PLATO Study Publisher Survey

Codebook

1. Progress

Percentage of questions answered

2. Duration

Duration to from the first to the last action in seconds

3. Finished

1 = All questions answered

0 … otherwise

4. ResponseId

Unique Response ID

5. Distribution

Anonymous -> all respondents accessed survey via anonymous survey link

6. UserLanguage

EN -> all respondents received the survey in English

7. Q_RecaptchaScore

Flow number between 0 (bot for sure) and 1 (human for sure)

8. Voluntary

My participation in this survey is voluntary. There is no explicit or implicit coercion whatsoever to participate.

1 = Yes

9. Answering

I have the right not to answer any of the questions. If I feel uncomfortable in any way while filling out the survey, I can end the survey immediately.

1 = Yes

10. Confidentiality

I am aware of the guarantee that the researchers will not identify me by name or function in any reports using information obtained from this survey, and that my confidentiality as a participant in this study will remain secure.

1 = Yes

11. DataSharing

I agree that my pseudo-anonymized responses (double-checked by a data expert) will be provided in the public domain via open data sharing of the whole survey dataset using a CC0 (no rights remain) license. This will not include any data that could lead to the identification of individual participants.

1 = Yes

0 = Maybe - The researchers shall send me my pseudo-anonymized responses via e-mail before I decide on whether they can be shared in the public domain. *(deleted in first version of shared data due to owing responses)*

12. Institution *(not in published dataset due to anonymity issue)*

What is the name of the institution/organization/platform (in the following simply referred to as institution) you represent?

Text Entry

13. InstitutionType

What type of institution do you represent?

0 = Higher Education Institution Library

1 = Higher Education Institution Open Science Bureau

2 = Higher Education Institution School/Department/Chair

3 = Higher Education Institution Other

4 = Regional Academic Society

5 = National Academic Society

6 = International Academic Society

7 = Other Research Organization

8 = Non-Profit Publisher

9 = For-Profit Publisher

10 = Specialized Organization (e.g. Association specifically founded for operating the journal)

11 = Government Agency

12 = Non-Profit Organization

13 = Private Industry

14 = Other:

13a. InstRepr_15_TEXT (only if Other: selected) (no respondent filled this field out)

Text Entry

14. NumberPubl

How many Platinum open access journals (journals not charging subscription fees or APCs) are published and/or hosted by the institution?

Integer Number

15. PubJournals *(only if 14. >0) (not in published dataset due to anonymity issue)*

Please name the published and/or hosted journals:

Text Entry

16. DirectlyFunded

How many Platinum open access journals that are NOT published or hosted by the institution are directly funded by the institution (excluding the provision of staff through salary payments)?

Integer Number

17. FundJournals *(only if 16. >0) (not in published dataset due to anonymity issue)*

How many Platinum open access journals that are NOT published or hosted by the institution are supported by the institution through enabling staff to spend paid working time on journal duties?

Integer Number

18. HRSupport

How many Platinum open access journals that are NOT published or hosted by the institution are supported by the institution through enabling staff to spend paid working time on journal duties?

Text Entry

19. HRJounrals *(only if 16. >0) (not in published dataset due to anonymity issue)*

Please name these staff-supported journals:

Text Entry

20. OAJournals

How many other open access journals (journals charging APCs or employing embargo periods) are published by the institution?

21. PaywallJournal

How many paywall journals are published by the institution?

22. InstPlatImportant

To which extent do you agree with the following statements?

22a. FurtheringPLATO

Your institution considers furthering Platinum open access as important.

Integer Number from 0 (Strongly Disagree) to 100 (Strongly Agree)

22b. EncourageRolesPLATO

Your institution actively encourages its staff to take up roles at Platinum open access journals.

Integer Number from 0 (Strongly Disagree) to 100 (Strongly Agree)

22c. EncouragePublishPLATO

Your institution actively encourages its staff to publish in Platinum open access journals.

Integer Number from 0 (Strongly Disagree) to 100 (Strongly Agree)

22d. EncourageSkillsPLATO

Your institution actively encourages its staff to gain skills and competences related to Platinum open access publishing.

Integer Number from 0 (Strongly Disagree) to 100 (Strongly Agree)

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PUBLISHING SECTION (only if 14. >0)

</div>

23. Motivation *(not in published dataset because of anonymity issue)*

Why does the institution publish/host platinum open access journals?

Text Entry

24. Costs

Please consider all platinum open access journals published/hosted at the institution. Per year, how much does the institution pay for journal-related...

24a. TotalCosts

total costs in CHF?

Integer Number in CHF

24b. SalariesCosts

costs for salaries in CHF?

Integer Number in CHF

24c. OutsourcingCosts

costs for outsourced services in CHF?

Integer Number in CHF

24d. ITEquipmentCosts

costs for IT equipment in CHF?

Integer Number in CHF

24e. ITDevelopmentCosts

costs for IT development in CHF?

Integer Number in CHF

24f. ITSupportCosts

costs for IT support in CHF?

Integer Number in CHF

24g. DOICosts

costs for DOIs in CHF?

Integer Number in CHF

24h. MarketingCosts

costs for marketing in CHF?

Integer Number in CHF

25. InternalServices

Which services does the institution internally provide for Platinum open access journals?

25a. InternalCopyediting

Copyediting

1 = Yes

0 = Otherwise

25b. InternalDesign

Design

1 = Yes

0 = Otherwise

25c. InternalEditorialBoard

Editorial Board

1 = Yes

0 = Otherwise

25d. InternalEditorialManagers

Editorial Managers/Assistants

1 = Yes

0 = Otherwise

25e. InternalFundraising

Fundraising

1 = Yes

0 = Otherwise

25f. InternalHosting

Hosting

1 = Yes

0 = Otherwise

25g. InternalMarketing

Marketing

1 = Yes

0 = Otherwise

25h. InternalPeerReview

Organizing peer review

1 = Yes

0 = Otherwise

25i. InternalProofreading

Proofreading

1 = Yes

0 = Otherwise

25j. InternalITDevelopment

IT Development

1 = Yes

0 = Otherwise

25k. InternalITSupport

IT Support

1 = Yes

0 = Otherwise

<u>25l. InternalTraining</u>

Training

1 = Yes

0 = Otherwise

<u>25m. InternalTriaging</u>

Triaging

1 = Yes

0 = Otherwise

<u>25n. InternalTypesetting</u>

Typesetting

1 = Yes

0 = Otherwise

<u>25o. InternalOther</u>

Other:

1 = Yes

0 = Otherwise

<u>25p. InternalOther</u>

Other:

1 = Yes

0 = Otherwise

<u>25q. InternalOtherTEXT</u> *(Only if Other: selected)*

Text Entry *(Only Reviewing mentioned twice; this is included in 25r)*

25r. InternalReviewers *(Generated from 25q)*

Reviewing

1 = Yes

0 = Otherwise

26. OutsourcedServices

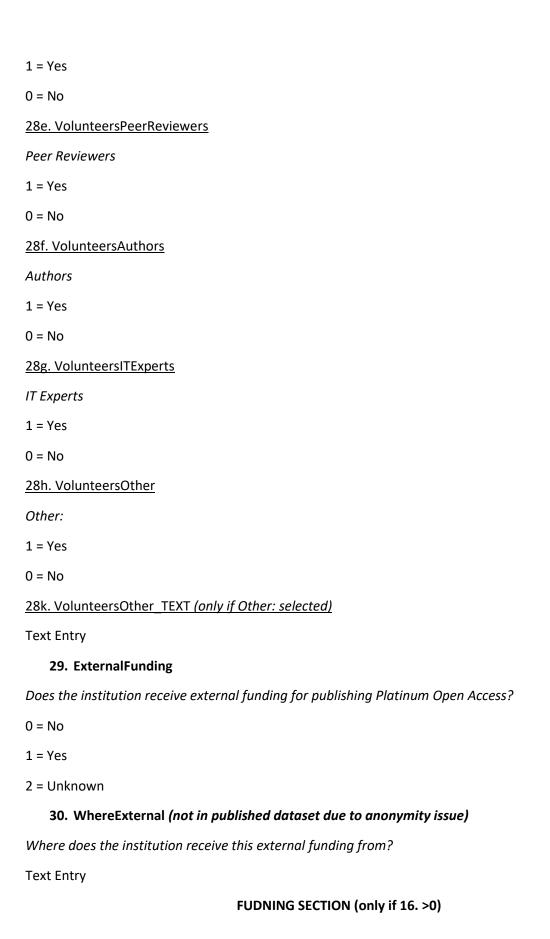<u>26a. OutsourcedCopyediting</u>

Copyediting

1 = Yes

0 = Otherwise

26b. OutsourcedDesign

Design

1 = Yes

0 = Otherwise

26c. OutsourcedEditorialBoard

Editorial Board

1 = Yes

0 = Otherwise

26d. OutsourcedEditorialManagers

Editorial Managers/Assistants

1 = Yes

0 = Otherwise

26e. OutsourcedFundraising

Fundraising

1 = Yes

0 = Otherwise

26f. OutsourcedHosting

Hosting

1 = Yes

0 = Otherwise

26g. OutsourcedMarketing

Marketing

1 = Yes

0 = Otherwise

26h. OutsourcedPeerReview

Organizing peer review

1 = Yes

0 = Otherwise

26i. OutsourcedProofreading

Proofreading

1 = Yes

0 = Otherwise

26j. OutsourcedITDevelopment

IT Development

1 = Yes

0 = Otherwise

26k. OutsourcedITSupport

IT Support

1 = Yes

0 = Otherwise

26l. OutsourcedTraining

Training

1 = Yes

0 = Otherwise

26m. OutsourcedTriaging

Triaging

1 = Yes

0 = Otherwise

26n. OutsourcedTypesetting

Typesetting

1 = Yes

0 = Otherwise

26o. OutsourcedOther

Other:

1 = Yes

0 = Otherwise

26p. OutsourcedOther

Other:

1 = Yes

0 = Otherwise

26q. OutsourcedOtherTEXT *(Only if Other: selected)*

Text Entry

27. Employed

Who does the institution pay for Platinum open access journals?

27a. EmployedEditorInChief

Editor-In-Chief

1 = Yes

0 = No

27b. EmployedEditorialBoard

Editorial Board Member

1 = Yes

0 = No

27c. EmployedEditorialManager

Editorial Manager

1 = Yes

0 = No

27d. EmployedEditorialAssistants

Editorial Assistants

1 = Yes

0 = No

27e. EmployedPeerReviewers

Peer Reviewers

1 = Yes

0 = No

27f. EmployedAuthors

Authors

1 = Yes

0 = No

27g. EmployedITExperts

IT Experts

1 = Yes

0 = No

27h. EmployedOther

Other:

1 = Yes

0 = No

27k. EmployedOther_TEXT *(only if Other: selected)*

Text Entry

28. Volunteers

What positions do the volunteers take over in the platinum open access journals?

28a. VolunteersEditorInChief

Editor-In-Chief

1 = Yes

0 = No

28b. VolunteersEditorialBoard

Editorial Board Member

1 = Yes

0 = No

28c. VolunteersEditorialManager

Editorial Manager

1 = Yes

0 = No

28d. VolunteersEditorialAssistants

Editorial Assistants

1 = Yes

0 = No

28e. VolunteersPeerReviewers

Peer Reviewers

1 = Yes

0 = No

28f. VolunteersAuthors

Authors

1 = Yes

0 = No

28g. VolunteersITExperts

IT Experts

1 = Yes

0 = No

28h. VolunteersOther

Other:

1 = Yes

0 = No

28k. VolunteersOther_TEXT *(only if Other: selected)*

Text Entry

29. ExternalFunding

Does the institution receive external funding for publishing Platinum Open Access?

0 = No

1 = Yes

2 = Unknown

30. WhereExternal *(not in published dataset due to anonymity issue)*

Where does the institution receive this external funding from?

Text Entry

FUDNING SECTION (only if 16. >0)

31. WhyFunding

Why does the institution fund platinum open access journals?

32. AmountFunding

How much total funding does the institution provide per year to platinum open access journals?

Integer Number in CHF

33. FundMatch

Does the institution receive external funding for funding Platinum Open Access?

0 = No

1 = Yes

2 = Unknown

34. WhereFundMatch *(No responses)*

Where does the institution receive this external funding from?

Integer Number in CHF

PUBLISHING SECTION (only if 18. >0)

35. WhySupport *(not in published dataset due to anonymity issue)*

Why does the institution provide HR support to platinum open access journals?

Text Entry

36. FTEs

How many paid Full-Time-Equivalent does your staff provide for Platinum open access journals?

Float Number in FTEs

37. SalaryPaid

Who do you pay for working for platinum open access journals?

37a. SalaryPaidEditorInChief

Editor-In-Chief

1 = Yes

0 = No

37b. SalaryPaidEditorialBoard

Editorial Board Member

1 = Yes

0 = No

37c. SalaryPaidEditorialManager

Editorial Manager

1 = Yes

0 = No

37d. SalaryPaidEditorialAssistants

Editorial Assistants

1 = Yes

0 = No

37e. SalaryPaidPeerReviewers

Peer Reviewers

1 = Yes

0 = No

37f. SalaryPaidAuthors

Authors

1 = Yes

0 = No

37g. SalaryPaidITExperts

IT Experts

1 = Yes

0 = No

37h. SalaryPaidOther

Other:

1 = Yes

0 = No

37k. SalaryPaidOther_TEXT *(only if Other: selected)*

Text Entry

38. ExternalFundingHR

Does the institution receive external funding for supporting platinum open access journals with HR?

0 = No

1 = Yes

2 = Unknown

39. **WhereFundHR** *(no responses received)*

Where does the institution receive this external funding from?

Text Entry

FINAL QUESTIONS (for all respondents)

40. **OptimalModel**

In an ideal world, what would be the ideal publishing model?

Text Entry

41. **OptimalFinance**

How would that publishing model be financed?

Text Entry

42. **OptimalIncrease**

What needs to happen to increase platinum open access publishing?

Text Entry

43. **Anything** *(removed from published dataset due to anonymity issue)*

Is there anything else you would like us to know?

Text Entry

44. **Follow-up**

Do you accept to be contacted via e-mail by the research team for follow-up questions?

0 = No

   1   = Yes, please contact me at the following e-mail: (e-mail address removed due to anonymity issue)

45. **CopyFinalReport**

Do you want to receive the final report of the study and further information about its follow-up?

0 = No

1 = Yes, please send it to the following e-mail: (e-mail address removed due to anonymity issue)