# Multiproxy analysis exploring patterns of diet and disease in dental calculus and skeletal remains from a 19th century Dutch population

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#### Abstract

Dental calculus is an excellent source of information on the dietary patterns of past popula-8 tions, including consumption of plant-based items. The detection of plant-derived residues such as alkaloids and their metabolites in dental calculus provides direct evidence of consumption by 10 individuals within a population. We conducted a study on 41 individuals from Middenbeemster, 11 a 19th century rural Dutch archaeological site. Skeletal and dental analysis was performed to 12 explore potential relationships between pathological conditions/lesions and the presence of alka-13 loids. We also explored other factors potentially affecting the detection of alkaloids, including 14 sample weight and skeletal preservation. Dental calculus was sampled and analysed using ultra-15 high-performance liquid chromatography-tandem mass spectrometry (UHPLC-ESI-MS/MS). We 16 were able to detect nicotine, cotinine, caffeine, theophylline, and salicylic acid. By detecting these 17 compounds we are able to show the consumption of tea and coffee and smoking of tobacco on 18 an individual scale, which is also confirmed by historic documentation and identification of pipe 19 notches in the dentition. Nicotine and/or cotinine was present in 60% of individuals with at 20 least one visible pipe notch. We find some influence of skeletal preservation on the detection of 21 alkaloids and salicylic acid, with higher quantities of compounds extracted from well-preserved 22 individuals, and also observe a relationship between weight of the calculus sample and raw quan-23 tity of the detected compounds, and we were able to detect alkaloids in samples as small as 24 2 mg. We found correlations between chronic maxillary sinusitis and the presence of multiple 25 alkaloids. We show that there are many limitations that will need to be addressed going forward 26 with this type of analysis, and stress the need for more systematic research on the consumption 27 of alkaloid-containing items and their subsequent concentration and preservation in dental calcu-28 lus, in addition to how mode of consumption may affect concentrations on different parts of the 29 dentition. Despite the limitations, this preliminary study illustrates the many benefits of using 30 calculus to target a variety of compounds that could have been ingested as medicine or diet, or 31 consumed in a different manner. This method allows us to directly address specific individuals, 32 which can be especially useful in individuals that are not always well-documented in historic 33 documentation, such as rural populations, children and women. 34

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## 40 Introduction

<sup>41</sup> Dental calculus has proven to be an excellent source of a wide variety of information about our <sup>42</sup> past. The increased accessibility and advancement of methods in aDNA, paleoproteomics, and mass <sup>43</sup> spectrometry, has expanded our ability to identify biomarkers of diet and disease on an increasingly

large scale (Gismondi et al., 2020; Velsko et al., 2017; Warinner et al., 2014).

One such collection of biomarkers is alkaloids, a plant-derived group of compounds. Many alkaloids 45 have important medicinal and psychoactive effects in humans, and their direct detection, or detection 46 of their metabolites, is of great interest to archaeologists. Previous studies have successfully recovered 47 alkaloids in archaeological contexts, including ceramics (Smith et al., 2018), pipes (Rafferty et al., 48 2012), human hair (Echeverría & Niemeyer, 2013; Ogalde et al., 2009), and even dental calculus 49 employing both targeted (Eerkens et al., 2018) and untargeted approaches (Buckley et al., 2014; 50 Gismondi et al., 2020). Especially nicotine, the principal alkaloid in tobacco leaves, has been widely 51 studied in the archaeological record due to its apparent stability and ability to survive over long 52 periods of time (Eerkens et al., 2018; Rafferty et al., 2012; Tushingham et al., 2013). 53

Alkaloids may enter the oral cavity via two pathways: (1) direct incorporation through oral con-54 sumption of alkaloid-containing plants, whether deliberate or accidental; and (2) passive diffusion as 55 alkaloids and other compounds are transferred from plasma to saliva, and then into the oral cavity 56 through the salivary glands in the hours to days following consumption (Cone & Huestis, 2007). The 57 relation to plasma is why there is often a close correlation between presence (not concentration) of 58 drugs in oral fluid and blood (Cone & Huestis, 2007; Milman et al., 2011; Wille et al., 2009). The 59 second pathway allows the identification of parent compounds that are not consumed orally, as long 60 as they, or their metabolites, are excreted through saliva. . 61

Many of the components involved in the formation and growth of dental calculus originate from 62 oral fluid. Proteins, bacteria, salts and other compounds are transferred from saliva to biofilms on 63 the tooth surface (Jin & Yip, 2002; White, 1997). This may also allow various alkaloids of dietary 64 and medicinal origin to become incorporated in dental plaque. Dental plaque undergoes frequent 65 mineralisation events, ultimately causing the entrapped alkaloids and their metabolites to become 66 preserved within the dental calculus. Barring intentional or accidental removal of the calculus during 67 life, burial, excavation, and post-excavation cleaning, the alkaloids can then be detected by various 68 methods to show a record of consumption during life. 69

In this study we use a ultra-high-performance liquid chromatography-tandem mass spectrometry 70 (UHPLC-MS/MS) method that was developed in a previous study on dental calculus from cadavers 71 and validated by comparing the results to compounds detected in the blood of the same individuals 72 (Sørensen et al., 2021). All compounds that were detected in the blood were also detected in dental 73 calculus, with additional compounds present in dental calculus that were not present in blood, sug-74 gesting that dental calculus represents a comprehensive history of consumption over a long period 75 of time (Sørensen et al., 2021). We were able to detect both parent compounds and metabolites, 76 77 including caffeine, nicotine, theophylline, and cotinine, in the dental calculus of individuals from a 19th century Dutch population from Middenbeemster. By detecting these compounds we are able to 78 show the consumption of tea and coffee and smoking of tobacco on an individual scale, which is also 79 confirmed by historic documentation and identification of pipe notches in the dentition. 80

#### 81 Materials

<sup>82</sup> The sample consists of 41 individuals from Middenbeemster, a 19th century rural Dutch site. The

village of Middenbeemster and the surrounding Beemsterpolder was established in the beginning

- of the 17th century, when the Beemster lake was drained to create more farmland, mainly for the
- cultivation of cole seeds (de Vries 1978). In 1615, a decision was made to build a church, and con-

struction started in 1618 (Hakvoort 2013). The excavated cemetery is associated with the Keyserkerk
church, where the inhabitants of the Middenbeemster village and the surrounding Beemsterpolder
were buried between AD 1615 and 1866 (Lemmers et al., 2013). Archival documents are available for
those buried between AD 1829 and 1866, when the majority of individuals were interred (Palmer et al., 2016). The main occupation of the inhabitants was dairy farming, consisting largely of manual

<sup>91</sup> labour prior to the industrial revolution (Aten et al., 2012; Palmer et al., 2016).

To reduce the number of potentially confounding factors to account for in the analysis, we preferentially selected males from the middle adult age category (35-49 years). The sample consists of 27 males, 11 probable males, 2 probable females, and 1 female (Figure 1). We selected males due to a higher occurrence of pipe notches and dental calculus deposits than females (unpublished observation).



Figure 1: Overview of sample demography. Left plot is the first batch and right plot is the replication batch with 29 of the individuals from the first batch. eya = early young adult (18-24 years); lya = late young adult (25-34 years); ma = middle adult (35-49 years); old = old adult (50+ years). Male? = probable male; Female? = probable female.

# 97 Methods

#### 98 Skeletal analysis

<sup>99</sup> Demographic and pathological analyses were conducted in the Laboratory for Human Osteoarchaeol-<sup>100</sup> ogy at Leiden University. Sex was estimated using cranial and pelvic morphological traits (Buikstra <sup>101</sup> & Ubelaker, 1994). Age-at-death was estimated using dental wear, auricular and pubic surface <sup>102</sup> appearance, cranial suture closure, and epiphyseal fusion (Brooks & Suchey, 1990; Buckberry & <sup>103</sup> Chamberlain, 2002; Buikstra & Ubelaker, 1994; Lovejoy et al., 1985; Meindl & Lovejoy, 1985), and <sup>104</sup> divided into the following categories: early young adult (18-24 years), late young adult (25-34 years), <sup>105</sup> middle adult (35-49 years), old adult (50+ years).

#### Paleopathology 106

Pathological conditions and lesions that occur frequently in the population were included in the 107 analysis. Data were dichotomised to presence/absence to allow statistical analysis. Osteoarthritis 108 was considered present in cases where eburnation was visible on one or more joint surfaces. Vertebral 109 osteophytosis is identified by marginal lipping and/or osteophyte formation on the margin of the 110 superior and inferior surfaces of the vertebral body. Cribra orbitalia was diagnosed based on the 111 presence of pitting on the superior surface of the orbit. No distinction was made between active or 112 healing lesions. Degenerative disc disease, or spondylosis, is identified as a large diffuse depression 113 of the superior and/or inferior surfaces of the vertebral body (Rogers, 2000). Schmorl's nodes are 114 identified as any cortical depressions on the surface of the vertebral body. Data on chronic maxillary 115 sinusitis from Casna et al. (2021) were included in this study to assess the relationship between upper 116 respiratory diseases with environmental factors (i.e. tobacco smoke, caffeine consumption). Lesions 117 associated with chronic maxillary sinusitis as defined by Boocock et al. (1995) were recorded for 118 each individual and classified as "pitting", "spicule-type bone formation", "remodeled spicules", or 119 "white pitted bone". chronic maxillary sinusitis was scored as absent when the sinus presented smooth 120 surfaces with little or no associated pitting. 121

#### Dental pathology 122

Caries ratios were calculated by dividing the number of lesions by the number of teeth scored, resulting 123 in a single caries ratio per individual. If the surface where the lesion originated is not visible, i.e. if 124 the lesion covered multiple surfaces, this was scored as "crown". Calculus indices were calculated 125 according to Greene and colleagues (2005). Calculus was scored with a four-stage scoring system 126 (0-3) to score absent, slight, moderate, and heavy calculus deposits (Brothwell, 1981) on the lingual, 127 buccal (and labial), and interproximal surfaces of each tooth. Only one score was used for the 128 combined interproximal surfaces, resulting in three scores per tooth (when surfaces are intact), and 129 four calculus indices per individual; upper anterior, upper posterior, lower anterior, lower posterior. 130 Each index was calculated by dividing the sum of calculus scores for each surface by the total number 131 of surfaces scored in each quadrant. If a tooth could not be scored on all three surfaces, the tooth 132 was not included (Greene et al., 2005). Periodontitis was scored on a visual four-stage (0-3) scoring 133 system according to distance from cemento-enamel junction of each tooth to alveolar bone (Maat & 134 Mastwijk, 2005). 135

#### Calculus sampling 136

Where possible, we used material that had already been sampled for a previous study to prevent 137 unnecessary repeated sampling of individuals. Calculus from the previous study was sampled in a 138 dedicated ancient DNA laboratory at the Laboratories of Molecular Anthropology and Microbiome 139 Research in Norman, Oklahoma, U.S.A, using established ancient DNA protocols. More details 140 on the methods can be found in the published articles (Ziesemer et al., 2015, 2018). Of the 41 141 individuals that were originally included in our sample, 29 were replicated in a separate analysis only 142 using calculus from the previous study. 143

New dental calculus samples were taken under sterile conditions in a positive pressure laminar flow 144 hood in a dedicated dental calculus lab at Leiden University. The surface of the tooth was lightly 145 brushed with a sterile, disposable toothbrush to get rid of surface contaminants. A sterile dental 146 curette was then used to scrape calculus from the tooth onto weighing paper, which was transferred 147 to 1.5 ml Eppendorf tubes. All calculus samples were sent to the Department of Forensic Medicine 148 at Aarhus University for ultra-high-performance liquid chromatography-tandem mass spectrometry 149

(UHPLC-MS/MS) analysis. 150

#### 151 UHPLC-MS/MS

The list of targeted compounds included both naturally occurring compounds known to have been used in the past, as well as synthetic modern drugs that did not exist at the time (e.g. Fentanyl, MDMA, Amphetamine). These were part of the toxicology screening for the original method (Sørensen et al., 2021), developed on cadavers. In our study they serve as an authentication step, as their presence in archaeological samples could only be the result of contamination.

Briefly, samples of dental calculus were washed three times each with one mL of methanol (MeOH), 157 to remove surface contaminants. The wash solutions were collected separately. The solvent was 158 evaporated and the residues were dissolved in 50 µL 30% MeOH. The washed calculus was homoge-159 nized in presence of 0.5 M citric acid using a lysing tube with stainless steel beads. Following one 160 hour of incubation the dissolution extract was cleaned by weak and strong cation-exchange. After 161 evaporation of the elution solvent the residue was dissolved in 50 µL 30% MeOH. The final extracts 162 obtained from washing and dissolution of the dental calculus were analysed by UHPLC-MS/MS us-163 ing a reversed-phase biphenyl column for chromatography. To obtain quantitative results, isotope 164 dilution was applied. For more details about the method and validation, see the original study by 165 Sørensen and colleagues (2021). 166

#### <sup>167</sup> Statistical analysis

All compounds and pathological conditions/lesions were converted to a presence/absence score. Pear-168 son product-moment correlation was applied to the dichotomised pathological lesions (point-biserial 169 correlation), compound concentrations, calculus indices, and caries ratios to explore relationships 170 paired continuous-continuous variables and paired continuous-binary variables. Compound concen-171 trations were then dichotomised to presence/absence, and the caries ratio and calculus index for each 172 individual were converted to an ordinal score from 0 to 4 by using quartiles. Polychoric correlation 173 was applied to the paired dichotomous variables and dichotomous-ordinal variables. 174 All statistical analysis was conducted in R version 4.2.2 Patched (2022-11-10 r83330), Innocent and 175

<sup>175</sup> Trusting, (R Core Team, 2020). Data wrangling was conducted with the **tidyverse** (Hadley Wick-<sup>176</sup> ham et al., 2019) and visualisations were created using **ggplot2** (H. Wickham, 2016). Polychoric <sup>178</sup> correlations were calculated with the **psych** package (Revelle, 2022).

#### 179 **Results**

<sup>180</sup> Multiple compounds were detected in the dental calculus samples. Compounds detected at a lower <sup>181</sup> concentration than the lower limit of quantitation (LLOQ) were considered not present. Not all the <sup>182</sup> compounds detected in the first batch could be replicated in the second batch (Table 1). For a full <sup>183</sup> list of targeted compounds, see Supplementary Material.

Table 1: Target compound including whether it was detected (TRUE) or not (FALSE) in each batch, as well as the lower limit of quantitation (LLOQ) in ng. CBD = cannabidiol; CBN = cannabinol; THC = tetrahydrocannabinol; THCA-A = tetrahydrocannabinolic acid A; THCVA = tetrahydrocannabivarin acid.

Compound	Batch 1	Batch $2$	LLOQ
CBD	TRUE	FALSE	0.050
CBN	TRUE	FALSE	0.050
Caffeine	TRUE	TRUE	0.050
Cocaine	TRUE	FALSE	0.025
Cotinine	TRUE	TRUE	0.050

Compound	Batch 1	Batch 2	LLOQ
Nicotine	TRUE	TRUE	0.100
Salicylic acid	TRUE	TRUE	0.500
THC	TRUE	FALSE	0.100
THCA-A	TRUE	FALSE	0.025
THCVA	TRUE	FALSE	0.010
Theophylline	TRUE	TRUE	0.010

The pattern we expect to see in authentic compounds representing compounds trapped within the dental calculus, is a reduction in the quantity from wash 1 to wash 3 as potential surface contaminants are washed off, and then a spike in the final extraction when entrapped compounds are released and detected.

<sup>188</sup> Most plots show a large increase in extracted mass of a compound between the calculus wash extracts <sup>189</sup> (wash 1-3) and the dissolved calculus (calc). Most samples containing theophylline and caffeine had <sup>190</sup> the largest quantity of the compound extracted from the first wash, then decreasing in washes 2 <sup>191</sup> and 3. There is an increase between wash 3 and the dissolved calculus in all samples. The patterns <sup>192</sup> are consistent across batches 1 and 2. Nicotine and cotinine have the same relative quantities in <sup>193</sup> the samples, i.e., the sample with the highest extracted quantity of nicotine also had the highest <sup>194</sup> extracted quantity of cotinine Figure 2.

<sup>195</sup> To see if preservation of the skeletal remains had any effect on the detection of compounds, we compare <sup>196</sup> extracted quantities of compounds to the various levels of skeletal preservation. Our results from <sup>197</sup> batch 2 suggest that detection of a compound may be linked to the preservation of the skeleton, with <sup>198</sup> better preservation leading to increased extraction quantity (Figure 3A). We also find a weak positive <sup>199</sup> correlation between the weight of the calculus sample and the quantity of compound extracted from <sup>200</sup> the calculus (Figure 3B).

The presence of pipe notch(es) in an individual and concurrent detection of nicotine and/or cotinine is used as a crude indicator of the accuracy of the method. Only males were used in accuracy calculations, as pipe notches are ubiquitous in males, but not in females. In batch 2, the method was able to detect some form of tobacco in 14 of 25 individuals with a pipe notch (56.0%). When also considering correct the absence of a tobacco alkaloid together with the absence of a pipe notch, the accuracy of the method is 59.3%. Accuracy in the old adult age category is 100.0%, but with only 2 individuals.

One individual—an old adult, probable female—was positive for both nicotine and cotinine, and had no signs of a pipe notch.

#### 210 Correlations between detected alkaloids and diseases

For further statistical analyses, only the UHPLC-MS/MS results from batch 2 were used, as batch 1 had multiple compounds that were not detected in batch 2 and may have been contaminated.



Figure 2: (A) Number of samples in which each compound was detected in the first and second batch. (B) Quantity (ng) of each compound extracted from each sample in batch 2. The plot displays the extracted quantity across the three washes and final calculus extraction (calc). Each coloured line represents a different calculus sample. CBD = cannabidiol; CBN = cannabinol; THC = tetrahydro-cannabinol; THCA-A = tetrahydrocannabinolic acid A; THCVA = tetrahydrocannabivarin acid.



Figure 3: (A) Violin plot with overlaid box plots depicting the distribution of extracted quantities of each compound from batch 2 separated by state of preservation of the skeleton. (B) Extracted quantity (ng) of compound plotted against weights of the calculus samples from batch 2.

Table 2: Pearson correlation (r) on dichotomous skeletal lesions and compound concentrations (ng/mg) from the second batch. Correlations between pairs of dichotomous variables are removed due to incompatibility with a Pearson correlation. OA = osteoarthritis; VOP = vertebral osteophytosis; SN = Schmorl's nodes; DDD = degenerative disc disease; CO = cribra orbitalia; CMS = chronic maxillary sinusitis; SA = salicylic acid; PN = pipe notches.

	Caries	Nicotine	SA	Calculus	$_{\rm PN}$	Theophylline	Caffeine	Cotinine
OA	-0.19	-0.074	0.21	0.07	0.14	0.28	0.00098	-0.067
VOP	-0.061	-0.16	0.34	0.061	0.25	-0.06	0.013	-0.13
SN	-0.22	0.16	0.095	0.089	0.17	0.24	0.16	0.093
DDD	0.032	0.0037	0.19	-0.39	-0.077	0.31	0.06	-0.0086
CO	0.14	-0.051	0.2	0.14	-0.2	-0.11	0.19	-0.065
CMS	-0.18	0.28	0.0017	-0.27	0.032	0.19	0.36	0.22
Caries		-0.13	-0.27	-0.19	-0.037	-0.16	0.079	-0.16
Nicotine			-0.21	0.01	-0.014	0.43	0.14	0.98
SA				0.14	0.37	0.038	0.17	-0.17
Calculus					0.13	-0.15	-0.13	0.031
PN						-0.16	0.18	-0.0068
Theophylline							0.51	0.36
Caffeine								0.078

Point-biserial correlation was conducted on paired continuous and dichotomous variables, to see if

<sup>214</sup> any relationships exist between extracted concentrations and other variables. The strongest point-

<sup>215</sup> biserial (Pearson) correlation correlations were a near-perfect positive correlation between cotinine

and nicotine (0.982), and moderate correlations between the ophylline and nicotine (0.432), caffeine

and the phylline (0.507) (Table 2).

Polychoric correlation was conducted on the dichotomised compounds and pathological conditions, as 218 well as the discretised dental diseases. Salicylic acid was removed due to its ubiquitous presence in the 219 sample, and is likely to cause spurious correlations. Strong correlations were found between cotinine 220 and nicotine (0.851). Moderate correlations were found between OA and DDD (0.484), VOP and 221 periodontitis (0.491), SN and cotinine (0.558), DDD and calculus (-0.421), CMS and caffeine (0.528), 222 caries and periodontitis (0.486), caries and theophylline (-0.491), periodontitis and caries (0.486). 223 periodontitis and caffeine (0.515), nicotine and CMS (0.496), calculus and caries (0.502), age-at-death 224 and the ophylline (-0.447), the ophylline and age-at-death (-0.447), caffeine and periodontitis (0.515). 225 cotinine and CMS (0.425). Remaining correlations were weak or absent (Figure 4). Correlations with 226 age will be depressed because age was largely controlled for in the sample selection. 227

#### 228 Discussion

In this study we were able to extract and identify multiple alkaloids and salicylic acid from the den-229 tal calculus of individuals from Middenbeemster, a 19th century Dutch archaeological site. We ap-230 plied ultra-high-performance liquid chromatography-tandem mass spectrometry (UHPLC-MS/MS), 231 a method that was validated by co-occurrence of drugs and metabolites in dental calculus and blood 232 (Sørensen et al., 2021). Here we have shown that the method can also be successfully applied to ar-233 chaeological dental calculus. We extend findings from previous studies on alkaloids in archaeological 234 samples by extracting multiple different alkaloids from dental calculus, including nicotine, cotinine. 235 caffeine, theophylline, and salicylic acid in multiple individuals. The detection of these compounds 236 was solidified in a replication analysis on different samples from the same individuals. Cocaine and 237 multiple cannabinoids were also detected during the first analysis, but were not replicated. We 238



Figure 4: Plot of the polychoric correlations (*rho*). Larger circles and increased opacity indicates a stronger correlation coefficient. OA = osteoarthritis; VOP = vertebral osteophytosis; SN = Schmorl's nodes; DDD = degenerative disc disease; CO = cribra orbitalia; CMS = chronic maxillary sinusitis; SA = salicylic acid.

discuss the implications of these findings in light of historical and archaeological evidence for the consumption of these drugs.

Nicotine and its principal/main metabolite, cotinine, were strongly positively correlated, both in 241 concentration and presence/absence in individuals (Table 2 and Figure 4). The detection of nicotine 242 and cotinine is not surprising, as pipe-smoking in the Beemsterpolder is well-documented in the 243 literature (Aten et al., 2012; Bouman, 2017), and visible on the skeletal remains as pipe notches 244 (Lemmers et al., 2013). There is also documented medicinal use of nicotine in the Beemsterpolder, 245 where a tobacco-smoke enema was used for headaches, respiratory problems, colds, and drowsiness 246 from around 1780 to 1830 (Aten et al., 2012). In our sample, we also detected nicotine and cotinine 247 (replicated) in an old adult, probable female individual. In this particular case it is unlikely that the 248 compounds entered the dental calculus through pipe-smoking, as the individual had no visible pipe 249 notches; more likely the tobacco entered through an alternate mode of consumption, secondhand 250 smoke, or the aforementioned tobacco-smoke enema. 251

Theophylline and caffeine were positively correlated in our samples, though to a lesser extent than 252 nicotine and cotinine, so we are unable to determine if they originated from the same source (Table 2 253 and Figure 4). Caffeine and theophylline have very similar chemical structures, so we expect they 254 would experience similar rates of incorporation and degradation, allowing us to interpret the ratio 255 and correlations between the compounds. Caffeine is present in coffee, tea, and cocoa beans, with 256 concentrations slightly higher in coffee (Bispo et al., 2002; Chin et al., 2008; Srdjenovic et al., 2008; 257 Stavric et al., 1988). Theophylline is present in both coffee beans and tea leaves, but in negligible 258 quantities (Stavric et al., 1988). It is also a primary metabolite of caffeine produced by the liver. 259 Given the low correlation, there are likely multiple sources of caffeine and theophylline in the popu-260 lation, with tea and coffee being the most obvious. 261

Tea consumption had become widespread in the Netherlands by 1820, reaching all parts of society (Nierstrasz, 2015, p. 91). Historically, we also know that both tea and coffee were consumed in the 263 Beemsterpolder during the 19th century. 'Theegasten' (teatime) was a special occasion occurring 264 from 15.00-20.00 hours, where tea was served along with the evening bread (Schuijtemaker, 2011). 265 Many households also owned at least one coffee pot and tea pot (Bouman, 2017). Distinguishing 266 between tea, coffee, and chocolate may be possible by also including the bromine and comparing 267 ratios of the compounds, as theobromine is present in higher quantities in chocolate compared to 268 caffeine and theophylline (Alañón et al., 2016; Bispo et al., 2002; Stavric et al., 1988). However, 269 In addition to oral factors affecting alkaloid uptake in dental calculus, there is some indication that 270 theobromine does not preserve well in the archaeological record (Velsko et al., 2017), and frequent 271 consumption of all three items would be difficult to parse. 272

Salicylic acid was found in all but one individual in our sample. It can be extracted from the bark 273 of willow trees, Salix alba, and has long been used for its pain-relieving properties (Bruinsma, 1872. 274 p. 119). It is also present in many plant-based foods (Duthie & Wood, 2011; Malakar et al., 2017), 275 including potatoes, which were a staple of the Beemsterpolder diet (Aten et al., 2012). The extracted 276 quantity from our samples decreased over the three washes, followed by a sharp increase in the final 277 calculus extraction, which is what we would expect to see if the salicylic acid was incorporated during 278 life Figure 2. However, it has been shown that salicily acid is a very mobile organic acid and the 279 ubiquitous presence may be due to environmental contamination, which would also explain the high 280 quantity in the washes (Badri & Vivanco, 2009; Chen et al., 2001). Given the multiple plausible 281 sources of this residue, it will be necessary to explore the extent to which salicylic acid can leach into 282 the dental calculus from the soil, and what the rate of degradation is for salicylic acid when trapped 283 in dental calculus. 284

Cannabinoids—specifically THC, THCA-A, THCVA, CBD, CBN—were found in the first batch, but
 none were replicated in the second batch. Medicinal use of cannabinoids has been well-established
 in Europe since Medieval-times, and it was also grown in the Netherlands (Bruinsma, 1872). Admin-

istration was most common in the form of concoctions containing various portions of the cannabis 288 plant for ingestion; not until the late 19th century did it become recommended to smoke it for more 289 immediate effects (Clarke, 2013). A Dutch medicinal use of hemp involved an emulsion prepared 290 from the seeds of the plants to treat pain and various stomach ailments. Another preparation in-291 volving the roots of the plants was used for inflammation, gout, and joint pains (Clarke, 2013). The 292 ability to detect cannabinoids in calculus may be limited by their reduced ability to diffuse from 293 serum to salivary glands due to an affinity for protein-binding, (Cone & Huestis, 2007), meaning 294 detection would rely on oral consumption. Even then, the overall instability of some cannabinoids 295 could also affect detection (Lindholst, 2010; Sørensen & Hasselstrøm, 2018). However, given the lack 296 of replication, we cannot with security confirm that cannabis was used by the Beemster population. 297

Despite many of our sampled individuals having lived during the height of the opium era in the 298 Netherlands (Macht, 1915), none of the targeted opioids (morphine, codeine, thebaine, papaverine. 299 norcodeine, noscapine) were detected. The absence of opioids could be a result of the people ascribing 300 more to the "traditional" rather than "scientific" medicine, although laudanum and another opium 301 containing concoction was part of the "traditional" medicine in the Netherlands (Leuw & Marshall, 302 1994), including Middenbeemster (Aten et al., 2012). It was also generally considered a drug of the 303 upper class (Scheltema, 1907), and may have been more common in urban centers. The absence 304 could also be attributed to postmortem degradation. It has been shown that, while abundant in 305 opium, morphine degrades rapidly, while thebaine and papaverine are more resistant to various 306 ageing processes (Chovanec et al., 2012). The latter were also absent from our samples. 307

The only strictly modern compound (at least in a European context) detected in the sample was 308 cocaine, which was detected in the first batch of samples. Our sample is derived from an early-mid 309 19th century population, and cocaine was isolated in 1860 by Albert Niemann, and entered popular 310 medical practice in 1884. Coca arrived in Europe as early as 1771, but as botanical specimens rather 311 than for consumption, and there were also issues importing enough viable specimens of coca for 312 cocaine extraction (Abduca, 2019, p. 108; Mortimer, 1901, p. 179). We considered it possible that it 313 would be present in a sample with most individuals originating from the early- to mid-19th century. 314 If corroborated, this would have been the first case of coca-leaf-consumption in Europe. In our 315 replication batch, we included all of the individuals who had been cocaine-positive in the first batch. 316 We were unable to replicate any of the cocaine results, and we were unable to detect the principal 317 metabolite, benzoylecgonine, in either batch. We suspect that the original detection of cocaine was 318 a result of lab contamination during analysis. 319

We explored the relationship between detected compounds and various skeletal indicators, such as pathological and dental lesions, preservation, and pipe notches. We found some evidence to suggest that preservation of the skeleton influences the recovery of compounds from the dental calculus, with well-preserved skeletons potentially serving as a better target for sampling.

well-preserved skeletons potentially serving as a better target for sampling. We found a positive correlation between CMS and nicotine, which may be indicative of the impact

tobacco smoking had on the respiratory health of the Beemster inhabitants. Tobacco smoke may play a significant role in diseases of the upper respiratory tract, including chronic maxillary sinusities (Reh et al., 2012). Although the mechanisms by which smoking increases the risk of infections is not fully understood, solid evidence has been presented linking tobacco smoke to increased mucosal permeability and impairment of mucociliary clearance (Arcavi & Benowitz, 2004). Such changes, together with an altered immunologic response, are thought to predispose to the development of chronic maxillary sinusitis (Slavin et al., 2005).

<sup>332</sup> We also observed a moderate positive correlation between chronic maxillary sinusitis and caffeine

which contradicts previous research linking chronic coffee consumption with a positive effect on the

respiratory system, suggesting a preventive association between caffeine intake and pneumonia (e.g.

Alfaro et al., 2018; Kondo et al., 2021). However, while the lower respiratory tract seems to benefit from chronic coffee consumption, it is possible that elevated caffeine intake impacts mucosal moisture

<sup>336</sup> from chronic coffee consumption, it is possible that elevated caffeine intake impacts mucosal moisture

<sup>337</sup> due to its dehydrating effect (Maughan & Griffin, 2003), thereby exposing individuals to greater risk <sup>338</sup> of sinus infection.

The detection of nicotine in dental calculus has previously been presented by Eerkens and colleagues 339 (2018) in two individuals from pre-contact California. They also targeted caffeine, cotinine, and 340 theophylline in their samples, but were unable to detect any of them. It remains to be seen whether 341 this is due to differences in methods used, or due to our samples being more recent. They also 342 suggest that the choice of tooth for sampling may impact the detection of certain compounds, as 343 the incorporation in dental calculus may depend on the mode of consumption. Tobacco smokers 344 may have more nicotine present in calculus on incisors, whereas tobacco chewers may have more 345 on molars (Eerkens et al., 2018). However, sampling may not be limited to mode of consumption. 346 The presence of cotinine suggests that the excretion of a compound after being metabolised in the 347 body is also a source of deposition, and that deposition of alkaloids in dental calculus can occur 348 both on the way into the body, i.e. during consumption, and on the way out, i.e. disposal of waste 349 products via saliva secretion into the mouth. Especially mucin-rich saliva from the sublingual and 350 submandibular glands preferentially binds toxins (Dodds et al., 2005), and since these glands are 351 located closest to the lower incisors, they may be the most effective target for these studies. This has 352 yet to be systematically tested in archaeological dental calculus. Because we homogenised samples 353 from multiple teeth of an individual, we were unable to test the effect of oral biogeography. It 354 is also possible that resident microflora within biofilms contribute to alkaloid breakdown and that 355 the presence of caffeine and nicotine metabolites following direct ingestion can be explained by this 356 pathway. However, the literature on biofilm biodegradation of alkaloids is limited, and in vitro studies 357 have only found minimal contributions by certain oral bacteria in isolation (Cogo et al., 2008; Sun et 358 al., 2016); it is possible that a larger role is played by oral bacteria within larger, more metabolically 359 active communities, e.g. biofilms (Takahashi, 2015). 360

Because we targeted individuals with moderate-to-large calculus deposits, it is likely a biased sam-361 ple. The presence of calculus may increase the risk of premature death (Yaussy & DeWitte, 2019), 362 and periodontal disease (which may or may not be associated with dental calculus build-up) is a 363 risk-factor for respiratory diseases, if periodontal and respiratory pathogens enter the bloodstream 364 (Azarpazhooh & Leake, 2006; Scannapieco, 1999; Scannapieco & Ho, 2001). In our sample, the per-365 centage of chronic maxillary sinusitis (37.0%) is lower than in another (more representative) male 366 sample (44.1%) (Casna et al., 2021), and the caries percentage is similarly lower in our sample (12.7%)367 than a more representative sample (22.9%) (Lemmers et al., 2013). 368

We used the presence/absence of a pipe notch and concurrent detection of tobacco as a crude estimate of the accuracy of the method, which we found to be around 59.3%. This is a very rough estimate, as the presence of a pipe notch is likely not a perfect indicator of whether or not someone consumed tobacco. Dental calculus is also more transient than for example bone, as it can be mechanically removed, intentionally or unintentionally, during life, eliminating all trace of the alkaloids consumed prior to its removal.

Quantitation of the detected compounds may have limited value in archaeological samples due to degradation, and will greatly affect our correlations related to concentration. Following burial, compound stability over time will play a large role, as will microbial degradation of compounds by bacteria and fungi in soil (Liu et al., 2015), as well as the soil environment, such as temperature, pH, and oxygen availability (Lindholst, 2010; Mackie et al., 2017).

The detected quantity of a compound will also depend on the quantity in dental calculus during life, which is largely controlled by quantity of consumption, how often the calculus was disrupted/removed, metabolic breakdown of the compound, and inter- and intra-individual factors related to stages of biofilm formation, maturation, and mineralisation (Lustmann et al., 1976; Velsko et al., 2019; Zijnge et al., 2010). In short, this means it is not really possible to detect the absence of a compound. The absence of a compound is not evidence of absence of consumption. This complicates the in-

terpretation of our results. We have attempted to minimise errors occurring due to this limitation

<sup>387</sup> by including a relatively large sample of individuals and replicating our analysis. Although given <sup>388</sup> the relatively low detection rate seen in tobacco, this remains a major limitation, and will likely be <sup>399</sup> compounded by increasing antiquity of the samples.

Future studies should explore how sampling from various types of teeth and their position in the mouth affects the probability of a compound becoming entrapped in dental calculus. This may also be related to properties within the oral cavity, as well as chemical properties of the compounds, which facilitate or reduce the incorporation-potential, and which incorporation pathways are more likely for a given compound. We only targeted drugs that were included in the forensic toxicological screenings, and therefore only

We only targeted drugs that were included in the forensic toxicological screenings, and therefore only covered a limited number of the potential compounds that could be of interest for exploring past diets and medicinal treatments. The list of targeted compounds can be expanded as we discover more potential targets based on which specific compounds/metabolites are more likely to be incorporated and purcound in double calculus

<sup>399</sup> and preserved in dental calculus.

<sup>400</sup> There is an increasing interest in using oral fluid as a means of detecting alkaloids in living individuals

due to the non-invasive nature of the testing compared to blood and urine sampling (Cone, 1993;

<sup>402</sup> Valen et al., 2017). These *in vivo* studies are a valuable source of method validation and can help

determine the feasibility of detecting certain alkaloids in oral fluid and, subsequently, dental calculus. Archaeologists, though, will likely be responsible for exploring dental calculus specific incorporation

Archaeologists, though, will likely be responsible for exploring dental calculus specific incorporations and retention of alkaloids, as well as their long-term preservation in the burial environment.

While a major limitation is the uncertainty surrounding whether or not a compound is actually ab-406 sent, the power of the method lies in the ability to detect dietary and other compounds that were 407 incorporated via multiple consumption pathways that are not detected by other methods. Taking 408 tobacco consumption as an example; while pipe notches are a useful way to identify tobacco consump-409 tion, pipe smoking was not the only mode of tobacco consumption, with others including chewing, 410 drinking, cigars, and snuff (Goodman, 1994, p. 67). Pipe-smoking was mainly practised by males 411 (Eerkens et al., 2018; Lemmers et al., 2013), so methods like the one presented here are suitable for 412 exploring tobacco consumption in an entire society, rather than a trivial subset of past populations. 413 Combined with other methods, it can also give us a more complete picture of dietary patterns and 414 medicinal/recreational plant-use in the past by capturing multiple possible incorporation pathways 415 of dietary (and other) compounds. 416

## 417 Conclusions

This preliminary study outlines the benefits of using calculus to target a variety of compounds that 418 could have been consumed as medicine or diet. This method allows us to directly address specific 419 individuals, which can be especially useful in individuals that are not always well-documented in 420 historic documentation, such as rural communities, children and women. We also show that there 421 are many limitations that will need to be addressed going forward with this type of analysis, and 422 stress the need for more systematic research on the consumption of alkaloid-containing items and 423 their subsequent concentration and preservation in dental calculus, in addition to how mode of 424 consumption may affect concentrations on different parts of the dentition. Another limitation of 425 dental calculus as a medium is the inter- and intra-individual variability of its formation and the 426 many factors that can influence incorporation and retention of molecules and particles; however, 427 in the absence of hair and serum (quite uncommon in archaeology), dental calculus represents an 428 impressive long-term reservoir of information regarding the consumption of various alkaloids, whether 429 dietary, medicinal, recreational, or otherwise. 430

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- 438 ("HARVEST")

## 439 Data Availability Statement

All raw data is available on Zenodo (https://doi.org/10.5281/zenodo.7648757). Analysis scripts, and the source code for the manuscript and supplementary materials are available as a research compendium (https://doi.org/10.5281/zenodo.7649825) using the structure recommended by the **rrtools** Brachers (Marriele 2010)

<sup>443</sup> R package (Marwick, 2019).

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