

1 Title page

2 **Title**

3 Role distribution and collaboration between specialists and rural general practitioners  
4 in long-term chronic care: A qualitative study in Switzerland

5 **Authors**

6 Rebecca Tomaschek<sup>1,2</sup>, Armin Gemperli<sup>1,2,3</sup>, Michael Baumberger<sup>4</sup>, Isabelle  
7 Debecker<sup>5</sup>, Christoph Merlo<sup>1</sup>, Anke Scheel-Sailer<sup>2,3</sup>, Christian Studer<sup>1</sup>, Stefan Essig<sup>1</sup>

8 <sup>1</sup> Center for Primary and Community Care, University of Lucerne, 6002 Lucerne,  
9 Switzerland

10 <sup>2</sup> Department of Health Sciences and Medicine, University of Lucerne, 6002 Lucerne,  
11 Switzerland

12 <sup>3</sup> Swiss Paraplegic Research, 6207 Nottwil, Switzerland

13 <sup>4</sup> Swiss Paraplegic Center, 6207 Nottwil, Switzerland

14 <sup>5</sup> REHAB Basel, 4055 Basel, Switzerland

15

16 Word Count: 5,347 words

17 Number of figures and tables: 1 figure, 2 tables

## 18 **Abstract**

### 19 *Introduction*

20 This study explores general practitioners' (GPs) and medical specialists' perceptions  
21 on role distribution and collaboration in care for patients with chronic conditions,  
22 exemplified by spinal cord injury.

### 23 *Methods*

24 Semi-structured interviews with GPs and medical specialists caring for individuals with  
25 spinal cord injury in Switzerland. The physicians we interviewed were recruited as part  
26 of an intervention study. We used a hybrid framework of inductive and deductive coding  
27 to analyze the qualitative data.

### 28 *Results*

29 Six GPs and six medical specialists agreed to be interviewed. GPs and specialists  
30 perceived the role of specialists similarly, namely as an expert and support role for GPs  
31 in case of specialized questions. Specialists' expectations of GP services and what  
32 GPs provide differed. Specialists saw the GPs' role as complementary to their own  
33 responsibilities, namely as the first contact for patients and gatekeepers to specialized  
34 services. GPs saw themselves as care managers and guides with a holistic view of  
35 patients, connecting several healthcare professionals. GPs were looking for relations  
36 and recognition by getting to know specialists better. Specialists viewed collaboration  
37 as somewhat distant and focused on processes and patient pathways. Challenges in  
38 collaboration were related to unclear roles and responsibilities in patient care.

### 39 *Conclusion*

40 The expectations for role distribution and responsibilities differ among physicians.  
41 Different goals of GPs and specialists for collaboration may jeopardize shared care

42 models. The role distribution should be aligned according to patients' holistic needs to  
43 improve collaboration and provide appropriate patient care.

44 **Keywords:** interface, collaboration, role distribution, primary care, secondary care

45 Main text

## 46 **Introduction**

47 Collaboration between healthcare professionals (HCPs) is important for the effective  
48 and safe delivery of care. Healthcare professionals working together can tackle the  
49 burden of chronic diseases. Furthermore, each professional can add relevant skills and  
50 knowledge to assess patients (1). In particular, good collaboration between specialists  
51 and general practitioners (GPs) is essential for meeting patients' needs. GPs see  
52 persons with chronic health conditions often as the first point of contact providing  
53 medical and psychosocial care, but patients also require specialized services and  
54 referrals. A lack of collaboration and coordination between primary and secondary care  
55 often leaves the patient be the only person to have an overview of services provided  
56 (2). Factors that influence the quality of coordination and collaboration are often  
57 organizational such as information exchange and communication between  
58 professionals. Especially, in Switzerland implementation of interprofessional and  
59 interdisciplinary information exchange technologies seems to be difficult (3). Personal  
60 factors are related to each other's knowledge and skills and having a collaborative  
61 attitude (1, 4). This list is not all-inclusive but highlights the complexity of collaborative  
62 care. Frequently, complex chronic conditions further complicate care. Patients might  
63 present a challenging interplay of health conditions and existing treatment approaches  
64 that need to be considered (2). Therefore, especially patients transiting the primary-  
65 secondary care interface benefit from enhanced information exchange and simplified

66 communication enabled by collaborative care between physicians (5). Evidence for  
67 certain chronic conditions showed that collaborative care is superior to usual care (6).

68 Individuals with spinal cord injury are an excellent example of persons with chronic  
69 conditions requiring life-long primary and secondary care. Along with the functional  
70 impairments of the condition itself, secondary conditions such as spasticity, chronic  
71 pain, sexual dysfunction, bowel and bladder problems, and pressure injuries are often  
72 untreated (7). Due to medical advances, the individuals' life expectancy increased and  
73 the average age of individuals in Switzerland is 58 years (8, 9). Especially in rural areas  
74 where specialist services are unavailable, individuals with spinal cord injury are more  
75 likely to substitute them with GP services (10). However, GPs might lack spinal cord  
76 injury-specific knowledge (11). In line with research suggesting introducing small  
77 outpatient clinics or outreach services to meet healthcare needs (10), selected rural  
78 GPs might fill this gap. Those GPs providing additional services do not need to become  
79 experts for spinal cord injury because this patient population is small (12). However,  
80 GPs need to be well connected with specialized physicians and other HCPs who are  
81 more experienced to meet specific needs. Research shows that most of the GPs are  
82 inexperienced in the topic of spinal cord injury but care for most of the secondary  
83 conditions of this patient population (13-15).

84 This qualitative study explores the perceptions of GPs and medical specialists willing  
85 to engage in care for patients with chronic spinal cord injury on role distribution and  
86 collaboration. The study aims to contribute to a better understanding of 1) the role  
87 distribution, 2) facilitators and barriers to collaboration and 3) potential improvement  
88 possibilities. The questions are applicable in care for patients with chronic conditions  
89 in general.

90 **Methods**

91 *Setting and participants*

92 We followed the 32-item COREQ checklist as a reporting guideline (16) which can be  
93 found in appendix table 1. The ethical approval was sought and awarded by the Ethics  
94 Committee of Northwest and Central Switzerland (EKNZ; # 2019-01527-2). We  
95 conducted individual semi-structured interviews with rural GP's and medical specialists  
96 for spinal cord injury participating in the SCI-CO intervention study. The study protocol  
97 for the intervention may be consulted for details (17). In short, 120 GPs were asked to  
98 participate in the intervention study of which we anticipated ten agreeing to participate.  
99 Regarding the specialists, we anticipated 16 specialists employed in the four  
100 specialized centers to participate.

101 Figure 1 shows where the participating physicians are located. Eight GPs, who agreed  
102 to participate in the intervention, are shown with their catchment area. All GP practices  
103 are located in rural, primarily alpine areas of Switzerland, with a minimum 60-minute  
104 distance by car to a specialized center for spinal cord injury (18). Six red pentagons on  
105 the map mark specialized centers for spinal cord injury care that offer inpatient and  
106 outpatient services. The thirteen specialists, who agreed to participate in the  
107 intervention study are employed in these centers.

108 *Figure 1 Location of GP practices and specialized service providers for spinal cord*  
109 *injury in Switzerland*

110 [Figure 1 here]

111 Colorful areas depict the participating practices' catchment areas. Four red pentagons mark the  
112 specialized centers for spinal cord injury in Switzerland. Two faded red pentagons mark external  
113 ambulatory service units, where patients can receive outpatient services (e.g., annual check-ups) from  
114 specialists traveling regularly to these locations. Map data from OpenStreetMap,  
115 [openstreetmap.org/copyright](https://openstreetmap.org/copyright).

116 *Data collection*

117 Individual semi-structured interviews were conducted with an interview guide to  
118 explore experiences, perceptions, and opinions. As a framework, we followed  
119 Fereday's approach, who developed an analysis based on "descriptive and interpretive  
120 theory of social action that explores subjective experience within the taken-for-granted,  
121 "commonsense" world of the daily life (19)." The guide's content was informed by other  
122 qualitative studies exploring collaboration between HCPs. Questions were taken from  
123 the studies' questionnaires and adapted to our context. Our interview guide with its  
124 respective sources can be found in table 1.

125 *Table 1 Interview guide with templates from literature*

126 [Table 1 here]

127 One researcher contacted the physicians by e-mail or telephone to inform them about  
128 the study's aim and conducted the individual interviews. The interviews were  
129 conducted between the 21<sup>st</sup> of April 2020 and the 3<sup>rd</sup> of May 2021. A doctoral student  
130 trained in qualitative research (RT) conducted the interviews in person and via video  
131 chat in German. The interview length ranges from 20 minutes to 60 minutes, with an  
132 average length of 37 minutes. At the beginning of the interview, the researcher  
133 informed participants about the study's objective, the aim for recording the interview,  
134 and the measures taken to ensure confidentiality of the data. Participants gave verbal  
135 consent for participation and recording of the interviews. The interviews were  
136 transcribed verbatim with the audio recording.

137 *Data analysis*

138 The interviews with GPs and specialists were analyzed successively. First, all  
139 transcripts were read to become familiar with the data. Second, a hybrid method of  
140 inductive and deductive coding, according to Fereday (19), was applied. MAXQDA

141 software supported the organization of data and coding. The researcher who  
142 conducted the interviews coded the transcripts and discussed them in meetings with  
143 the research team. The team constantly reviewed transcripts to ensure that the  
144 identified codes sets were applied to all transcripts. Relevant codes for each physician  
145 group were then summarized, and sub-themes were formulated. Overarching themes  
146 similar to the structure of the interview guide were used to combine sub-themes of GPs  
147 and specialists. We chose to present the results in this manner to highlight any  
148 differences or similarities between the two groups in keeping with the purpose of this  
149 study. The quotes identified as the most meaningful by the researchers were translated  
150 into English.

151 Physicians brought up sub-themes that were not directly related to the structure of the  
152 interview guide. Examples of those sub-themes include: practicing in rural areas and  
153 the impact on care; political and system-related factors that have influenced the  
154 development of primary care in Switzerland; and the impact of media and the internet  
155 on patient behavior and preferences for care. The last two sub-themes did not provide  
156 information to answer our research questions. Thus, the data on these sub-themes  
157 were dropped.

## 158 **Results**

### 159 *Participants*

160 Six GPs, 75% of GPs participating in the intervention study and six medical specialists,  
161 81% of specialists participating in the intervention study agreed to be interviewed. Time  
162 constraints were the reason for physicians to decline an interview. Two GPs and one  
163 medical specialist were female. Four of the six interviewed GPs were new to the topic  
164 of spinal cord injury and answered the questions based on their experiences with  
165 chronic conditions. The specialists had a background in general internal medicine with

166 specializations such as urology or physical medicine and rehabilitation. They had  
167 extensive experience in care for spinal cord injury and worked in specialized centers.  
168 More characteristics can be found in Table 2. The results are structured according to  
169 the overarching themes. A detailed overview of overarching themes and sub-themes  
170 can be found in appendix table 2 and appendix table 3.

171 *Table 2 Physicians' characteristics*

172 [Table 2 here]

173 *Different perceptions on GPs' roles and responsibilities*

174 GPs perceived their role as holistic managers and guides. They considered this role  
175 important for their patients because *"almost nobody knows what they need and where*  
176 *to get it in today's medical jungle."* (GP2). Accordingly, they had an overview of the  
177 social situation, comorbidities, and medications, requiring broad medical knowledge.  
178 Due to this managerial role, most GPs were responsible for documenting information  
179 and sharing it with other HCPs. Additionally, GPs reported knowing patients'  
180 expectations and their preferences for care. This knowledge seemed critical for them  
181 to fulfill their role as gatekeepers to specialized care. Accordingly, GPs used their  
182 holistic patient view and broad medical knowledge to decide whether to refer a patient  
183 to a specialist. Several GPs highlighted recognizing the boundaries of their knowledge  
184 and the moments when a referral is necessary. *"I perceive the GP to be the hub of*  
185 *everything. Because the specialist is usually only interested in the specialized field, I*  
186 *am the one who looks at everything. Moreover, in the end, I am the one with the most*  
187 *information and who coordinates. If there are others, I am not the one to command,*  
188 *but I know where threads come together and who does what."* (GP5)

189 Specialists perceived the GPs role as the first contact person for patients with chronic  
190 spinal cord injury. As illustrated by this quote, many specialists reported that individuals  
191 with spinal cord injury value and trust their GP. *“They [the patients] have a great  
192 relationship to the GP. Especially in rural areas, there still is the family doctor, and that  
193 is great.”* (SP3) Concerning the GPs responsibilities, this specialist explained that GPs  
194 should be contacted for general care. *“You need to distinguish between «Is this issue  
195 directly related to the spinal cord injury?» With these issues, GPs are overtaxed  
196 because they don't have the specialized knowledge. And then I think there are health  
197 problems where the spinal cord injury doesn't play a role.”* (SP3) This specialist  
198 perceived GPs to be gatekeepers *“[...] to avoid that people call with trivial problems on  
199 a Sunday.”* (SP4) Furthermore, specialists wanted GPs to document patient  
200 information and to keep track of patients' medication in particular. They described that  
201 it is helpful to receive a medication list before consulting a patient. Additionally,  
202 specialists explained that GPs should prescribe medication or therapeutic interventions  
203 such as physiotherapy and monitor patients' progress. Some specialists illustrated  
204 arrangements with GPs in which expensive medication was prescribed by the  
205 specialist to not *“weigh down”* (SP5) the GPs' budget.

#### 206 *Specialists as experts and support for GPs*

207 All specialists perform regular check-ups for persons with a spinal cord injury in the  
208 specialized centers and are explained to be an information source and support for GPs.  
209 The specialists should be contacted for spinal cord injury-related questions, for which  
210 they provide additional information or advice. *„You are identified as the qualified  
211 person, who has the solution, but it is still within the competencies of the GP. And the  
212 only thing missing is the quick input on spinal cord injury.”* (SP3) On the contrary, the  
213 specialists' responsibilities seemed to differ. While most specialists reported caring for

214 patients within the range of their medical discipline, this specialist specified that the  
215 role is more like a specialized GP. *“I think it’s about being a GP for the specific  
216 population to care for special issues that the GPs don’t know anything about.”* (SP3)

217 All GPs explained that the decision to refer the patient to a specialist is related to their  
218 own skills and knowledge. This GP summarizes the relation of the two roles as follows  
219 *“There are aspects where I feel very confident, where I go very far with care and when  
220 I realize I reached my limit, [...] I quickly seek the specialist’s advice.”* (GP6) GPs  
221 expected specialists they collaborate with to provide or confirm information. *“It’s always  
222 good if you are confirmed in your approach, or if you are confirmed in your uncertainty  
223 [...].”* (GP4) Additionally, this GP explained that it is important to be informed about the  
224 patient after a referral. *“If you think about the definition of a referral, then it is actually  
225 not only to support the patient but also to support the one who initiates the consultation,  
226 namely us, the GPs. This means that not only the patient and the specialist should  
227 continue working together, but the GP must also remain in the boat.”* (GP2)

228 Knowing each other as a facilitator for collaboration and the perceived importance of  
229 collaborating. Both GPs and specialists concluded that patients could be best cared  
230 for collaboratively. Collaboration was essential in a highly complex situation requiring  
231 multidisciplinary or interprofessional care. *„I think, the longer the patient is chronically  
232 ill or, the higher the level of suffering, the better communication between physicians  
233 and therapists must be.”* (GP6). In addition, patients' satisfaction with services and their  
234 care seemed to be a crucial aspect of physicians' collaboration. As this GP explained,  
235 perceived satisfaction resulted from the physicians' shared or agreed-upon care goals.  
236 *“One can tell that the patient is satisfied because he/she sees that GP and specialist  
237 pull in the same direction.”* (GP4)

238 Physicians reported that knowing each other personally was the leading facilitator for  
239 good collaboration. Building a relationship led to an awareness of each other's  
240 competencies, skills, and preferences. Therefore, knowing each other was a crucial  
241 component in allocating roles and tasks, as explained by this specialist. *"I think it is*  
242 *more like a togetherness. However, it is not easy, if you don't know somebody, to*  
243 *realize how much the colleague wants to do themselves and how much they want us*  
244 *to do. Moreover, I think this is an arrangement. It is difficult initially, but if it is sorted*  
245 *out, it is clear... everybody has a role, and it works."* (SP5) Furthermore, GPs and  
246 specialists reported that knowing each other enriched communication, namely that  
247 communication was easier, enabled discussions on an equal basis, and enhanced  
248 cooperative behavior. *"As a GP, this is the most important requirement to know that*  
249 *you have colleagues, with whom collaboration works, information exchange works and*  
250 *you do not dread to tell them «Hey, you are wrong and I see it totally differently». And*  
251 *this needs to work cooperatively and without too much effort".* (GP2)

## 252 *Different communication styles and preferences*

253 GPs and specialists used communication and chose the communication channel  
254 differently. While specialists saw it as necessary for appropriate patient care, GPs  
255 mentioned personal benefits from a direct exchange with specialists. This specialist  
256 elaborated what is valued in communication with the GP, namely the urgency and  
257 content of information. *„It depends on how urgent the information is. If it truly is*  
258 *something that needs to happen the next day, we have to talk to each other; you have*  
259 *to call. But if it is not important, a letter is sufficient if one can do it within the next two*  
260 *or three weeks. [...] A telephone call is a last resort."* (SP2) It was valuable for GPs to  
261 receive a timely update from the specialist as part of the referral process, as well. This  
262 GP relied on medical reports and emphasized that they must be precise in providing

263 services and recommendations for the next steps. „I don't think there are any  
264 standards. I believe everybody does it as they think it is right, and one can feel if it fits  
265 for yourself or not. For example, I worked with two cardiologists. I always knew that  
266 one formulated rather vague statements, and the other gave exact and concise  
267 statements. And then you rather want to work with the one giving precise statements  
268 instead of the one who hides behind general propositions.” (GP6)

269 Some GPs described direct communication (e.g., via telephone) as an important  
270 information exchange and discussion platform for which it is worth taking time and  
271 resources. This GP illustrated how specialists' phone calls are incorporated into daily  
272 practice. “We have the order in the practice that specialists' phone calls will always be  
273 put through. Even if I'm in a patient consultation, I just quickly go outside to my  
274 computer, I am updated, and I enter the information. Alternatively, the psychiatrist calls  
275 «I have seen this patient, and it doesn't look too good.» And then you might have a  
276 short exchange. Or you discuss medication changes if you want to prescribe a  
277 medication where the specialist knows a better alternative. This way you  
278 simultaneously learn something.” (GP1). While GPs wanted to learn from a direct  
279 exchange, this specialist described it as a tool to “align” GPs with their expectations or  
280 suggestions for the patients' care plan. “I call [the GP] and explain why we did, what  
281 we did, even if it was against the expectations, to ensure that the procedure is not  
282 stopped or changed in primary care. Therefore, it is great to contact the GPs and  
283 explain why something has to be done this way.” (SP4)

#### 284 *Unclear role distributions and uncooperative behavior as barriers to collaboration*

285 Barriers to good collaboration described by physicians were related to challenges in  
286 the distribution of responsibilities and past collaborative experiences. Specialists  
287 explained to appreciate a clear division of roles to ensure that patients' needs were

288 met. Accordingly, the main barrier to collaborating was uncertainty about who would  
289 take on tasks and responsibilities. Two relevant barriers to collaboration for GPs were  
290 lack of information sharing and lack of counter-referrals by specialists. GPs reported  
291 that they value precise and timely information on the patients' situation after a referral.  
292 On the one hand, this included information on the provided services, their results, and  
293 specific suggestions. On the other hand, GPs expected a short update whenever the  
294 specialist referred the patient to another specialist. Without this update, this GP  
295 experienced losing the patient. *"If a specialist refers to another specialist, and another  
296 specialist... and by the second specialist, the GP is no longer listed on the medical  
297 record and receives no information."* (GP2)

298 Most GPs reported that past collaborative experiences influenced patient care. In  
299 particular, referring patients to a specialist again depended on previous experiences.  
300 If GPs lacked information or specialists did not counter-refer patients, GPs were  
301 unlikely to refer more patients to that specialist. *"And we can say: All right, there are  
302 other competitors in neurology, whom we can refer our patients to and where it works  
303 better."* (GP1). Multiple GPs reported experiences with specialists who did not counter-  
304 refer the patients. GPs hypothesized that the reasons might be selfish and pecuniary  
305 specialists or specialists' thoughts that the GP was not able to care for the patient.  
306 Regardless of the reasons, this GP described the consequences of this experience. *"If  
307 that occurs, one talks to GP-colleagues and these [specialists] will no longer get  
308 referrals. They are on their own with the patients they attracted for themselves."* (GP1)

### 309 *Rural practice locations influence collaboration and patient care*

310 Although this sub-theme was not part of the questionnaire, both physician groups  
311 raised it. It is about practicing in rural areas of Switzerland, which seemed to have  
312 particular implications for care provision and collaboration. Firstly, one implication

313 concerned the population's perception of the GP. According to the GPs, patients from  
314 urban areas can seek second opinions easily and thus behave differently towards  
315 physicians. One GP explained that people from rural areas no longer have the *"faith in*  
316 *the white coat anymore, as it was 50 years ago"* (GP2), but still value the GPs opinion,  
317 unlike city dwellers. As mentioned and confirmed before, specialists shared their  
318 patients' experiences thinking highly of their GP. Secondly, some GPs and specialists  
319 mentioned that anonymity in a city contributes to uncooperative and competitive  
320 behavior among HCPs.

321 In contrast, this GP illustrates the benefits of collaboration and patient care in rural  
322 primary care practice. *"I think I am in quite a luxurious position. [...] I know the whole*  
323 *medical offer throughout the whole canton. Moreover, many of the colleagues I know*  
324 *personally, and this is a totally luxurious situation regarding collaboration. The same*  
325 *goes for hospitals. Because we have a relatively small hospital, where physicians are*  
326 *practicing long-term, and do not change every two years."* (GP2) Thirdly, one specialist  
327 related the choice of communication channels to the degree of urbanity. This specialist  
328 observed that HCPs used the phone more than in the urban hospital where the  
329 specialist previously worked.

330 *Enhancing communication and continuing medical education as improvement*  
331 *strategies*

332 Both GPs and specialists had ideas about improving collaboration and patient care.  
333 Specialists acknowledged that more direct communication with GPs would be  
334 beneficial, as this specialist explained. *"Maybe we have to establish this from our side,*  
335 *that we call [the GP] a month after [discharge] and ask how it is going. [...] It is quite*  
336 *common that we do not hear from the patients until the check-up three months after*  
337 *discharge, which is the first visit in the ambulatory unit. And maybe by then, it is already*

338 *too late.” (SP1) However, this specialist was not optimistic about establishing regular*  
339 *phone communication with GPs. Of course, one wishes an intensive contact, to get to*  
340 *know each other, but this is always a question of own resources, and the GPs’*  
341 *resources.” (SP6)*

342 Specialists wanted the GPs to become more knowledgeable and suggested continuing  
343 medical education events. They highlighted that GPs should be aware of particular  
344 treatment approaches that, although evidence-based or proven successful in other  
345 patient populations, were counterproductive or even harmful in individuals with spinal  
346 cord injury. On the other hand, other aspects of care for individuals with spinal cord  
347 injury were no different from those of other patient groups. According to this specialist,  
348 persons with *„a spinal cord injury have high blood pressure; they have diabetes, they*  
349 *are obese. All these widespread diseases occur in individuals with spinal cord injury.*  
350 *And these are traditional topics that are monitored by the GP.“ (SP2) While specialists*  
351 wanted GPs to gain more medical knowledge, GPs also saw benefits in medical  
352 education events, namely getting to know each other at education events and  
353 establishing a network with long-term partners. This network was the basis to form  
354 informal communication channels or new care models. In the case of this GP, even the  
355 possibility to organize a work shadowing is considered. *„If it is concerning highly*  
356 *specialized services, that I have never done before, I would like to say «I will come and*  
357 *do a work shadowing with you, to know how this works.»” (GP3) Topics for medical*  
358 education listed by the GPs were related to prevalent secondary conditions such as  
359 pressure injuries, bladder and bowel management, but also related to assistive devices  
360 such as wheelchair cushions.

## 361 **Discussion**

362 *Summary of findings*

363 This qualitative study explored the perceptions of specialists and rural GPs on role  
364 distribution and collaboration in the care of patients with chronic diseases in  
365 Switzerland. The role of the specialist was perceived similarly by GPs and specialists  
366 as an expert and support for GPs in specialized questions. There was a difference  
367 between specialists' expectations of GP services and what is provided by GPs.  
368 Specialists saw the GPs' role as complementary to their own responsibilities, namely  
369 as the first contact for patients and gatekeeper to specialized services. GPs saw  
370 themselves as care managers and guides with a holistic view of patients, connecting  
371 several HCPs. GPs were likely to search for relations between professionals and  
372 recognition by getting to know specialists better. Specialists viewed collaboration as  
373 somewhat distant and focused on processes and patient pathways. Challenges in  
374 collaboration were related to unclear roles and responsibilities in patient care.

#### 375 *Interpretation and comparison with existing literature*

376 The roles and responsibilities of specialists we explored were similar to those  
377 described in other research. GPs in the study of Diamantidis and colleagues mentioned  
378 specialists' confirmation of appropriate evaluation, additional evaluation and testing,  
379 and medication regimen advice as motivations for participating in collaborative care  
380 (20). Furthermore, Forrest suggested categorization of roles and responsibilities of  
381 specialists (21): On the one hand, *cognitive consultants* provide advice to reduce  
382 clinical uncertainty. On the other hand, *procedural consultants* perform a technical or  
383 diagnostic procedure service. In contrast, the third type of specialist, *co-managers*, was  
384 much more involved in ongoing care and performed care management tasks. Our  
385 findings support the expected and self-perceived role of specialists to be *consultants*.  
386 The primary care physicians we interviewed did not explicitly distinguish between  
387 *cognitive* and *procedural consultants* but described the respective responsibilities as

388 mentioned by Forrest. Last, GPs rated timely communication with the GP as a crucial  
389 responsibility in Forrest's and in our study (21). These remarks underline the GPs' role  
390 as system-wide care managers, gathering and sharing information with appropriate  
391 professionals and institutions.

392 We identified different perceptions among GPs and specialists for the role of the GP.  
393 The different perceptions confirmed that HCPs require organizational efforts to discuss  
394 their roles and instead take over responsibilities based on patients' needs and the  
395 necessary professional skills to fulfill them (23). As patients' needs differ, role  
396 distributions and responsibilities might change and therefore different forms of  
397 interprofessional cooperation are conceivable (24). However, to successfully adapt  
398 collaboration, HCPs might benefit from clarified role distributions and realistic  
399 expectations. As an example, Sampson et al. observed unrealistic expectations of  
400 service provision, and it caused frustration in patients and physicians simultaneously  
401 (5). The differences in role perception could relate to power struggles as described by  
402 the emancipatory framework (25) and professional territoriality as observed in Swedish  
403 research (22). Especially in situations where specialists feared that GPs expand their  
404 role, they defined a professional territory to secure their own role and status (22).  
405 Additionally, the perspectives of the GPs we interviewed reinforced some observations  
406 made in other research on the power struggles between GPs and specialists. The  
407 specialists in our interviews were distant regarding collaboration but did not openly  
408 express disliking GPs.

409 In comparison, specialists in a Dutch qualitative study stated that they could not learn  
410 anything from GPs, nor did they see them as equals in their working relationship (26).  
411 The GPs we interviewed seemed to have had bad experiences but described  
412 measures to counteract uncooperative behavior. Another explanation for the unclear

413 role distribution among physicians in our study could be that spinal cord injury care is  
414 not a common health condition (12). The GPs we interviewed were not highly  
415 experienced in collaboration specific to this condition. Different to other health  
416 conditions, persons newly experiencing a spinal cord injury consult specialists first.  
417 Usually, GPs are informed about the injury after initial rehabilitation is completed and  
418 the patient is transitioning to the community. Therefore, the specialists for spinal cord  
419 injury take on a significant role (15).

420 In this study, physicians suggested organizing shared continuing medical education  
421 events as a strategy to improve collaboration. While GPs wanted to get to know  
422 specialists at those events and form a relationship with them, specialists suggested  
423 education for GPs to improve their medical knowledge. In a study to initiate GP-  
424 specialist collaboration, the intervention was medical education, which improved  
425 satisfaction with communication and self-reported confidence and clinical practice (27,  
426 28). Additionally, quality circles for quality improvement in primary have been shown  
427 to be an effective measure and seem to be widely accepted in Switzerland (29, 30).  
428 These strategies are based on education and aim to improve the knowledge transfer  
429 between the two professions.

430 Further qualitative research observed physicians forming personal relationships at  
431 education events while exchanging information and experiences. The interviewed  
432 physicians acknowledged that getting to know each other and each other's working  
433 environment would reduce unrealistic expectations about each other's roles.  
434 Furthermore, a personal relationship was essential to building trust for the working  
435 relationship (5). According to a typology by D'Amour and colleagues, a formalization  
436 process supports physicians getting to know each other (1). Formalization can define  
437 core values and competencies and, therefore, a clear distribution of responsibilities

438 (31). This formalization may be initiated at regular exchange meetings or educational  
439 events. Berendsen et al. supported this idea, as they found that GPs enjoyed working  
440 closely with specialists to increase their medical knowledge (32, 33). The authors  
441 suggested education as a promising way to improve collaboration because medical  
442 specialists were willing to teach GPs and enjoyed making them enthusiastic about their  
443 work domain (26, 33).

444 We found indications that the rural GPs we interviewed are well connected despite the  
445 rural location. They all established a network, particularly within their region, and have  
446 had few negative experiences in collaboration. This observation can be explained by  
447 other qualitative research showing that rural GPs had a greater appreciation of learning  
448 from specialists than their urban counterparts (5). Furthermore, the specialists we  
449 interviewed illustrated that rural GPs are particularly valued and trusted by patients.  
450 Research has different approaches to explaining rural areas' particularities, especially  
451 concerning the GP-patient relationship. Farmer argued that a long-term relationship is  
452 developed, simply because the patient is exposed to the same GP, as there often is  
453 only one practice in rural areas (34). The long-term connection leads to empathy and  
454 trust between physician and patient. Besides this long-term relationship, GP and  
455 patient are connected because they live close to each other and share a community.  
456 Knowing each other personally opens up additional opportunities for information  
457 exchange. Thus, the GP can receive personal information that might have been missed  
458 in consultations. Farmer explained that knowing personal or biographical information  
459 about the patient was associated with providing holistic care. As rural patients are more  
460 likely to face difficulties in accessing care, they especially value a continuous  
461 experience monitored by the GP, which was also proven to be true in the Swiss

462 population (35). Thus, a rural GP with a long-term connection to patients is likely to be  
463 trusted and appreciated.

#### 464 *Limitations*

465 The physicians we interviewed were part of the SCI-CO intervention study and thus  
466 most probably more motivated than other physicians to improve collaboration.  
467 Furthermore, only a subset of physicians who are part of SCI-CO could be interviewed.  
468 Due to this selection and the small number of physicians, the generalization of our  
469 findings is limited. However, we found that the results of the interviews were rich and  
470 insightful that we were able to focus on them. Another limitation might be that spinal  
471 cord injury is a specific setting. Few GPs have experience in spinal cord injury care,  
472 and the patient population is small. Nonetheless, our findings are mostly applicable in  
473 general care for patients with chronic conditions, as individuals with spinal cord injury  
474 are very much concerned with general concepts of chronic conditions and their pitfalls.  
475 To add to our research, the perception of patients and relatives of the role distributions  
476 should be explored.

#### 477 *Implications*

478 We believe that HCPs and researchers may learn from the concepts incorporated in  
479 delivering care for this complex patient population. Concepts of care delivery that are  
480 usually incorporated in spinal cord injury care include interprofessional and  
481 interdisciplinary care, shared decision-making, and vertical integration of care. Multiple  
482 stakeholders want to incorporate these concepts into daily practice, but the  
483 implementation seems to be complicated. Spinal cord injury care might serve as a  
484 model to learn from.

485 The findings provide insights into the physicians' motivation to collaborate. Considering  
486 this information, continuing medical education may be implemented to enhance

487 collaboration. First, the GPs' search for relations can be met by getting to know each  
488 other at education events. Second, discussing patient pathways and processes should  
489 be part of patient case discussions. Third, a regular timeslot to communicate with each  
490 other must be provided. Furthermore, the roles of the GPs and specialists need to be  
491 addressed formally to ensure a clear and complementary distribution of tasks and  
492 responsibilities. The health system needs to reward healthcare professionals and  
493 enable them to establish collaboration. Appropriate information exchange technologies  
494 and resources for exchange need to be provided.

## 495 **Conclusion**

496 The expectations for role distribution and responsibilities differ among physicians.  
497 Different goals of GPs and specialists for collaboration may jeopardize shared care  
498 models. The role distribution should be aligned according to patients' holistic needs to  
499 improve collaboration and provide appropriate patient care.

## 500 *Declaration of Interest Statement*

501 All authors completed and submitted the International Committee of Medical Journal  
502 Editors form to disclose potential conflicts of interest.

## 503 *Acknowledgments*

504 The authors thank the physicians for their time and thoughtful input. Furthermore, the  
505 authors thank Yvonne Kohler for her valuable contribution in visualizing the physicians'  
506 locations in Figure 1.

## 507 *Correspondence*

508 Rebecca Tomaschek (rebecca.tomaschek@unilu.ch), Center for Primary and  
509 Community Care, Department of Health Sciences and Medicine, University of Lucerne,  
510 Frohburgstrasse 2, 6002 Lucerne

511 *References*

- 512 1. D'Amour D, Goulet L, Labadie JF, Martin-Rodriguez LS, Pineault R. A model  
513 and typology of collaboration between professionals in healthcare organizations. *BMC*  
514 *health services research*. 2008;8:188.
- 515 2. Koch G, Wakefield BJ, Wakefield DS. Barriers and facilitators to managing  
516 multiple chronic conditions: a systematic literature review. *West J Nurs Res*.  
517 2015;37(4):498-516.
- 518 3. Tandjung R, Rosemann T, Badertscher N. Gaps in continuity of care at the  
519 interface between primary care and specialized care: general practitioners'  
520 experiences and expectations. *International journal of general medicine*. 2011;4:773-  
521 8.
- 522 4. Aller MB, Vargas I, Coderch J, Vazquez ML. Doctors' opinion on the contribution  
523 of coordination mechanisms to improving clinical coordination between primary and  
524 outpatient secondary care in the Catalan national health system. *BMC health services*  
525 *research*. 2017;17(1):842.
- 526 5. Sampson R, Barbour R, Wilson P. The relationship between GPs and hospital  
527 consultants and the implications for patient care: a qualitative study. *BMC family*  
528 *practice*. 2016;17:45.
- 529 6. Scherpbier-de Haan ND, Vervoort GM, van Weel C, Braspenning JC, Mulder J,  
530 Wetzels JF, et al. Effect of shared care on blood pressure in patients with chronic  
531 kidney disease: a cluster randomised controlled trial. *British Journal of General*  
532 *Practice*. 2013;63(617):e798-806.
- 533 7. Brinkhof MW, Al-Khodairy A, Eriks-Hoogland I, Fekete C, Hinrichs T, Hund-  
534 Georgiadis M, et al. Health conditions in people with spinal cord injury: Contemporary  
535 evidence from a population-based community survey in Switzerland. *Journal of*  
536 *rehabilitation medicine*. 2016;48(2):197-209.
- 537 8. Gross-Hemmi MH, Gemperli A, Fekete C, Brach M, Schwegler U, Stucki G.  
538 Methodology and study population of the second Swiss national community survey of  
539 functioning after spinal cord injury. *Spinal cord*. 2021;59(4):363-72.
- 540 9. Lundstrom U, Wahman K, Seiger A, Gray DB, Isaksson G, Lilja M. Participation  
541 in activities and secondary health complications among persons aging with traumatic  
542 spinal cord injury. *Spinal cord*. 2017;55(4):367-72.
- 543 10. Ronca E, Scheel-Sailer A, Koch HG, Essig S, Brach M, Munzel N, et al.  
544 Satisfaction with access and quality of healthcare services for people with spinal cord  
545 injury living in the community. *The journal of spinal cord medicine*. 2018:1-11.
- 546 11. Ho CH. Primary care for persons with spinal cord injury - not a novel idea but  
547 still under-developed. *The journal of spinal cord medicine*. 2016;39(5):500-3.
- 548 12. Chamberlain JD, Ronca E, Brinkhof MW. Estimating the incidence of traumatic  
549 spinal cord injuries in Switzerland: Using administrative data to identify potential  
550 coverage error in a cohort study. *Swiss medical weekly*. 2017;147:w14430.
- 551 13. Hagen EM, Grimstad KE, Bovim L, Gronning M. Patients with traumatic spinal  
552 cord injuries and their satisfaction with their general practitioner. *Spinal cord*.  
553 2012;50(7):527-32.
- 554 14. Zanini C, Lustenberger N, Essig S, Gemperli A, Brach M, Stucki G, et al.  
555 Outpatient and community care for preventing pressure injuries in spinal cord injury. A  
556 qualitative study of service users' and providers' experience. *Spinal cord*. 2020.
- 557 15. Touhami D, Brach M, Essig S, Ronca E, Debecker I, Eriks-Hoogland I, et al.  
558 First contact of care for persons with spinal cord injury: a general practitioner or a spinal  
559 cord injury specialist? *BMC family practice*. 2021;22(1):195.

- 560 16. Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative  
561 research (COREQ): a 32-item checklist for interviews and focus groups. *International*  
562 *journal for quality in health care : journal of the International Society for Quality in*  
563 *Health Care*. 2007;19(6):349-57.
- 564 17. Tomaschek R, Touhami D, Essig S, Gempferli A. Shared responsibility between  
565 general practitioners and highly specialized physicians in chronic spinal cord injury:  
566 Study Protocol for a nationwide pragmatic nonrandomized interventional study.  
567 *Contemporary Clinical Trials Communications*. 2021;24.
- 568 18. Ronca E, Scheel-Sailer A, Koch HG, Gempferli A. Health care utilization in  
569 persons with spinal cord injury: part 2-determinants, geographic variation and  
570 comparison with the general population. *Spinal cord*. 2017;55(9):828-33.
- 571 19. Fereday J, Muir-Cochrane E. Demonstrating Rigor Using Thematic Analysis: A  
572 Hybrid Approach of Inductive and Deductive Coding and Theme Development.  
573 *International Journal of Qualitative Methods*. 2006;5(1):80-92.
- 574 20. Diamantidis CJ, Powe NR, Jaar BG, Greer RC, Troll MU, Boulware LE. Primary  
575 care-specialist collaboration in the care of patients with chronic kidney disease. *Clinical*  
576 *journal of the American Society of Nephrology : CJASN*. 2011;6(2):334-43.
- 577 21. Forrest CB. A typology of specialists' clinical roles. *Archives of internal medicine*.  
578 2009;169(11):1062-8.
- 579 22. Stalhammar J, Holmberg L, Svardsudd K, Tibblin G. Written communication  
580 from specialists to general practitioners in cancer care. What are the expectations and  
581 how are they met? *Scandinavian journal of primary health care*. 1998;16(3):154-9.
- 582 23. Schweizerische Akademie der Medizinischen Wissenschaften (SAMW). Charta  
583 2.0 Interprofessionelle Zusammenarbeit im Gesundheitswesen. 2020.
- 584 24. Schmitz C, Atzeni G, Berchtold P. Challenges in interprofessionalism in Swiss  
585 health care: the practice of successful interprofessional collaboration as experienced  
586 by professionals. *Swiss medical weekly*. 2017;147:w14525.
- 587 25. Haddara W, Lingard L. Are we all on the same page? A discourse analysis of  
588 interprofessional collaboration. *Academic medicine : journal of the Association of*  
589 *American Medical Colleges*. 2013;88(10):1509-15.
- 590 26. Berendsen AJ, Benneker WH, Schuling J, Rijkers-Koorn N, Slaets JP,  
591 Meyboom-de Jong B. Collaboration with general practitioners: preferences of medical  
592 specialists--a qualitative study. *BMC health services research*. 2006;6:155.
- 593 27. Adams SG, Pitts J, Wynne J, Yawn BP, Diamond EJ, Lee S, et al. Effect of a  
594 primary care continuing education program on clinical practice of chronic obstructive  
595 pulmonary disease: translating theory into practice. *Mayo Clinic proceedings*.  
596 2012;87(9):862-70.
- 597 28. Pang J, Grill A, Bhatt M, Woodward GL, Brimble S. Evaluation of a mentorship  
598 program to support chronic kidney disease care. *Canadian family physician Medecin*  
599 *de famille canadien*. 2016;62(8):e441-7.
- 600 29. Rohrbasser A, Harris J, Mickan S, Tal K, Wong G. Quality circles for quality  
601 improvement in primary health care: Their origins, spread, effectiveness and lacunae-  
602 A scoping review. *PloS one*. 2018;13(12):e0202616.
- 603 30. Meyer-Nikolic V, Hersperger M. Q-Monitoring-Resultate schaffen Übersicht [Q-  
604 Monitoring-Results provide overview]. *Schweiz Ärztztg*. 2012;93(27-28):1036-8.
- 605 31. Hummers-Pradier E, Beyer M, Chevallier P, Eilat-Tsanani S, Lionis C,  
606 Peremans L, et al. Series: The research agenda for general practice/family medicine  
607 and primary health care in Europe. Part 2. Results: Primary care management and  
608 community orientation. *The European journal of general practice*. 2010;16(1):42-50.

- 609 32. Smith SM, O'Kelly S, O'Dowd T. GPs' and pharmacists' experiences of  
610 managing multimorbidity: a 'Pandora's box'. *British Journal of General Practice*.  
611 2010;60(576):285-94.
- 612 33. Berendsen AJ, Benneker WH, Meyboom-de Jong B, Klazinga NS, Schuling J.  
613 Motives and preferences of general practitioners for new collaboration models with  
614 medical specialists: a qualitative study. *BMC health services research*. 2007;7:4.
- 615 34. Farmer J. Connected care in a fragmented world: lessons from rural health care.  
616 *British Journal of General Practice*. 2007;57(536):225-30.
- 617 35. Kaufmann C FZ, Balthasar A. Zukünftige ambulante Grundversorgung:  
618 Einstellungen und Präferenzen der Bevölkerung (Obsan Bericht 04/2021). . Neuchâtel:  
619 Schweizerisches Gesundheitsobservatorium; 2021.
- 620 36. Sondergaard E, Willadsen TG, Guassora AD, Vestergaard M, Tomasdottir MO,  
621 Borgquist L, et al. Problems and challenges in relation to the treatment of patients with  
622 multimorbidity: General practitioners' views and attitudes. *Scandinavian journal of*  
623 *primary health care*. 2015;33(2):121-6.
- 624 37. O'Malley AS, Reschovsky JD. Referral and consultation communication  
625 between primary care and specialist physicians: finding common ground. *Archives of*  
626 *internal medicine*. 2011;171(1):56-65.
- 627 38. Szafran O, Torti JMI, Kennett SL, Bell NR. Family physicians' perspectives on  
628 interprofessional teamwork: Findings from a qualitative study. *Journal of*  
629 *interprofessional care*. 2018;32(2):169-77.
- 630 39. Hudson CC, Gauvin S, Tabanfar R, Poffenroth AM, Lee JS, O'Riordan AL.  
631 Promotion of role clarification in the Health Care Team Challenge. *Journal of*  
632 *interprofessional care*. 2017;31(3):401-3.
- 633 40. Kim LY, Giannitrapani KF, Huynh AK, Ganz DA, Hamilton AB, Yano EM, et al.  
634 What makes team communication effective: a qualitative analysis of interprofessional  
635 primary care team members' perspectives. *Journal of interprofessional care*.  
636 2019;33(6):836-8.
- 637 41. Saba GW, Villela TJ, Chen E, Hammer H, Bodenheimer T. The myth of the lone  
638 physician: toward a collaborative alternative. *Annals of family medicine*.  
639 2012;10(2):169-73.

640

641 **Tables and Figures**

642 *Table 1 Interview guide with templates from literature*

Topics	Templates from literature	Study aim
1. Role distribution of GP and specialist a. Description of role distributions b. Development of role distribution c. Referral and counter-referral d. Differences in role distribution for spinal cord injury care	(36-39)	1
2. Perceptions of patients and other HCPs on role distribution	(36, 39)	dropped from analysis
3. Collaboration a. Positive and negative experiences for both general and spinal cord injury care b. Facilitators and barriers to collaboration	(33)	2
4. Communication a. Communication channels b. Information exchange	(33, 40)	2
5. Suggestions for improvement a. for role distribution b. for collaboration c. for spinal cord injury care specifically	(33, 36, 39, 41)	3

643 Abbreviations: GPs = general practitioners; HCPs = healthcare professionals

644

645 *Table 2 Physicians' characteristics*

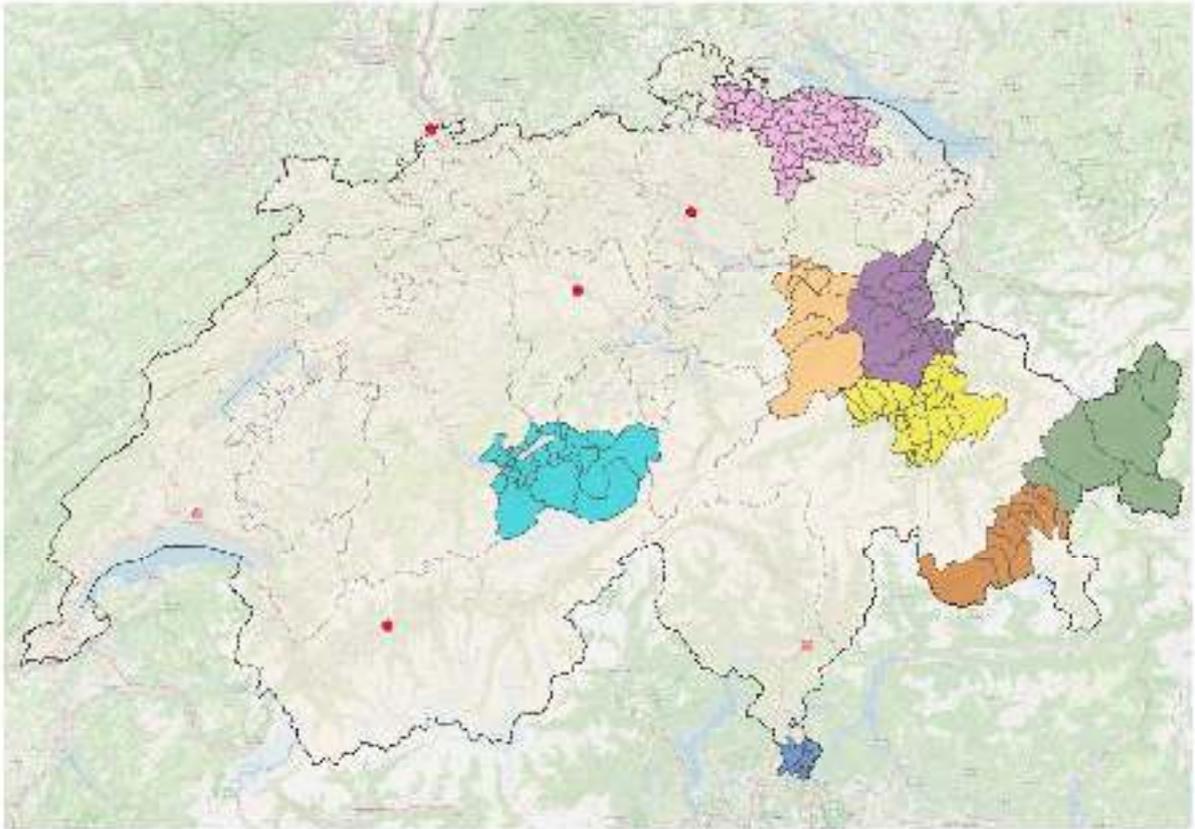
	GPs (N=6)	Specialists (N=6)
Age in years – mean (SD)	52 (7.9)	50 (9.9)
Female – n (%)	2 (33.3)	1 (17)
Issuing country of academic title, Switzerland – n (%)	4 (67)	2 (33)
Title – n (%)		
M.D. and university lecturer	0 (0)	1 (17)
M.D. Practicing physician	6 (100)	4 (67)
0 (0)	1 (17)	
Medical focus – n (%)		
General internal medicine	6 (100)	4 (67)
Physical medicine and rehabilitation	0 (0)	3 (50)
Urology	0 (0)	1 (17)
Others	2 (33)	4 (67)
Self-employed – n (%)	3 (50)	-
Current position in specialized center – n (%)		
Chief physician	-	2 (33)
Senior physician	-	2 (33)
Hospital physician	-	2 (33)
Years working at current place of work – mean (SD)	7 (5.5)	7 (9.7)

Percentage employed at current place of work – mean (SD)	70 (35.2)	93 (16.3)
Patients caring for in one month – mean (SD)	500 (187.1)	28 (7.5)
Using electronic medical records – n (%)	6 (100)	6 (100)
Distance GP practice to next hospital in km – mean (SD)	16 (12.3)	-
Number of HCPs in GP practice – median (min-max)		
Physicians	2 (2-5)	-
Medical practice assistants	5 (4-14)	-
Medical practice coordinators	1 (1-1)	-
Nurse	1 (2-10)	-
Physiotherapist	3 (1-3)	-
Occupational therapist	1 (3-4)	-
Speech therapist	0 (0-1)	-
Dietician	0 (0-1)	-
Psychologist	0 (0-3)	-

646 GP: general practitioner; HCPs: healthcare professionals; km: kilometers; M.D.:  
647 medical doctor; SD: standard deviation

648

649 *Figure 1 Location of GP practices and specialized service providers for spinal cord*  
650 *injury in Switzerland*



651

## 652 **List of Supplementary Material**

653 Appendix Table 1: COREQ checklist (.docx 16KB)

654 Appendix Table 2: Overview of themes and sub-themes for interviews with GPs (.docx 30KB)

655 Appendix Table 3: Overview of themes and sub-themes for interviews with specialists (.docx 30KB)