

A socio-metabolic perspective on sustainability

Helmut Haberl

The Social Dynamics of the Technosphere

A workshop exploring contributions of the social sciences to a future „Max Planck Institute for Geoanthropology“, June 3-4, 2021

This presentation is based on research that has received funding from the the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (MAT_STOCKS, grant agreement No 741950).



Universität für Bodenkultur Wien
Department für Wirtschafts- und
Sozialwissenschaften
Institute of Social Ecology

The logo for FWF (Austrian Science Fund) is the letters 'FWF' in a bold, blue, sans-serif font.

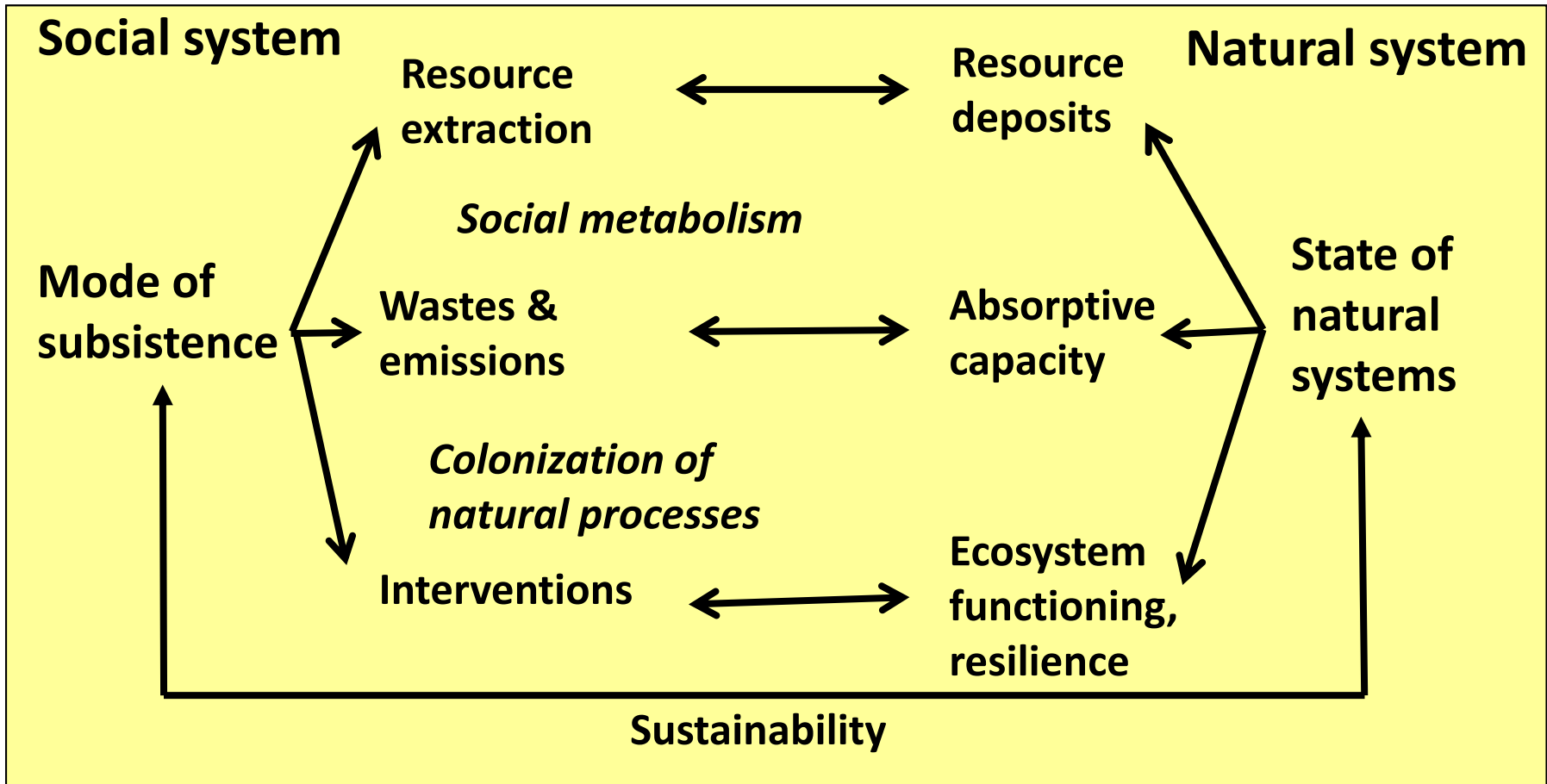
FWF

Der Wissenschaftsfonds.



erc
European Research Council
Established by the European Commission

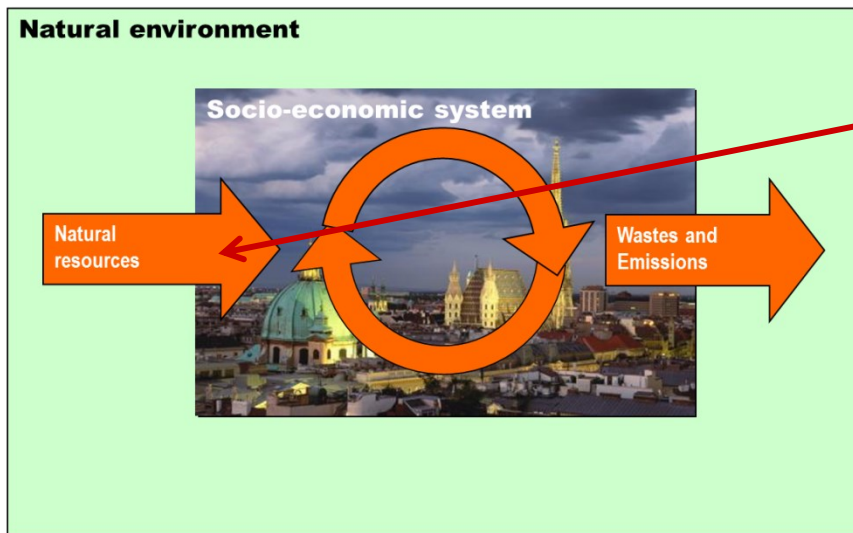
Sustainability as dynamic equivalence between mode of subsistence and capacities of natural system



Concepts to operationalize society-nature interaction

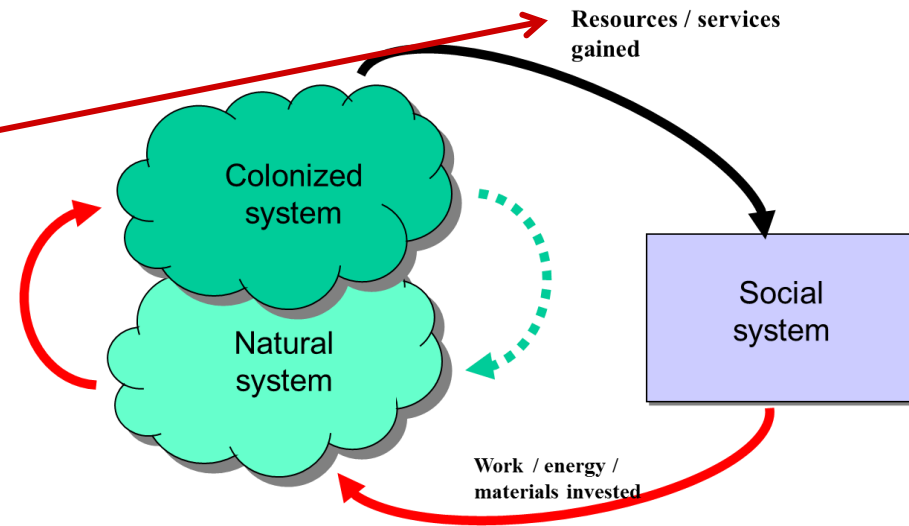


Social metabolism



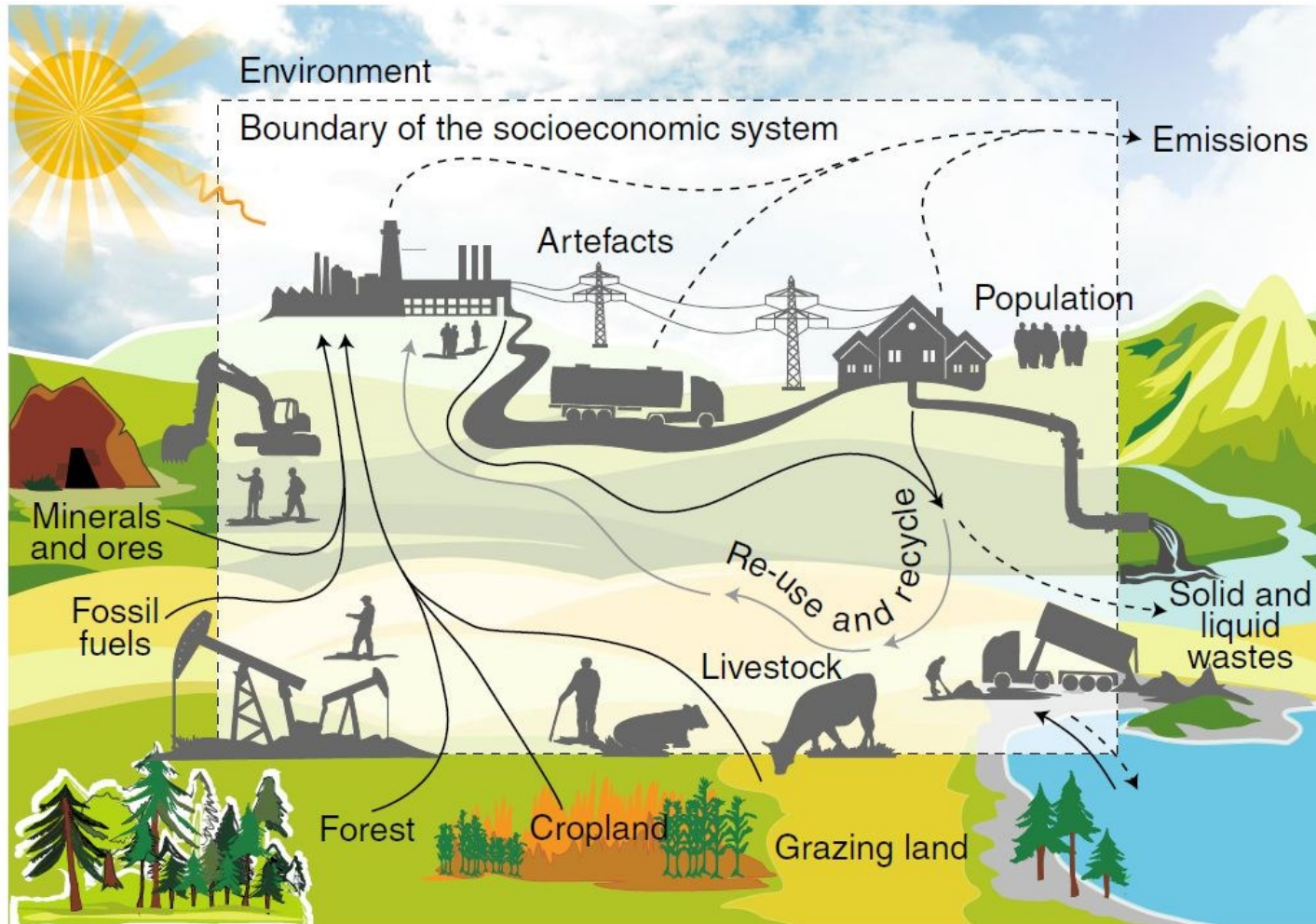
Material Flow Analysis (MFA):
Domestic Material Consumption

Colonization of natural processes

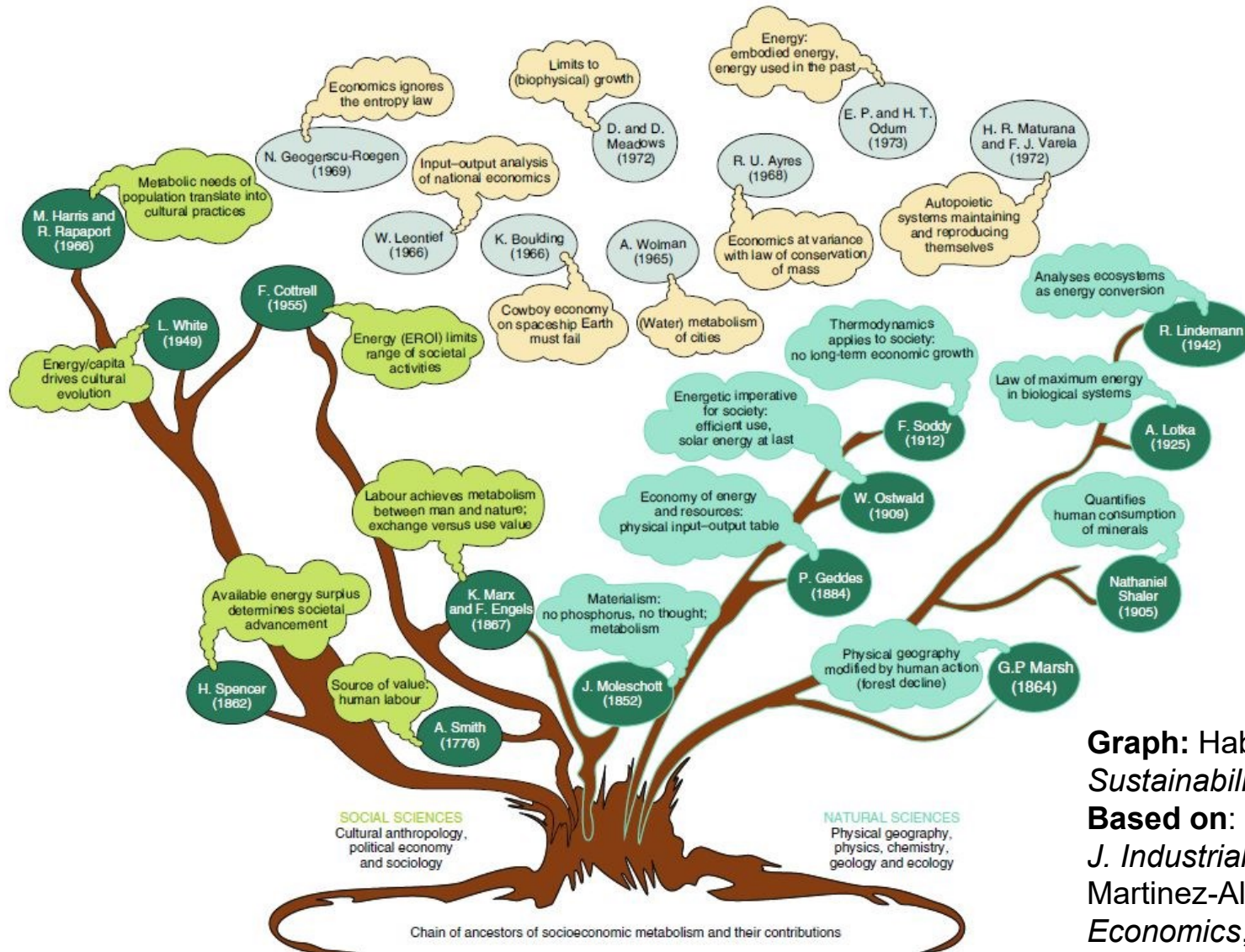


Human appropriation of biocapacity,
e.g. HANPP

Social metabolism: A systemic perspective on resource use

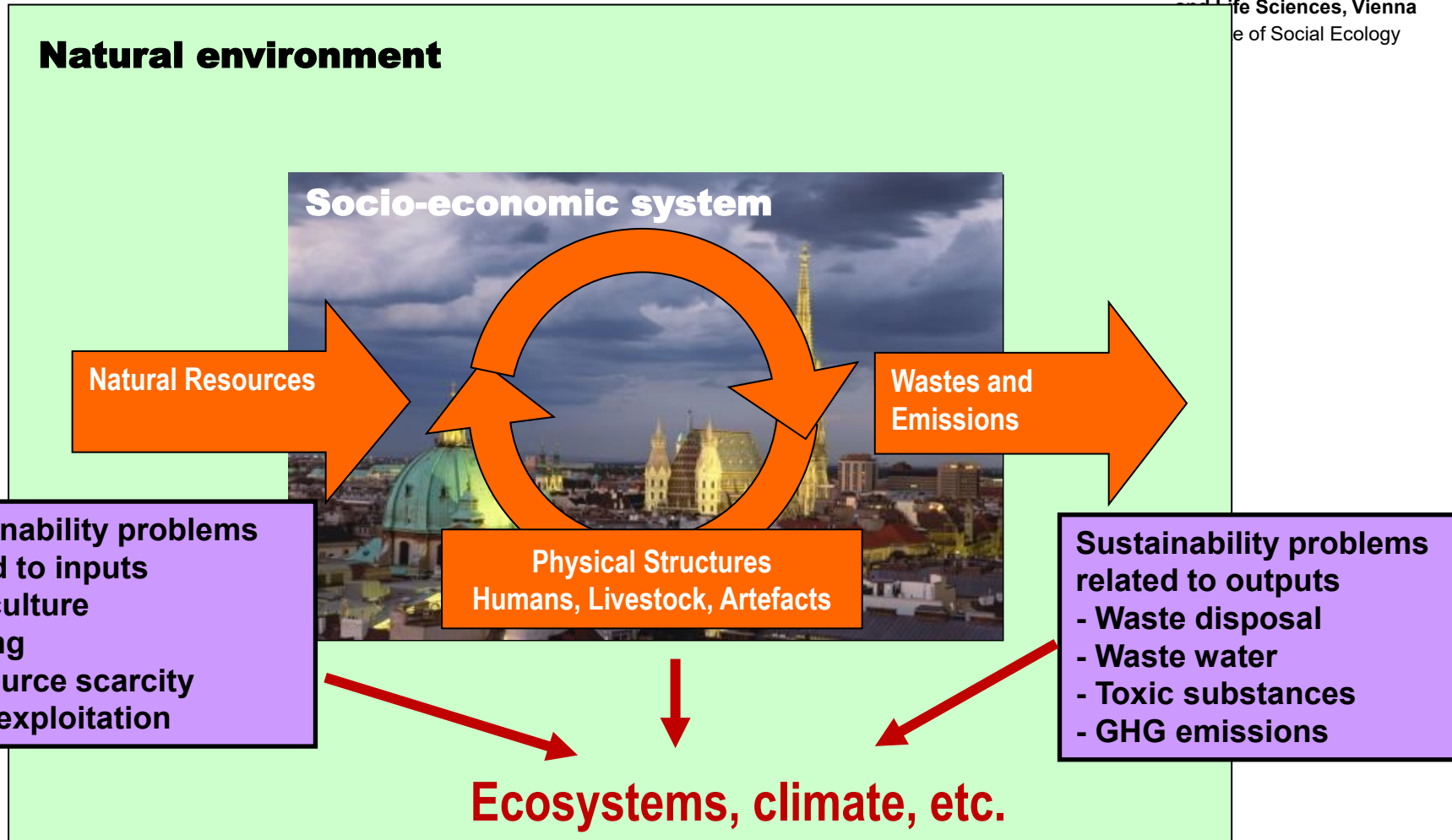


The family tree of socio-metabolic research



Graph: Haberl *et al* 2019. *Nature Sustainability* 2, 173–184
Based on: Fischer-Kowalski 1998, *J. Industrial Ecol.* 2, 107-136,
 Martinez-Alier, 1987, *Ecological Economics*, Blackwell

Social metabolism: relations to sustainability and the biosphere



The classical approach: Eco-efficiency

Decoupling: can resource use and emissions decline while the economy is growing?



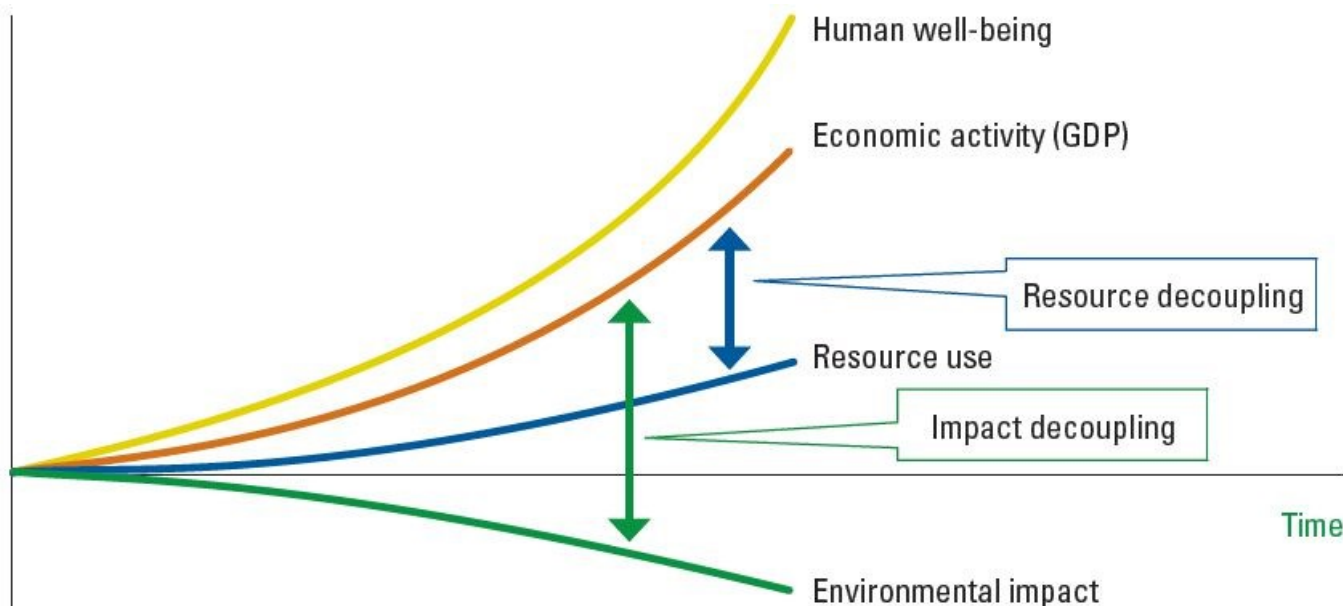
University of Natural Resources and Life Sciences, Vienna
Institute of Social Ecology

Relative decoupling:

- Resource use per unit GDP or impacts decline, but total amount of resources grows
- GDP grows faster than resource use

Absolute decoupling:

resource use or impacts decline while GDP grows



Most sustainability or climate policies explicitly or implicitly are focused on decoupling



UNEP – International Resource Panel,
Decoupling Report (2011)

DECOUPLING
natural resource use and
environmental impacts
from economic growth

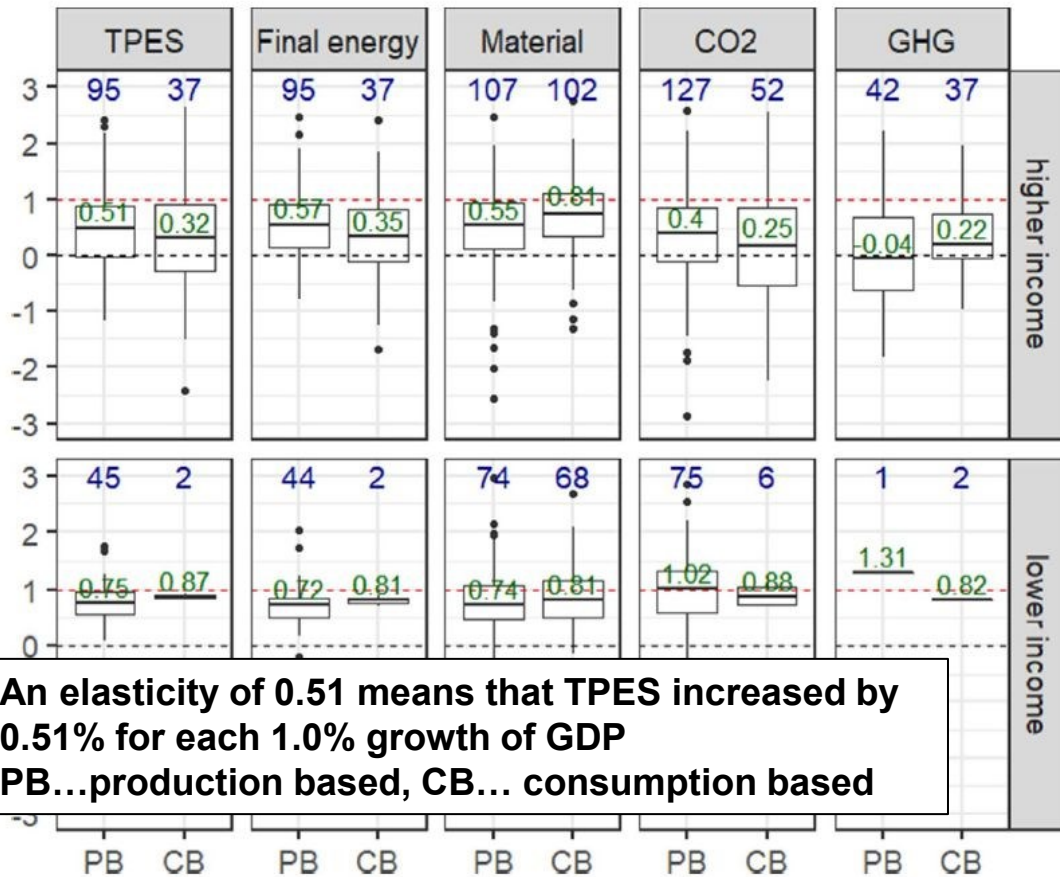


FWF Der Wissenschaftsfonds.

The Gospel of Eco-Efficiency is good, but not nearly good enough



Observed GDP elasticities in the last decade



An elasticity of 0.51 means that TPES increased by 0.51% for each 1.0% growth of GDP
PB...production based, CB... consumption based

Current sustainability strategies rely on promoting a „decoupling“ of GDP from resource use or emissions

The 1.5°C target requires a linear absolute reduction of CO2 by 3.3%-5% of the emissions in 2020 per year. This requires a *qualitatively new approach* for socio-ecological transformation



Why material stocks are important

- They transform resources into services such as shelter, nutrition or mobility.
- Building up and maintaining stocks requires large amounts of resources.
- They shape social practices (including production and consumption), thereby creating path dependencies for future resource use (“lock-in”)

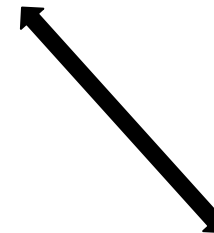
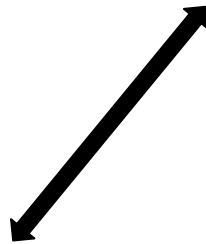
GHG emissions from fossil fuels required for using existing infrastructures until the end of their lifetime almost exhausts the emission budget for the 1.5°C target (Smith *et al.* 2019. *Nature Communications* 10, 101)

The stock-flow-service nexus



University of Natural Resources
and Life Sciences, Vienna
Institute of Social Ecology

Stocks Buildings, infra-
structures, machinery



Flows
Energy,
materials



Services
Contributions
to social well-
being

Fotos: Helmut Haberl



Haberl *et al* 2017. *Sustainability* **9**, 1049

Kalt *et al*. 2019, *Energy Res & Social Sci*, **53**, 47-58

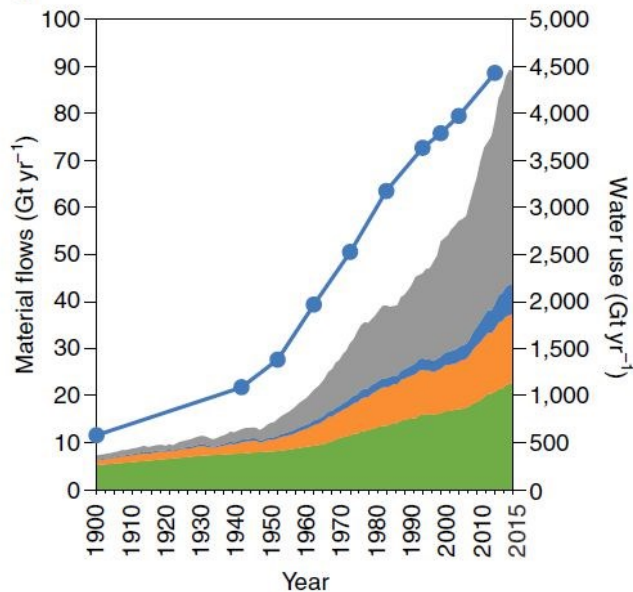


Stocks, flows and a glimpse on services (global 1900-2015)



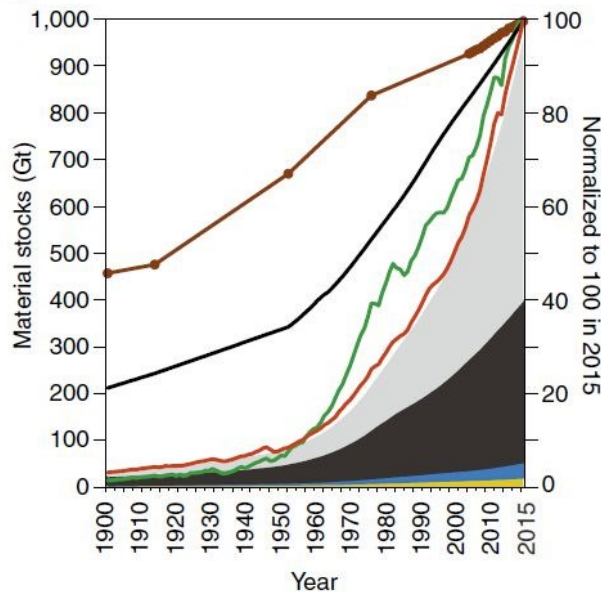
University of Natural Resources
and Life Sciences, Vienna
Institute of Social Ecology

a Extraction



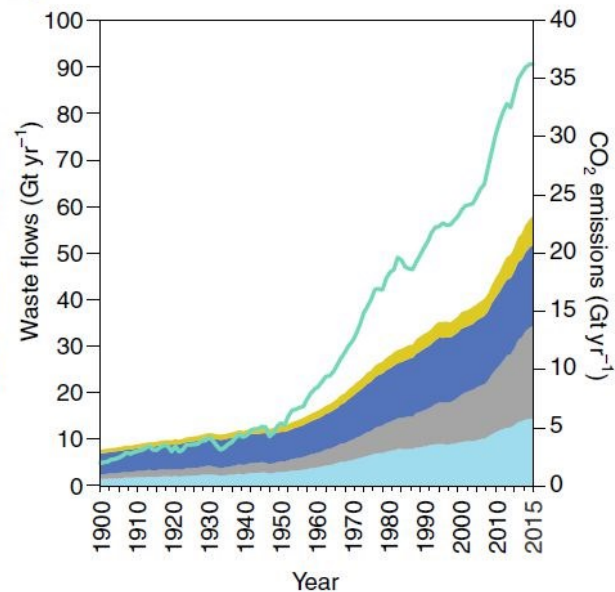
- Biomass
- Ores and metals
- Fossil energy carriers
- Non-metallic minerals
- Water (secondary Y axis)

b Stocks & „services“



- Stocks of concrete, bricks and asphalt
- Stocks of metals
- Stocks of aggregates and sand
- Stocks of wood, glass and plastics
- Useful physical work (secondary Y axis)
- GDP (secondary Y axis)
- Life expectancy (secondary Y axis)
- Population (secondary Y axis)

c Wastes & emissions



- Dissipative uses
- Excrement from humans and livestock
- Demolition, industrial and municipal waste
- Emissions of carbon, nitrogen, sulfur and methane
- CO₂ emissions (fossil fuels and cement) (secondary Y axis)



Haberl et al. 2019. *Nature Sustainability*, 2, 173–184



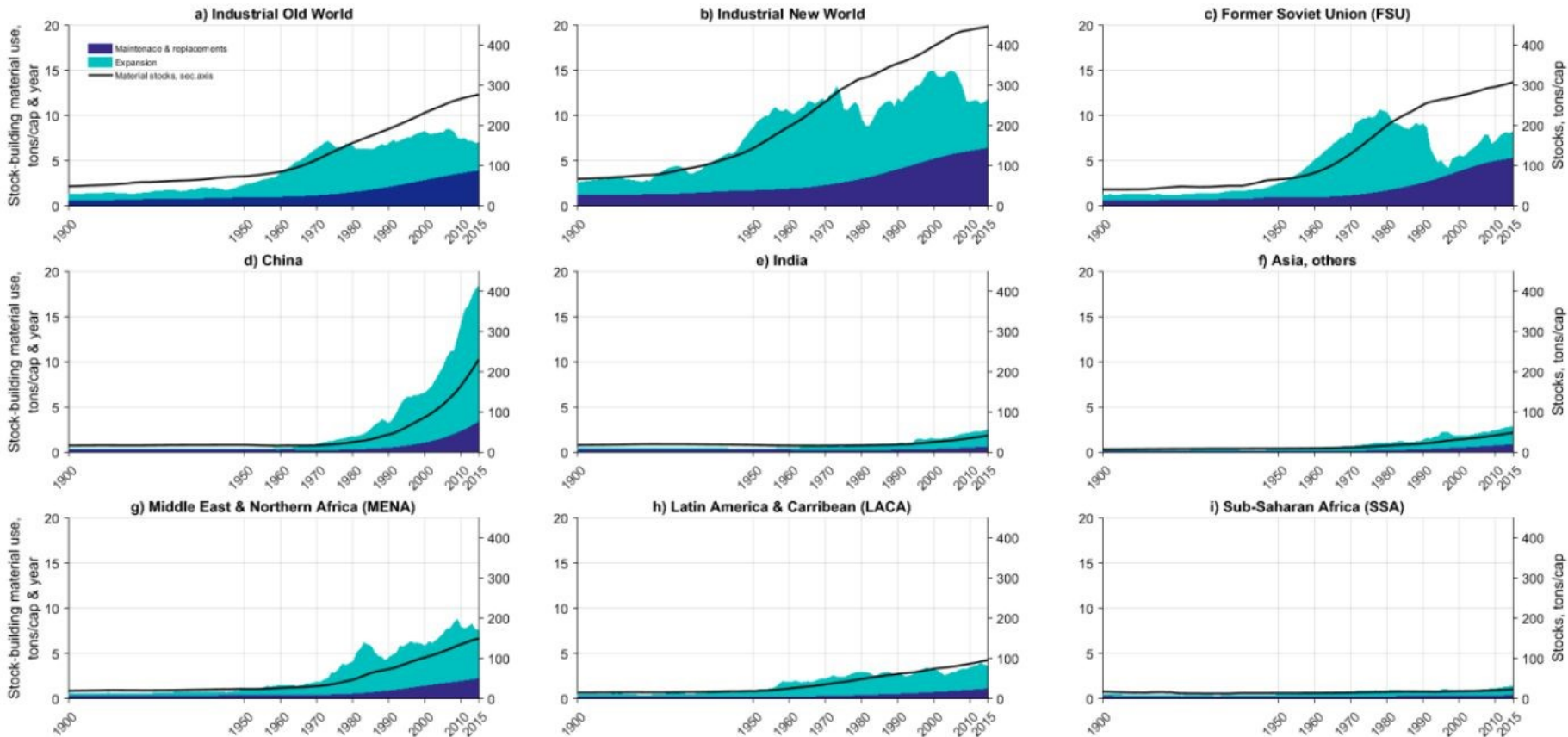
Maintenance and expansion: systemic interrelations of stocks and flows



University of Natural Resources and Life Sciences, Vienna
Institute of Social Ecology

Dark blue: Maintenance & replacement of stocks

Turquoise: Expansion of stocks, **black line:** Mass of stocks

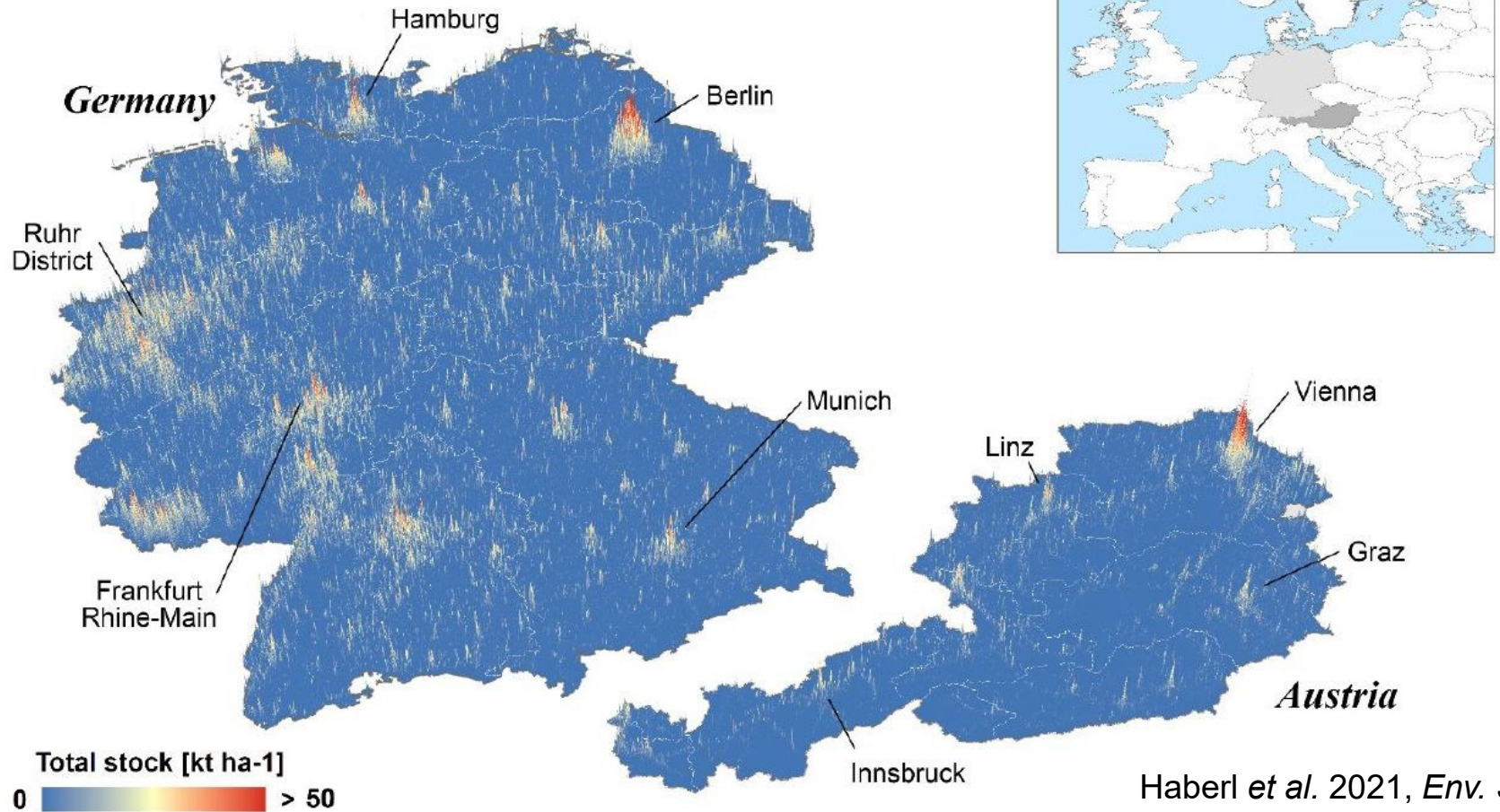


Wiedenhofer *et al.* forthcoming. Do not cite or distribute!



Mapping material stocks

Austria & Germany 2018

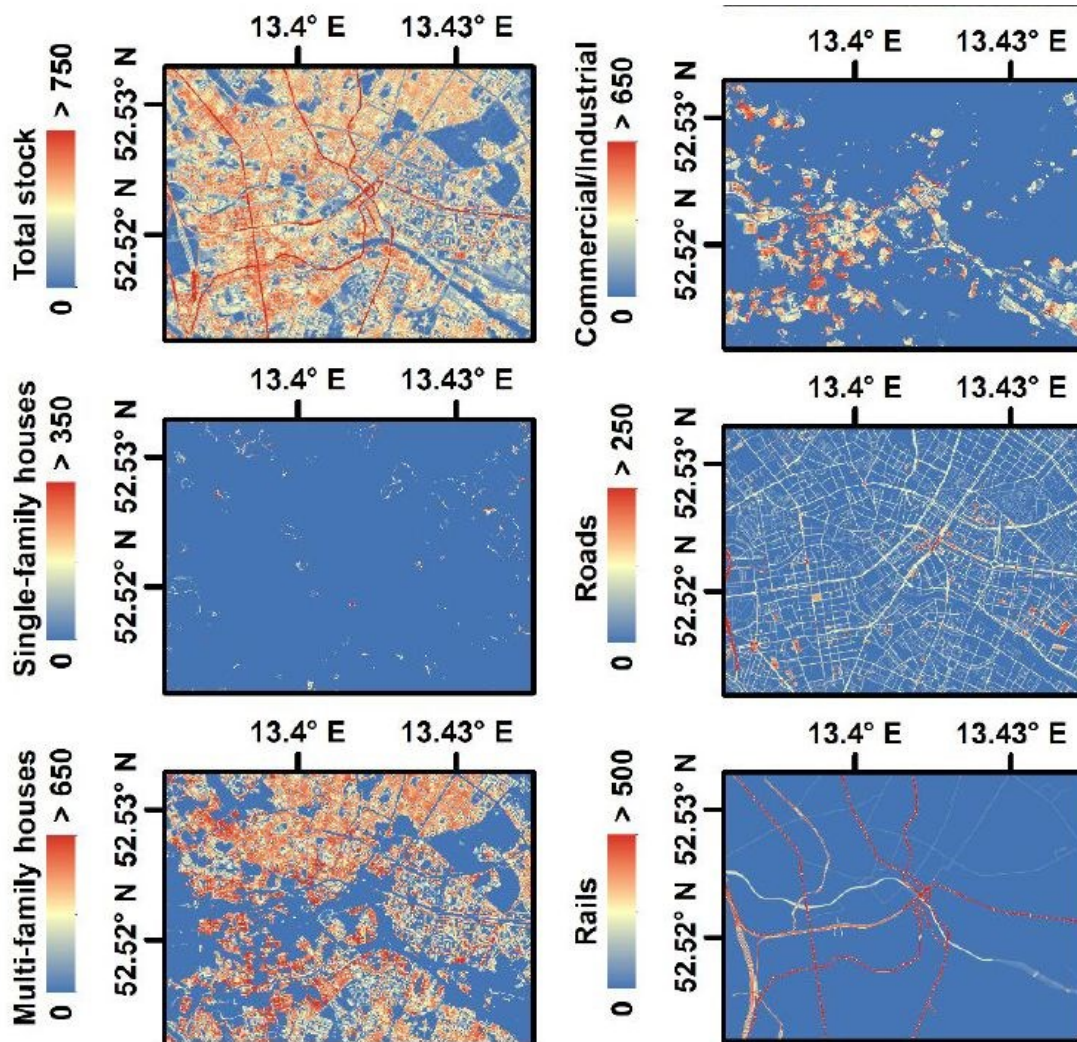


Haberl et al. 2021, *Env. Sci. Tech.*, **55**, 3368-3379

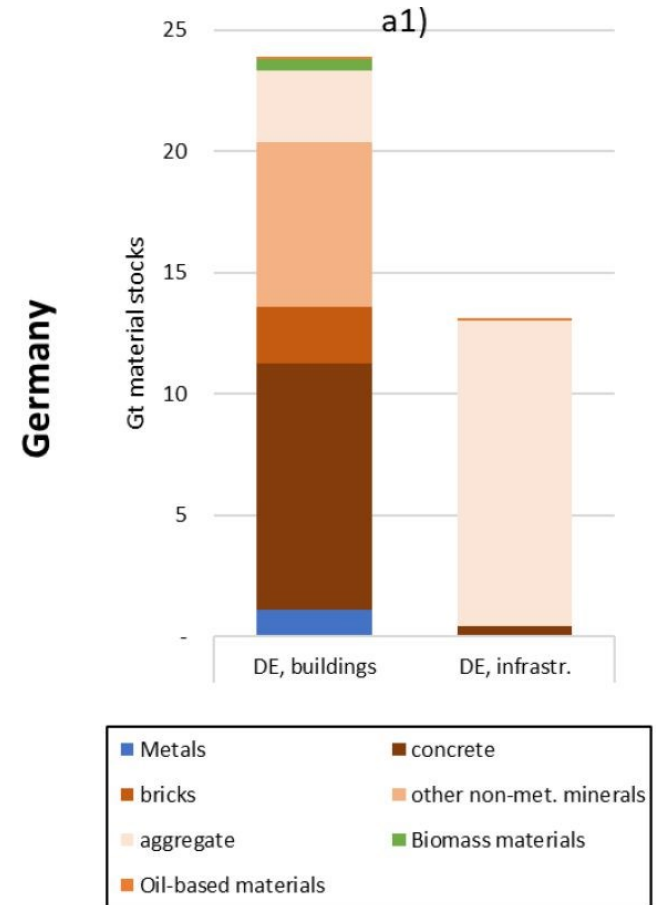
Fig 2. Three-dimensional maps of total material stocks in buildings and infrastructures in Germany and Austria (2018; 100m resolution), measured as kt/ha (1 kt = 1,000 metric tons; 1 ha = 10⁴ m² = 0.01 km²).

Most material stocks are in buildings and infrastructures

Berlin, 2018



Haberl *et al.* 2021, *Env. Sci. Tech.*, **55**, 3368-3379



Scenarios for stock development and GHG emissions 2050



University of Natural Resources
and Life Sciences, Vienna
Institute of Social Ecology

- **GDP-driven scenarios:** GDP development taken from IPCC-SSP2, assumptions on GDP per unit of stock ratio.
 - A GDP-driven high: Constant GDP/stock ratio
 - B GDP-driven low: Trend GDP/stock ratio, only selected results shown here
- **Population-driven scenarios:** Population development (UN median) and assumptions on per capita stocks in 2050.
 - C Convergence1970: Contraction-convergence of global per capita stocks at industrial level of 1970
 - D Convergence2015: Convergence of global per capita stocks at ind. level of 2015
- **Decarbonisation pathways**
 - Trend: little or no improvements in CO₂ intensity of TPES
 - Full decarbonization of energy system in 2070, 2060, 2050, 2040 & 2030
 - C emissions from cement production (calcination) and coke use in blast furnaces continue (hard to decarbonize)*



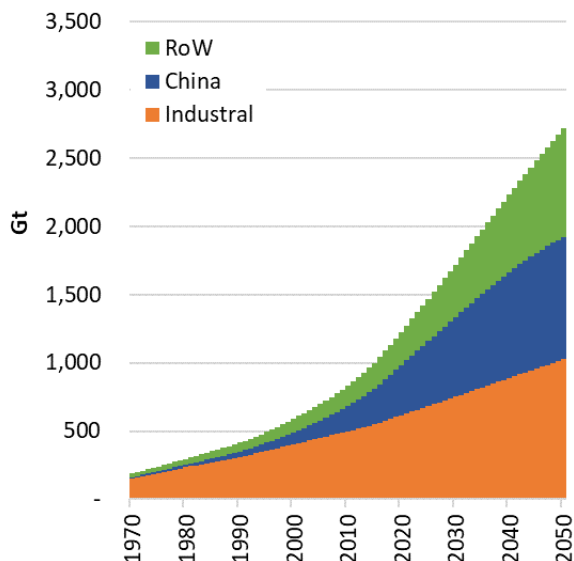
Krausmann *et al.*, 2020, *Global Env. Change*, **61**, 102034

* Davis *et al.*, 2018, *Science* **360**, 1419

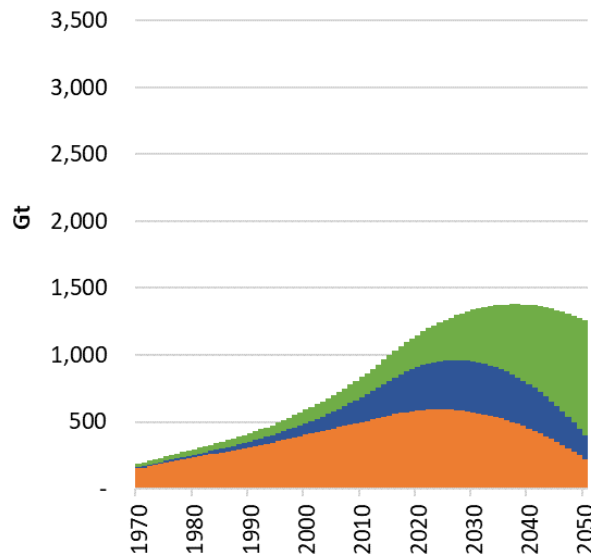
FWF Der Wissenschaftsfonds.

Global Material Stock Scenarios 1970-2050

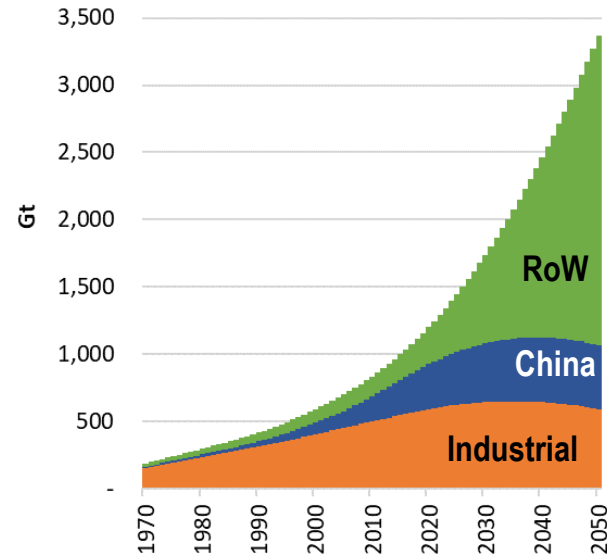
B GDP-driven high



C convergence 1970



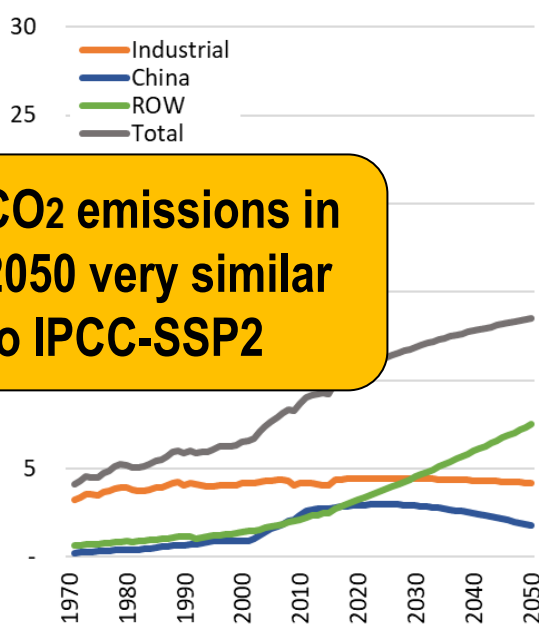
D convergence 2015



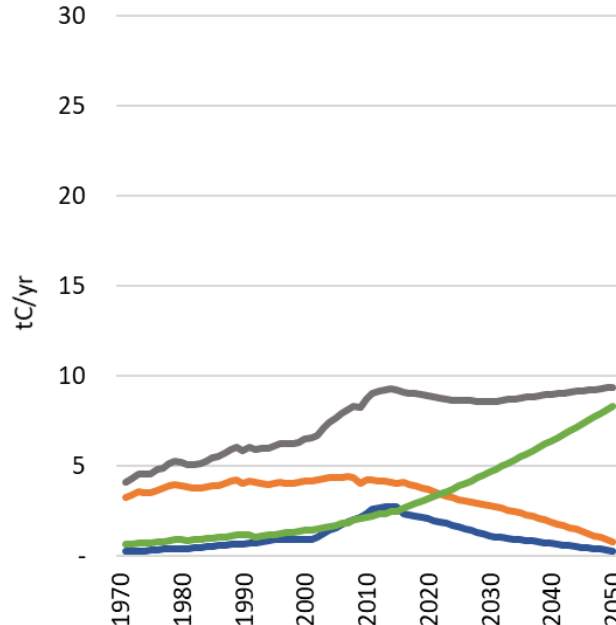
Scenario results: Development of CO₂ emissions 1970-2050 (without additional decarbonization)



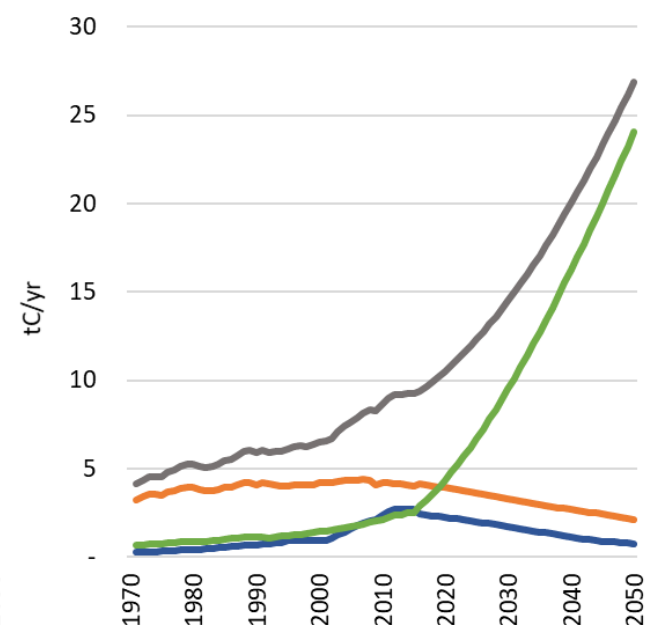
B GDP-driven high



C convergence 1970



D convergence 2015

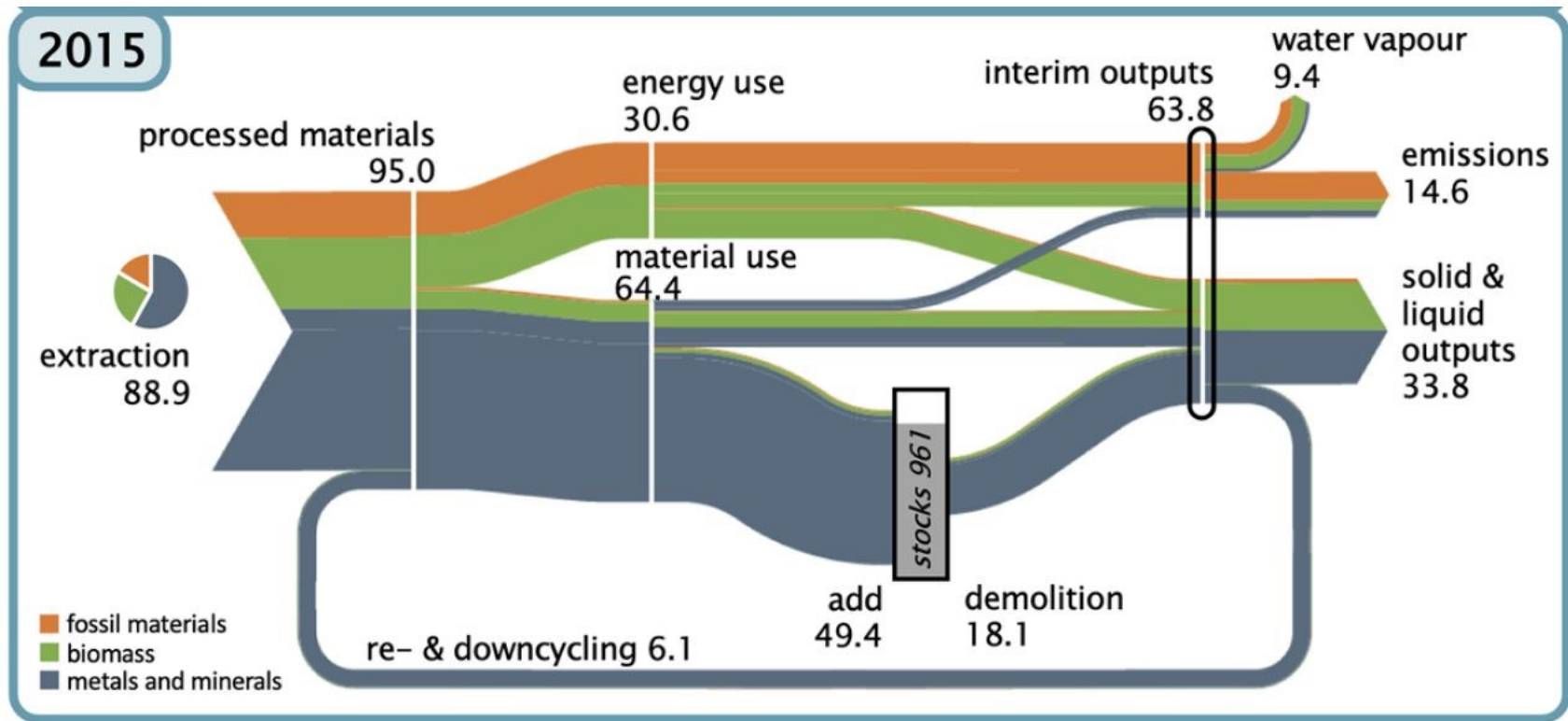


CO₂ emissions in 2050 very similar to IPCC-SSP2



Global circularity and resource use 1900-2015

Input cycling 43% → 27%
Output cycling 46% → 40%

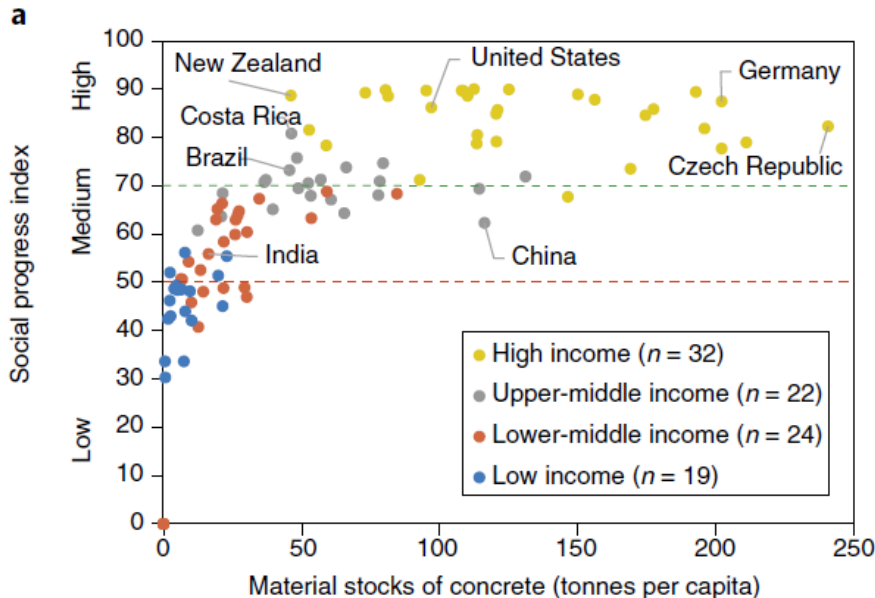


Stocks and flows vs. social progress

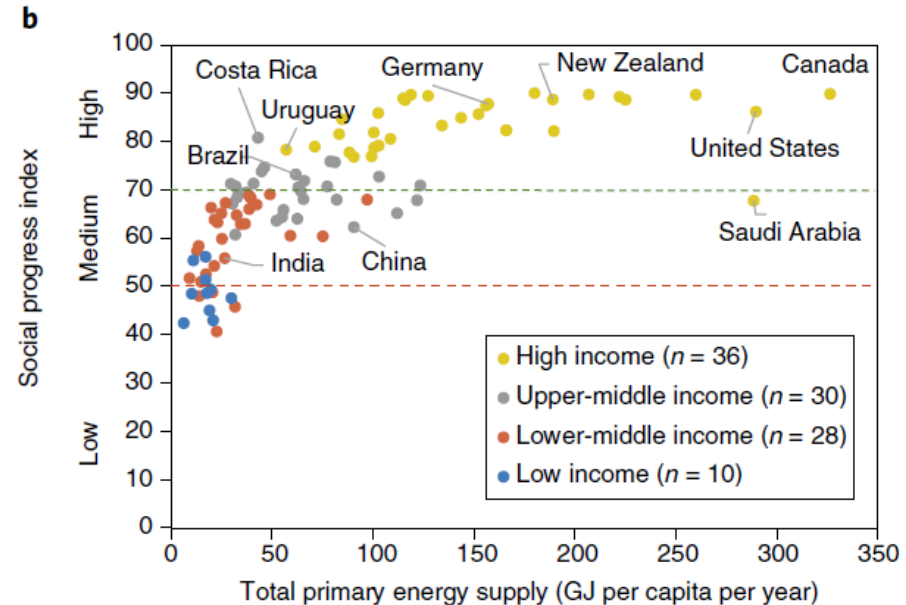


University of Natural Resources
and Life Sciences, Vienna
Institute of Social Ecology

Concrete stocks



Primary energy supply



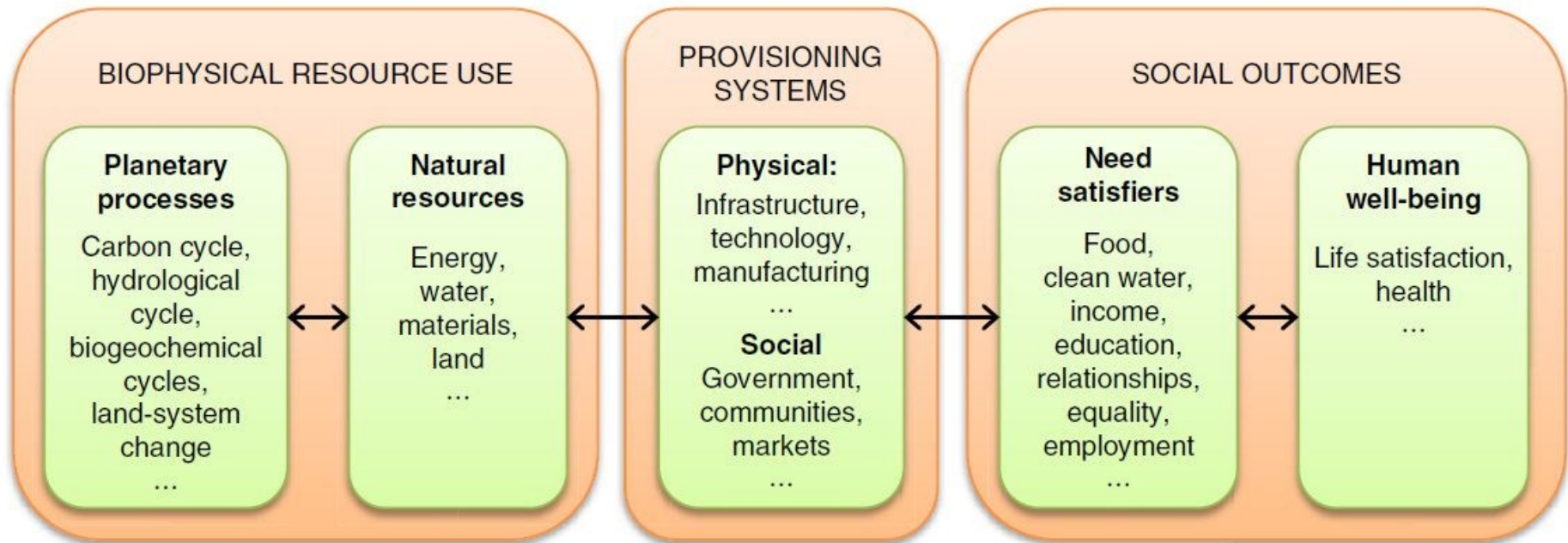
The Social Progress Index (SPI) is an outcome-based index of social wellbeing considering nutrition, shelter, water, sanitation, safety, access to knowledge, freedom, human rights, environmental quality, but no monetary indicators such as GDP



Haberl *et al* 2019. *Nature Sustainability* 2, 173–184

FWF Der Wissenschaftsfonds.

Provisioning systems link resource use to societal well-being



Conceptualizing services: the energy service cascade

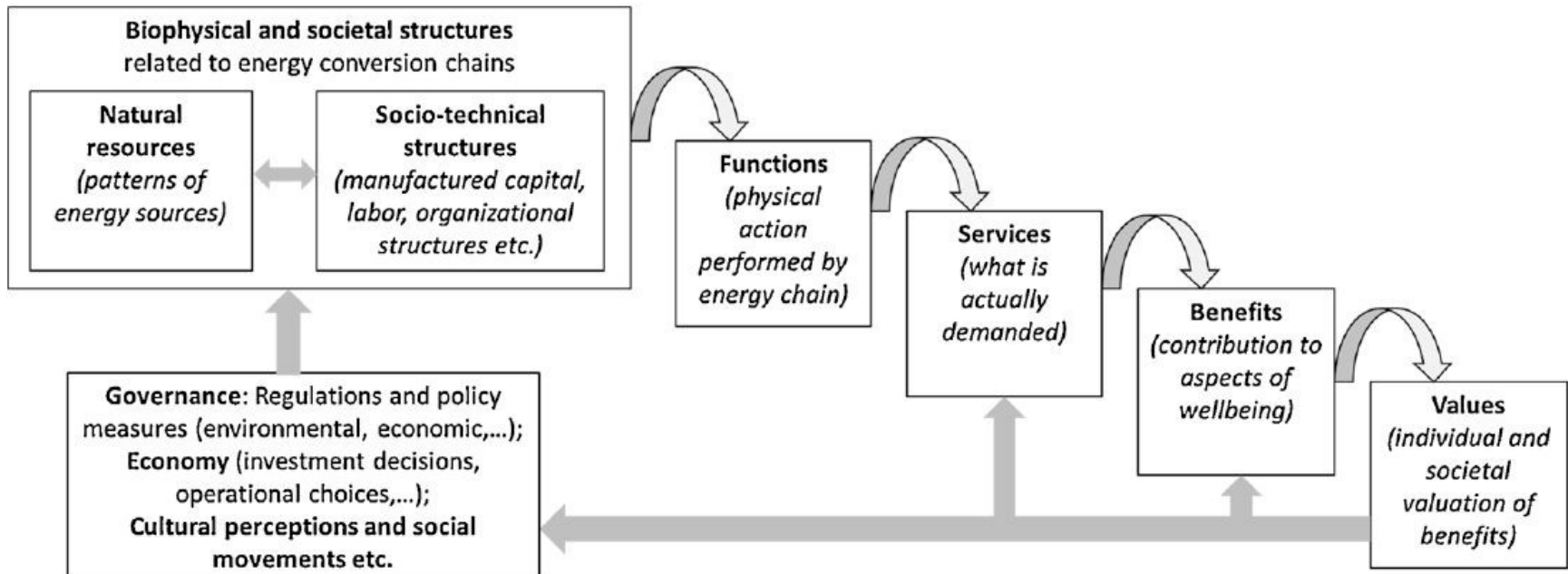
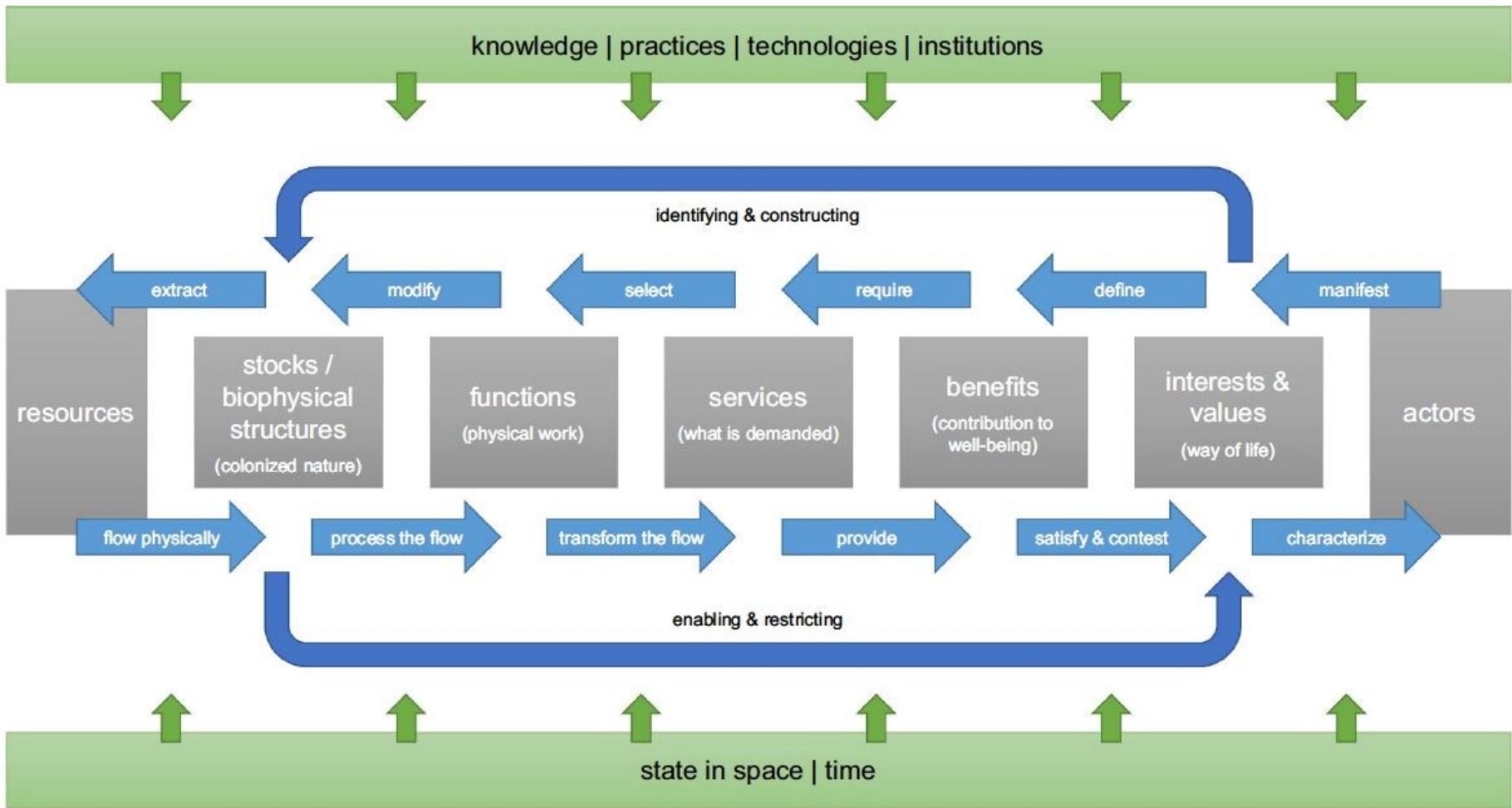


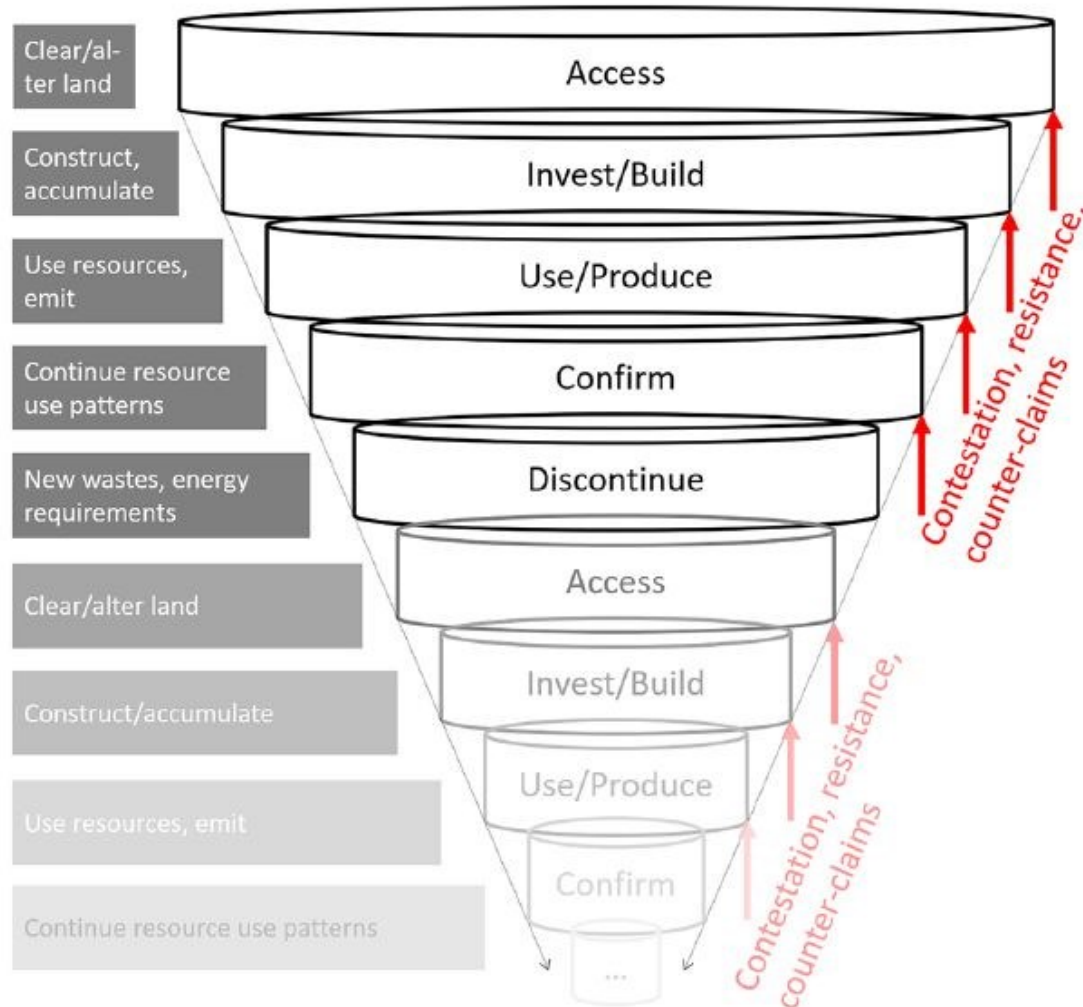
Fig. 1. The 'Energy Service Cascade' (ESC) as adapted and expanded from Haines-Young and Potschin [9,18].

Understanding contributions to social well-being requires more than just counting contributions to GDP

The social embeddedness of the SFS nexus in provisioning systems



The spiraling constriction of the socio-metabolic corridor



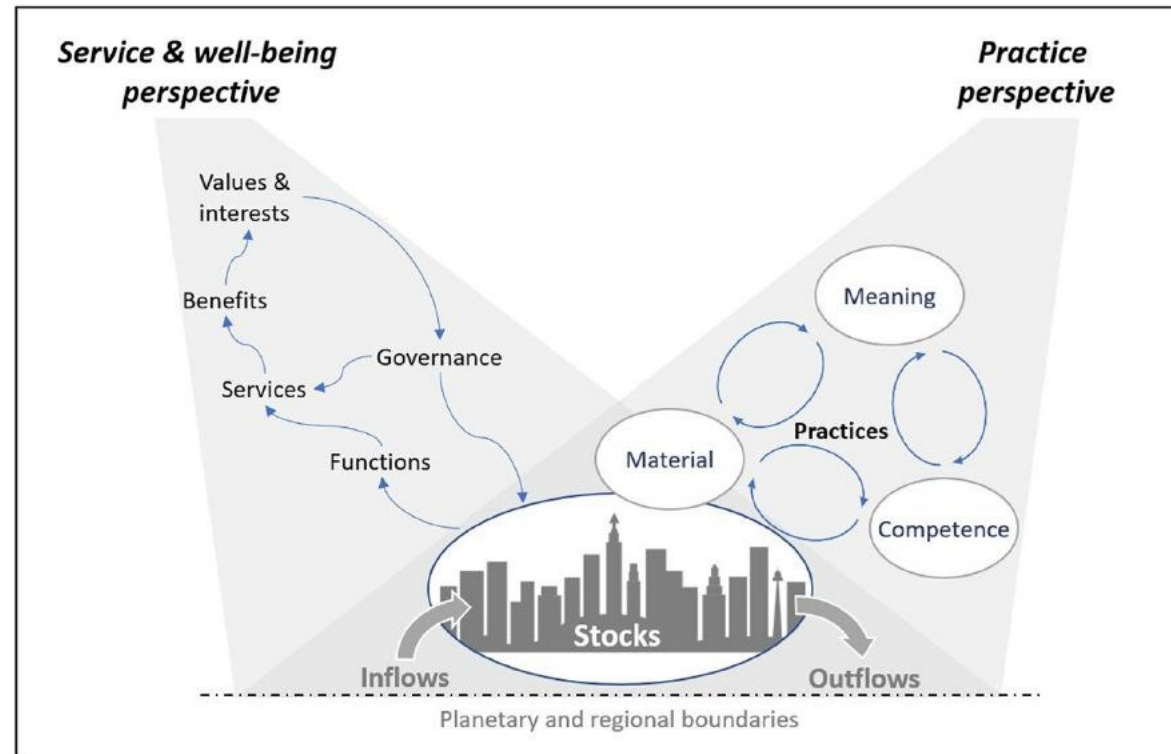
Provisioning systems are built in several steps (access, invest/build, use/produce, confirm, discontinue). Each of these steps creates new fixes that constrict the sociometabolic corridor. How long legacies created in such processes last, depends on the durability of the infrastructures as well as institutions created along the way.

Nexus approaches relating social metabolism to services and practices

The stock-flow-service nexus:
services are derived from specific stock-flow combinations. Purposes of ‚resource use‘ are diverse and potentially conflicting. Broadens concepts of eco-efficiency.

The stock-flow-practice nexus:
focuses on the interrelations between the routines of everyday life and stock-flow constellations. Connects theories of practice with social metabolism thinking.

Both nexus approaches provide heuristic models for interdisciplinary sustainability research to analyze the key role of material stock patterns for (un)sustainability.



Haberl, H., M. Schmid, W. Haas, D. Wiedenhofer, H. Rau, V. Winiwarter 2021. *Ecological Economics*, **182**, 106949. <https://doi.org/10.1016/j.ecolecon.2021.106949>



University of Natural Resources
and Life Sciences, Vienna
Institute of Social Ecology

University of Natural Resources & Life Sciences, Vienna

Department for Economic and Social Sciences
Institute of Social Ecology

Helmut Haberl
Fridolin Krausmann
Dominik Wiedenhofer
et al.

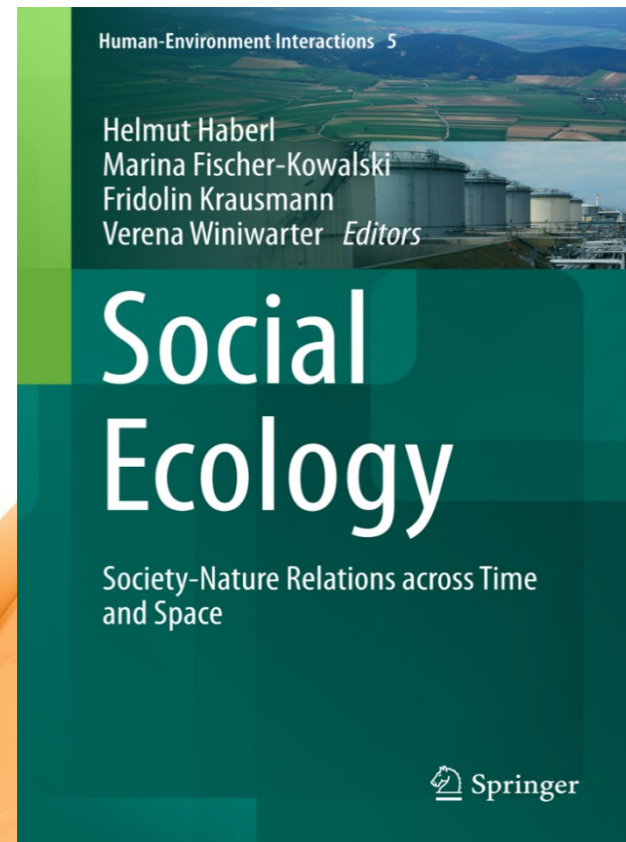
Schottenfeldgasse 29, A-1070 Wien
helmut.haberl@boku.ac.at

Free data download:
<https://www.wiso.boku.ac.at/en/institut-fuer-soziale-oekologie-sec/data-download/>



Der Wissenschaftsfonds.

This project has received funding from the Austrian Science Funds (FWF, grant MISO P27590) and the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (grant agreement No 741950).



Conclusions

- **Construction of buildings and infrastructures** requires a major part of the physical resources used by societies
- The dissipative use of resources (energy!) is shaped largely by the **quantity, quality and spatial patterns of society's material stocks**
- Meeting **ambitious climate targets** will not allow any new long-lived (>8-10 years) structures locking societies into new GHG emissions, plus refurbishing all existing structures to zero-carbon standards in ~30 years
- As long as stocks grow, **full circularity is theoretically impossible**. Even if net additions to stock were zero, full circularity would still be thermodynamically impossible (downcycling & waste can't become zero)
- Alternative development models are needed in which a **good life requires much lower material stocks and resource flows, consistent with the need to reduce GHG emissions to zero** (or below)

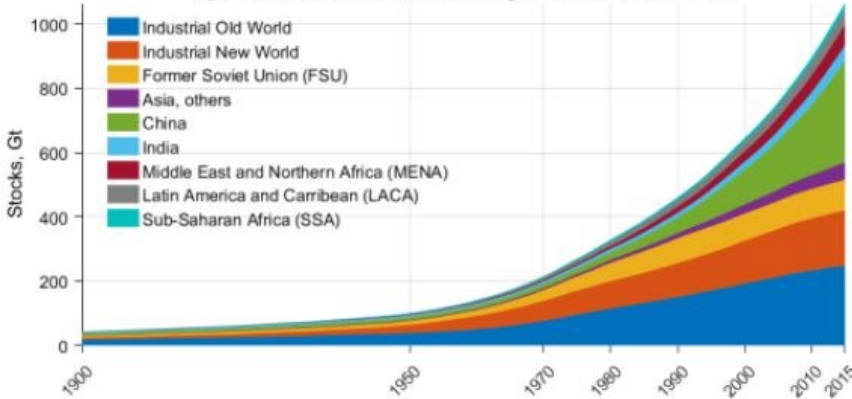
Stock-flow relations in social metabolism

Nine world regions 1900-2015

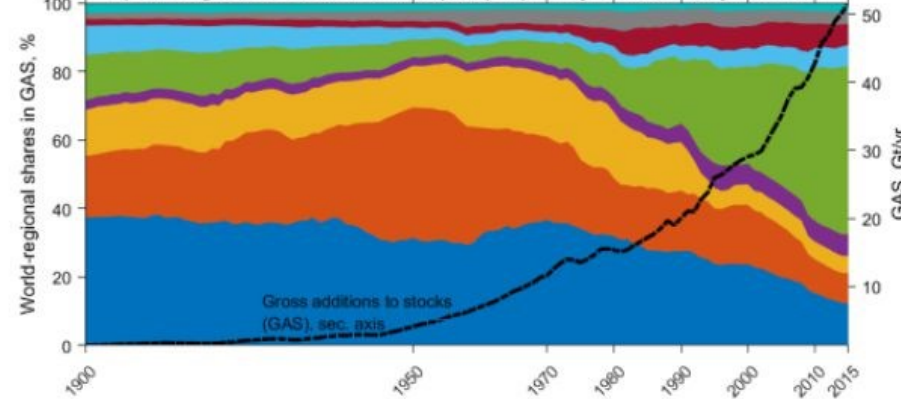


University of Natural Resources
and Life Sciences, Vienna
Institute of Social Ecology

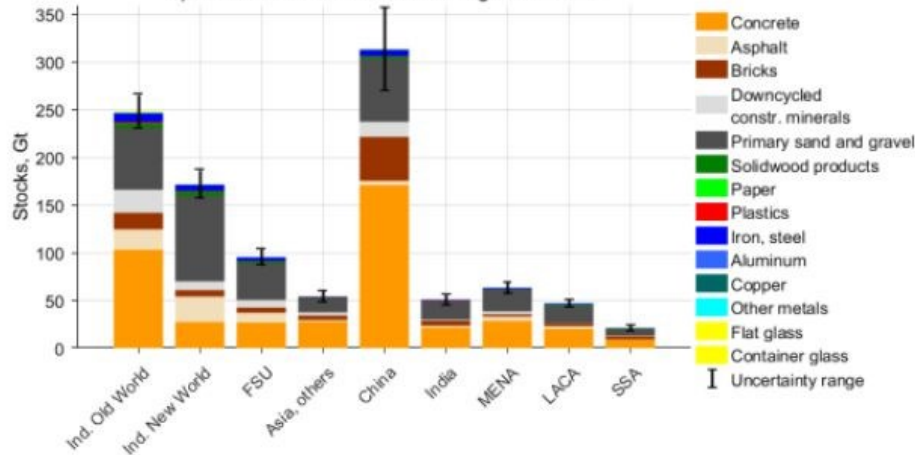
a) Material stocks in nine world-regions, from 1900 to 2015



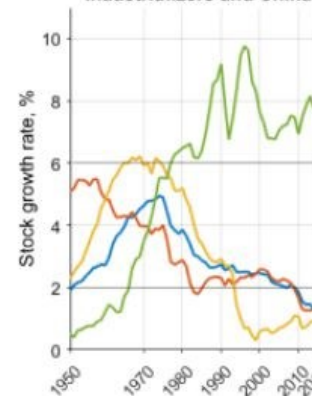
b) Global gross additions to stocks (GAS) of primary and secondary materials



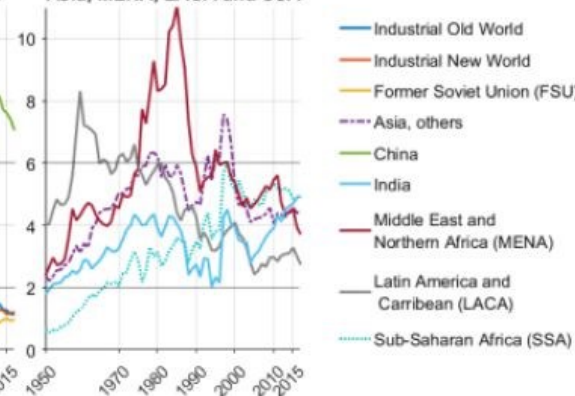
c) Material stocks in nine world-regions in 2015



d) Stock growth for early industrializers and China



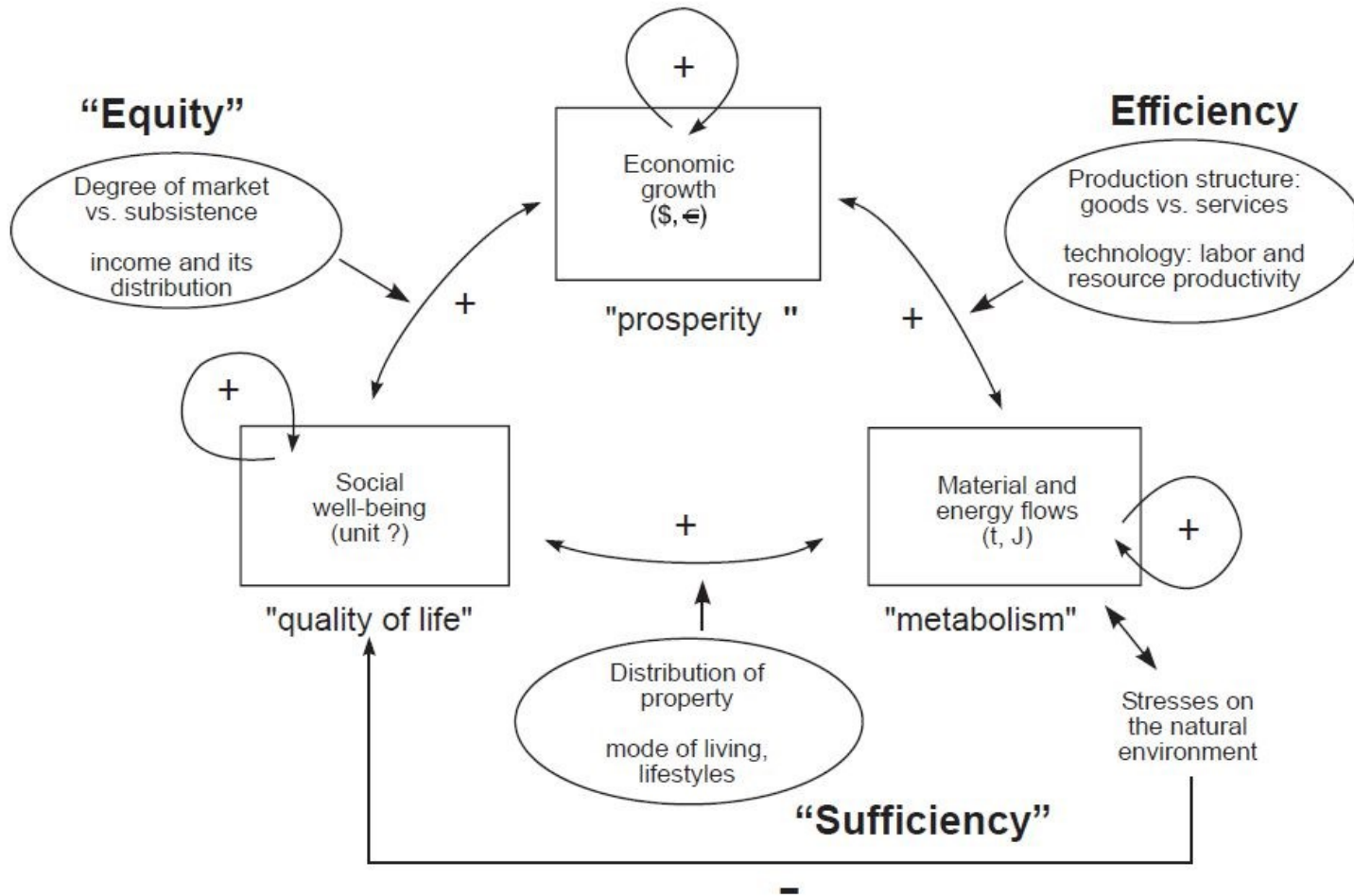
e) Stock growth in India, rest of Asia, MENA, LACA and SSA



Wiedenhofer *et al.* forthcoming. Do not cite or distribute!



The sustainability triangle



The Stock-Flow-Practice nexus

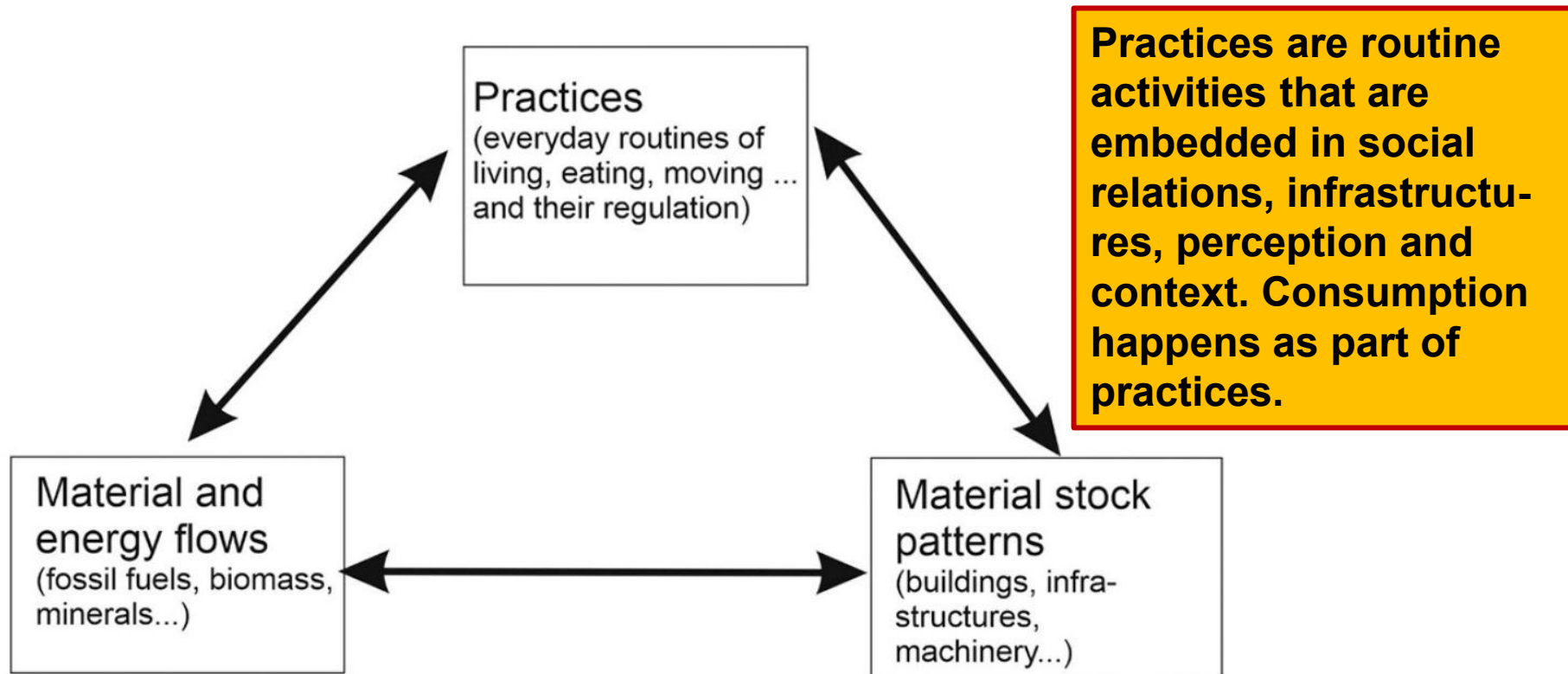
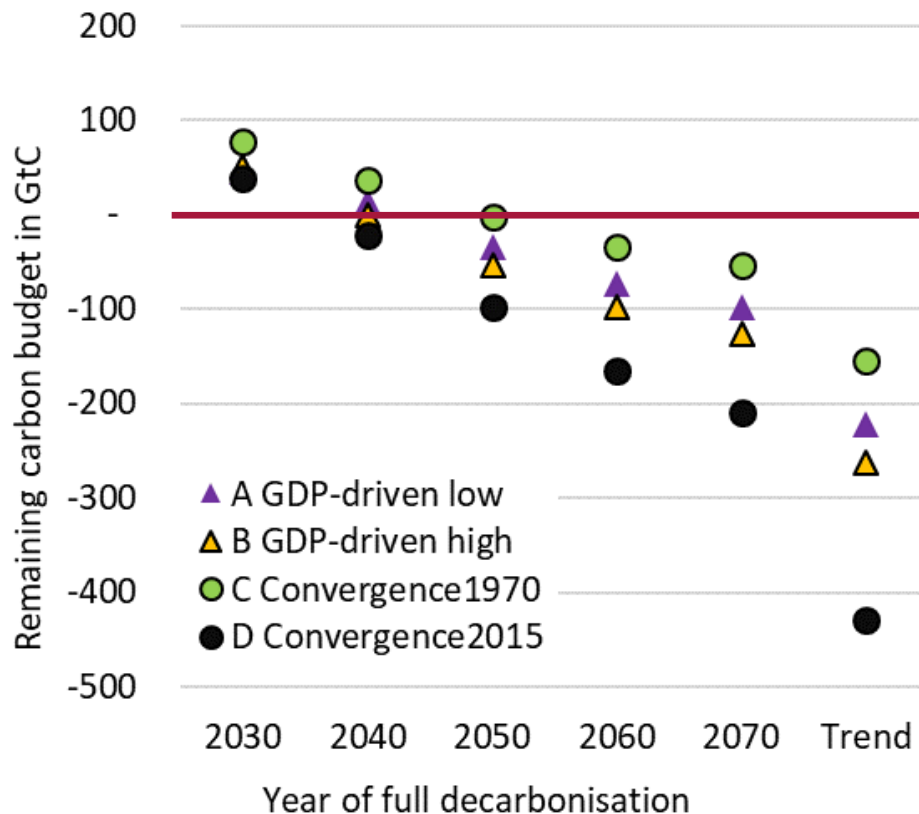


Fig. 1. The Stock-Flow-Practice nexus (SFP nexus). Own graph, based on the SFS nexus graph in [Haberl et al. \(2017\)](#).

Remaining carbon budget in 2050 (1.5°C goal): Decarbonization pathways



**Negative values:
Cumulative emissions exceed the available budget of 150 GtC.**

Source: Own calculations, C budget from IPCC 2018



Future services from stocks

- *many viewpoints*



University of Natural Resources
and Life Sciences, Vienna
Institute of Social Ecology

- **Continuation of the past (*plus large technol. options*)**
Material stocks and exergy tightly coupled with GDP; reducing resource use will reduce wealth; only nuclear, CCS, BECCS and/or geoengineering available to cope with climate change (many IAM runs)
- **Techno-optimistic „eco-efficiency“ view**
Highly efficient systems allow delivery of similar service levels with half the final energy and almost zero CO₂; achievable through huge changes in investment patterns (e.g. Grubler *et al.*, 2018, *nature Energy* 3, 515)
- **Socioecological transformation**
Services / use values emerge in historically contingent societal processes. Different patterns of material stocks and resource flows co-evolve with socioeconomic institutions and structures



European Research Council
Established by the European Commission

