Berner Fachhochschule
Haute école spécialisée bernoise
Bern University of Applied Sciences

Introduction

Dear institutional representatives,

Thank you for taking part in the PLATO (Platinum Open Access Funding) Study dedicated to gaining a better understanding of the Swiss Platinum Open Access landscape (open access journals that do not charge fees for either authors or readers).

Your responses play a crucial role in assessing the challenges, opportunities and needs of Swiss platinum open access journals. They will provide the baseline for discussing sustainable funding models.

We understand that completing a survey can be an effort. As a reward, we will invite those who fill out the survey to a platinum open access community workshop event in fall 2022.

The survey takes approximately 10 to 15 minutes.

We ensure your privacy by pseudo-anonymizing all responses before sharing any insights.

The non-anonymized data will be deleted from Qualtrics upon survey completion. It will be stored at a secured server of the University of Zurich. Only the BFH survey team consisting of Prof. Dr. Christian Hopp and Dr. Gernot Pruschak as well as the PLATO project manager, Dr. Daniela Hahn, will have direct access to the full responses. In addition, one authorized person from each PLATO member institution (University of Zurich, University of Bern, University of Geneva, University of Neuchatel, Zurich University of the Arts, ETH Zurich) can receive, upon request, access to the non-anonymized data after signing non-disclosure agreements.

In the following, we explictly ask for your consent for participating in the study:

My participation in this survey is voluntary. There is no explicit or implicit coercion whatsoever to participate.

○ Yes

I have the right not to answer any of the questions. If I feel uncomfortable in any way while filling out the survey, I can end the survey immediately.

○ Yes

I have been given the explicit guarantees that the researchers will not identify me by name or function in any reports using information obtained from this survey, and that my confidentiality as a participant in this study will remain secure.

○ Yes

I agree that my pseudo-anonymized responses (double-checked by a data expert) will be provided in the public domain via open data sharing of the whole survey dataset using a CC0 (no rights remain) license. This will not include any data that could lead to the identification of individual participants.

○ Yes

○ Maybe - The researchers shall send me my pseudo-anonymized responses via e-mail before I decide on whether they can be shared in the public domain. E-mail:

Institution Information

What is the name of the institution/organization/platform (in the following simply referred to as institution) you represent?

$$\boxed{}$$

What type of institution do you represent?

○ Higher Education Institution Library

○ Higher Education Institution Open Science Bureau

○ Higher Education Institution School/Department/Chair

○ Higher Education Institution Other

○ Regional Academic Society

○ National Academic Society

○ International Academic Society

○ Other Research Organization

○ Non-Profit Publisher

○ For-Profit Publisher

○ Specialized Organization (e.g. Association specifically founded for operating the journal)

○ Government Agency

○ Non-Profit Organization

○ Private Industry

◯ [   ] Other:

How many Platinum open access journals (journals not charging subscription fees or APCs) are published and/or hosted by the institution?

[   ]

Please name the published and/or hosted journals:

[   ]

How many Platinum open access journals that are NOT published or hosted by the institution are directly funded by the institution (excluding the provision of staff through salary payments)?

[   ]

Please name these funded journals:

> [ ]

How many Platinum open access journals that are NOT published or hosted by the institution are supported by the institution through enabling staff to spend paid working time on journal duties?

> [ ]

Please name these staff-supported journals:

> [ ]

How many other open access journals (journals charging APCs or employing embargo periods) are published by the institution?

> [ ]

How many paywall journals are published by the institution?

To which extent do you agree with the following statements?

	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree	Not Applicable	
Your institution considers furthering Platinum open access as important.	○					☐	[
Your institution actively encourages its staff to take up roles at Platinum open access journals.	○					☐	[
Your institution actively encourages its staff to publish in Platinum open access journals.	○					☐	[

	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree		Not Applicable
Your institution actively encourages its staff to gain skills and competences related to Platinum open access publishing.	○					☐	☐

Publishing

Why does the institution publish/host platinum open access journals?

[ ]

Please consider all platinum open access journals published/hosted at the institution. Per year, how much does the institution pay for journal-related...

total costs in CHF?

costs for salaries in CHF?

costs for outsourced services in CHF?

costs for IT equipment in CHF?

costs for IT development in CHF?

costs for IT support in CHF?

costs for DOIs in CHF?

costs for marketing in CHF?

Which services does the institution internally provide for Platinum open access journals?

☐ Copyediting
☐ Design
☐ Editorial Board
☐ Editorial Managers/Assistants
☐ Fundraising

☐ Hosting

☐ Marketing

☐ Organizing peer review

☐ Proofreading

☐ IT Development

☐ IT Support

☐ Training

☐ Triaging

☐ Typesettinig

☐ [   ] Other:

Which services does the institution outsource commercially?

☐ Copyediting

☐ Design

☐ Editorial Board

☐ Editorial Managers/Assistants

☐ Fundraising

☐ Hosting

☐ Marketing

☐ Organizing peer review

☐ Proofreading

☐ IT Development

☐ IT Support

☐ Training

☐ Typesetting

☐ [   ] Other:

Who does the institution pay for Platinum open access journals?

☐ Editor-In-Chief

☐ Editorial Board Member

☐ Editorial Manager

☐ Editorial Assistants

☐ Peer Reviewers

☐ Authors

☐ IT Experts

☐ [   ] Other:

Do staff members of the institution engage in voluntary work for the platinum open access journals?

○ Yes

○ No

○ Unknown

What positions do the volunteers take over in the platinum open access journals?

- ☐ Editor-In-Chief
- ☐ Editorial Board Member
- ☐ Editorial Manager
- ☐ Editorial Assistants
- ☐ Peer Reviewer
- ☐ Authors
- ☐ IT Experts
- ☐ [   ] Other:

Does the institution receive external funding for publishing Platinum Open Access?

- ○ Yes
- ○ No
- ○ Unknown

Where does the institution receive this external funding from?

[   ]

Funding

Why does the institution fund platinum open access journals?

How much total funding does the institution provide per year to platinum open access journals?

Does the institution receive external funding for funding Platinum Open Access?

○ Yes

○ No

○ Unknown

Where does the institution receive this external funding from?

Human Resources

Why does the institution provide HR support to platinum open access journals?

How many paid Full-Time-Equivalent does your staff provide for Platinum open access journals?

Who do you pay for working for platinum open access journals?

☐ Editor-In-Chief

☐ Editorial Board Member

☐ Editorial Manager

☐ Editorial Assistants

☐ Peer Reviewer

☐ Authors

☐ IT Experts

☐ [   ] Other:

Does the institution receive external funding for supporting platinum open access journals with HR?

○ Yes

○ No

○ Unknown

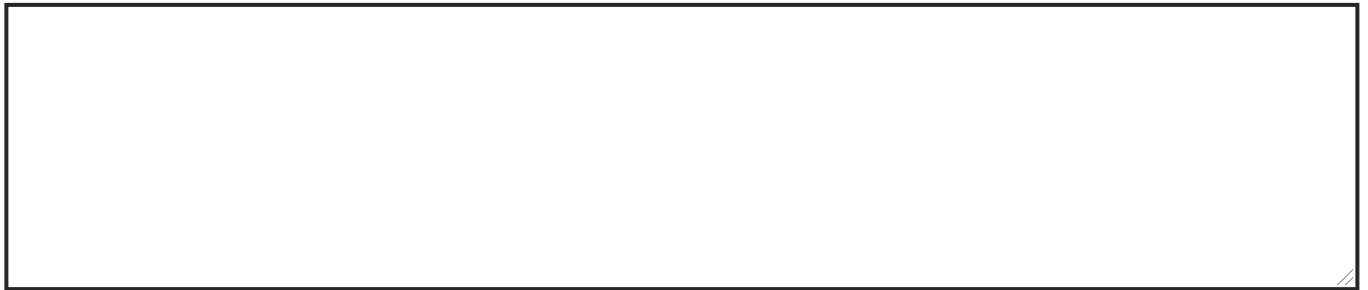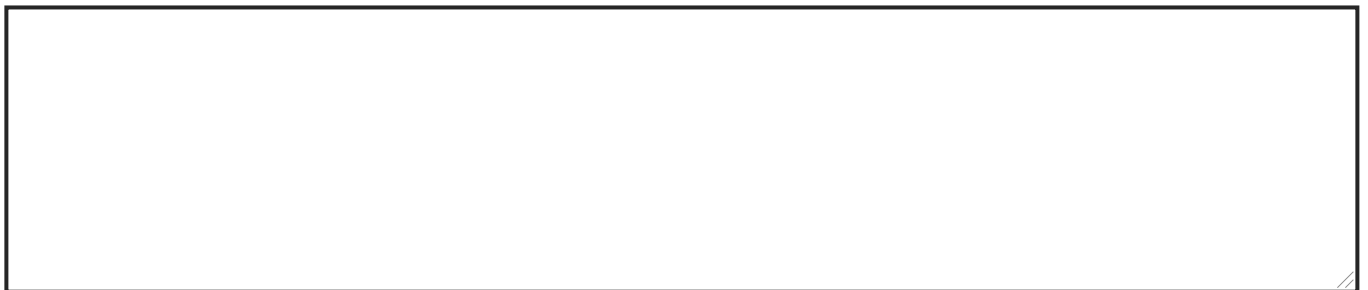Where does the institution receive this external funding from?

[   ]

Final Questions

In an ideal world, what would be the ideal publishing model?

How would that publishing model be financed?

What needs to happen to increase platinum open access publishing?

Is there anything else you would like us to know?

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Do you accept to be contacted via e-mail by the research team for follow-up questions?

○ [   ]Yes, please contact me at the following e-mail:

○ No

Do you want to receive the final report of the study and further information about its follow-up?

○ [   ]Yes, please send it to the following e-mail:

○ No

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