

12

Abstract

13 Asynchronous music has been commonly used to reduce perceived exertion and render
14 the exercise experience more pleasant. Research has indicated that in-task asynchronous
15 music can reallocate an individual's attentional focus to task-unrelated signals and
16 increase the use of dissociative thoughts. Nonetheless, the brain mechanisms that
17 underlie the purported benefits of music during exercise remain largely unknown due to
18 the severe motion-related restrictions of popular neuroimaging techniques. fNIRS
19 represents a non-invasive imaging method that is particularly suited to exercise-related
20 protocols given its high tolerance to motion artifacts. With use of fNIRS, the purpose
21 of the proposed study will be to determine the point of onset of cerebral oxygenation
22 decline during exercise and how this is influenced by the presence of asynchronuous
23 (ambient) motivational music. A continuous-wave fNIRS system will be used to record
24 the prefrontal, motor, and parietal hemodynamic responses of 24 participants who will
25 perform a cycle-ergometry exercise protocol. The objective will be to test the hypothesis
26 that brain oxygenation changes will be observed earlier when participants exercise with
27 an audiobook or in silence, when compared with exposure to asynchronous music. The
28 results will shed light on the neurophysiological mechanisms that underlie the
29 well-documented ergogenic and psychological effects of music.

30 *Keywords:* cerebral oximetry; cycling; physical activity; prefrontal activity;
31 ventilatory threshold

32 Cerebral Mechanisms Underlying the Effects of Auditory Stimuli During 33 Submaximal Exercise

34 Casual observers cannot help but notice the almost symbiotic relationship that
35 exists between music and physical activity. This relationship has been fuelled by rapid
36 development in the digital technology that underlies music delivery, such as internet
37 streaming, and a growing recognition that well-selected music can both enhance and
38 enrich the experience of physical activity (Terry et al., 2020). In the exercise domain,
39 music is used to partially block negative bodily signals from entering focal awareness,
40 enhance affective states, and provide a rhythmic cue that can serve to prolong physical
41 effort (Bigliassi et al., 2017; Karageorghis et al., 2018).

42 Any piece of music will generally entail a measured blend of three key
43 components: melody, harmony and rhythm. Melody is the tune or highest part of a
44 piece of music – the part one might instinctively hum along to. Harmony entails a
45 simultaneous combination of tones that acts to shape the “mood” expressed in the
46 music. Major harmony is considered to be “happy”, while minor harmony is considered
47 to be “sad”. There are, however, many shades in between, meaning that music can be
48 used to induce a broad spectrum of affective responses in exercisers (Karageorghis et al.,
49 2017). Rhythm concerns both the tempo of the music and the way in which it is
50 accented. It is the rhythmic component of a track that will most often elicit a bodily
51 response in the listener and engender an ergogenic effect (Clark et al., 2016).

52 In the exercise context, an ergogenic aid can be broadly defined as a technique or
53 substance used for the purpose of enhancing or prolonging performance (Thein et al.,
54 1995). Music is an oft-used ergogenic aid in the context of exercise and physical activity
55 (see Karageorghis, 2020, for a review). During an exercise task, there are two main ways
56 in which music can be applied: synchronously and asynchronously. The phenomenon
57 observed when an exerciser synchronises their movements with the rhythmical qualities
58 of music is commonly referred to as auditory-motor synchronisation (Karageorghis &
59 Terry, 1997). In recent years, two main forms of auditory-motor synchronisation have
60 been proposed: (a) *active synchronisation*, in which individuals consciously synchronise

61 their movement rate with the music tempo; and (b) *passive synchronisation*, in which
62 the music tempo is automatically adjusted to match the movement rate of the exerciser
63 (Karageorghis, 2020). The application of asynchronous or ambient music, by way of
64 contrast, does not involve synchronisation between an exerciser's movements and the
65 rhythmical qualities of a piece of music. When compared to the application of
66 synchronous music, asynchronous music takes little preparation and can be easily used
67 in a gymnasium or home-exercise setting. Thus, asynchronous music represents the
68 most widely used form of music application during individual exercise routines
69 (Karageorghis, 2020).

70 Asynchronous music has been commonly used to reduce perceived exertion and
71 render the exercise experience more pleasant (Karageorghis et al., 2017; Kawabata &
72 Chua, 2021). Collectively, studies have indicated that in-task asynchronous music can
73 reallocate an individual's attentional focus to task-unrelated signals, increase the
74 frequency of dissociative thoughts, and consequently ameliorate the effects of
75 fatigue-related symptoms (e.g., limb discomfort, increased respiration rate; Bigliassi
76 et al., 2018; Karageorghis & Priest, 2012). Jones et al. (2014) reported that even
77 high-intensity exercise performed at 5% above the first ventilatory threshold (i.e., the
78 point during exercise at which breathing becomes laboured) is rendered more pleasant
79 by the presence of asynchronous music. In the proposed study, music will be applied in
80 the asynchronous mode during a moderate-intensity exercise protocol.

81 A clutch of studies has indicated that music-induced cerebral phenomena may
82 contribute to exercise performance (for a review, see Karageorghis, 2020). Through
83 adjustments of neural dynamics, music-related interventions were found to guide
84 attention away from the unpleasant sensations caused by exercise-related tasks
85 (Bigliassi et al., 2019; Bigliassi et al., 2016). Reallocating attention outwardly during
86 exercise was associated with reduced frontal–central connectivity (Bigliassi et al., 2017)
87 and increased activation of the left inferior frontal gyrus (Bigliassi et al., 2018).
88 Furthermore, the parietal cortex was found to be implicated in the conscious awareness
89 of bodily sensations through neural inputs from thalamocortical neurones (Crossman &

90 Neary, 2014). Bigliassi (2021) proposed that some regions of the parietal cortex may
91 activate to facilitate selection of relevant signals during exercise, in a continuous,
92 real-time manner. Nonetheless, most of the aforementioned electroencephalogram
93 (EEG) and functional magnetic resonance imaging (*fMRI*) studies used relatively
94 simple motor tasks (e.g., isometric handgrip, ankle-dorsiflexion task) that are somewhat
95 disconnected from ecological physical activities (e.g., cycling, running). This is due
96 mainly to the severe motion-related methodological restrictions of current brain-imaging
97 technologies (Karageorghis et al., 2018).

98 A recently-adopted neuroimaging technique to assess brain metabolism is
99 functional near-infrared spectroscopy (*fNIRS*), which entails a non-invasive imaging
100 method that quantifies chromophore concentration resolved from the measurement of
101 near-infrared light attenuation, temporal or phasic changes. This tool is particularly
102 salient to exercise-related protocols given its high tolerance for motion artefacts (Leff
103 et al., 2011). In addition, the neurophysiological mechanisms that underlie the influence
104 of attentional manipulation on exercise metabolism can be investigated with an
105 acceptable degree of temporal resolution (up to 10 Hz; Herold et al., 2018).

106 *fNIRS* is a technique that has proven to be effective in the examination of
107 cortical oxygenation during exercise (Herold et al., 2017). Notably, performance of a
108 cycling task was found to induce an increase in prefrontal (i.e., medial prefrontal cortex
109 [mPFC] and dorsolateral prefrontal cortex [dlPFC]) oxygenation that became stable
110 over time (Tempest et al., 2017). Similar results were reported by Jones and Ekkekakis
111 (2019) across the dlPFC during recumbent cycling. Notably, these authors showed that
112 higher levels of right dlPFC oxygenation were associated with lower ratings of affective
113 valence for participants who reported a preference for low-intensity exercise. The
114 authors suggested that the observed dlPFC activity was associated with the cognitive
115 regulation of unpleasant affective responses to exercise. This was experienced to a
116 greater degree by participants with low preference-for-exercise levels when compared to
117 their high-preference-for-exercise counterparts. This notion corroborates the association

118 found between the right dlPFC activity and ratings of pain intensity (Dunckley et al.,
119 2007).

120 The sensation of discomfort and pain is often an indication to the organism that
121 exercise should be surceased. The emergence of such affective signals corresponds with
122 the respiratory compensation point; the moment during exercise at which minute
123 ventilation starts to become excessive in relation to exhaled carbon dioxide. Studies
124 that have used fNIRS to evaluate mPFC and dlPFC haemodynamics have reported a
125 decrease in cerebral oxygenation at exercise intensities that lie above the respiratory
126 compensation point (e.g., Ochi et al., 2018; Oussaidene et al., 2013). The reduced
127 availability of oxygen in the brain might influence central nervous system motor output
128 and constitutes a signal that eventually leads to a sharp degradation in exercise
129 performance. Thus, at acute levels of brain deoxygenation, the organism is driven
130 towards the discontinuation of exercise (see Ekkekakis, 2009).

131 In the exercise domain, music can be used to prolong physical effort, possibly
132 through the neurophysiological effects that it has at, or close to, the respiratory
133 compensation point (Bigliassi et al., 2017; Karageorghis et al., 2018). Two hypotheses
134 have been offered to account for the neurophysiological mechanisms that underlie the
135 effects of music during exercise and physical activity: (a) music delays the decrease in
136 prefrontal oxygenation and shifts "the entire oxygenation curve towards higher levels of
137 exercise intensity" (Karageorghis, 2020); (b) music delays the increase in prefrontal
138 oxygenation due to a reallocation of attention towards exteroceptive cues (Karageorghis
139 et al., 2017, p. 942; see Figure 1). Notably, Jones and Ekkekakis (2019) reported an
140 increase in dlPFC oxygenation over time during recumbent cycling, but no such
141 difference was observed between a music condition and a no-music control. It should be
142 noted, however, that in their study, participants did not continue cycling until volitional
143 exhaustion but stopped after 15 min. Accordingly, it is plausible that, rather than
144 attenuate prefrontal oxygenation, the application of music delayed the decline in
145 prefrontal oxygenation that accompanies volitional exhaustion. This notion is further
146 supported by the finding that the presence of music did not only enable exercisers to

147 feel better (i.e., decrease in negative affect that is associated with a lower level of
148 prefrontal oxygenation), but also to increase their performance levels (i.e., reaching
149 higher exercise intensity; Terry et al., 2012).

150 **Objectives and Hypotheses**

151 The purpose of the proposed study will be to determine the point of onset of
152 cerebral oxygenation decline during an incremental exercise protocol and how this is
153 modulated by the presence of asynchronous music. More specifically, we will assess the
154 effects of pleasurable auditory stimuli (i.e., music) on the cerebral oxygenation curve
155 during a cycle ergometry exercise task. The task will be executed under three
156 conditions: asynchronous music, an audiobook control and a no-audio control. The
157 audiobook condition will be included to control for the effects of auditory attentional
158 distraction that is devoid of musical components (e.g., melody and harmony). Brain
159 oxygenation will be recorded using a continuous-wave fNIRS system over the bilateral
160 mPFC, dlPFC, primary motor cortex and lateral parietal cortex.

161 We hypothesise that the decrease in prefrontal (i.e., mPFC and dlPFC)
162 oxygenation will be observed earlier under conditions in which participants exercise in
163 silence or with an audiobook when compared with exposure to asynchronous
164 motivational music (H_1). Exercise in silence or with an audiobook will lead to less
165 prefrontal (H_2) and parietal (H_3) activation when compared to exercising with music. In
166 addition, as a sanity check for the effect of music exposure on prefrontal and parietal
167 brain activity, we hypothesise that the occipital cortex activation will not differ among
168 the experimental conditions (i.e., negative control; H_4). We ran a series of pilot tests to
169 confirm that the proposed experimental protocol is logistically feasible and that planned
170 analyses will allow us to test the research hypotheses (see Methods section). In
171 addition, while we duly acknowledge that the fNIRS data to be collected during the
172 ergocycle exercise will be affected by non-cortical haemodynamic variables (e.g., skin
173 blood flow), the main aim of our study will not be to examine the absolute effect of
174 music on brain activity, but rather to compare cortical oxygenation across conditions
175 that place a similar physiological load on participants.

176

Methods**177 Participants**

178 Volunteer adults will be eligible if in the age range 18–35 years, recreationally
179 active, and apparently healthy. Recreationally active is defined as those who engage in
180 45–90 min of moderate-intensity exercise (3–6 metabolic equivalents [METs]) 2–4 times
181 a week over the previous 6 months (see Kelleher et al., 2010). To be included in the
182 study, participants will need to have brought a recent (under 12 months) medical
183 certificate from their personal physician stating that they are fit to engage in
184 high-intensity physical exercise. Participants will be excluded from the study if they
185 self-report: (a) exercising > 5 times per week at moderate intensity, (b) incidents of
186 motor dysfunction, (c) hearing deficiency, (d) epilepsy, or (e) head trauma (i.e., loss of
187 consciousness for more than 5 min). They will be compensated for their time (i.e., €40
188 for the completion of all four trials).

189 The sample size for the critical statistical test of each research hypothesis was
190 calculated using R with the "pwr" and "TOSTER" packages (the code is available here:
191 <https://doi.org/10.5281/zenodo.6883333>). The required sample size has been computed
192 for paired-samples *t* tests, which are the critical statistical tests (see Table 1). The
193 fNIRS results of Ozawa et al. (2019) were used as a parameter for H_1-H_2 across the
194 mPFC. For H_1-H_2 across the dlPFC and H_3 , the fNIRS results of Oh et al. (2018) were
195 used. For H_4 , the fNIRS results of Guérin et al. (2021) were used. For H_1-H_2 , the power
196 analysis indicated that 30 participants would be required for the mPFC ($d = 0.64$; $\alpha =$
197 $.02$; $1-\beta = .90$) and nine participants for the dlPFC ($d = 1.38$; $\alpha = .02$; $1-\beta = .90$). In
198 addition, nine participants would be required for H_3 ($d = 1.37$; $\alpha = .02$; $1-\beta = .90$) and
199 36 participants for H_4 ($d = 0.62$; $\alpha = .02$; $1-\beta = .90$; see Table 1). Accordingly, a
200 sample of 36 participants will be recruited for the proposed study.

201 The small telescopes approach was used to determine the smallest effect size of
202 interest (SESOI; i.e., the difference that is considered too small to be meaningful;
203 Simonsohn, 2015). Accordingly, the SESOI was set to the effect size that an earlier

204 study would have had 33% power to detect (Lakens et al., 2018)¹. The fNIRS results of
205 Oh et al. (2018) were used as parameters for H_1-H_4 , with a one-tailed test for H_1-H_3 ,
206 and a two-tailed test for H_4 . The SESOI computations were performed using R (the
207 code is available as supplementary material here:
208 <https://doi.org/10.5281/zenodo.6883333>) and the outputs are displayed in Table 1.

209 **Experimental Procedures**

210 The study will consist of four sessions. Session 1 will entail screening,
211 administration of questionnaires and protocol habituation. Sessions 2–4 will be
212 administered in a fully counterbalanced order and comprise cycling (a) with
213 asynchronous music (120–123 beats per minute [bpm]), (b) with an audiobook (audio
214 control), (c) without any extraneous auditory stimuli (i.e., ambient noise control). The
215 procedure used for the selection of motivational music tracks is presented in
216 Supplementary File 1.

217 During Session 1, the participant will read an information sheet, be afforded an
218 opportunity to ask questions and sign an informed consent form. Participants will
219 perform an incremental $\dot{V}O_{2\max}$ test on a cycle ergometer (Ergomedic 874E, Monark,
220 Vansbro, Sweden) to determine a work rate representative of 5% above the first
221 ventilatory threshold (VT1; for details on its determination, see Supplementary File 2).
222 Five percent above VT1 will be computed for each participant using the heart rate
223 variability index of root mean square of successive differences (see Karapetian et al.,
224 2008). Participants will also be administered several questionnaires relating to (a)
225 socio-demographic and anthropometric details, (b) self-reported physical activity level
226 (International Physical Activity Questionnaire, IPAQ; Craig et al., 2003), (c)
227 motivation to engage in physical activity (Behavioural Regulations in Exercise
228 Questionnaire, BREQ-3; Markland & Tobin, 2004) and (d) tolerance of exercise

¹ The effect sizes used for the sample size computation and SESOI are two distinct entities. More precisely, the sample size computation serves to ensure that the study is appropriately powered (i.e., good probability that the statistical test will detect an effect that actually exists), while the SESOI serves to ensure that a true effect exists (for further details, see Sullivan & Feinn, 2012).

229 intensity (Preference for and Tolerance of the Intensity of Exercise Questionnaire,
230 PRETIE-Q; Carlier et al., 2017).

231 During Sessions 2–4, participants will undergo an exercise test on the cycle
232 ergometer. The ambient temperature will be controlled with the use of a climate-control
233 system to maintain 20°C. Participants will cycle at a constant rate of 63 rpm
234 (revolutions per minute) to avoid synchronisation of the pedal revolutions with the
235 tempo of the music tracks (i.e., 120–123 bpm). After a 5-min warm up at 5% below
236 VT1 and a 1-min transition phase performed at VT1, the resistance of the cycle
237 ergometer will be increased so that the participant exercises at 5% above VT1. For the
238 experimental conditions, the auditory stimulus (i.e., asynchronous music or audiobook)
239 will be played to the participant from 1 min before the end of the warm-up session up
240 to the point at which they reach volitional exhaustion. The session will be terminated
241 when the participant is no longer able to maintain the prescribed pedal rate of 63 rpm
242 for a period > 10 s² (see Figure 2). Thereafter, there will be a 3-min active warm down
243 at 63 rpm at an intensity of 5% below VT1.

244 **Data Acquisition and Processing**

245 *Questionnaires*

246 Core affect (Feeling Scale and Felt Arousal Scale; Hardy & Rejeski, 1989; Svebak
247 & Murgatroyd, 1985), perceived exertion (Borg Category Ratio-10 scale, CR10; Borg,
248 1982) and attentional focus (Attention Scale; Tammen, 1996) will be assessed during
249 the cycle ergometer exercise (i.e., at the beginning and end of warm up, every 2.5 min
250 into the 5% above VT1 stage, at the beginning and end of the active recovery stage,
251 and at the end of passive recovery; see Figure 2). Physical activity enjoyment (Physical
252 Activity Enjoyment Scale, PACES; Delignières & Perez, 1998) and remembered

² The duration of the exercise will thus vary in accord with the individual's physiological capacity.

Nonetheless, because the recruited participants will all have similar physical fitness levels (i.e., recreationally active), the 5%-above-VT1 phase should be rather brief and its duration fairly consistent among participants. If outliers are detected, they will be removed prior to the subsequent analyses (see Statistical Analyses subsection).

253 pleasure (visual analogue scale developed by Zenko et al., 2016) will be assessed at the
 254 end of each experimental session.

255 ***Cardiorespiratory Monitoring***

256 Respiratory monitoring will be facilitated by use of an MP150 Biopac device.
 257 The sampling frequency will be set to 10 Hz. Heart rate will be assessed by means of a
 258 Polar system (H10 Polar strap) and the HRV Logger app (correctliworkout). The
 259 fNIRS technique measures cerebral oximetry, which is strongly associated with
 260 respiratory and cardiac functioning (Pinti et al., 2019). Using spectral analysis (Welch’s
 261 estimation method), both heart and respiratory rates can be identified in the fNIRS
 262 signal. The ability to identify these two frequency components will serve to ensure the
 263 validity of fNIRS measures.

264 ***fNIRS Headset Shift Monitoring***

265 Performing a motor task (e.g., cycling) can cause a shift in the position of the
 266 fNIRS headset. If a headset shift occurs during an experimental session, the exact
 267 source of recorded haemodynamic signals is rather difficult to determine. Thus, a motion
 268 capture technique (Qualisys MoCap, Göteborg, Sweden) will be used to detect shifts in
 269 the fNIRS headset within each experimental session. Specifically, one passive marker
 270 will be taped to the participant’s right temple and two markers to the fNIRS headset.

271 To verify the occurrence of an fNIRS headset shift, the surface of the planar
 272 triangle connecting the 3D markers will be computed over a 30-s timing window (a) at
 273 the beginning of the warm-up phase and (b) 30 s before volitional exhaustion (see
 274 Equation 1; Guérin et al., 2021).

$$\overrightarrow{M_0M_1}(t) \cdot \overrightarrow{M_0M_2}(t) = \begin{pmatrix} x_1(t) - x_0(t) \\ y_1(t) - y_0(t) \\ z_1(t) - z_0(t) \end{pmatrix} \cdot \begin{pmatrix} x_2(t) - x_0(t) \\ y_2(t) - y_0(t) \\ z_2(t) - z_0(t) \end{pmatrix} \quad (1)$$

275 where 0 is the temple marker, 1 is the first headset marker, 2 is the second headset
 276 marker and t is the time point. The percentage of variation between the two values will
 277 be calculated. An fNIRS headset shift will be detected if this value exceeded 15% (i.e.,
 278 10 mm). A participant’s entire data set will be removed prior to further analyses if a

279 fNIRS headset shift is detected in at least one session (see Figure 5). Any excluded
280 participants will be replaced to ensure that $N = 36$.

281 ***fNIRS Data***

282 The fNIRS technique will be used to monitor the brain activity of participants.
283 This technique consists of placing light source and detector optodes on the surface of
284 the scalp. Adjacent sources and detectors of infrared light are ~3 cm apart. The depth
285 of analysis into the cortex is 0.5–2.0 cm with the system that will be used in the
286 proposed study (FOIRE-3000/16; Shimadzu, Kyoto). The system's light beam emanates
287 from three lasers (class 1M) at three wavelengths of 780, 805 and 830 nm. The
288 equipment contains 16 light sources (multicomponent glass bundle fibres) and 16
289 detectors (multi-alkali photomultipliers detectors).

290 The fNIRS headset holding the optodes will be placed on the participant's head
291 in accord with the International 10–20 system guidelines for standard electrode
292 positions (Jasper, 1958). In the proposed study, the brain regions of interest will be the
293 bilateral dlPFC (Brodmann areas [BAs] 9 and 46), medial prefrontal cortex (BAs 10
294 and 11), lateral parietal cortex (BA 39 and 40) and primary visual cortex (BA 17).
295 Thus, a 26-channel model (11 sources and 15 detectors) will be designed in order to
296 cover the brain regions of interest over both the left and right hemispheres (see Figure
297 4). The fOLD toolbox (*fNIRS Optodes' Location Decider*; Morais et al., 2018) will be
298 used to guide the selection of optimal optode positioning with respect to the brain
299 regions of interest³ (see Supplementary File 3).

300 A system calibration will be conducted at the beginning of each experimental
301 session by means of automatic adjustment using LabNIRS to verify that all optodes are
302 emitting correctly. In case that the amount of light detected will be insufficient, the
303 participant's hair will be pushed back beneath each problematic source–detector couple
304 until data can be reliably collected. The sampling frequency will be set at 10 Hz (i.e.,
305 temporal resolution of 100 ms).

³ The obtained optode array will be the same for all participants because the fNIRS headset is rigid and does not facilitate customisation of optode positioning.

306 To control for the quality of acquired *f*NIRS data, the power-spectral density will
307 be computed using Welch’s estimation method for each participant, session and channel.
308 The frequency corresponding to maximal peak in the 100–250 bpm range will be
309 detected in the power-spectral density of the raw *f*NIRS data (for a similar procedure,
310 see Pinti et al., 2019). To guarantee that the identified frequency is the genuine
311 heart-rate frequency, it will be compared to the heart-rate measurements provided by
312 the Polar system, with a tolerance threshold of 10 bpm (Gu erin et al., 2021, 2022). A
313 channel will be excluded if heart rate frequency is not found in the *f*NIRS signals (see
314 Figure 3). The number of excluded channels will be reported in the final manuscript in
315 the interests of transparency. A participant’s entire data set will be removed prior to
316 further analyses if all channels pertaining to at least one region of interest are excluded
317 on this basis. Any excluded participants will be replaced to ensure that $N = 36$.

318 Correction for motion artefacts will be performed using wavelet filtering
319 (interquartile range = 1.5) in Homer 3 (v1.31.2; Massachusetts General Hospital,
320 Boston, MA). The motion-corrected data will be visually inspected to ensure that the
321 selected interquartile range value is well suited to the *f*NIRS data. To reject both
322 cardiac and breathing rates along with parts of Mayer oscillations, a lowpass filter set at
323 0.2 Hz will be applied (see Figure 5).

324 For each participant and condition, the *f*NIRS data between the beginning and
325 end of the 5%-above-VT1 phase will be extracted and referred to as a trial. The mean
326 haemodynamic response function (HRF) will be computed for each region of interest
327 (i.e., mPF, dlPFC, motor cortex, parietal cortex). For each trial i , a polynomial
328 regression will be fitted to the HRF. Thereafter, the decrease in cerebral oxygenation D_i
329 will be defined as the time point at which the polynomial regression reaches its maximal
330 value (see Figure 6). To account for possible differences in exercise duration among
331 participants, D_i will not be expressed in absolute time but rather as a percentage of the
332 5%-above-VT1 phase (e.g., if a participant exercises at 5% above VT1 for 10 min and
333 the maximal value of the polynomial regression is reached at 9 min, D_i will correspond
334 with 90%). To estimate the amplitude of changes in oxygenation during a trial, a linear

335 regression will also be fitted to each HRF from the beginning of the 5%-above-VT1
336 phase to D_i (see Mandrick et al., 2013, for a similar procedure). The amount of cerebral
337 oxygenation will be identified by the slope coefficient of the linear regression, referred to
338 as β_i (see Figure 6).

339 **Statistical Analyses**

340 The statistical analyses will be performed using RStudio (v.1.2.5019). The raw
341 data files and the associated data processing algorithms (preprocessing, statistics and
342 visualisations) will be available as supplementary materials.

343 *Data Eligible for Analysis*

344 Participants characterised by a duration of the 5%-above-VT1 phase unusually
345 short or long will be removed prior to further statistical analyses. Data will be screened
346 for univariate outliers using standardised scores (i.e., z scores). Participants with z
347 scores $> \pm 3.29$ will be excluded and replaced to ensure that $N = 36$.

348 *Classic Null-Hypothesis Significance Tests*

349 Data from the questionnaires will be analysed by means of one-way
350 repeated-measures (multivariate) analysis of variance (RM [M]ANOVA; audio condition
351 [music, audiobook, control]). Because HbO₂ benefits from a better signal-to-noise ratio
352 (see Gervain et al., 2011), only D_{HbO_2} and β_{HbO_2} will be used to support or refute the
353 hypotheses. Nonetheless, HHb indices will also be analysed and the findings reported in
354 the interests of transparency. D_{HbO_2} and β_{HbO_2} will be analysed for each region of
355 interest (see Suzuki et al., 2004) by means of RM ANOVAs for H_1 – H_3 . The critical
356 statistical tests used to confirm or disconfirm hypotheses will be the associated pairwise
357 t tests from the post hoc analyses (see Table 1).

358 Normality will be checked in each cell of the analysis using the Shapiro–Wilk
359 test. Where normality is violated, for nonself-reported data, a transformation will be
360 used in accord with the nature of the distribution curve (e.g., log10, square root; see
361 Figure 5). Where Mauchly’s test indicates violations of the sphericity assumption,
362 Greenhouse–Geisser corrections will be applied to the F test. Bonferroni adjustments
363 pairwise/multiple comparisons will be used where necessary to identify where

364 differences lie. In accord with the stipulations of the periodical *Cortex*, the significance
365 level will be set at $p < .020$ for all analyses. Partial eta squared and Cohen's d effect
366 sizes will be reported alongside each inferential analysis.

367 ***Outcome-Neutral Validation Tests***

368 A negative control condition will be included by placing two additional channels
369 over the occipital brain region (Brodmann's area 17). This region is involved primarily
370 in visual perception and so its activation should not differ in response to the
371 experimental conditions. To confirm that similar haemodynamic responses of the
372 primary visual cortex will be observed regardless of the audio condition (H_4), two
373 one-sided tests (TOSTs) will be used (Lakens et al., 2018). In this procedure, the results
374 of both t tests needed to reach significance in order for equivalence to be claimed.
375 Statistically nonsignificant differences will provide a means by which to confirm that
376 observed mPFC, dlPFC and parietal differences are related to the audio manipulations.
377 If differences are detected over the occipital brain region, the mean occipital HRF will
378 be removed from all other HRFs (for a similar rationale, see Gu erin et al., 2021). TOSTs
379 will be computed using the TOSTER R package for paired-samples t tests (Lakens,
380 2017).

381 **Anticipated Timeline for Completion of the Proposed Study**

382 If the present contribution were to be accepted for publication, data collection
383 would be conducted within a 6-month timeframe. We estimate the time for data
384 preprocessing and analysis to take a further 2 months. Accordingly, we are likely to
385 submit our Stage 2 manuscript within 9 months of acceptance of the present Stage 1
386 manuscript.

387 **Open Practices**

388 Pilot data and codes are available on a public Zenodo repository
389 (<https://doi.org/10.5281/zenodo.6883333>). All anonymised raw and processed data
390 supporting the reported analyses will be archived in this repository at the point of
391 Stage 2 submission.

392

CRedit Author Statement

393 First author: Conceptualisation; Methodology; Formal analysis; Data curation;
394 Software; Visualisation; Writing – original draft; Writing – review & editing. Second
395 author: Conceptualisation; Methodology; Formal analysis; Supervision; Writing – review
396 & editing. Third author: Writing – review & editing. Fourth author: Conceptualisation;
397 Methodology; Writing – review & editing. Last author: Conceptualisation; Funding
398 acquisition; Resources; Supervision; Writing – review & editing.

399

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Table 1*Estimated Required Sample and Effect Sizes*

Question	Hypothesis	Sampling plan	Analysis plan	Rationale for deciding the sensitivity of the test for confirming or disconfirming the hypothesis	Interpretation given different outcomes	Theory that could be shown wrong by the outcomes
The decrease in prefrontal oxygenation will be observed earlier under conditions in which participants exercise in silence or with an audiobook, when compared with exposure to asynchronous motivational music.	$D_{\text{HbO}_2, \text{mPFC}}$ will be larger during the music condition vs. the audiobook and silence conditions.	$N = 30$ ($d = 0.64$; $\alpha = .02$; $1-\beta = .90$)	Pairwise t tests	Small telescopes approach ($d_{\text{SESOI}} = 0.28$).	The hypothesis will be accepted if the statistical test is significant ($p < .020$) and the associated	Karageorghis et al.'s (2017) Hypothesis A (see Figure 1) logically extended to mPFC activity.
	$D_{\text{HbO}_2, \text{dlPFC}}$ will be larger during the music condition vs. the audiobook and silence conditions.	$N = 9$ ($d = 1.38$; $\alpha = .02$; $1-\beta = .90$)	Pairwise t tests	Small telescopes approach ($d_{\text{SESOI}} = 0.38$).	Cohen's $d > d_{\text{SESOI}}$.	Karageorghis et al.'s (2017) Hypothesis A (see Figure 1).

Continued

Question	Hypothesis	Sampling plan	Analysis plan	Rationale for deciding the sensitivity of the test for confirming or disconfirming the hypothesis	Interpretation given different outcomes	Theory that could be shown wrong by the outcomes
Less prefrontal activation will be observed when participants exercise in silence or with an audiobook, when compared to when they exercise with music.	$\beta_{\text{HbO}_2, \text{mPFC}}$ will be larger during the music condition vs. the audiobook and silence conditions.	$N = 30$ ($d = 0.64$; $\alpha = .02$; $1-\beta = .90$)	Pairwise t tests	Small telescopes approach ($d_{\text{SESOI}} = 0.28$).	The hypothesis will be accepted if the statistical test is significant ($p < .020$) and the associated	Role of the mPFC in appraisal and expression of negative emotion as proposed by Etkin et al. (2011).
	$\beta_{\text{HbO}_2, \text{dlPFC}}$ will be larger during the music condition vs. the audiobook and silence conditions.	$N = 9$ ($d = 1.38$; $\alpha = .02$; $1-\beta = .90$)	Pairwise t tests	Small telescopes approach ($d_{\text{SESOI}} = 0.38$).	Cohen's $d > d_{\text{SESOI}}$.	Karageorghis et al.'s (2017) Hypothesis B (see Figure 1).
Less parietal activation will be observed under conditions in which participants exercise in silence or with an audiobook, when compared to when they exercise with music.	$\beta_{\text{HbO}_2, \text{IPC}}$ will be larger during the music condition vs. the audiobook and silence conditions.	$N = 9$ ($d = 1.37$; $\alpha = .02$; $1-\beta = .90$)	Pairwise t tests	Small telescopes approach ($d_{\text{SESOI}} = 0.38$).	The hypothesis will be accepted if the statistical test is significant ($p < .020$) and the associated Cohen's $d > d_{\text{SESOI}}$.	Role of the parietal cortex to facilitate the selection of relevant signals proposed by Bigliassi (2021).

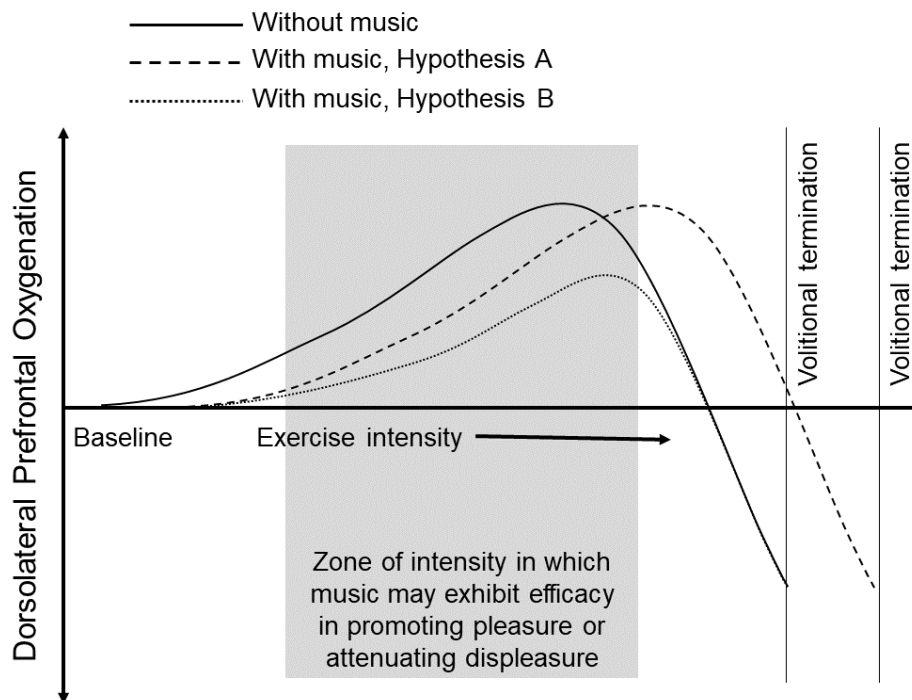
Continued

Question	Hypothesis	Sampling plan	Analysis plan	Rationale for deciding the sensitivity of the test for confirming or disconfirming the hypothesis	Interpretation given different outcomes	Theory that could be shown wrong by the outcomes
Similar haemodynamic responses of the occipital cortex will be observed across conditions.	$\beta_{\text{HbO}_2, \text{motor}}$ will be similar during the music condition, audio-book and silence conditions.	$N = 36$ ($d = 0.62$; $\alpha = .02$; $1-\beta = .90$)	TOSTs	Small telescopes approach ($d_{\text{SESOI}} = 0.62$).	The hypothesis will be confirmed if both t tests are significant.	Not applicable (control condition).

Note. Statistical power, planned analyses and critical statistical tests for each research hypothesis. mPFC = medial prefrontal cortex; dlPFC = dorsolateral prefrontal cortex; IPC = lateral parietal cortex; RM ANOVA = repeated-measures analysis of variance; TOSTs = two one-sided t tests; SESOI = smallest effect size of interest.

Figure 1

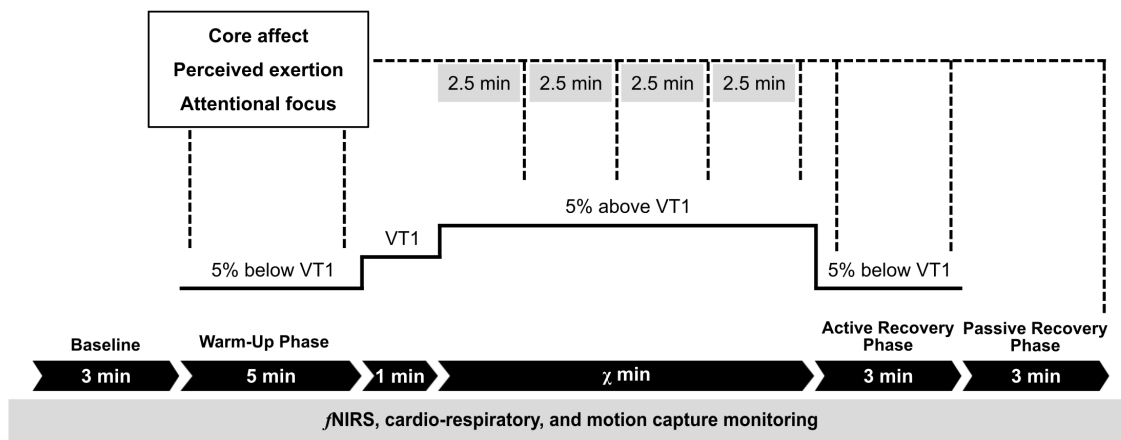
Schematic Representation of the Hypothetical Neurophysiological Mechanisms Underlying the Effect of Music During Exercise



Note. Reproduced from Karageorghis, C. I., Ekkekakis, P., Bird, J. M., & Bigliassi, M. (2017). Music in the exercise and sport domain: Conceptual approaches and underlying mechanisms. In M. Lesaffre, P.-J. Maes & M. Leman (Eds.), *The Routledge companion to embodied music interaction*, p. 288. Copyright 2017 by Routledge. Reprinted with permission through PLSclear.

Figure 2

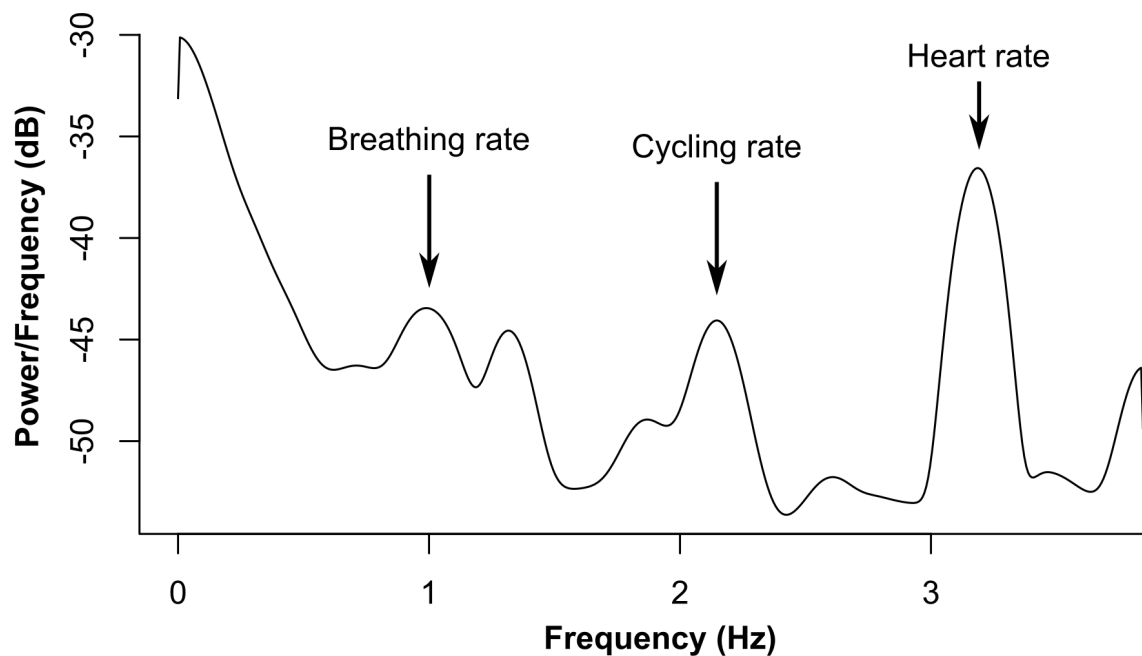
Experimental Protocol for the Proposed Study



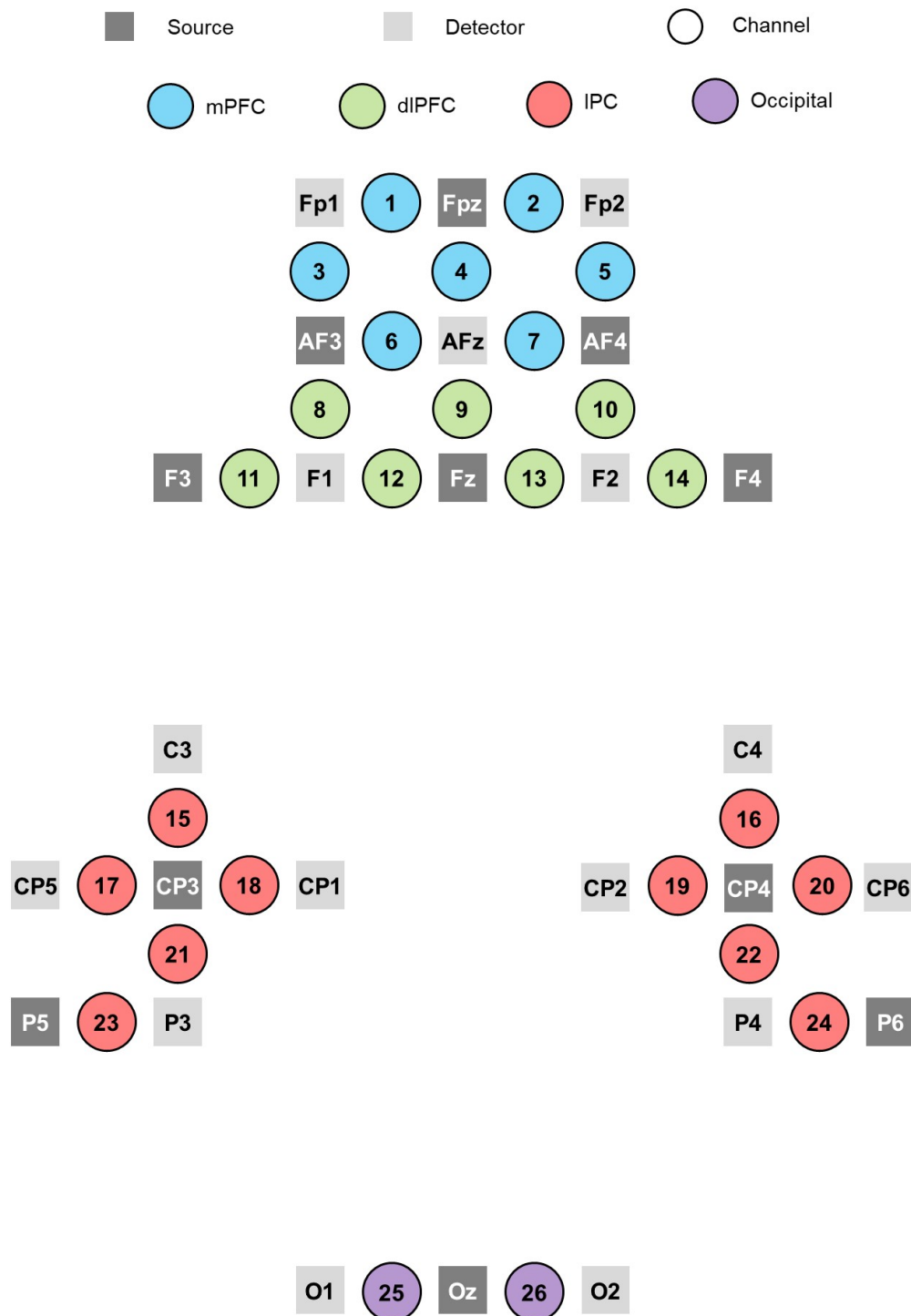
Note. VT1 = first ventilatory threshold.

Figure 3

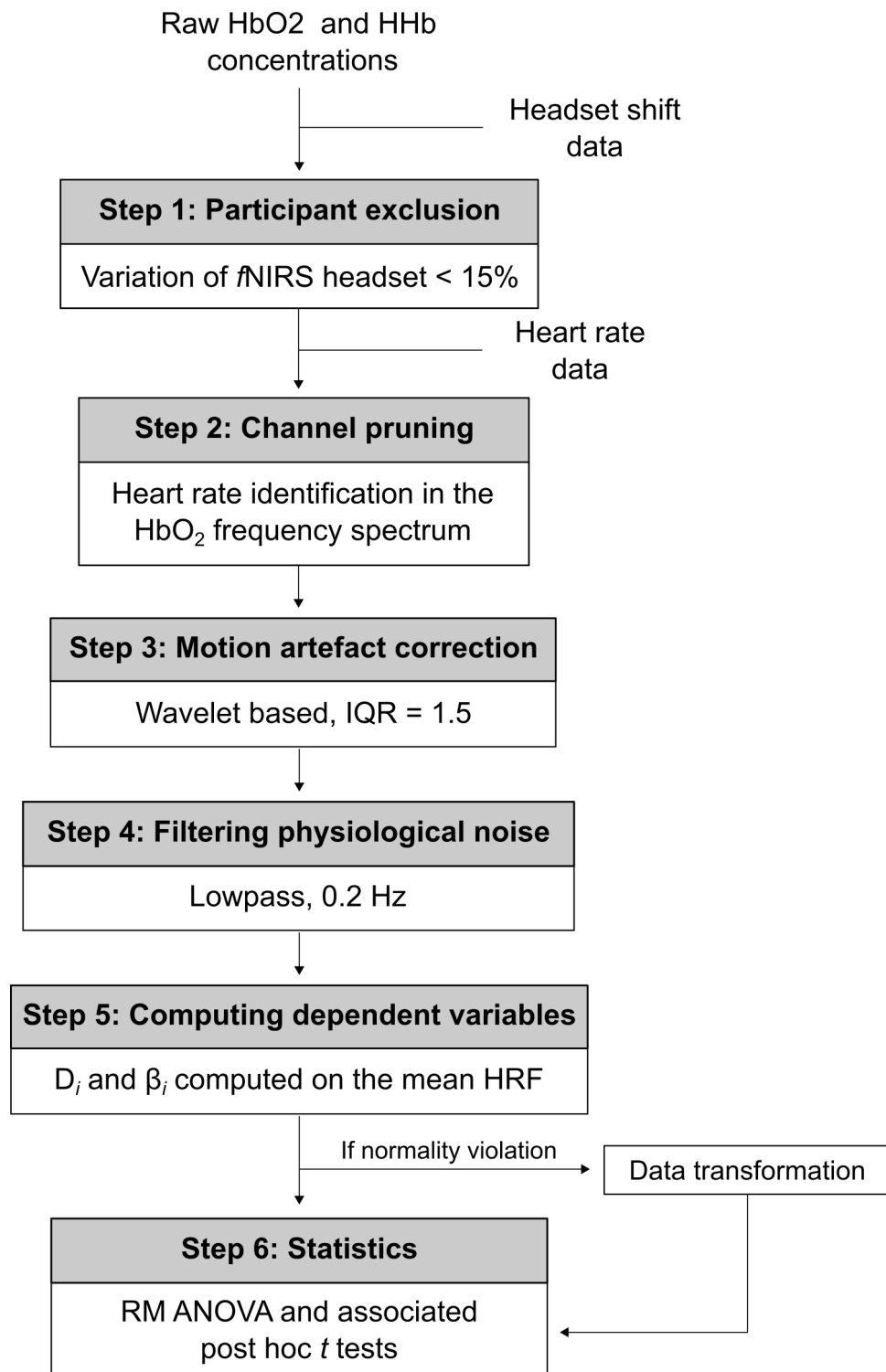
Welch Power-Spectral Density of the raw fNIRS Data



Note. The data were obtained from a pilot test.

Figure 4*Diagrammatic Representation of the fNIRS Sources, Detectors and Channel Layout*

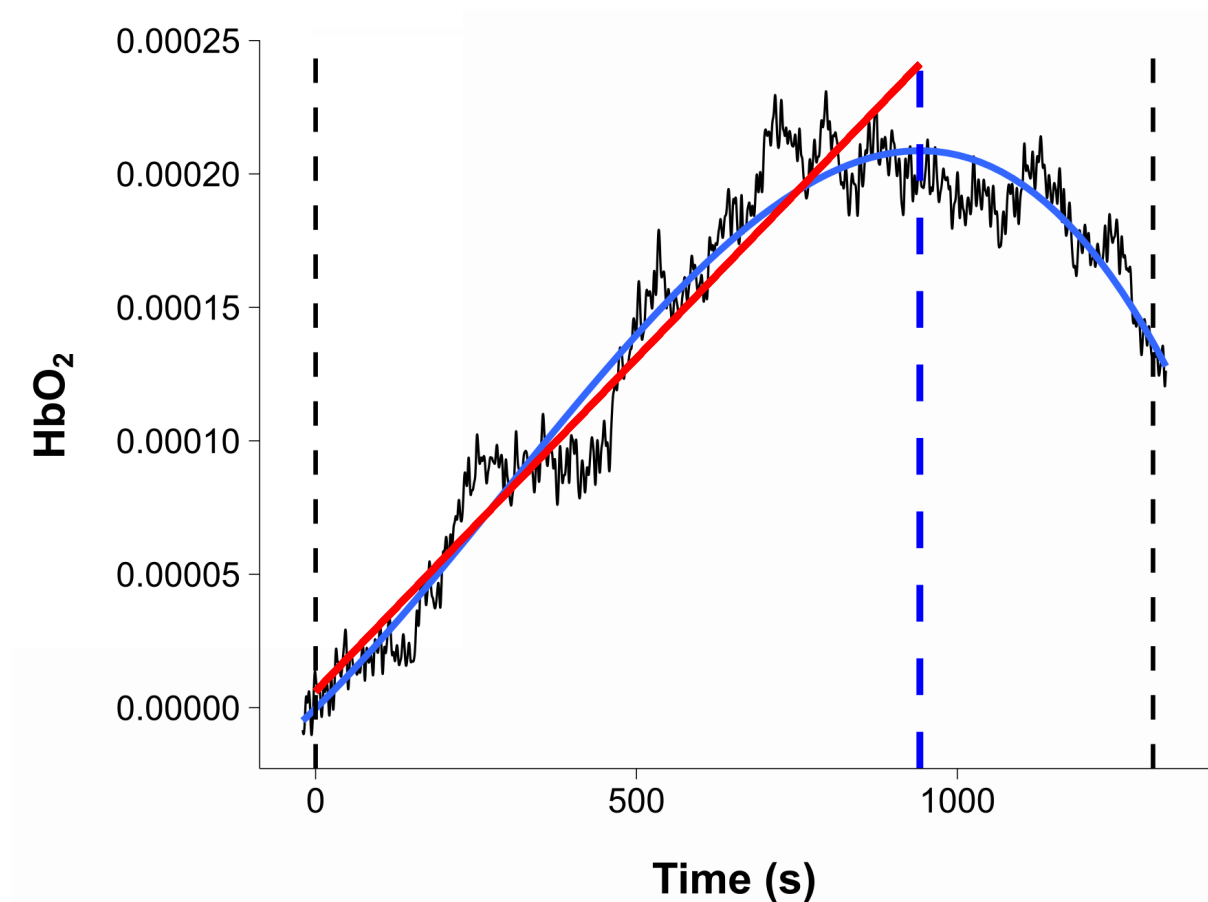
Note. Adjacent sources and detectors will be ~3 cm apart. mPFC = medial prefrontal cortex; dlPFC = dorsolateral prefrontal cortex; IPC = lateral parietal cortex.

Figure 5*Processing Pipeline of the fNIRS Data*

Note. fNIRS = functional near-infrared spectroscopy; IQR = interquartile range; HRF = haemodynamic response function; RM ANOVA = repeated measures analysis of variance.

Figure 6

Computation of the Dependent Variables on Orbitofrontal Cortex fNIRS Data



Note. The data were obtained from a pilot test. Dotted lines indicate the beginning and end of the 5%-above-VT1 phase. The polynomial regression is displayed in blue. The dotted blue line indicates the time point at which the maximal value of the polynomial regression is reached. The linear regression is displayed in red. Note that 0 on the x axis corresponds with the beginning of the 5%-above-VT1 phase. HbO₂ = oxygenated haemoglobin.