



Weak Nonlocality in Elementary Particle Physics

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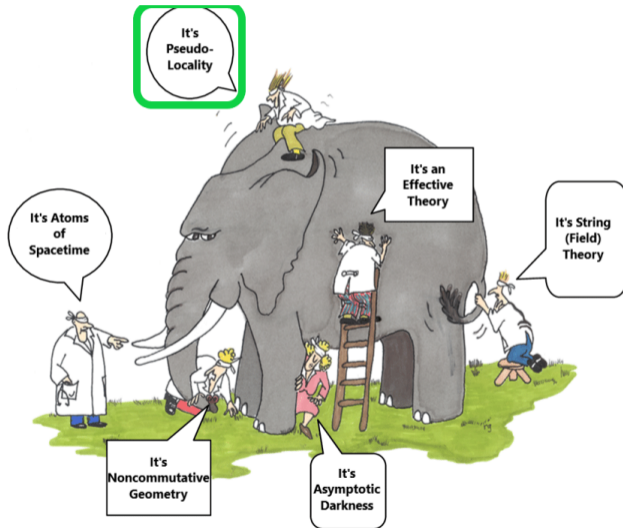
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1. Introduction – Blind Physicists & Weak Nonlocality

Weakly Nonlocal Theory \Rightarrow Interpolates btw UV Nonlocal Theory & IR Local QFT



1. Introduction – Weakly Nonlocal QFT

Weakly Nonlocal QFT

Nonlocal Form Factor $\gamma(z) \equiv$ Analytic Function with Regular Taylor Expansion in IR Limit $z = \square = 0$

Selected Reviews on Weak Nonlocality:

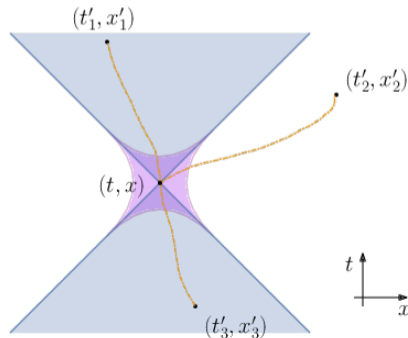
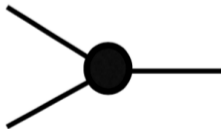
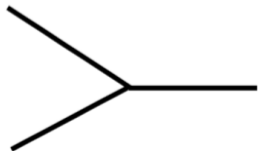
- Toy Scalar QFT's: [Buoninfante, Lambiase, Mazumdar, Nucl.Phys.B 944 (2019) 114646]
- Gravity & Gauge QFT's:
 - Tomboulis, Mod.Phys.Lett.A 30 (2015) 03n04, 1540005
 - Modesto, Rachwal, Nucl.Phys.B 900 (2015) 147-169
 - Modesto, Rachwal, Int.J.Mod.Phys.D 26 (2017) 11, 1730020
 - Bas i Beneito, Calcagni, Rachwal (2022), arXiv:2211.05606
- String Field Theory: [Erbin, Lect.Notes Phys. 980 (2021) 1-421]
- Nonlocal Black Holes: [Buoninfante, Giacchini, de Paula Netto (2022), arXiv:2211.03497]

1. Introduction – Contents

- 1 Introduction
- 2 Why Weak Nonlocality?
- 3 Nonlocal Scalar Field
- 4 Pseudolocality
- 5 Epilogue

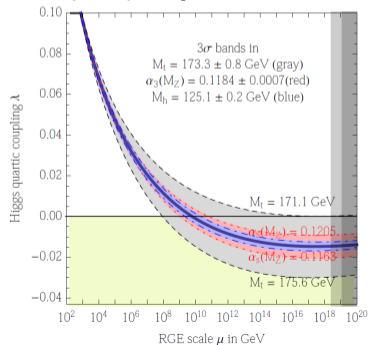
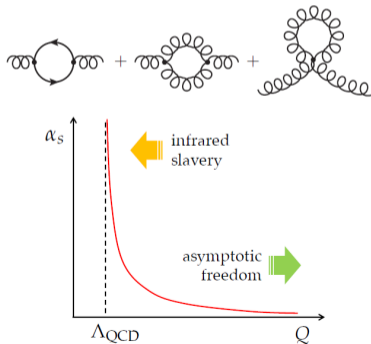
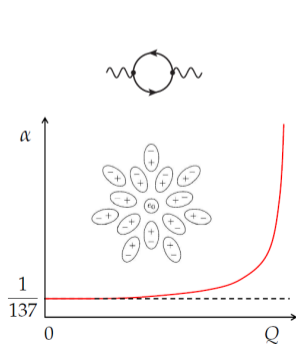
2. Why Weak Nonlocality? – Archaeology

- End 1920s \Rightarrow Birth of Local QFT + Problem of ∞ 's
- Beginning 1930s \Rightarrow 1st Attempts to Regularize UV ∞ 's by Smearing Interactions
- Weak Nonlocality is Almost as Old as Local QFT \rightarrow Book on Old Literature:
[Namsrai, *Nonlocal QFT and Stochastic Quantum Mechanics*, Springer Dordrecht (1986)]
- **BUT** Clash btw UV Finiteness & Gauge Invariance!
[Chretien, Peierls, *Proc.Roy.Soc.Lond.A* 223 (1954) 1155, 468-481]



2. Why Weak Nonlocality? – Asymptotic Safety

- Local QFT \Rightarrow SM of Particle Physics
 - Lorentz-Poincaré Invariance (Special Relativity) + Unitarity (Quantum Mechanics)
 - Gauge Symmetries + Higgs Mechanism.
 - Perturbative Renormalizability \Rightarrow Finite Number of Free Parameters
- BUT** Landau Poles + Higgs Instability \Rightarrow Not Asymptotically Safe!
 - \neq Quantum Chromodynamics (QCD) \Rightarrow Asymptotic Freedom
 - Total Asymptotic Freedom [Giudice, Isidori, Salvio, Strumia, JHEP 02 (2015) 137]



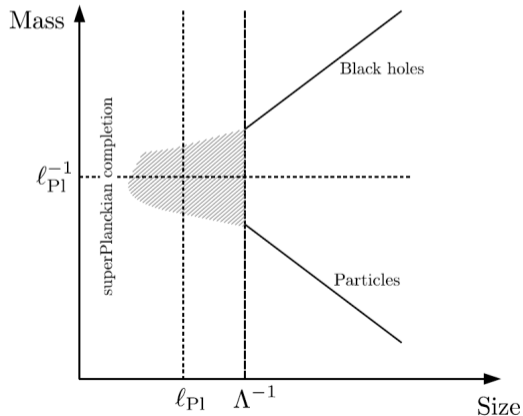
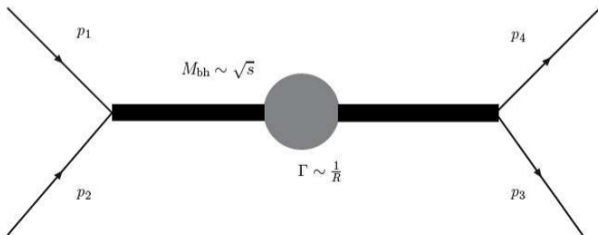
2. Why Weak Nonlocality? – Quantum Gravity

Quantum Gravity in Local QFT:

- Drawbacks for Perturbative Renormalizability + Unitarity!
- Black Holes: UV/IR Mixing + Information Paradox \Rightarrow Nonlocality?
[Giddings, Porto, *Phys.Rev.D* 81 (2010) 025002]

\Rightarrow Going Beyond Local QFT?

e.g. String (Field) Theory / Something Simpler?



3. Nonlocal Scalar Field

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3. Nonlocal Scalar Field – Toy Model

- Nonlocal Toy Scalar Model:

$$S = \int d^4x \left[\frac{1}{2} \phi(x) \gamma(\square) \phi(x) - V(\phi) \right]$$

- Weakly Nonlocal Form Factor:

$$\gamma(\square) = \sum_{n=0}^{\infty} c_n \square^n$$

- Nonlocality Manifest via Delocalization Kernel:

$$\phi(x) \gamma(\square) \phi(x) = \int d^4y \phi(x) K(y-x) \phi(y)$$

- Euler-Lagrange Equation:

$$\frac{\delta S}{\delta \phi(x)} = 0 \quad \Longrightarrow \quad \gamma(\square) \phi(x) - \frac{dV}{d\phi(x)} = 0$$

N.B: Free Field \Rightarrow Local Theory

3. Nonlocal Scalar Field – Ghost Problem (1/2)

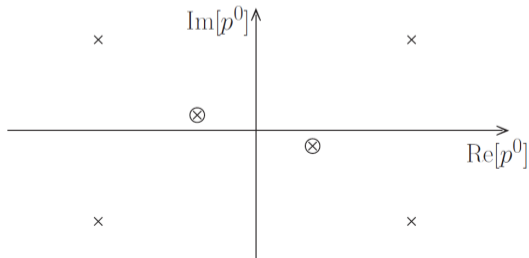
- Truncated Sum \Rightarrow Higher-Derivative Theory:

$$\mathcal{L}_\phi = -\frac{1}{2}\phi \left[\left(\frac{\square}{M^2} \right)^2 + 1 \right] (\square + m^2) \phi - \lambda \sum_{n=4}^N \frac{c_n}{n!} \phi^n, \quad N \in \mathbb{N}$$

- Propagator with Complex Conjugate Poles:

$$iD(p^2, m^2, \epsilon) = \frac{iM^4}{(p^2 - m^2 + i\epsilon)((p^2)^2 + M^4)}$$

- Problems with Causality/Unitarity/Stability \Rightarrow No Consensus on Higher-Derivative Theories
[Platania, JHEP 09 (2022) 167]



3. Nonlocal Scalar Field – Ghost Problem (2/2)

- No Ghosts in EFT's!!! Weinberg's Footnote [[Weinberg, Phys.Rev.D 77 \(2008\) 123541](#)]:

$$\mathcal{L} = -\frac{1}{2}[\partial_\mu\varphi\partial^\mu\varphi + m^2\varphi^2 + M^{-2}(\square\varphi)^2] + J\varphi$$

where $M \gg m$ is some very large mass, and J is a c-number external current. We can easily find the connected part Γ of the vacuum persistence amplitude:

$$\Gamma = i \int d^4k \frac{|J(k)|^2}{k^2 + m^2 + k^4/M^2}.$$

If we took this result seriously, then we would conclude that in addition to the usual particle with mass $m + O(m^3/M^2)$, the theory contains an unphysical one particle state with mass $M + O(m^2/M)$. But if we regard \mathcal{L} as just the first two terms in a power series in $1/M^2$, then we must treat the term $M^{-2}(\square\varphi)^2$ as a first-order perturbation, so that the vacuum persistence amplitude is

$$\Gamma = i \int d^4k |J(k)|^2 \left[\frac{1}{k^2 + m^2} - \frac{k^4}{M^2(k^2 + m^2)^2} + \dots \right],$$

and the only pole is at $k^2 = -m^2$. This is just the same result for Γ that we would find if we were to eliminate the second time derivatives in the $O(M^{-2})$ term in \mathcal{L} by using the field equation derived from the leading term in the Lagrangian

$$\square\varphi = m^2\varphi - J.$$

In this case the effective Lagrangian becomes

$$\mathcal{L} = -\frac{1}{2}[\partial_\mu\varphi\partial^\mu\varphi + m^2\varphi^2 + m^4M^{-2}\varphi^2] + (1 + m^2/M^2)J\varphi - J^2/2M^2.$$

Original Argument: [[Simon, Phys.Rev.D 41 \(1990\) 3720](#)]

3. Nonlocal Scalar Field – Ghost-Free Form Factors (1/5)

- Ghost-Free Infinite-Derivative Form Factor (Nonlocal Scale M):

$$\gamma(\square) = (\square - m^2)e^{H(\square)}$$

$H(\square)$ is an Entire Function with $H(0) = 1$; Euclidean Bare Propagator:

$$-\frac{e^{-H(-k^2)}}{k^2 + m^2}$$

- Wataghin Form Factor (String-Inspired) [[Wataghin, Z.Phys. 88 \(1934\) 92-98](#)]:

$$H_{\text{Wat}} = -\frac{\square}{M^2}$$

- Krasnikov Form Factor [[Krasnikov, Theor.Math.Phys. 73 \(1987\) 1184-1190](#)]:

$$H_{\text{Kras}} = \frac{\square^2}{M^4}$$

3. Nonlocal Scalar Field – Ghost-Free Form Factors (2/5)

- Kuz'min Form Factor [Kuz'min, Sov.J.Nucl.Phys. 50 (1989) 1011-1014]:

$$H_{\text{Kuz}}(\square) = \log m(\square) + \Gamma[0, m(\square)] + \gamma_E, \quad m(\square) = a \left(\frac{\square}{M^2} \right)^{n_{deg}}$$

- $m(\square) \equiv$ Real Monomial ($a \in \mathbb{R}^*$) of Degree $n_{deg} \in \mathbb{N}^*$
- Upper Incomplete Gamma Function / Exponential Integral:

$$\Gamma(0, z) = \int_z^\infty dx \frac{e^{-x}}{x}$$

- Asymptotically Monomial in Conical Region:

$$e^{H(z)} \stackrel{|z| \rightarrow \infty}{\simeq} |z|^{n_{deg}} \quad z \in \mathcal{C}, \quad -\theta < \arg(z) < \theta$$

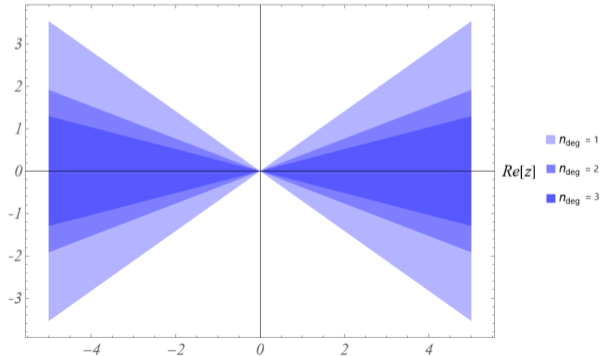
3. Nonlocal Scalar Field – Ghost-Free Form Factors (3/5)

- Tomboulis Form Factor: [Tomboulis (1997), arXiv:hep-th/9702146]

$$H_{\text{Tom}}(\square) = \frac{1}{2} [\log p^2(\square) + \Gamma [0, p^2(\square)] + \gamma_E] ; \text{ Polynomial } p(z) \text{ of Degree } n_{\text{deg}} \in \mathbb{N}^*$$

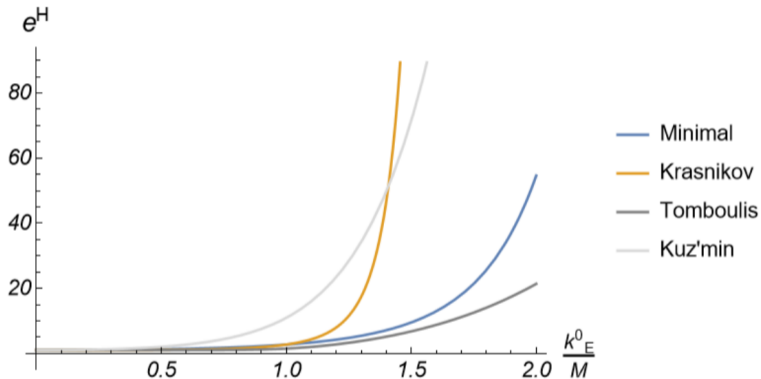
Asymptotically Polynomial in Conical Region:

$$\mathcal{C} = \{z \mid -\theta < \arg(z) < \theta \cup \pi - \theta < \arg(z) < \pi + \theta\}, \quad \theta = \frac{\pi}{4n_{\text{deg}}}$$



3. Nonlocal Scalar Field – Ghost-Free Form Factors (4/5)

Form Factors vs Euclidean Energies k_E^0 :

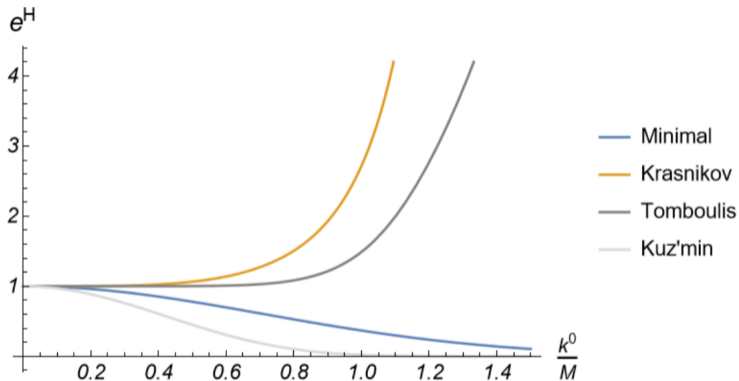


Minimal \equiv Wataghin; Kuz'min ($n_{deg} = 3$); Tomboulis ($n_{deg} = 2$)

\Rightarrow All Form Factors Dampen Propagators in UV!

3. Nonlocal Scalar Field – Ghost-Free Form Factors (5/5)

Form Factors vs Minkowskian Energies k^0 :

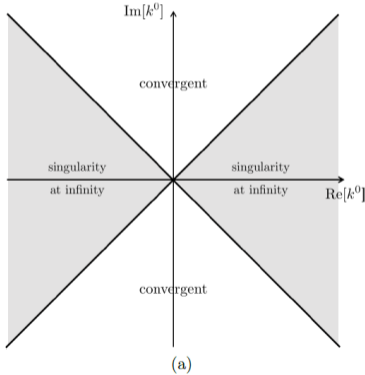


⇒ Wataghin (Minimal) & Kuz'min ($n_{deg} = 3$) Form Factors Make Propagators Blow Up in UV!

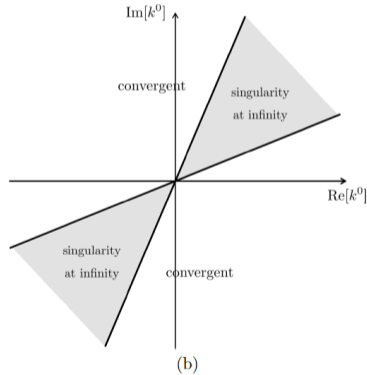
⇒ Only Krasnikov & Tomboulis ($n_{deg} = 2$) Form Factors Dampen Propagators in UV!

3. Nonlocal Scalar Field – Analyticity & Unitarity (1/2)

- Review: [Buoninfante, Phys.Rev.D 106 (2022) 12, 126028]
- Essential Singularity at Complex Infinity \Rightarrow Wick Rotation Not Defined!
- Form Factors Blow Up for Large Real Energies \Rightarrow Perturbative Unitarity Lost [Koshelev, Tokareva, Phys.Rev.D 104 (2021) 2, 025016]



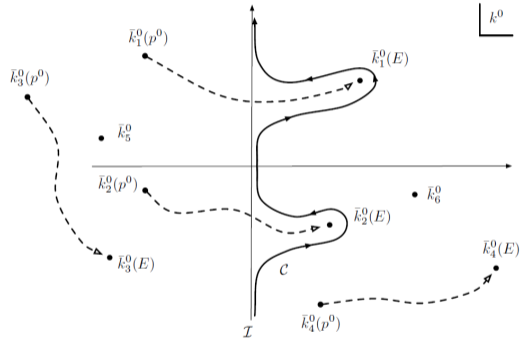
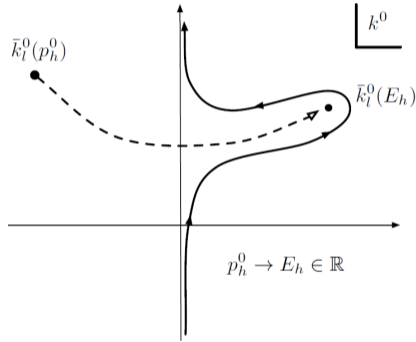
(a) Wataghin Form Factor $e^{-\square}$



(b) Krasnikov Form Factor e^{\square^2}

3. Nonlocal Scalar Field – Analyticity & Unitarity (2/2)

- Efimov Analytic Continuation \Rightarrow Only External Momenta (Euclidean Starting point)
[Efimov, Sov.J.Nucl.Phys. 4 (1967) 2, 309-315]



- Cutkosky Rules [Briscese, Modesto, Phys.Rev.D 99 (2019) 10, 104043]:

$$\frac{i e^{-H(p^2)}}{p^2 - m^2 + i\epsilon} \longrightarrow (-2\pi i) \sigma(p^0) \delta(p^2 - m^2 + i\epsilon)$$

3. Nonlocal Scalar Field – Higgs Mechanism & Naturalness (1/2)

- Naturalness of Higgs Boson Mass [[Biswas, Okada, Nucl.Phys.B 898 \(2015\) 113-131](#)]
- Toy ϕ^4 Theory (Klein-Gordon Mass):

$$S = \int d^4x \left[-\frac{1}{2} \phi e^{\frac{\square+m^2}{M^2}} (\square + m^2) \phi - \frac{\lambda}{4!} \phi^4 \right]$$

- Radiative Corrections to ϕ -mass (External Momenta $p^2 = -m^2$):

$$\delta m^2 = i\Gamma_2 = \frac{\lambda}{32\pi^2} \left[e^{-\frac{m^2}{M^2}} + \left(\frac{m^2}{M^2} \right) Ei \left(-\frac{m^2}{M^2} \right) \right] M^2$$

$$M^2 \gg m^2 \quad \Rightarrow \quad \delta m^2 \simeq \frac{\lambda}{32\pi^2} M^2$$

- Terascale Fuzziness $\Rightarrow M = \mathcal{O}(1)$ TeV \Rightarrow Electroweak Scale Stabilized?

3. Nonlocal Scalar Field – Higgs Mechanism & Naturalness (2/2)

- Ghost-Free Higgs Mechanism Is Tricky [Hashi, Isono, Noumi, Shiu, Soler, JHEP 08 (2018) 064]
- Tachyon Field \Rightarrow Ghost-Free in Tachyonic Vacuum (Unstable):

$$S = \int d^4x \left[\frac{1}{2} \phi e^{-\frac{\square}{M^2}} (\square + \mu^2) \phi - \frac{1}{3} g \phi^3 \right] \quad \text{with } \mu^2 > 0$$

- Spinless Boson \Rightarrow Not Ghost-Free in Physical Vacuum:

$$S = \int d^4x \left[\frac{1}{2} \varphi e^{-\frac{\square}{M^2}} (\square + \mu^2) \varphi - \mu^2 \varphi^2 - \frac{1}{3} g \varphi^3 \right].$$

The propagator of φ reads

$$\Pi_{\varphi}(k) = \left[e^{\frac{k^2}{M^2}} (k^2 - \mu^2) + 2\mu^2 \right]^{-1}.$$

\Rightarrow No Ghost-Free Spectrum Anymore!

4. Pseudolocality

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4. Pseudolocality – Local Higher-Derivative Theories (1/4)

- Stelle Quadratic Gravity [Stelle, Phys.Rev.D 16 (1977) 953-969]:

$$S = \frac{1}{2\kappa^2} \int d^4x \sqrt{-g} (R + \alpha_1 R^2 + \alpha_2 R_{\mu\nu} R^{\mu\nu} + \alpha_3 R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma})$$

⇒ Renormalizable in $D = 4$ (Euclidean) **BUT** 1 Ghost

- Higher-Derivative Gravity [Asorey, Lopez, Shapiro, Int.J.Mod.Phys.A 12 (1997) 5711-5734]:

$$S_{local} = \frac{1}{2\kappa^2} \int d^4x \sqrt{-g} \left[R + \sum_{n=0}^{n_{deg}-1} R a_n \square^n R + \sum_{n=0}^{n_{deg}-1} R_{\mu\nu} b_n \square^n R^{\mu\nu} \right]$$

Superficial Degree of Divergence:

$$\omega(\mathcal{F}) = 4 - 2(n_{deg} - 1)(L - 1)$$

$n_{deg} > 3 \Rightarrow$ Only 1-loop UV $\infty \Rightarrow$ Super-Renormalizable **BUT** Ghosts.

- Possibility to get a UV Fixed Point [Fradkin, Tseytlin, Phys.Lett.B 104 (1981) 377-381]

4. Pseudolocality – Local Higher-Derivative Theories (2/4)

Local QFT of Gravity \Rightarrow Ghost Problem!



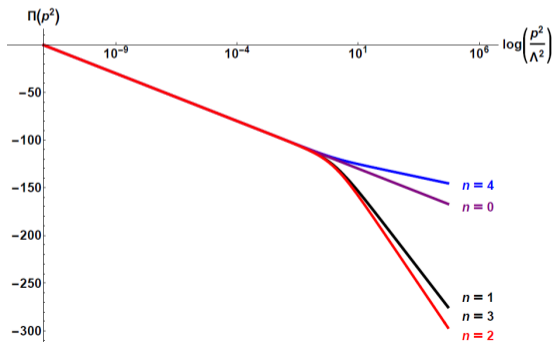
4. Pseudolocality – Local Higher-Derivative Theories (3/4)

- Euclidean Higher-Derivative Yang-Mills [Asorey, Falceto, Rachwal, JHEP 05 (2021) 075]:

$$S = \frac{1}{4g^2} \int d^4x F_{\mu\nu}^a F^{\mu\nu a} + \frac{1}{4g^2 \Lambda^{2n}} \int d^4x F_{\mu\nu}^a \Delta^n F^{\mu\nu a}$$

$$\Delta_{\mu a}^{\nu b} = -D^2 \delta_{\mu}^{\nu} \delta_a^b + 2f_{ca}^b F_{\mu}^{\nu c}$$

- $2 \leq n \leq 4 \Rightarrow$ Superrenormalizable + Asymptotic Freedom (Only 1-loop UV ∞)



4. Pseudolocality – Local Higher-Derivative Theories (4/4)

How to Get Rid of those Ghosts?



4. Pseudolocality – Nonlocal Exorcism (1/3)



4. Pseudolocality – Nonlocal Exorcism (2/3)

- Weakly Nonlocal QFT's with Ghost-Free Form Factor:

$$\mathcal{L}_{\text{YM}} = -\frac{1}{4g_{\text{YM}}^2} \left[\text{tr} F e^{H(-\mathcal{D}_\Lambda^2)} F + \mathcal{V}_{\text{YM}} \right] \quad (\text{GAUGE - THEORY})$$

$$\mathcal{L}_{\text{gr}} = -2\kappa_D^{-2} \sqrt{|g|} \left[R - \frac{1}{2} R \frac{e^{H(-\square_\Lambda)} - 1}{\square} R + R_{\mu\nu} \frac{e^{H(-\square_\Lambda)} - 1}{\square} R^{\mu\nu} + \mathcal{V}_{\text{gr}} \right] \quad (\text{GRAVITY})$$

- Original Articles:

- Kuz'min, Sov.J.Nucl.Phys. 50 (1989) 1011-1014
 - Tomboulis (1997), arXiv:hep-th/9702146
 - Modesto, Phys.Rev.D 86 (2012) 044005
 - Modesto, Rachwal, Nucl.Phys.B 889 (2014) 228-248
 - Modesto, Piva, Rachwal, Phys.Rev.D 94 (2016) 2, 025021
- Exponential Form Factors (Wataghin & Krasnikov) \Rightarrow Violate Power Counting Theorem!
 - Asymptotically Polynomial Form Factors (Kuz'min & Tomboulis) \Rightarrow Superrenormalizability!
 - Theory Interpolates btw 2 local QFT's (deep IR & deep UV) via a Nonlocal Window

4. Pseudolocality – Nonlocal Exorcism (3/3)

- Renormalization of Weakly Nonlocal Yang-Mills QFT [Tomboulis (1997), arXiv:hep-th/9702146]:

$$\mathcal{L}_R = -\frac{1}{2g^2} \text{tr} \mathbf{F}_{\mu\nu} \mathbf{F}^{\mu\nu} - \frac{\alpha}{2} \text{tr} \mathbf{F}_{\mu\nu} h\left(-\frac{\mathbf{D}^2}{\Lambda^2}\right) \mathbf{F}^{\mu\nu} - \frac{1}{2g^2} (Z_3 - 1) \text{tr} \mathbf{F}_{\mu\nu} \mathbf{F}^{\mu\nu}$$

- Ghost-Free Form Factor (α Is Not Renormalized):

$$\bar{h}(z) \equiv 1 + g^2 \alpha h(z)$$

- No Ghosts \Rightarrow Renormalization Condition at Scale $\mu_0 \sim \Lambda$ with $\alpha = 1/g(\mu_0)^2$.
- Dressed Propagator $\Rightarrow \infty$ -Tower of CC Poles? [Shapiro, Phys.Lett.B 744 (2015) 67-73]:

$$-i \frac{e^{-H(k^2)}}{k^2 (1 + \beta_\alpha e^{-H(k^2)} \log(k^2/\mu_0^2))}$$

- Naive Guess for Matter & Higgs Fields [Modesto, Rachwal, Nucl.Phys.B 900 (2015) 147-169]:

$$\sum_a^{N_f} \bar{\psi}_a i \not{D}_a e^{H(-\mathcal{D}_{a,\Lambda}^2)} \psi_a + (\mathcal{D}_\mu \Phi)^\dagger e^{H(-\mathcal{D}_\Lambda^2)} (\mathcal{D}^\mu \Phi) - \mu^2 \Phi^\dagger e^{H(-\mathcal{D}_\Lambda^2)} \Phi - \lambda (\Phi^\dagger \Phi)^2$$

BUT Not Ghost-Free in Physical Vacuum! [Hashi, Isono, Noumi, Shiu, Soler, JHEP 08 (2018) 064]

4. Pseudolocality – Matter & Higgs Sectors

- Pseudolocal Recipe from a Mother Local QFT (Minimal Nonlocality):
 - Modesto (2021), arXiv:2103.04936
 - Modesto, Calcagni, JHEP 10 (2021) 169

$$S(\Phi_i) = \int d^D x \sqrt{|g|} [\mathcal{L}_{\text{loc}} + E_i F_{ij}(\Delta) E_j + \mathcal{V}(E)],$$

$$S_{\text{loc}} = \int d^D x \sqrt{|g|} \mathcal{L}_{\text{loc}}, \quad \mathcal{L}_{\text{loc}} = \frac{1}{2\kappa^2} R + \mathcal{L}_m,$$

$$E_i(x) := \frac{\delta S_{\text{loc}}}{\delta \Phi_i(x)},$$

$$\Delta_{ki} := \frac{\delta E_i}{\delta \Phi_k} = \frac{\delta^2 S_{\text{loc}}}{\delta \Phi_k \delta \Phi_i},$$

$$2\Delta_{ik} F_{kj}(\Delta) \equiv \left[e^{\mathbf{H}(\Delta)} - 1 \right]_{ij},$$

$$\mathcal{E}_k = \left[e^{\mathbf{H}(\Delta)} \right]_{kj} E_j + O(E^2) = 0$$

- Classical Solutions of Local Theory \Rightarrow Solutions of Weakly Nonlocal Theory!
- Tree-Duality \Rightarrow Perturbative Spectrum + Tree-Level Scat. Amplitudes \equiv Mother Local QFT!
- Ghost-Free Higgs Mechanism: [Modesto, JHEP 06 (2021) 049]

5. Epilogue

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5. Epilogue – Summary & Outlook

• Summary:

- Intrinsic to Gravity + Inspired by String (Field) Theory
- Weak Nonlocality \Rightarrow UV Softening Without Ghosts
- Euclidean \rightarrow Lorentzian Signature via Efimov Analytic Continuation
- Gauge Interactions + Gravity \Rightarrow Asymptotically Polynomial Form Factors
- Matter + Higgs \Rightarrow Tree-Duality **BUT** Detailed Analysis Still Missing...

• Outlook:

- Which Notion of Causality in a Pseudolocal QFT?
- Analyticity/Unitarity of Scattering Amplitudes at Loop-Level?
- Consistency \Rightarrow Isolate a Unique Form Factor?
- Other Consistent Weakly Nonlocal Theories? \Rightarrow Worldline Inversion Symmetry? $t \mapsto 1/t$
[Abel, Dondi, JHEP 07 (2019) 090] \Rightarrow UV/IR Duality?

$$\Pi(p^2) = \int_0^\infty dt e^{-T(t)(p^2+m^2)}$$

5. Epilogue – UV/IR Mixing in String Theory

Modular Invariance in String Theory [Abel, Dienes, Phys.Rev.D 104 (2021) 12, 126032]:

