

# On the upper bound of $\pi(x) - \text{li}(x)$

David Stach

Comenius University

Faculty of Mathematics, Physics and Informatics

Mlynská dolina F1 842 48 Bratislava

Slovak Republic

E-mail: davsta@mathinstitute.sk

## Abstract

Let  $\pi(x)$  denote the prime counting function and let  $\text{li}(x)$  denote the logarithmic integral. We prove that

$$\pi(x) - \text{li}(x) = \mathcal{O}(\sqrt{x} \log x).$$

The novelty of our approach lies in using new relations between  $\pi(x)$  and the double sum of reciprocals of primes  $\sum_{k=2}^x \sum_{p \leq k} \frac{1}{p}$ . By applying these relations, we develop a method on how to obtain a formula for  $\pi(x) - \text{li}(x)$  without using a complex analysis. Among all of the other interesting implications, our result implies a more regular distribution of primes than implied from the prime number theorem.

## 1 Introduction

Let  $\pi(x) = \sum_{p \leq x} 1$  and let

$$\text{li}(x) = \lim_{\varepsilon \rightarrow 0^+} \left( \int_0^{1-\varepsilon} \frac{du}{\log u} + \int_{1+\varepsilon}^x \frac{du}{\log u} \right).$$

---

2020 *Mathematics Subject Classification*: Primary 11A41, 11N05, 11Y11; Secondary 40B05, 26A42, 65B15.

*Key words and phrases*: Primes, Primality, Prime counting function, Distribution of primes, Logarithmic Integral, Order of the function.

The beginnings of estimating  $\pi(x)$  using  $\text{li}(x)$  date back to the 18th century to Gauss [3] who even suggested that  $\pi(x) \sim \text{li}(x)$ . Although a direct calculation of  $\text{li}(x)$  showed a good estimation for  $\pi(x)$ , mathematicians were unable to rigorously prove what the real relation between them is. A major breakthrough came in 1859, when Riemann [9] introduced the explicit formula

$$\pi(x) = \sum_{k=1}^{\infty} \frac{\mu(k)}{k} \left( \text{li}(x^{1/k}) - \sum_{\rho} \text{li}(x^{\rho/k}) + \int_{x^{1/k}}^{\infty} \frac{dz}{(z^2 - 1)z \log z} \right)$$

where  $\mu(k)$  denotes the Möbius function and  $\rho$  denotes the nontrivial zeros of the zeta function. From the method Riemann used to derive this formula, it was obvious that  $\text{li}(x)$  is naturally related to  $\pi(x)$ . Although his formula does not prove  $\pi(x) \sim \text{li}(x)$ , it is still remarkable for three reasons. First, it allows us to compute an exact value of  $\pi(x)$  using a smooth function  $\text{li}(x)$ . Second, it served as a basis for the proof that  $\pi(x) - \text{li}(x)$  changes sign infinitely often [7]. Third, it helped in obtaining a bound on the growth rate of  $\pi(x)$  depending on the upper bound of the real parts of  $\rho$ . If  $\beta$  denotes that upper bound, then  $\pi(x) - \text{li}(x) = \mathcal{O}(x^{\beta} \log x)$  [5]. From Riemann's work and the prime number theorem, which was proved by Hadamard [4] and de la Vallée Poussin [2] in 1896, it follows that  $\beta \in [\frac{1}{2}, 1]$ . We strengthen these results and prove that  $\pi(x) - \text{li}(x) = \mathcal{O}(\sqrt{x} \log x)$ .

## 2 PRELIMINARIES

Throughout this article,  $x$  denotes a real number,  $n$  denotes a natural number, and  $p$  denotes a prime number.

**Definition 2.1.** Let  $\{a_n\}$  be a sequence of complex numbers. Define the partial sum

$$A(x) = \sum_{0 \leq n \leq x} a_n.$$

Let  $f$  be a real valued and continuously differentiable function on  $[y, x]$ . Then, Abel's summation is defined by

$$\sum_{y < n \leq x} a_n f(n) = A(x)f(x) - A(y)f(y) - \int_y^x A(u)f'(u)du.$$

**Definition 2.2.** If  $g(x) > 0$  for all  $x \geq x_o$ , we write  $f(x) = \mathcal{O}(g(x))$  [6] if there exists a constant  $H$  such that for all  $x \geq x_o$ , we have

$$|f(x)| \leq Hg(x).$$

It is important to note that  $f(u) = \mathcal{O}(g(u))$  for  $u \geq x_o$  implies

$$\int_{x_o}^x f(u)du = \mathcal{O}\left(\int_{x_o}^x g(u)du\right).$$

**Definition 2.3.** Let  $m$  and  $n$  be natural numbers and let  $f$  be a real valued and continuously differentiable function on  $[m, n]$ . Then, the Euler-Maclaurin summation formula [1] is defined by

$$\sum_{i=m}^n f(i) = \int_m^n f(x)dx + \frac{f(n) + f(m)}{2} + \frac{f'(n) - f'(m)}{12} + R_2$$

where

$$|R_2| \leq \frac{1}{12} \int_m^n |f''(x)| dx.$$

Since the remainder term  $R_2$  only has a negligible value for our purposes, this inequality is sufficient for us.

**Definition 2.4.** Let  $M$  be the Mertens constant [8] defined by

$$M = \lim_{n \rightarrow \infty} \left( \sum_{p \leq n} \frac{1}{p} - \log \log n \right).$$

$M = 0.2614972128 \dots$

**Definition 2.5.** Let  $E(x)$  be the error term defined by

$$E(x) = \sum_{p \leq x} \frac{1}{p} - \log \log x - M.$$

It is proved in [10] that  $E(x)$  changes sign infinitely often. For  $x > 1$ , we have [11]

$$|E(x)| < \frac{1}{\log^2 x}.$$

### 3 MAIN RESULTS

**Lemma 3.1.** For  $x \geq e^6$ , we have

$$\text{li}(x) - \frac{x}{\log x} \leq \frac{x}{\log^2 x} + \frac{4x}{\log^3 x} + C \quad (3.1)$$

where

$$C = -\frac{8}{\log^3 2} - \frac{2}{\log 2} - \frac{2}{\log^2 2} + \text{li}(2) + \int_2^{e^6} \frac{12du}{\log^4 u}.$$

*Proof.* Recall that  $\text{li}(x) = \text{li}(2) + \int_2^x \frac{du}{\log u}$ . After two integrations by parts, we obtain

$$\text{li}(x) = \frac{x}{\log x} + \frac{x}{\log^2 x} - \frac{2}{\log 2} - \frac{2}{\log^2 2} + \text{li}(2) + \int_2^x \frac{2du}{\log^3 u}. \quad (3.2)$$

After integrations by parts of the last term, we have

$$\int_2^x \frac{2du}{\log^3 u} = \frac{2x}{\log^3 x} - \frac{4}{\log^3 2} + \int_2^x \frac{6du}{\log^4 u}.$$

Since  $\frac{6}{\log^4 u} \leq \frac{1}{\log^3 u}$  holds for all  $u \geq e^6$ , we have

$$\int_2^x \frac{2du}{\log^3 u} - \frac{2x}{\log^3 x} + \frac{4}{\log^3 2} - \int_2^{e^6} \frac{6du}{\log^4 u} = \int_{e^6}^x \frac{6du}{\log^4 u} \leq \int_{e^6}^x \frac{du}{\log^3 u} \leq \int_2^x \frac{du}{\log^3 u}.$$

From this inequality for  $x \geq e^6$ , we have

$$\int_2^x \frac{2du}{\log^3 u} \leq \frac{4x}{\log^3 x} - \frac{8}{\log^3 2} + \int_2^{e^6} \frac{12du}{\log^4 u}.$$

Combining this with (3.2) we obtain (3.1).  $\square$

**Lemma 3.2.** For  $n \geq 2$ , we have

$$\sum_{p \leq n} \frac{1}{p} = \frac{\pi(n)}{n} + \int_2^n \frac{\pi(x)}{x^2} dx. \quad (3.3)$$

*Proof.* Let  $A(x) = \pi(x)$  and let  $f(x) = \frac{1}{x}$ . Then, this equation directly follows from Definition 2.1, since  $\pi(x) = 0$  for  $x < 2$ .  $\square$

**Lemma 3.3.** For  $n \geq 2$ , we have

$$\sum_{k=2}^n \sum_{p \leq k} \frac{1}{p} = (n+1) \sum_{p \leq n} \frac{1}{p} - \pi(n). \quad (3.4)$$

*Proof.*

$$\begin{aligned} \sum_{k=2}^n \sum_{p \leq k} \frac{1}{p} &= \sum_{p \leq 2} \frac{1}{p} + \sum_{p \leq 3} \frac{1}{p} + \sum_{p \leq 4} \frac{1}{p} + \dots + \sum_{p \leq n} \frac{1}{p} \\ &= \frac{n-1}{p_1} + \frac{n-1-(p_2-p_1)}{p_2} + \dots + \frac{n-1-(p_{\pi(n)}-p_1)}{p_{\pi(n)}} \\ &= \sum_{i=1}^{\pi(n)} \frac{n-1-(p_i-p_1)}{p_i} \end{aligned} \quad (3.5)$$

where  $p_i$  is the  $i$ -th prime. Substituting 2 for  $p_1$  in (3.5), we obtain (3.4).  $\square$

**Corollary 3.4.** Equating (3.3) and (3.4), we obtain an elegant relation between the double sum and the integral involving prime numbers

$$\sum_{k=2}^{n-1} \sum_{p \leq k} \frac{1}{p} = n \int_2^n \frac{\pi(x)}{x^2} dx.$$

**Lemma 3.5.** For  $n \geq 2$ , we have

$$\sum_{k=2}^n \sum_{p \leq k} \frac{1}{p} = \sum_{k=2}^n \log \log k + (n-1)M + \sum_{k=2}^n E(k). \quad (3.6)$$

*Proof.* This equation is easily derived in a straightforward manner using Definition 2.5.  $\square$

**Theorem 3.6.** For  $n > 2$ , we have

$$\pi(n) - \text{li}(n) = nE(n) + \frac{\log \log n}{2} - \sum_{k=2}^{n-1} E(k) + C_1 \quad (3.7)$$

where  $-0.95171\dots \geq C_1 \geq -1.07193\dots$

*Proof.* We start by equating (3.4) and (3.6)

$$(n+1) \sum_{p \leq n} \frac{1}{p} - \pi(n) = \sum_{k=2}^n \log \log k + (n-1)M + \sum_{k=2}^n E(k). \quad (3.8)$$

Applying Definition 2.5, we have

$$\sum_{p \leq n} \frac{1}{p} = \log \log n + M + E(n).$$

Applying Definition 2.3, we have

$$\begin{aligned} \sum_{k=2}^n \log \log k &= n \log \log n - \text{li}(n) - 2 \log \log 2 + \text{li}(2) + \\ &+ \frac{\log \log n}{2} + \frac{\log \log 2}{2} + \frac{1}{12} \left( \frac{1}{n \log n} - \frac{1}{2 \log 2} \right) + R_2 \end{aligned} \quad (3.9)$$

where

$$|R_2| \leq \frac{1}{12} \int_2^n \left| -\frac{\log x + 1}{x^2 \log^2 x} \right| dx = \frac{1}{12} \left( \frac{1}{2 \log 2} - \frac{1}{n \log n} \right).$$

Combining these results with (3.8) we obtain (3.7), where

$$C_1 = 2M + \frac{3 \log \log 2}{2} - \text{li}(2) - \frac{1}{12} \left( \frac{1}{n \log n} - \frac{1}{2 \log 2} \right) - R_2.$$

□

**Theorem 3.7.** *If  $\pi(x) - \text{li}(x) = \mathcal{O}(\sqrt{x} \log x)$ , then for  $n > 2657$  we have*

$$\left| \sum_{k=2}^{n-1} E(k) - \frac{\log \log n}{2} - C_1 + nC_2 \right| \leq \frac{n}{8\pi} \left( \frac{2(\log 2657 + 2)}{\sqrt{2657}} - \frac{2(\log n + 2)}{\sqrt{n}} \right) \quad (3.10)$$

where

$$C_2 = M + \frac{\pi(2657)}{2657} + \log \log 2657 - \sum_{p \leq 2657} \frac{1}{p} - \frac{\text{li}(2657)}{2657} = -0.00701 \dots$$

*Proof.* Dividing (3.7) by  $n$ , we have

$$\frac{\pi(n)}{n} - \frac{\text{li}(n)}{n} = E(n) + \frac{\log \log n}{2n} - \frac{\sum_{k=2}^{n-1} E(k)}{n} + \frac{C_1}{n}.$$

Applying Lemma 3.2, we have

$$\sum_{p \leq n} \frac{1}{p} - \int_2^n \frac{\pi(x)}{x^2} dx - \frac{\text{li}(n)}{n} = E(n) + \frac{\log \log n}{2n} - \frac{\sum_{k=2}^{n-1} E(k)}{n} + \frac{C_1}{n}. \quad (3.11)$$

Applying Definition 2.5, we have

$$\sum_{p \leq n} \frac{1}{p} = \log \log n + M + E(n).$$

It is proved in [12] that if  $\pi(x) - \text{li}(x) = \mathcal{O}(\sqrt{x} \log x)$ , then for all  $x \geq 2657$ , we have  $|\pi(x) - \text{li}(x)| \leq \frac{\sqrt{x} \log x}{8\pi}$ . For this reason, we modify the second term of the left-hand side of (3.11) as follows

$$\int_2^n \frac{\pi(x)}{x^2} dx = \int_2^{2657} \frac{\pi(x)}{x^2} dx + \int_{2657}^n \frac{\pi(x)}{x^2} dx.$$

Substituting  $\text{li}(x) + \mathcal{O}(\sqrt{x} \log x)$  for  $\pi(x)$  in the last term, we have

$$\int_2^n \frac{\pi(x)}{x^2} dx = \int_2^{2657} \frac{\pi(x)}{x^2} dx + \int_{2657}^n \frac{\text{li}(x)}{x^2} dx + \int_{2657}^n \frac{\mathcal{O}(\sqrt{x} \log x)}{x^2} dx.$$

Applying Lemma 3.2, we have

$$\int_2^{2657} \frac{\pi(x)}{x^2} dx = \sum_{p \leq 2657} \frac{1}{p} - \frac{\pi(2657)}{2657}.$$

Integrating, we have

$$\int_{2657}^n \frac{\text{li}(x)}{x^2} dx = \log \log n - \frac{\text{li}(n)}{n} - \log \log 2657 + \frac{\text{li}(2657)}{2657}$$

and

$$\int_{2657}^n \frac{\mathcal{O}(\sqrt{x} \log x)}{x^2} dx = \mathcal{O} \left( \frac{2(\log 2657 + 2)}{\sqrt{2657}} - \frac{2(\log n + 2)}{\sqrt{n}} \right).$$

So, we have

$$\begin{aligned} \int_2^n \frac{\pi(x)}{x^2} dx &= \sum_{p \leq 2657} \frac{1}{p} - \frac{\pi(2657)}{2657} + \log \log n - \frac{\text{li}(n)}{n} - \\ &\quad - \log \log 2657 + \frac{\text{li}(2657)}{2657} + \mathcal{O}\left(\frac{2(\log 2657 + 2)}{\sqrt{2657}} - \frac{2(\log n + 2)}{\sqrt{n}}\right). \end{aligned}$$

Combining these results with (3.11) we obtain (3.10).  $\square$

We proof the validity of (3.10) in a sequence of the following lemmas.

**Lemma 3.8.** *For  $n - 1 > e^6$ , we have*

$$\left| \sum_{k=2}^{n-1} E(k) \right| < (n-1) \left( \frac{1}{\log^2(n-1)} + \frac{4}{\log^3(n-1)} \right) + C_3 \quad (3.12)$$

where  $16.51740 \dots \leq C_3 \leq 17.01786 \dots$

*Proof.* Applying Definition 2.5, for  $n \geq 3$  we have

$$\left| \sum_{k=2}^{n-1} E(k) \right| < \sum_{k=2}^{n-1} \frac{1}{\log^2 k}. \quad (3.13)$$

Applying Definition 2.3, we have

$$\begin{aligned} \sum_{k=2}^{n-1} \frac{1}{\log^2 k} &= \text{li}(n-1) - \frac{n-1}{\log(n-1)} - \text{li}(2) + \frac{2}{\log(2)} + \frac{1}{2 \log^2(n-1)} + \\ &\quad + \frac{1}{2 \log^2 2} - \frac{1}{6(n-1) \log^3(n-1)} + \frac{1}{12 \log^3 2} + R_2 \quad (3.14) \end{aligned}$$

where

$$|R_2| \leq \frac{1}{12} \int_2^{n-1} \left| \frac{2(\log x + 3)}{x^2 \log^4 x} \right| dx = \frac{1}{12} \left( \frac{1}{\log^3 2} - \frac{2}{(n-1) \log^3(n-1)} \right).$$

Applying Lemma 3.1, for  $n - 1 > e^6$  we have

$$\text{li}(n-1) - \frac{n-1}{\log(n-1)} < \frac{n-1}{\log^2(n-1)} + \frac{4(n-1)}{\log^3(n-1)} + C.$$



Combining these results with (3.13) we obtain (3.12), where

$$C_3 = C - \text{li}(2) + \frac{2}{\log(2)} + \frac{1}{2\log^2 2} + \frac{1}{12\log^3 2} - \frac{1}{6(n-1)\log^3(n-1)} + \frac{1}{2\log^2(n-1)} + R_2.$$

□

Let  $R_{10}$  denote the right-hand side of (3.10) and let  $R_{12}$  denote the right-hand side of (3.12).

**Lemma 3.9.** *If  $\sum_{k=2}^{n-1} E(k) > 0$ , then (3.10) holds for  $n \geq 18863$ .*

*Proof.* Substituting  $R_{12}$  for  $\sum_{k=2}^{n-1} E(k)$  in (3.10), we have

$$-R_{10} \leq R_{12} - \frac{\log \log n}{2} - C_1 + nC_2 \leq R_{10}. \quad (3.15)$$

For this proof, it is sufficient if we replace  $C_1, C_3$  by their bounding values, adequately for each inequality, so that the inequality certainly holds. Let  $C_1 = -0.95171$ , let  $C_3 = 16.51740$ . Then, the first inequality of (3.15) holds for  $n \geq 12141$ . Let  $C_1 = -1.07193$ , let  $C_3 = 17.01786$ . Then, the second inequality of (3.15) holds for  $n \geq 18863$ . □

**Lemma 3.10.** *If  $\sum_{k=2}^{n-1} E(k) \leq 0$ , then (3.10) holds for  $n \geq 878649$ .*

*Proof.* Let  $\sum_{k=2}^{n-1} E(k) = -R_{12}$ . Then, this result can be easily obtained using the same approach as used in the previous lemma. □

It can be verified numerically that if  $2657 < n < 18863$ , then (3.10) holds, and since for  $n < 878649$  we have  $\sum_{k=2}^{n-1} E(k) > 0$ , we conclude that (3.10) holds for  $n > 2657$ .

## References

- [1] T. M. Apostol, An Elementary View of Euler's Summation Formula, *Amer. Math. Monthly* **106** (1999), 409–418. MR 1699259. Zbl 1076.41509. doi.org.

- [2] Ch. J. de la Vallée Poussin, Recherches analytiques sur la théorie des nombres premiers, *Ann. Soc. Sci. Bruxelles* (1896) 20 B, 21 B: 183–256, 281–352, 363–397, 351–368. JFM 27.0155.05. archive.org.
- [3] C. F. Gauss, Werke, *Königl. Gesellschaft d. Wissenschaften zu Göttingen* **2** (1863), 444–447. archive.org.
- [4] J. Hadamard, Sur la distribution des zéros de la fonction  $\zeta(s)$  et ses conséquences arithmétiques, *Bull. Soc. Math. Fr.* **24** (1896), 199–220. MR 1504264. JFM 27.0154.01. eudml.org.
- [5] A. E. Ingham, The Distribution of Prime Numbers, *Cambridge Univ. Press* **30** (1932). MR 1074573. Zbl 0006.39701.
- [6] E. Landau, Handbuch der Lehre von der Verteilung der Primzahlen, *B. G. Teubner* (1909), 59–61. JFM 40.0232.08. archive.org.
- [7] J. E. Littlewood, Sur la distribution des nombres premiers, *C. R. Acad. Sci. Paris* **158** (1914), 1869–1872. JFM 45.0305.01.
- [8] F. Mertens, Ein Beitrag zur analytischen Zahlentheorie, *J. für die Reine und Angew. Math.* **78** (1874), 46–62. JFM 06.0116.01. eudml.org.
- [9] B. Riemann, Ueber die Anzahl der Primzahlen unter einer gegebenen Grösse, *Cambridge Library Collection - Mathematics, Cambridge Univ. Press* (2013), 136–144. doi.org.
- [10] G. Robin, Sur l'ordre maximum de la fonction somme des diviseurs, *Progr. Math.* **38** (1983), 233–244. Zbl 0521.10040.
- [11] J. B. Rosser and L. Schoenfeld, Approximate formulas for some functions of prime numbers, *Illinois J. Math.* **6** (1962), 64–94. MR 137689. Zbl 0122.05001. doi.org.
- [12] L. Schoenfeld, Sharper bounds for the Chebyshev functions  $\theta(x)$  and  $\psi(x)$ . II. *Math. Comp.* **30** (1976), 337–360. MR 457374. Zbl 0326.10037. doi.org.