity on spore germination and germ tube elongation than when the  
403 pure oil is used. This enhancement of the antifungal activity of the essential oil due to its  
404 inclusion in a microemulsions allows one to use lower concentrations, which may be  
405 important from the safety and organoleptic perspectives in food protection [123].

406 Cespi et al. [124] prepared microemulsions of *Smyrniium olusatrum*, stabilized by a mixture of  
407 tween 80 and ethanol (surfactant and co-surfactant, respectively), under continuous stirring  
408 conditions using the oil titration method, and found the emergence of crystallization of the  
409 main component of the essential oil within the oil phase, the isofuranodiene. This problem  
410 was overcome by the addition of ethyl oleate, which facilitates the dispersion of the EOs  
411 within the emulsion, avoiding their crystallization. Thus, it was possible to prepare  
412 microemulsions containing droplets with an average hydrodynamic diameter in the 20-40 nm  
413 range and a good long-term stability. The same procedure described above was followed by  
414 Pavela et al. [125] in the preparation of microemulsions containing only isofuranodiene. They  
415 obtained rather monodisperse microemulsions with the droplets presenting an average  
416 hydrodynamic diameter in the 20-30 nm. These microemulsions present a high effectiveness  
417 against *Culex quinquefasciatus* larvae, improving the performance of both the whole  
418 *Smyrniium olusatrum* essential oil and the free isofuranodiene. Furthermore, these  
419 microemulsions evidenced a rather limited ecotoxicity against non-target organism. The  
420 crystallization of the isofuranodiene was also found by Pavela et al. [105] in their study of  
421 microemulsions containing three different EOs (*Pimpinella anisum*, *Trachyspermum ammi*  
422 and *Crithmum maritimum*). The microemulsions of EOs in water were prepared simply by  
423 dropping the oil phase (essential oil:ethyl oleate volume ratio 3:1) into an aqueous solution of

424 tween 80 under continuous stirring, which results in microemulsions with a final  
425 concentration of the oil phase in the range 1.5-2 wt%. This methodology results in the  
426 formation of polydisperse microemulsions, with droplets belonging to two different  
427 populations, the first one formed by droplets presenting an average apparent hydrodynamic  
428 diameter in the 40-80 nm range, and the second one containing bigger droplets with an  
429 average apparent hydrodynamic diameter in the 400-1000 nm range. Despite this  
430 polydispersity, dynamic light scattering experiments evidence that most EO was included  
431 within the smaller droplets, with the amount of EO included within the bigger droplets being  
432 residual. Furthermore, the microemulsions remain stable at least during 6 months of storage.  
433 The analysis of the bioactivity of the microemulsions against *Culex quinquefasciatus* larvae  
434 shows the acute and chronic toxicity of the formulations (almost the 90% of the larvae die  
435 upon treatment with a relative low dose, in the range 1.81-6.48 mL·L<sup>-1</sup>), even though they  
436 were not found any significant dependence of the bioactivity of the microemulsions on the  
437 chemical nature of the oil phase. Furthermore, the microemulsions results in the inhibition of  
438 the adult emergence and an almost negligible toxicity in non-target species. This latter allows  
439 considering the microemulsions as a relatively safe alternative for pest control.

440 Table 1. Summary of microemulsions containing essential oils and their application.

Active compound (concentration)	Surfactant	Purpose	Target organism	Bioassay	Reference
<i>Pimpinella anisum</i> oil <i>Trachyspermum ammi</i> oil <i>Crithmum maritimum</i> oil (1.5-2 wt%)	Tween 80	Larvicidal	<i>Culex quinquefasciatus</i>	Immersion	Pavela et al. [105]
Isofuranodiene (0.375-0.75 wt%)	Mixtures of Tween 80 and ethanol	Larvicidal	<i>Culex quinquefasciatus</i>	Immersion	Pavela et al. [125]
<i>Eucalyptus globulus</i> oil (5, 10 and 15 wt%)	Mixture of tween 80, span 20 and propylene glycol	Repellent	<i>Culex pipiens</i> <i>Ochlerotatus caspius</i>	Human-bait	Navayan et al. [117]
Citronella oil (0.039-25 wt%)	Mixtures of tween 20 and propylene glycol	Acaricidal	<i>Rhipicephalus microplus</i>	Immersion	Chaisri et al. [115]
Neen oil (10 wt%)	Mixture of tween 80, sodium dodecyl benzene sulfonate and hexyl alcohol	Acaricidal	<i>Sarcoptes scabie var. cuniculi</i>	Contact	Xu et al. [116]
Clove oil (1 wt%)	Mixtures Tween 80 and ethanol	Antifungal	<i>Penicillium Digitatum</i>	Contact	He et al. [123]
Clove oil	Tween 20	Antibacterial	Different <i>Gram-positive</i> and <i>Gram-</i>	Contact	Hamed et al. [120]



Eugenol (around 1 wt%)			<i>negative bacteria</i>		
Clove oil (5 v/v%)	Tween 20	Antibacterial	<i>Pseudomonas aeruginosa</i>	Contact	Purwasena et al. [121]
<i>Salvia officinalis</i> oil					
<i>Cinnamomum cassia</i> oil (5 and 2.5 wt%, respectively)	Tween 20	Antibacterial	<i>Staphylococcus aureus</i>	Contact	Campana et al. [122]
	Mixtures of tween 60, tween 80 or tween 85 with				
<i>Origanum vulgare</i> oil (5 wt%)	butylene glycol, propylene glycol or glycerin	Anti- inflammatory	n/a	Inoculation	Laothaweerungsawat et al. [119]

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441

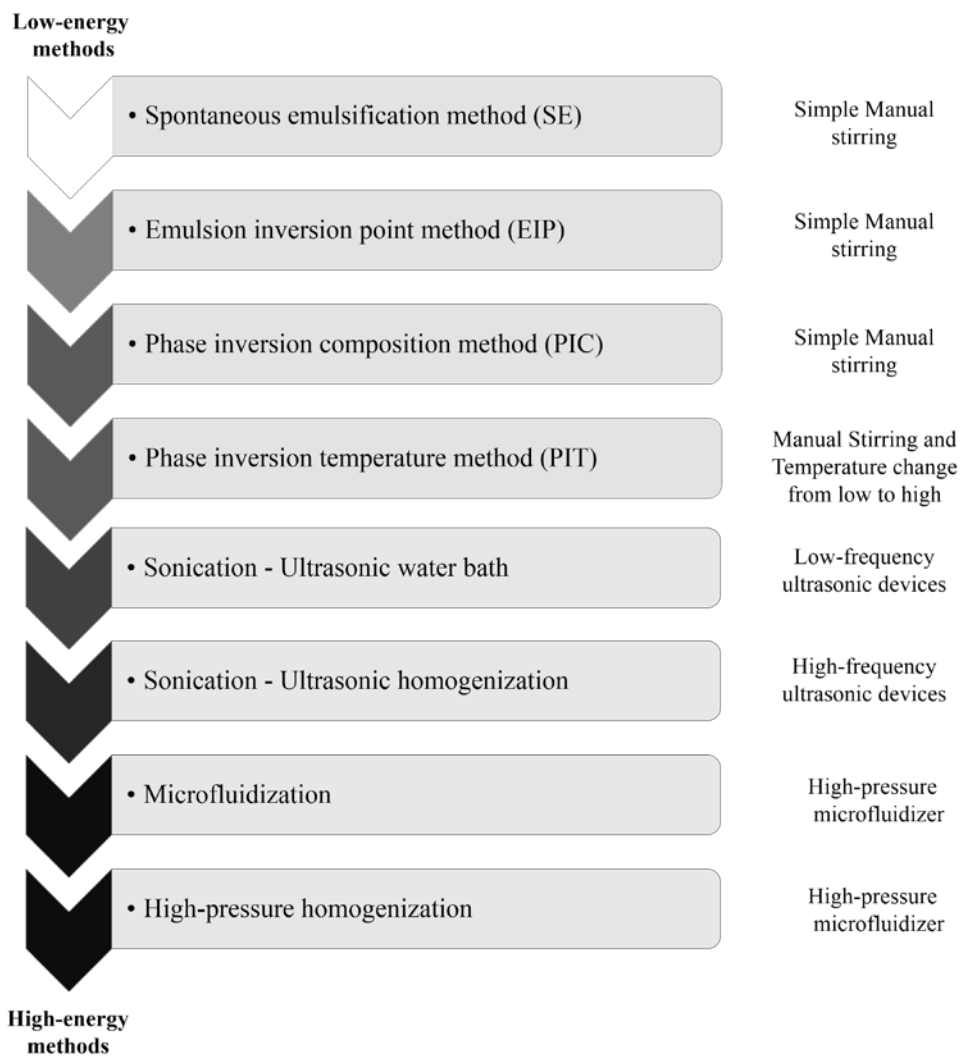
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## 444 **4.2. Nanoemulsions containing essential oils and their components**

445 The use of nanoemulsions instead of conventional emulsions for technological applications is  
446 associated with the remarkable kinetic stability and physical stability against coalescence and  
447 creaming of the former, and the smaller size of nanoemulsion droplets (5-200 nm), with the  
448 latter being an important aspect for the functional activity of the nanoemulsions [106, 126-  
449 128]. This makes the nanoemulsions an excellent vehicle for hydrophobic bioactive  
450 substances, such as EOs and EOCs, as nanodroplets dispersed in an aqueous medium [60].  
451 Nanoemulsions always present a higher free energy than the demixed stated. Thus,  
452 considering the thermodynamic instability of nanoemulsions, i.e. the variation of free energy  
453 between the phase separate systems and the nanoemulsions is always positive; their formation  
454 is only possible by the application of an external energy input.

455 The preparation of nanoemulsions can be possible following high-energy and low-energy  
456 methods. The possible use of the latter provides an additional advantage of nanoemulsions in  
457 comparison to conventional emulsions, even though high-energy emulsification methods such  
458 as high-pressure homogenization, microfluidization or sonication are accounted as the most  
459 frequently used for their preparation. This type of methods commonly relies on the use of  
460 mechanical devices enabling the disruption of the disperse phase into very small droplets. On  
461 the other side, the low energy methods used for the preparation of microemulsions rely in the  
462 spontaneous dispersion of the disperse phase as droplets within the continuous phase upon the  
463 direct mixing of the components. Among the most commonly used low energy methods are  
464 included the spontaneous emulsification method (SE), emulsion inversion point method (EIP),  
465 phase inversion composition method (PIC) and phase inversion temperature method (PIT).  
466 Figure 1 summarizes the most common methodologies used for the preparation of  
467 nanoemulsions. The size of the droplets can be tuned by the modification of the HLB of the  
468 surfactant used for the stabilization of the nanoemulsions and their concentration, and by  
469 tuning different environmental factors [129, 130]. Therefore, the combination of the small  
470 droplet size and optical transparency (in some case a slight turbidity can appear) with their  
471 high physical stability and their high surface area make nanoemulsions a very interesting  
472 carriers for enhancing the bioavailability of active molecules [58, 131, 132]. Table 2  
473 summarizes some examples of different nanoemulsions containing essential oils and their  
474 potential applications.



475

476 Figure 1. Summary of the methods used for the preparation of nanoemulsions organized  
 477 depending on the strength of the applied energy.

478

479 It should be mentioned that the preparation procedure can be impact decisively on the  
 480 bioactivity of nanoemulsions as was demonstrated by Salvia-Trujillo et al. [133]. They  
 481 studied the antimicrobial activity against *Escherichia coli* of nanoemulsions of lemongrass-oil  
 482 stabilized by alginate, and prepared by two different procedures: ultrasonic homogenization  
 483 and microfluidization [134]. They found that the intensity of the applied energy input affects  
 484 to the bioactivity of the nanoemulsions. Thus, nanoemulsions prepared for ultrasonic  
 485 homogenization evidenced a poorer antimicrobial activity than those prepared using the  
 486 microfluidization approach. This is explained considering that the high local temperature  
 487 reached during the ultrasound treatment can be enough to provoke the evaporation of some of  
 488 the EOCs, which in turn impoverishes the bioactivity of the nanoemulsions. Furthermore, the  
 489 generation of free radicals as result of the cavitation phenomena occurring during the ultra-

490 sonication may contribute to a further degradation of the essential oil. Therefore, even though  
491 the application of a high-energy input can be recommendable for enhancing the stability the  
492 nanoemulsions, increasing their transparency and reducing the size of the droplets, their use  
493 can alter the bioactivity of the encapsulated compounds within the oil phase. Thus, the  
494 preparation of bioactive nanoemulsions containing essential oils requires a careful  
495 examination of the relationship existing between the physico-chemical properties of the  
496 nanoemulsion and their specific bioactivity [135]. The importance of the processing  
497 parameter on the preparation of nanoemulsions of essential oil in water was also explored by  
498 Liang et al. [136]. They prepared peppermint nanoemulsions stabilized by a succinylated  
499 waxy maize starch using a two-step procedure: first, the oil and water phase were premixed  
500 using a high-speed homogenizer, and then the coarse emulsions were finely dispersed using a  
501 high-pressure homogenizer. The homogeneity and size of the droplets was found to be  
502 strongly dependent on the energy input. Thus, the higher the energy input the more  
503 homogenous and smaller the droplets are. Pukale et al. [137] also analyzed the impact of the  
504 preparation method on the long-term stability of the emulsions and found that the energy  
505 input associated with the use of a high energy emulsification procedure (sonication method)  
506 favors the droplet break-up in relation to their coalescence, resulting in more stable emulsions  
507 with higher long term stability. The above discussion pointed out that the use of high-energy  
508 methods favors the stabilization of the emulsions. However, it is also important to control the  
509 time of application of the perturbation [138]. This is explained considering that the  
510 stabilization of the droplets is the results of two concurrent process: (i) droplet break-up, and  
511 (ii) surfactant adsorption onto the droplet-continuous phase interface. Therefore, the  
512 stabilization of nanoemulsions requires a time long enough to ensure that a surfactant layer  
513 can coat the droplets. However, the time should not be so long to avoid that the droplet break-  
514 up can continue, which will increase the area to be coated by the surfactant, and consequently  
515 the stability of the emulsions will be compromised. On the other side, an increase of the  
516 surfactant concentration does not result in a significant reduction of the size of the droplets for  
517 fixed conditions of preparation. Ghosh et al. [130] also reported the importance of the time  
518 span of the energy input in the control of the homogeneity and size of the droplets. They  
519 found that the longer the energy input the smaller the size of the droplets and their  
520 polydispersity is. This is in agreement with the results obtained by Sugumar et al. [135] for  
521 nanoemulsions of *eucalyptus* oil stabilized by Tween 80. Jo et al. [60] prepared  
522 nanoemulsions of trans-cinnamaldehyde stabilized by tween 20 using high energy  
523 homogeneization conditions, which allows them to control the polydispersity and size of the

524 nanoemulsions droplets. Thus, the stronger the energy input the lower the droplet size and  
525 their polydispersity are. It is true that high-energy methods are accounted as the most  
526 extended for the preparation of essential oil nanoemulsions. However, the use of low energy  
527 methods taking advantage of the specific characteristic of the system for obtaining the  
528 emulsification are preferably, allowing a significant reduction of the production costs [139].

529 A very important parameter on the stabilization of nanoemulsions containing EOs or EOCs is  
530 the weight ratio between the surfactant and the oil phase as was demonstrated by Wu et al.  
531 [140]. They prepared nanoemulsions of thyme oil stabilized with a soluble soybean  
532 polysaccharide and found that the increase of the surfactant concentration in relation to that of  
533 the oil phase results in a decrease of the droplet size, and a significant hindering of the  
534 nanoemulsion creaming. Similar results were found by Anjali et al. [141] for nanoemulsions  
535 of neem oil stabilized using tween 20. Furthermore, they found that the larvicidal activity of  
536 the nanoemulsions against *Culex quinquefasciatus* can be improved as the size of the droplets  
537 was reduced, i.e. with the increase of the surfactant concentration. This agrees with the results  
538 obtained by Feng et al. [142] for nanoemulsions containing D-limonene. Furthermore, they  
539 found that nanoemulsions were stable to storage at high and low temperatures during long  
540 periods, and present a high effectiveness against different fungi (*Pyricularia oryzae*,  
541 *Rhizoctonia solani*, *Colletotrichum gloeosporioides*, and *Phomopsis amygdali*). The  
542 importance of the ratio between the surfactant and the essential oil concentrations in the  
543 stabilization of nanoemulsions was also found by Sogan et al. [143]. They study of castor oil  
544 nanoemulsions stabilized by mixtures of tween 80 and span 20 prepared using ultrasonic  
545 emulsification and found that the preparation of stable nanoemulsions was only possible for  
546 mixtures in which the surfactant concentration overcome that of the oil phase. Furthermore,  
547 they found that the inclusion of the castor oil within the oil phase of o/w nanoemulsions  
548 results in a significant enhancement of their bioactivity (almost 30-fold) against the *Anopheles*  
549 *culicifacies* mosquito (vector of malaria disease). Sugumar et al. [144] also highlighted the  
550 importance of the weight ratio between the surfactant and the oil phase on the stabilization of  
551 nanoemulsions of orange oil in water. They found that even though a minimal concentration  
552 of surfactant is required for the nanoemulsion stabilization, an excess of surfactant in the  
553 dispersion might be detrimental for the stabilization of the nanoemulsions, resulting in a quick  
554 destabilization. Furthermore, Sugumar et al. [144] found that nanoemulsions containing  
555 orange oil present anti-yeast activity against *Saccharomyces cerevisiae* both *in vitro* and in a  
556 food matrix (apple juice).

557 Further explorations on the effect of the weight ratio between the surfactant and the oil phase  
558 on the stabilization of nanoemulsions were reported by Mossa et al. [145]. They prepared  
559 nanoemulsions containing garlic oil by ultrasonic emulsification during 35 minutes and found  
560 that the droplet size and the stability of the nanodispersions were correlated to the ratio  
561 between the surfactant and the oil phase concentrations. In particular, they found that the  
562 formation of stable nanoemulsions was only possible in those mixtures in which the  
563 concentration of surfactant was slightly higher than the oil one. Dynamic light scattering  
564 showed that nanoemulsions were formed by spherical droplets with sizes in the range 90-100  
565 nm. The high stability of this nanoemulsions was associated with the high value of the zeta  
566 potential of the droplets (-30 mV), which provides an electrostatic barrier preventing the  
567 coalescence. The obtained nanoemulsions were tested as acaricidal against olive bud mite  
568 *Aceria oleae* and olive rust mite *Tegolophus hassani*. Such tests evidenced the improved  
569 acaricidal activity of the essential oil due to their inclusion as the oil phase of a nanoemulsion,  
570 which was associated with the higher contact area due to the dispersion of the essential oil as  
571 nanodroplets. Jo et al. [60] also observed the important role of the increase of the surfactant  
572 concentration on the reduction of the size of the oil droplets. Furthermore, they found that the  
573 increase of the surfactant concentration results in a transition from opaque nanoemulsions to  
574 transparent one, which leads to an increase of the absolute value of the zeta potential of the  
575 droplets. Jo et al. [60] also analyzed the release rate from the emulsions and found an  
576 increased release rate with the amount of trans-cinnamaldehyde existing within the oil phase  
577 of the nanoemulsion. Nanoemulsions containing trans-cinnamaldehyde were found to be very  
578 effective for minimizing the proliferation of different bacteria (*Salmonella typhimurium* and  
579 *Staphylococcus aureus* except) in both water and watermelon juice, even at a relative low  
580 concentration of the essential oil component.

581 Ghosh et al. [130] found results compatible to the above discussed in relation to the impact of  
582 the ratio between the essential oil and surfactant concentrations on the control of the  
583 emulsification process. They studied nanoemulsions of eugenol in water stabilized by tween  
584 80, and found that for nanoemulsions containing at fixed amount of eugenol (6 v/v%), a 3-  
585 fold increase of the surfactant concentration (from 6 v/v% to 18 v/v%) results in a reduction  
586 of the average droplet size from 95 nm to 20 nm. These results are in agreement with the  
587 findings by Keyshasalar et al. [146] for nanoemulsions of *Linum usitatissimum* seed essential  
588 oil. They found that the higher the concentration of surfactant the smaller the droplet size is.  
589 The main role of the surfactant concentration on the droplet size was proved by Chang et al.

590 [147] for nanoemulsions containing carvacrol. They prepared a series of nanoemulsions with  
591 a fixed concentration of oil phase (10 wt%) and increasing amounts of surfactant (tween 80),  
592 and found a decrease of the droplet size and of the stability against creaming of the  
593 nanoemulsions with the increase of the surfactant concentration. This can be rationalized  
594 considering different mechanism: (i) the surfactant concentration affects to the interfacial  
595 tension and the mobility of the oil/water boundary where the oil droplets are formed; (ii) a  
596 higher surfactant concentration results in a larger number of surfactant molecules diffusing  
597 from the organic phase into the aqueous phase when they are put together, with this  
598 promoting the formation of finer oil droplets at the oil/water boundary, and (iii) higher  
599 surfactant concentrations may favor different structural arrangement of the components of the  
600 nanoemulsions, with some of them being advantageous for nanoemulsion production. It is  
601 worth mentioning that the long-term stability of the nanoemulsions containing high surfactant  
602 concentrations is very poor. Thus, even though the initially formed droplets present a very  
603 small size, the nanoemulsions are very unstable and the mean diameter of the droplets  
604 undergo a fast growth over few days.

605 The impact of the specific chemistry of the surfactant on the stabilization of the essential oil  
606 component nanoemulsions was also studied by Ghosh et al. [130]. They analyzed the effect of  
607 two different surfactants belonging to the polysorbate family (tween 20 and tween 80) on the  
608 stabilization of eugenol in water nanoemulsions. They found that the use of tween 80 allows  
609 preparing nanoemulsions with smaller droplet size and polydispersity. This difference can be  
610 explained in terms of differences on the molecular packing of the non-ionic surfactant at the  
611 continuous phase-droplet interface. Chang et al. [147] deepen on the effect of different  
612 surfactant belonging to the polysorbate family on the stabilization of nanoemulsions. They  
613 found that the HLB of the surfactants plays an essential role on the stabilization of  
614 nanoemulsions of carvacrol in water, the higher the hydrophobicity of the surfactant the  
615 higher the stability of the nanoemulsions and the smaller their droplets are. However, this  
616 does not mean that the stabilization of nanoemulsions with surfactant of the same  
617 hydrophobicity results in dispersions containing droplets with the same size. Thus, tween 60  
618 and tween 80, presenting HLB values of 14.9 and 15, respectively, leads to the formation of  
619 droplets with mean diameter about 84 and 55 nm, respectively. This difference can be  
620 accounted by the different geometries of the surfactant. Thus, even though both surfactant  
621 present the same polar head, their hydrophobic tails are different, allowing different packing  
622 at the interface and consequently different degree of stabilization. The importance of the

623 interfacial packing of the surfactant molecules was also found a critical parameter on the  
624 stabilization of nanoemulsions of *Satureja khuzestanica* essential oil by Mazarei and Rafati  
625 [148]. They studied the possible stabilization of nanoemulsions using different combinations  
626 of water-soluble surfactants (tween 80 and tween 20) and oil soluble one (span 80 and span  
627 20), and found that mixtures of rigid oil soluble surfactant and flexible water soluble one  
628 presents a strong synergetic effect in relation to their ability on the stabilization of  
629 nanoemulsions. Furthermore, they found that the size of the droplets obtained upon  
630 emulsification and the long-term stability of the nanoemulsions are not correlated. Similar  
631 conclusions can be extracted from the studied by Botas et al. [139] where the stabilization of  
632 nanoemulsions containing *Baccharis reticularis* and D-limonene with mixtures of tween 80  
633 and span 80 in different weight ratio was explored. Their results evidence the importance of  
634 the HLB of the surfactant mixture in the control of the characteristic of the physico-chemical  
635 properties of the nanoemulsions and their stability. Furthermore, both nanoemulsions  
636 containing *Baccharis reticularis* and D-limonene present a high larvicidal activity against  
637 *Aedes aegypti* larvae.

638 The importance of the chemical nature of the surfactant used for the nanoemulsion  
639 stabilization was also reported by Lu et al. [138] in their study of citral emulsions. They  
640 prepared nanoemulsions stabilized by different mixtures of Brij 97 and Span 85, and confirm  
641 the important role of the HLB value of the surfactant mixture on the control of the stability of  
642 the nanoemulsions, existing a characteristic value of HLB enabling the stabilization of  
643 emulsions. In particular, the results by Lu et al. showed that surfactant mixtures with HLB  
644 below 10 results in opaque nanoemulsions with a high tendency to the phase separation,  
645 whereas surfactant mixtures with HLB overcoming the value of 12 allow the stabilization of  
646 transparent nanoemulsions with long-term stability. This is important because the reduction of  
647 the size of the emulsion droplets was found to favor the retention of the essential oil within  
648 the emulsions. The control of the stability using mixtures of surface-active molecules was also  
649 evidenced by Xue et al. [149]. They prepared nanoemulsions of thyme oil stabilized by a  
650 mixture of sodium caseinate and soy lecithin, and found that the increase of the concentration  
651 of the emulsifier mixture allows a better stabilization of the nanoemulsions, with a significant  
652 reduction of the droplet size. Furthermore, these nanoemulsions presents a faster reduction of  
653 the population of *Listeria monocytogenes*, *Salmonella Enteritidis* and *Escherichia coli* in milk  
654 than the free essential oil.



655 Hu et al. [150] showed that the synergistic effect on the stabilization of nanoemulsions  
656 appearing when mixtures of different emulsifier are used may be explained considering the  
657 different role of each molecule in the stabilization of the nanoemulsions. They prepared  
658 nanoemulsions of eugenol stabilized by mixtures of lecithin and arabic gum and found  
659 different behavior on the emulsions stability and droplet size as function of the concentration  
660 of each surface active molecule. The increase of the arabic gum concentration (in the range 0-  
661 1 wt%) results in a decrease of the droplet size followed by a subsequent increase of the size  
662 as the concentration is increased above 1 wt%. This is explained considering that arabic gum  
663 can adsorb to the droplet/continuous phase interface. However, the excess of the polymer  
664 favors a bridging flocculation and consequently an increase of the droplet size. The role of the  
665 lecithin was found to be completely different, the absence of lecithin makes it difficult to  
666 stabilize nanoemulsions, i.e. only with arabic gum, and the formation of polydisperse  
667 nanoemulsions with large droplets was found. These droplets present a high tendency to  
668 sediment. On the other side, the addition of lecithin results in a significant decrease of the  
669 droplet size, which is accompanied by an increase of the dispersion turbidity. This is  
670 explained considering that the use of mixtures formed by arabic gum and lecithin results in a  
671 synergetic effect in relation to the emulsification process, leading the formation of  
672 nanoemulsions containing a high concentration of small droplets. The increase of the lecithin  
673 beyond a concentration about 0.5 wt% results in a destabilization of the nanoemulsions as  
674 result of the excess of lecithin not adsorbed onto the droplets.

675 Further studies on the effect of the chemical nature of the emulsifier molecules were  
676 performed by Li et al. [151]. They studied nanoemulsions of eugenol stabilized by two  
677 different surfactants (tween 80 and sodium dodecyl sulfate, SDS) and found that  
678 nanoemulsions stabilized by SDS present large droplets (above 1  $\mu\text{m}$ ) and undergo a quick  
679 phase separation (within the first hour after their preparation). On the other side,  
680 nanoemulsions stabilized by Tween 80 do not evidence any significant destabilization,  
681 resulting in the formation of droplets with a diameter below 200 nm. These results point out  
682 that the stability of the nanoemulsions can be controlled by modulating the interfacial  
683 properties as result of the adsorption of surfactants.

684 Sabbour and Abd El-Aziz [152, 153] explored the impact of nanoemulsions of purslane oil  
685 against moths of *Ephestia cautella* and *Sitophilus granaries*, and found a strong sterilizing  
686 effect of the nanoemulsions, which remain even after storage of the formulations for more  
687 than four months. This latter confirms that the use of nanoemulsions is a good strategy to

688 preserve the integrity of the EOs and EOCs and their biological activity. Nanoemulsions also  
689 affect to the viability of the deposited eggs and the adult emergence. Furthermore,  
690 formulations of purslane oil as nanoemulsion results in a 3-fold increase of the effectiveness  
691 reported for the essential oil, which agrees with the enhanced toxicity of EOs as result of the  
692 increase of the contact area occurring when they are dispersed of nanodroplets. Similar effects  
693 were found by Nenaah et al. [154, 155] for different *Asteracea* and *Achilea* essential oils  
694 against *Callosobruchus maculatus* (cowpea beetle) and *Tribolium castaneum*, respectively.  
695 Furthermore, Nenaah et al. [154, 155] found that the lower the surface tension of the  
696 nanoemulsions the higher the biological activity of the nanoemulsions is. Adel et al. [156]  
697 also explored the effect of nanoemulsions against *Tribolium castaneum* and found that the  
698 bioactivity of nanoemulsions containing *Mentha piperita* was more than two times higher  
699 than that of the free essential oil, increasing significantly the insect mortality. Furthermore,  
700 they found that the treatment of stored grain with the nanoemulsions prevents the emergence  
701 of adult insects, without comprising the viability of the stored grain. The impact of  
702 nanoemulsions against *Tribolium castaneum* was also verified by Adak et al. [157]. They  
703 studied the use of nanoemulsions of eucalyptus oil against the mentioned grain pest and found  
704 that the smaller the droplet size the higher the toxicity against the pest is. Similar results were  
705 found for the bioactivity of the eucalyptus oil against *Sitophilus oryzae*.

706 Sabbour and Abd El-Aziz [152, 153] also tested other EOs (castor and mustard oils), and  
707 found a lower biological activity against insects, which recall for a correlation between the  
708 chemical composition of the essential oil contained within the nanoemulsions and their  
709 biological activity. This agrees with the work by Moretti et al. [20]. They studied the impact  
710 of very diluted nanoemulsions (water content about 98 wt%) containing different essentials  
711 oils, and stabilized with Tween 80 against *Lymantria dispar* L. (Lepidoptera: Lymantridae,  
712 gypsy moth) larvae (2<sup>nd</sup> and 3<sup>rd</sup> instar) in laboratory bioassays. They found that the mortality  
713 of the larvae was strongly dependent on the chemical nature of the essential oils used as oil  
714 phase, with emulsions containing *Thymus herba-barona* being accounted as the most effective  
715 as larvicidal (80% of larvae die upon 72 hour of treatment with the formulation). This high  
716 toxicity is associated with a high content in phenolic compounds, in particular carvacrol  
717 [158]. It is worth mentioning that Moretti et al. [20] also found that gelled emulsions favors  
718 their biological action due to the enhanced adhesion of the obtained particles to the insect  
719 cuticle due to their sticky character which favors a most targeted release of the active  
720 molecules.

721 The importance of the chemical composition of the nanoemulsions oil phase was also verified  
722 by Lucia et al. [72]. They explored the impact of nanoemulsions containing different EOCs  
723 against *Pediculus humanus capitis*, and found that the toxicity of the nanoemulsions was  
724 strongly correlated to the specific chemical nature of the oil phase. Therefore, on the contrary  
725 to that what proposed by different authors the size and polydispersity of the droplets was  
726 found to play a minor role on their bioactivity (above 50% of the individuals die  
727 independently of the essential oil component used as oil phase). This picture was confirmed  
728 very recently from the analysis of the larvicidal activity against *Aedes aegypti* of  
729 nanoemulsions containing different blends of thymol and carvacrol (two isomeric EOCs) as  
730 oil phase [70]. The authors found that the larvicidal activity of the formulations was  
731 significantly improved as the thymol content on the oil phase was increased, independently of  
732 the size distribution of the emulsions. Furthermore, the biological activity of the combinations  
733 of the two essential oils was found to be additive, whereas the replacement of carvacrol for  
734 eugenol results in an antagonistic biological interaction [159]. Further studies on the  
735 importance of the chemical composition of the oil phase on the biological activity of  
736 nanoemulsions were performed by Oliveira et al. [160]. They studied nanoemulsions  
737 containing *Lippia sidoides* (main component thymol, 68 wt%) and thymol as oil phase, and  
738 found that the chemical composition of the oil phase does not affect significantly to the  
739 physico-chemical characteristics of the nanoemulsions. However, the biological activity  
740 against *Sitophilus zeamais* was found to be very different depending on the chemical nature of  
741 the oil phase. Nanoemulsions containing *Lippia sidoides* results in two-fold higher mortality  
742 in insects than those containing only thymol (the dose required to obtain a mortality of the  
743 50% of the individuals, LD<sub>50</sub>, was found to be 7.10 and 17.08 µg/mg for nanoemulsions with  
744 *Lippia sidoides* and thymol, respectively).

745 The role of the chemical composition of the oil contained in the nanoemulsions was also  
746 demonstrated by Ali et al. [161] to play a very important in relation to their toxicity against  
747 phytopathogenic fungi (*Rhizoctonia solani* and *Sclerotium rolfsii*). Ali et al. [161] prepared  
748 nanoemulsions stabilized by Triton X-100 and Tween 20 by spontaneous emulsification, and  
749 performed a carefully characterization of the droplet size and morphology by dynamic light  
750 scattering (DLS) and transmission electronic microscopy (TEM), and the stability by  
751 centrifugation at 3500 rpm. They reported the formation of physically stable nanoemulsions  
752 formed for spherical nanodroplets with diameter in the 8-20 nm range. The activity of the  
753 nanoemulsions as phytochemicals was stronger for nanoemulsions containing mixtures of

754 citronella and neem oil as oil phase than for nanoemulsions containing only one of the oils.  
755 Pascual-Villalobos et al. [162] explored the preparation of nanoemulsions with up to ten  
756 different essential oil and their bioactivity against *Rhopalosiphum padi*. They found that for a  
757 constant composition of the nanoemulsion, it is the chemical nature of the essential oil the  
758 most critical parameter determining the physico-chemical properties and bioactivity of the  
759 dispersions. Thus, nanoemulsions with smaller particles present a significant activity as  
760 repellent of *Rhopalosiphum padi*, whereas those with higher particles are almost inactive.

761 The use of nanoemulsions containing essential oils has been also exploited in the preparation  
762 of insect repellent. Nuchuchua et al. [107] prepared nanoemulsions with the oil phase  
763 composed by mixtures of three different EOs (citronella, hairy basil, and vetiver oils) and  
764 evaluated their repellent activity against *Aedes aegypti* mosquito. They found that the smaller  
765 the droplets the stronger the repellency is. This is explained considering the formation of  
766 films with higher integrity, which minimizes the evaporation of the EOs and prolongs the  
767 repellent activity of the nanoemulsions. Furthermore, the reduction of the droplet size favors  
768 the stability of the formulations in agreement with the results by Sakulku et al. [69]. They  
769 prepared nanoemulsions with a relatively high citronella content (about 20 wt%) by a high-  
770 pressure homogenization process, and found that an increase of the surfactant concentration  
771 leads to the decrease of the droplet size and their polydispersity, allowing a more controlled  
772 release of the citronella. Furthermore, the addition of glycerol to the aqueous phase is a good  
773 alternative for controlling the release kinetics, protection time against mosquito, droplet size,  
774 polydispersity index and stability of the nanoemulsions. Thus, the higher the glycerol and  
775 surfactant concentration the longer the protection time against *Aedes aegypti* is.

776 Ribes et al. [163] studied the antifungal activity against *Aspergillus niger* of nanoemulsions  
777 containing different EOs, and found that the chemical nature of the stabilizing agent impact  
778 (Tween 80 vs anionic whey protein isolate) can impact on the nanoemulsion bioactivity even  
779 more than the nature of the EOs. This is explained again considering that the interaction  
780 between the emulsion droplets and the target organism controls the action mechanism of the  
781 nanoemulsions, which can be understood in terms of different processes: (i) internalization of  
782 the oil droplets in the cells due to the passive transport through the cell membrane, which is  
783 expected to depend on the mean droplet size; (ii) fusion of the droplets with the cell  
784 membrane, which depends on the type of emulsifier and may result in a targeted release; (iii)  
785 EO partition between the oil and the aqueous phase, which depends on the chemical nature of  
786 the EO and promotes a sustained release over time of the EOs, and (iv) specific interactions

787 between the nanoemulsion droplets and the cell, resulting in an increase of the concentration  
788 of EO in the vicinity of cells, depending on the chemical nature of the formulation and  
789 emulsifier [164]. Ribes et al. [163] also found that the nanodroplets contained within the  
790 nanoemulsions lead to a more important inhibition of the mycelial growth and spore  
791 germination than dispersions containing microdroplets. This is explained considering a  
792 facilitated penetration through the cell membranes of the target organism. Similar correlations  
793 between the size and effectiveness of nanoemulsions were found for the antimicrobial activity  
794 of different EOs and EOCs [165, 166]. Moghimi et al. [167] found that nanoemulsions  
795 containing *Thymus daenensis* essential oils present a higher effectiveness against *Escherichia*  
796 *coli* than the free essential oil. The mechanism of action was proposed to be associated with  
797 the ability of the hydrophobic molecules of the essential oil to disrupt the cell membrane  
798 through two different pathways: (i) alteration of the integrity of the phospholipid bilayers, or  
799 (ii) interference with the active transport proteins embedded within the lipid membrane. Thus,  
800 the changes on the permeability of the membrane lead to the leakage of biomolecules and ions  
801 from the cell interior, resulting in a fast cell death. The higher efficiency of the nanoemulsions  
802 in relation to the free oil is explained considering that the nanometric size of the droplets  
803 favored the interaction of the essential oil with the cell membrane. The biocide activity of  
804 essential oils nanoemulsions has been also proved against weeds (*Amaranthus retroflexus* and  
805 *Chenopodium album*). Harzati et al. [168] showed that o/w nanoemulsions *Satureja hortensis*  
806 essential oil (enriched in carvacrol and  $\gamma$ -terpinene) obtained using a low energy method  
807 present a strong phytotoxic effect against weed, disrupting the cell membrane and limiting the  
808 germination and plant growth. It is worth mentioning that the obtained nanoemulsions present  
809 a low polydispersity, and a good stability against destabilization mechanism.

810 The antimicrobial activity of nanoemulsions containing EOs is probably the most exploited  
811 together with their applications in pest control. Topuz et al. [169] found that the use of  
812 nanoemulsions containing anise oil (mean droplet diameter about 200 nm) against foodborne  
813 pathogens (*Listeria monocytogenes* and *Escherichia coli*) was between 2 and 4 folds more  
814 effective than the direct use of the essential oil. Furthermore, it was found that the  
815 antimicrobial effect of the essential oil and their stability were extended in the time as result  
816 of their protection as nanemulsion droplets. The use of nanoemulsions containing EOs and  
817 EOCs have been also tested directly as preservative in food matrices. Bhargava et al. [170]  
818 prepared oil-in-water nanoemulsions of oregano oil stabilized by Tween 80 (oil:surfactant  
819 ratio 1:2) using a high energy ultrasound approach. These nanoemulsions were found to be

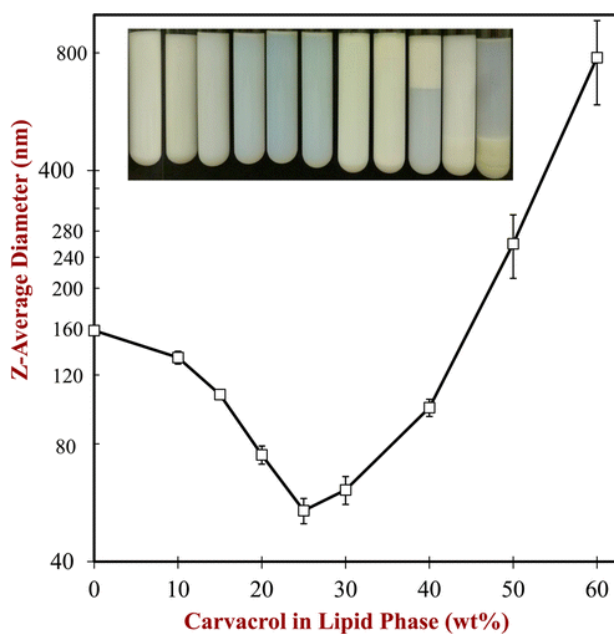
820 very effective on the prevention of the proliferation of different microorganism (*Listeria*  
821 *monocytogenes*, *Salmonella Typhimurium*, and *Escherichia coli*) on fresh lettuce.

822 Further studies on the antimicrobial properties of nanoemulsions against *Escherichia coli*  
823 were performed by Salvia-Trujillo et al. [171]. They prepared nanoemulsions with different  
824 essential oils (lemongrass, clove, tea tree, thyme, geranium, marjoram, palmarosa, rosewood,  
825 sage or mint) and stabilized with tween 80 and sodium alginate. These nanoemulsions were  
826 prepared by the application of high shear homogenization followed by microfluidization to  
827 obtain droplets with sizes in the nanometer scale. The stabilization of the droplets by the  
828 combination of sodium alginate and tween 80 leads to the formation of negatively charged  
829 droplets (zeta potential below -30 mV), which results in an electrostatic stabilization of the  
830 nanoemulsions and prevents the coalescence and sedimentation of the oil phase. Furthermore,  
831 it was found that the size of the droplets increases with the viscosity of the oil phase, which is  
832 ascribed to the stronger disruption forces requires for deforming the droplets during the  
833 preparation process. The antimicrobial assays of the nanoemulsions against *Escherichia coli*  
834 evidenced again the importance of the chemical composition on the bioactivity of EOs and  
835 their components, with nanoemulsions containing lemongrass, clove, thyme or palmarosa  
836 essential oils presenting the highest antimicrobial activity. On the other side, the impact of the  
837 size of the droplets on the nanoemulsion bioactivity was found to be rather limited. Similar  
838 conclusions were obtained for the insecticide and larvicidal activity against different insects  
839 of several nanoemulsions [70, 72, 159].

840 The use of ripening inhibitors is, in many cases, an interesting option to ensure a significant  
841 long-term stability of nanoemulsions containing EOs and EOCs, especially when the  
842 solubility in water of these molecules may be considered as non-negligible [166]. Thus, it is  
843 necessary to seek inhibitors of the Ostwald ripening that do not compromise the bioactivity of  
844 the essential oil. A possible alternative is the addition of a water insoluble lipid (soybean, corn  
845 or sunflower oil) or a water insoluble surfactant (lecithin) to the nanoemulsions. These  
846 ripening inhibitors enhance the stability of the nanoemulsions, even though they can worsen  
847 the bioactivity of the nanoemulsions [167]. Chang et al. [166] deepened on the importance of  
848 the use of ripening inhibitors. They prepared emulsions of thyme oil in water stabilized by  
849 tween 80 and found that such emulsions were highly unstable, presenting evidences of droplet  
850 growth and phase separation after 3 days of storage due to the non-negligible solubility of the  
851 thyme oil in water. This problem was solved mixing the essential oil with ripening inhibitors  
852 (corn oil and medium chain triacylglycerides), which minimizes the destabilization

853 phenomena of the nanoemulsions. For both ripening inhibitors, it was found that the increase  
854 of their concentration allows reducing the extension of the droplet growth. This can be  
855 explained considering a dual role of the ripening inhibitors: (i) affect the size during the  
856 homogenization process, and (ii) affect the droplet stability after homogenization.  
857 Furthermore, Chang et al. [166] also found the reduction of the antimicrobial activity of the  
858 nanoemulsions as result of the ripening inhibitors, providing a plausible explanation for such  
859 behavior. They proposed that antimicrobial agents present a partitioning between oil and  
860 aqueous phases, and microorganism cell wall depending on their concentration and oil-water  
861 partitioning coefficient. This process is expected to occur very quickly when nanoemulsions  
862 are considered due to the small dimensions of the droplets and cells. However, the increase of  
863 the amount of oil phase in a nanoemulsion is expected to lead to an increase of the amount of  
864 antimicrobial agent included within it, and, hence the amount contained within the aqueous  
865 phase containing the microorganism will be reduced, and consequently the interaction  
866 between the antimicrobial molecules and the microorganism will be hindered. The medium  
867 chain triacylglycerides were also used by Liang et al. [136] as ripening inhibitors in  
868 nanoemulsions containing peppermint oil. They ascribed the reduction of the Ostwald  
869 ripening of the nanoemulsions to the increase of the viscosity as the medium chain  
870 triacylglycerol was added. Similar effects of the addition of medium chain triacylglycerides  
871 were reported by Landry et al. [172, 173] for nanoemulsions of carvacrol obtained by a  
872 spontaneous emulsification process and stabilized using tween 80. They explored the impact  
873 of the composition of the oil phase (carvacrol+ripening inhibitor) on the stability of the  
874 nanoemulsions, using for this purpose nanoemulsions with the following composition: 10  
875 wt% oil phase, 10 wt% tween 80 and 80 wt% aqueous phase. The results showed that the  
876 composition of the oil phase present a major impact on the formation and stability of the  
877 nanoemulsions containing essential oils and their components. The increase of the carvacrol  
878 amount in the oil phase, and the consequent decrease of the concentration of the ripening  
879 inhibitor (medium chain triacylglycerides), lead to an initial decrease of the mean droplet  
880 diameter until a minimum value around 55 nm is reached for nanoemulsions containing a  
881 weight ratio carvacrol:ripening inhibitor 1:3. Further increases of the concentration of  
882 carvacrol results in an increase of the mean droplet diameter. In particular, nanoemulsions  
883 with carvacrol concentration overcoming that of the ripening inhibitor are highly unstable,  
884 and undergo a quick fast separation. Figure 2 shows the effect of the oil phase composition, as  
885 the weight fraction of carvacrol, on the mean droplet diameter and the aspect of the obtained  
886 dispersions as the amount of carvacrol in the oil is phase increased (see inset Figure 2). The

887 results by Chang et al. [147] evidence that the size of the oil droplets is correlated to the  
888 composition of the oil phase. This may be associated with the impact of the composition of  
889 the oil phase on the properties of the oil phase (viscosity, interfacial tension, and polarity) and  
890 of the surfactant (solubility, partitioning, and optimum curvature). An analogous behavior was  
891 found by Ryu et al. [174] for nanoemulsions of thyme oil. Furthermore, the chemical nature  
892 of the ripening inhibitor also plays a very important role on the stabilization of the  
893 nanoemulsions. Thus, the use of medium chain triacylglycerides enables the stabilization of  
894 the nanoemulsions, whereas long chain triacylglycerides prevent their formation. Ryu et al.  
895 [174] extended the preliminary studies by Chang et al. [147] related to the effect of the  
896 chemical nature of the ripening inhibitor on the activity of nanoemulsions. They prepared  
897 thyme oil emulsions in water, and used different mixtures of medium chain triacylglycerides  
898 and long chain triacylglycerides for the inhibition of the ripening. They found that the  
899 increase of the concentration of long chain triacylglycerides in the oil phase results in an  
900 increase of the mean diameter of the droplets. However, the increase of the fraction of long  
901 chain triacylglycerides in relation to that of medium chain triacylglycerides in the oil phase  
902 results in an improvement of the antimicrobial activity of the nanoemulsions.



903

904 Figure 2. Effect of oil phase composition as the weight fraction of carvacrol in the oil phase  
905 on the mean particle diameter for dispersions prepared using 10 wt % oil (carvacrol +  
906 ripening inhibitor), 10 wt % tween 80, and 80 wt %. The inset shows a photograph of the  
907 aspect of the dispersions containing growing carvacrol concentrations in the oil phase (from  
908 left to right of 0, 10, 15, 20, 25, 30, 40, 50, 60, 80, 100 wt %). Reprinted with permission  
909 from Ref. [147]. Copyright (2013) American Chemical Society.

910



911 The important role of the addition of a ripening inhibitors was especially clear from the  
912 studies by Li et al. [151]. They prepared nanoemulsions of eugenol stabilized using SDS  
913 which undergo a quick Oswald ripening. This process was retarded by adding increasing  
914 amounts of bean oil within the concentration range 0-8 wt%, which leads to a reduction of the  
915 size of the droplets. Further increases of the amount of bean oil result in an excessive increase  
916 of the viscosity and the interfacial tension that limit the possibility to a further reduction the  
917 droplet size. This is the result of a compositional ripening, which is opposed to the Ostwald  
918 ripening. It is worth mentioning that the inhibition of the Ostwald ripening associated with the  
919 addition of bean oil to eugenol nanoemulsions stabilized by SDS is incomplete, and a growth  
920 of the droplets was found after 24 hours of storage. The addition of bean oil to eugenol  
921 nanoemulsions stabilized by tween 80 is very different to the behavior found for  
922 nanoemulsions stabilized by SDS. Thus, small quantities of bean oil (0-6 wt%) lead to a  
923 reduction of the size of the droplets, and the minimization of the Ostwald ripening. Further  
924 increases of the concentration of bean oil in the range 6-30 wt% leads to the increase of the  
925 droplet size. However, surprisingly the increase of the concentration of bean oil beyond 30  
926 wt% results again in a reduction of the Ostwald ripening. This is explained considering that  
927 the compositional ripening can induce a thermodynamically unfavorable difference in droplet  
928 composition, which is opposed to the general rule of the Ostwald ripening. This leads to a  
929 situation in which the compositional ripening appears as opposed to the Ostwald ripening,  
930 resulting in a suppression of the droplet growth. Furthermore, the reduction of the Ostwald  
931 ripening resulting from the addition of bean oil to the nanoemulsions stabilized by tween 80  
932 provides a true long-term stabilization to the dispersions. It is worth mentioning that all the  
933 nanoemulsions, stabilized either for SDS or for tween 80, present a good antimicrobial  
934 activity against *Escherichia coli* and *Staphylococcus aureus*, with SDS stabilized  
935 nanoemulsions being more effective against *Staphylococcus aureus* and those stabilized using  
936 tween 80 against *Escherichia coli*. Furthermore, Li et al. [151] found that the increase of the  
937 concentration of ripening inhibitors worsens the antimicrobial activity of the nanoemulsions.  
938 The above mentioned predominance of the compositional ripening in relation to the Ostwald  
939 ripening can be controlled by the choice of the oil phase as was demonstrated by Ferreira et  
940 al. [175]. They prepared nanoemulsions of *Lippia alba* essential oil stabilized by Tween 80,  
941 and found that the specific composition of the essential oil makes it possible to hinder the  
942 Ostwald ripening, resulting in the formation of monodisperse nanoemulsions with long-term  
943 stability. The nanoemulsions obtained by Ferreira et al. [175] evidences a strong larvicidal  
944 activity against *Culex quinquefasciatus* and *Aedes aegypti* larvae after 48 hours of exposure,

945 with the dose required for obtaining a mortality of the 100% of the larvae being 2-fold lower  
946 when the interaction of the nanoemulsions with *Aedes aegypti* larvae was considered.

947 Nanoemulsions combining silicone oil and pine oil stabilized by a mixture of two surfactants  
948 (anionic silicone surfactant and tridecyl alcohol ethoxylate) were prepared by Pukale et al.  
949 [137] for providing repellency to mosquitoes and antibacterial activity to fabric. The  
950 morphology and dimension of the droplets was found to be strongly dependent on both the  
951 ratio between the two surfactants in the mixture and that between the silicone and pine oils in  
952 the oil phase. The smallest droplets (around 41 nm) were obtained for emulsions with a total  
953 surfactant concentration of 8 wt% and 20 wt% oil (silicone oil:pine oil ratio 80:20). In  
954 particular, the combination of two surfactants with unique packing and structural properties  
955 results in an important synergistic effect on the control of the size of the droplets. The  
956 impregnation of fabrics with the nanoemulsions results in structural modification both at the  
957 interface and in the bulk. Furthermore, the application of the nanoemulsions in a  
958 concentration of 50 g/L results in a repellency to mosquito of the 80% during 30 minutes,  
959 whereas the increase of the concentration of the application sample up to 100 g/L takes the  
960 repellency up to the 100% (for a period of 30 minutes). Finally yet importantly, the  
961 impregnation of the fabric with the nanoemulsion results in a significant reduction of the  
962 proliferation of *Staphylococcus aureus* and *Klebsiella pneumoniae* of 21.4% and 54.2%.

963 Donsi et al. [176] prepared nanoemulsions stabilized by a mixture tween 20-monoolein (1:1  
964 weight ratio) and containing different mixtures of carvacrol and peanut oil (total oil  
965 concentration 4 wt%, minimal concentration of peanut oil 1 wt%) as oil phase, using for this  
966 purpose the high pressure homogenization technique. The increase of the carvacrol content  
967 results in a destabilization of the nanoemulsions as evidenced the increase of the average  
968 hydrodynamic diameter of the droplets, their polydispersity and the turbidity of the  
969 dispersions. Thus, it is possible to assume that the peanut oil works as a ripening inhibitor and  
970 a minimal amount of this compound is required to minimize the destabilization of the  
971 nanoemulsions. However, the optimal essential oil:ripening inhibitor weight ratio was found  
972 to be strongly correlated to the specific chemical nature of the essential oil [177]. The  
973 obtained nanoemulsions were infused into food matrices for preservation against the  
974 proliferation of bacteria, with the infusion process being favored as the size of the droplets is  
975 reduced. This is explained considering that the penetration occurs through the membrane  
976 pores and intercellular interstices. Furthermore, the chemical composition of the oil phase  
977 does not affect significantly to the mass transfer mechanism during the infusion process. The

978 antimicrobial activity was found to be increased with the infusion time due to the higher  
979 concentration of biocide molecules incorporated within the matrices. Furthermore, the size of  
980 the droplets is the main parameter governing the antimicrobial activity of the nanoemulsions  
981 against *Escherichia coli*, the smaller the droplet size the stronger the antimicrobial activity is.  
982 The above discussion have pointed out that the presence of ripening inhibitors should be  
983 optimized to ensure both the stability of the formulations and their efficacy.

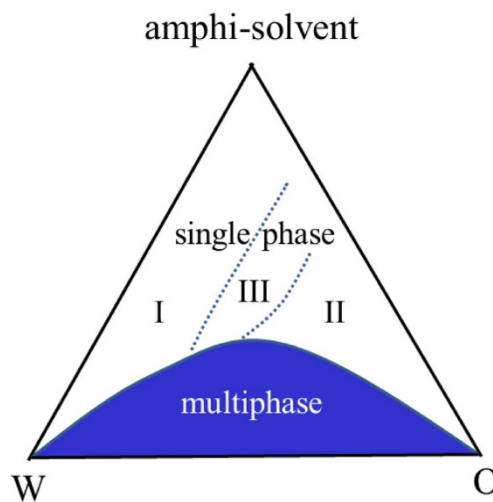
984 Table 2. Summary of nanoemulsions containing essential oils and their application.

Active compound (concentration)	Surfactant	Purpose	Target organism	Bioassay	Reference
Purslane oil (0-0.1 wt%)	Triton X-100	Larvicidal/insectide	<i>Ephestia cautella</i> <i>Sitophilus granaries</i>	Spraying	Sabbour and Abd El-Aziz [152, 153]
Asteracea EOs (Not specified)	Not specified	Larvicidal/insectide	<i>Callosobruchus maculatus</i>	Spraying	Nenah et al. [154]
Asteracea EOs (Not specified)	Not specified	Larvicidal/insectide	<i>Tribolium castaneum</i>	Spraying	Nenah et al. [155]
<i>Lippia sidoides</i> oil					
Thymol (20 v/v%)	Procetyl® AWS	Insecticide	<i>Sitophilus zeamais</i>	Contact	Oliveira et al. [160]
<i>Eucalyptus globulus</i> oil	Tween 80	Insecticide	<i>Tribolium castaneum</i>	Contact	Pant et al. [178]
D-limonene					
<i>Baccharis reticularia</i> oil (2.5 g/L)	Mixtures of tween 80 and span 80	Larvicidal	<i>Aedes aegypti</i>	Immersion	Botas et al. [139]
Neem oil (0-20 wt%)	Tween 20	Larvicidal	<i>Culex quinquefasciatus</i>	Immersion	Anjali et al. [141]
Castor oil (10 wt%)	Mixtures of tween 80 and span 20	Larvicidal	<i>Anopheles culicifacies</i>	Immersion	Sogan et al. [143]
Different EOs (0-1 wt%)	Tween 80	Larvicidal	<i>Lymantria dispar L.</i>	Immersion	Moretti et al. [20]
Thymol-carvacrol blends (1.25 wt%)	Poloxamer 407	Larvicidal	<i>Aedes aegypti</i>	Immersion	Lucia et al. [70]
Thymol-eugenol blends (1.25 wt%)	Poloxamer 407	Larvicidal	<i>Aedes aegypti</i>	Immersion	Lucia et al. [159]
<i>Lippia alba</i> (5 wt%)	Mixtures containing tween 20 or tween 80 and span 20 and 80	Larvicidad	<i>Culex quinquefasciatus</i> <i>Aedes aegypti</i>	Immersion	Ferreira et al. [175]
Different EOCs (1.25 wt%)	Poloxamer 407	Pediculicide	<i>Pediculus humanus capitis</i>	Immersion	Lucia et al. [72]

Mixtures of citronella, hairy basil, and vetiver oils (0-20 wt%)	Alkylpolyglucoside	Repellent	<i>Aedes aegypti</i>	Human-bait technique	Nuchuchua et al. [107]
Ten different EOs (5 wt%)	Tween 80	Repellent	<i>Rhopalosiphum padi</i>	Spraying	Pascual-Villalobos et al. [162]
<i>Satureja hortensis</i> oil (2 v/v%)	Tween 80	Herbicide	<i>Amaranthus retroflexus</i> <i>Chenopodium album</i>	Spraying	Harzati et al. [168]
Garlic oil (5 wt%)	Tween 20	Acaricidal	<i>Aceria oleae</i> <i>Tegolophus hassani</i>	Spraying	Mossa et al. [145]
Citronella-neem oil mixtures (0-10 wt%)	Triton X-100 Tween 20	Antifungal	<i>Rhizoctonia solani</i> <i>Sclerotium rolfsii</i>	Dropping	Ali et al. [161]
Cinnamon leaf oil Lemon oil Bergamot oil (Not specified)	Tween 80 Anionic whey protein isolate	Antifungal	<i>Aspergillus niger</i>	Dropping	Ribes et al. [163]
D-Limonene (0.5 wt%)	Soy lecithin Tween 20 Glycerol monooleate Modified Starch	Antimicrobial	<i>Lactobacillus delbrueckii</i> <i>Saccharomyces cerevisiae</i> <i>Escherichia coli</i>	Dropping	Donsi et al. [165]
Thyme oil (1 wt%)	Tween 80	Antimicrobial	<i>Zygosaccharomyces bailii</i>	Dropping	Chang et al. [166]
Anise essential oil (Not specified)	Soy lecithin	Antimicrobial	<i>Listeria monocytogenes</i> <i>Escherichia coli</i>	Dropping	Topuz et al. [169]
Different EOs (1 v/v%)	Mixtures of tween 80 and sodium alginate	Antimicrobial	<i>Escherichia coli</i>	Dropping	Salvia-Trujillo et al. [171]

986 **4.3. Surfactantless emulsions containing essential oils and their components**

987 There are some cases in which emulsions can be stabilized even without the introduction of  
988 any surfactant, resulting in the so-called surfactant free or surfactantless emulsions (sometimes  
989 referred as surfactant free microemulsions). This type of systems results from pseudo-ternary  
990 mixtures of oil, water and antisolvent (or amphi-solvent), with the antisolvent being an  
991 amphiphilic substance, but not a surfactant, which is at least partially miscible with the  
992 aqueous and the oily phases (generally the antisolvent is an alcohol with a short hydrocarbon  
993 chain, e.g. ethanol, propanol or butanol) [179]. A general phase diagram for ternary mixtures  
994 resulting in the formation of surfactant free emulsions is schematized in Figure 3.



995

996 Figure 3. Idealized representation of the pseudo-ternary phase diagram for surfactant-free  
997 systems of oil, water, and amphi-solvent. I, II and III represent three different subregions,  
998 corresponding to the oil-in-water, water-in-oil, and bicontinuous emulsions, respectively.  
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1000

1001 The dispersions belonging to the single-phase region are characterized by the formation of  
1002 optically isotropic and transparent mixtures, whereas the dispersions corresponding to the  
1003 multiphase region are turbid or present clear evidences of sedimentation or creaming. The  
1004 single-phase region is commonly characterized by three different subregions, which are  
1005 associated with different microstructures in the systems. It is worth mentioning that the  
1006 location and boundaries of the different subregions appearing within the single-phase regions  
1007 depend on the specific chemical nature of the pseudo-ternary system. Furthermore, the phase  
1008 diagram can be modified by the ionic strength and pH of the aqueous phase [180-183].

1009 The detailed analysis of the different subregions appearing within the single-phase suggests  
1010 that true w/o emulsions are formed for dispersions where oil is the main component  
1011 (subregion II) [180, 181]. On the other side, the characteristic of subregions I and III are very  
1012 different. The dispersions belonging to the subregion I (enriched in water) may be considered  
1013 as pseudo-ternary solutions, whereas those belonging to the subregion III, i.e. mixtures with  
1014 similar concentration of the three components, are formed by small H-bonded aggregates of  
1015 water-alcohol dispersed in the oil phase (pre-ouzo dispersions) [180-183]. Table 3  
1016 summarizes some examples of different surfactantless emulsions containing essential oils and  
1017 their potential applications.

1018 Lucia et al. [41] proposed that surfactantless emulsions of the water-ethanol-eugenol pseudo-  
1019 ternary systems may be a good alternative for the fabrication of insect repellent formulations.  
1020 They make a careful examination of the phase diagram of the pseudo-ternary mixture, which  
1021 evidenced the formation of a pseudo-single-phase region and a multiphase one, with the latter  
1022 being characterized by the different partition of the ethanol between the eugenol and water.  
1023 The transition between the multiphase region and the pseudo-single phase one was found to  
1024 be marked by the emergence of the pre-ouzo region (for compositions of ethanol in the 30-40  
1025 wt% range). Furthermore, the increase of the ethanol concentration favors the stabilization of  
1026 the dispersions, driving the transition from true surfactantless emulsions to hydroalcoholic  
1027 solutions. The obtained surfactantless emulsions by Lucia et al. [41, 184] allows the  
1028 solubilization of a synthetic insecticide (imidacloprid) without any significant modification of  
1029 the stability of the dispersions. These formulations containing imidacloprid were used in  
1030 topical and spray assays against *Cimex lectularis* (insecticide resistant colonies) resulting in a  
1031 mortality of individuals in the 80-85% range. It is worth mentioning that even though both  
1032 hydroalcoholic solutions and pre-ouzo dispersions can be used for imidacloprid solubilization,  
1033 the localized structure existing within the droplets of the pre-ouzo dispersions provides a  
1034 more suitable environment for the dispersion of the insecticide, which improves its  
1035 availability during the application process [184].

1036 Surfactantless emulsions containing EOCs have been used in the preparation of repellent  
1037 formulations against insect to replace synthetic repellent [185]. Drapeau et al. [185] studied  
1038 the repellency of emulsions of the pseudo-ternary system water-isopropanol-para-menthane-  
1039 3-8-diol against *Aedes aegypti* and found that the repellency upon topical application of the  
1040 formulations can be extended along 6 hours, which evidence that formulations containing  
1041 natural actives may present an effectiveness similar to that found in conventional synthetic

1042 formulations. In addition, Drapeau et al. [185] also explored the effectiveness of conventional  
1043 microemulsions and did not found significant different on their activity as repellent in  
1044 comparison with the surfactantless emulsions.

1045 The above discussion evidences that the applications of surfactantless emulsions in the  
1046 management of insect pest, pathogens and diseases have been less explored than those  
1047 involving micro- and nanoemulsions. Nevertheless, the limited environmental impact  
1048 associated with the absence of surfactant in this type of systems makes them a very promising  
1049 tool for taking advantage of the bioactivity of essential oils and their components. Thus, the  
1050 exploration of the bioactivity of surfactantless emulsions containing EOs or EOCs and their  
1051 correlation to their physico-chemical properties deserve a further exploration to exploit the  
1052 whole potential of these systems.

1053 Table 3. Summary of surfactantless emulsions containing essential oils and their application.

System	Purpose	Target organism	Bioassay	Reference
Water-ethanol-eugenol (loaded with imidacloprid)	Insecticide	<i>Cimex lectularis</i>	Topical Spraying	Cáceres et al. [184]
Water-isopropanol-para-menthane-3,8-diol	Repellent	<i>Aedes aegypti</i>	Topical	Drapeau et al. [185]

1054

## 1055 5. Emulsion-like dispersions containing semiochemicals

1056 Semiochemicals include a wide range of organic molecules, volatile and non-volatile, which  
1057 are signalling chemicals used to carry information between living organisms, modulating their  
1058 behaviour. Among volatile semiochemicals are included some essential oil components,  
1059 therefore EOCs and SEs are closely related chemicals. It is worth mentioning that the same  
1060 molecule may act as a semiochemical (pheromone, kairomone or allomone) for one insect  
1061 species, whereas it exhibits bioactivity, as insecticide, repellent, antimicrobial, etc. against  
1062 another one. Thus,  $\alpha$ - and  $\beta$ -pinene contained within the pine essential oil are semiochemicals  
1063 for siricid woodwasps [186, 187], whereas they present larvicidal activity on *Aedes aegypti*  
1064 mosquito larvae [188]. Another example of this dual role of certain molecules is found in the  
1065 methyl eugenol, a natural compound contained in leaves, fruit, stems and flowers, which have  
1066 an important impact on the insect behavior and the pollination process [189]. This compound  
1067 can be used as an insect attractant for the control of invasive pests such as the male oriental



1068 fruit fly [190], and also exhibit strong insecticidal activities against larvae and adult  
1069 individuals of mosquitoes [191].

1070 The above discussion was focused on the use of emulsion-like dispersions containing EOs  
1071 and EOCs in pest control, and in particular the toxicity of such systems against the target pest.  
1072 However, an integrated pest management strategy should also include non-toxic interventions  
1073 tools that results in a modification of the behavior of the pests, including the monitoring of  
1074 populations, mating disruption and mass trapping of insect species. These tasks can be  
1075 performed by semiochemicals [21]. The complex biological activity of semiochemicals makes  
1076 necessary the inclusion of these molecules within platforms, which ensure a controlled release  
1077 of the volatile biologically active compounds enabling their monitoring during the dispersion  
1078 in the environment. Furthermore, these volatile and sensitive molecules require to be  
1079 protected from degradation by UV light and oxygen. Therefore, an efficient incorporation of  
1080 semiochemicals in integrated pest management strategies requires to fulfill several  
1081 specifications [192]: (i) the aerial concentration after release must be high enough to be  
1082 detected by insects; (ii) the release of semiochemicals must be effective during all the period  
1083 of insect occurrence, and (iii) the production of dispenser must be reproducible.

1084 The semiochemicals can be formulated as sprayable slow-release formulations, where the  
1085 semiochemical are dissolved in paraffin droplets dispersed in water (paraffin in water  
1086 emulsions loaded with semiochemicals) [193]. The major advantage of sprayable  
1087 formulations compared to solid matrix dispensers is that the formulation can be used for the  
1088 treatment of the entire crop. Atterholt et al. [194] developed emulsions of paraffin in water as  
1089 controlled release carriers for oriental fruit moth pheromone at concentrations of 2, 4, and 6  
1090 wt%. These aqueous emulsion containing the pheromone can be applied using a simple spray  
1091 devices at ambient temperature, which results in its adhesion on tree bark and foliage, and a  
1092 progressive release of the pheromone. Furthermore, the pheromone release from paraffin was  
1093 found to be partition-controlled, providing a constant (zero-order) release rate. The main  
1094 advantage of this type of formulation is that the controlled release was obtained only by the  
1095 direct application of the emulsion on the bark or foliage, without any external stimuli.  
1096 Sprayable formulations were also evaluated by De Vlieger [195] to control Mediterranean  
1097 Corn Borer (MCB), *Sesamia nonagroides*, through pest mating disruption. They found in  
1098 outdoor exposure experiments that the release of pheromone was high enough during a time  
1099 span longer 30 days. These formulation were tested in large scale field experiments by  
1100 spraying from a helicopter on 5 ha maize fields in Spain, Greece and France. After spraying

1101 with the formulations containing the pheromone, it was found that in the first week after  
1102 spraying the percentage of mated females were lower in the treated field than in the control  
1103 field, whereas after two weeks less male individuals were captured in traps placed in the  
1104 treated fields than in those placed traps in the control fields. The effect of mating disruption  
1105 was also be found when the increase of plant attack was analyzed. In recent years Mafra-Neto  
1106 et al. [196] designed a new formulation including repellent bark beetle semiochemicals, the  
1107 so-called SPLAT (Specialized Pheromone and Lure Application Technology). This new  
1108 formulation consist in an emulsion enabling a controlled-release of semiochemicals [197],  
1109 which is hand-applied, flowable, and biodegradable. Furthermore, it allows adjusting the size  
1110 of each release point (dollop) almost at will. The possible application of release points  
1111 (dollops) at high densities with a desired distribution within the field was found on the origin  
1112 of the high levels of tree protection against *Dendroctonus ponderosae* [198]. The technology  
1113 SPLAT verb<sup>®</sup> is currently used to control *Dendroctonus ponderosae* [199], and the SPLAT  
1114 ACP Repel<sup>®</sup> one as a repellent for the Asian citrus psyllid (ACP), *Diaphorina citri* [200].  
1115 Several commercial SPLAT<sup>®</sup> mating disruption products are currently commercialized by  
1116 ISCA Technologies, Inc (U.S.A) and ISCA Tecnologias Ltda. (Brazil) against different insect  
1117 pests, including *Grapholita molesta* (SPLAT<sup>®</sup>OFM), *Cydia pomonella* (SPLAT<sup>®</sup>Cydia),  
1118 *Epiphyas postvittana* (SPLAT<sup>®</sup>LBAM), *Lymantria dispar* (SPLAT<sup>®</sup>GM), *Tuta absoluta*  
1119 (SPLAT<sup>®</sup>Tuta), *Pectinophora gossypiella* (SPLAT<sup>®</sup>PBW). This type of emulsions has been  
1120 also evaluated in the field of hematophagous vectors of disease, such as mosquitoes larvae  
1121 (SPLAT<sup>®</sup>BAC) and *Ixodes scapularis* (SPLAT<sup>®</sup>TK) [4].

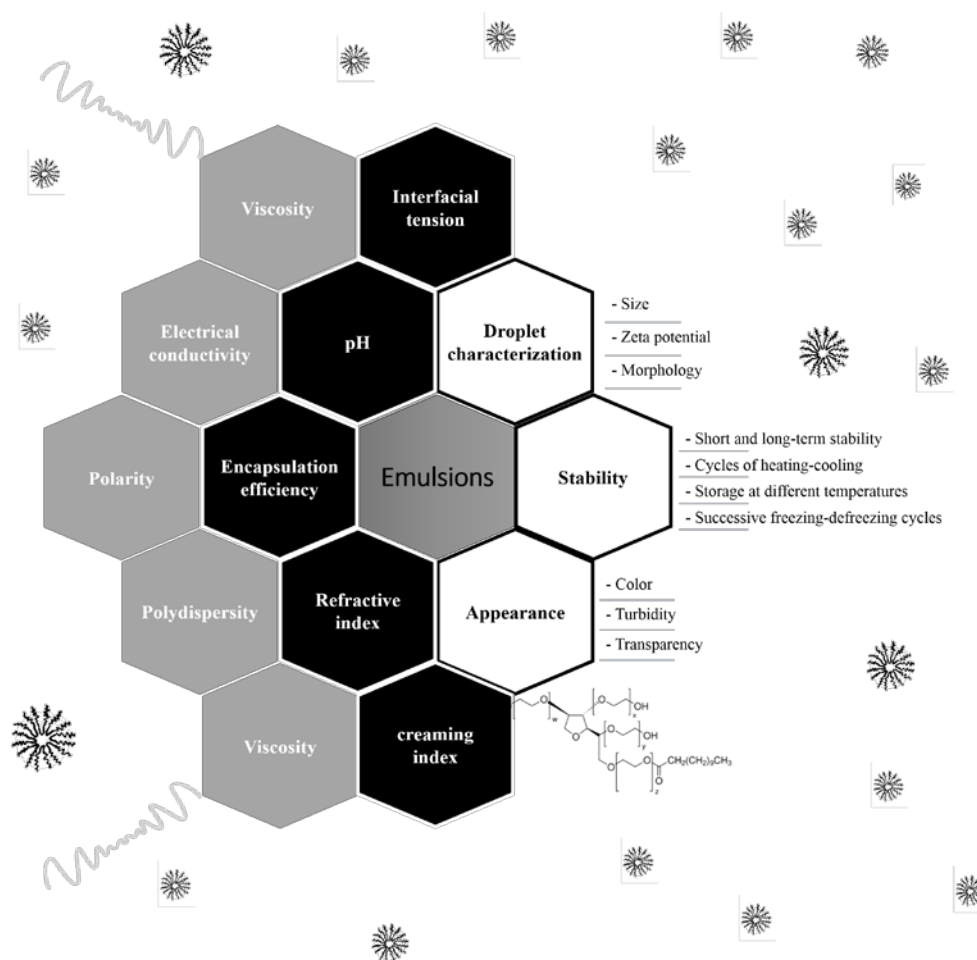
1122

## 1123 **6. Concluding remarks**

1124 This work explores the potential application of nanoformulations containing EOS, EOCs or  
1125 SEs as an effective tool for management of insect pest and pathogens, with their control being  
1126 essential for the prevention of diseases. In particular, the use of oil in water  
1127 nano/microemulsions presents a very central role in the fabrication of efficient formulations  
1128 because it enables the dispersion of poor-water soluble substances, such as EOS, EOCs or  
1129 SEs, in an aqueous media, protecting them simultaneously of the detrimental effects  
1130 associated with their exposure to the environment. Therefore, the inclusion of EOS, EOCs or  
1131 SEs within the oil phase of nano/microemulsions has become a simple and low cost strategy  
1132 for taking advantage of the biological activity of these molecules.

1133 The current widespread of the use of nano/microemulsions for taking advantage of the  
1134 bioactivity of molecules can be considered the result of different aspects: (i) provide a suitable  
1135 encapsulation platform for molecules with poor physico-chemical stability; (ii) can be used in  
1136 the design of delivery systems for controlled and sustained release of molecules; (iii) allow a  
1137 quick interaction of the molecules with the target sites due to the small size of the droplets;  
1138 (iv) limited creaming preventing destabilization processes (creaming or sedimentation, and  
1139 (v) their stability and properties depends on both the preparation process and the ingredients.

1140 This review has evidenced that nano/microemulsions containing EOs, EOCs or SEs are very  
1141 promising tools for pest and pathogen control. However, there are important drawbacks  
1142 associated with their use. One of the most important drawback for the nano/microemulsion  
1143 application is related to the use of surfactants for their stabilization. These surfactants are  
1144 classified as potentially hazardous and toxic substance for non-target organism and  
1145 environment. This makes the use of nanoemulsions preferable to that of microemulsions as  
1146 result of the lower concentration of surfactant required for obtaining the former dispersions,  
1147 which results in a better toxicological profile. Furthermore, the potential impact of size and  
1148 surface area on the biokinetics behavior and properties of the active compounds is an  
1149 important limitation to the regulatory approval of formulations based in nano/microemulsions.  
1150 Despite the above mentioned drawbacks, the efficacy of nano- and microemulsions containing  
1151 EOS, EOCs or SEs against insect pest and pathogens makes necessary further research efforts  
1152 for the development of commercial products taking advantage of the current know how.  
1153 Therefore, it is necessary to close the gap between the laboratory assays and the real field  
1154 applications, and it is here where the use of a physico-chemical approach based on the current  
1155 advances of the colloid and interface science can help towards the design of commercial  
1156 products based on nano/microemulsions containing EOS, EOCs or SEs. This requires a  
1157 careful examination of the correlations existing between the bioactivity of the  
1158 nanoformulations and their physico-chemical properties (see Figure 4 for a summary of some  
1159 important physico-chemical aspects with importance on the preparation of dispersions for  
1160 insect pest and pathogen control) to exploit the whole potential of these systems for control  
1161 the proliferation of insect pest and pathogens, reducing the propagation of diseases. This  
1162 review has tried to present a comprehensive discussion of the most recent advances on the use  
1163 of nano/microemulsions containing EOS, EOCs or SEs trying to provide a physico-chemical  
1164 description of the principles underlying their stabilization, which can be used for the  
1165 development of new nanoformulations of EOS, EOCs or SEs with real field applications.



1167

1168 Figure 4. Summary of some physico-chemical aspects with importance in the design of  
 1169 formulations for insect pest and pathogen control.

1170

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