

1 **Non-Equilibrium Fractionation Factors for D/H and  $^{18}\text{O}/^{16}\text{O}$  During Oceanic**  
2 **Evaporation in the North-West Atlantic Region**

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13 **Key Points:**

- 14 • Observation-based non-equilibrium fractionation factors for water isotopologues  
15 during ocean evaporation are proposed.  
16 • Significant correlation observed between non-equilibrium fractionation factors and 10-m  
17 wind speed.  
18 • No evidence of distinction is found between smooth and rough regimes for isotopic  
19 fractionation during ocean evaporation.

20

## 21 **Abstract**

22 Ocean isotopic evaporation models, such as the Craig-Gordon model, rely on the description of  
23 non-equilibrium fractionation factors that are, in general, poorly constrained. To date, only a few  
24 gradient-diffusion type measurements have been performed in ocean settings to test the validity  
25 of the commonly used parametrization of non-equilibrium isotopic fractionation during ocean  
26 evaporation. In this work we present six months of water vapor isotopic observations collected  
27 from a meteorological tower located in the northwest Atlantic Ocean (Bermuda) with the  
28 objective of estimating non-equilibrium fractionation factors ( $k$ , ‰) for ocean evaporation and  
29 their wind speed dependency. The Keeling plot method and Craig-Gordon model combination  
30 was sensitive enough to resolve non-equilibrium fractionation factors during evaporation  
31 resulting into mean values of  $k_{18} = 5.2 \pm 0.6$  ‰ and  $k_2 = 4.3 \pm 3.4$  ‰. Furthermore, we evaluate the  
32 relationship between  $k$  and 10-m wind speed over the ocean. Such a relationship is expected from  
33 current evaporation theory and from laboratory experiments made in the 1970s, but observational  
34 evidence is lacking. We show that (i) in the observed wind speed range [ $0 - 10 \text{ m s}^{-1}$ ] the  
35 sensitivity of  $k$  to wind speed is small, in the order of  $-0.2 \text{ ‰ m}^{-1}\text{s}$  for  $k_{18}$ , and (ii) there is no  
36 empirical evidence for the presence of a discontinuity between smooth and rough wind speed  
37 regime during isotopic fractionation, as proposed in earlier studies. The water vapor d-excess  
38 variability predicted under the closure assumption using the  $k$  values estimated in this study is in  
39 agreement with observations over the Atlantic Ocean.

40

## 41 **Plain Language Summary**

42 Phase changes between liquid and vapor continuously occur in the atmospheric water cycle.  
43 These phase changes affect the isotopic composition of water through an effect called isotopic  
44 fractionation. Depending on the thermodynamic conditions, two types of isotopic fractionation  
45 exist: equilibrium and non-equilibrium fractionation. While equilibrium fractionation is  
46 relatively well constrained by theoretical considerations from statistical mechanics and  
47 laboratory tests, non-equilibrium fractionation is less well constrained theoretically and needs to  
48 be investigated by empirical methods. Ocean evaporation is a non-equilibrium process and still  
49 today there is little agreement on which are the best non-equilibrium fractionation factors to use  
50 in evaporation models. Currently, non-equilibrium fractionation factors are calculated following  
51 a parametrization based on wind speed from wind tunnel experiments performed in the 1970s.  
52 The reported wind effect has never been directly observed over the ocean. In this study we report  
53 non-equilibrium fractionation factors for ocean evaporation estimated directly by measuring  
54 water vapor isotopic composition at two heights in an oceanic condition and explore their  
55 relationship with wind speed. Since having accurate fractionation factors is fundamental when  
56 using stable isotopes to model the Earth's water cycle, the results of this study can help  
57 improving the performance of numerical models when describing ocean evaporation.

## 58 **1 Introduction**

59 Stable isotopes of hydrogen and oxygen in water have been used successfully for more  
60 than 50 years to study processes of the Earth's water cycle. Specifically, using water stable  
61 isotopes allows atmospheric processes of the water cycle to be studied on time scales spanning  
62 the scale of turbulent eddies to glacial-interglacial time scales (Galewsky et al., 2016).  
63 Modulation of the water vapor isotopic composition, hereafter in delta notation, is linked to  
64 several physical processes occurring in the atmosphere involving phase change and turbulent  
65 mixing. On the one hand, isotope ratios of  $^{18}\text{O}/^{16}\text{O}$  ( $\delta^{18}\text{O}$ ) and D/H ( $\delta\text{D}$ ) in precipitation are

66 largely controlled by upstream precipitation during moisture transport and isotopic equilibrium  
67 effect during phase changes (Craig, 1961; Dansgaard, 1964; Rozanski et al., 1993). So-called  
68 temperature and continental effects visible on isotopic composition of precipitation are also  
69 visible on tropospheric water vapor (Galewsky et al., 2016). On the other hand, the deviation  
70 from the linear relationship between  $\delta^{18}\text{O}$  and  $\delta\text{D}$  (i.e.,  $\text{d-excess}=\delta\text{D}-8*\delta^{18}\text{O}$ ) in precipitation is  
71 controlled by non-equilibrium effects linked to evaporative conditions of moisture source areas  
72 (Craig & Gordon, 1965; Merlivat & Jouzel, 1979), by moisture recycling above the continents  
73 (Risi et al., 2013), as well as by sub-cloud droplet evaporation (Stewart, 1975) and cloud  
74 microphysics (Ciais & Jouzel, 1994). The d-excess signal in surface water vapor at daily and  
75 sub-daily time scale has been shown to be largely affected by local surface fluxes, advection and  
76 exchange with the free atmosphere both over land (e.g. Aemisegger et al., 2014) and over the  
77 ocean (e.g. Benetti et al., 2014).

### 78 **1.1 Magnitude and control of non-equilibrium fractionation during ocean evaporation:** 79 **objectives of the study**

80 Isotopic fractionation can occur under two different conditions during water phase  
81 change in the hydrological cycle: equilibrium and non-equilibrium. While isotopic fractionation  
82 effects under equilibrium conditions above  $0^\circ\text{C}$  are well understood, non-equilibrium  
83 fractionation effects are still poorly constrained. During evaporation, a non-equilibrium process,  
84 the relative weight of molecular and turbulent diffusion controls the magnitude of non-  
85 equilibrium fractionation. The molecular diffusivity ratios for  $\text{HD}^{16}\text{O}/\text{H}_2^{16}\text{O}$  and  $\text{H}_2^{18}\text{O}/\text{H}_2^{16}\text{O}$  in  
86 air are 0.9757 and 0.9727 (Merlivat, 1978). However, these need to be scaled in evaporation  
87 models because evaporation in an oceanic environment is not a pure molecular diffusion-  
88 controlled process but also include a turbulence component, that is not fractionating (Brutsaert,  
89 1965, 1975, Craig & Gordon, 1965). To account for this turbulent component, a parametrization  
90 of non-equilibrium fractionation factors dependent on wind speed as the only independent  
91 variable has been proposed (Merlivat & Coantic, 1975). This parametrization, together with  
92 relative humidity (RH) and sea surface temperature (SST), was further used in Merlivat and  
93 Jouzel (1979) to model the variability of water vapor d-excess under a global closure assumption  
94 (i.e. of a closed water budget). However, several recent studies have questioned the assumed role  
95 of SST and wind speed on the controls of non-equilibrium fractionation based on water vapor d-  
96 excess observations (Bonne et al., 2019; Steen-Larsen et al., 2014; Pfahl and Wernli, 2008; Pfahl  
97 and Sodemann, 2014; Steen-Larsen et al., 2015; Uemura et al., 2008). Other studies argued that  
98 water vapor d-excess above the ocean surface may not be influenced solely by ocean surface  
99 evaporative conditions, namely RH and SST, but also by the coupling between the Marine  
100 Boundary Layer (MBL) and the free troposphere (Benetti et al., 2018; Galewsky et al., 2022) as  
101 well as by air–sea interactions during cold and warm advection (Thurnherr et al., 2021).  
102 Consequently, it can be concluded that a substantial uncertainty exists on the magnitude of non-  
103 equilibrium fractionation during evaporation in real-environmental conditions and, still, no  
104 agreement exists on the controls of non-equilibrium fractionation by SST and wind speed (e.g.  
105 Gonfiantini et al., 2020). This lack of consensus drives the following questions: which non-  
106 equilibrium fractionation factors are the most accurate to use during the evaporation process in  
107 the MBL? Is there empirical evidence for a dependency between non-equilibrium effects and  
108 wind speed in the oceanic environment? If a relationship between wind speed and non-  
109 equilibrium fractionation exists, is it captured by the established parametrizations by Merlivat

110 and Jouzel (1979) which are based on wind tunnel experiments? These research questions will be  
111 addressed in this study by:

- 112 1. Estimating the non-equilibrium fractionation factors for  $\delta^{18}\text{O}$  and  $\delta\text{D}$  that best-fit the  
113 observed isotopic composition of the evaporation flux from the ocean surface.
- 114 2. Test the validity of theoretical parametrization of the wind speed effect on non-  
115 equilibrium fractionation with observations of the isotopic composition of the  
116 evaporation flux over the ocean.

117 The impacts and limitations of the method applied for estimating the non-equilibrium  
118 fractionation factors and the isotopic composition of evaporation flux from the ocean surface will  
119 be discussed in detail, focusing on potential SST and ocean isotopic composition  
120 inhomogeneities in the study area. Furthermore, we will discuss the sensitivity of the linear  
121 relationship between d-excess and RH normalized to SST ( $h_s$ ) under the closure assumption  
122 (hereafter, CA) by using the non-equilibrium fractionation factors estimated in this study and  
123 other available datasets of water vapor observations in the MBL.

124

## 125 **1.2 Estimating the isotopic composition of the evaporation flux using near-surface water** 126 **vapor observations**

127 The isotopic composition of the evaporation flux can be estimated by three  
128 micrometeorological methods: Eddy Covariance (Braden-Behrens et al. 2019, Wahl et al. 2021),  
129 Flux Gradient (FG, Yakir and Wang 1996) and Keeling Plot (KP, Keeling, 1958). The Eddy  
130 Covariance method requires high-frequency measurements of wind speed and vapor isotopic  
131 composition that are difficult to obtain but provides direct observations of the isotopic  
132 composition of the evaporation flux. FG and KP methods do not require high-frequency  
133 measurements but rely on assumptions of the environmental conditions during evaporation. In  
134 principle, FG and KP can be used to estimate the isotopic composition of the evaporation flux by  
135 direct application of a fully turbulent mixing model between two end members: a constant water  
136 vapor flux and a background moisture of constant isotopic composition (binary mixing model).  
137 In this context, the application of KP and FG methods would be best addressed in an oceanic  
138 environment, where the main source of evaporating water is the ocean surface. Keeping the  
139 closure assumption valid from a local point of view, i.e. assuming that all the water vapor in the  
140 MBL originates from the evaporation flux, single level near-surface observations of the water  
141 vapor d-excess should be representative of local evaporative conditions, namely SST, RH and  
142 wind speed. This assumption, however, is no longer valid when measurements are performed in  
143 low evaporation areas or for periods when other prevailing water vapor exchange processes, such  
144 as advection and/or entrainment, occur in the atmosphere. The expected wind speed effect could  
145 be smoothed out in the vapor d-excess signal by other processes and observations of water vapor  
146 isotopic composition at a single height level then might not be representative of the evaporation  
147 flux. Furthermore, variability of the water vapor isotopic composition in the free atmosphere,  
148 during advection and via contribution of sea-spray evaporation can introduce errors in the  
149 estimation of the isotopic composition of the flux. Therefore, observations at different height  
150 levels should be used to estimate the isotopic composition of the evaporation flux with KP and  
151 FG instead of single height time series of water vapor isotopic composition. Many profile  
152 measurements are available in continental settings from atmospheric research and flux towers  
153 (e.g. Griffis et al., 2016) but are scarce over the ocean. Most of the available profile observations

154 over the ocean were acquired over short time frames with cryotrapping (Gat et al., 2003; Craig &  
155 Gordon, 1965). More recently, two-heights profiles were obtained during research cruises but  
156 some additional uncertainties were introduced due to the use of different instruments for isotopic  
157 analysis at each height, ship movement, ship exhaust, and ocean spray contribution to the vapor  
158 composition (Thurnherr et al., 2020). In this study we analyze a unique six months (20<sup>th</sup> June to  
159 30<sup>th</sup> December 2013) data set of continuous observations of water vapor isotopic composition  
160 sampled at two heights from a meteorological tower located in the northwest Atlantic region  
161 (Bermuda, Figure 1) and use it to estimate the isotopic composition of the ocean evaporation  
162 flux.

163 [FIGURE 1]

164 Given that Bermuda is located in part of the source region for the precipitation which is  
165 deposited in Greenland, this study is also relevant for ice core science (Johnsen et al., 1989; H.  
166 Sodemann et al., 2008), questioning the type of information deduced from d-excess in  
167 paleoclimate archives on the evaporative conditions at the source regions such as the role of  
168 wind speed and SST (e.g. Jouzel et al., 2007; Steen-Larsen et al., 2011, Markle et al., 2018  
169 Osman et al., 2021).

## 170 **2 Materials and Methods**

### 171 **2.1 Study site**

172 The study site is located in the south-western part of Bermuda, at the Tudor Hill Marine  
173 Atmospheric Observatory (THMAO) operated by Bermuda Institute of Ocean Sciences (32.26°  
174 N 64.88° W). The THMAO tower faces the coast (distance ~30m) and is 20.5 m high.  
175 Considering the altitude of the tower base (~29 m AMSL), the top of the tower faces the ocean at  
176 a height of ~50 m AMSL. The climatic conditions at Bermuda are characterized by a humid  
177 subtropical climate, strongly affected by the Gulf Stream. The study area is situated in the so-  
178 called Bermuda-Azores High, a large subtropical center of high atmospheric pressure. The high-  
179 pressure system is primarily centered near the Bermuda Islands during summer and fall, and near  
180 Azores during winter and early spring. Ocean evaporation around Bermuda Island is strong due  
181 to its location near the Gulf Stream area and due to cold air advection, especially during the  
182 winter (Aemisegger & Papritz 2018). ERA5 reanalysis data (Hersbach et al., 2020) shows that  
183 the evaporation flux (E) in the study area exceeds the precipitation flux (P), as expected ( $P-E = -$   
184  $1.34 \text{ mm day}^{-1}$ ). Analysis with a Lagrangian moisture source diagnostic (Läderach and  
185 Sodemann, 2016) for the Jun-Dec 2013 observation period calculated with ERA-Interim  
186 reanalysis data (Dee et al., 2011) at a  $1^\circ \times 1^\circ$  resolution and a 6h time step revealed that 45% of  
187 lower tropospheric moisture originated in a  $10^\circ \times 10^\circ$  area around the study site (inset map in  
188 Figure 1). The evaporation flux footprint was also evaluated with a flux footprint model (Kljun et  
189 al., 2015), suggesting that 90% of the fetch area at the top of THMAO is within 2800 m. Due to  
190 its position and climatic conditions, the island of Bermuda is therefore an ideal study site for  
191 evaporation-related processes and their control on the d-excess signal because ocean evaporation  
192 is the dominant source of the MBL vapor and there is low influence of continental water vapor.

### 193 **2.2 Meteorological and ocean observations**

194 Air temperature, relative humidity (T, RH, Campbell Scientific EE181-L125-PT), wind speed  
195 and wind direction (WS, WD, R.M. Young CAT NO. 05103 ) were measured at the top inlet (50  
196 m AMSL) of THMAO. The wind speed measured at 50 m AMSL was corrected to 10 m AMSL

197 assuming a log-law wind profile and a roughness length of 0.2 mm (Stull, 1997). Sea Level  
198 Pressure (SLP) and precipitation (P) were measured ~20 km northeast at the L. F. Wade  
199 International Airport (TXKF) by the Bermuda Weather Service. MBL height data was retrieved  
200 from ERA5 global reanalysis data (blh variable), which is based on a critical value of the bulk  
201 Richardson number and depends on the vertical wind shear and buoyancy (ECMWF, 2017).  
202 Gridded blh was retrieved at  $0.25^\circ \times 0.25^\circ$  and 1-hour temporal resolution and was linearly  
203 interpolated to the study site location.

### 204 **2.3 SST and Ocean water isotopic composition**

205 Salinity and SST observations are available from buoys inside the reef at 3h time resolution (Hog  
206 Reef and Crescent Reef), at St. George Harbor at daily resolution, and outside the reef at  
207 monthly resolution for the Bermuda Atlantic Time-series Study (BIOS, 2021). Salinity and SST  
208 measurement locations are reported in Figure 1. To minimize potential bias due to local SST  
209 variations we chose the averaged Operational Sea Surface Temperature and Sea Ice Analysis  
210 (OSTIA, UK MET OFFICE, 2005) data as representative for SST of the study site. High  
211 correlation is observed between average SST measured inside the reef and OSTIA product  
212 averaged on a  $1^\circ \times 1^\circ$  box centered on Bermuda (R Pearson > 0.96) but better agreement, in terms  
213 of maximum absolute difference, was observed between BATS and OSTIA data (1.08 °C) than  
214 for Crescent reef and OSTIA data (2.55 °C).

215 No measurements of ocean water isotopic composition near the study site are available for the  
216 period of interest, but the temporal variability of the ocean isotopic composition in the study area  
217 is assumed to be very low. Several sources have been evaluated for estimating the most  
218 representative composition of ocean water around the study site: gridded dataset (LeGrande &  
219 Schmidt, 2006), North Atlantic cruises published data (Benetti et al., 2014, 2017a) as well as  
220 from samples collected at the BATS site two years before this campaign (BIOS, 2021). The  
221 isotopic composition of the ocean in this study is assumed to be  $\delta^{18}\text{O}_L = 1.09\text{‰}$  and  $\delta\text{D}_L =$   
222  $7.25\text{‰}$ , which is the average between the isotopic composition calculated with the salinity to  
223 isotope conversion (Benetti et al., 2017a) applied to local salinity data (BIOS, 2021) and the  
224 ocean isotopic composition estimated from gridded dataset (LeGrande & Schmidt, 2006). Full  
225 details on ocean water isotopic composition are reported in Supporting Info, Text S1.

### 226 **2.4 Water vapor isotopic composition and humidity observations**

227 Ambient air was sampled at THMAO tower at two different heights: 2.5 m and 50 m AMSL.  
228 Ambient air was continuously pumped from the two inlets to a manifold located at the tower  
229 base that was connected to a Picarro L2120-i isotopic water vapor Cavity Ring-Down  
230 Spectroscopy (CRDS) analyzer. Quick air transport was ensured through heated copper tubing  
231 using a  $10 \text{ L min}^{-1}$  sampling pump. The sampling line was switched between the two inlets every  
232 15 minutes and when one inlet was connected to the analyzer, the other inlet was flushed by a  
233 secondary  $5 \text{ l min}^{-1}$  pump. This configuration ensured a continuous circulation of air inside the  
234 tubing system, thus minimizing the lag and memory effect for the two inlets. The CRDS analyzer  
235 sampled water vapor from the main line at its nominal flow rate ( $\sim 40 \text{ sccm min}^{-1}$ ) and recorded  
236 humidity and water isotopic composition at  $\sim 0.56 \text{ Hz}$  frequency. To reduce the memory effect  
237 due to the switching between top and bottom inlet, the first 10 minutes of data after valve  
238 switching was removed and the last 5 minutes was averaged. In this way, the 5 minutes average  
239 is assumed to be representative of the isotopic composition during measurement for each level,  
240 which yields one measurement point per half hour per level. The inlet can be approximated to a

241 first-order low pass filter with transfer function  $H=1/(\tau+1)$ , where  $\tau$  is the time the system's  
 242 response need to reach 63% of the final value for a step change from zero initial condition  
 243 ( $\tau(\delta^{18}\text{O}) = 212$  s,  $\tau(\delta\text{D}) = 310$ s). Assuming the final value of the signal to be 1 for a normalized  
 244 step change, we estimated that the magnitude (mag) of signal attenuation is only -1.9 dB for  $\delta^{18}\text{O}$   
 245 and -3.4 dB for  $\delta\text{D}$  ( $\text{dB} = 20\log_{10}(\text{mag})$ ) and the phase difference between  $\delta^{18}\text{O}$  and  $\delta\text{D}$  signal is  
 246  $<9^\circ$  with an averaging window of 0.5 hours. The error introduced by signal attenuation and phase  
 247 difference between  $\delta^{18}\text{O}$  and  $\delta\text{D}$  signal in the system is considered insignificant at the time  
 248 resolution used in this study. However, a small persistent bias in d-excess can still be present  
 249 during monotonically variations of  $\delta^{18}\text{O}$  and  $\delta\text{D}$  signals.

250 The isotope readings of the water vapor analyzer were calibrated on the VSMOW-SLAP scale  
 251 (IAEA, 2009) using several laboratory standards at the beginning and towards the end of the  
 252 observation period. Drift-correction measurements were carried out on a sub-daily basis (every  
 253 6-12 hours) and humidity-isotope response curves were performed every 1-2 months during the  
 254 study period to correct for the humidity dependency of water vapor isotopic composition.  
 255 Precision of water vapor isotopic measurement are expected to be 0.14‰ for  $\delta^{18}\text{O}$  and 1.1‰ for  
 256  $\delta\text{D}$ . The reader is referred to a previous study conducted at THMAO for additional details on the  
 257 setup of the sensing system, on the calibration protocol and on sensing system performances  
 258 (Steen-Larsen et al., 2014). Humidity observations of the CRDS analyzer (moist mixing ratio, w  
 259 [ppmv]) were calibrated against RH observations at the top inlet.

## 260 **2.5 Estimation of the evaporation flux isotopic composition**

261 The isotopic water vapor observations acquired with the CRDS analyzer represent the time-  
 262 averaged atmospheric moisture composition at a certain height above sea level. We used the KP  
 263 method between the two inlets to estimate the isotopic composition of the water vapor flux ( $\delta_E$ ). In  
 264 the KP method,  $\delta_E$  is assumed to be equal to the intercept of the linear best-fit model between the  
 265 isotopic composition of water vapor ( $\delta^{18}\text{O}$  or  $\delta\text{D}$ ) and the inverse of humidity ( $1/w$ ) at the two  
 266 different height levels. The uncertainties for  $\delta_E$  ( $\sigma_{\delta_E}$ ) were calculated as a function of instrument  
 267 precision, sample size, and atmospheric conditions (Good et al., 2012). However, in our case the  
 268 number of observations for each time-step is equal to the degrees of freedom required to calculate  
 269 the uncertainty associated with the flux composition. Therefore, observations were grouped on a  
 270 daily basis and the error on flux composition was calculated when more than two observations  
 271 were available. It is important to note that the computation of the flux composition with the KP  
 272 method is valid only under the following assumptions:

- 273 1. The mixing process in the gradient measurement space is fully turbulent and does not  
 274 introduce any fractionation: turbulent diffusion is the same for all isotopologues.
- 275 2. Water vapor flux is constant with height: the mixing ratio and water vapor isotopic  
 276 composition vertical profiles is characterized by a monotonic trend.
- 277 3. Variability of water vapor isotopic signal is not significantly affected by advection or  
 278 entrainment from the free troposphere during the acquisition of water vapor profiles.
- 279 4. Isotopic composition of source water is constant in the time interval considered.

280 Therefore, water vapor observations were filtered to fulfill the above mentioned assumptions, as  
 281 further discussed in Section 3.2 and Section 5.1. It is worth noting that the regression method  
 282 used to calculate the isotopic composition of the evaporation flux can also impact the result, as  
 283 recently shown in Hu et al. (2021). In this study we used the ordinary least squares method to  
 284 evaluate the KP intercept. According to Hu et al. (2021), the ordinary least squares method is

285 more robust than e.g. the Geometric Mean Regression method and should be comparable with  
 286 the York Solution method under large fetch conditions. In this context, the isotopic composition  
 287 of water vapor measured at the top inlet is assumed to be representative of water vapor in the  
 288 MBL with a fetch area similar to the one estimated with the moisture diagnostic. However,  
 289 because the large height difference between the bottom and top inlets results into different fetch  
 290 areas, the water vapor isotopic composition at the bottom inlet was corrected ( $\delta^{18}\text{O}=+0.07\text{‰}$  and  
 291  $\delta\text{D}=+0.75\text{‰}$ ) accounting for the SST difference between open ocean SST and reef area SST, as  
 292 further discussed in Section 5.2.

## 293 **2.6 Estimation of non-equilibrium fractionation factors**

294 The Craig-Gordon (CG) (Craig & Gordon, 1965) model was used to calculate  $\delta_E$  (‰) from the  
 295 ocean surface following the notation introduced in Merlivat and Jouzel (1979), as reported in  
 296 equation (1):

$$297 \quad \delta_E = (1 - k) \frac{\alpha_{V/L}^{(1+\delta_L)-h_s(1+\delta_A)}}{(1-h_s)} - 1 \quad (1)$$

298 where  $\alpha_{V/L}$  [ $<1$ ] is the equilibrium fractionation factor between vapor and liquid (Horita &  
 299 Wesolowski, 1994),  $h_s$  [1] is the RH measured at the top of the turbulently mixed sublayer  
 300 relative to ocean surface temperature (OSTIA SST [K], averaged on a  $1^\circ \times 1^\circ$  box centered on  
 301 Bermuda),  $k$  [1] is the non-equilibrium fractionation factor,  $\delta_A$  is the isotopic composition of  
 302 atmospheric moisture [1],  $\delta_L$  is the isotopic composition of the ocean water [1]. The non-  
 303 equilibrium fractionation factor  $k$  (reported in ‰ hereafter) is estimated from a direct comparison  
 304 between the observed (KP) and modeled (CG) isotopic composition of the evaporation flux. For  
 305 a given flux observation  $i$ , it is possible to calculate  $m$  different values of the flux composition  
 306 with the CG model by varying the non-equilibrium fractionation factors within a certain range.  
 307 The best  $k$  values are then calculated by error minimization between the modeled and observed  
 308 evaporation flux composition for each pair of top and bottom inlet observations in the filtered  
 309 dataset. To estimate the average values of  $k$ , the inverse of the errors of the observed flux  
 310 composition were used as the weights in the computation of the average. Populations of mean  
 311 non-equilibrium fractionation factors  $k$  were estimated with bootstrapping, repeating the above  
 312 sequence for  $10^4$  times with random resampling. Additional details on how the non-equilibrium  
 313 fractionation factors are calculated are reported in Supporting Info, Text S2 and Text S3.

## 314 **3 Data description**

### 315 **3.1 Dataset**

316 Time series of water vapor at the top and bottom inlets were resampled using a common UTC time  
 317 indexing with a resolution of 30 minutes through linear interpolation. Meteorological observations  
 318 were also averaged and synchronized accordingly to CRDS observations. The water vapor time  
 319 series used in this study includes 8793 datapoints, representative of 30-minutes averaged  
 320 observations of water vapor isotopic composition at two height levels over the ocean surface. The  
 321 complete dataset accounts for 95% coverage of the study period (Figure 2).

322 [Figure 2]



323 Based on d-excess, the pattern of atmospheric water vapor composition can be divided into two  
324 main groups: a first group from summer to mid-autumn with gentle daily to weekly d-excess  
325 oscillations and a second group, from mid-autumn to early winter, with larger and more  
326 pronounced d-excess oscillations at weekly scale. The transition in the d-excess pattern follows  
327 the general decrease in humidity and the large  $h_s$  variability observed from late October (marked  
328 by gray triangles in Figure 2). The temperatures decrease in autumn-winter is also linked to a  
329 small shift of the center of mass of moisture sources toward the north-west (not shown). This  
330 shift can be linked to the increase in baroclinicity towards autumn and winter and to the more  
331 frequent passage of extratropical cyclones over the gulf stream leading to strong ocean  
332 evaporation (Aemisegger & Sjolte, 2018).

### 333 **3.2 Filtered dataset for flux estimation**

334 To guarantee high data quality and for maximizing the validity of assumptions under KP  
335 (Section 2.5, points 1 - 4), several constraints were introduced to filter the dataset. The rationale  
336 behind those constraints is summarized for each variable in Table 1. By means of the quality  
337 control filtering criteria, the sample size is reduced from 8793 to 814 30-minutes averaged  
338 observations (~10% of available data). The variables that are most responsible for the exclusion  
339 of data points are the daytime and the western wind sector constraints. Just those two filtering  
340 criteria account for approximately 85% of rejections. However, these strict filtering criteria were  
341 necessary because of the local evapotranspiration signal contribution, with wind blowing from  
342 inland and dew formation caused by night cooling. The remaining filtering criteria accounted for  
343 an additional 5% of rejections.

344 Most of the observations (~90%) of the filtered dataset were selected between 20<sup>th</sup> June and 23<sup>rd</sup>  
345 October, as shown in Figure 2. From the perspective of data representativeness, the main features  
346 of the dataset after the filtering procedure are: (i) slightly changed mean and median values (for  
347  $\delta^{18}\text{O}$  and d-excess) and reduction of secondary modes in d-excess distribution; (ii) statistically  
348 significant change in regression parameters for d-excess vs  $h_s$ ; (iii) significant reduction of  
349 observations characterized by deeper MBL (blh > 1000 m, from 17% to 4%); (iv) change of the  
350 wind speed distribution in terms of the mean (from 2.8  $\text{ms}^{-1}$  to 4.0  $\text{ms}^{-1}$ ). Therefore, the main  
351 consequences of data reduction are a larger impact of shallow atmospheric mixing, a smaller  
352 influence of large MBL development and less periods characterized by low wind speed  
353 conditions. More details on the impact of data filtering on the distribution shape of variables of  
354 interest are reported in Supporting Info, Text S4.

## 355 **4 Results**

### 356 **4.1 The isotopic composition of the evaporation flux ( $\delta_E$ ) from the ocean surface**

357 Descriptive statistics of the evaporation flux isotopic composition from the ocean surface and the  
358 water vapor isotopic composition observed at the top inlet during daytime are reported in Table  
359 2. On average, the number of data points available for KP calculation is 20 per day and the  
360 coefficients of determination for both  $\delta^{18}\text{O}$  and  $\delta\text{D}$  regression lines are high ( $R^2 = 0.78$ , on  
361 average). For comparison, the Flux Gradient method (FG, Lee et al., 2007) was also used to  
362 compute the isotopic composition of evaporation flux, obtaining nearly identical results but  
363 different uncertainties, especially for  $\delta\text{D}$  ( $\sigma_{\delta E} = 0.59\text{‰}$  and 51‰ for  $\delta^{18}\text{O}$  and  $\delta\text{D}$ , respectively).

364 The high similarity between the FG and KP methods is consistent with other studies (Good et al.,  
 365 2012; Hu et al., 2021) which is why we focused on the KP method. As expected, the isotopic  
 366 composition of the flux is enriched with respect to the atmospheric water vapor composition and  
 367 depleted compared to the ocean isotopic composition. The mean  $\delta D$  of the evaporation flux is  
 368 between recent estimates of the global mean HDO fluxes (-37.6‰ following Good et al., 2015)  
 369 and estimates made in past studies (-22‰ following e.g. Gat, 1996). No evident trend was  
 370 observed for daily  $\delta_E$  during the study period, for both  $\delta^{18}O$  and  $\delta D$  (Supporting Info, Figure S6).

#### 371 **4.2 Non-equilibrium fractionation factor distributions estimated with flux observations**

372 Non-equilibrium fractionation factors are expressed hereafter in term of  $k_{18}$  (for  $\delta^{18}O$ ) and  $k_2$  (for  
 373  $\delta D$ ) to allow a direct comparison with the parametrization proposed in Merlivat and Jouzel (1979).  
 374 Applying the bootstrapping method ( $10^4$  samples with 80 observations in each) to the filtered  
 375 dataset yields a mean  $\pm$  1 std. dev.  $k_{18} = 5.21 \pm 0.64\%$  and  $k_2 = 4.32 \pm 3.41\%$ , as show in Figure  
 376 3.

377 [Figure 3]

378 The obtained  $k$  PDFs are in the range predicted by the parametrization proposed in Merlivat and  
 379 Jouzel (1979). For  $k_{18}$ , the distribution of the mean values falls in the middle of the  
 380 parametrizations for the smooth and rough wind speed regimes as proposed by Merlivat and  
 381 Jouzel. A similar result was obtained for the average  $k_2$ , the PDF of which is however  
 382 characterized by a significantly larger spread. Consistent with previous works, non-equilibrium  
 383 fractionation factors are on average  $\sim 0.20 - 0.25$  times the value expected for a purely  
 384 diffusivity-driven evaporation process (Merlivat, 1978). For reference, the  $k$  values estimated in  
 385 other studies are also reported in Figure 3 (Pfahl & Wernli, 2009; Uemura et al., 2010). Note that  
 386 the  $k_{18}$  values estimated in this study are 2-3 ‰ smaller than previous studies and more consistent  
 387 with the parametrization of  $k_{18}$  proposed in Merlivat and Jouzel (1979). On average the ratio  
 388  $k_2/k_{18}$  is equal to 0.83, similar to 0.88 in Merlivat and Jouzel (1979) and 0.84 reported in Luz et  
 389 al. (2009).

#### 391 **4.3 Observed relationship between non-equilibrium fractionation factors and 10-m wind speed**

393 To test a dependency of the fractionation factors  $k$  on wind speed, the filtered dataset was binned  
 394 in 10-m wind speed classes with bin size  $0.5 \text{ m s}^{-1}$ . For each wind speed class, the non-equilibrium  
 395 fractionation factors were calculated using the KP method at 30 min time step. Afterwards, mean  
 396 and standard error of  $k$  were calculated for each wind speed bin center. Mean  $k_{18}$  values obtained  
 397 in such way are reported as a function of 10-m wind speed in Figure 4.a.

398 [Figure 4]

399 In the wind speed range  $[0.5 - 10] \text{ m s}^{-1}$  the negative correlation between  $k_{18}$  and wind speed is  
 400 high and statistically significant ( $r=-0.72$ ,  $p\text{-value}=1 \times 10^{-3}$ ). The parametrization proposed in  
 401 Merlivat and Jouzel (1979) agrees well with the observed  $k_{18}$  variability between  $0.5$  and  $6 \text{ m s}^{-1}$ ,  
 402 with an average absolute difference of  $0.1\%$ . Most importantly, the differences between  
 403 parametrized and observed  $k_{18}$  values are normally distributed around zero (Kolmogorov-Smirnov  
 404 and Shapiro-Wilk  $p$ -values equals to 0.13 and 0.34, respectively) and the errors can therefore be  
 405 attributed to random noise in the measurement. On the other hand, observed  $k_{18}$  are  $2\%$  larger than  
 406 modeled  $k_{18}$  for rough regime parametrization between  $6$  and  $10 \text{ m s}^{-1}$ . Moreover, the theoretical

407 wide discontinuity between smooth and rough regime expected at  $\sim 6 \text{ m s}^{-1}$  is not visible in the  
 408 observations. A decrease of  $k_{18}$  in the  $7 \pm 1 \text{ m s}^{-1}$  wind speed region is noticeable but  $k_{18}$   
 409 observations quickly approach the main decreasing trend. The observed  $k_{18}$  values are on average  
 410 1.7‰ higher than the ones calculated with the rough regime parametrization between 7 and 10 m  
 411  $\text{s}^{-1}$ . Despite the small number of observations at wind speed above  $7 \text{ m s}^{-1}$ , this study: (i) does not  
 412 provide sufficient experimental evidence that there are two different regimes in the wind  
 413 dependency of  $k_{18}$ ; and (ii) suggests that a continuous decrease of  $k_{18}$  as a function of wind speed  
 414 is more likely in the interval  $[0.5 - 10] \text{ m s}^{-1}$ . Such a decrease can be approximated by the following  
 415 simplified equation:

$$416 \quad k_{18} = (-0.16 \pm 0.04) * \text{WS} + (6.6 \pm 0.3) \text{‰} \quad (2)$$

418 where WS is the 10-m wind speed in  $\text{m s}^{-1}$ . Equation (2) highlights that in the wind speed range  
 419  $[0.5 - 10] \text{ m s}^{-1}$  the sensitivity of  $k_{18}$  to wind speed is only  $-0.16 \pm 0.04 \text{‰ m}^{-1}\text{s}$ . Data filtering  
 420 prevents to calculate  $k_{18}$  at lower wind speed values, mainly because of the thresholds on humidity  
 421 and isotopic composition differences between the two inlets. When such thresholds are removed,  
 422 the number of observations increases on the left side of the wind speed distribution (Figure 4.c),  
 423 with a  $\sim 5\%$  increase of the sample size but yields a larger uncertainty for the lowest wind speed  
 424 bin (SE=1.7‰, not shown). The impact of the presence/absence of humidity and isotopic  
 425 composition difference thresholds between the two inlets is minimal in the  $k_{18}$  wind speed  
 426 relationship. Indeed, the average absolute difference of  $k_{18}$  with/without those thresholds is only  
 427 0.1‰ in the  $[0.5 - 10] \text{ m s}^{-1}$  wind speed range, with a minimal increase of the slope of  $0.04\text{‰ m}^{-1}\text{s}$ .  
 428 Unfortunately, the limited number of datapoints above  $10 \text{ m s}^{-1}$  does not allow any other  
 429 speculation on the dependency of  $k_{18}$  to higher wind speed and prevents a better constraining of  
 430 the rough regime. Furthermore, it is possible that other processes such as sea spray contribution  
 431 might start to become important in the net evaporation flux at higher wind speeds (Andreas et al.,  
 432 1995; Veron, 2015). Therefore, equation (2) must be considered valid only in the  $[0.5 - 10] \text{ m s}^{-1}$   
 433 wind speed range.

434  
 435  
 436 Continuing with  $k_2$ , observations are scattered and very noisy on the  $k_2$  vs wind speed coordinate  
 437 plane (data reported in Supporting Info, Figure S5) because  $\delta\text{D}$  is less strongly influenced by non-  
 438 equilibrium fractionation than  $\delta^{18}\text{O}$ . The correlation between  $k_2$  and wind speed is low and not  
 439 significant within the  $[0.5 - 10] \text{ m s}^{-1}$  wind speed range ( $r=-0.34$ ,  $p\text{-value}=0.15$ ). Observations are  
 440 not in agreement with Merlivat and Jouzel (1979) parametrization, neither for the smooth nor for  
 441 the rough regime, with an average absolute difference of 1.4‰ from the model. The noise in  $k_2$   
 442 observations drastically affects the variability of the  $k_2/k_{18}$  ratio, which shows an average value of  
 443 0.8 and a standard error of 0.1 (Figure 4.b). It is worth noting that the  $k_2/k_{18}$  ratio is not correlated  
 444 with 10-m wind speed.

445

## 446 **5. Discussion**

### 447 **5.1. Method sensitivity to filtering criteria**

448 The KP method is based on assumptions that might partly be violated in a dynamic oceanic  
 449 environment. Even on an island in the middle of the ocean, variability of local evaporation  
 450 sources due to e.g. vegetation and change in wind direction, can affect the validity of a simplified

451 binary mixing model, with ocean and free atmosphere as the only end members. The strict  
 452 filtering criteria used in this study to estimate the isotopic composition of the evaporation flux  
 453 and the non-equilibrium fractionation factors tries to select the data for maximizing the validity  
 454 of the assumptions behind an ideal binary mixing model. This strict filtering, however, reduced  
 455 the original dataset size significantly, as mentioned before. Here we discuss how each filtering  
 456 criteria affects the results shown in Section 4.1 - 4.3, removing only data that is affected by  
 457 moisture input from precipitation events (Figure 5).

458 [Figure 5]

459 When all the filters are switched off, the isotopic composition of the evaporation flux decreases  
 460 significantly and the mean  $\delta_E$  values are not in accordance what would be expected for  
 461 evaporation from the ocean (Gat, 1996; Good et al., 2015; Craig & Gordon, 1965), as shown in  
 462 Figure 5.a and b. Both daytime and western wind sector filters enrich the isotopic composition of  
 463 the flux. However, westward wind direction has the largest impact on  $\delta^{18}\text{O}$  flux while daytime  
 464 and westward wind direction filtering contributes likewise on  $\delta\text{D}$  flux. This different impact for  
 465  $\delta^{18}\text{O}$  and  $\delta\text{D}$  fluxes highlights the different sensitivity of the method to environmental changes in  
 466 daytime-nighttime temperatures (larger effect on  $\delta\text{D}$ , minimal on  $\delta^{18}\text{O}$ ) and on water vapor  
 467 sources (ocean source vs local evapotranspiration, similar effect for both  $\delta\text{D}$  and  $\delta^{18}\text{O}$ ). The  
 468 lower night temperatures, coupled to the poor ventilation due to low wind speeds during the  
 469 night, increase RH substantially. Such stable conditions might promote the contribution of  
 470 transpiration signal from local vegetation on the moisture near the ground. The  $k$  values show the  
 471 mirror image of the evaporation flux composition. Indeed, wind direction filtering contributes the  
 472 most on decreasing  $k_{18}$  value while time and wind direction contribute nearly equally to  
 473 decreasing  $k_2$ . Enabling/removing the thresholds on isotopic and humidity differences between  
 474 the two inlets have only a marginal impact on the average flux composition and  $k$  estimation.

## 475 **5.2 Impact of ocean surface composition and SST inhomogeneity in the fetch area on $k$** 476 **estimation**

477 The top and bottom inlets are sensitive to different fetch areas because of the height difference  
 478 between the two inlets at THMAO (~48 m). The flux footprint prediction model (Kljun et al.,  
 479 2015) suggested that 90% of the fetch area for the bottom inlet is within 100 m while for the top  
 480 inlet is within 2800 m. The island of Bermuda is characterized by shallow waters close to the  
 481 coast. Therefore, it is possible that local circulation of ocean water within the coral reef system  
 482 can have an impact on SST variability and on surface water isotopic composition. Continuous  
 483 measurement of SST and ocean isotopic composition covering the whole study area are not  
 484 available. However, a first approximation of the variability of SST and salinity (as a proxy of  
 485 evaporation) in the study area can be retrieved from buoys and BATS data, as shown in Figure  
 486 6.a and Figure 6.c. In this context, the variability of SST can be used to estimate the equilibrium  
 487 water vapor variability in the study area (Figure 6.b) while the variability of salinity can be used  
 488 to estimate the variability of ocean composition by applying the salinity to isotope conversion  
 489 following Benetti et al (2017a) (Figure 6.d).

490 [Figure 6]

491 It is reasonable to assume that OSTIA SST is more representative of the isotopic composition of  
 492 equilibrium water vapor for the top inlet while the SST measured near the island coastline is

493 representative for the bottom inlet. To account for the different fetch areas, we correct the water  
 494 vapor isotopic composition at the bottom inlet by adding the mean deviation of St. George  
 495 equilibrium SST from OSTIA, that is +0.07‰ and +0.75‰ for  $\delta^{18}\text{O}$  and  $\delta\text{D}$ , respectively (i.e.  
 496 the mean of blue PDF in Figure 6.b). As anticipated in Section 2.5, we used this correction to  
 497 calculate the isotopic composition of evaporation fluxes shown in this study. Similarly, the ocean  
 498 composition within the reef is likely more representative of evaporating water within the reef,  
 499 hence, an approximate offset can be added to the isotopic composition of the ocean equal to -  
 500 0.06‰ and -0.38‰ for  $\delta^{18}\text{O}$  and  $\delta\text{D}$ , respectively (i.e. the mean of the green PDF in Figure 6.d).  
 501 Next, we discuss how large is the impact of such corrections on the estimation of the  $k$  values  
 502 and on the relationship between  $k$  and wind speed. As shown in Table 3, the inhomogeneity of  
 503 ocean composition can introduce a bias in  $k_{18}$  and  $k_2$  in the order of 0.3‰ and 1.3‰, respectively.  
 504 These biases, are smaller than the uncertainties of  $k_{18}$  and  $k_2$ . On the other hand, SST  
 505 inhomogeneity in the study area can introduce a 0.8‰ and 8‰ bias in  $k_{18}$  and  $k_2$  estimation.  
 506 When the SST correction is implemented, the  $k_{18}$  bias is still comparable to  $k_{18}$  uncertainty while  
 507  $k_2$  differs significantly when the offset is introduced (66% absolute deviation). Therefore, SST  
 508 has a larger impact on  $\delta\text{D}$  than on  $\delta^{18}\text{O}$  and the impact of ocean composition inhomogeneity in  
 509 the study area is lower than the impact of SST in the estimation of  $k_{18}$  and  $k_2$ . It should be noted  
 510 that neither the correction for SST nor the correction for ocean composition take into account the  
 511 magnitude of the evaporation flux in the estimation of the average  $\delta_E$ . The average  $\delta_E$  should be  
 512 in principle weighted by mass flux from the ocean surface. However, similar non-equilibrium  
 513 fractionation factors were obtained with an SST correction based on the day-by-day difference  
 514 between OSTIA and St. George SST instead of the mean difference during the whole study  
 515 period (5.37 and 5.16 for  $k_{18}$  and  $k_2$ , respectively). A key point is that SST correction and Salinity  
 516 + SST corrections lower the  $k_2/k_{18}$  ratio below unity (0.83 and 0.57), which makes sense from the  
 517 physical point of view, since the  $(1-\text{HD}^{16}\text{O}/\text{H}_2^{16}\text{O})$  quantity needs to be smaller than  $(1-$   
 518  $\text{H}_2^{18}\text{O}/\text{H}_2^{16}\text{O})$  (e.g. as recently shown in Hellmann & Harvey, 2020). However, when both  
 519 salinity and SST corrections are implemented,  $k_2$  is too low and not consistent, e.g. with recent  
 520 water vapor observations in the Atlantic Ocean (Bonne et al., 2019). Finally, both corrections do  
 521 not significantly affect the observed correlation between  $k_{18}$  and wind speed. The main effect of  
 522 the corrections on  $k_{18}$  and  $k_2$  leads to shifts in the distributions without changing their shapes.  
 523 This means that the effect introduced by the correction is translated into changing the intercept of  
 524 the best fit line of Figure 4.a but keeping the slope mostly unchanged. The observed negative  
 525 correlation between  $k_{18}$  and wind speed is robust, regardless of the correction implemented.

### 526 **5.3 Suggested $k$ values and limitations of the approach**

527 The large footprint difference for the two inlets is the highest source of uncertainty and the  
 528 limitation in our experimental setup, even with the strict filtering criteria applied to the dataset.  
 529 The good agreement of our results with previous studies of the evaporation flux isotopic  
 530 composition and the expected  $k_2/k_{18}$  ratio in the expected range cannot serve as validation of our  
 531 method, but they provide a constraint on identifying the highest uncertainty source. As outlined  
 532 in section 5.2, we identified SST differences in the footprint areas to be the main driver for the  
 533 systematic bias observed for  $k_2$ . Given that SST correction does not affect  $k_{18}$  significantly, we  
 534 suggest using the mean value of  $k_{18}=5.2\%$  and  $k_2=4.3\%$ . Indeed, these  $k$  values are estimated  
 535 using all the observations that maximized the validity of KP method assumptions and thus should  
 536 be representative for the average conditions. When simulating ocean evaporation in isotope-

537 enabled General Circulation Models,  $k_{18}$  can be calculated from the 10-m wind speed using the  
 538 empirical linear relationship (equation 2) and  $k_2$  can be estimated by the average observed ratio  
 539 of  $k_2/k_{18}=0.83$ . These values are valid for wind speed between 0.5 and 10 m s<sup>-1</sup>.

#### 540 **5.4 D-excess sensitivity to evaporative conditions using suggested $k$ values**

541 Assuming that the water vapor d-excess signal is only modulated by local evaporation, the  
 542 suggested non-equilibrium fractionation factors of this study can be used to predict water vapor  
 543 d-excess [‰] using  $h_s$  [%] and the CA. Table 4 reports the regression coefficients (slope and  
 544 intercept) of the observed and modeled d-excess vs  $h_s$  relationship using the data of this study  
 545 and the data of four research cruises (Benetti et al., 2017b) which crossed the Atlantic Ocean  
 546 between 2012 and 2015 at different latitudes (plots of d-excess vs  $h_s$  reported in Figure S3 and  
 547 Figure S7 of Supporting Information). For computation of d-excess under CA, ocean  $\delta^{18}\text{O}$  was  
 548 obtained from the LeGrande and Schmidt (2006) gridded dataset, by averaging the closest 4 grid  
 549 points of the ship location for each cruise, and ocean  $\delta\text{D}$  was estimated from the  $\delta^{18}\text{O}$  vs  $\delta\text{D}$   
 550 relationships (Benetti et al. 2017a). The slope of the modeled d-excess vs  $h_s$  relationship is fully  
 551 comparable with the one calculated for STRASSE cruise only. In general, the MAE and RMSE  
 552 increase as a function of the latitude for cruises, with negligible errors for PIRATA and  
 553 STRASSE. When screening the Bermuda dataset as shown in Section 3.2, the CA yields smaller  
 554 regression coefficients in absolute values (-0.46 ‰/% and 46 ‰ for slope and intercept,  
 555 respectively). A further decrease can be observed when the dataset is screened also by removing  
 556 observations with MBL height e.g. larger than 1000 m (-0.39 ‰/% and 40‰). Given that  
 557 regression coefficients for Bermuda tend to agree with the one predicted under the CA and that  
 558 the STRASSE cruise was characterized by shallow boundary layer (Benetti et al., 2014),  
 559 atmospheric mixing between the MBL and the free atmosphere can be one of the processes  
 560 causing the discrepancy between observed d-excess variability in the MBL and the CA. Indeed,  
 561 such a process (i) promotes the variability of the isotopic composition of water vapor in the free  
 562 atmosphere and (ii) modulates  $h_s$  in the MBL at the same time (Benetti et al., 2018; Risi et al.,  
 563 2019). Although an input of water vapor from the free atmosphere violates assumption #3 in the  
 564 KP method to calculate  $\delta_E$  (see section 2.5), we do not observe a significant change in estimation  
 565 of  $\delta_E$  and  $k$  values when screening also for MBL height ( $\delta^{18}\text{O}_E = -3.08\text{‰}$  and  $\delta\text{D}_E = -23.06\text{‰}$ ;  
 566  $k_{18} = 5.16\text{‰}$  and  $k_2 = 4.08\text{‰}$ ). A regression model based on observed d-excess, CA and MBL  
 567 height is able to reproduce 82% of the d-excess signal variability in the entire Bermuda dataset,  
 568 showing that the 55% of variability can be attributed to  $h_s$  variability and 22% to MBL height  
 569 variability. Although this simplified analysis considers  $h_s$  and the height of the MBL as two  
 570 independent quantities, even though they are correlated, it shows that d-excess signal in MBL  
 571 water vapor might contain more information than evaporative conditions over the ocean surface.  
 572 We therefore expect this study to highlight the need for more research effort to determine the  
 573 processes driving d-excess signal in the MBL at the daily – subdaily scale.

#### 574 **6 Conclusions**

575 Profile observations of water vapor isotopic composition near the ocean surface can be used to  
 576 quantify the impact of non-equilibrium effects on isotopic fractionation during oceanic  
 577 evaporation. In this study we provided a unique dataset of water vapor isotope observations  
 578 collected at two different heights on a meteorological tower in Bermuda, located in the North  
 579 Atlantic Ocean. Using the combination of the Keeling Plot method and the Craig-Gordon model

580 we have calculated the non-equilibrium fractionation factors for  $^{18}\text{O}/^{16}\text{O}$  and D/H during ocean  
581 evaporation and investigated their dependency on wind speed. A strict data filtering approach  
582 was used to maximize the validity of the assumptions behind the Keeling Plot method, ensuring a  
583 robust estimate of the non-equilibrium fractionation factors. The observed non-equilibrium  
584 fractionation factor for  $^{18}\text{O}/^{16}\text{O}$  is in good agreement with the established smooth wind speed  
585 parametrization in Merlivat and Jouzel (1979) (mean  $\pm$  1 std. dev  $k_{18}=5.2 \pm 0.6\%$ ). We find a  
586 statistically significant correlation between  $k_{18}$  and 10-m wind speed, with a sensitivity in the  
587 order of  $-0.16$  to  $-0.20 \%$   $\text{m}^{-1} \text{s}$ . Such low sensitivity would be nearly impossible to resolve by  
588 conventional measurements of the isotopic composition of water vapor at a single height above  
589 the ocean surface. Although the number of observations in high wind speed conditions is sparse  
590 in the observational dataset, the observed relationship between  $k_{18}$  and wind speed does not  
591 provide a clear indication for the presence of a discontinuity between a smooth and rough surface  
592 under different wind regimes. In fact, the rough regime parametrization of  $k_{18}$  underestimates the  
593 observed fractionation factor by a factor of  $\sim 0.66$ . Mean non-equilibrium fractionation factor for  
594 D/H were shown to be in the range expected following Merlivat and Jouzel (1979) albeit with a  
595 larger uncertainty (mean  $\pm$  1 std. dev  $k_2=4.3 \pm 3.4\%$ ). We showed that the spatial inhomogeneity  
596 of SST and ocean isotopic composition around the study site have an impact on the estimation of  
597  $k_2$  and its uncertainty because of the large height difference between the two inlets and the  
598 resulting different fetch areas. The results for  $k_{18}$  are robust regardless of different data filtering  
599 and are insensitive to footprint correction based on the spatial variability of SST and ocean  
600 composition. Lastly, using the non-equilibrium fractionation factors of this study and the closure  
601 assumption we showed that the d-excess signal in water vapor at the daily – subdaily temporal  
602 scale over the ocean contains information on Marine Boundary Layer height in addition to SST  
603 and RH. The results of this study allow more accurate simulation of d-excess in the MBL, hence  
604 allowing observations to be used to improve the fidelity of isotope enabled numerical models  
605 when simulating ocean evaporation.

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618

## 619 **Open Research**

620 The water vapor time series used for calculating the non-equilibrium fractionation factors in the  
621 study is available on Pangea, DOI to be minted with CC BY 4.0 (Steen-Larsen et al.,  
622 2022). Code for data analysis and for reproducing plots in the article is available here:  
623 <https://doi.org/10.5281/zenodo.6977090>.

624

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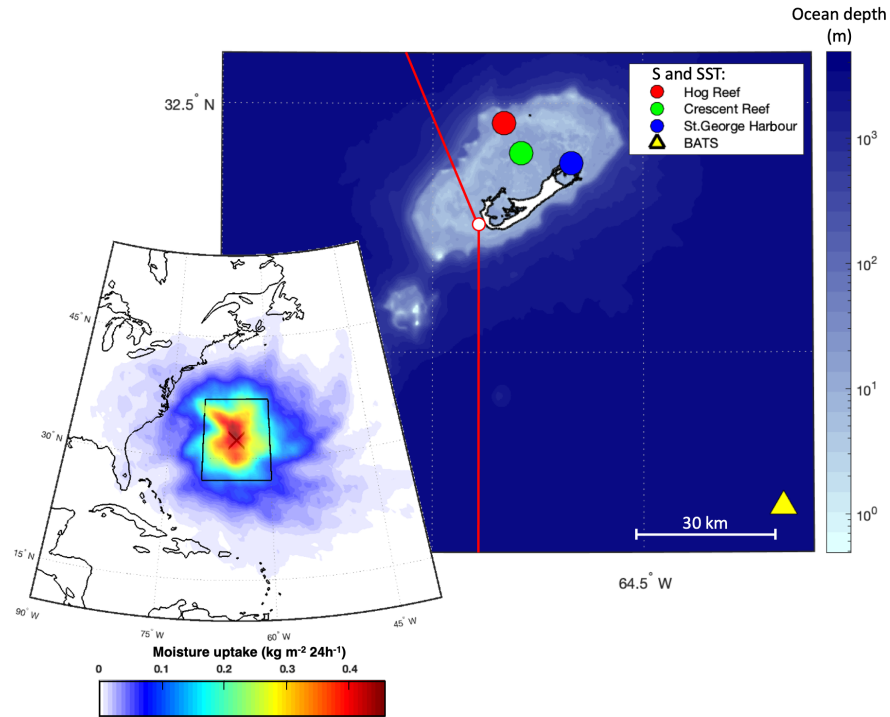


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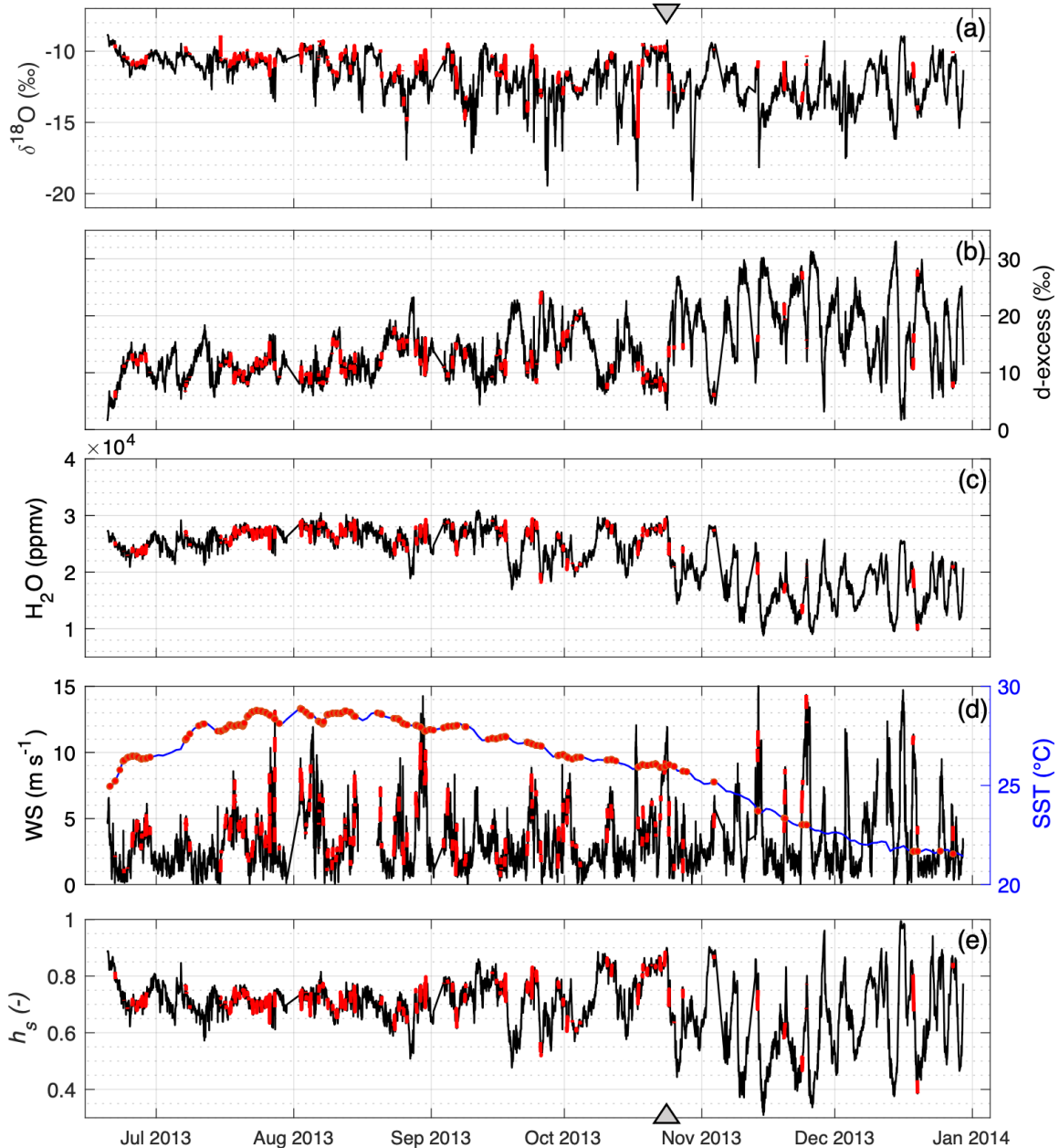
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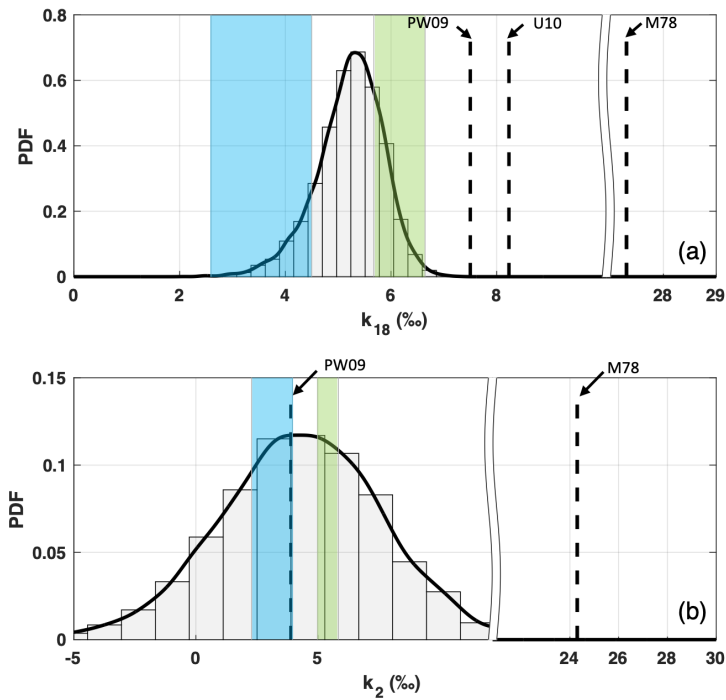
848 **Figure 1:** Study site in Bermuda. Bermuda Island shape in white color and ocean depth as color scale (Hijmans,  
 849 2015; NOAA, 2019); position of Tudor Hill Marine Atmospheric Observatory (white dot) and wind sector (red  
 850 lines) to discriminate local transpired water vapor from ocean water vapor (N180° to N340°). Colored circles and  
 851 triangle are the sampling locations of available salinity (S) and SST time series around the study area. The large-  
 852 scale map on the left shows the location of Bermuda (cross) in the northwest Atlantic Ocean and the main water  
 853 vapor sources during the study period. The highlighted sector includes 45% of accounted water vapor uptakes.

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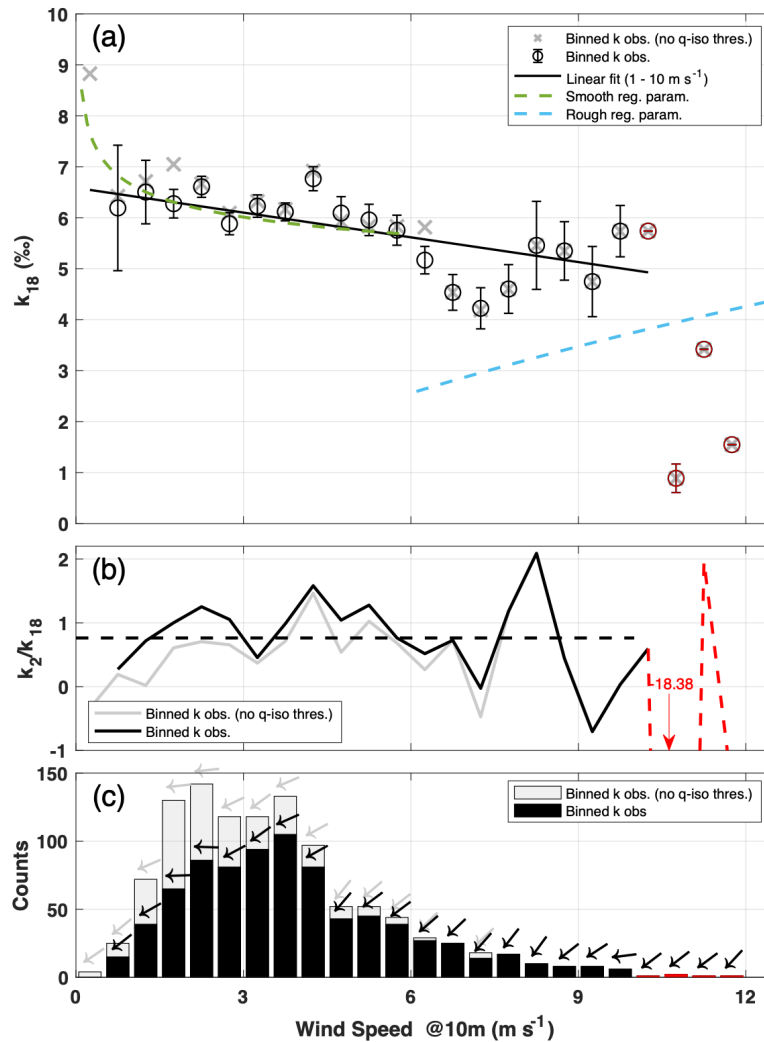
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**Figure 2:** Timeseries of water vapor isotopic composition and relevant meteorological parameters at the study site. **(a, b)** Water vapor isotopic composition, **(c)** mixing ratio and **(d)** wind speed (WS) measured at top inlet height (50 m AMSL). SST data from OSTIA reported as a blue line. **(e)**  $h_s$  is the relative humidity measured at top inlet and normalized to OSTIA SST. Gray triangles on the top and bottom of the figure represent the autumn transition, as detected from d-excess variability at weekly scale. Observations selected for estimating the isotopic composition of the evaporation flux are highlighted in red.



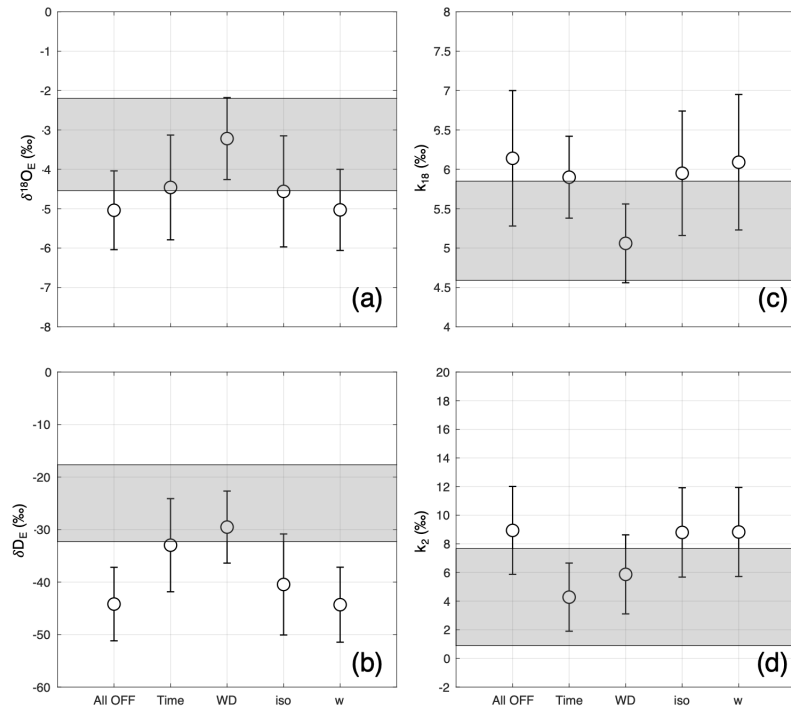
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**Figure 3:** Non-equilibrium fractionation factors estimated from flux observations (KP method). Continuous kernel density function was estimated with bandwidths 0.1‰ and 0.6‰ for  $k_{18}$  (a) and  $k_2$  (b), respectively. Shaded area represents the  $k$  intervals predicted for smooth (green, 10-m wind speed range [1-6] m s<sup>-1</sup>) and rough (cyan, 10-m wind speed range [6-13] m s<sup>-1</sup>) regimes following Merlivat and Jouzel (1979). For reference, molecular diffusivity ratios M78 (Merlivat, 1978) and non-equilibrium fractionation factors for ocean settings PW09 (Pfahl & Wernli, 2009) and U10 (Uemura et al., 2010) are reported as vertical dashed lines.



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 872 **Figure 4:** Observed relationship between  $k_{18}$  and 10-m wind speed. **(a)** mean  $\pm$  standard error of  $k_{18}$  estimated for  
 873 each wind speed class. Green and cyan lines show the parametrization of  $k_{18}$  for smooth and rough wind regimes,  
 874 respectively (Merlivat & Jouzel, 1979). Solid black line represents a linear fit ( $R^2=0.52$ ) in the wind speed interval  
 875  $0.5 - 10 \text{ m s}^{-1}$  (fit equation reported in text). **(b)**  $k_2/k_{18}$  ratio for each wind speed class. Dashed black line is the  
 876 average ratio (0.8). **(c)** Number of observations and mean wind direction (arrows) for each bin. In all panels: black  
 877 lines, black symbols and black bars for filtered dataset; gray lines, gray symbols and gray bars for filtered dataset  
 878 with no isotope and humidity thresholds implemented (Table 1, rows 3 and 4): red line, red symbols and red bars  
 879 highlight wind speed classes with number of observations  $\leq 2$ .  
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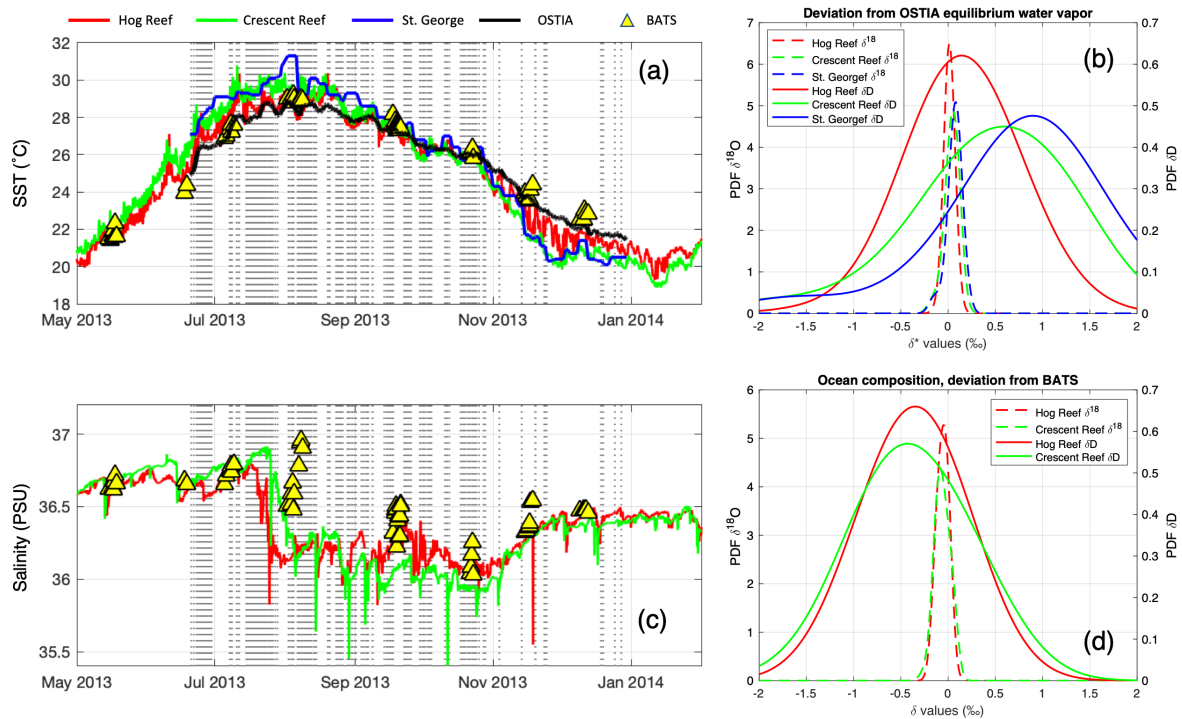




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882 **Figure 5:** Sensitivity of the method for estimating  $\delta_E$  and  $k$  values to filtering criteria. Following Table 1: only  
 883 precipitation filter (All off,  $n = 6834$ ), time + precipitation filter (Time,  $n = 2016$ ), wind sector + precipitation (WD,  
 884  $n = 3143$ ), isotopic gradient + precipitation (iso,  $n = 3883$ ), humidity gradient+ precipitation (w,  $n = 6484$ ). **(a)** and  
 885 **(b)** sensitivity of isotopic composition of evaporation flux ( $\delta_E$ ) for  $\delta^{18}\text{O}$  and  $\delta\text{D}$ , respectively. **(c)** and **(d)** sensitivity  
 886 of non-equilibrium fractionation factors for  $k_{18}$  and  $k_2$ , respectively. For all panels, gray shaded areas represent  
 887  $\pm 1$  std. deviation when enabling all filtering steps.

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890 **Figure 6:** SST and salinity inhomogeneity of ocean waters around the study site. **(a)** Time series of SST in different  
 891 points of the study area, see Figure 1 for reference of sampling sites. Vertical lines represent selected observations  
 892 for flux estimation. **(b)** PDFs of  $\delta^{18}\text{O}$  and  $\delta\text{D}$  [Equilibrium vapor (SST reef) – Equilibrium vapor (SST OSTIA)],  
 893 where SST reef is the SST measured in different points within the reef area. **(c)** Similar to (a) but for salinity. **(d)**  
 894 PDFs of  $\delta^{18}\text{O}$  and  $\delta\text{D}$  [Ocean Composition (S reef) – Ocean Composition (S BATS)], where S reef is the salinity  
 895 measured at different points within the reef area and S BATS is salinity measured at the BATS site. Conversion of  
 896 salinity to isotopic composition following Benetti et al. (2017a).

897

898 **Table 1:** List of variables and constraints adopted to filter the time series.

Variable	Indexing	Range/Value	Rejected (cumulative)	Assumption #	Rationale
<b>Time</b>	Time	Daytime observations based on sunrise-sunset hour (LST) with 2 hours symmetrical offset	71%	2,4	No influence of dew formation caused by night cooling
<b>WD</b>	Wind sector inclusion	Western Sector 180°N – 340°N (i.e. excluding winds from inland)	85%	3,4	No influence of local evapotranspiration from vegetation
<b><math>\delta D</math> and <math>\delta^{18}O</math></b>	$ \delta D_{\text{Bottom}} - \delta D_{\text{Top}} $ $ \delta^{18}O_{\text{Bottom}} - \delta^{18}O_{\text{Top}} $	$> 1 \text{ ‰}$ $> 0.1 \text{ ‰}$	89%	2	Difference between Top/Bottom larger than instrumental precision (L2120-i)
<b>w</b>	$w_{\text{Bottom}} - w_{\text{Top}}$	$> 100 \text{ ppmv}^*$	89%	2	w decreases with height above ocean
<b>P</b>	Time	No precipitation within the last two hours	90%	1,2,3,4	No vapor recycling from precipitation

899

900 The column “rejected” reports the size of dataset that does not fulfill each filtering threshold. Assumption n# refers

901 to the numbered list in Section 2.5. \* This is a conservative estimate of instrumental precision not reported in the

902 L2120-i datasheet.

903

904 **Table 2:** Descriptive statistics of evaporation flux and top inlet water vapor isotopic composition at the daily  
 905 timescale. Interquartile range (IQR) estimated by fitting a normal PDF on observed  $\delta_E$  distribution.  $\sigma_{\delta E}$  following  
 906 Good et al., (2012).

	Mean (‰)	Median (‰)	IQR (‰)	$\sigma_{\delta E}$ (‰)
Evaporation flux $\delta^{18}\text{O}$	-3.37	-4.48	-6.7 ; -0.04	1.17
Evaporation flux $\delta\text{D}$	-24.99	-33.48	-48.38 ; -1.60	7.33
Top inlet water vapor $\delta^{18}\text{O}$	-11.30	-10.97	-12.10 ; -10.51	-
Top inlet water vapor $\delta\text{D}$	-78.13	-76.14	-83.15 ; -73.10	-

907

908 **Table 3:** Impact of SST and Ocean composition variability on  $k_2$ ,  $k_{18}$  and on  $k_{18}$  vs wind speed parameters  
 909 estimation. Uncertainties are: 0.6‰, 3.5‰, 0.04 and 0.3 for  $k_{18}$ ,  $k_2$ , slope and intercept, respectively. Deviations  
 910 from  $k$  values obtained without applying any correction.

Correction	Cause	$k_{18}$ (‰)	Dev. (%)	$k_2$ (‰)	Dev. (%)	$k_2/k_{18}$	Slope (‰ m <sup>-1</sup> s)	Interc. (‰)
No correction	-	6.0	-	12.74	-	2.11	-0.20	7.93
Salinity correction	Different isotopic composition of surface water in fetch area	5.8	-4	11.37	-11	1.96	-0.21	7.72
SST correction	SST inhomogeneity in fetch area	5.2	-14	4.32	-66	0.83	-0.16	6.59
Salinity + SST corrections	SST and surface composition inhomogeneity	5.0	-18	2.81	-78	0.57	-0.17	6.37

911

912 **Table 4:** D-excess [‰] vs  $h_s$  [‰] relationship: observed and modeled under CA. Observations from ACTIV, RARA,  
 913 STRASSE and PIRATA cruises averaged over 15 min (Benetti et al. 2017b). Slopes and intercepts reported with  
 914 their ( $\pm$  standard error). For modeled d-excess, standard error of the slope is always  $<0.01$ . Mean Absolute Error  
 915 (MAE) and Root Means Squared Error (RMSE) of observed d-excess vs modeled d-excess (CA).

Dataset	SST source	Observed d-excess		Modeled d-excess		MAE (‰)	RMSE (‰)
		Slope (‰/‰)	Interc. (‰)	Slope (‰/‰)	Interc. (‰)		
ACTIV (n = 3087)	OSTIA (200 km x 200 km)	-0.32 ( $\pm 0.01$ )	33.71 ( $\pm 0.46$ )	-0.40	34.43 ( $\pm 0.07$ )	6.52	3.47
RARA (n = 5115)	On board SBE38 (1.50 m depth)	-0.38 ( $<0.01$ )	39.58 ( $\pm 0.20$ )	-0.43	41.58 ( $\pm 0.23$ )	2.60	2.46
STRASSE (n = 2224)	On board SBE35 (3.50 m depth)	-0.38 ( $\pm 0.01$ )	38.35 ( $\pm 0.40$ )	-0.38	38.51 ( $\pm 0.12$ )	1.12	1.44
PIRATA (n = 2662)	On board SBE3S (3.33 m depth)	-0.24 ( $\pm 0.01$ )	29.37 ( $\pm 0.47$ )	-0.41	40.83 ( $\pm 0.18$ )	0.89	0.93
Bermuda this study (n = 8791)	OSTIA (1°x1°)	-0.48 ( $<0.01$ )	47.91 ( $\pm 0.16$ )	-0.36	35.48 ( $\pm 0.04$ )	3.82	4.46