



Berner Fachhochschule
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Introduction Page

Dear editor,

Thank you for taking part in the PLATO (Platinum Open Access Funding) Study dedicated to gaining a better understanding of the Swiss Platinum Open Access landscape (open access journals that do not charge fees to either authors or readers).

Your responses play a crucial role in assessing the challenges, opportunities and needs of Swiss platinum open access journals. They will provide the baseline for discussing sustainable funding models.

We understand that completing a survey can be an effort. As a reward, we will invite those who fill out the survey to a platinum open access community workshop event in fall 2022.

The survey takes approximately 20 minutes. It consists of five parts:

1. General Journal Information
2. Editorial Processes
3. Technical Infrastructure and Indexation
4. Funding
5. Challenges and Opportunities

We ensure your privacy by pseudo-anonymizing all responses before sharing any insights.

The non-anonymized data will be deleted from Qualtrics upon survey completion. It will be long-term stored at a secured server at the University of Zurich. Only the BFH survey team consisting of Prof. Dr. Christian Hopp and Dr. Gernot Pruschak as well as the PLATO project manager, Dr. Daniela Hahn, will have direct access to the full responses. In addition, one authorized person from each PLATO member institution (University of Zurich, University of Bern, University of Geneva, University of Neuchatel, Zurich University of the Arts, ETH Zurich) can receive, upon request, access to the non-anonymized data after signing non-disclosure agreements.

In the following, we explicitly ask for your consent for participating in the study:

My participation in this survey is voluntary. There is no explicit or implicit coercion whatsoever to participate.

Yes

I have the right not to answer any of the questions. If I feel uncomfortable in any way while filling out the survey, I can end the survey immediately.

Yes

I am aware of the guarantee that the researchers will not identify me by name or function in any reports using information obtained from this survey, and that my confidentiality as a participant in this study will remain secure.

Yes

I agree that my pseudo-anonymized responses (double-checked by a data expert) will be provided in the public domain via open data sharing of the whole survey dataset using a CC0 (no rights remain) license. This will

not include any data that could lead to the identification of individual participants.

- Yes
- Maybe - The researchers shall send me my pseudo-anonymized responses via e-mail before I decide on whether they can be shared in the public domain. E-mail:

General Journal Info

Please state your primary affiliation:

Please state the name of the journal for which you fill in this survey questionnaire:

When was the journal founded?

What is your role in this journal?

- Editor-In-Chief
- Editor
- Assistant Editor
- Editorial Manager
- Other:

Is the journal...

- charging authors APCs?
- charging authors page charges?
- charging authors color or illustration charges?
- only providing access to readers via subscription, membership, paywall or registration?
- not active anymore?
- None of the answers above are applicable

How many articles did the journal approximately publish in 2021?

Has the average number of published articles per year ...

- increased in the past three years?
- remained constant in the past three years?
- fluctuated by more than 25 articles in the past three years?
- declined in the past three years?
- Not applicable because the journal was founded less than three years ago.

What is the name of the organization that primarily publishes the journal?

Does there exist a contractual agreement with that organization regarding the ownership of the journal?

- Yes
- No
- Yes, but with a different organization:

What hosting service does the journal use?

- Open Journal Systems
- Free Journal Network
- OpenEdition
- Zenodo
- Wordpress
- BOP - Bern Open Publishing
- HOPE - Hauptbibliothek Open Publishing Environment (UZH)
- OAP - Open Access Publications (UNIGE)
- SOAP2 (Uni Fribourg)
- Other:

Which type of creative commons license does the journal employ?

- CC0
- CC BY
- CC BY-NC
- CC BY-NC-ND
- CC BY-NC-SA
- CC BY-SA
- No creative commons license
- Other:

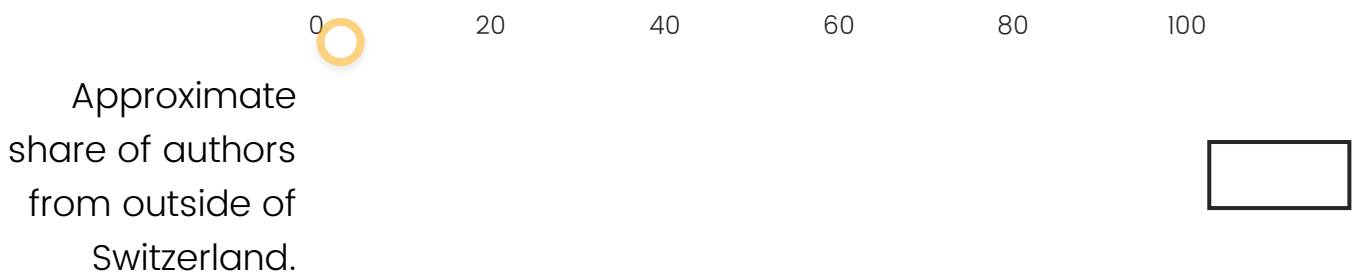
Does the journal allow authors to retain the copyright without restrictions?

- Yes
- No

What is the journal's target audience? (multiple responses possible)

- Scholars within the journal's main discipline
- Scholars beyond the journal's main discipline
- Professionals/practitioners
- Artists
- Educators/Teaching staff
- General readership of non-specialists
- Other:

Please tell us more about your authors.



0 20 40 60 80 100

Approximate share of authors from outside of Europe.

Approximate share of authors with the same affiliation as yours.

Editorial Process

Are there author guidelines available on the journal website?

- Yes
- No

Do the author guidelines refer to established best practice guidelines for publication practices?

- Yes, they refer to COPE
- Yes, they refer to the ICMJE
- Yes, they refer to other established standards:
- No

Does the journal adhere to established best practice guidelines during the publication process?

Yes, to COPE

Yes, to ICMJE

Yes, to other established standards:

No

Do you have any policy or practice in place to stimulate open sharing of research data?

Yes (please elaborate):

No

Does the journal employ a peer review process?

No

Double-blind peer review

Single-blind peer review

Open peer review

Editorial review

Other:

How is the peer review process managed by the journal?

- E-mails
- Spreadsheets
- Open Journal Systems
- Manuscript Central
- Scholar One
- Cloud Services (e.g., OneDrive, Google Drive, etc.)
- Institutional Open Access Publishing (e.g. BOP, HOPE, OAP, ...)
- Other publisher's system:
- Other:

Does the journal use a plagiarism detection software?

- Yes, all submissions undergo plagiarism screening
- Yes, certain submissions undergo plagiarism screening
- No

Please name the plagiarism detection software:

Which services does the journal provide in the final steps of publishing an article?

- Copyediting
- Proofreading
- Typesetting
- Social Media & Newsletter Dissemination
- Other:

Does the journal keep track of editorial statistics? If yes, please fill in the values for 2021.

- Average time between submission and publication (in weeks)
- Acceptance rate (in percent)
- Number of requested reviews
- Number of received reviews
- Number of article downloads (2021)
- Number of visitors (2021)

Other:

No

Does the journal publish keywords together with the articles?

- Authors can freely choose keywords
- Keywords can be chosen from a discipline-specific list of keywords
- Keywords can be chosen from a list of keywords provided by the journal
- Other keywords:
- No

Technical Requirements and Indexation

In which bibliometric databases is the journal currently indexed?

- DOAJ
- ROAD
- Google Scholar
- Scopus
- Web of Science
- EBSCO
- ProQuest
- Redalyc

SciELO

Other:

Is the journal currently in the process of applying for being indexed in other bibliometric databases?

DOAJ

ROAD

Google Scholar

Scopus

Web of Science

EBSCO

ProQuest

Redalyc

SciELO

Other:

Does your journal employ a persistent identifier (PID) that remains constant over time and always points to the resource referred to, irrespective of renaming or moving to new domains or URLs?

Crossreff DOIs

Datacite DOIs

- Other DOIs:
- ORCID
- Grant ID
- Other:
- No

Please indicate which formats of full text are available:

- XML
- HTML
- PDF
- DOC/DOCX
- TXT
- ePub
- Mobi
- Other:

What digital long-term archiving policy does the journal use?

- CLINES
- CLOCKSS
- LOCKSS
- PKP PN

- PMC
- Portico
- A National Library
- Other:
- No long-term archiving policy in place.

Does the journal provide article metadata (e.g., information on authors, keywords, etc.) that complies with dedicated journal metadata standards?

- Yes, OpenAIRE standard
- Yes, other standards:
- No, the journal only provides non-standardized article metadata
- No, the journal does not provide article metadata
- Unknown

Does the journal deposit articles and their metadata into author designated or centralized repositories to make the article findable by academic search engines?

- Yes, in a machine-readable community standard format (e.g. JATS XML)
- Yes, in a non-standardized format
- No
- Unknown

Does the journal provide openly accessible data on citations according to the standards of the Initiative for Open Citations (I4OC)?

- Yes
- No
- Unknown

Funding

What are your main motivations for pursuing a platinum open access publishing model?

Has the journal always been platinum open access?

- Yes
- No, it switched to platinum open access in:

What was the publishing model prior to switching to platinum open access publishing?

- Subscription Journal (No open access)
- Hybrid open access (Subscription or APC)
- Gold open access (APC)
- Offline only
- Other:

Why did the journal switch to platinum open access?

Who has financially supported the journal or platform over the last 2 years?

- Your Affiliated Institution
- Higher Education Institution (excluding your affiliated institution and university libraries)
- University Library
- Museum
- Other Research Organization
- Regional Scholarly or Learned Society
- National Scholarly or Learned Society
- International Scholarly or Learned Society

- Regional Government Agency
- Swiss Government Agency
- International Government Agency
- Association Members
- Other:
- The journal did not receive any funding.

How do you currently fund the journal?

- Grant funding
- Support by your affiliated institution (direct financial support)
- Support by your affiliated institution (paid salary costs)
- Support by publishing organization (direct financial support)
- Support by publishing organization (paid salary costs)
- Cross-subsidized by other activities of the publishing organization
- Donations
- Endowments
- Membership Fees
- Selling printed versions of the journal
- Freemium content
- Shared infrastructure
- Voluntary work (excluding authors and reviewers)
- Syndication/hosting/reposting (e.g., on JSTOR)
- Commercial activities (e.g., ad banners on journal website)
- Crowdfunding
- Other:

What were approximately your total annual costs in 2021 for operating the journal? (in CHF)

How much of these costs do you spend approximately on: (in CHF)

Copyediting

Design

Salaries for the Editorial Board

Salaries for Editorial
Managers/ Assistants

Fundraising

Hosting

Marketing

Peer Reviews

Proofreading

IT Development

IT Support

Training

Typesetting

Other (please elaborate the type of costs before writing the number):

How high were the variable costs (excluding fixed overhead costs) per journal article in 2021? (in CHF)

What is the size of paid staff working for the journal (in Full-Time Equivalent, FTE)?

Total FTE

FTE paid from journal budget

FTE paid from other budgets

If there are FTE paid from other budgets, who sponsors those?

What tasks are done by staff members?

- Copyediting
- Design
- Editorial Board
- Editorial Managers/ Assistants
- Fundraising
- Hosting
- Marketing
- Peer reviews
- Proofreading
- IT Development
- IT Support
- Training
- Typesetting
- Other:

Which tasks does the journal outsource for money to third parties (not editorial board members and not affiliated with the publishing institution)?

- Copyediting
- Design
- Editorial Mangers/ Assistants
- Fundraising
- Hosting
- Marketing
- Organizing Peer Reviews
- Proofreading
- IT Development
- IT Support
- Training
- Typesetting
- Other:

How many volunteers work for the journal? (excluding authors and reviewers)

What tasks are done by volunteers?

- Copyediting
- Design
- Editorial Board
- Editorial Managers/ Assistants
- Fundraising
- Hosting
- Marketing
- Peer reviews
- Proofreading
- IT Development
- IT Support
- Training
- Typesetting
- Other:

Please rank the following work steps according to the amount of human resources they require:

Copyediting

Design

Editorial Board

Editorial Managers/ Assistants

Fundraising

Hosting

Marketing

Peer Reviews

Proofreading

IT Development

IT Support

Training

Typesetting

Other:

Which of the following options describes the current financial status of the journal?

- Break-even
- Profit
- Loss
- Unknown

How sustainable do you consider the journal's business model...

	Not sustainable	Very sustainable
for the upcoming year?	<input type="radio"/>	<input type="text"/>
for the next three years?	<input type="radio"/>	<input type="text"/>
for scaling up the journal's impact?	<input type="radio"/>	<input type="text"/>
for scaling up the journal's size (in number of articles)?	<input type="radio"/>	<input type="text"/>

If you could make up to three wishes to Swiss research funding institutions to support the financial sustainability of the journal, what would they be?

Challenges and Opportunities

In which areas does the journal face challenges?

	No Challenges	Major Challenges
Copyediting	<input type="radio"/>	<input type="checkbox"/>
Design	<input type="radio"/>	<input type="checkbox"/>
Editorial Board	<input type="radio"/>	<input type="checkbox"/>
Editorial Managers/ Assistants	<input type="radio"/>	<input type="checkbox"/>
Fundraising	<input type="radio"/>	<input type="checkbox"/>
Hosting	<input type="radio"/>	<input type="checkbox"/>
Marketing	<input type="radio"/>	<input type="checkbox"/>
Peer Reviews	<input type="radio"/>	<input type="checkbox"/>
Proof Reading	<input type="radio"/>	<input type="checkbox"/>
IT Development	<input type="radio"/>	<input type="checkbox"/>
IT Support	<input type="radio"/>	<input type="checkbox"/>
Training	<input type="radio"/>	<input type="checkbox"/>
Typesetting	<input type="radio"/>	<input type="checkbox"/>
Dissemination and Visibility	<input type="radio"/>	<input type="checkbox"/>

No Challenges

Major Challenges



Indexation

Please describe those challenges in more detail:

Do you also face other challenges?

In which areas do you perceive opportunities?

No Opportunities

Major Opportunities



Copyediting

Major

No Opportunities

Opportunities

	No Opportunities	Opportunities
Design	<input type="radio"/>	<input type="checkbox"/>
Editorial Board	<input type="radio"/>	<input type="checkbox"/>
Editorial Managers/ Assistants	<input type="radio"/>	<input type="checkbox"/>
Fundraising	<input type="radio"/>	<input type="checkbox"/>
Hosting	<input type="radio"/>	<input type="checkbox"/>
Marketing	<input type="radio"/>	<input type="checkbox"/>
Peer Reviews	<input type="radio"/>	<input type="checkbox"/>
Proof Reading	<input type="radio"/>	<input type="checkbox"/>
IT Development	<input type="radio"/>	<input type="checkbox"/>
IT Support	<input type="radio"/>	<input type="checkbox"/>
Training	<input type="radio"/>	<input type="checkbox"/>
Typesetting	<input type="radio"/>	<input type="checkbox"/>
Editorial workflow	<input type="radio"/>	<input type="checkbox"/>
Dissemination and visibility	<input type="radio"/>	<input type="checkbox"/>
Indexation	<input type="radio"/>	<input type="checkbox"/>

Please describe those opportunities in more details:

Do you also perceive other opportunities for the journal?

Which challenges do you see in general for the Swiss Platinum Open Access Landscape (not journal-specific)?

Which opportunities do you see in general for the Swiss Platinum Open Access Landscape (not journal-specific)?



Final questions

In an ideal world, what would be the ideal publishing model?



How would that publishing model be financed?

What needs to happen to increase platinum open access publishing?

Is there anything else you would like us to know?

Do you accept to be contacted via e-mail by the research team for follow-up questions?

- Yes, please contact me at the following e-mail:

No

Do you want to receive the final report of the study and further information about its follow-up?

Yes, please send it to the following e-mail:

No

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