Chapter 6¹. Policy options and capacity development to operationalize the inclusion of diverse values of nature in decision-making

Supplementary material

Annex 6.1 Definition and key aspects of transformative governance	1
Annex 6.2 Details of the policy instruments, brightspots and cross-scale initiatives analysis	.15
Annex 6.3 A case study on conservation trade-offs: Hunting in the Amazon	51
Annex 6.4 Summary tables on the interlinkages between SDGs, diverse values and options	
decision makers	65

¹ This is the final text version of the supplementary material of Chapter 6 of the IPBES methodological assessment of the diverse values and valuation of nature (<u>https://doi.org/10.5281/zenodo.6522359</u>).

Annex 6.1 Definition and key aspects of transformative governance

As the IPBES Global Assessment Report points out, transformative changes across socio-economic, technological, and political realms are required if humanity is to achieve biodiversity goals envisioned in the Sustainable Development Goals 2030 and 2050 Vision for Biodiversity (IPBES, 2019b). Such transformative changes must include fundamental reorganization of paradigms, goals, and values, which requires innovative and holistic approaches to governance, or transformative governance (IPBES, 2019b; Pelling et al., 2015).

Transformative governance can be defined as the approach to governing transformative change that enables 'the capacity to respond to, manage, and trigger regime shifts in coupled socio-ecological systems at multiple scales' (Chaffin et al., 2016). Transformative governance requires addressing a wide range of political, social, economic, and technological challenges by using the mix of instruments and tools that link across different sectors, levels and scales (Göpel, 2016; Kelly et al., 2019). A fundamental element in transformative governance is institutional restructuring, which includes the modification in legislation, policies, technologies, and changes in behaviour, culture, and practices within the system (IPBES, 2019b; Kelly et al., 2019).

There is no single prescription for the transformation towards new biodiversity governance, and various transformation pathways are possible to facilitate the transformative change from status quo towards sustainability (*Chapter 5*) (Beck & Forsyth, 2020; Koh, 2020). Transformative governance often requires a mixed set of *policy* or *governance* aimed at navigating transformative changes in mainstreaming biodiversity concerns across all sectors, from the global to local scale (Kivimaa & Kern, 2016; Koh, 2020; Loorbach, 2014). Such policy or governance for transformative change requires an approach that enables the incorporation of various values, knowledge, and visions regarding biodiversity within its implementation boundary. Thus, transformative governance would be counted and represented throughout the process (Beck & Forsyth, 2020).

Values and interests of actors will differ across scales and transformative governance needs to link those multi-level perspectives of values on biodiversity and sustainability. The acknowledgement of plural values can improve the capacity to vision better futures and identify appropriate transformation pathways towards sustainability. Transformative governance systems can acknowledge diverse values by:

- Diversifying the range of values of nature, which recognise and support multiple values within the governance to enhance;
- Co-producing values of nature, such as through inclusive governance approaches that addresses diverse values-knowledge and acknowledges under-represented voices and perspectives regarding sustainability;
- Institutionalising values at different levels to illustrate alternative visions/pathways to sustainability; and
- Acknowledging various levels of societal changes, starting from the individual values towards broader collective values (*Section 5.3.1.5, Chapter 5*). In many cases, acknowledging local values through ILK can significantly contribute to a more pluralistic understanding of transformations and the requirement for the transformative change.

These transformative changes can be enabled by incorporating various approaches to governance, such as integrative, inclusive, informed and adaptive governance (IPBES, 2019a; Visseren-Hamakers et al., 2021). Integrative governance approaches are required to address the challenges of policy

incoherence in sustainability and environmental governance. This governance approach aims to ensure that transformative solutions can have sustainable and replicable impacts at various scales, locations, and sectors. The pluralist governance approach requires transformative changes based on legitimate and credible knowledge that incorporates different knowledge and value systems, particularly the sustainability values and knowledge from the minority group that is underrepresented. Adaptive governance represents a continuous learning process and reflection on the progress of changes, the challenges, and the best ways to move forward. Adaptive governance enables the actors to recognise and respond to uncertainty, social conflicts, and complexity in the socio-ecological system. Inclusive governance enables participation of different actors in decision-making processes to improve the decisions' quality, as well as to secure legitimacy and accountability of the process, by including the minority groups which have sustainability interests and values.

Although these governance approaches have been extensively discussed as the enablers of transformation, the combined contribution of those approaches to transformative governance has not yet been deeply examined (IPBES, 2019a). In this section's assessment, a literature review focused on these different governance approaches was used to develop five overarching criteria with which to assess the potential of policy instruments to support transformative governance. Below there is a brief description of the main criteria.

Address Status Quo

Transformation towards sustainability is related to the process of change within societies to overcome ecologically harmful (unsustainable) policies and practices and to formulate alternative innovations as the replacement (Förster et al., 2020; Loorbach & Rotmans, 2010; Meadowcroft, 2009). As a process of change in governing environment and biodiversity within the socio-ecological system, transformative governance focuses on: (1) the transformation of the ecosystem, which represents the changes in the state of ecosystem, and (2) the transformation of decision-contexts, which represents significant shifts in social arrangements that define decision-making processes, such as networks and social relations, knowledge-values-rules, and resource allocations (Colloff et al., 2017).

The IPBES Global Assessment highlights the required transformative change as the systematic changes from the *status quo*, which mainly consists of (1) development based on the unsustainable material consumption, and (2) continuous production of social inequalities (IPBES, 2019b). The move from the status quo requires a transformation in *'perceptions and meaning, social network, interactions among actors, including power relations and institutional arrangements'* (Folke et al., 2010). Thus, the criteria for transformative governance must consider the changes in societies from the unsustainable or unwanted condition, or the status quo, towards more sustainable material production-consumption, as well as social equalities and equal power distribution.

Address diverse values

Values are not only properties of individual cognition but can also be conceived as socio-cultural resources in particular contexts to form views about goals and process (*Chapter 5, Section 5.3.1*) (Demski et al., 2015). There is a growing literature on sustainability transformations or transitions research that shows the increasing importance of values to transformation. Values have the potential to function as effective intervention points as well as the leverage points for sustainability transformations (*Chapter 5, Section 5.3.3*) (Abson et al., 2016; Fischer et al., 2012).

Given the uncertainties associated with global environmental change, biodiversity governance and sustainable resource management must be adaptive and inclusive towards diverse values and perspectives. The transformative change towards sustainability can only be achieved by considering values of multiple stakeholders, including the interactions of values and perspectives, at different

scales and levels (*Chapter 5, Section 5.3.3*). When assessing sustainable values and visions, the representation of values and interests become the main consideration, particularly for the under-represented sustainable values, goals, and knowledge (Beck & Forsyth, 2020).

The acknowledgement of plural values can improve the capacity to vision better futures and identify appropriate transformation pathways towards sustainability. To become transformative, diverse values must be acknowledged by applying inclusive and informed approaches in policy and governance (IPBES, 2019b). An inclusive governance approach is necessary to accommodate plural values and empower marginalized groups of stakeholders with sustainability values. Informed governance approaches enable actors to reflect on diverse values, monitor and assess changes, stimulate dialogues, learning and reflection, co-produce knowledge, and address power asymmetry (IPBES, 2019a; Visseren-Hamakers et al., 2021).

Stimulate institutional changes

Transformative governance requires addressing a wide range of institutional, legislative, social, and economic challenges as the part of persistent problems that inhibit sustainability (Stevens & Kanie, 2016). Transformative governance to address these challenges can be stimulated by providing learning and experimenting conditions within social and institutional networks that differ from traditional practices. These conditions can be provided by making decision-making processes open and transparent, engaging more local initiatives, and enabling institutional change (Schreurs et al., 2019). Fundamental to this transformation process is the institutional restructuring, which includes the modification of policy, administration, legislation and institutions, as well as changes in behaviour, values, and culture (Kelly et al., 2019; Schreurs et al., 2019).

Capacity development

Transformative governance processes towards sustainability are linked to the capacity of government actors to devise, implement and adapt institutional arrangements and legal prescriptions of a set of policy-mixes, while keeping a keen eye on social realities in everyday governance practices and the abilities of the societal actors to understand and utilise the policy (Förster et al., 2020). As a deliberative process, transformative governance is seen as a process to develop the capacity of people and institutions to support the intended changes (Colloff et al., 2017).

The capacity to enable transformations in biodiversity governance is determined by the intersection of capacities available at the different levels (individual, organisational and systemic) and dimensions (analytical, operational and contextual) of policy (Förster et al., 2020; Wu et al., 2015). The intersection of policy levels and policy dimensions starts from the individual and cognitive level towards the organisation and systemic level, to build, share, and develop sufficient skills for actors as the strategic requirement for transformative change towards biodiversity sustainability.

Transformation towards sustainability requires transformative literacy, which is the capacity of actors to assess information about transformation processes, and utilise the information to get involved in the right stage of transformation processes (Göpel, 2016). Hölscher et al. (2019) elaborate four different types of capacities to address transformative governance, namely stewarding capacity, unlocking capacity, transformative capacity, and orchestrating capacity. These capacities are required in different stages of the policy cycle. The stewarding, unlocking, and orchestrating capacities are particularly necessary to anticipate the impacts and understand the issues to support transformative policy development. The transformative and orchestrating capacity are necessary in the policy implementation stage. Thus, policy to support transformative governance requires sufficient capacity of actors and organisations to induce shifts from the status quo towards sustainability, and the ability

of actors to develop and/or acquire the required capacity for transformation when such capacity is absent or lacking.

Integrative-adaptive governance

While the informed and inclusive governance approaches can be reflected under the Diverse Values criteria, the integrative and adaptive aspects must be included in the policy process to support transformation. Integrative governance aims to ensure that local solutions also have sustainable impacts at other scales and locations, on other issues, and in other sectors. This impact can be achieved by applying coordination, integration, and combination strategies and developing a set of policy-mixes for transformative change (Visseren-Hamakers et al., 2021). As stated in the IPBES Global Assessment, 'the effective decision making for transformative change uses a mix of instruments and tools, and bridges across different sectors, levels and scales' (IPBES, 2019a). To address the biodiversity crisis, a mix of policy instruments are needed to mainstream and integrate biodiversity agenda across all sectors (Koh, 2020).

Adaptive governance acknowledges that transformative change and governance goals are complex, uncertain, and constantly moving, so governance needs to enable (Borie et al., 2020; IPBES, 2019a) continuous learning, experimentation, reflexivity, monitoring, and feedback. A reflexive transformation must happen from within the governance process, to inform the transformations process beyond policy boundaries and opening-up towards a broader range of possible futures and policy options (Borie et al., 2020). The adaptive governance approach allows for such internal reflexivity to overcome the barriers to adaptive approach, to reflect the complexity and uncertainty in policy and governance process, and to address power asymmetry (IPBES, 2019a; Visseren-Hamakers et al., 2021).

General stakeholder categories

<u>Intergovernmental organizations (key players)</u>: while intergovernmental organizations may determine the agendas of different global processes relating to social and ecological concerns, their roles are more facilitative, with opportunities to nudge more inclusive and equitable mechanisms of decision-making across different sectors and levels of governance.

<u>National and subnational governments (key players)</u>: even while governments are active actors in decision-making related to various socio-ecological issues from land use, cropping patterns, to efficiency and equity parameters across various sectors, they also influence various stakeholders who abide by the rule of the law on how they conduct their activities.

Non-governmental and civil society organizations (key players): they represent the collective voice of several stakeholder groups whose individual voices may be considered weak, such as nature, IPLCs, women, youth. They also elevate issues relevant for these underrepresented group, e.g., consumer rights, producer rights, equity, fair trade, sustainability. Non-governmental organizations can also act as donors, i.e., they provide aid and grants for research, experimentation and pilot implementation, and this way they provide resources to advance conservation and development work that may be considered even non-mainstream. Non-governmental organizations wield high moral authority and act as bridging organizations to negotiate terms of engagement between different stakeholder groups. In the chapter, this group is considered as a proxy for the affected actors who are depending on and impacted by the decisions of other stakeholders.

<u>Citizen groups (key players)</u>: include collectives of citizens who operate at the same levels of social organization in roles of consumers and/or primary producers and manufacturers (e.g., farmers, fishermen and other resource users), as well as interest groups and social movements which represent

socio-cultural diversity e.g., across gender (women, intersectional groups), race, or specific age groups, and raise their voice on issues related to environmental justice, biodiversity, climate change, equitable development, etc.

<u>Private sector (key players)</u>: business organizations are crucial to any economy and persuasive in influencing government policy related to prioritization of ecological concerns or social concerns. The private sector uses natural resources and impacts the natural environment in every stage of the process of creating market-value.

<u>Academia/researchers (influencers)</u>: The role of academics and researchers in promoting different methodologies cannot be overstated. They influence how causal effects are understood, what drivers for socio-ecological changes are considered and which stakeholder preferences are included in any such assessment.

<u>Media and Arts (influencers)</u>: includes conventional media such as radio, television and print media and social media and various art forms that have an impressionable influence on the opinions and preferences of different stakeholder groups (Saratsi et al., 2019). In the age of post-truth, when information is often manipulated, it is critical to ensure that robust and trustable knowledge is used to create media outlets.

Summary of the targeted literature review on the six capacity dimensions

Motivational capacity: building awareness and desire to consider multiple values

The motivational capacity of decision makers must be understood from both at the individual level and the individual's interaction with the organization. Attitudes and performance are driven by intrinsic and extrinsic motivations related to the ways in which rewards are generated (Ryan & Deci, 2000). Intrinsic motivation refers to behaviour that is internally driven by a sense of meaning and interest, and the rewards include a sense of meaning and value, or experience of competence which, in turn, generates positive emotions within an individual. Extrinsic motivation occurs when the motivation to act is driven by potential to earn a reward or avoid punishment. Intrinsic motivation has been found to be strongly linked to more productive and positive work performance where employees are motivated by the task itself and recognition of its inherent value (Gagné & Deci, 2005; Lawler & Hall, 1970; Schreurs et al., 2014).

On both individual or organizational level, Lambin (2005) proposed that motivation relates to the sources of behaviour of agents and has also multiple dimensions, including: (i) a cultural dimension related to local environmental attitudes, values and knowledge; (ii) an economic dimension that balances benefits and costs (Balmford, 2002; Cormier & Gordon, 2001) (iii) a policy dimension addressing different framework conditions (Kent & Myers, 2001); (iv) a conflict dimension related to different interest between stakeholders, affecting the willingness of decision makers to intervene; and (v) an institutional dimension, related to ecosystems and institutional systems (Young, 2002).

Analytical capacity: knowledge and tools to analyse multiple values

The approaches, methods and tools used in ecosystem services assessments and valuation as well as their contexts and goals shape their scope, results and conclusion. There is no neutral assessment. Approaches and tools in biodiversity management also influence the ways decisions are taken and how power is exercised. The socio-ethnographic approach of monitoring programmes in seven national parks in tropical Africa and Indonesia shows how power asymmetries in cognitive representations of nature could be supported through technical tools (Vimal et al., 2018). Tools carry a cognitive representation of the world, a theorization of action and give legitimacy to values and

perspectives (Cabane & Tantchou, 2016; Carolan, 2009; Desrosières, 1998; Lascoumes & Le Galès, 2005).

Domination of Western science and rationality also influences the ways in which local knowledge is treated and accounted for. Transformative governance requires reconsideration of the relation between knowledge and decision-making (IPBES, 2019a). Scientific expertise is not in all cases required for effective and legitimate action and the relation between knowledge and decision-making is not straightforward or self-evident (Dessai et al., 2009; Dilling & Lemos, 2011; Matzek et al., 2014; Pullinger, 2014; Sutherland et al., 2014; Wesselink et al., 2013). This means that existing tools will need to be adapted to generate inclusive processes for the recognition and consideration of multiple values, implying forms of scientific and non-scientific knowledge, that could be credible, legitimate and salient for all relevant stake- and knowledge-holders (Cash et al., 2003; Mauser et al., 2013; Robertson & Hull, 2001).

Bridging capacity: capacity to bridge different ways of knowing

A crucial element in the production of legitimate and credible information is the facilitation of dialogue and learning (Breslow, 2015; Lemos & Morehouse, 2005; Peterson et al., 2003; Turnhout, 2018; Voinov & Bousquet, 2010). Literature on transdisciplinary and co-production offers a variety of tools and methods that can be used by Governments (GO) and Non-governmental organizations (NGOs), also in bottom-up processes, to organize participatory knowledge production that are able to bridge practical, local, and Indigenous knowledge (Clark et al., 2016; Tengö et al., 2014, 2017). The application of inclusive and participatory approaches is limited (Brandt et al., 2013) and their ability to produce positive outcomes for problem solving and stakeholder empowerment depends on the presence of an enabling institutional context (Armitage et al., 2011) which is able to effectively address unequal power relations between stake- and knowledge holders (Dilling & Lemos, 2011; Nadasdy, 2003).

Negotiation capacity: capacity to negotiate trade-offs and mainstream into policy

Negotiation, by definition, requires interaction between two or more parties seeking to find a mutually acceptable agreement (Fairman et al., 2012). The two most common negotiation behaviours are forcing behaviour – directly contending the other party – and problem-solving behaviour – reconciling the parties' primary interests (Beersma & De Dreu, 1999). It also involves a negotiation of meaning, that is the process through which people with different perspectives arrive at a sufficiently common understanding of a challenge or problem for their current purposes (Beers et al., 2006). The success of a negotiation is dependent on the individual's skills, but also the capabilities of their counterparts and the support of their institution to implement the agreements reached.

Building negotiation capacity at the individual level requires decision makers and practitioners to be able to overcome the "negotiator's dilemma" of between promoting cooperation versus advancing own interests, understand the education to negotiation, consider negotiation as a process, reinvent of strategy and see the value of relationship with their counterparts (Soliman & Antheaume, 2017). Improving organizational negotiation capacity requires identifying the negotiation challenges most important to the organization's success, reflecting on how effectively the organization is meeting those challenges, revising policies and staffing that affect negotiation success and building skills to increase success (Fairman et al., 2012). Apart from training and other forms of knowledge and skillbuilding of staff to achieve institutional change, the institution should ensure trainees have an opportunity to use the concepts in context, offer easy access to negotiation support and have the operating procedures of the institution, both formal and informal, aligned to enable negotiators to be effective (Fairman et al., 2012).

Capacity to negotiate for trade-offs, including having trade-off management measures in the decisionmaking process, such as the "Trade-Off Decision-Making tool" assists trade-offs management operationalization also needs to be enhanced (de Magalhães et al., 2019). The issue of "boundarycrossing" must be tactfully addressed to promote interagency cooperation by bridging "divided terrains" to enable renegotiation and reorganization of collaborative relations and practices between and within the activity systems (Warmington et al., 2004). However, reconciliation of conflicts and reaching agreement on common interests may not necessarily result in biodiversity mainstreaming. Thus, it is also important to build institutional capacities to promote biodiversity mainstreaming in policies, by addressing the barriers and finding levers relating to institutional, motivational and means (Karlsson-Vinkhuyzen et al., 2018).

Social network capacity: capacity to learn together, act and adapt or transform

Network governance rests on a recognition that policy-making occurs through interactive forms of governing that involve many actors from different spheres, reliant on negotiation and coordination between various actors (Mayntz, 1993). Network governance involves a select, persistent, and structured set of autonomous firms (as well as non-profit agencies) engaged in creating products or services based on implicit and open-ended contracts to adapt to environmental contingencies and to coordinate and safeguard exchanges. Network governance overcomes problems of adapting, coordinating, and safeguarding exchanges by using social mechanisms - restricting access to exchanges, imposing collective sanctions, and making use of social memory and cultural processes - rather than authority, bureaucratic rules, standardization, or legal recourse (Jones et al., 1997). Social network analysis can be used to understand joint management and the establishment of cross- scale linkages (Carlsson & Sandström, 2008), as well as network structure supporting stability, flexibility, and innovation to increase regional resilience (Luthe et al., 2012).

Social learning is both the cooperation of partners and the outcome of this cooperation that occurs most efficiently through joint problem solving and reflection within learning networks (Berkes, 2009) can be reinforced by experiences (Bandura, 1971). Social learning model is posited for more broadscale use in providing multi-level governance linkages and as a basis for targeting interventions to address policy gaps or failure, but will be required to manage risk, support stakeholder analysis and resolve funding issues (Leys & Vanclay, 2011). Weaving indigenous and mainstream knowledge within science arenas to promote co-learning, including "two-eyed seeing" where people familiar with both knowledge systems can uniquely combine the two in various ways to meet a challenge or task at hand (Bartlett et al., 2012). Knowledge co-production is defined as the collaborative process of bringing a plurality of knowledge sources and types together to address a defined problem and build an integrated or systems-oriented understanding of that problem (Armitage et al., 2011). Reed et al. (2014) propose five principles for effective practice of knowledge exchange, - Design; Represent: Engage: Impact: Reflect and Sustain - to assist researchers, decision-makers and other stakeholders working in contrasting environmental management settings to work together to coproduce new knowledge, and more effectively share and apply existing knowledge to manage environmental change. Co-management, which can be considered a knowledge partnership, relates to knowledge generation, bridging organizations, social learning, and the emergence of adaptive comanagement (Berkes, 2009).

Adaptation, considered as responses to risks associated with the interaction of environmental hazards, is intimately associated with the concepts of vulnerability and adaptive capacity (Engle, 2011; Smit & Wandel, 2006). Adaptation, constrained by the adaptive capacity could result in uncertainties in decision- making processes. Adaptive capacity can be identified and measured at various stakeholder scales (Simha et al., 2017). Evidence suggests that adaptive capacity at multiple levels built through the process and linkage functions of co-management by fostering shared understanding and sense-making, increasing dialogue and interaction, distributing control and shared responsibility for actions,

and improving conditions for individual and group learning (Berkes, 2009; Plummer, 2009). Recognizing that adaptation is a process, it then also involves the ability to consider consequences of different adaptation options, evaluating and negotiating trade-offs, and communication among diverse groups (Armitage et al., 2011).

Reed et al. (2017) propose the wheel of participation that defines different types of stakeholder and public engagement, which it combines four modes of engagement with either top-down or bottomup agency: top-down one-way communication and/or consultation; top-down deliberation and/or coproduction; bottom-up one-way communication and/or consultation; and bottom-up deliberation and/or co-production. A theory of participation explains how the outcomes of this wheel by context, process design, the management of power dynamics and scalar fit.

Governance capacity: creating an enabling and socially just governance environment

Governance capacities are related to accountability, transparency, rule of law and participation (IFAD, 1999). Especially when working with ILK these issues must be strongly considered. Some ILK experiences showed that there is a lack of recognition of indigenous authority; inadequate focus on human resource development at the local level; lack of information to facilitate decisions; very little jurisdiction authority for communities to control important matters; categorization of indigenous people as disadvantaged rather than as people who have rights and responsibilities and lack of a land base or no control to traditional lands (Makuwira, 2007).

Still, there are many tools available to set up inclusive and participatory mechanisms for capacity development and knowledge co-creation (Brondizio & Tourneau, 2016; Fernández-Llamazares & Cabeza, 2018; IPBES, 2019a; Pert et al., 2015; Schreckenberg et al., 2016; Zafra-Calvo et al., 2017) including IPLCs-led codes of ethical conduct in conservation (e.g., Akwe: Kon Guidelines and The Tkarihwaié:ri Code of Ethical Conduct) and tools for dialogue such as the Whakatane Mechanism (Freudenthal, 2012; Sayer et al., 2017). Additionally, legal approaches that draw inspiration from indigenous and Local Knowledge (ILK) and customary institutions.

References

- Abson, D. J., Fischer, J., Leventon, J., Newig, J., Schomerus, T., Vilsmaier, U., von Wehrden, H., Abernethy, P., Ives, C. D., Jager, N. W., & Lang, D. J. (2016). Leverage points for sustainability transformation. *Ambio*, 46(1), 30-39. https://doi.org/10.1007/s13280-016-0800-y
- Armitage, D., Berkes, F., Dale, A., Kocho-Schellenberg, E., & Patton, E. (2011). Co-management and the co-production of knowledge: Learning to adapt in Canada's Arctic. *Global Environmental Change*, 21(3), 995-1004. https://doi.org/10.1016/j.gloenvcha.2011.04.006
- Balmford, A. (2002). Why Conservationists Should Heed Pokemon. *Science*, 295(5564). https://doi.org/10.1126/science.295.5564.2367b
- Bandura, A. (1971). *Social Learning Theory*. General Learning Press. http://www.asecib.ase.ro/mps/Bandura_SocialLearningTheory.pdf
- Bartlett, C., Marshall, M., & Marshall, A. (2012). Two-Eyed Seeing and other lessons learned within a co-learning journey of bringing together indigenous and mainstream knowledges and ways of knowing. *Journal of Environmental Studies and Sciences*, 2(4), 331-340. https://doi.org/10.1007/s13412-012-0086-8
- Beck, S., & Forsyth, T. (2020). Who gets to imagine transformative change? Participation and representation in biodiversity assessments. *Environmental Conservation*, 1-4. https://doi.org/10.1017/S0376892920000272
- Beers, P. J., Boshuizen, H. P. A., Kirschner, P. A., & Gijselaers, W. H. (2006). Common Ground, Complex Problems and Decision Making. *Group Decision and Negotiation*, 15(6), 529-556. https://doi.org/10.1007/s10726-006-9030-1
- Beersma, B., & De Dreu, C. K. W. (1999). Negotiation processes and outcomes in prosocially and egoistically motivated groups. *International Journal of Conflict Management*, 10(4), 385-402.
- Berkes, F. (2009). Evolution of co-management: Role of knowledge generation, bridging organizations and social learning. *Journal of Environmental Management*, 90(5), 1692-1702. https://doi.org/10.1016/j.jenvman.2008.12.001
- Borie, M., Gustafsson, K. M., Obermeister, N., Turnhout, E., & Bridgewater, P. (2020). Institutionalising reflexivity? Transformative learning and the Intergovernmental sciencepolicy Platform on Biodiversity and Ecosystem Services (IPBES). *Environmental Science & Policy*, 110, 71-76. https://doi.org/10.1016/j.envsci.2020.05.005
- Brandt, J. S., Wood, E. M., Pidgeon, A. M., Han, L.-X., Fang, Z., & Radeloff, V. C. (2013). Sacred forests are keystone structures for forest bird conservation in southwest China's Himalayan Mountains. *Biological Conservation*, 166, 34-42. https://doi.org/10.1016/j.biocon.2013.06.014
- Breslow, S. J. (2015). Accounting for neoliberalism: "Social drivers" in environmental management. *Marine Policy*, *61*, 420-429. https://doi.org/10.1016/j.marpol.2014.11.018
- Brondizio, E. S., & Tourneau, Francois.-Michel. L. (2016). Environmental governance for all. *Science*, *352*(6291), 1272-1273. https://doi.org/10.1126/science.aaf5122
- Cabane, L., & Tantchou, J. (2016). Measurement instruments and policies in Africa. *Revue d'anthropologie Des Connaissances*, 10(2). https://www.cairn.info/revue-anthropologie-des-connaissances-2016-2-page-a.htm
- Carolan, M. S. (2009). "This Is Not a Biodiversity Hotspot": The Power of Maps and Other Images in the Environmental Sciences. *Society & Natural Resources*, 22(3), 278-286. https://doi.org/10.1080/08941920801961040
- Carlsson, L., & Sandström, A. (2008). Network governance of the commons. *International Journal* of the Commons, 2(1), 22.
- Cash, D. W., Clark, W. C., Alcock, F., Dickson, N. M., Eckley, N., Guston, D. H., Jäger, J., & Mitchell, R. B. (2003). Knowledge systems for sustainable development. *Proceedings of the*

National Academy of Sciences, *100*(14), 8086-8091. https://doi.org/10.1073/pnas.1231332100

- Chaffin, B. C., Garmestani, A. S., Gunderson, L. H., Benson, M. H., Angeler, D. G., Arnold, C. A. (Tony), Cosens, B., Craig, R. K., Ruhl, J. B., & Allen, C. R. (2016). Transformative Environmental Governance. *Annual Review of Environment and Resources*, 41(1), 399-423. https://doi.org/10.1146/annurev-environ-110615-085817
- Clark, W. C., van Kerkhoff, L., Lebel, L., & Gallopin, G. C. (2016). Crafting usable knowledge for sustainable development. *Proceedings of the National Academy of Sciences*, 113(17), 4570-4578. https://doi.org/10.1073/pnas.1601266113
- Colloff, M. J., Martín-López, B., Lavorel, S., Locatelli, B., Gorddard, R., Longaretti, P.-Y., Walters, G., van Kerkhoff, L., Wyborn, C., Coreau, A., Wise, R. M., Dunlop, M., Degeorges, P., Grantham, H., Overton, I. C., Williams, R. D., Doherty, M. D., Capon, T., Sanderson, T., & Murphy, H. T. (2017). An integrative research framework for enabling transformative adaptation. *Environmental Science & Policy*, 68, 87-96. https://doi.org/10.1016/j.envsci.2016.11.007
- Cormier, D., & Gordon, I. M. (2001). An examination of social and environmental reporting strategies. *Accounting, Auditing & Accountability Journal, 14*(5), 587-616. https://doi.org/10.1108/EUM00000006264
- de Magalhães, R. F., Danilevicz, Â. de M. F., & Palazzo, J. (2019). Managing trade-offs in complex scenarios: A decision-making tool for sustainability projects. *Journal of Cleaner Production*, 212, 447-460. https://doi.org/10.1016/j.jclepro.2018.12.023
- Demski, C., Butler, C., Parkhill, K. A., Spence, A., & Pidgeon, N. F. (2015). Public values for energy system change. *Global Environmental Change*, 34, 59-69. https://doi.org/10.1016/j.gloenvcha.2015.06.014
- Dessai, S., Hulme, M., Lempert, R., & Pielke, R. (2009). Do We Need Better Predictions to Adapt to a Changing Climate? *Eos, Transactions American Geophysical Union*, *90*(13), 111-112. https://doi.org/10.1029/2009EO130003
- Desrosières, A. (1998). *The politics of large numbers. A History of Statistical Reasoning*. Harvard University Press.
- Dilling, L., & Lemos, M. C. (2011). Creating usable science: Opportunities and constraints for climate knowledge use and their implications for science policy. *Global Environmental Change*, 21(2), 680-689. https://doi.org/10.1016/j.gloenvcha.2010.11.006
- Engle, N. L. (2011). Adaptive capacity and its assessment. *Global Environmental Change*, 21(2), 647-656. https://doi.org/10.1016/j.gloenvcha.2011.01.019
- Fairman, D., Chigas, D., McClintock, E., & Drager, N. (2012). Negotiating Public Health in a Globalized World: Global Health Diplomacy in Action. Springer Netherlands. https://doi.org/10.1007/978-94-007-2780-9
- Fernández-Llamazares, Á., & Cabeza, M. (2018). Rediscovering the Potential of Indigenous Storytelling for Conservation Practice: Indigenous storytelling and conservation. *Conservation Letters*, 11(3), 1-12. https://doi.org/10.1111/conl.12398
- Fischer, J., Dyball, R., Fazey, I., Gross, C., Dovers, S., Ehrlich, P. R., Brulle, R. J., Christensen, C., & Borden, R. J. (2012). Human behavior and sustainability. *Frontiers in Ecology and the Environment*, 10(3), 153-160. https://doi.org/10.1890/110079
- Folke, C., Carpenter, S. R., Walker, B., Scheffer, M., Chapin, T., & Rockström, J. (2010). Resilience Thinking: Integrating Resilience, Adaptability and Transformability. *Ecology* and Society, 15(4). https://doi.org/10.5751/ES-03610-150420
- Förster, J. J., Downsborough, L., Biber-Freudenberger, L., Kelboro Mensuro, G., & Börner, J. (2020). Exploring criteria for transformative policy capacity in the context of South Africa's biodiversity economy. *Policy Sciences*. https://doi.org/10.1007/s11077-020-09385-0
- Freudenthal, E. (2012). The Whakatane Mechanism: Promoting Justice in Protected Areas. *Nomadic Peoples*, *16*(2), 84-94. https://doi.org/10.3167/np.2012.160207

- Gagné, M., & Deci, E. L. (2005). Self-determination theory and work motivation. *Journal of Organizational Behavior*, 26, 331-362. https://doi.org/10.1002/job.322
- Göpel, M. (2016). *The Great Mindshift: How a new economic paradigm and sustainability transformations go hand in hand* (Vol. 2). Springer International Publishing. https://doi.org/10.1007/978-3-319-43766-8
- Hölscher, K., Frantzeskaki, N., & Loorbach, D. (2019). Steering transformations under climate change: Capacities for transformative climate governance and the case of Rotterdam, the Netherlands. *Regional Environmental Change*, 19(3), 791-805. https://doi.org/10.1007/s10113-018-1329-3
- IFAD. (1999). *Good Governance: An overview* (EB 99/67/INF.4; p. 10). International Fund for Agricultural Development. http://www.ipa.government.bg/sites/default/files/pregled-dobro_upravlenie.pdf
- IPBES. (2019a). Global assessment report on biodiversity and ecosystem services of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services. IPBES Secretariat. 978-3-947851-13-3
- IPBES. (2019b). Summary for policymakers of the global assessment report on biodiversity and ecosystem services of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services. IPBES Secretariat. 978-3-947851-13-3
- Jones, C., Hesterly, W. S., & Borgatti, S. P. (1997). A General Theory of Network Governance: Exchange Conditions and Social Mechanisms. *The Academy of Management Review*, 22(4), 911. https://doi.org/10.2307/259249
- Karlsson-Vinkhuyzen, S., Boelee, E., Cools, J., van Hoof, L., Hospes, O., Kok, M., Peerlings, J., van Tatenhove, J., Termeer, C. J. A. M., & Visseren-Hamakers, I. J. (2018). Identifying barriers and levers of biodiversity mainstreaming in four cases of transnational governance of land and water. *Environmental Science & Policy*, 85, 132-140. https://doi.org/10.1016/j.envsci.2018.03.011
- Kelly, C., Ellis, G., & Flannery, W. (2019). Unravelling Persistent Problems to Transformative Marine Governance. *Frontiers in Marine Science*, 6, 213. https://doi.org/10.3389/fmars.2019.00213
- Kent, J., & Myers, N. (2001). Perverse subsidies: How tax dollars can undercut the environment and the economy. *Winnipeg: International Institute for Sustainable Development*.
- Kivimaa, P., & Kern, F. (2016). Creative destruction or mere niche support? Innovation policy mixes for sustainability transitions. *Research Policy*, 45(1), 205-217. https://doi.org/10.1016/j.respol.2015.09.008
- Koh, N. S. (2020). Unravelling the social and ecological implications of policy instruments for biodiversity governance. *Stockholm University*, 53.
- Lambin, E. F. (2005). Conditions for sustainability of human–environment systems: Information, motivation, and capacity. *Global Environmental Change*, 15(3), 177-180. https://doi.org/10.1016/j.gloenvcha.2005.06.002

Lascoumes, P., & Le Galès, P. (2005). Gouverner par les instruments. Presses de Sciences po.

- Lawler, E. E., & Hall, D. T. (1970). Relationship of job characteristics to job involvement, satisfaction, and intrinsic motivation. *Journal of Applied Psychology*, *54*(4), 305. https://doi.org/10.1037/h0029692
- Lemos, M. C., & Morehouse, B. J. (2005). The co-production of science and policy in integrated climate assessments. *Global Environmental Change*, *15*(1), 57-68. https://doi.org/10.1016/j.gloenvcha.2004.09.004
- Leys, A. J., & Vanclay, J. K. (2011). Social learning: A knowledge and capacity building approach for adaptive co-management of contested landscapes. *Land Use Policy*, 28(3), 574-584. https://doi.org/10.1016/j.landusepol.2010.11.006
- Loorbach, D. (2014). To transition! Governance panarchy in the new transformation. *DRIFT/Erasmus University Rotterdam*, 45.

- Loorbach, D., & Rotmans, J. (2010). The practice of transition management: Examples and lessons from four distinct cases. *Futures*, *42*(3), 237-246. https://doi.org/10.1016/j.futures.2009.11.009
- Luthe, T., Wyss, R., & Schuckert, M. (2012). Network governance and regional resilience to climate change: Empirical evidence from mountain tourism communities in the Swiss Gotthard region. *Regional Environmental Change*, 12(4), 839-854. https://doi.org/10.1007/s10113-012-0294-5
- Makuwira, J. (2007). The Politics of Community Capacity Building: Contestations, Contradictions, Tensions and Ambivalences in the Discourse in Indigenous Communities in Australia. *The Australian Journal of Indigenous Education*, 36(S1), 129-136. https://doi.org/10.1017/S1326011100004804
- Matzek, V., Covino, J., Funk, J. L., & Saunders, M. (2014). Closing the Knowing-Doing Gap in Invasive Plant Management: Accessibility and Interdisciplinarity of Scientific Research: Closing the knowing-doing gap. *Conservation Letters*, 7(3), 208-215. https://doi.org/10.1111/conl.12042
- Mauser, W., Klepper, G., Rice, M., Schmalzbauer, B. S., Hackmann, H., Leemans, R., & Moore, H. (2013). Transdisciplinary global change research: The co-creation of knowledge for sustainability. *Current Opinion in Environmental Sustainability*, 5(3-4), 420-431. https://doi.org/10.1016/j.cosust.2013.07.001
- Mayntz, R. (1993). Modernization and the logic of interorganizational networks. *Knowledge and Policy*, *6*(1), 3-16. https://doi.org/10.1007/BF02692798
- Meadowcroft, J. (2009). What about the politics? Sustainable development, transition management, and long term energy transitions. *Policy Sciences*, 42(4), 323-340. https://doi.org/10.1007/s11077-009-9097-z
- Nadasdy, P. (2003). Hunters and bureaucrats: Power, knowledge, and aboriginal-state relations in the southwest Yukon. UBC Press.
- Pelling, M., O'Brien, K., & Matyas, D. (2015). Adaptation and transformation. *Climatic Change*, *133*(1), 113-127. https://doi.org/10.1007/s10584-014-1303-0
- Pert, P. L., Ens, E. J., Locke, J., Clarke, P. A., Packer, J. M., & Turpin, G. (2015). An online spatial database of Australian Indigenous Biocultural Knowledge for contemporary natural and cultural resource management. *Science of The Total Environment*, 534, 110-121. https://doi.org/10.1016/j.scitotenv.2015.01.073
- Peterson, G. D., Cumming, G. S., & Carpenter, S. R. (2003). Scenario Planning: A Tool for Conservation in an Uncertain World. *Conservation Biology*, 17(2), 358-366. https://doi.org/10.1046/j.1523-1739.2003.01491.x
- Plummer, R. (2009). The Adaptive Co-Management Process: An Initial Synthesis of Representative Models and Influential Variables. *Ecology and Society*, 14(2). https://doi.org/10.5751/ES-03130-14022
- Pullinger, M. (2014). Working time reduction policy in a sustainable economy: Criteria and options for its design. 103, 11-19. https://doi.org/10.1016/j.ecolecon.2014.04.009
- Reed, M., Lewis, N., & Dwyer, J. (2017). The effect and impact of LEAF Marque in the delivery of more sustainable farming: A study to understand the added value to farmers (p. 92). CCRI. https://s3-eu-west-1.amazonaws.com/leaf-website/CCRI_Report_-_Full_Report_FINAL-2.pdf
- Reed, M., Stringer, L. C., Fazey, I., Evely, A. C., & Kruijsen, J. H. J. (2014). Five principles for the practice of knowledge exchange in environmental management. *Journal of Environmental Management*, 146, 337-345. https://doi.org/10.1016/j.jenvman.2014.07.021
- Robertson, D. P., & Hull, R. B. (2001). Beyond Biology: Toward a More Public Ecology for Conservation. *Conservation Biology*, 15(4), 970-979. https://doi.org/10.1046/j.1523-1739.2001.015004970.x

- Ryan, R. M., & Deci, E. L. (2000). Intrinsic and Extrinsic Motivations: Classic Definitions and New Directions. *Contemporary Educational Psychology*, 25(1), 54-67. https://doi.org/10.1006/ceps.1999.1020
- Saratsi, E., Acott, T., Allinson, E., Edwards, D., Fremantle, C., & Fish, R. (2019). Valuing arts and arts research (N.º 22; Valuing Nature Paper). Valuing Nature. https://rgu-repository.worktribe.com/output/727996
- Sayer, J., Margules, C., & Boedhihartono, A. (2017). Will Biodiversity Be Conserved in Locally-Managed Forests? *Land*, 6(1), 6. https://doi.org/10.3390/land6010006
- Schreckenberg, K., Franks, P., Martin, A., & Lang, B. (2016). Unpacking equity for protected area conservation. *PARKS*, 22(2), 11-28. https://doi.org/10.2305/IUCN.CH.2016.PARKS-22-2KS.en
- Schreurs, B., van Emmerik, IJ. H., Van den Broeck, A., & Guenter, H. (2014). Work values and work engagement within teams: The mediating role of need satisfaction. *Group Dynamics: Theory, Research, and Practice, 18*(4), 267-281. https://doi.org/10.1037/gdn0000009
- Schreurs, F., Bekker, M. P. M., Helderman, J. K., Jansen, M., & Ruwaard, D. (2019). Transformative governance for public health: A scoping review. *European Journal of Public Health*, 29(Supplement_4), ckz186.705. https://doi.org/10.1093/eurpub/ckz186.706
- Simha, P., Mutiara, Z. Z., & Gaganis, P. (2017). Vulnerability assessment of water resources and adaptive management approach for Lesvos Island, Greece. *Sustainable Water Resources Management*, 3(3), 283-295. https://doi.org/10.1007/s40899-017-0095-6
- Smit, B., & Wandel, J. (2006). Adaptation, adaptive capacity and vulnerability. *Global Environmental Change*, *16*(3), 282-292. https://doi.org/10.1016/j.gloenvcha.2006.03.008
- Soliman, C., & Antheaume, N. (2017). Inter and intra organizational negotiation during economic recession: An essay on the promotion of cooperation. *Future Business Journal*, *3*(1), 23-32. https://doi.org/10.1016/j.fbj.2017.01.001
- Stevens, C., & Kanie, N. (2016). The transformative potential of the Sustainable Development Goals (SDGs). *International Environmental Agreements: Politics, Law and Economics*, 16(3), 393-396. https://doi.org/10.1007/s10784-016-9324-y
- Sutherland, W. J., Gardner, T. A., Haider, L. J., & Dicks, L. V. (2014). How can local and traditional knowledge be effectively incorporated into international assessments? *Oryx*, 48(1), 1-2. https://doi.org/10.1017/S0030605313001543
- Tengö, M., Brondizio, E. S., Elmqvist, T., Malmer, P., & Spierenburg, M. (2014). Connecting diverse knowledge systems for enhanced ecosystem governance: The multiple evidence base approach. *Ambio*, 43(5), 579-591. https://doi.org/10.1007/s13280-014-0501-3
- Tengö, M., Hill, R., Malmer, P., Raymond, C. M., Spierenburg, M., Danielsen, F., Elmqvist, T., & Folke, C. (2017). Weaving knowledge systems in IPBES, CBD and beyond—Lessons learned for sustainability. *Current Opinion in Environmental Sustainability*, 26-27, 17-25. https://doi.org/10.1016/j.cosust.2016.12.005
- Turnhout, E. (2018). The Politics of Environmental Knowledge. *Conservation and Society*, *16*(3), 363-371. https://doi.org/10.4103/cs.cs_17_35
- Vimal, R., Gatiso, T., & Mathevet, R. (2018). Monitoring in Tropical National Parks: The Power of Knowledge. *Conservation and Society*, 16(1), 76. https://doi.org/10.4103/cs.cs_17_12
- Visseren-Hamakers, I. J., Razzaque, J., McElwee, P., Turnhout, E., Kelemen, E., Rusch, G. M., Fernández-Llamazares, Á., Chan, I., Lim, M., Islar, M., Gautam, A. P., Williams, M., Mungatana, E., Karim, M. S., Muradian, R., Gerber, L. R., Lui, G., Liu, J., Spangenberg, J. H., & Zaleski, D. (2021). Transformative governance of biodiversity: Insights for sustainable development. *Current Opinion in Environmental Sustainability*, 53, 20-28. https://doi.org/10.1016/j.cosust.2021.06.002
- Voinov, A., & Bousquet, F. (2010). Modelling with stakeholders. *Environmental Modelling & Software*, 25(11), 1268-1281. https://doi.org/10.1016/j.envsoft.2010.03.007
- Warmington, P., Daniels, H., Edwards, A., Brown, S., Leadbetter, J., Deirdre, M., & Middleton, D. (2004). Interagency collaboration: A review of the literature. *The Learning in and for*

Interagency Working Project.

https://www.researchgate.net/publication/254986461_Interagency_Collaboration_a_review_ of_the_literature?enrichId=rgreq-4606bdc94e3a3f070ca131fb3c92f4cb-

XXX&enrichSource=Y292ZXJQYWdlOzI1NDk4NjQ2MTtBUzoxMjIxMjk3NjkwNDYw MTZAMTQwNjEyOTQxNDk2MQ%3D%3D&el=1_x_2&_esc=publicationCoverPdf

- Wesselink, A., Buchanan, K. S., Georgiadou, Y., & Turnhout, E. (2013). Technical knowledge, discursive spaces and politics at the science–policy interface. *Environmental Science & Policy*, 30, 1-9. https://doi.org/10.1016/j.envsci.2012.12.008
- Wu, X., Ramesh, M., & Howlett, M. (2015). Policy capacity: A conceptual framework for understanding policy competences and capabilities. *Policy and Society*, 34(3-4), 165-171. https://doi.org/10.1016/j.polsoc.2015.09.001
- Young, O. R. (2002). *The Institutional Dimensions of Environmental Change: Fit, Interplay, and Scale*. The MIT Press. https://doi.org/10.7551/mitpress/3807.001.0001
- Zafra-Calvo, N., Pascual, U., Brockington, D., Coolsaet, B., Cortes-Vazquez, J. A., Gross-Camp, N., Palomo, I., & Burgess, N. D. (2017). Towards an indicator system to assess equitable management in protected areas. *Biological Conservation*, 211, 134-141. https://doi.org/10.1016/j.biocon.2017.05.014

Annex 6.2 Details of the policy instruments, brightspots and cross-scale initiatives analysis

Section 6.2 asked two broad questions:

- 1. To what extent are diverse-value policy options available, in which context and scales are they operationalized, and whose worldviews and values do they represent?, and
- 2. What policy options exist for operationalizing diverse value approaches for transformative governance?

To develop the assessment criteria for transformative governance against which we can assess the potential of policy instruments to facilitate transformative change, we conducted a literature review and also drew strongly on *Chapter 5.3.2*.

From the literature review, we identified various components of transformative governance, which we then grouped into five main components (further discussed in the main text). These were: Address Status Quo, Address Diverse Values, Stimulate Institutional Changes, Capacity Building, and Integrative-Adaptive). Within these broader categories, we defined the following criteria:

Address Status quo

- Does the policy instrument / initiative address the direct and indirect drivers of biodiversity loss (based on the IPBES Global Assessment)?
- Does the policy instrument stimulate and/or promote a positive major shift to the states of ecosystem and biodiversity?
- Does the policy instrument stimulate and/or promote a positive major shift in the social networks and power distribution?
- Does the policy instrument stimulate and/or promote a positive major shift in rules and resource allocation in biodiversity governance?
- Does the policy instrument promote positive changes in social production and consumption towards a more sustainable pattern?
- Does the policy instrument challenge the inequalities and able to promote equalities among the social group involved in biodiversity management?

Address diverse values

- Does the policy instrument stimulate and/or promote a positive major shift in recognising and revealing diverse knowledge and values of biodiversity?
- Does the policy instrument provide room to accommodate or consider diverse values of different groups in biodiversity management, including the ILK values of the local and indigenous people, in its decision-making process?
- Does the policy instrument reflect or accommodate social and cultural values of the local community?
- Does the policy instrument reflect or accommodate the ILK values of the local and indigenous people?
- Does the policy instrument acknowledge or accommodate the trade-off between values of biodiversity, including values of the marginal and under-powered group?

Stimulate Institutional changes

- Does the policy instrument stimulate positive shifts (radical or incremental) in the organisation, legislation, policies, and administration regarding biodiversity governance?
- Does the policy instrument stimulate positive changes (radical or incremental) in the behaviour, culture, and practices of actors involved in biodiversity governance?

Promote and Supported by Sufficient Capacity of actors

- Do the actors have sufficient capacity to design the policy instrument?
- Do the actors have sufficient capacity to implement the policy instrument at the targeted level(s)?
- Do the marginal, under-represented, and less-powerful groups be able to participate and influence the decision-making process throughout the policy process?
- Do the actors have sufficient capacity to recognise and reveal the values of biodiversity throughout the policy instrument design and implementation?
- Do the actors have sufficient capacity to collaborate, co-learning, and co-producing values of biodiversity throughout the policy instrument?
- Does the policy instrument improve the capacity of actors to recognise diverse values of biodiversity in the decision-making process?
- Does the policy instrument improve the capacity of actors, particularly the marginal and less-powerful groups, to express their values of biodiversity in the decision-making process?

Integrative and Adaptive

- Can the policy instrument be integrated into a policy-mix to stimulate positive transformation in biodiversity governance?
- Can the policy instruments be adapted into local socio-economic-political culture to stimulate transformations in biodiversity governance?
- Does the policy instrument reflect the complexity and uncertainty of biodiversity values from different actors at the different levels involved in the biodiversity governance?

Assessing the transformative potential of policy instruments

We assessed altogether 37 policy instruments². The initial list of policy instruments was derived from the IPBES Catalogue of Policy Instruments and Policy Support Tools (IPBES, 2017). Additional policy instruments were added to this list after the screening of the IPBES Global Assessment and regional assessments. The assessment of the policy instruments is a meta-analysis: the main source of evidence used was the core text and the Annexes of *Chapter 6* of the IPBES Global Assessment, and where evidence was scarce additional targeted literature reviews were carried out.

The assessment focused on evaluating the potential of policy instruments to change the current status quo either through incremental steps or via more transformational processes. Assessing how far policy instruments can support transformational or incremental is challenging for several reasons. First, for many instruments there is a lack of detailed empirical evidence on place-based implementation. Second, in practice several policy instruments are implemented at the same time as part of a policy mix, hence the impacts of a single instrument are hard to identify as those usually emerge as a result of interplay (synergies or incoherencies) between all the used instruments. Third, even where robust evidence is available for a single instrument, it often shows a high variability across the different contexts. This highlights that how far a policy instrument supports transformational or incremental change depends largely on exactly it is implemented and how much it aims to challenge the institutional settings that maintain the status quo. These challenges of

² Transformative Governance within Policy Instruments and Initiatives (<u>https://doi.org/10.5281/zenodo.4331126</u>)

evaluation lead us to choose the potential for change (either transformational or incremental) as the focus of our analysis.

The potential for incremental or transformational change was evaluated via the above detailed five criteria. Each of these five criteria was assessed on a three-point scale: (1) unlikely to meet the criteria if maximum one sub-question could be answered by yes (score=0), (2) medium potential to meet the criteria if 2-3 sub-questions could be answered by yes (score=1), (3) high potential to meet the criteria if three or more sub-questions could be answered by yes (score=2). Whether a policy instrument has potential for inducing incremental or transformational change was decided based on the scoring:

- policy instruments were justified as having more transformational potential if the average score across the five criteria was equal or higher than 1.5,
- policy instruments were justified as having more incremental potential if the average score across the five criteria was higher than 0.8 and lower than 1.5,
- policy instruments were justified as maintaining the status quo if the average score across the five criteria was equal or lower than 0.8.

Additionally, we collected and synthesised information on all instruments regarding what kind of valuation approach (a pluralistic and inclusive valuation or a narrower approach) is usually referred to in the literature for the given instrument (although information on this aspect was often scarce), who are the key stakeholders implementing or being influenced by the instrument, what is the potential scale(s) of implementation, and what is the geographical spread of implementation.

Brightspots analysis

To find additional illustrative examples of potentially transformative policies, we also analysed the valuation "brightspots"³ assessed in *Chapter 4*. "Brightspots" were identified by *Chapter 4* through a comprehensive literature review and refer to papers in the academic and grey literature where assessors could find evidence for valuation uptake in policy and practice. We selected "brightspots" that showed evidence of engaging diverse value approaches in policy, and further analysed them along dimensions of transformative potential.

Section 6.2 identified 255 such cases. We considered this "uptake" to signal a high potential to include policy instruments supporting transformative governance. To identify brightspots cases that did indeed include a description of how policy instruments could facilitate transformative governance, we screened all 255 papers to identify ones that mentioned diverse values, elements of transformative governance (as described in *Section 6.2.1*), and specific policy instruments. This left 62 papers.

There papers were then further coded against the following criteria:

- 1. What policy instruments are associated with the case?
- 2. Category of Policy instrument (as defined in *Section 6.2.3*)
- 3. Elements of transformative governance present (as defined in Section 6.2.1)
- 4. Decision making contexts (as defined in *Section 6.2.3*)
- 5. Stakeholders (as defined in *Section 6.2.3*)
- 6. Which broad values, specific values and life frames are accounted for in the application of this policy instrument (as defined in *Section 6.2.3*)
- 7. At what scale is this policy instrument implemented? In this case we used local, provincial/state, national, regional, international, cross-scale
- 8. In which way did the application of policy support tools facilitate incorporation of (a) diverse value approaches and transformative governance

³ Brightspot Cases text analysis (<u>https://doi.org/10.5281/zenodo.4338411</u>).

9. Leverage Points

For question eight, the dimensions differed from case to case, but elements that emerged included: what is the evidence for transformative governance presented (refer to sub-indicators), in which way were policy support tools used to facilitate policy implementations, how were stakeholders involved, were multiple policy approaches used?

Most of the brightspots assessed (82.3%) concerned the use of policy support tools to integrate diverse values and knowledge systems to facilitate transformative governance. Diverse support tools were used, but by far the most popular was multi-criteria decision-making, assessment and evaluation (e.g., Barquet & Cumiskey, 2018; Graziano et al., 2009; Ha et al., 2017; Hajkowicz et al., 2008; Mustajoki et al., 2020; Ridgley & Rijsberman, 1992; Vollmer et al., 2016). Other policy support tools used included participatory mapping (Ioki et al., 2019), participatory rural appraisal, agent-based modelling (Brady et al., 2012), analytic hierarchy processes (Ananda, 2007), contingent valuation strategies (Cameron et al., 1996; Nomura et al., 2018), social-benefit cost accounting (McDonald & Johns, 1999), exploratory mapping (de Oliveira Leis et al., 2019), and participatory geographic information systems supported ownership, engagement and stewardship (Ioki et al., 2019). These support tools were used to identify, assess and incorporate technical/practitioner, local/traditional and scientific knowledge (e.g., Barquet & Cumiskey, 2018; Graziano et al., 2009; Ha et al., 2017; Hajkowicz et al., 2008; Onyewotu et al., 2003) and involve diverse stakeholders (e.g., Hajkowicz et al., 2008; Kerselaers et al., 2015; Onvewotu et al., 2003). In facilitating processes that integrated (on a spectrum) diverse values, knowledge systems and stakeholders, these policy support tools facilitated a shift towards transformative governance by legitimizing decisions to a broader group of stakeholders (de Oliveira Leis et al., 2019; Ridgley & Rijsberman, 1992), highlighting trade-offs to different interest groups (Ananda, 2007; Barquet & Cumiskey, 2018; García-Llorente et al., 2011; Xu et al., 2003), helping to incorporate more criteria that align with diverse values from various interest groups (Ananda, 2007; Barquet & Cumiskey, 2018; Graziano et al., 2009; Ha et al., 2017; Xu et al., 2003), and developing policy that acknowledges every day experiences (Barquet & Cumiskey, 2018). As these support tools supported diverse valuation and approaches to governance, they aided transparency (Ananda, 2007; de Oliveira Leis et al., 2019; Rohde et al., 2006), accountability (Rohde et al., 2006), public acceptance (Ananda, 2007; Barquet & Cumiskey, 2018; de Oliveira Leis et al., 2019; Rohde et al., 2006), and resolved conflict (Boix & Zinck, 2008). They also supported ownership, engagement, and stewardship (Ioki et al., 2019).

Two stakeholder groups were most often involved: National/Sub-national Government (91.8 % of all studies) and resource users (86.9 % of studies). IPLCs (24.4%), civil societies and the private sector (both 24.6 %) and non-governmental organizations (16.4 %) were mentioned in a few studies, but youth, intergovernmental organizations, donors and the media were mentioned in fewer than 2% of studies. Dominant decisions-making contexts thus included public actors (e.g., government) and civil society actors making environmental decisions (88.6 % and 85.2%, respectively). Political decisions were also often mentioned, most strongly intersecting with public actors (77% of studies). Economic decisions were generally less frequently mentioned (55.8 % with public actors (9.8%, 13.2% and 14.8% respectively). These cases largely focused on the local/landscape scale (68.9% of studies), with decreasing numbers of studies with increasing scale (only 1.6% of studies focused on the international scale), and a moderate number (23%) of cross-scale valuation uptake studies.

Analyzing cross-scale initiatives

To more deeply investigate consequences of narrow and plural value approaches in and for policy, we assessed 46 initiatives that are active at global or large regional scales. We define initiatives as an agency, movement or organisation that works at a large regional or global scale and manages or

influences (e.g., funds) multiple projects on the ground. For inclusion in our list of initiatives, we had to ascertain that an agency, organization or movement:

- Oversees or (aims to) influence place-based projects, programmes, policy and decisions related to conservation of biodiversity and ecosystem services;
- Is active over large regional (e.g., continental/subcontinental) or global scales;
- Concern outcomes that link to biodiversity and ecosystem services;
- advocate knowledge and awareness regarding narrow, plural, or both values within its project activities;
- Has project and institutional documents available in the project domain

To identify initiatives, we used the following search criteria using Google Search, and screened them against the inclusion criteria: "Environmental project", "Ecosystem service valuation initiative", "Ecosystem service valuation project", "Biodiversity project", "Biodiversity initiative", "Nature Project", "Environmental Project". We also used "Environmental valuation initiative", and "Environmental valuation capacity building".

We also reviewed a database of policy support tools (IPBES, 2019a) to include any support tools that qualified under our initiative definition

Upon establishment of our initiative list, we conducted a superficial assessment on the inclusion of diverse value approaches in each initiative, based on the initiatives' mission, vision, "about", and project web pages.

We assessed each initiative against the following criteria (*Table SM6.1*).

- Value(s) being addressed (based on IPBES typology: holistic value, health value, economic value, socio-cultural value, biophysical value) explicitly addressed in the description of the initiative, its mission and vision, and description of projects/work
- Values typology (intrinsic, instrumental, relational)
- Diverse values present or not. We considered an initiative to have diverse value inclusion when more than one value type (relational, instrumental, and intrinsic) was addressed in the
- Whether or not the vision, mission and "about us" pages considered indigenous and local knowledge
- The IPBES region where an initiative was active (i.e., Africa, America, Europe-Central Asia, Asia-Pacific, Global)
- Dominant Decision Making context: Use, Conservation or Development
- Does it include Targeted Policy Themes?
- Does it speak to Grand Challenges?
- Goals/Objectives of Initiative
- Work area boundary (Glob, Reg, Nat, Sub-nat, Ecosystm, Sect)
- Decision makers targeted

Table SM6.1 Coding the key characteristics of global biodiversity initiatives

Characteristic	Coding	Coding explanation
Plural Values being addressed	Hc	Holistic value
	Heal	Health value
Based on IPBES' plural values typology	Econ	Economic value
	SoC	Socio-cultural value
	Віор	Biophysical value
Types of Values evident	Int	Intrinsic
	Ins	Instrumental value
	Rel	Relational value
Plural values explicitly addressed	Yes	Implicitly or explicitly addressed In the mission, vision or value statement of an initiative
in the mission, vision, value statement of initiatives	No	Not implicitly or explicitly addressed in the mission, vision or value statement of an initiative
ILK addressed	Yes/No	Is ILK mentioned in the mission/vision/value statement or descriptions of type of projects supported
Region/Scale	Glob	Global
	AP	Asia Pacific
Based on IPBES' Regions	Af	Africa
	EuCA	Europe and Central Asia
	Amrc	America
Dominant decision-making contexts	Conservation	Incl. protection and restoration, with the aim of supporting positive effects on nature
Based on Ch4 typology on the	Development	Understood in the traditional sense (mining, infrastructure, agricultural expansion, urbanization etc.) where the aim would be to avoid harm on nature
decision-making contexts	Use	Refers to access or management of nature, might include sharing/reallocation of benefits and rights consultation and involvement of the local stakeholders on resources use
Pet policies	BD	TBD post-CBD (0-2 coding)
	CGA	Corporate Green Accounting (0-2 coding)
	Edu	Education (0-2 coding)
Hot Potatoes	Trans	Rapid, large-scale transformations (e.g. large infrastructure, large plantation agriculture, rapid urbanization)
	AgF	Agriculture and food (extensification vs intensification, agro-ecological vs monoculture approaches, farmers, corporation svs IPLCs)
	Fish	Fisheries (small scale vs industrial, coastal vs oceans)
	PA	Protected areas for biodiversity as policy implementation measure for conservation management (and associated win/lose conflicts)
Goals/Objectives	Mainst	Awareness raising, mainstreaming
As advised in the projects' brief/webpage	CapStg	Capacity strengthening, knowledge improvement
	PolAdvoc	Inform, influence, or advocate policy, including trade-off

The *superficial* assessment of initiatives allowed us to assess how initiatives were generally aspiring to diverse value approaches, but to assess how diverse value approaches in policy were used to facilitate transformative governance, we assessed specific case studies that documented evidence of policy support for transformative governance (*Table SM6.2*).

To identify case study for each initiative, we used one of two approaches:

- 1. We searched the SCOPUS and Web of Science databases using the following search string: "[name of initiative]" AND "values" AND "policy" AND "transformative governance" OR "status quo" OR "institutional change" OR "capacity building" OR "integration" OR "adaptation"
- 2. Where an above search yielded no results, or papers that did not provide sufficient information or evidence, we also used case studies reported on the initiative's web page.

We balanced case studies by region, and specifically selected case studies that involved IPLCs. Generally, we selected case studies that presented more evidence on how policies could support transformative governance.

We assessed each of the example initiatives in the same way as we assessed the brightspot cases.

Name of initiative	Initiative Description	Website	General Notes
UNESCO	UNESCO is the United Nations Educational, Scientific and Cultural Organization. It seeks to build peace through international cooperation in Education, the Sciences and Culture. It operates across many different focal areas, including one on biodiversity. Within this theme, many different projects have been supported across the globe.		
WRI	World Resources Institute (WRI) is a global research organization that spans more than 60 countries. Natural resources are at the foundation of economic opportunity and human well-being. But today, we are depleting Earth's resources at rates that are not sustainable, endangering economies and people's lives. People depend on clean water, fertile land, healthy forests, and a stable climate. Liveable cities and clean energy are essential for a sustainable planet. We must address these urgent, global challenges this decade.		Info derived from: https://www.wri.org/our- work/project/eutrophication- and-hypoxia/education-and- outreach, https://www.wri.org/our- work/topics/forests, https://www.wri.org/our- work/topics/business and search tool
IIED	Our mission is to build a fairer, more sustainable world, using evidence, action and influence, working in partnership with others. The International Institute for Environment and Development (IIED) is an independent research organisation that aims to deliver positive change on a global scale.		Info derived from: https://www.iied.org/biodiversit y, https://www.iied.org/our- work, https://www.iied.org/governanc e, https://www.iied.org/policy- planning and search tool
	Wealth Accounting and the Valuation of Ecosystem Services (WAVES) is a World Bank-led global partnership that aims to promote sustainable development by ensuring that natural resources are mainstreamed in development planning and national economic accounts.	https://www.wa	Info derived from: https://www.wavespartnership. org/en/natural-capital- accounting and search tool

Table SM6.2. A list and short description of initiatives considered in the assessment

ValuES	ValuES is a global project that aids decision-makers in our partner countries in recognizing and integrating ecosystem services into policy making, planning and implementation of specific projects. We do this by developing instruments and training courses, providing technical advice and facilitating planning and decision-making processes. We also promote knowledge-sharing via regional workshops and participation in global discussion forums.		Info derived from: http://www.aboutvalues.net/six _steps/, http://www.aboutvalues.net/cas e_studies/ and search tool
Health and Environment Linkages Initiative (HELI)	HELI is a global effort by WHO and UNEP to support action by developing country policymakers on environmental threats to health. HELI encourages countries to address health and environment linkages as integral to economic development. HELI supports valuation of ecosystem 'services' to human health and well-being. Promoting better access to policy-relevant tools and knowledge about health and environment linkages is a third HELI activity	https://www.wh o.int/heli/en/	Info derived from: https://www.who.int/heli/risks/e n/,
The Economics of Land Degradation	The Economics of Land Degradation (ELD) Initiative is a global collaboration to evaluate and raise awareness on the economic benefits of land and land based ecosystems. Economics of land degradation describes a holistic approach for estimates of the total economic valuation of land and land based ecosystems. The ELD approach covers economic, social and environmental factors as well as the costs and benefits of sustainable land management.	<u>https://www.eld</u> <u>-initiative.org</u>	Info derived from: https://www.eld- initiative.org/en/what-we- do/thematic-focus/, https://www.eld- initiative.org/en/what-we- do/activities/ and search tool
European Business and Biodiversity Campaign (EBBC)	The European Business and Biodiversity Campaign (EBBC) is a partner consortium which supports companies from all industries in integrating biodiversity into their corporate management. Our key project LIFE Food & Biodiversity, funded by the EU LIFE programme, aims to improve the biodiversity performance of standards and labels within the food industry.	iness-	Info derived from: https://www.business- biodiversity.eu/en/biodiversity, https://www.business- biodiversity.eu/en/business and search tool
Natural Capital project	The Natural Capital Project pioneers science, technology, and partnerships that enable people and nature to thrive. We work to integrate the value nature provides to society into all major decisions. Our ultimate objective is to improve the well-being of all people and nature by motivating greater and more targeted natural capital investments.	pitalproject.stan ford.edu/who-	Info derived from: https://naturalcapitalproject.stan ford.edu/impact/where-we- work, https://naturalcapitalproject.stan ford.edu/sustainable-livable- cities and search
Cambridge conservation initiative	CCI seeks to transform the global understanding and conservation of biodiversity, and the natural capital it represents. Through this, we aim to secure a sustainable future for all life on Earth.	https://www.ca	Info derived from: https://www.cambridgeconserv ation.org/impact/case-studies/, https://www.cambridgeconserv ation.org/about/vision-strategy/ and search tool
Environmenta	The System of Environmental-Economic Accounting (SEEA) is a framework that integrates economic and environmental data to provide a more comprehensive and multipurpose view of the interrelationships between the economy and the environment and the stocks and changes in stocks of environmental assets, as they bring benefits to humanity.	https://seea.un.o	Info derived from: https://seea.un.org/content/appli cations-seea, https://seea.un.org/content/hom epage,
IUCN	IUCN is a membership Union composed of both government and civil society organisations. It harnesses the experience, resources and reach of its more than 1,300 Member organisations and the input of more than 15,000 experts. This diversity and vast expertise makes IUCN the global authority on the status of the natural world and the measures needed to safeguard it.		Info derived: https://www.iucn.org/theme and search

	1		
UNEP	The United Nations Environment Programme (UNEP) is the leading global environmental authority that sets the global environmental agenda, promotes the coherent implementation of the environmental dimension of sustainable development within the United Nations system, and serves as an authoritative advocate for the global environment. Our mission is to provide leadership and encourage partnership in caring for the environment by inspiring, informing, and enabling nations and peoples to improve their quality of life without compromising that of future generations.	https://www.une nvironment.org/	Info derived from: https://www.unenvironment.org /explore- topics/ecosystems/why-do- ecosystems-matter, https://www.unenvironment.org /explore- topics/ecosystems/about- ecosystems, https://www.unenvironment.org /explore- topics/ecosystems/what-we- do/accounting-ecosystems and search
OECD Environmenta l Directive	The Environment Directorate helps countries to design environmental policies that are both economically efficient and effective at achieving their environmental objectives.	https://www.oec d.org/env/	Info derived from: https://www.oecd.org/environm ent/resources/biodiversity/, https://www.oecd.org/env/resou rces/
OECD Capacity Directive	We promote coordinated, innovative international action to accelerate progress towards the Sustainable Development Goals (SDGs) in developing countries and improve their financing. Supporting the OECD Development Assistance Committee (DAC), we help set international principles and standards for development co- operation, and monitor how donors deliver on their commitments. Drawing upon the whole OECD expertise, we support members and partners with our data, analysis and guidance.		Info derived from: http://www.oecd.org/dac/
World Business Council for Sustainable Development (WBSCD)	WBCSD is a global, CEO-led organization of over 200 leading businesses working together to accelerate the transition to a sustainable world. We help make our member companies more successful and sustainable by focusing on the maximum positive impact for shareholders, the environment and societies. Our global network of almost 70 national business councils gives our members unparalleled reach across the globe. Since 1995. Together, we are the leading voice of business for sustainability: united by our vision of a world where more than 9 billion people are all living well and within the boundaries of our planet, by 2050.	https://www.wb	Info derived from: https://www.wbcsd.org/Progra ms/Food-and-Nature, https://www.wbcsd.org/Overvie w/Our-approach, https://www.wbcsd.org/Overvie w/Global-Network and search tool
Knowledge- Action Network	Our Knowledge-Action Networks (KANs) bring together innovators from academia, policy, business, civil society and more to address the world's most pressing sustainability challenges. KANs are collaborative frameworks that facilitate highly integrative sustainability research on some of today's most pressing global environmental challenges. Their aim is to generate the multifaceted knowledge needed to inform solutions for complex societal issues.	https://futureear th.org/networks/ knowledge-	Info derived from: https://futureearth.org/networks /knowledge-action-networks/ and search tool

Satoyama Development Mechanism	The Satoyama Development Mechanism (SDM) is one of the collaborative activities of the International Partnership for Satoyama Initiative (IPSI), established jointly by the Institute for Global Environmental Strategies (IGES), the United Nations University Institute for the Advanced Study of Sustainability (UNU-IAS) and the Ministry of the Environment of Japan (MOEJ) as a financing mechanism to facilitate the implementation of activities under the IPSI. These activities are expected to contribute to the retention and enhancement of biodiversity in socio- ecological production landscapes and seascapes (SEPLS) for achieving the Aichi Biodiversity Targets.	https://www.ige	Info derived from:https://www.iges.or.jp/en/ publication_documents/pub/tec hnicalreport/en/7029/SDM_A4 _report_web.pdf
REDD+	The Conference of the Parties (COP) invited Parties, relevant organizations and stakeholders to share outcomes, experiences and lessons learned from their efforts to reduce emissions from deforestation and forest degradation in developing countries (REDD+). The REDD+ Web Platform, mandated by the COP in decision 2/CP.13, was established with the purpose of making available such information on the outcomes of activities relating to REDD+, including activities on capacity building, demonstration activities, addressing drivers of deforestation and mobilization of resources.		Info derived from: https://redd.unfccc.int/fact- sheets.html, https://redd.unfccc.int/submissi ons.html?mode=browse-by- topic
Sector Network Natural Resources and Rural Development (SNRD) Asia	the Sector Network Natural Resources and Rural Development Asia (SNRD Asia and the Pacific) is part of GIZ's knowledge management initiatives in Asia working to raise the positioning of the technical capacities and expertise of German Development Cooperation in the region. Through sharing of expertise and networking, SNRD Asia and the Pacific currently links 58 projects and programmes in over 15 countries across Asia and the Pacific. Work in 7 thematic groups including agriculture, biodiversity, climate change, hills to ocean (H2O), Forest, green education, and Sustainable Development Goals in Asia and the Pacific.	https://snrd-	Info derived from: https://snrd- asia.org/workgroup/biodiversity /, https://snrd-asia.org/where- we-work/ and search tool
Workshop on the Economic	the United Nations Convention to Combat Desertification (UNCCD) is the sole legally binding international agreement linking environment and development to sustainable land management. The Convention addresses specifically the arid, semi-arid and dry sub-humid areas, known as the drylands, where some of the most vulnerable ecosystems and peoples can be found.	cd.int/conventio	Info derived from: https://www.unccd.int/actions/a ctions-around-world and search tool
Natural Capital Coalition	The Natural Capital Coalition is an international collaboration that unites the global natural capital community. Together we are creating a world that conserves and enhances natural capital. Together, the Natural Capital Coalition and the Social & Human Capital Coalition form the Capitals Coalition, a global collaboration transforming the way decisions are made by including the value provided by nature, people and society.	https://naturalca pitalcoalition.or g, https://naturalca pitalcoalition.or	Info derived from: https://naturalcapitalcoalition.or g/how-we-work/, https://naturalcapitalcoalition.or g/natural-capital-2/, https://naturalcapitalcoalition.or g/coalition- organizations/?mfilter=sciencea ndacademia

SwedBio	SwedBio is a knowledge interface at Stockholm Resilience Centre contributing to poverty alleviation, equity, sustainable livelihoods and social-ecological systems rich in biodiversity that persist, adapt and transform under global change such as climate change. SwedBio enables knowledge generation, dialogue and exchange between practitioners, policy makers and scientists for development and implementation of policies and methods at multiple scales.		Info derived from: https://swed.bio/focal- areas/themes/climate-change- ecosystems/, https://swed.bio/focal- areas/themes/values- governance/, https://swed.bio/focal- areas/themes/
Developing States (SIDS)	the SAMOA Pathway was the establishment of the SIDS Partnership Framework, designed to monitor progress of existing, and stimulate the launch of new, genuine and durable partnerships for the sustainable development of SIDS.	ledevelopment.u n.org/sids/partn	Info derived from: https://sustainabledevelopment. un.org/topics/sids, https://sustainabledevelopment. un.org/content/documents/2459 1SIDS_Partnerships_May_201 9_web.pdf, https://sidspartnerships.un.org/p artnerships/
IISD	The International Institute for Sustainable Development (IISD) is an award-winning independent think tank championing solutions to our planet's greatest sustainability challenges. Our vision is a balanced world where people and the planet thrive; our mission is to accelerate solutions that drive a global transition to fair economies, clean water and a stable climate. Our big- picture view allows us to address the root causes of some of the greatest challenges facing our planet today— ecological destruction, social exclusion, unfair laws and economic rules, a changing climate. Through research, analysis and knowledge sharing, we identify and champion sustainable solutions that make a difference. We report on international negotiations, conduct rigorous research, and engage citizens, businesses and policy- makers on the shared goal of developing sustainably.		Info derived from: https://www.iisd.org/topics/all- topics, https://www.iisd.org/projects, https://www.iisd.org/program/e conomic-law-and-policy and search tool
Global Environment Facility (GEF)	The Global Environment Facility (GEF) was established on the eve of the 1992 Rio Earth Summit to help tackle our planet's most pressing environmental problems. Since then, the GEF has provided close to \$20 billion in grants and mobilized an additional \$107 billion in co-financing for more than 4,700 projects in 170 countries. Through its Small Grants Programme, the GEF has provided support to nearly 24,000 civil society and community initiatives in 128 countries. the GEF is: -Strategically focusing its investments to catalyze transformational change in key systems that are driving major environmental loss, in particular energy, cities and food; -Prioritizing integrated projects and programs that address more than one global environmental problem at a time, building on the GEF's unique position and mandate to act on a wide range of global environmental issues; and -Implementing new strategies and policies to enhance results, including stronger engagement with the private sector, indigenous peoples, and civil society, and an increased focus on gender equality.		Info derived from: https://www.thegef.org/topics/b iodiversity, https://www.thegef.org/our- work, https://www.thegef.org/projects

WWF	For nearly 60 years, WWF has worked to help people and nature thrive. As the world's leading conservation organization, WWF works in more than 100 countries. At every level, we collaborate with people around the world to develop and deliver innovative solutions that protect communities, wildlife, and the places in which they live.		Info derived from: https://www.worldwildlife.org/i nitiatives/transforming- business, https://www.worldwildlife.org/i nitiatives/people-and- communities and search tool
USAID	USAID leads international development and humanitarian efforts to save lives, reduce poverty, strengthen democratic governance and help people progress beyond assistance. USAID transforms. It transforms families, communities, and countries – so they can thrive and prosper. Whether by preventing the next global epidemic, responding to a devastating earthquake, or helping a farmer access tools to grow her business.	id.gov/what-we- do; https://www.usa id.gov/who-we-	This info was focused on the relevant program of the initiative not the whole initiative. Info derived from: https://www.usaid.gov/biodiver sity/policy/conservation-is- development, https://www.usaid.gov/what- we-do/environment-and-global- climate-change, https://www.usaid.gov/what- we-do/environment-and-global- climate-change/knowledge- management-environment-and- natural, https://rmportal.net/biodiversity conservation-gateway and search function
GIZ/GTZ	A service provider in the field of international cooperation for sustainable development and international education work, we are dedicated to shaping a future worth living around the world. GIZ has over 50 years of experience in a wide variety of areas, including economic development and employment promotion, energy and the environment, and peace and security. The diverse expertise of our federal enterprise is in demand around the globe – from the German Government, European Union institutions, the United Nations, the private sector and governments of other countries. We work with businesses, civil society actors and research institutions, fostering successful interaction between development policy and other policy fields and areas of activity.	https://www.giz .de/en/aboutgiz/ profile.html	This info was focused on the relevant program of the initiative not the whole initiative. Info derived from: https://www.giz.de/en/ourservic es/environment_and_climate_c hange.html, https://www.giz.de/expertise/ht ml/60099.html, https://www.giz.de/expertise/ht ml/59889.html, https://www.giz.de/en/working withgiz/8343.html
World Bank	The World Bank Group has set two goals for the world to achieve by 2030: End extreme poverty by decreasing the percentage of people living on less than \$1.90 a day to no more than 3%; Promote shared prosperity by fostering the income growth of the bottom 40% for every country. The World Bank is a vital source of financial and technical assistance to developing countries around the world. We are a unique partnership to reduce poverty and support development.	rldbank.org/en/a	This info was focused on the relevant program of the initiative not the whole initiative. Info derived from: https://www.worldbank.org/en/ about/what-we-do, https://www.worldbank.org/en/t opic/environment, https://maps.worldbank.org/#, https://projects.worldbank.org/e n/projects-operations/project- theme?lang=en&page= and search tool

			Infor derived from:
Conservation	Conservation International works to spotlight and secure the critical benefits that nature provides to humanity. Since our inception, we've helped to protect more than 6 million square kilometers. Building upon a strong foundation of science, partnership and field demonstration, Conservation International empowers societies to responsibly and sustainably care for nature, our global	https://www.con	https://www.conservation.org/p laces, https://www.conservation.org/a bout/center-for-environmental- leadership-in-business, https://www.conservation.org/p riorities/working-with- governments, https://www.conservation.org/s earch?indexCatalogue=site- search&searchQuery=local%20 knowledge&wordsMode=AllW ords, https://www.conservation.org/p
International	biodiversity, for the well-being of humanity.	out	riorities/food
The Nature Conservancy	The Nature Conservancy is a global environmental non- profit working to create a world where people and nature can thrive. Founded at its grassroots in the United States in 1951, The Nature Conservancy has grown to become one of the most effective and wide-reaching environmental organizations in the world. Thanks to more than a million members and the dedicated efforts of our diverse staff and more than 400 scientists, we impact conservation in 79 countries and territories across six continents.	ure.org/en-	Info derived from: https://www.nature.org/en- us/about-us/who-we-are/; https://www.nature.org/en- us/about-us/who-we-are/our- science/; https://www.nature.org/en- us/about-us/who-we-are/how- we-work/ and search engine, https://www.nature.org/en- us/about-us/who-we-are/how- we-work/working-with- companies/companies- investing-in-nature1/finance/
	The Food and Agriculture Organization (FAO) is a specialized agency of the United Nations that leads international efforts to defeat hunger. Our goal is to achieve food security for all and make sure		Info derived from:
FAO	that people have regular access to enough high-quality food to lead active, healthy lives.	http://www.fao. org/about/en/	http://www.fao.org/themes/en/ and search among projects
UNESCO	UNESCO is the United Nations Educational, Scientific and Cultural Organization. It seeks to build peace through international cooperation in Education, the Sciences and Culture. It operates across many different focal areas, including one on biodiversity. Within this theme, many different projects have been supported across the globe.	https://en.unesc o.org/about- us/introducing- unesco	Info derived from: http://www.unesco.org/new/en/ natural-sciences/ioc-oceans/, http://www.unesco.org/new/en/ natural- sciences/environment/ecologica l-sciences/man-and-biosphere- programme/about-mab/, https://en.unesco.org/themes/co mmunication-and-information, https://whc.unesco.org/en/sustai nabledevelopment/
Deal / Biodiversity strategy and	It resets the Commission's commitment to tackling climate and environmental-related challenges that is this generation's defining task. It is a new growth strategy that aims to transform the EU into a fair and prosperous society, with a modern, resource-efficient and competitive economy where there are no net emissions of greenhouse gases in 2050 and where economic growth is decoupled from resource use.	.eu/info/strategy /priorities-2019- 2024/european- green-	Info derived from: https://eur- lex.europa.eu/legal- content/EN/TXT/?qid=1576150 542719&uri=COM%3A2019% 3A640%3AFIN

EU Business @ Biodiversity Platform	The EU Business @ Biodiversity Platform provides a unique forum for dialogue and policy interface to discuss the links between business and biodiversity at EU level. It was set up by the European Commission with the aim to work with and help businesses integrate natural capital and biodiversity considerations into business practices.		Info derived from: https://ec.europa.eu/environmen t/biodiversity/business/workstre ams/index_en.htm, https://ec.europa.eu/environmen t/biodiversity/business/our- members/index_en.htm, https://ec.europa.eu/environmen t/biodiversity/business/about- us/index_en.htm
health, environmental	The role of the Department of Public Health, Environmental and Social Determinants of Health (PHE) within the overall work of WHO is to promote a healthier environment, intensify primary prevention and influence public policies in all sectors so as to address the root causes of environmental and social threats to health. PHE develops and promotes preventive policies and interventions based on an understanding and an in-depth scientific analysis of the evidence base for environmental and social determinants of human health.		Info derived from: https://www.who.int/phe/health _topics/en/,
BirdLife International	BirdLife International is a global partnership of conservation organisations (NGOs) that strives to conserve birds, their habitats and global biodiversity, working with people towards sustainability in the use of natural resources. We are driven by our belief that local people, working for nature in their own places but connected nationally and internationally through our global Partnership, are the key to sustaining all life on this planet. This unique local-to-global approach delivers high impact and long-term conservation for the benefit of nature and people.	dlife.org/world	Info derived from: about us and search tool
Protected Planet (UNEP- WCMC & IUCN WCPA)	Protected Planet is the most up to date and complete source of information on protected areas, updated monthly with submissions from governments, non-governmental organizations, landowners and communities. Protected Planet enables a spectrum of users to use existing protected area data for information-based decision making, policy development, and business and conservation planning. The Protected Planet Initiative provides the world's policy- makers with the best possible information on protected areas and their value for conserving biodiversity and ecosystem services, and supporting human communities.	https://www.pro	Info derived from: thematic areas and search tool
the Rights of Nature (maybe also include the	The Global Alliance for the Rights of Nature (the "Alliance") is a global network of organizations and individuals committed to the universal adoption and implementation of legal systems that recognize, respect and enforce "Rights of Nature". Rather than treating nature as property under the law, the time has come to recognize that natural communities have the right to exist, maintain and regenerate their vital cycles.	ofnature.org/wh at-is-rights-of- nature/ https://www.ear thlawcenter.org/	Info derived from: https://therightsofnature.org/get -to-know-us/
Akwé Kon Guidelines (CBD)	Voluntary guidelines for the conduct of cultural, environmental and social impact assessment regarding developments proposed to take place on, or which are likely to impact on, sacred sites and on lands and waters traditionally occupied or used by indigenous and local communities.		Info derived from: https://www.cbd.int/traditional/ guidelines.shtml

Non-timber Forest Products Exchange Program	The NTFP-EP is a collaborative network of over 60 non- governmental organizations (NGOs) and community- based organizations (CBOs) working with forest-based communities to strengthen their capacity in the sustainable management of natural resources in the Philippines, India, Indonesia, Malaysia, Vietnam, and Cambodia.	https://ntfp.org/ who-we-are/	Info derived from: https://ntfp.org/what-we-do/
TEEB	The Economics of Ecosystems and Biodiversity (TEEB) is a global initiative focused on "making nature's values visible". Its principal objective is to mainstream the values of biodiversity and ecosystem services into decision-making at all levels. It aims to achieve this goal by following a structured approach to valuation that helps decision-makers recognize the wide range of benefits provided by ecosystems and biodiversity, demonstrate their values in economic terms and, where appropriate, capture those values in decision-making.	http://www.teeb web.org/about/	Info derived from: search tool, http://www.teebweb.org/areas- of-work/, http://www.teebweb.org/about/r elated-initiatives/
OPPLA	Oppla is the EU Repository of Nature-Based Solutions. It provides a knowledge marketplace, where the latest thinking on natural capital, ecosystem services and nature- based solutions is brought together. Its purpose is to simplify how we share, obtain and create knowledge to better manage our environment.	https://oppla.eu/ about	Info derived from: search tool, https://oppla.eu/case-study- finder (but note that the case study is not an example of how Oppla itself has affected transformative governance - simply one of the case studies)
EKLIPSE	Our goal is to bring scientists, policy-makers and others together to ensure that decisions that affect the environment are made with the best available knowledge.	https://www.ekl ipse- mechanism.eu/a _self- sustaining_mec hanism	Info derived from: https://www.eklipse- mechanism.eu/eklipse_outputs_ impact, https://www.eklipse- mechanism.eu/how_did_we_get _there and search tool
Natural Capital Initiative	Mission is to support decision-making that results in the sustainable management of our natural capital. We initiate and facilitate dialogue between people from academia, policy, business and civil society, and communicate independent and authoritative synthesis of scientific evidence.	uralcapitalinitiat	Info derived from search tool, https://www.naturalcapitalinitia tive.org.uk/publish/, https://www.naturalcapitalinitia tive.org.uk/links/
We Value Nature	We Value Nature is an EU Horizon 2020-funded three- year campaign (November 2018 –October 2021). The campaign is supporting businesses and the natural capital community to make valuing nature the new normal for businesses across Europe.	https://wevaluen ature.eu/About	Info derived from: search tool and https://wevaluenature.eu/About

Natural Capital Accounting – some key challenges

Natural capital accounting collects detailed statistical information on the environment and its interaction with the economy and integrates this information into the regular economic accounts of countries or businesses (Ruijs et al., 2019). The System of Environmental-Economic Accounting, Experimental Ecosystem Accounting (SEEA EEA) was launched in 2012 by the UN Statistical Office to provide international standards for national accounts, while the Natural Capital Coalition created standards for corporate natural capital accounting. The number of countries implementing a SEEA account became a core indicator of the SDG target 15.9 (by 2020, integrate ecosystems and biodiversity values into national and local planning, development processes and poverty reduction strategies, and accounts; (Ruijs & Vardon, 2018)). Natural capital accounting also entered strategic policy documents and frameworks (e.g., the European Union Biodiversity Strategy to 2020 (European Commission, 2011)), and SEEA Ecosystem Accounting has been declared an international statistical

standard in 2021), providing further impetus for implementation. Beside standards, targets and policy frameworks that emerged as a result of collaborative efforts of international and national agencies and experts from the academia and the Non-governmental Organizations sector, various knowledge and capacity development programmes are available to policy makers, corporations, non-governmental organisations, and statisticians therein, ranging from global initiatives like the WAVES or TEEB, to professional partnerships (e.g., We Value Nature) and place-based research and innovation projects (e.g., the MAIA EU Horizon2020 project). Not surprisingly, natural capital accounting is spreading all over the world: 69 countries implemented SEEA accounts in 2017 (UNCEEA, 2017), and the number keeps growing.

While the political will and institutional support behind natural capital accounting seem impressive and promising with high-level cross-sectoral integration through diverse policy applications (Ruijs et al., 2019), empirical examples highlight challenges for natural capital accounting being inclusive and integrative. Using natural capital accounting as a key input to public policy decisions is still rare, especially in developing countries, due to institutional constraints and the availability of relevant and high-quality data (Recuero Virto et al., 2018). Studies from South-East Asia and Africa show that the place-based implementation of natural capital accounting, as well as of other policy instruments related to the green economy, often ignores the local informal economy and culture, misses opportunities for participation, and leads to reproduced inequalities, bureaucratic repression and a maintained pressure on the environment (Fletcher et al., 2019; Milne & Mahanty, 2019; Smit & Musango, 2015).

Natural capital accounting – considering its theoretical underpinnings – follows an instrumentalizing ethic (i.e., perceiving nature as an economic asset which can be valued by, and compared to, economic indicators) and is operationalized predominantly via the implementation of other economic and financial policy instruments (e.g., payments for ecosystem services or REDD+). This ontological approach and its manifestation are restrictive to a capitalist worldview and instrumental values therein. However, due to lack of funds and robust institutional structures, such a mix of green economy policy instruments in many cases cannot make nature conservation more profitable than extraction (Fletcher et al., 2019). On the other hand, the ethical stance of natural capital accounting cannot accommodate local, traditional, indigenous and solidarity-based worldviews and realities that rely on a more pluralistic (intrinsic and relational) value approach, therefore it has a limited capability to support the transformation of the market logic (Boehnert, 2016; Sullivan, 2017).

Legal approaches to acknowledge the Rights of Nature

Since the 1970's an increase can be noted in constitutions addressing environmental protection with a growing trend to constitutionalize environmental rights and related responsibilities (which however may be hindered by the free-market ideology and law enforcement difficulties) (Gellers, 2012). According to Bosselmann (2015), ca. 130 constitutions incorporated environmental norms, and 92 recognize in particular the right to a healthy environment, however, only few may be enforceable.

Based on currently available knowledge gained from peer reviewed literature a search protocol was created and artificial intelligence was used to assess how national constitutions (available in English in a global and freely accessible database at <u>www.worldconstitution.org</u>) consider and apply the values of nature. Out of the 196 countries assessed, 194 contained at least one of the terms from our

bag-of-words, and the terms from our bag-of-words occurred 98.25 ± 114.26 (stdev) times per country (*Figure 6.1*). On average, there was an 29.64% \pm 88.16% increase in terms potentially associated with plural values of nature. 63 countries (63.63%) showed an increase in the number of (potentially) pluralistic terms, 22 countries (22.23%) showed no change, and 13 countries (13.13%) showed a decrease in the number of (potentially) pluralistic terms.

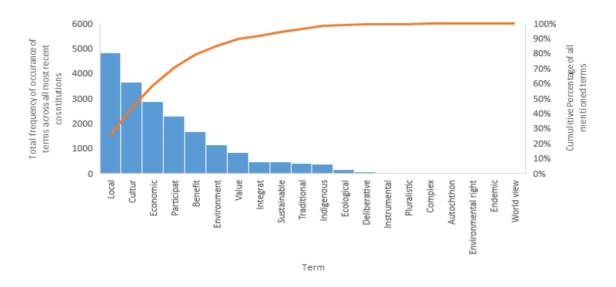


Figure 6.1. Number of key terms (potentially) associated with values of nature mentioned in national constitutions.

The number of terms associated with the values of nature in national constitutions varies hugely across regions (Figure 6.2). The theories of environmental rights' inclusion in constitutions may be region-specific, e.g., in the post-communist countries it may be due to previous environmental social rights; in Brazil it may be due to searching international community support (Gellers, 2012).

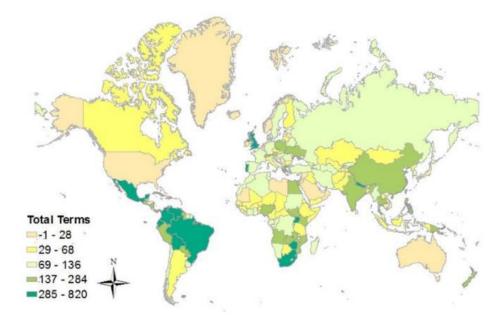


Figure 6.2. The total number of times terms (potentially) associated with values of nature was mentioned in constitutions.

The ecosystem services concept has gained traction amongst stakeholders involved in environmental regulation, yet little is known about the extent to which the ecosystem services concept has been translated into public policy. A global database of policy documents related to ecosystem services was created in 2016 and compiled through a crowdsourced process (GlobalDES available at <u>https://tinyurl.com/GlobalDES</u>) (Robinne et al., 2019). Learners participating in on-line course on ecosystem services were invited to seek in their home country or native language examples of formal legal documents (laws, ordinances) and informal documents (e.g., strategic documents) that explicitly mentioned "ecosystem services" or its translated equivalent. Learners then completed a shared spreadsheet that captured, amongst other sources of information, the type of document in which the ecosystem service concept appeared, the thematic approach, country, language, and the services that were captured. By early 2018 the database contained 136 relevant entries from 46 countries. More than 50% of entries addressed multiple ecosystem services or the link between biodiversity and ecosystem services. There was also a positive temporal trend towards inclusion of multiple ecosystem services, which suggests an accelerating adoption of the ecosystem services concept into policy.

The analysis of the database entries (2016-2018) revealed a surprising breadth of examples of mainstreaming. Indeed, the ecosystem service concept had been integrated in 46 countries, most of which (60%) were non-English speaking. A majority of examples captured cultural ecosystem services or multiple (cultural, regulatory, provision) services. Policy sectors covered within the database included biodiversity protection, finance, food, governance, human health, gray infrastructure, tourism, and water. The database is not conducive, however, to a formal analysis of how values were integrated into legal documents because it does not represent a systematic survey and because each contributor would have to be solicited to re-analyse the document or documents that they initially reported.

Locally Managed Marine Areas and other legal tools for marine protection

Nearly half of the human population resides in and depends on coastal regions for their well-being (Berkes, 2015). Yet, ocean, coastal, and fisheries management approaches have lagged behind other natural resource management arenas in incorporating human dimensions and plural values in decision-making (Cavanagh et al., 2016). Until recently, top-down, centralized, single-species, and commerce-focused approaches were the norm. The collapse of major fish stocks in the late 1900s, however, catalyzed a paradigm shift toward more holistic and participatory approaches (Berkes, 2015; Charles, 2017).

Nevertheless, the unique challenges of managing ocean, coastal and fisheries resources remain: in comparison to terrestrial resources, marine and fisheries ecosystems are often very large in scale, crossing national and even continental boundaries; they are among the richest and most complex ecological systems on the planet; and their most valued resource, namely fish, are mobile, stochastic in time and space, and difficult to observe and access. Moreover, coastal regions are characterized by dense, urbanizing, and highly pluralistic human populations that demand multiple uses for what are increasingly contested marine and coastal spaces. Finally, the fact that marine, coastal and fisheries resources are often common property, rather than assigned fixed ownership, poses special challenges for their governance (Armitage et al., 2017).

Faced with this level of complexity, the multiplicative threat of climate change, the ongoing loss of biodiversity, and persistent management failures, marine and coastal ecosystem managers have converged with their terrestrial colleagues in a world-wide movement to adopt integrated (multi-sectoral, often specifically multi-industry, including recreation and tourism), ecosystem-based (inclusive of multiple species and human well-being), social-ecological (interdependent systems-based), and participatory (stakeholder-engaged and cooperative) approaches to resource governance (Charles, 2017). Armitage et al. (2017) and Virapongse et al. (2016) have identified several principles across these approaches that are likely to foster positive outcomes and transformative change, with the caveat that local communities will know best which specific factors lead to change:

- Multi-level, collaborative, adaptive, and decentralized governance, founded on trust in stakeholders and the ability of local systems to self-organize
- Transdisciplinary, collaborative co-development of holistic understanding, requiring openness to plural knowledge systems and social-ecological systems thinking
- Long-term, bi-directional and deliberative engagement with stakeholders through the fostering of trust, social networks, social capital
- Participatory approaches, necessitating empowerment and capacity-building in stakeholders to self-organize and create transformative change
- Education and training of stakeholders for leadership and capacity-building in, e.g., community organizing, systems thinking, conflict resolution, and community-based management, trust-building, sense making, managing conflict, compiling and generating knowledge, fostering entrepreneurial activities
- Multi-disciplinary, multi-scalar, standardized, community-based monitoring

Reviews suggest that ecosystem services valuations are considered useful and have been applied to marine and coastal management, albeit more recently than in terrestrial systems (Cavanagh et al., 2016). Most such valuations are conducted via interviews and surveys and emphasize economic values more than social and ecological values (Lopes & Videira, 2018). Outcomes are variable, and, according to one analysis, their impact on policy "appears to be globally weak" (Marre et al., 2015). To more effectively support policy, Lopes & Videira (2018) propose an inclusive, deliberative, cyclic and multi-method process for assessing plural, shared and conflicting values, which aligns with nearly all of the best practices for managing social-ecological systems, summarized above. Mohamad et al. (2015) describe a transformative process of identifying shared values using an appreciate inquiry approach that *'involves the art and practice of asking questions that strengthen a system's capacity to apprehend, anticipate and heighten positive potential'*. Of particular importance are not only shared values about nature, but shared values of collaboration, volunteerism, and citizen volunteerism, such as those found in successful community-engaged conservation initiatives in Japan. A question is whether such "heartware" values would be shared in more pluralistic societies (Mohamad et al., 2015).

Simultaneously, inspired by the Millennium Ecosystem Assessment framework, there is a growing movement to assess how environmental change and management affect human well-being. In the field of ocean and coastal management, quantifiable social and ecological indicators are analyzed in integrated ecosystem assessments (Breslow et al., 2017). While not specifically valuation, such processes could effectively include the assessment of a wide range of values since, *'we value what*

we perceive to be contributing to our well-being' (Murray et al., 2016). For a similar reason—that well-being is in the eye of the beholder—participatory approaches and complementary qualitative assessments are often recommended. The most comprehensive framings cover a wide range of domains, from physical health to social relationships and spiritual values (Breslow et al., 2017). Even without assessments, such framings can serve as communication devices that expand managers' awareness of the social complexity of their work. However, reviews of the well-being outcomes of ocean, coastal, and fisheries management, including marine protected areas, suggest that indicators and data currently in use skew toward tangible and easily quantifiable indicators of economic and ecological well-being, leaving less quantifiable and cross-cutting domains of well-being such as cultural identity, mental health, sense of security, political voice, power and equity under- or unassessed (Ban et al., 2019; Breslow et al., 2017). Such findings parallel similar limitations in ecosystem services valuations.

Beyond quantitative assessments of plural values and human well-being, the understanding that stakeholder engagement increases local support and enriches knowledge underpins a flowering of participatory and decentralized approaches to ocean and coastal management, in marine spatial planning, marine protected areas, locally managed marine areas (LMMAs), learning networks, and other forums. These approaches emphasize integrating the academic, local and traditional knowledge of diverse stakeholders, as well as co-producing holistic knowledge, and promoting learning across a social network (Bell et al., 2020; Berkes, 2015). Again, while not specifically valuation, knowledge systems "are multi-dimensional and include information, values and understandings of resource and environmental management, governance structures, cultural values, social roles and responsibilities, and many other aspects of human-environment relationships, among other things" (Raymond-Yakoubian & Daniel, 2018) such that integrating multiple knowledge systems into decision-making effectively means integrating plural values to some degree. Learning networks can help participants develop shared visions and values, fill knowledge gaps and address management complexities, while building capacity, empowering leaders (especially women), mobilizing communities, supporting innovation, and nurturing transboundary collaborations, all of which help advance ecosystem sustainability (Bell et al., 2020).

Nevertheless, participatory processes do not necessarily account for power differences, inequities, and injustices among stakeholders, between stakeholders and governing bodies (Virapongse et al., 2016), as well as between different genders, of special concern in the heavily male-dominated ocean and fisheries realm (Gissi et al., 2018). In fact, participatory processes can serve to exacerbate inequities and conflicts (Biggs et al., 2015), although conflict may also represent productive democratic debate (Ban et al., 2019). Furthermore, few decision-making structures recognize their own embedded values (Breslow, 2015; van Assche et al., 2012; Virapongse et al., 2016), or that values about nature always have a history, and could be shaped by previous or ongoing governance regimes in "traumatic" ways, impacting the degree to which local stakeholders trust and willingly participate in any resource management process (van Assche et al., 2012). In addition, participatory processes that adhere to existing jurisdictional boundaries which conflict with the geographic scale of local values of nature complicate and limit the application of plural values to decision-making (Makey & Awatere, 2018). Finally, the primary value that indigenous communities place on sovereignty and responsibility to care for their traditional homelands and natural resources (often perceived as the embodiment of ancestors) governance renders settler governments' plural valuation processes irrelevant and a perpetuation of colonialism (Wilson & Inkster, 2018).

The most transformational existing initiatives account for these and other limitations by devolving decision-making authority to fully cooperative partnerships and co-management arrangements or directly to local resource-based communities. Logically, if one has direct and equal access to resource governance, one will be able to represent the full suite of one's own values in the decision-making process, including those values that are intangible and non-quantifiable. Similarly, if one has direct and equal access to the natural resources in question, one can benefit from them in multiple ineffable ways that cannot be accounted for in quantitative values or human-wellbeing assessments (Breslow, 2015). As Charles (2017) explains: 'Unlike governments that are structured in departments ('silos') with separate consideration of resource use, environmental considerations, social aspects, etc., local communities are inherently integrated, reflecting a reality that their quality of life depends jointly on multiple economic activities as well as on environmental quality and social well-being. This reality is reflected in the success of many LMMAs [Locally Managed Marine Areas]'.

Likewise, values are most meaningful and powerful when still embedded and expressed through the history, practices, languages, rights, and relationships they come from. This is especially true for indigenous communities. Genuine and transformative integration of indigenous values into coastal and fisheries management depends on a solid legal foundation, such as indigenous rights. For example, the Boldt Decision in Washington State upheld the right of local Native American tribes to fifty percent of harvestable fish and shellfish and serve as co-managers of the resource based on treaties signed with the United Stated of America government in 1855. This co-management arrangement, which represents one of the most powerful instances of indigenous co-governance in the world, is transforming the politics, values, and practices of resource management in the region toward an emphasis on restoring biocultural ecosystems and traditional foods. In the same region, shared core values helped mobilize an unlikely coalition of local tribes, religious organizations, and environmental groups to successfully block development of a coal export terminal—but notably, the decisive factor was the tribe's constitutionally-guaranteed treaty fishing right (Allen et al., 2017).

In fact, Wilson & Inkster (2018) argue that indigenous-led governance has the potential to better accommodate plural values for all since indigenous ontologies understand 'water as a living entity' deserving of many things. This involves thinking of water as kin with its histories enlivened in the landscapes it carves and the people it holds, past and future. They argue that, 'If it is the case that Indigenous water laws tend to centre on the inclusion of all relations and perspectives, governance arrangements built on Indigenous law and institutions might not only better reflect Indigenous epistemologies and ontologies, but perhaps also better serve the collective good through engaging pluralist perspectives rather than those rooted in scientific rationalism, private property, and individualism' (Wilson & Inkster, 2018).

Assessed international initiatives

Altogether 46 initiatives were reviewed based on a screening exercise of their websites (*Table 6.3 for details*). According to the screening's analysis, 85% of the initiatives engaged diverse value approaches. Of all the initiatives, 32 out of 46 had holistic values, 30 health values, 43 economic values, whilst 43 and 41 had social and biophysical values respectively.

Apart from one initiative, TEEB, there was no other initiative that expressed solely one value category (it should also be noted that TEEB's case descriptions mentioned more diverse values). At least two

types of values were addressed in all other cases and on average four values were to a certain extent referred to. Among the types of values, intrinsic values were the least referred to - 27 initiatives covered explicitly intrinsic values, whereas instrumental and relational values were more often reflected (43 and 42 respectively). Despite some initiatives having articulated diverse values in their introduction, they were still classified as not considering plural values due to the nature and work focus of the initiatives (e.g., SEEA, ValuES).

Initiatives can support the positive transformation in biodiversity management by influencing various decision-making contexts at the local and landscape, regional, and global scale. In the efforts to supporting biodiversity management, one initiative can address multiple decision-making contexts. The decision-making context shows that the development, use and conserve categories were all featured with 33 initiatives having development, 33 conserve and 38 use categories

Local knowledge was addressed in the case of 28 initiatives, while 91% of the initiatives were identified to explicitly address plural values in their vision, mission, and values statement

As regards to global challenges, agriculture was ranked as the key issue initiatives dealt with (40) followed by fisheries (36), protected areas (35) and rapid and large-scale transformations (29) (although intensive agriculture may overlap with the latter category in some instances).

In term of goals and objectives, all initiatives covered at least two of the categories (categories include mainstreaming, capacity building, policy/advocacy and stakeholder engagement) simultaneously with having the main focus on mainstreaming and capacity strengthening (although here as well, categories potentially broadly overlap). In terms of work boundary, the majority of the initiatives work globally or feed into global processes and also focus on certain ecosystems and specific sectors. Concerning target groups, all organisations have more than one target group and on average work with over five stakeholder groups with the most common being national governments, donors and businesses. 41 initiatives have policy focus, which includes direct policy formulation, policy recommendations, implementation or advisory activities although it is important to note that most of the policies are non-binding and most initiatives are contributing to recommendations rather than binding policy formulations.

Certain limitations of the screening exercise may be worth mentioning. Bias could have occurred because specifically plural values were sought (confirmation bias) and thus, relevant keywords were focused on. In terms of the methodology in selecting the values of certain categories, classification may be subjective to a certain degree and could have been based on personal interpretations. Overlaps of certain categories (e.g., health and economic values may be considered social values, as well) may have also caused some ambiguity. Depth of information search may have also influenced the results. Looking at the "about us" section may not have sufficiently covered the initiatives' relevant values. Therefore, often specific keywords (e.g., health, social) were used in the search tool to assess whether certain values are present or not. In some cases, this sort of search yielded more results and values were detected, which otherwise would have been omitted based on the introductory text. Where the initiative is focused on other topics not necessarily related directly to biodiversity (e.g., UNESCO, USAID, GIZ), both the organisation and its specific biodiversity/ecosystem services program were screened mostly because the organizations have relevant fields labelled under other programs (e.g., forestry, oceans or even social programs). Interpretations of definitions within certain categories (e.g.,

hot potatoes, work boundary, goals) may have caused overlaps or "double-accounting" (e.g., initiatives may have been considered under more than one category). Additionally, due to the somewhat superficial screening, certain aspects may have been overlooked.

Table 6.3. Major characteristics of the assessed initiatives (incl. intergovernmental organizations, funding agencies, programmes, partnerships and non-governmental organizations (NGO)s in alphabetical order).

Name	Main objectives	United Nations Region coverage	Diverse values	Specific values in focus	ILK inclusive	Key challenges addressed	Related policy areas	Governance scales	Stakeholders targeted
Akwé Kon Guidelines (CBD)	Mainstreaming	Global	Yes	Intrinsic, relational	Yes	Rapid land use change, protected areas	Biodiversity	Glob	Governments, academia
BirdLife International	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental	Yes	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity	Glob, reg, nat, ecosyst, sect	Civil society, NGOs, governments, businesses, academia, donors
Cambridge Conservation Initiative	Mainstreaming, Capacity building, Policy Advocacy	Global	Yes	Intrinsic, instrumental, relational	No	Protected areas	Biodiversity, Education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, governments, youth, businesses, academia, donors
Conservation International	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, governments, youth, businesses, academia, donors
Eklipse	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Europe & Central Asia	No	Instrumental, relational	Yes	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy	Reg, nat, sub- nat, ecosyst, sect	NGOs, governments, youth, businesses, academia
European Business and Biodiversity Campaign (EBBC)	Mainstreaming, Capacity building, Stakeholder Engagement	Europe & Central Asia	Yes	Instrumental, relational	No	Rapid land use change, agriculture and food	Biodiversity, green economy	Glob, reg, sect	Governments, businesses, academia, donors
EU Business @ Biodiversity Platform	Mainstreaming, Capacity building, Stakeholder Engagement	Europe & Central Asia	Yes	Instrumental, relational	No	Rapid land use change, agriculture and food, fisheries	Biodiversity, green economy	Glob, sect	NGOs, businesses, academia
EU Green Deal / Biodiversity strategy and Farm to fork strategy	Mainstreaming, Policy Advocacy	Global	Yes	Intrinsic, instrumental, relational	Yes	Rapid lan duse change, agriculture and food, fisheries, protected areas	Biodiversity, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, governments, youth, businesses, academia, donors
FAO	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Agriculture and food, fisheries, protected areas	Biodiversity, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, youth, governments, businesses, academia, donors

GIZ	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Agriculture and food, fisheries	Biodiversity, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, youth, governments, businesses, academia, donors
Global Alliance for the Rights of Nature	Policy Advocacy	Global	Yes	Intrinsic, relational	Yes	Protected areas	Biodiversity	Glob, ecosyst	Civil society, NGOs, youth, governments, businesses, academia
Global Environment Facility (GEF)	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, youth, governments, businesses, academia, donors
International Institute for Environment and Development (IIED)	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Asia-Pacific, Africa, Americas	Yes	Intrinsic, instrumental, relational	Yes	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy	Glob, nat, ecosyst, sect	Civil society, NGOs, youth, governments, businesses, academia, donors
International Institute for Sustainable Development (IISD)	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, youth, governments, businesses, academia, donors
IUCN	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, youth, governments, businesses, academia, donors
Knowledge-Action Network (KAN) of Future Earth	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, youth, governments, businesses, academia, donors
Natural Capital Coalition	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Africa	Yes	Instrumental, relational	No	Rapid land use change, agriculture and food, fisheries	Biodiversity, green economy	Glob, reg, nat, ecosyst, sect	NGOs, Governments, businesses, academia, donors
Natural Capital Initiative	Mainstreaming, Policy Advocacy, Stakeholder engagement	Asia-Pacific, Europe & Central Asia	Yes	Instrumental, relational	No	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy	Glob, reg, ecosyst, sect	NGOs, governments, businesses, academia
Natural Capital Project	Mainstreaming, Policy advocacy, Stakeholder engagement	Gobal	Yes	Instrumental, relational	Yes	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, youth, governments, academia, donors

Non-timber Forest Products Exchange Program	Capacity building, Policy advocacy, Stakeholder engagement	Asia-Pacific	Yes	Intrinsic, instrumental, relational	Yes	Agriculture and food, protected areas	Biodiversity, education	Reg, nat, ecosyst, sect	Civil society, NGOs, governments, businesses, donors
OECD Capacity Directive	Mainstreaming, Capacity building, Stakeholder Engagement	Global	Yes	Instrumental, relational	No	Rapid land use change, agriculture and food, fisheries	Biodiversity	Glob, nat, sub- nat, ecosyst, sect	Civil society, NGOs, governments, youth, businesses, donors
OECD Environmental Directive	Mainstreaming, Capacity building	Global	Yes	Instrumental, relational	No	Rapid land use change, agriculture and food, fisheries	Biodiversity, green economy	Glob, nat, sect	Civil society, governments, businesses, academia, donors
OPPLA	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental, relational	No	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Glob, reg, nat, sub-nat, ecosyst, sect	NGOs, governments, businesses, academia, donors
Protected Planet (UNEP- WCMC & IUCN WCPA)	Mainstreaming	Global	Yes	Intrinsic, instrumental, relational	No	Rapid land use change, fisheries, protected areas	Biodiversity, green economy	Glob, reg, nat, sub-nat, ecosyst	Civil society, NGOs, governments, businesses, academia
REDD+	Mainstreaming, Capacity building, Stakeholder Engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Agriculture and food, protected areas	Biodiversity	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, governments, businesses, academia, donors
Satoyama Development Mechanism	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Glob, sub-nat, ecosyst, sect	Civil society, NGOs, governments, businesses, academia, donors
Sector Network Natural Resources and Rural Development (SNRD) Asia	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Asia-Pacific	Yes	Intrinsic, instrumental, relational	Yes	Agriculture and food, fisheries, protected areas	Biodiversity, education	Reg, nat, sub- nat, ecosyst, sect	Civil society, NGOs, governments, businesses, academia, donors, youth
Small Island Developing States (SIDS) - Partnership Framework	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Asia-Pacific, Africa, Americas	Yes	Intrinsic, instrumental, relational	Yes	Agriculture and food, fisheries, protected areas	Biodiversity, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, governments, businesses, academia, donors, youth
SwedBio	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic	Yes	Agriculture and food, fisheries, protected areas	Biodiversity, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, governments, businesses, academia, donors, youth

System of Environmental Economic Accounting (SEEA)	Mainst, CapStrg	Global	No	Instrumental, relational	No	Agriculture and food, fisheries	Green economy	Glob, nat, ecosyst, sect	Governments
The Economics of Ecosystems and Biodiversity (TEEB)	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	No	Instrumental	No	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy	Glob, nat, ecsoyst, sect	Governments, businesses, academia, donors
The Economics of Land Degradation	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Asia-Pacific, Africa	Yes	Instrumental, relational	No	Rapid lan duse change	Biodiversity, education	Glob, reg, nat, sub-nat, ecosyst	Civil society, NGOs, governments, academia, donors
The Nature Conservancy	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, governments, businesses, academia, donors, youth
UN CCD (Workshop on Economic Valuation of Land & ES)	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Agriculture and food, protected areas	Biodiversity, education	Glob, nat, ecosyst, sect	Civil society, NGOs, governments, businesses, academia, donors, youth
UNESCO	Mainstreaming, Capacity building	Global	Yes	Intrinsic, instrumental, relational	Yes	Fisheries, protected areas	Biodiversity, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, governments, businesses, academia, donors, youth
UNEP	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, governments, businesses, academia, donors, youth
UN Health and Environment Linkages Initiative (HELI)	Mainstreaming, Policy advocacy	Asia-Pacific, Africa	Yes	Instrumental, relational	Yes	Rapid land use change, agriculture and food	Biodiversity	Glob, nat, sect	Governments, youth
USAID	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Instrumental, relational	Yes	Agriculture and food, fisheries, protected areas	Biodiversity, education	Glob, nat, sub- nat, ecosyst, sect	Civil society, NGOs, governments, businesses, academia, donors, youth
ValuES	Mainstreaming, Capacity building, Stakeholder Engagement	Global	No	Instrumental, relational	Yes	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, education	Glob, nat, sub- nat, ecosyst, sect	Governments, businesses, donors

We Value Nature	Mainstreaming, Capacity building, Stakeholder Engagement	Europe & Central Asia	Yes	Instrumental, relational	No	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Sect	Academia
Wealth Accounting and Valuation of Ecosystem Services (WAVES)	Mainstreaming, Policy advocacy, Stakeholder engagement	Global	Yes	Instrumental, relational	No	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy	Glob, nat, ecosyst	Governments, businesses
World Bank	Mainstreaming, Capacity building, Stakeholder Engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, governments, businesses, academia, donors, youth
World Business Council for Sustainable Development (WBSCD)	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Instrumental, relational	No	Rapid land use change, agriculture and food, protected areas	Biodiversity, green economy, education	Glob, nat, ecosyst, sect	Governments, businesses, academia, donors
World Health Organization (public health, env.tal and social determinants of health)	Capacity building, Policy advocacy	Global	No	N.d.	No	Rapid land use change, agriculture and food, fisheries	n.d.	Glob, nat	Civil society, NGOs, governments, academia
World Resource Institute (WRI)	Capacity building, Policy advocacy, Stakeholder engagement	Asia-Pacific, Africa, Americas	Yes	Instrumental, relational	Yes	Rapid land use change, agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Sub-nat, ecosyst, sect	Governments, businesses, academia, donors
WWF	Mainstreaming, Capacity building, Policy Advocacy, Stakeholder engagement	Global	Yes	Intrinsic, instrumental, relational	Yes	Agriculture and food, fisheries, protected areas	Biodiversity, green economy, education	Glob, reg, nat, sub-nat, ecosyst, sect	Civil society, NGOs, governments, businesses, academia, donors, youth

For each of these initiatives a literature search was conducted to identify place-based case studies. 43 case studies were identified from the 46 initiatives spanning across the national and local scales. These were allocated into ten different groups based on similarities of cases in relation to transformation towards a governance more accommodative to diverse values. The description below explains each typology in more detail.

<u>Group 1.</u> Case studies that directly demonstrate or mainstream the initiatives framework and approach through pilot activities:

- The Economics of Land Degradation Farmers Managed Natural Regeneration. The discussion on values is mainly limited to economic values (opportunity cost, cost-benefit analysis, the economics of farming, etc.; Westerberg et al., 2019).
- Wealth accounting and valuation of ecosystem services and the system of environmentaleconomic accounting. They promote the integration of environmental or ecosystem services values into economic accounting systems (Nishimwe et al., 2020; Onofri et al., 2017).
- World Resources Institute promotes the utilization of forest data to support evidence-based policy and decision-making. The case study illustrated the use of forest data to inform public (forest management plan, logging quota, forest patrol plan, law enforcement, etc.) and private sectors (sourcing guideline, supply-chain management plan) decision-making related to forest management (Neeff et al., 2020).
- Future Earth, a research programme on sustainability that mainstreams the co-production of knowledge as their main research approach. A form of engagement supported by Future Earth, in particular, is that through Knowledge-Action Networks, which are new initiatives intended to bring together researchers and extra-scientific actors in responding to key societal challenges, and to strengthen the link between scientific knowledge and societal change (van der Hel, 2016).
- The Natural Capital project encourages stakeholder engagement and trade-off analysis between economic-environment-social interests in developing an integrated coastal zone management plan in Belize (Arkema et al., 2015).
- FAO city region food system promotes transformation towards sustainability by transforming the food system. The city region food system requires political will and the creative use of available policy and planning instruments (infrastructure and logistics; public procurement; licences; land use planning, etc.); the involvement of different government departments and jurisdictions (local and provincial); new organizational structures at different scales (municipal, territorial, etc.); and cross-sectoral approach with food as the nexus (transport, health, waste, land-use planning, employment, climate change adaptation, etc.; Dubbeling et al., 2017).
- European Union Green Deal's presents the "mainstreaming agricultural innovation system" concept to promote agricultural innovation as the means to support food system transformation. The mission-oriented agricultural innovation system approach can be utilized to map agricultural innovation and understand the pathways for food system transformation. (Klerkx & Begemann, 2020). No direct link between the initiatives and case study in the publication.

• An Ecosystem Services assessment on the territory of the national park (Parc National de Taï, Côte d'Ivoire) was carried out based on the TEEB stepwise approach with the main aim to increase funds and get potential donors for the park (Berghöfer et al., 2018).

<u>Group 2.</u> Case studies that show the role of initiatives as facilitator and stimulator of biodiversity governance transformation, as reported by the initiatives below:

- Conservation International facilitated local and indigenous stakeholders to co-develop the action plan on payment for ecosystem services in Rancheria River Basin in Columbia. The local government and actors have been trying to promote the payment for ecosystem services scheme, but the presence of external actors is sometimes required. Given that Conservation International was accepted as a neutral mediator in the payment for ecosystem services planning process, they were able to integrate the multiple and diverging interests of upstream and downstream communities, including the indigenous groups, and develop a plan accepted by both communities. The presence of external intermediaries helped to transform the watershed governance through the working payment for ecosystem services scheme (ValuES, 2014).
- In Belize, the national Belizean coastal zone management authority received support from the natural capital project in co-developing the integrated coastal zone management plan. The integrated coastal zone management plan was co-developed together with the local stakeholders' in different coastal regions. The project facilitated the collaborative planning process that included trade-offs between environmental, social and economic values of the local stakeholders (Arkema et al., 2015).
- The Global Environmental Fund supported a project in the Pacific region named communitybased marine monitoring toolkit. The community monitoring toolkit provides information that can be used by communities for local decision-making, and by governments to inform national initiatives. Data can also be scaled-up for regional assessments. The toolkit was developed in response to community needs using a participatory approach and implemented through a series of training workshops with local environmental leaders. The impacts of toolkit implementation, such as increased local awareness, implementation of local management actions, and improved local monitoring motivation, seem depend on the presence of local champions and transformative impacts appear to be limited (Johnson et al., 2020).
- Deutsche Gesellschaft für Internationale Zusammenarbeit implemented a project in Laos that aims to support the management authority of the national protected area of the Hin Nam No National Protected Area, as well as the local communities to conserve biodiversity. Support is given by promoting a co-management approach (Vongkhamheng, 2014). Another project of Deutsche Gesellschaft für Internationale Zusammenarbeit in Laos relates to land use planning and the use of the science–practice–policy interface to facilitate the interactions between scientists, planners and villagers, in designing future landscapes (Castella et al., 2014).
- Various decision-making support mechanisms provided by donors and regional cooperation (i.e., EU EKLIPSE and ESMERALDA mechanism, see point 6).
- The HELI assessment (World Health Organization) consisting of chemical input, pollution risk and cost benefit analysis assessments of livestock considering health, economic and

environmental values - fed into various agricultural and environmental policies of Uganda, mostly centring around livestock.

<u>Group 3.</u> Case studies that demonstrate the initiatives' values framework on biodiversity governance using example at the local scale without any intervention from the initiatives. Instead, the cases used by the initiative to illustrate the implementation of their concept or approach at the local level. These types of indirect links between initiatives and case studies show the potential of initiatives to stimulate transformative change:

- Adaptive co-management through collaboration and co-learning is promoted to strengthen biosphere stewardship. Biosphere stewardship is an ongoing and continuous approach to improve collective action and the overall governance of biosphere reserves, which is supported by UNESCO to maintain and enhance the valuable global natural and cultural sites (Plummer et al., 2020).
- The world heritage concept in the case of Pimachiowin Aki world heritage site in Canada helped to increase the acknowledgement of different stakeholders, including the indigenous tribe and different provincial governments, regarding the importance of the site and requirements to improve its management. The designation as world heritage site supports the transformation of governance through a multi-stakeholder platform called Pimachiowin Aki Corporation that facilitates its co-management.
- One Health framework. Supported by the World Health Organization, it addresses disease problems that involve complex interactions between people, animals and the environment. The framework intervention targets animal vaccination to support human health and livelihood. The intervention highlights the need for building cross-sectoral governance and enhancing community trust, engagement and ownership to support technical aspects of vaccination aiming for the benefits of human health and livelihoods. Although instrumental values (health) still predominantly motivate the effort in this framework, the framework's impact can be intrinsic and relational (trust, engagement, equitability for less advantaged groups, equitability for community and other species) (Cleaveland et al., 2017).
- Specific pesticide policy cases in France and Denmark show how specific pesticide taxations were implemented due to the chemicals' high impacts on biodiversity, and how relevant policies, taxations and compensation payments to farmers contributed to mitigate pollution (OECD, 2020).
- UNCCD's Land Degradation Neutrality concept was found in numerous Kenyan laws, policies and spatial plans. UNCCD and its programmes present an option to focus more on healthy soils and land, which largely contribute to live hood and economic activities in Kenya (Gichenje et al., 2019).

<u>Group 4.</u> Case studies that promote collaboration and co-management with local actors to address diverse values of biodiversity to transform governance, many initiatives' case studies promote the improved engagement of grass-root actors and local stakeholders, through a participatory, deliberative, and adaptive co-management approach at the local level (Arkema et al., 2015; Castella et al., 2014; Johnson et al., 2020; Jupiter et al., 2014; ValuES, 2014; van der Hel, 2016):

- Implementation of a community-based marine monitoring toolkit in Vanuatu, Pacific addressed to the context. The monitoring toolkit was designed and implemented based on a participatory process, addressing community needs and concerns (Johnson et al., 2020).
- Based on a case study of biodiversity conservation policy in the Pacific region, brightspots of conservation implementation in the Pacific region were identified for three contexts, namely: regional initiatives, knowledge-sharing networks, and community-based management (Jupiter et al., 2014).
- A comparative analysis of 12 REDD+ projects in the Madre de Dios watershed of Southeastern Peru explored how local initiatives link with the emerging national REDD+ design in Peru by focusing on the founding and organizational strategies of the different projects. The results highlight the importance of hybrid institutional logics, the key role played by highly networked individuals in pushing project-level REDD+ forward, and the important role of understanding a fundamental innovation such as the REDD+ carbon credit value chain. In addition to these aspects, the development of standards, technologies and other norms are complementary to the primary task of defining roles and interests of actors along the chain (Hajek et al., 2011).
- As part of the participatory development of a payment for ecosystem services scheme in Columbia, Conservation International and local authorities found that economic and ecological value (i.e., opportunity costs, willingness to pay, and water utilization purpose) are not the only significant influence on actions of the local communities involved. The opportunity to improve the socio-economic situation of both communities in the downstream and upstream, including the ethnic group, could be an incentive to improve biodiversity management. As a result, payment for ecosystem services action plan also addresses the socio-economic needs through local development activities (ValuES, 2014).
- In Xinshe Village, Taiwan, two indigenous ethnic groups –Kavalan and Amis tribes have farmlands located in the same watershed between the national forests and the Pacific Ocean. Resource conflicts over water usage, hunting and fishing rights have happened from time to time between the tribes. In the past, different governmental agencies worked separately with each settlement based on their sectoral goals. A new co-management strategy was proposed by the local actors, with support from the local tribes, to improve the watershed management through collaborative planning and actions. A new multi-stakeholder cross-sector platform was established in 2017 to promote collaborative planning and management with promising results (Lee et al., 2019). This case study was also documented as part of the Satoyama Initiative knowledge management activity (IPSI case study).
- Co-production of knowledge in the climate and weather forecast system in Ethiopia, between traditional/indigenous and modern weather and climate forecast systems. The co-production was mediated by the local and customary institutions (Balehegn et al., 2019).
- Co-management of seagrass conservation in Australia and New Zealand, which requires involvement of the local community and partnership with the traditional and indigenous groups (Tan et al., 2020).
- At the global governance level, the multi-actor dialogue seminars approach employed in the case study of Quito dialogues was built on social learning theories as a means for personal, relational and systemic transformation for enhanced environmental governance. Using Quito dialogues as the case study, the result suggests that the Quito dialogues contributed to transformative social learning in the context of the Convention on Biological Diversity. The

case study concluded that there is a need for better dialogue and learning across cultures, interests and various actor groups to support positive transformation in environmental governance (Schultz et al., 2018). In this case, the recommendation to "Address Diverse Values" is directed towards personal communication value rather than biodiversity values.

<u>Group 5.</u> Case studies that demonstrate the empowerment and integration of local and indigenous people, values, and knowledge in biodiversity governments:

- In the World Heritage site of Pimachiowin Aki, Canada, the local actors are committed under the Pimachiowin Aki Corporation, a multi-stakeholder platform for "establishing knowledge systems dialogue between indigenous people and western scientists in land management and planning", and "intergenerational and inclusive approaches to participation in communities' dialogue" (Pimachiowin Aki).
- Community-based marine monitoring toolkit, in which the information from the results of monitoring was used by the local community and other decision-makers to support the local management plan (Johnson et al., 2020).
- Akwé: Kon Voluntary Guidelines is a protocol developed by the Convention on Biological Diversity for cultural, environmental, and social impact assessment to be applied in regions inhabited or used by indigenous peoples. The case study reviews the utilisation of the Akwé: Kon Guidelines for land-use planning in Finnish Sámi communities. The incorporation of traditional local knowledge into land use planning faced practical challenges, such as a mismatch between the oral narrative nature of traditional local knowledge and the planning systems currently in use, and pointed to a need to make traditional local knowledge more spatially explicit (Markkula et al., 2019).
- The combination of traditional-indigenous knowledge with the modern system on climate and weather forecast for pastoralists in Ethiopia. The bridging is conducted by triangulation of information from two knowledge system by the traditional village institution, which will decide the final information and local management actions (Balehegn et al., 2019).
- Non-timber forest product certification process involving traditional indigenous knowledge to close the knowledge gap required for certifying timber products of the local community (Shanley & Stockdale, 2008).
- Involving local communities in reforestation using the IISD framework to aid building resilience, adaptation and sustainable agriculture practices in Bolivia (Robledo et al., 2004).

Group 6. Case studies that promote indigenous rights and justice in managing biodiversity:

• The recognition of rivers as legal entities in New Zealand (Whanganui River) and Colombia (Río Atrato). The case study concluded that the improved outcomes of the river governance, will depend on the surrounding institutional framework designed to engender enforceability on the legal and cultural interests in the river (Macpherson & Ospina, 2020).

<u>Group 7.</u> Case studies that demonstrate knowledge co-production mechanism to support decisionmaking in biodiversity management:

• Co-production of knowledge involving traditional and indigenous knowledge and modern climate and weather forecast system in Ethiopia (Balehegn et al., 2019).

- EKLIPSE decision-support mechanism for European Union Biodiversity and ecosystem services conservation policy. The EKLIPSE mechanism focuses on the engagement of relevant actors (scientists-policy makers-society) in decision-making, and strengthening the networks of scientists and other knowledge holders (Watt et al., 2019).
- Future Earth addresses the (western knowledge-based) researchers as well as extra-scientific actors perspectives in sustainability research (van der Hel, 2016).
- World Resources Institute Global Forest Watch data (mostly biophysical data, instrumental values) for public and corporate decision-making in supply-chain management plan (Neeff et al., 2020).
- The combination of traditional-indigenous knowledge with the modern system on climate and weather forecast for pastoralists in Ethiopia. The bridging is conducted by triangulation of information from two knowledge system by the traditional village institution, which will decide the final information and local management actions (Balehegn et al., 2019).
- Within the European Union Business @ Biodiversity Platform, the case of the ESMERALDA project aims to support European Union member states in the mapping and assessment of ecosystem services as part of implementing the European Union Biodiversity Strategy. ESMERALDA's key tasks included network creation, stakeholder engagement, enhancing ecosystem services mapping and assessment methods across various spatial scales and value domains, work in case studies and support of European Union member states in the mapping and assessment of ecosystem services implementation (Burkhard et al., 2018).
- Non-timber forest product certification process involving traditional indigenous knowledge to close the knowledge gap required for certifying timber products of a local community (Shanley & Stockdale, 2008).
- An IIED-designed project researched local fishermen through focus group discussions and interviews with 800 households participating. The main aim was to collect data for conservation recommendations about the hilsa population through sanctuaries, fishing season regulation and species management plan (Bladon et al., 2019).

<u>Group 8.</u> Case studies that demonstrate the collaboration with private sectors in transformative biodiversity management:

- World Resources Institute Global Forest Watch data for corporate decision-making in supplychain management plan (Neeff et al., 2020).
- The voluntary cacao sustainability standards for farmers in West Africa promoted by UTZ. The voluntary certification has mixed and modest outcomes based on the assessment of various social, economic, and environmental indicators. However, the implementation of voluntary certification created new potentials to improve the sustainability of cacao value chains. Other policy interventions beyond farm level are required to promote transformative governance, such as strong cooperatives, training, and societal partnership between farmers, cocoa value chain players, and cocoa industries to implement sustainable cocoa initiatives (Ingram et al., 2018).
- Non-timber forest product certification process involving traditional indigenous knowledge to close the knowledge gap, required for certifying timber products of the local community (Shanley & Stockdale, 2008).

- The example of We Value Nature shows how an international cement company called for assessing social-economic and environmental values with a natural capital assessment methodology, which resulted in adaptive corporate level policies (We Value Nature, 2021).
- In the TEEB case study, the ES assessment's primary focus was to target donors and raise funds for the national park through a participatory process with a wide-ranging inclusion of stakeholders (Berghöfer et al., 2018).
- A natural capital assessment focusing on the Natura cosmetics company's value chain provided data for supporting decision-making at the corporate level on increasing efficiency of resource use (esp. water) and reducing GHG emission (*Natural Capital Protocol: Case Study for Natura*, 2021).
- Incorporating biodiversity aspects for sustainability certification of coffee producing and working with local farmers increased biodiversity actions at Costa Rican farms (Food and Biodiversity, 2021).
- The company BASF, based on the French legislation Grenelle de l'environnement, aimed to contribute to create green infrastructure in agricultural areas with local stakeholders. In 2009, on 400 km2 in the Champagne-Ardenne region, the development of a three-phase approach was launched to identify elements and tools for locals, mostly for farmers (e.g., bee fallows, hedges, using local crops), and create fiscal and biodiversity indicators to measure outcomes (WBCSD, 2012).

<u>Group 9.</u> Case studies that demonstrate the urgency of providing capacity building and tools for social-learning and decision-making in biodiversity governance:

- Transformative cases involving capacity building through social learning.
- Land use planning through the social learning process in Laos (Castella et al., 2014).
- Integrated coastal zone management process in Belize (Arkema et al., 2015).
- Future earth co-production of knowledge (van der Hel, 2016).
- Pimachiowin Aki case study (Pimachiowin Aki).
- Cases of tools for decision-making support (decision-support tools).
- Community-based marine monitoring toolkit, in which the information from the results of monitoring was used by the local community and decision-makers to support local management plan (Johnson et al., 2020).
- Birdlife's marine species tracking tool to inform conservation policy and management (Hays et al., 2019).
- Protected Planet provides data on protected areas, which was recommended to be used as global standardized data for e.g., global IPBES assessments (Brooks et al., 2016).

<u>Group 10.</u> Case studies that demonstrate Integration of diverse values for urban biodiversity management transformation:

- Utrecht nature-based solution (OPPLA)
- FAO city food region
- The Natural Capital Initiative (NCI) is a platform that promotes relevant events and facilitates exchange. The WBCSD/Arcadis case study presented at the NCI event about Birmingham Smithfield Development Plan Zero emission sustainability plan incorporates ecosystem

services (incl. aesthetic and recreation), human health and well-being aspects. The plan includes a cost benefit analysis about green roofs and rain gardens vs more traditional methods and suggest using these options, while incorporating biodiversity net increase and other "green" solutions (e.g., permeable pavement, green walls, green roofs) into the development plan.

Values addressed No of case studies		Initiatives' case study				
Only Instrumental value 13		WRI; WAVES; SEEA; World Bank; FAO; HELI; EBBC; OECD Environmental Directive; WBCSD; UNCCD; Natural Capital Coalition; TEEB; We Value Nature				
Only Intrinsic value 0		N/A				
Only Relational value 1		SwedBio				
Relational & Intrinsic 2		Global Alliance for the Rights of Nature; Akwé Kon Guidelines (CBD)				
Instrumental & Intrinsic 4		SIDS; Birdlife; CI; USAID				
Instrumental & 15 Relational		ValuES; ELD; GEF; GIZ; Natural Capital project; UNESCO TLK climate- weather; WWF Natural Capital project; TNC; EU Green Deal; NTFP Exchange; OPPLA; REDD+; IIED; IISD				
Instrumental & Intrinsic & Relational	10	SNRD; UNESCO-biosphere stewardship; IUCN; KAN; SDM; EU Business@Biodiversity Platform; WHO Public Health; EKLIPSE; UNDP-UNEP PEI; Natural Capital Initiative				
No relevant case studies found or no values indicated	3	Cambridge Conservation Initiative; OECD Capacity directive; Protected Planet (UNEP-WCMC and IUCN-WCPA)				

Table 6.4. Types of Values addressed in the initiatives' case studies. Acronyms refer to initiatives documented above.

References

- Allen, M., Bird, S., Breslow, S., & Dolšak, N. (2017). Stronger together: Strategies to protect local sovereignty, ecosystems, and place-based communities from the global fossil fuel trade. *Marine Policy*, 80, 168-176. https://doi.org/10.1016/j.marpol.2016.10.019
- Ananda, J. (2007). Implementing Participatory Decision Making in Forest Planning. *Environmental Management*, 39(4), 534-544. https://doi.org/10.1007/s00267-006-0031-2
- Arkema, K. K., Verutes, G. M., Wood, S. A., Clarke-Samuels, C., Rosado, S., Canto, M., Rosenthal, A., Ruckelshaus, M., Guannel, G., Toft, J., Faries, J., Silver, J. M., Griffin, R., & Guerry, A. D. (2015). Embedding ecosystem services in coastal planning leads to better outcomes for people and nature. *Proceedings of the National Academy of Sciences*, 112(24), 7390-7395. https://doi.org/10.1073/pnas.1406483112
- Armitage, D. R., Charles, A. T., & Berkes, F. (Eds.). (2017). *Governing the coastal commons: Communities, resilience and transformation*. Routledge, Taylor & Francis Group.
- Balehegn, M., Balehey, S., Fu, C., & Liang, W. (2019). Indigenous weather and climate forecasting knowledge among Afar pastoralists of north eastern Ethiopia: Role in adaptation to weather and climate variability. *Pastoralism*, 9(1), 8. https://doi.org/10.1186/s13570-019-0143-y
- Barquet, K., & Cumiskey, L. (2018). Using participatory Multi-Criteria Assessments for assessing disaster risk reduction measures. *Coastal Engineering*, 134, 93-102. https://doi.org/10.1016/j.coastaleng.2017.08.006
- Berkes, F. (2015). Coasts for People (1st ed.). Routledge. https://doi.org/10.4324/9781315771038
- Berghöfer, A., Berger, J., Koné, I., Tröger, U., & Caspary, H. U. (2018). Ecosystem services for conservation finance: Applying the TEEB stepwise approach in Côte d'Ivoire. *Biodiversity* and Conservation, 27(11), 2897-2917. https://doi.org/10.1007/s10531-018-1576-0
- Biggs, R., Schlüter, M., & Schoon, M. L. (2015). An introduction to the resilience approach and principles to sustain ecosystem services in social–ecological systems. En R. Biggs, M. Schluter, & M. L. Schoon (Eds.), *Principles for Building Resilience* (pp. 1-31). Cambridge University Press. https://doi.org/10.1017/CBO9781316014240.002
- Bladon, A., Myint, K. T., Ei, T., Khine, M., Aye, P. T., Thwe, T. L., Leemans, K., Soe, K. M., Akester, M., Merayo, E., & Mohammed, E. Y. (2019). *Spawning seasonality of hilsa* (*Tenualosa ilisha*) in Myanmar's Ayeyarwady Delta (p. 36) [Working Paper]. IIED. http://pubs.iied.org/16661IIED
- Boehnert, J. (2016). The Green Economy: Reconceptualizing the Natural Commons as Natural Capital. *Environmental Communication*, *10*(4), 395-417. https://doi.org/10.1080/17524032.2015.1018296
- Boix, L. R., & Zinck, J. A. (2008). Land-Use Planning in the Chaco Plain (Burruyacú, Argentina): Part 2: Generating a Consensus Plan to Mitigate Land-Use Conflicts and Minimize Land Degradation. *Environmental Management*, 42(2), 200-209. https://doi.org/10.1007/s00267-008-9114-6
- Bosselmann, K. (2015). Global environmental constitutionalism: Mapping the terrain. *Widener Law Review*, 21(171), 16.
- Brady, M., Sahrbacher, C., Kellermann, K., & Happe, K. (2012). An agent-based approach to modeling impacts of agricultural policy on land use, biodiversity and ecosystem services. *Landscape Ecology*, 27(9), 1363-1381. https://doi.org/10.1007/s10980-012-9787-3
- Breslow, S. J. (2015). Accounting for neoliberalism: "Social drivers" in environmental management. *Marine Policy*, *61*, 420-429. https://doi.org/10.1016/j.marpol.2014.11.018
- Breslow, S. J., Allen, M., Holstein, D., Sojka, B., Barnea, R., Basurto, X., Carothers, C., Charnley, S., Coulthard, S., Dolšak, N., Donatuto, J., García-Quijano, C., Hicks, C. C., Levine, A., Mascia, M. B., Norman, K., Poe, M., Satterfield, T., St. Martin, K., & Levin, P. S. (2017). Evaluating indicators of human well-being for ecosystem-based management. *Ecosystem Health and Sustainability*, *3*(12), 1-18. https://doi.org/10.1080/20964129.2017.1411767

- Brooks, T. M., Akçakaya, H. R., Burgess, N. D., Butchart, S. H. M., Hilton-Taylor, C., Hoffmann, M., Juffe-Bignoli, D., Kingston, N., MacSharry, B., Parr, M., Perianin, L., Regan, E. C., Rodrigues, A. S. L., Rondinini, C., Shennan-Farpon, Y., & Young, B. E. (2016). Analysing biodiversity and conservation knowledge products to support regional environmental assessments. *Scientific Data*, *3*(1), 160007. https://doi.org/10.1038/sdata.2016.7
- Burkhard, B., Maes, J., Potschin-Young, M., Santos-Martín, F., Geneletti, D., Stoev, P.,
 Kopperoinen, L., Adamescu, C., Adem Esmail, B., Arany, I., Arnell, A., Balzan, M., Barton,
 D. N., van Beukering, P., Bicking, S., Borges, P., Borisova, B., Braat, L., M Brander, L., ...
 Zulian, G. (2018). Mapping and assessing ecosystem services in the EU Lessons learned
 from the ESMERALDA approach of integration. *One Ecosystem*, *3*, e29153.
 https://doi.org/10.3897/oneeco.3.e29153
- Cameron, T. A., Shaw, W. D., Ragland, S. E., Mac Callaway, J., & Keefe, S. (1996). Using Actual and Contingent Behavior Data with Differing Levels of Time Aggregation to Model Recreation Demand. *Journal of Agricultural and Resource Economics*, 21.
- Castella, J.-C., Bourgoin, J., Lestrelin, G., & Bouahom, B. (2014). A model of the science– practice–policy interface in participatory land-use planning: Lessons from Laos. *Landscape Ecology*, 29(6), 1095-1107. https://doi.org/10.1007/s10980-014-0043-x
- Cavanagh, R. D., Broszeit, S., Pilling, G. M., Grant, S. M., Murphy, E. J., & Austen, M. C. (2016). Valuing biodiversity and ecosystem services: A useful way to manage and conserve marine resources? *Proceedings of the Royal Society B: Biological Sciences*, 283(1844), 20161635. https://doi.org/10.1098/rspb.2016.1635
- Charles, A. (2017). The Big Role of Coastal Communities and Small-Scale Fishers in Ocean Conservation. En *Conservation for the Anthropocene Ocean* (pp. 447-461). Elsevier. https://doi.org/10.1016/B978-0-12-805375-1.00021-0
- Cleaveland, S., Sharp, J., Abela-Ridder, B., Allan, K. J., Buza, J., Crump, J. A., Davis, A., Del Rio Vilas, V. J., de Glanville, W. A., Kazwala, R. R., Kibona, T., Lankester, F. J., Lugelo, A., Mmbaga, B. T., Rubach, M. P., Swai, E. S., Waldman, L., Haydon, D. T., Hampson, K., & Halliday, J. E. B. (2017). One Health contributions towards more effective and equitable approaches to health in low- and middle-income countries. *Philosophical Transactions of the Royal Society B: Biological Sciences*, *372*(1725), 20160168. https://doi.org/10.1098/rstb.2016.0168
- de Oliveira Leis, M., Devillers, D. R., Medeiros, R. P., & Chuenpagdee, R. (2019). Mapping fishers' perceptions of marine conservation in Brazil: An exploratory approach. *Ocean & Coastal Management*, *167*, 32-41. https://doi.org/10.1016/j.ocecoaman.2018.09.017
- Dubbeling, M., Santini, G., Renting, H., Taguchi, M., Lançon, L., Zuluaga, J., de Paoli, L., Rodriguez, A., & Andino, V. (2017). Assessing and Planning Sustainable City Region Food Systems: Insights from Two Latin American Cities. *Sustainability*, 9(8), 1455. https://doi.org/10.3390/su9081455
- European Commission. (2011). *The EU biodiversity strategy to 2020*. European Commission, Publications Office. https://doi.org/10.2779/39229
- Fletcher, R., Dressler, W. H., Anderson, Z. R., & Büscher, B. (2019). Natural capital must be defended: Green growth as neoliberal biopolitics. *The Journal of Peasant Studies*, 46(5), 1068-1095. https://doi.org/10.1080/03066150.2018.1428953
- Food and Biodiversity 2021. Improving Biodiversity protection in coffee cultivation in South America. https://www.business-
- biodiversity.eu/bausteine.net/f/9480/BiodiversityinCoffeeCultivationNov2019_mh.pdf?fd=0
- Gellers, J. (2012). Greening Constitutions with Environmental Rights: Testing the Isomorphism Thesis: Greening Constitutions with Environmental Rights. *Review of Policy Research*, 29(4), 523-543. https://doi.org/10.1111/j.1541-1338.2012.00574.x
- Gichenje, Muñoz-Rojas, & Pinto-Correia. (2019). Opportunities and Limitations for Achieving Land Degradation-Neutrality through the Current Land-Use Policy Framework in Kenya. *Land*, 8(8), 115. https://doi.org/10.3390/land8080115

- Gissi, E., Portman, M. E., & Hornidge, A.-K. (2018). Un-gendering the ocean: Why women matter in ocean governance for sustainability. *Marine Policy*, *94*, 215-219. https://doi.org/10.1016/j.marpol.2018.05.020
- Graziano, R., Gilberto, P., & Alessandro, F. (2009). A rapid and cost-effective tool for managing habitats of the European Natura 2000 network: A case study in the Italian Alps. *Biodiversity and Conservation*, *18*(5), 1375-1388. https://doi.org/10.1007/s10531-008-9459-4
- Ha, P. T. T., Kokutse, N., Duchesne, S., Villeneuve, J.-P., Bélanger, A., Hien, H. N., Toumbou, B., & Bach, D. N. (2017). Assessing and selecting interventions for river water quality improvement within the context of population growth and urbanization: A case study of the Cau River basin in Vietnam. *Environment, Development and Sustainability*, *19*(5), 1701-1729. https://doi.org/10.1007/s10668-016-9822-7
- Hajek, F., Ventresca, M. J., Scriven, J., & Castro, A. (2011). Regime-building for REDD+: Evidence from a cluster of local initiatives in south-eastern Peru. *Environmental Science & Policy*, 14(2), 201-215. https://doi.org/10.1016/j.envsci.2010.12.007
- Hajkowicz, S., Higgins, A., Miller, C., & Marinoni, O. (2008). Targeting conservation payments to achieve multiple outcomes. *Biological Conservation*, 141(9), 2368-2375. https://doi.org/10.1016/j.biocon.2008.06.028
- Hays, G. C., Bailey, H., Bograd, S. J., Bowen, W. D., Campagna, C., Carmichael, R. H., Casale, P., Chiaradia, A., Costa, D. P., Cuevas, E., Nico de Bruyn, P. J., Dias, M. P., Duarte, C. M., Dunn, D. C., Dutton, P. H., Esteban, N., Friedlaender, A., Goetz, K. T., Godley, B. J., ... Sequeira, A. M. M. (2019). Translating Marine Animal Tracking Data into Conservation Policy and Management. *Trends in Ecology & Evolution*, *34*(5), 459-473. https://doi.org/10.1016/j.tree.2019.01.009
- Ingram, V., van Rijn, F., Waarts, Y., & Gilhuis, H. (2018). The Impacts of Cocoa Sustainability Initiatives in West Africa. *Sustainability*, *10*(11), 4249. https://doi.org/10.3390/su10114249
- Ioki, K., Din, N. M., Ludwig, R., James, D., Hue, S. W., Johari, S. A., Awang, R. A., Anthony, R., & Phua, M.-H. (2019). Supporting forest conservation through community-based land use planning and participatory GIS – lessons from Crocker Range Park, Malaysian Borneo. *Journal for Nature Conservation*, 52, 125740. https://doi.org/10.1016/j.jnc.2019.125740
- IPBES. (2017, septiembre 22). *Policy Support Gateway*. IPBES Secretariat. https://ipbes.net/policy-support
- Johnson, J. E., Hooper, E., & Welch, D. J. (2020). Community Marine Monitoring Toolkit: A tool developed in the Pacific to inform community-based marine resource management. *Marine Pollution Bulletin*, 159, 111498. https://doi.org/10.1016/j.marpolbul.2020.111498
- Jupiter, S., Mangubhai, S., & Kingsford, R. T. (2014). Conservation of Biodiversity in the Pacific Islands of Oceania: Challenges and Opportunities. *Pacific Conservation Biology*, 20(2), 206. https://doi.org/10.1071/PC140206
- Kerselaers, E., Rogge, E., Lauwers, L., & Van Huylenbroeck, G. (2015). Decision support for prioritising of land to be preserved for agriculture: Can participatory tool development help? *Computers and Electronics in Agriculture*, 110, 208-220. https://doi.org/10.1016/j.compag.2014.10.022
- Klerkx, L., & Begemann, S. (2020). Supporting food systems transformation: The what, why, who, where and how of mission-oriented agricultural innovation systems. *Agricultural Systems*, 184, 102901. https://doi.org/10.1016/j.agsy.2020.102901
- Lee, K.-C., Karimova, P. G., & Yan, S.-Y. (2019). Towards an integrated multi-stakeholder landscape approach to reconciling values and enhancing synergies: A case study in Taiwan. En S. M. Subramanian, E. Yiu, R. Dasgupta, & Y. Takahashi (Eds.), Understanding the multiple values associated with sustainable use in socio-ecological production landscapes and seascapes (SEPLS) (Vol. 5, pp. 118-135). United Nations University Institute for the Advanced Study of Sustainability. https://satoyama-initiative.org/case_studies/towards-anintegrated-multi-stakeholder-landscape-approach-to-reconciling-values-and-enhancingsynergies-a-case-study-in-taiwan/

- Lopes, R., & Videira, N. (2018). Bringing stakeholders together to articulate multiple value dimensions of ecosystem services. *Ocean & Coastal Management*, *165*, 215-224. https://doi.org/10.1016/j.ocecoaman.2018.08.026
- Macpherson, E. J., & Ospina, F. C. (2020). The pluralism of river rights in Aotearoa, New Zealand and Colombia. *SocArXiv*, 30. https://doi.org/10.31235/osf.io/rdh4x
- Makey, L., & Awatere, S. (2018). *He Mahere Pāhekoheko Mō Kaipara Moana* –Integrated Ecosystem-Based Management for Kaipara Harbour, Aotearoa New Zealand. *Society & Natural Resources*, *31*(12), 1400-1418. https://doi.org/10.1080/08941920.2018.1484972
- Markkula, I., Turunen, M., & Kantola, S. (2019). Traditional and local knowledge in land use planning: Insights into the use of the Akwé: Kon Guidelines in Eanodat, Finnish Sápmi. *Ecology and Society*, 24(1). https://doi.org/10.5751/ES-10735-240120
- Marre, J.-B., Thebaud, O., Pascoe, S., Jennings, S., Boncoeur, J., & Coglan, L. (2015). The use of ecosystem services valuation in Australian coastal zone management. *Marine Policy*, 56, 117-124. https://doi.org/10.1016/j.marpol.2015.02.011
- McDonald, L. A., & Johns, G. M. (1999). Integrating social benefit cost accounting into watershed restoration and protection programs. *Journal of the American Water Resources Association*, *35*(3), 579-592. https://doi.org/10.1111/j.1752-1688.1999.tb03614.x
- Milne, S., & Mahanty, S. (2019). Value and bureaucratic violence in the green economy. *Geoforum*,
- 98, 133-143. https://doi.org/10.1016/j.geoforum.2018.11.003
- Mohamad, Z. F., Nasaruddin, A., Abd Kadir, S. N., Musa, M. N., Ong, B., & Sakai, N. (2015). Community-based shared values as a 'Heart-ware' driver for integrated watershed management: Japan-Malaysia policy learning perspective. *Journal of Hydrology*, 530, 317-327. https://doi.org/10.1016/j.jhydrol.2015.09.043
- Murray, G., D'Anna, L., & MacDonald, P. (2016). Measuring what we value: The utility of mixed methods approaches for incorporating values into marine social-ecological system management. *Marine Policy*, 73, 61-68. https://doi.org/10.1016/j.marpol.2016.07.008
- Mustajoki, J., Saarikoski, H., Belton, V., Hjerppe, T., & Marttunen, M. (2020). Utilizing ecosystem service classifications in multi-criteria decision analysis – Experiences of peat extraction case in Finland. *Ecosystem Services*, 41, 101049. https://doi.org/10.1016/j.ecoser.2019.101049
- Nadasdy, P. (2003). Hunters and bureaucrats: Power, knowledge, and aboriginal-state relations in the southwest Yukon. UBC Press.
- Neeff, T., Steel, E. A., Kleinn, C., Hung, N. D., Bien, N. N., Cerutti, P. O., & Moutinho, P. (2020). How forest data catalysed change in four successful case studies. *Journal of Environmental Management*, 271, 110736. https://doi.org/10.1016/j.jenvman.2020.110736
- Nishimwe, G., Rugema, D. M., Uwera, C., Graveland, C., Stage, J., Munyawera, S., & Ngabirame, G. (2020). Natural Capital Accounting for Land in Rwanda. *Sustainability*, *12*(12), 5070. https://doi.org/10.3390/su12125070
- Nomura, H., Hong, N., & Yabe, M. (2018). Effective Use and Management of Kunisaki Peninsula Usa GIAHS Long Trail—A Sustainable Tourism Model leading to Regional Development. *Sustainability*, 10(2), 497. https://doi.org/10.3390/su10020497
- OECD. (2020). Managing the biodiversity impacts of fertiliser and pesticide use: Overview and insights from trends and policies across selected OECD countries (OECD Environment Working Papers N.º 155; OECD Environment Working Papers, Vol. 155). https://doi.org/10.1787/63942249-en
- Onofri, L., Lange, G. M., Portela, R., & Nunes, P. A. L. D. (2017). Valuing ecosystem services for improved national accounting: A pilot study from Madagascar. *Ecosystem Services*, 23, 116-126. https://doi.org/10.1016/j.ecoser.2016.11.016
- Onyewotu, L. O. Z., Stigter, C. J., Abdullahi, A. M., Ariyo, J. A., Oladipo, E. O., & Owonubi, J. J. (2003). Reclamation of Desertified Farmlands and Consequences for its Farmers in Semiarid Northern Nigeria: A Case Study of Yambawa Rehabilitation Scheme. *Arid Land Research and Management*, 17(1), 85-101. https://doi.org/10.1080/15324980301590

- Plummer, R., Baird, J., Farhad, S., & Witkowski, S. (2020). How do biosphere stewards actively shape trajectories of social-ecological change? *Journal of Environmental Management*, 261, 110139. https://doi.org/10.1016/j.jenvman.2020.110139
- Raymond-Yakoubian, J., & Daniel, R. (2018). An Indigenous approach to ocean planning and policy in the Bering Strait region of Alaska. *Marine Policy*, 97, 101-108. https://doi.org/10.1016/j.marpol.2018.08.028
- Recuero Virto, L., Weber, J.-L., & Jeantil, M. (2018). Natural Capital Accounts and Public Policy Decisions: Findings From a Survey. *Ecological Economics*, 144, 244-259. https://doi.org/10.1016/j.ecolecon.2017.08.011
- Ridgley, M. A., & Rijsberman, F. R. (1992). Multicriteria Evaluation in a Policy Analysis of a Rhine Estuary. *Journal of the American Water Resources Association*, 28(6), 1095-1110. https://doi.org/10.1111/j.1752-1688.1992.tb04021.x
- Robledo, C., Fischler, M., & Patiño, A. (2004). Increasing the Resilience of Hillside Communities in Bolivia: Has Vulnerability to Climate Change Been Reduced as a Result of Previous Sustainable Development Cooperation? *Mountain Research and Development*, 24(1), 14-18. https://doi.org/10.1659/0276-4741(2004)024[0014:ITROHC]2.0.CO;2
- Robinne, F.-N., Gallagher, L., Bréthaut, C., & Schlaepfer, M. A. (2019). A novel tool for measuring the penetration of the ecosystem service concept into public policy. *Ecosystem Services*, 36, 100914. https://doi.org/10.1016/j.ecoser.2019.100914
- Rohde, S., Hostmann, M., Peter, A., & Ewald, K. C. (2006). Room for rivers: An integrative search strategy for floodplain restoration. *Landscape and Urban Planning*, 78(1-2), 50-70. https://doi.org/10.1016/j.landurbplan.2005.05.006
- Ruijs, A., & Vardon, M. (2018). NATURAL CAPITAL ACCOUNTING FOR MAINSTREAMING BIODIVERSITY IN PUBLIC POLICY (p. 34). PBL Netherlands Environmental Assessment Agency.
- Ruijs, A., Vardon, M., Bass, S., & Ahlroth, S. (2019). Natural capital accounting for better policy. *Ambio*, 48(7), 714-725. https://doi.org/10.1007/s13280-018-1107-y
- Shanley, P., & Stockdale, M. (2008). Traditional knowledge, forest management, and certification: A reality check. *Forests, Trees and Livelihoods*, 18(1), 55-67. https://doi.org/10.1080/14728028.2008.9752617
- Schultz, M., Hahn, T., Ituarte-Lima, C., & Hällström, N. (2018). Deliberative multi-actor dialogues as opportunities for transformative social learning and conflict resolution in international environmental negotiations. *International Environmental Agreements: Politics, Law and Economics*, 18(5), 671-688. https://doi.org/10.1007/s10784-018-9410-4
- Smit, S., & Musango, J. K. (2015). Towards connecting green economy with informal economy in South Africa: A review and way forward. *Ecological Economics*, 116, 154-159. https://doi.org/10.1016/j.ecolecon.2015.04.022
- Sullivan, S. (2017). What's ontology got to do with it? On nature and knowledge in a political ecology of the «green economy». *Journal of Political Ecology*, 24(1), 217-242. https://doi.org/10.2458/v24i1.20802
- Tan, Y. M., Dalby, O., Kendrick, G. A., Statton, J., Sinclair, E. A., Fraser, M. W., Macreadie, P. I., Gillies, C. L., Coleman, R. A., Waycott, M., van Dijk, K., Vergés, A., Ross, J. D., Campbell, M. L., Matheson, F. E., Jackson, E. L., Irving, A. D., Govers, L. L., Connolly, R. M., ... Sherman, C. D. H. (2020). Seagrass Restoration Is Possible: Insights and Lessons From Australia and New Zealand. *Frontiers in Marine Science*, *7*, 617. https://doi.org/10.3389/fmars.2020.00617
- UNCEEA. (2017). Global Assessment of Environmental-Economic Accounting and Supporting Statistics (p. 41). Global Assessment of Environmental-Economic Accounting and Supporting Statistics.
- ValuES. (2014). ValuES Case Studies. http://aboutvalues.net/case_studies/

- van Assche, K., Bell, S., & Teampau, P. (2012). Traumatic Natures of the Swamp: Concepts of Nature in the Romanian Danube Delta. *Environmental Values*, *21*(2), 163-183. https://doi.org/10.3197/096327112X13303670567297
- van der Hel, S. (2016). New science for global sustainability? The institutionalisation of knowledge co-production in Future Earth. *Environmental Science & Policy*, *61*, 165-175. https://doi.org/10.1016/j.envsci.2016.03.012
- Virapongse, A., Brooks, S., Metcalf, E. C., Zedalis, M., Gosz, J., Kliskey, A., & Alessa, L. (2016). A social-ecological systems approach for environmental management. *Journal of Environmental Management*, 178, 83-91. https://doi.org/10.1016/j.jenvman.2016.02.028
- Vollmer, D., Pribadi, D. O., Remondi, F., Rustiadi, E., & Grêt-Regamey, A. (2016). Prioritizing ecosystem services in rapidly urbanizing river basins: A spatial multi-criteria analytic approach. *Sustainable Cities and Society*, 20, 237-252. https://doi.org/10.1016/j.scs.2015.10.004
- Watt, A., Ainsworth, G., Balian, E., Cojocaru, G., Darbi, M., Dicks, L., Eggermont, H., Furman, E., Goudeseune, L., Huybrecht, P., Kelemen, E., Koch, F., Konstantinou, Z., Livoreil, B., Locher, K., Lux, A., Mehring, M., Nesshoever, C., Paloniemi, R., ... Young, J. (2019).
 EKLIPSE: Engaging knowledge holders and networks for evidence-informed European policy on biodiversity and ecosystem services. *Evidence & Policy: A Journal of Research, Debate and Practice*, 15(2), 253-264. https://doi.org/10.1332/174426418X15314036194114
- WBCSD. (2012). *Piching up the pace—Accelerating public policies for positive outcomes*. WBCSD.
- Westerberg, V., Doku, A., & Damnyag, L. (2019). Reversing Land Degradation in Drylands: The Case for Farmer Managed Natural Regeneration (FMNR) in the Upper West Region of Ghana (p. 82) [Report for the Economics of Land Degradation Initiative in the framework of the "Reversing Land Degradation in Africa by Scaling-up Evergreen Agriculture" project]. The Economics of Land Degradation (ELD). https://www.eldinitiative.org/fileadmin/user_upload/ELD-Ghana-Report_22_March-web2.pdf
- Wilson, N. J., & Inkster, J. (2018). Respecting water: Indigenous water governance, ontologies, and the politics of kinship on the ground. *Environment and Planning E: Nature and Space*, 1(4), 516-538. https://doi.org/10.1177/2514848618789378
- Xu, W., Lippke, B. R., & Perez-Garcia, J. (2003). Valuing Biodiversity, Aesthetics, and Job Losses Associated with Ecosystem Management Using Stated Preferences. *Forest Science*, 49(2), 11. https://doi.org/10.1093/forestscience/49.2.247

Annex 6.3 Case studies

Hunting in the Amazon: conservation trade-offs

In the Amazon region as elsewhere too, the establishment of protected areas has led to a shift in local management practices towards state mandated forms of how resources can be used or not. Indigenous groups have often been rather critical towards the establishment of various forms of protected areas (Tauli-Corpuz et al., 2020), for reasons like lack of inclusion and participation in the design and setup, unclear tenure over territories that limits access to land and resources (Krause et al., 2020). Indigenous peoples and local communities often have important values attached to the territories they live in, and are informed by mythical and cosmological structures.

This cosmovision manifests in numerous cultural and spiritual values of nature which also leads to very different explanations for ecological changes, which are not separated from the social sphere (Fernández-Llamazares et al., 2016; Krause et al., 2020).

Hunting of wildlife in the Amazon exemplifies not only the clash in different ontologies and explanations for ecological changes, but it also reveals the frequently encountered trade-offs between local livelihoods and biodiversity conservation. However, hunting as an important local activity can also help to understand and manage these trade-offs. The meat of wild animals represents an important contribution to local nutrition for many people across the world (Nielsen et al., 2018; Sarti et al., 2015). In Colombia hunting of fauna is legally restricted to subsistence use by indigenous peoples living in indigenous reserves and any commercialization of wild animal products is prohibited by law (Krause et al., 2020; Ponta et al., 2019). Nonetheless, for many indigenous peoples commercializing wild fauna, mainly wild meat, is an important source of income, particularly in the absence of other income generating activities (van Vliet et al., 2015). The illegality of commercializing wild meat leads to clandestine markets, and makes the management of hunting inherently difficult. Yet, in light of the cultural importance of hunting and the contribution of wild meat to people's diets and incomes, sustainable hunting management together with indigenous hunters and based on traditional ecological knowledge and local cultural norms is essential. For places like the Amazon region with hundreds of different indigenous people's territories, conservation has to acknowledge and work with the tremendous bio-cultural diversity that still exists, but which is also at great risk of disappearing.

Issues and tools in sustainable consumption and production

issue	production	consumptio	individual	community	firm	state	relation to economic growth	reference
Safe and ecologically sound design (products & services)	×				x		potentially moderating	Alayón et al. 2017
Reducing, eliminating wastes	x		i i		x		uncertain	Alayón et al. 2017
Conserving energy and materials	x				x		moderating	Alayón et al. 2017
Eliminating dangerous chemical							potentially	
substances/physical agents	x				×		moderating	Alayón et al. 2017
Maximizing material and energy efficiency	x				x		potentially moderating	Bocken et al., 2014
Delivering functionality rather than		-	-		<u>.</u>		moderating	
ownership	x	x	x	x	х		moderating	Bocken et al., 2014
Stewardship role	~	-					uncertain	Bocken et al., 2014
Re-purpose the business			-	- de	X			
Ecological modernization /	×	-			x	-	moderating	Bocken et al., 2014
	x	x			x	x	boosting	Mol-Spaargaren, 2000
Green growth	-				10000	2		Harangozo et al., 2018
Sufficiency	x	x	x	x	x		steady-state	Princen, 2005
	-	-	-	16		-	degrowth	Bocken et al., 2014
Reconfiguration	x	x			x	x	potentially	Geels et al., 2015
			-				moderating	Urry, 2010
Absolute reduction		x	x				steady-state degrowth	Sandberg, 2021
Modal shift		x	x				potentially moderating	Sandberg, 2021
Product longevity	x	x			x	x	moderating	Sandberg, 2021
Sharing practices (outside households)		x	x				moderating	Sandberg, 2021
Nudge		x	x			x	potentially	Sunstein, 2015
110000		~	~			~	moderating	Reisch et al., 2021
Slow		x	x	x			moderating	Mayer–Knox, 2006
Celestial footprint		x	x	x			moderating	Kocsis, 2012
Voluntary simplicity		×	x	x			steady-state	Elgin, 1981
voluntary simplicity			^	^		_	degrowth	Alexander–Ussher, 2012
Alternative hedonism		x					potentially	Soper, 2008
Alternative neuonisin		^				_	moderating	Caruana et al., 2020
Ecological intelligence		x	x				potentially moderating	Goleman, 2009
Tracing production and products by block-chain technology	x	x			x	x	uncertain	Feng et al., 2020
Boycott		x	x				uncertain	Hoffmann et al., 2018
Buycott		x	x	-			uncertain	Hoffmann et al., 2018
Critical consumption /		-					potentially	Yates, 2011
Political consumerism		x	x	x			moderating	Copeland–Boulianne, 2020
Labelling	x		x	25	x	x	uncertain	Torma–Thøgersen, 2021
Multidimensional labelling		-	×	1	x	x	uncertain	Kocsis-Kuslits, 2019
Developing scale-up solutions			^		x	x	potentially	Bocken et al., 2014
	_			-		-	moderating	
Avoiding in situ urbanization		x		12		x	moderating	Zhou et al., 2018
Avoiding suburbanization, urban sprawl		×				x	moderating	Glaeser, 2011
Urban economies		x				×	moderating	Fremstad et al., 2018
Household economies		×	x	×			moderating	Liu et al., 2003 Fremstad et al., 2018
Avoiding expansion of one-person								Liu et al., 2003
households		×	x	×		2	moderating	Ellsworth-Krebs, 2020

Barriers and challenges of Circular Economy

Circular Economy can be considered as a business-oriented option that help protect nature and its benefits to people and good quality of life in various ways, e.g., by saving energy (Cooper et al., 2017), decreasing landfilling (Reike et al., 2018), reducing production costs (Mativenga, Agwa-Ejon, et al., 2017; Mativenga, Sultan, et al., 2017) or lowering the demand for biomass (Haas et al., 2015). Circular economy can contribute to several SDGs, especially SDG6 on clean water and sanitation, SDG7 on affordable and clean energy, SDG8 on decent work and economic growth, SDG12 on responsible consumption and production, and also to SDG15 on life on land (Schröeder et al., 2019). A modelling study run by the Organisation for Economic Co-operation and Development (OECD) reinforced that a transition to circular economy would not have significant negative impacts on overall macroeconomic performance (incl. growth and employment) but would contribute to a re-allocation of competitive advantage across sectors and induce changes in trade and socio-economic impacts (McCarthy et al., 2018).

Despite best practice cases, consensus is still lacking on how far the global economy progresses towards a circular economy. Cooper et al. (2017) estimated that potential savings of energy used for economic activities world-wide can reach 6-11%. A material flows analysis in 2015 showed that recycling within the economy as share of processed material reached 6% globally and 13% in the European Union (Haas et al., 2015). The most recent Circularity gap report issued by PACE (2020) concluded that the current degree of circularity in the global economy is currently lower than 9%. Reasons for these relatively low numbers are thought to be the large proportion of material throughput (Haas et al., 2015), and the accelerating production due to the rebound effect (Zink & Geyer, 2017). Some authors however question the very basic assumption of circular economy (namely that economic growth and resource use can be decoupled in a closed system) saying that due to increasing entropy the process of recycling will always need input energy and will always create extra waste, so fully closing material loops is impossible (see e.g., Giampietro & Funtowicz, 2020; Millar et al., 2019).

Barriers and challenges of circular economy are extensively discussed in the literature, pinpointing both "soft" (social, regulatory and institutional) and "hard" (technological solutions and financial factors) limiting factors as well as opportunities to overcome the barriers (de Jesus & Mendonça, 2018; Ranta et al., 2018). Among "soft" factors, circular literacy – i.e., understanding complexity, de-materializing the economy, preserving natural livelihoods, fostering social justice, diversity and uniqueness, including multiple actors and knowledge forms, and opening up for reflexivity, creativity and innovation etc. – is key to integrate the economic vision of circular economy to the broader political and socio-cultural context, and to fully employ the transformative change potential of circular economy (Zwiers et al., 2020). Also, addressing research gaps related to circular economy especially on the direct and indirect impacts circular economy might have on biodiversity (Buchmann-Duck & Beazley, 2020), and means and indicators for socially more inclusive circular economy. *Table 6.5* table sums up the key challenges identified as well as the options to overcome those.

	Challenges/Barriers	Options to overcome	Reference
	The concept overlooks social equity and justice	Sharing economy, circular economy initiatives inclusive to actors of the informal waste sector in developing countries	Kirchherr et al., 2017
	Limited institutional support for circular economy principles other than recycling	Applying and extended Rs model	Ranta et al., 2018
Soft	Difficulties of law enforcement at local level (clashing with social norms)	Knowledge (co-)creation, circular literacy, nudging behavioural change	Ranta et al., 2018; Zwiers et al., 2020
	Consumers prefer new products	Changes in the value system	Ranta et al., 2018
	Rational economic decision making at company level (prices of materials mainly reflect the cost of mining and short-term values but not the costs of depletion or environmental degradation)	Pricing externalities, extended value approach (include intrinsic values beyond economic exchange values)	Andersen, 2007
	Fossil energy carriers used as energy sources with limited recycling options	Carbon capture, transition to green energy, cascadic use of fly ash and slag	Haas et al., 2015
Hard	Biomass used for food, feed and fuel with limited recycling options	Closing the loops in agricultural production by sustainable agriculture (see land use section), changing dietary habits, reducing food waste	Haas et al., 2015
ł	Limitations to practical implementation (e.g., missing infrastructure to local waste separation)	Increased investment and funding of R&D activities, organizational development and new business models	de Jesus & Mendonça, 2018; Zwiers et al., 2020

Table 6.5. Circular economy challenges and possible solutions.

References

- Alayón, C., Säfsten, K., & Johansson, G. (2017). Conceptual sustainable production principles in practice: Do they reflect what companies do? Journal of Cleaner Production, 141, 693-701. https://doi.org/10.1016/j.jclepro.2016.09.079
- Alexander, S., & Ussher, S. (2012). The Voluntary Simplicity Movement: A multi-national survey analysis in theoretical context. *Journal of Consumer Culture*, *12*(1), 66-86. https://doi.org/10.1177/1469540512444019
- Andersen, M. S. (2007). An introductory note on the environmental economics of the circular economy. *Sustainability Science*, 2(1), 133-140. https://doi.org/10.1007/s11625-006-0013-6
- Bocken, N. M. P., Short, S. W., Rana, P., & Evans, S. (2014). A literature and practice review to develop sustainable business model archetypes. Journal of Cleaner Production, 65, 42-56. https://doi.org/10.1016/j.jclepro.2013.11.039
- Buchmann-Duck, J., & Beazley, K. F. (2020). An urgent call for circular economy advocates to acknowledge its limitations in conserving biodiversity. *Science of The Total Environment*, 727, 138602. https://doi.org/10.1016/j.scitotenv.2020.138602
- Caruana, R., Glozer, S., & Eckhardt, G. M. (2020). 'Alternative Hedonism': Exploring the Role of Pleasure in Moral Markets. *Journal of Business Ethics*, *166*(1), 143-158. https://doi.org/10.1007/s10551-019-04123-w
- Clube, R. K. M., & Tennant, M. (2020). The Circular Economy and human needs satisfaction: Promising the radical, delivering the familiar. *Ecological Economics*, *177*, 106772. https://doi.org/10.1016/j.ecolecon.2020.106772
- Cooper, S. J. G., Giesekam, J., Hammond, G. P., Norman, J. B., Owen, A., Rogers, J. G., & Scott, K. (2017). Thermodynamic insights and assessment of the 'circular economy'. *Journal of Cleaner Production*, *162*, 1356-1367. https://doi.org/10.1016/j.jclepro.2017.06.169
- Copeland, L., & Boulianne, S. (2020). Political consumerism: A meta-analysis. *International Political Science Review*, 43(1), 3-18. https://doi.org/10.1177/0192512120905048
- de Jesus, A., & Mendonça, S. (2018). Lost in Transition? Drivers and Barriers in the Ecoinnovation Road to the Circular Economy. *Ecological Economics*, 145, 75-89. https://doi.org/10.1016/j.ecolecon.2017.08.001
- Ellsworth-Krebs, K. (2020). Implications of declining household sizes and expectations of home comfort for domestic energy demand. *Nature Energy*, *5*, 6.
- Elgin, D. (1981). Voluntary Simplicity: Toward a Way of Life that is Outwardly Simple, Inwardly *Rich*. Morrow.
- Feng, H., Wang, X., Duan, Y., Zhang, J., & Zhang, X. (2020). Applying blockchain technology to improve agri-food traceability: A review of development methods, benefits and challenges. *Journal of Cleaner Production*, 260, 121031. https://doi.org/10.1016/j.jclepro.2020.121031
- Fernández-Llamazares, Á., Díaz-Reviriego, I., Guèze, M., Cabeza, M., Pyhälä, A., & Reyes-García, V. (2016). Local perceptions as a guide for the sustainable management of natural resources: Empirical evidence from a small-scale society in Bolivian Amazonia. *Ecology* and Society, 21(1), art2. https://doi.org/10.5751/ES-08092-210102
- Fremstad, A., Underwood, A., & Zahran, S. (2018). The Environmental Impact of Sharing: Household and Urban Economies in CO2 Emissions. *Ecological Economics*. https://doi.org/10.1016/j.ecolecon.2017.08.024
- Geels, F. W., McMeekin, A., Mylan, J., & Southerton, D. (2015). A critical appraisal of Sustainable Consumption and Production research: The reformist, revolutionary and reconfiguration positions. *Global Environmental Change*, 34, 1-12. https://doi.org/10.1016/j.gloenvcha.2015.04.013
- Giampietro, M., & Funtowicz, S. O. (2020). From elite folk science to the policy legend of the circular economy. *Environmental Science & Policy*, *109*, 64-72. https://doi.org/10.1016/j.envsci.2020.04.012

- Glaeser, E. (2011). Cities, Productivity, and Quality of Life. *Science*, *333*(6042), 592-594. https://doi.org/10.1126/science.1209264
- Goleman, D. (2009). *Ecological Intelligence: The Hidden Impacts of What We Buy*. Broadway Books.
- Harangozo, G., Csutora, M., & Kocsis, T. (2018). How big is big enough? Toward a sustainable future by examining alternatives to the conventional economic growth paradigm. *Sustainable Development*, 26(2), 172-181. https://doi.org/10.1002/sd.1728
- Haas, W., Krausmann, F., Wiedenhofer, D., & Heinz, M. (2015). How Circular is the Global Economy?: An Assessment of Material Flows, Waste Production, and Recycling in the European Union and the World in 2005. *Journal of Industrial Ecology*, 19(5), 765-777. https://doi.org/10.1111/jiec.12244
- Hoffmann, S., Balderjahn, I., Seegebarth, B., Mai, R., & Peyer, M. (2018). Under Which Conditions Are Consumers Ready to Boycott or Buycott? The Roles of Hedonism and Simplicity. *Ecological Economics*, 147, 167-178. https://doi.org/10.1016/j.ecolecon.2018.01.004
- Kirchherr, J., Reike, D., & Hekkert, M. (2017). Conceptualizing the circular economy: An analysis of 114 definitions. *Resources, Conservation and Recycling*, 127, 221-232. https://doi.org/10.1016/j.resconrec.2017.09.005
- Kocsis, T., & Kuslits, B. (2019). Multidimensional Labelling: Closing the Sustainability Information Gap between Producers, Consumers and Sustainability Science in the Food Sector. *Periodica Polytechnica Social and Management Sciences*, 27(1), 9-16. https://doi.org/10.3311/PPso.12594
- Krause, T., Quiceno Mesa, M. P., & Matapí Yucuna, U. (2020). Indigenous ecological knowledge in the Colombian Amazon—Challenges and prospects for a more sustainable use of local forest fauna. En *Indigenous Knowledges and the Sustainable Development Agenda* (1.^a ed., pp. 109-127). Routledge.
- Liu, L., Paudel, K. P., Li, G., & Lei, M. (2019). Income inequality among minority farmers in China: Does social capital have a role? *Review of Development Economics*, 23(1), 528-551. https://doi.org/10.1111/rode.12559
- McCarthy, A., Dellink, R., & Bibas, R. (2018). *The Macroeconomics of the Circular Economy Transition: A Critical Review of Modelling Approaches* (OECD Environment Working Papers N.º 130; OECD Environment Working Papers, Vol. 130). https://doi.org/10.1787/af983f9a-en
- Mativenga, P. T., Agwa-Ejon, J., Mbohwa, C., Sultan, A. A. M., & Shuaib, N. A. (2017). Circular Economy Ownership Models: A view from South Africa Industry. *Procedia Manufacturing*, 8, 284-291. https://doi.org/10.1016/j.promfg.2017.02.036
- Mativenga, P. T., Sultan, A. A. M., Agwa-Ejon, J., & Mbohwa, C. (2017). Composites in a Circular Economy: A Study of United Kingdom and South Africa. *Procedia CIRP*, 61, 691-696. https://doi.org/10.1016/j.procir.2016.11.270
- Mayer, H., & Knox, P. L. (2006). Slow Cities: Sustainable Places in a Fast World. *Journal of Urban Affairs*, 28(4), 321-334. https://doi.org/10.1111/j.1467-9906.2006.00298.x
- Millar, N., McLaughlin, E., & Börger, T. (2019). The Circular Economy: Swings and Roundabouts? *Ecological Economics*, 158, 11-19. https://doi.org/10.1016/j.ecolecon.2018.12.012
- Mol, A. P. J., & Spaargaren, G. (2000). Ecological modernisation theory in debate: A review. *Environmental Politics*, 9(1), 17-49. https://doi.org/10.1080/09644010008414511
- Nielsen, M. R., Meilby, H., Smith-Hall, C., Pouliot, M., & Treue, T. (2018). The Importance of Wild Meat in the Global South. *Ecological Economics*, 146, 696-705. https://doi.org/10.1016/j.ecolecon.2017.12.018
- PACE. (2020). *The circularity gap report 2020* (p. 67). Platform for Accelerating the Circular Economy (PACE). https://pacecircular.org/sites/default/files/2020-01/Circularity%20Gap%20Report%202020.pdf

Princen, T. (2005). The Logic of Sufficiency. MIT Press.

- Ponta, N., Cornioley, T., Dray, A., van Vliet, N., Waeber, P. O., & Garcia, C. A. (2019). Hunting in Times of Change: Uncovering Indigenous Strategies in the Colombian Amazon Using a Role-Playing Game. *Frontiers in Ecology and Evolution*, 7, 34. https://doi.org/10.3389/fevo.2019.00034
- Ranta, V., Aarikka-Stenroos, L., Ritala, P., & Mäkinen, S. J. (2018). Exploring institutional drivers and barriers of the circular economy: A cross-regional comparison of China, the US, and Europe. *Resources, Conservation and Recycling*, 135, 70-82. https://doi.org/10.1016/j.resconrec.2017.08.017
- Reike, D., Vermeulen, W. J. V., & Witjes, S. (2018). The circular economy: New or Refurbished as CE 3.0? — Exploring Controversies in the Conceptualization of the Circular Economy through a Focus on History and Resource Value Retention Options. *Resources, Conservation and Recycling*, 135, 246-264. https://doi.org/10.1016/j.resconrec.2017.08.027
- Reisch, L. A., Sunstein, C. R., Andor, M. A., Doebbe, F. C., Meier, J., & Haddaway, N. R. (2021). Mitigating climate change via food consumption and food waste: A systematic map of behavioral interventions. *Journal of Cleaner Production*, 279, 123717. https://doi.org/10.1016/j.jclepro.2020.123717
- Sandberg, M. (2021). Sufficiency transitions: A review of consumption changes for environmental sustainability. *Journal of Cleaner Production*, 293, 126097. https://doi.org/10.1016/j.jclepro.2021.126097
- Sarti, F. M., Adams, C., Morsello, C., van Vliet, N., Schor, T., Yagüe, B., Tellez, L., Quiceno-Mesa, M. P., & Cruz, D. (2015). Beyond protein intake: Bushmeat as source of micronutrients in the Amazon. *Ecology and Society*, 20(4). https://doi.org/10.5751/ES-07934-200422
- Schröeder, P., Anggraeni, K., & Weber, U. (2019). The Relevance of Circular Economy Practices to the Sustainable Development Goals. *Journal of Industrial Ecology*, 23(1), 77-95. https://doi.org/10.1111/jiec.12732
- Soper, K. (2008). Alternative hedonism, cultural theory and the role of aesthetic revisioning. *Cultural Studies*, 22(5), 567-587. https://doi.org/10.1080/09502380802245829
- Sunstein, C. R. (2015). Nudges Do Not Undermine Human Agency: A Note. SSRN Electronic Journal. https://doi.org/10.2139/ssrn.2594758
- Tauli-Corpuz, V., Alcorn, J., Molnar, A., Healy, C., & Barrow, E. (2020). Cornered by PAs: Adopting rights-based approaches to enable cost-effective conservation and climate action. *World Development*, 130. https://doi.org/10.1016/j.worlddev.2020.104923
- Torma, G., & Thøgersen, J. (2021). A systematic literature review on meta sustainability labeling What do we (not) know? *Journal of Cleaner Production*, 293, 126194. https://doi.org/10.1016/j.jclepro.2021.126194
- Urry, J. (2010). Sociology Facing Climate Change. *Sociological Research Online*, *15*(3), 145-147. https://doi.org/10.5153/sro.2190
- van Vliet, N., Gomez, J., Quiceno-Mesa, M. P., Escobar, J. F., Andrade, G., Vanegas, L., & Nasi, R. (2015). Sustainable wildlife management and legal commercial use of bushmeat in Colombia: The resource remains at the cross-road. *International Forestry Review*, 17(4), 438-447. https://doi.org/10.1505/146554815817476521
- Yates, L. S. (2011). Critical consumption: Boycotting and buycotting in European *Societies*, *13*(2), 191-217. https://doi.org/10.1080/14616696.2010.514352
- Zink, T., & Geyer, R. (2017). Circular Economy Rebound. *Journal of Industrial Ecology*, 21(3), 593-602. https://doi.org/10.1111/jiec.12545
- Zhou, L., Wu, Y., Woodfin, T., Zhu, R., & Chen, T. (2018). An Approach to Evaluate Comprehensive Plan and Identify Priority Lands for Future Land Use Development to Conserve More Ecological Values. *Sustainability*, 10(2), 126. https://doi.org/10.3390/su10010126

Zwiers, J., Jaeger-Erben, M., & Hofmann, F. (2020). Circular literacy. A knowledge-based approach to the circular economy. *Culture and Organization*, *26*(2), 121-141. https://doi.org/10.1080/14759551.2019.1709065

Annex 6.4 Summary tables on the interlinkages between SDGs, diverse values and options for decision makers

SDGs Cluster 1 on Nature

SDG	Contribution of pluralistic approaches and diverse values	Types of policy support tools & methodologies	Examples of policy instruments & interventions	Examples of leverage and entry points
Goal 6 Clean Water & Sanitation	 Better understanding/ identification of the actors and property rights could assure a more equitable access and use of water [6.1, 6.4, 6.5, 6.6] Involvement of IPLCs and ILK is key to improve the water capture, filtration, and regulation in water source ecosystems and plays a main role in restoring and conserving natural infrastructure [6.3, 6.6, 6.b] 	 I: informative tools for all 17 SDG T: technical tools for all 17 SDG; dryland water resource assessment; IWRM rapid assessment; Hydrus; ECOPLAN - SE (Scenario Evaluator); WOCAT Database for Sustainable Land Management (SLM) technologies; System of Environmental-Economic Accounting (SEEA); Toolkit for Ecosystem Service Site-based Assessment (TESSA); Scoping ecosystem services for impact assessment (WRI); Coordination of Information on the Environment (CORINE) Land Cover; Revised Universal Soil Loss Equation (RUSLE); Ecoplan Quickscan; CENTURY Model D: decisive tools for all 17 SDG; IWRM toolbox (GWP); SDG 6 Policy Support System (SDG-PSS); The Nature Index, IES Integrating Ecosystem Services for Developing Planning IES/GIZ 	 E&F: PES, taxes, fees, trade permits, environmental/ecological fiscal reform L&R: Rights of Nature R&C: IPLC-led codes of ethical conduct; Free Prior and Informed Consent; Rights-based and consent-based approaches to nature S&C: co-management & Conservation Agreements; IWRM; Ecosystem based adaptation, Nature based Solutions 	Water sector examples: Watershed management plans at different levels (sub-basin, local aquifer, basin or provincial scale); Water Resources Management and Technology Conferences (ICWRMT) Cross-cutting examples: National Adaptation Strategies (to climate change) Situational examples: problems/needs from different actors regarding water quality and quantity

Goal 14. Life below water	 Integrated and ecosystem based management approaches can play key roles in restoring and conserving healthy marine ecosystems and reducing land-based pollution in watershed, upstream and coastal areas [14.1, 14.2] Mobilizing and engaging small-scale, artisanal fishers and other IPLCs can play a key role in conserving coastal and marine ecosystems and can improve fishing practices and management to ensure sustainable use of marine resources [14.4, 14.5, 14.6] 	Program (CBMP)	U ,	Sectoral processes: United Nations Convention on the Law of the Sea (UNCLOS), Our Ocean Conference (OOC) Cross-cutting examples: National Adaptation Strategies (to climate change), Climate finance resources for project on oceans Situational examples: Reports and news on oil accidents, Strong attention of media, broad images divulgation on marine litter and impacts of oil accidents in ocean platforms and/or ships
---------------------------------	---	----------------	-----	--

Goal 13. Climate action	 Pluralistic approaches can holistically capture concepts such as strengthen climate resilience [13.1] Incorporating pluralistic values can enhance education and capacity on climate change mitigation, adaptation, impact reduction and early warning and ensure that ILK and IPLCs interests are part of climate change measures integrated into national policies, strategies and planning [13.2, 13.3] 	 I: informative tools for all 17 SDG; The Circumpolar Biodiversity Monitoring Program (CBMP); Toolkit for the indicators of resilience in socio-ecological production landscapes and seascapes; rapid forest assessment (RFA); Method for Multiparty Monitoring Across Landscapes; Community biodiversity management T: technical tools for all 17 SDG; Resource box for resilient seed systems: handbook; Enhancing crop gene pool use: capturing wild relative and landrace diversity for crop improvement; Crop wild relatives (CWR): In situ conservation; Coordination of Information on the Environment (CORINE) Land Cover; ARIES (Artificial Intelligence for Environment & Sustainability) modelling platform; Toolkit for Ecosystem Service Site-based Assessment (TESSA) ; Mitigation cost- based valuation; ECOPLAN - SE (Scenario Evaluator); Stochastic dynamic programming; Multi-Attribute Value Theory (MAVT); Sea-Level Rise Modelling Handbook; ECO-DRR D: decisive tools for all 17 SDG; SARVA (South African Risk and Vulnerability Atlas) Spatial Portal; Global Risk Data Platform; Consequence tables; Integrated Biodiversity Assessment Tool (IBAT) 	 E&F: Economic restructuring, socially responsible investments L&R: UNFCCC, National adaptation plans, Multilateral Frameworks R&C: Free Prior and Informed Consent (FPIC) Behavioural approaches for reduced consumption S&C: community-based adaptation, IPLC-led adaptation management approaches, 	Climate-related processes: UNFCCC CoPs; Cost of extreme climate events; NDCs; National Adaptation Plans; Carbon markets; NAMA and GCF Projects Situational examples: extreme hazards (such as floods, droughts, heat waves, heavy rains, etc.)
-------------------------------	---	--	---	---

 Goal 15 Life on Land Can bring together different actors and sectors related to the landscape to improve conservation of terrestrial, inland and mountain ecosystems and biodiversity and enhance national and local planning, development processes, poverty reduction strategies and accounts [15.1, 15.3, 15.4, 15.9] Whole-of-society efforts, including the custodians and right holders of forests IPLCs, can play a key role in halting the drivers of land-use change, and the sustainable conservation of forests, and motivate and ensure conservation of genetic resources [15.2, 15.5, 15.6, 15.7, 15.8] 	I: informative tools for all 17 SDG; biodiversity indicators partnerships; Participatory approaches to the conservation and use of plant genetic resources; Community biodiversity management; Circumpolar Biodiversity Monitoring Program; Ecosystem Services Card Game T: technical tools for all 17 SDG; world database on protected areas (WDPA); tessa toolkit for site-based ES assessment; Conservation Evidence; MAPFORGEN; In situ conservation of wild plant species a critical global review of good practices; Crop wild relatives (CWR): a manual of In situ conservation; Core collections of plant genetic resources (book); WOCAT (World Overview of Conservation Approaches and Technologies); Revised Universal Soil Loss Equation (RUSLE); Toolkit for Ecosystem Service Site-based Assessment (TESSA); DNDC DeNitrification- DeComposition model; <i>World Database of Key Biodiversity Areas</i> TM; Scoping ecosystem services for impact assessment;ARIES (ARtificial Intelligence for Environment & Sustainability, Acting	E&F: biodiversity offsets, taxes, trade permits L&R: UNCCD, UNCBD, NBSAP, Indigenous and Community Conserved Areas and Territories (ICCAs),National strategies (e.g., Biodiversity); Biodiversity offsets, land and resource tenure reform R&C: FPIC S&C: integrated landscape approaches and Sector approaches (e.g., landscape, rights-based, other participatory environmental approaches); Mechanisms for more equitable use and benefit sharing	Land sector examples: CBD CoPs; Bonn Challenge; Access and Benefit sharing agreements; GEF projects CRS, NAMA and GCF projects, Sustainability Reporting, National Adaptation Plans, National Biodiversity Strategy and Plans
15.8]	<i>Key Biodiversity Areas</i> TM; Scoping ecosystem services for impact assessment;ARIES (ARtificial Intelligence		

Services, social interdependencies and	
collective action.	
D: decisive tools for all 17 SDG; mapping	
biodiversity priorities; Integrating	
Ecosystem Services into Development	
Planning (IES/GIZ); Integrated	
Biodiversity Assessment Tool (IBAT);	
MARXAN; IUCN Green List; IUCN Red	
List of Threatened Species; IUCN Red List	
of Ecosystems	

SDGs Cluster 2 on Nature's Contribution to People

SDG	Contribution of pluralistic approaches and diverse values	Types of policy support tools & methodologies	Examples of policy instruments & interventions	Examples of leverage and entry points
Goal 1. No poverty	 Can help build the resilience of the poorest and most vulnerable whom often depend disproportionately on biodiversity and ecosystem services for their livelihoods and wellbeing and resilience against shocks and disasters [1.5] Can help ensure that differential access to natural resources and land, as well as unequal opportunity costs and other 	 I: informative tools for all 17 SDG; Promoting value chains of neglected and underutilized species for pro-poor growth and biodiversity conservation; Acting on Ecosystem Service Opportunities (ESO) T: technical tools for all 17 SDG; Toolkit for Ecosystem Service Site- based Assessment (TESSA); Preference assessment D: decisive tools for all 17 SDG 	E&F: Poverty-weighted ecological- fiscal reform, ecological fiscal transfers, socially responsible investments, Targeted environmental credit and loans, Debt for nature swaps, Integrated social-environmental accounting and reporting S&C: ecolabelling/certification	Economy and livelihoods processes: Green Recovery, Social Movements Cross-cutting processes: Designation of new protected areas

	forms of exclusion and inequality are eliminated, and that a more direct strategy recognizes the different ways that environmental poverty and stress is felt by different actors [1.2, 1.4, 1.a]		L&R: Rights-based approaches to natural resource management, PRSP with nature-based approaches Socially-safeguarded co- management agreements, Support to sustainable nature-based businesses, Rights-based approaches to NRM, Prioritization of nature-based approaches into PRSP Land and resource tenure and access reform	
Goal 2. Zero hunger	 Brings together various actors of the landscape to ensure that the different land-uses are coordinated to achieve sustainable, resilient food production systems and can help improve the access to food and nutrition security of vulnerable groups [2.1, 2.2, 2.4] Recognizing and securing the rights of women and IPLCs to land, sea and resources as the custodians and knowledge holders of native varieties of crops can help identify valuable native seeds and species and promote more sustainable agricultural use and conservation of agrobiodiversity, while promoting access to 	 I: informative tools for all 17 SDG; Participatory approaches to the conservation and use of plant genetic resources T: technical tools for all 17 SDG; TEEB AgriFood valuation framework; Fisheries catch reconstruction; Target-Seeking Analysis D: decisive tools for all 17 SDG; Law and policy of relevance to the management of plant genetic resources 	 E&F: Growth Corridor Initiatives L&R: The food sovereignty movement, Environmental approaches to agriculture (swidden agriculture, sustainable intensification, landscape approaches) R&C: ILK-led management of genetic resources S&C: Eco-labelling/fair trade labels, Environmental approaches to agriculture, sustainable intensification, landscape approaches) F&C: Eco-labelling/fair trade labels, Environmental approaches to agriculture, sustainable intensification, landscape approaches) Food Insecurity Experience Scale (FIES), Seed and plant banks, Rights-based, pro-poor and ILK-led approaches to 	SectoralProcesses:Landscape management plans;Integrated nutrition andagriculture platforms (SUN,others);Movements forRegenerative agriculture andagroecologyCross-cuttingprocesses:Urban renewal efforts that canincorporate sustainable urbanagriculture;Climate smartagriculture;Watershedmanagement plansInternational Commitments:UN Food Systems Summit;Global Landscapes Forum;Bonn Challenge; CFS Sessions

	benefits arising from the utilization of genetic resources and sustaining their livelihoods [2.3, 2.5]		NRM and management of genetic resources	Contextual Situations: Food Summit Champions; changing the goal of food production from yield to nutrition value
Goal 3 Good health and well-being	 Understanding Nature as the foundation of our human health and placing Nature at the heart of policy will reduce the risk of water- borne diseases, zoonosis pandemic outbreaks and other communicable diseases, and promoting the access of women and children in these healthy environments can reduce the rate of premature infant deaths and maternal mortality [3.1, 3.2, 3.3] Including the ILK of native varieties of plants and other biological resources for medicinal values can contribute to the R&D of medicine and vaccines [3.8] 	 I: informative tools for all 17 SDG; International Code of Conduct on Pesticide Management; International Partnership for the Satoyama Initiative (IPSI) T: technical tools for all 17 SDG; Guidelines for the Registration of Pesticides ; Ecosystem services reference book; Ecoplan Quickscan; Epidemiological methods; Health Impact Assessment (HIA) D: decisive tools for all 17 SDG; Human Development Index; OECD Better life Index, Voluntary guidelines for mainstreaming biodiversity into nutrition policies and programmes 	L&R: Legislative control over pesticide use, WHO Global Action Plan for Healthy Lives and Well- Being, Global Action plans and health funds (WHO Action Plan for Healthy Lives, Global Fund to fight AIDS, TB, Malaria), integrating biodiversity and nature approaches (One Health approach), COVID-19 response plans, legislative control and guidelines over pesticide use S&C: Eco-labelling and certification, One Health approach	Health sector examples: Build back better from covid- 19; COVAX; UNAIDS; Roll back malaria; GAVI; National plans for universal health coverage; community health worker programmes; UNFPA; The Global Action Plan for Healthy Lives and Well-being for All; Early warning systems for global and national health risks Cross-cutting examples: One Health; Lifestyle changes to eating less meat; National plans to reduce the risks of zoonotic diseases
Goal 11 Sustainable cities and communitie s	• Holistic planning of land use and participatory approaches can promote a more inclusive process for integrated and sustainable human settlement planning and management and enhance resilience against disasters and climate change impacts, while also	I: informative tools for all 17 SDG; T: technical tools for all 17 SDG; Global Risk Data Platform; Ecoplan Quickscan; System of Environmental- Economic Accounting; Hedonic	 E&F: Urban ecosystem services, circular economy systems L&R: UNESCO World Heritage, national urban policy (NUP) S&C: EbA, Eco-DRR and Biocultural approaches, Planning 	Urbansectorexamples:Global Mayors' Forum (GMF);second United Nations GlobalSustainableTransportConferenceCross-cuttingexamples:ECO-DRR land-use planning;

emphasizing the multiple values of Nature such as the ecological and cultural importance of the landscape and seascape as	Property Pricing; Travel Cost ValuationD: decisive tools for all 17 SDG; Global Risk Data Platform; OECD	and legal and regulatory areas (UNESCO World Heritage, national urban policy), nature-based city development and management	Promote circular economy systems between urban-rural settlements; Sendai Framework for Disaster Risk Reduction 2015–2030
heritages of mankind [11.3, 11.4, 11.5, 11.b]	Better life Index		Reduction 2013–2050
 Fostering understanding of the interdependency between cities, peri-urban and rural areas can strengthen city-rural linkages to promote development planning based on sustainable practices and promote the safeguarding of green spaces and Nature to ensure safe and inclusive access for all people [11.6, 11.7] 			

SDGs Cluster 3 on Good Quality of Life

SDG	Contribution of pluralistic approaches and diverse values	Types of policy support tools & methodologies	Examples of policy instruments & interventions	Examples of leverage and entry points
Goal 4 Quality Education	• Can help promote education for sustainable development and sustainable lifestyles through empowering and giving equal opportunities to women, youth, indigenous peoples and other vulnerable groups, of all race and beliefs for	I: informative tools for all 17 SDG; Challenges and successes in engaging citizen scientists to observe snow coverT: technical tools for all 17 SDG	1	Education sector examples: Re-opening schools after COVID-19; Education and promotion of sustainable lifestyles at all levels Cross-cutting examples:

		education and capacity building to conserve Nature for sustainable development [4.5, 4.7]	D: decisive tools for all 17 SDG; OECD Better Life Index	Environmental education approaches, transformative and social learning for sustainability, competency-based approaches; ILK revitalization through recognition or integration in curriculum at all levels or in environmental education	Harnessing ILK systems through culturally sensitive educational initiatives and agricultural extension services; public awareness on the importance of biodiversity to human well-being; encouraging people to be in touch with nature and recognize their need for nature
Goal Gender equality	5	• Can help women and girls who are caretakers, breadwinners, knowledge holders, and custodians of their landscape and traditional knowledge and whose livelihoods are dependent on Nature to have equal rights, ownership and access to education, as well as an equal share of responsibility in the household, thus empowering them to lead sustainable livelihoods and better conserve their natural resources. [5.1, 5.4, 5.5, 5.a, 5.b]	 I: informative tools for all 17 SDG; Stakeholder Analysis; Participatory approaches to the conservation and use of plant genetic resources T: technical tools for all 17 SDG; Ecosystem services reference book D: decisive tools for all 17 SDG 	L&R: ILO conventions (equal remuneration, workers with family responsibilities) S&C: co-management	Gender-related processes: Beijing Platform for Action area on Women and the environment; Convention on the Elimination of All Forms of Discrimination against Women (CEDAW) Article 14 Cross-cutting processes: Agenda 21, Chapter 24 'Global action for women towards sustainable and equitable development'; Programme of Action of the International Conference on Population and Development
Goal 1 Reduced inequalitie	10 28	• Can help involve people of all ages, sex, dis sex, disability, race, ethnicity, origin, religion or economic or other status in processes	I: informative tools for all 17 SDG; Stakeholder analysis; EKLIPSE - Knowledge and Learning Mechanism on Biodiversity and Ecosystem	E&F: REDD+ benefit sharing mechanisms, ecological fiscal transfers	Inequality-related processes: Leave no one behind

	and actions to be freed from poverty, and to achieve a better quality of life and sustainable livelihoods in harmony with Nature [10.1, 10.2]	 Services; Biocultural Community Protocols - A Toolkit for Community Facilitators T: technical tools for all 17 SDG; Ecosystem services reference book; Promoting value chains of neglected and underutilized species for pro-poor growth and biodiversity conservation D: decisive tools for all 17 SDG 	L&R: Nagoya protocol S&C: Socially responsible investments; Corporate social responsibility	Cross-cutting processes: Nagoya protocol on fair and equitable sharing of benefits Chennai Guidance for the Integration of Biodiversity and Poverty Eradication
Goal 16 Peace, justice and strong institutions	 Can help ensure the rights, safety and equal access to justice of IPLCs and other actors of environment conservation activities, and ending all forms of violence against them as well as enhance institutional measures to prevent corruption and bribery in favouring unsustainable practices of development, and ensuring accountability and transparency at all levels of governance [16.1, 16.3, 16.5, 16.6] Can help promote international cooperation and exchange, including with developing countries, for achieving global goals for nature [16.8] 	 I: informative tools for all 17 SDG; Biocultural Community Protocols: A Toolkit for Community Facilitators; multi-attribute value tree analysis (MAVT); Multi-Criteria Analysis (MCA) T: technical tools for all 17 SDG; Toolkit for Ecosystem Service Site- based Assessment (TESSA); Guidelines Access and Benefit Sharing in Research Projects; Law and policy of relevance to the management of plant genetic resources D: decisive tools for all 17 SDG; Environmental Impact Classification of Alien Taxa (EICAT); ECOLEX 	 E&F: REDD+ L&R: Expanding food market transparency ; Regulations on Illegal, unreported and unregulated (IUU) fishing; Forest Law Enforcement and Governance (FLEG) R&C: IPLC-led codes of ethical conduct; ILK revitalization S&C: Corporate social responsibility; participatory approaches that reduce conflict over NRM; FSC and PEFC 	Cross-cutting processes: London Conference on Illegal Wildlife Trade; Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES); REDD+; Forest Law Enforcement and Governance (FLEG) processes at all levels; Forest Law Enforcement, Governance and Trade (FLEGT) Action Plan; G8 Action Programme on Forests; Ministerial Conference on the Protection of Forests in Europe (MCPFE); National forests plans

SDG	Contribution of pluralistic approaches and diverse values	Types of policy support tools & methodologies	Examples of policy instruments & interventions	Examples of leverage and entry points
Goal 7 Affordable and clean energy	 Can help coordinate different land-use priorities to ensure the sustainable use of natural resources to improve energy efficiency and access to energy, without compromising the integrity of Nature [7.1, 7.2, 7.3] can help to identify affordability and accessibility conditions for communities both for the urban and rural production and consumption of energy can be used to identify financial, infrastructural, institutional, socio-cultural barriers of renewable energy implementation 	 I: informative tools for all 17 SDG; deliberative approaches, mitigation hierarchy, co-production of principles for implementation T: technical tools for all 17 SDG D: decisive tools for all 17 SDG; comprehensive environmental and health impact assessment by including precautionary principle, compensation schemes, adaptive management 	E&F:Economicrestructuring,Ecosystem accounting,Law and policy ofrelevance to land/wateruse/energyuse,environmentalmainstreamingS&C:Eco-labellingandcertification,ecosystem-basedapproachestoproduction	Energy-sectorprocesses:SustainableEnergyfor All(SEforALL);UNGeneralAssembly's2021High-levelDialogueonEnergy;Compacts;SDG7Coalition;UN-Energy;EnergyandBiodiversityInitiative (EBI)Cross-cuttingprocesses:Nationalinfrastructuredevelopmentplans;NDCsthatcontainspecificenergysectorpledges;Net ZeroCoalition
Goal 12 Responsible consumption and production	• Can involve and empower multiple actors and interest holders of the landscape and seascape, and also better inform interest holders and other actors about the values of sustainable development and lifestyles in harmony with nature to ensure that the different land/sea-uses are coordinated, and that actors have the adequate skills and capacity to achieve sustainable management and efficient use of natural resources, as well as responsible production and consumption [12.1, 12.2, 12.8, 12.a]	 I: informative tools for all 17 SDG; Toolkit for the indicators of resilience in socio-ecological production landscapes and seascapes; Target-Seeking Analysis; rapid forest assessment (RFA) T: technical tools for all 17 SDG; System of Environmental-Economic Accounting; TEEB AgriFood Valuation Framework; Integrated Valuation of Ecosystem Services and Trade-offs (InVEST); CENTURY Model Version 	E&F:Integratedapproaches to naturalcapitalaccounting,Sustainablestateeconomics(SSE)Degrowth (DG)L&R:10-YearFrameworkofProgrammesSustainable	Economyandbeyondexamples:10-YearFramework of Programmes onSustainable Consumption andProduction;nationalSCPaction plans;Multi-stakeholderForum on Science,Technologyand Innovation for the SDGs(STI Forum)Cross-cuttingexamples:SustainableMobility for All

SDGs Cluster 4 on Drivers of change in Nature and NCP

	• Can encourage the design and implementation of holistic plans for management of sustainable tourism, sustainable land-use practices and resource management that promotes learning about nature, local culture and supports local produce while curbing adverse impacts on the environment brought about by tourism, and reducing waste generation including food lost, improve waste management and reducing pollution of the environment and society [12.3, 12.4, 12.5, 12.b]	 4.0; MARXAN; System of Environmental-Economic Accounting (SEEA) D: decisive tools for all 17 SDG; Analytic Hierarchy Process (AHP) 	Consumption and Production Patterns S&C: Eco-labelling FSC, ASC, MSC, corporate social responsibility	(SuM4All); Global Food Loss Index
Goal 8 Decent work and economic growth	 Promote development-oriented policies that support productive activities, decent job creation, entrepreneurship, creativity and innovation, and encourage the formalization and growth of micro-, small- and medium- sized enterprises, including through access to financial services [8.3] Implement sustainable land/sea-use practices and sustainable tourism to halt environmental degradation and promote nature and local culture, achieve efficient use and management of natural resources, as well as promote responsible production and consumption [8.4, 8.9] Can support implementation of measures to engage all actors on an equal basis, building capacities and respect for labour rights, providing safe, secure and equal working environments for all women and man, including for young people and persons with disabilities, and lead to 	 I: informative tools for all 17 SDG; Ecosystem services reference book; Promoting value chains of neglected and underutilized species for pro-poor growth and biodiversity conservation; Guidelines for innovation platforms in agricultural research for development T: technical tools for all 17 SDG; Time use study; Acting on Ecosystem Service Opportunities; Management to mitigate and adapt to climate change; Cost- Benefit Analysis; Integrated Biodiversity Assessment Tool (IBAT) D: decisive tools for all 17 SDG; OECD Better life Index; <i>World Database of</i> <i>Key Biodiversity Areas</i> 	E&F: economic restructuring L&R: ILO conventions	Decent work and economy related processes: 10-Year Framework of Programmes on Sustainable Consumption and Production; Global Jobs Pact of the International Labour Organization; Enhanced Integrated Framework for Trade-related Technical Assistance to Least Developed Countries Cross-cutting processes: C40 Global Youth & Mayors Forum

	wit une em lab	stainable livelihoods in harmony th nature that reduce employment and precarious ployment and eradicate forced pour [8.5, 8.6, 8.7, 8.8]			
Goal 9 Industry, innovation and infrastructu re	 dev of j dev sus inf cap res inm rig to j ind Ca res ene int acco loc cha dev 	elps ensure that infrastructure velopment puts nature at the heart policy considerations when veloping quality, reliable, stainable, and resilient frastructure and can enhance pacities, including for scientific search and technology and novation, while respecting the ghts and needs of various land-users promote nature friendly oriented dustry practices [9.1, 9.5, 9.b] un promote sustainable practices for source-use efficiency and clean ergy without comprising the tegrity of nature and facilitate cess and integration of small-scale, cal businesses into wider value ains and markets, in particular for veloping countries and especially LCs [9.3, 9.4]	 I: informative tools for all 17 SDG; Guidelines for innovation platforms in agricultural research for development T: technical tools for all 17 SDG; Hedonic Property Pricing; Manual of seed handling in gene banks D: decisive tools for all 17 SDG; Global Forest Watch 	L&R: Environmental impact assessment R&C: Rights of nature, IPLC-led codes of ethical conduct, ILK revitalization	Cross-cutting processes: Research, technology and innovation for reliable and cost-effective green and natural infrastructure (coral reefs, mangrove forests, Urban green belts and vegetation)

Goal 17 Partnerships for the goals	• Can engage and involve IPL women, and vulnerable grou whole of society partnership public, private and civil soci partners, and in particular m and share ILK, expertise, an technologies in efforts to acl sustainable development goa [17.16, 17.17]	ps in s with ety bbilize lParticipatory economic methods;Participatory economic bcommethods;Participatory economic bcommethods;Participatory economic bcommethods;Participatory economic bcommethods;Participatory economic bcommethods;Participatory economic bcommethods;Participatory economic bcommethods;Participatory economic bcommethods;Participatory economic bcommethods;Participatory economic bcommethods;Participatory economic bcommethods;Participatory economic bcomstemParticipatory 	E&F: transformational approaches in varying sectors energy, agriculture, infrastructure S&C: inclusive, participatory and integrated approaches to sustainable development	HLPF Multi-stakeholder partnerships and voluntary commitments SDG Accelerator 2030 Agenda 2030 Agenda Partnership Accelerator Multi-stakeholder Forum on Science, Technology and Innovation for the SDGs (STI Forum) ECOSOC Partnerships Forum
--	--	--	---	---

Characteristics of different types of operationalization contexts

Enabling	
Institutional Framework conditions	 Inclusive governance systems by means of non-discrimination and accessibility Existence of participatory policy support tools, methodologies and policies already formally established and working (in laws and regulations, formal and informal formats and rules) Recognition and exercise of different and customary rights, rules and norms (power balance) Coexistence and weaving of different worldviews Sharing and use of Information and data in quality and time Accountability, transparency, responsiveness and legitimation Collaboration and coordination between and within different levels works (global, regional, national, subnational, local) Peaceful and inclusive conflict resolution mechanism are established and worked, space of conflict transformation: provision of constructive dialogue. Equity, procedural (who and how) and distributional (what benefits and responsibilities) justice.
	 Possibility to influence on outcomes/decision making.
Capacities Conducive	 Governance: able to create fair processes and institutions Analytical: develop and use knowledge and tools Motivational: awareness, wiliness and resources to integrate plural values Bridging: bring different way of knowledge together, synthetize Negotiation: navigate trade-offs and outcomes Social Network: Learn adapt and act together
Conductive	
Institutiona l Framework conditions	 Inclusive Governance systems Existence of participatory policy support tools, methodologies and policies formally established but not always working (in laws and regulations, formal and informal formats) Recognition (but weak exercise) of different and customary rights, rules and norms Open access to information and date, thus weak consideration for policy design Accountability, Transparency and Legitimation, limited responsiveness Collaboration and coordination between different levels (global, regional, national, subnational, local) Peaceful and inclusive conflict resolution mechanism established but not always working, Equity, procedural (who and how) and distributional (what benefits and responsibilities) justice.
	 Invisible power structures might hinder the last mile of full integration of multiple values.
Capacities	 Governance: mostly able to create fair processes and institutions Analytical: Use and adapt knowledge and tools for nature, Motivational: wiliness to integrate plural values of different actors Bridging: need to be built Negotiation: identification of trade-offs, thus difficulties to overcome them Social Network: existing but need to be strengthened
Chancinging	

	Challenging governance systems
Institutional	• Limited use of participatory tools and methodologies lack of promotion
Framework	• Policies and mechanisms to consider plural values are not established.
conditions	Lack of accountability, no responsiveness
conutions	Limited access to information and data
	• Lack recognition of customary rights, rules and norms
	• Weak of collaboration between different stakeholders and absence of
	coordination between levels
	• High level of power imbalance, inequality and injustice
	Absence of peaceful conflict resolution mechanism
	• Discrimination for different sociocultural groups exist, thus is not official spoken
Capacities	 Governance: available only to specific stakeholders, restrictive to build fair
	processes and institutions
	• Analytical: difficult access to information, limited use of knowledge and
	tools
	Motivational: low motivation to integrate plural values
	• Bridging: not available and do not see the necessity to do so
	• Negotiation: trade-off are not spoken. Negotiation only among specific
	stakeholders
	Social Network: small circles, excludability and not supported
Contested/ res	strictive
	Contested governance systems
	• Participatory tools and methodologies are not desired and/or forbidden
Institutional	• Inexistence of mechanisms and policies for plural values (laws and
Framework	regulations, rules and norms)
conditions	regulations, rules and norms)
	 Dominant worldview: one way communication
	-
	Dominant worldview: one way communication
	 Dominant worldview: one way communication Lack of accessibility, accountability and responsiveness
	 Dominant worldview: one way communication Lack of accessibility, accountability and responsiveness Prohibition to exercise customary rights, rules and norms Absence of collaboration and coordination between different stakeholders Extreme power imbalance, inequality and injustice
	 Dominant worldview: one way communication Lack of accessibility, accountability and responsiveness Prohibition to exercise customary rights, rules and norms Absence of collaboration and coordination between different stakeholders Extreme power imbalance, inequality and injustice Absence of peaceful conflict resolution mechanism,
	 Dominant worldview: one way communication Lack of accessibility, accountability and responsiveness Prohibition to exercise customary rights, rules and norms Absence of collaboration and coordination between different stakeholders Extreme power imbalance, inequality and injustice
Capacities	 Dominant worldview: one way communication Lack of accessibility, accountability and responsiveness Prohibition to exercise customary rights, rules and norms Absence of collaboration and coordination between different stakeholders Extreme power imbalance, inequality and injustice Absence of peaceful conflict resolution mechanism, Existence of coercive mechanisms, discrimination and/or repression Governance: inability to develop and establish fair processes and
Capacities	 Dominant worldview: one way communication Lack of accessibility, accountability and responsiveness Prohibition to exercise customary rights, rules and norms Absence of collaboration and coordination between different stakeholders Extreme power imbalance, inequality and injustice Absence of peaceful conflict resolution mechanism, Existence of coercive mechanisms, discrimination and/or repression Governance: inability to develop and establish fair processes and institutions
Capacities	 Dominant worldview: one way communication Lack of accessibility, accountability and responsiveness Prohibition to exercise customary rights, rules and norms Absence of collaboration and coordination between different stakeholders Extreme power imbalance, inequality and injustice Absence of peaceful conflict resolution mechanism, Existence of coercive mechanisms, discrimination and/or repression Governance: inability to develop and establish fair processes and institutions Analytical: lack of access to knowledge and use of tools,
Capacities	 Dominant worldview: one way communication Lack of accessibility, accountability and responsiveness Prohibition to exercise customary rights, rules and norms Absence of collaboration and coordination between different stakeholders Extreme power imbalance, inequality and injustice Absence of peaceful conflict resolution mechanism, Existence of coercive mechanisms, discrimination and/or repression Governance: inability to develop and establish fair processes and institutions Analytical: lack of access to knowledge and use of tools, Motivational: lack of williness/not desire to integrate plural values
Capacities	 Dominant worldview: one way communication Lack of accessibility, accountability and responsiveness Prohibition to exercise customary rights, rules and norms Absence of collaboration and coordination between different stakeholders Extreme power imbalance, inequality and injustice Absence of peaceful conflict resolution mechanism, Existence of coercive mechanisms, discrimination and/or repression Governance: inability to develop and establish fair processes and institutions Analytical: lack of access to knowledge and use of tools, Motivational: lack of willness/not desire to integrate plural values Bridging: there neither interest nor acceptance for different knowledge
Capacities	 Dominant worldview: one way communication Lack of accessibility, accountability and responsiveness Prohibition to exercise customary rights, rules and norms Absence of collaboration and coordination between different stakeholders Extreme power imbalance, inequality and injustice Absence of peaceful conflict resolution mechanism, Existence of coercive mechanisms, discrimination and/or repression Governance: inability to develop and establish fair processes and institutions Analytical: lack of access to knowledge and use of tools, Motivational: lack of willness/not desire to integrate plural values Bridging: there neither interest nor acceptance for different knowledge systems
Capacities	 Dominant worldview: one way communication Lack of accessibility, accountability and responsiveness Prohibition to exercise customary rights, rules and norms Absence of collaboration and coordination between different stakeholders Extreme power imbalance, inequality and injustice Absence of peaceful conflict resolution mechanism, Existence of coercive mechanisms, discrimination and/or repression Governance: inability to develop and establish fair processes and institutions Analytical: lack of access to knowledge and use of tools, Motivational: lack of willness/not desire to integrate plural values Bridging: there neither interest nor acceptance for different knowledge

Context assessment grid

The context assessment grid considers factors such as level and quality of participation, accountability, responsiveness and inclusiveness of different actors and their values for an appropriate policy design and effective implementation. The grid could be used to identify what is present and what is missing in specific situations (*Table 6.6*). Of course, most real-world scenarios will possess characteristics and capacities from different types of contexts. Nevertheless, it should be possible to identify general trends, identifying salient aspects that are either strongly enabling or strongly contested, when identifying options for action. Whenever the assessment is negative, this could be interpreted as a restriction at the beginning of the process, turning to be an opportunity to start to

build on. For example in a conducive context where accountability exists but responsiveness is missed, actions should be focus on creating enabling conditions to enhance responsiveness, strengthening trust and legitimacy.

	Context	Enabling	Conducive	Challenge	Restrictive
	Participatory and Power balance mechanisms (such as consultations)	++	+	-	
eristics	Accountability, transparency and responsiveness	++	+	-	
Institutional characteristics	Access to information and knowledge	++	+	-	
itutional	Collaboration and coordination between and within levels	++	+	-	
Inst	Peaceful conflict resolution mechanisms	++	+	-	
	Recognition of rights and exercise of rights	++	+	-	
	Governance	++	+	-	
	Analytical	++	+	-	
ities	Motivational	++	+	-	
Capacities	Negotiation	++	+	-	
	Bridging	++	+	-	
	Social Network	++	+	-	

Table 6.6. Context assessment grid. References: enabling (++), conducive (+), challenge (-), contested (--).

Different contexts enable different stakeholders to act

Different contexts usually offer varying opportunities for different actors to become engaged, and for their actions to have impact. Restrictive and/or contested contexts will generally allow the fewest options for action (red and orange), while more enabling contexts (green and yellow) usually offer a much broader range of possibilities (green) (*Table 6.7*). This means that any option for actions, methodology and policy that is suggested for restrictive contexts could also be used in all the other contexts. On the contrary, actions that are possible to implement in more enabling contexts might be difficult, challenging and/or even counterproductive for more restrictive ones.

Table 6.7. Options for actions in different contexts. Colour codes: Green: possible to act, more responsibility; Yellow: possible to act, with some responsibility; Orange: difficult to act; Dark orange: action is not allowed or not desire, they also might be blocked.

Contexts	Options for actions	International organizations	National Government	Subnational Government	Civil Society organizations	Private Sector	University & Research
	Institutional conditions: maintain						
	Capacity development: Maintain						
	Coordination and collaboration: recreate						
Enabling	Implementation: Monitor, evaluate adapt and improve						
É	Generation, access and use of information: maintain						
	Knowledge sharing, co-production and weaving: promote and recreate						
	Institutional conditions: Improve						
	Capacity development: strengthen						
Conducive	Coordination and collaboration						
	Implementation: Strengthen/improve						
	Generation, access and use of information: improve existing channels						
	Knowledge sharing, co-production and weaving: create and support						
	Institutional conditions: Develop						
	Capacity development: Develop						
nging	Coordination and collaboration: work on to build the basics						
Challenging	Implementation: Especially at subnational and municipal level						
	Generation, access and use of information: work to open new channels						
	Knowledge sharing, co-production and weaving: enable						
ed							
Contested	Institutional conditions: Create						
Co	Capacity development: started						

Coordination and collaboration: Started			
Implementation: Support under safe space			
Generation, access and use of information: create alternatives			
Knowledge sharing, co-production and weaving: build conditions			

	Options available in				
Enabling contexts	Conducive contexts	Challenging contexts	Contested contexts		
 Maintain, improve, consolidate and recreate institutional conditions for pluralistic approaches and policies Support/recreate democracy, inclusiveness, trust and transparency, accountability and responsiveness Ensure allocation of resources to maintain conditions and capacities Influence different decisions; political (rights and obligations), economic (incentives, levies, fines and investment) and social environment (inclusion, motivation). Improve, develop and adapt. Consolidate spaces for the joint consideration of values associate with different sectoral interests. Key cross scale value trade-offs and conflicts Consolidate and maintain inclusive mechanisms for dialogue Promote inclusion of sustainability aligned strategies and plan in policies related NCPs Setting standards, advocacy among interest groups, prioritize inclusion of values in programs and projects 	 Improve and established institutional conditions, e.g., access to information, transparency and responsiveness. Improve democracy, institutionalize customary rights recognition, support implementation first in pilots then in a broader scope, create learning loops. Strengthening influence decisions; political (rights and obligations), economic (incentives, levies, fines and investment) and social environment (inclusion, motivation) Work with research funders and development agencies on procedural and distributional equity Support balance values across sectors, considering different priorities and interdependencies Cross-scale value trade-offs & conflicts Ensure meaningful participation of marginalized actors in decision-making, Foster adequate resource mobilization and allocation Enable sharing of resources between sectoral initiatives to leverage on synergies Strengthen governance frameworks to integrate plural values into cross-sectoral decisions 	 Identify champions that support the creation of enabling conditions Enable spaces where social organizations claimed and propose open spaces to discuss and where actors and decision makers could exchange different perspectives in safe. Support the inclusion of sustainability aligned principles in policies and plans Facilitate and build honour brokers. Work with awards Establish governance frameworks and institutions to integrate plural values Leverage barriers for valuation uptake Support participatory planning when possible Address resources and capacity development to overcome challenges in integrative valuation and uptake of results 	 Create open spaces for social actors to gather and safe spaces for decision-makers and other actors to exchange ideas Look for entry points by customary and informal rules of social interaction to broaden alternatives Work with champions and proud awards Participation and exchange in international meetings, conferences of parties and similar events. Position at different levels, exceptional commitments. Engage in cross sectoral dialogue and cooperation to promote transparent, ethical and sustainable production and consumption standards in markets Engage proactively in sustainable production, consumption, land use and related decision, building the safe space. 		

 Maintain, create and recreate, allocate resources Promote capacities to nurture behavioural change 	 Special attention to bridging capacity for knowledge weaving and validation Strengthen all capacities Special attention to negotiation capacity to overcome trade-offs Foster trans- and interdisciplinary research Promote valuation with all three method 	 Strengthen and building all six capacities at all action levels, especially at subnational levels starting with the analytical, negotiation and social network. At national level concentrate on build and improve the governance and motivation ones. Support building capacities for 	 Support curricula development for all three valuation method families. Use more nature and behaviour methods. Strengthen all capacities. Special attention on analytical, motivational and social network capacities at subnational, and governance capacities at national level.
	familiesDevelop capacities for integrated valuationStrengthen social learning	valuation, beginning with nature and behaviour ones	 Promote training and capacity building among CEOs and employees on values ethics and sustainability standards Foster trans- and interdisciplinary research methods and peer learning
 Strong work within all different levels and sector to recreate framework conditions and implementation support coordination and communication Maintain and recreate vertical and horizontal collaboration Strengthening communication between and within sectors 	 Established alliances among research institutions/university and government and support Networks Improve cooperation and collaboration between levels. Consider the last mile by policy formulation and implementation Enabling sharing of resources between sectoral initiatives to leverage synergies 	• Collaborate especially horizontally, and across local and subnational, and local and international scales	• Especially with civil society organizations, private sector and subnational levels, strengthening constructive forms of social interactions, show possible alternatives, build networks with international organizations and donors.
 Ensure information flows Promote research, generate, adapt and use of data 	Generate and improve data on plural values through all three families of methods	 Identify alternative ideas, support innovation Strengthen science-policy interfaces Support awareness raising Make information available and understandable for different audiences 	 Support dissemination, access and use of diverse information Search for alternative methods Facilitate information sharing through appropriate platforms and programs Make information available and understandable for different audiences
 Knowledge sharing, co-production, bridging and weaving Ensure that high levels policy dialogues and platforms consider plural values. Advocate for non-conventional approaches to valuation. Confront vested interests and power relations to allow transformative change. 	 Make knowledge co-production work and build knowledge weaving Knowledge sharing and exchange: support innovation and scaling up. 	 Create "safe spaces" for knowledge sharing and co-production. Support exchange and participation in international meetings. Identify alternative forms of knowledge validation and credibility Highlight relevant initiatives and brightspot stories 	 Establish exchange opportunities for individuals and groups to ensure openness and willingness to learn and adapt Create "safe spaces" for knowledge sharing and co-production. Identify and understand different validation forms

	• Generation, upgrade, adaptation of data,	• Develop, adapt and disseminate tools,	• Enable access and use of information	• Support design and implementation of
	tools, methodologies and policies	methodologies, indicators to assess,	and valuation methods. Prioritize nature-	pluralistic approaches for restrictive
	 All three family of valuation methods 	adapt and improve policy	based and behaviour methods	contexts (see below), ensuring safety
	(nature, behaviour and statement	• Use all three valuation families (nature,	• Support design and implementation of	and well-being of participants and
	valuation) possible,	behaviour and statement) and booster	pluralistic approaches (e.g., confidential	researchers, using more nature and
	 Support integrate valuation with 	policy uptake within the process	interviews, storytelling and dialogues).	behavior methods.
	different sources of information	 Improve design and implementation of 	 Promote more integrated valuation 	 Make alternative policy support tools
	 disseminate tools, methodologies, 	strategies and tools considering	Make methodologies for pluralistic	and methodologies understandable,
	approaches, policies and indicators to	disaggregate information and data	approaches understandable, accessible	accessible and feasible for actors of
	assess, adapt and improve them	 Improve design, use and 	and feasible.	alternatives spaces
	 keep on working on implementation, 	institutionalization of tools for a better	Contribute to sectoral processes,	 Valuation for information and technical
	monitoring, evaluation and adaptation	participation, knowledge weaving and	research programs, international	decisions
	of policies (in both formulation and	build bridging capacities	agreements etc. where customary rights	Support community-based approaches
	implementation) to ensure inclusion,	 Valuation purposes for information, 	play a major role.	and subnational levels for pilots
	impacts and effectiveness.	decisions and technical are possible	 Support integration of policy support 	Collaborative projects CSR activities
	 Valuation purposes for information, 	Ensure target project funding	tools and methodologies into policy	Allocate subnational resources to
	decisions and technical	 Promote advocacy and crowed funding 	formulation	enable the integration of diverse values
,	 Design, improvement and 	 Foster valuation processes through 	Conduct awareness raising campaigns in	at local planning and implementation
	implementation of public policy in	methods that help to address and	collaboration with civil society	level, when possible.
	existing laws, regulations and	transform conflicts under conditions of	organization and actors that could be	
	incentives, planning processes, research	high stakes and incommensurable	alternative champions.	
	programs.	values.	Advocacy among different influential	
	 Promote social learning 	Plan, monitor and report processes	stakeholders, including policy makers,	
	8	aligned to principles of inclusive	business communities and others	
		participation	• Support participatory planning and	
		 Promote participatory planning and 	implementation mechanisms,	
		implementation mechanisms	considering and addressing limitations.	
		1	• Seek and demand formal spaces and	
			policies that invite to participation and	
			consultation in decision making	

Guiding questions along the 8 iterative steps of the operationalization cycle

Step 1 – Clarify the scope and the purpose

- Why and where do we want to integrate multiple values?
- Where do we want to integrate them? In which sector?
- What are the main decisions, policies and/or management process that we want to address?
- What are the intended influences and expected outcomes?
- How will the use of pluralistic approaches contribute towards this outcome?
- Who are key stakeholders and actors to consider, and what are their roles and influences?
- How should different stakeholders participate in the valuation process?
- What methods can be used to ensure that stakeholders' needs, perceptions, worldviews and knowledge are reflected and woven into the valuation process?
- What resources are available?

Step 2 – Understand the context

- Which context pertain?
- How do the rules of engagement and participation look like?
- How do these influence rules of knowledge co production and weaving possibilities? (including validation and legitimation)
- What institutional conditions and capacities are given and could be used as a basis to start the work?
- What are the main gaps?
- What Institutional conditions and capacities should be created for a stronger contribution to transformational change?
- What stakeholders and actors should be considered and involved?

Step 3 – Represent diverse values

- Which and whose values need to be represented?
- What types of values are considered relevant by whom?
- What values are related with what outcomes?
- What effects and impacts do we want to achieve with them?
- What type of valuation is needed to inform decision makers?
- Which policy support tools and methodologies can be used?

Step 4 – Weigh up the trade-offs

- Which trade-offs and synergies arise?
- What and who do these relate to?
- Who stand to gain or to lose?
- What are the likely impacts?

• What alternative exists and what do they need to become feasible?

Step 5 – Trade the decision chain

- What are interventions are required?
- What are key decision processes and makers?
- On what basis are decisions made?
- What kinds of evidence and information are required to influence or change decisionmaking?
- How can this evidence and information be generated, and what gaps remain?
- What approaches and processes are required to ensure credibility, relevancy and legitimacy of the target audience?

Step 6 – Select policy options

- What are the drivers of change?
- Which instruments and interventions are needed?
- What are the implementation requirements?
- At what phase of the policy cycle should the policy option be considered?
- How can these be tailored to decision processes and audiences?

Step 7 – Find and use entry points

- What entry points are available?
- How to access these?
- How to leverage decision change?
- How to communicate effectively?
- What are actor s issues, challenges and priorities?
- What is typical for the stakeholder approach to work?
- What kind of relationships?
- Which preferences and approaches?

Step 8 – Reflect outcomes

- What outcomes were achieved?
- How effective were the tools and measures?
- What improvements are needed?.
- What processes and stakeholders should be addressed?

A list of online available guidance tools for operationalizing the multiple values of nature in decisions

- CBD (2007). Communication, Education and Public Awareness (CEPA).
- Toolkit: https://www.cbd.int/cepa/toolkit/2008/doc/CBD-Toolkit-Complete.pdf
- DEFRA (2011). Participatory and deliberative techniques to embed an ecosystem services approach into decision-making.
- An introductory guide. GTZ (2007). Multi-stakeholder management: Tools for stakeholder analysis: 10 building blocks for designing participatory systems of cooperation: http://www.fsnnetwork.org/sites/default/files/en-svmp-instrumente-akteuersanalyse.pdf
- ODI Planning Tools Stakeholder Analysis: https://www.odi.org/publications/5257stakeholder-analysis
- Reef, M. et al (2009). Who's and why? A typology of stakeholder's analysis methods for natural resource management. Journal of environmental management.
- Wageningen University's Centre for Development Innovation: Knowledge co-creation portal.
- Multi-stakeholder partnerships: http://www.mspguide.org/tools-and-meth
- The Guide to Corporate Ecosystem Valuation A framework for improving corporate decision-making (WBCSD, PWC; ERM, IUCN 2011) provides a matrix for identifying the links between business sectors and ecosystem service values: http://www.wbcsd.org/work-program/ecosystems/cev.aspx
- Ash N., H. Blanco, C. Brown, K. Garcia, T. Henrichs, N. Lucas, C. Ruadseep-Heane, R.D. Simpson, R. Scholes, T. Tomich, B. Vira, and M. Zurek (eds) (2010). Ecosystems and human well-being: A manual for assessment practitioners. Island Press, Washington, DC. US http://www.unep-wcmc.org/resources-and-data/ecosystems-and-human-wellbeing--amanual-for-assessment-practitioners
- GIZ (2016) ValuES Integrating Ecosystem Services into Policy, Planning and Practice. Methods navigator: http://www.aboutvalues.net/method_navigator/
- WRI has published a step-by-step method "Weaving Ecosystem Services into Impact Assessment (2013), the technical appendix contains several tools and furthermore you can directly download spread sheets for prioritizing impacts and dependencies: http://www.wri.org/publication/weaving-ecosystem-services-into-impact-assessment
- UNEP-WCMC. (2011). National Ecosystem Assessments. Recuperado 9 de julio de 2019, de UNEP-1497 WCMC's official website National Ecosystem Assessments website: https://www.unep-1498 wcmc.org/national-ecosystem-assessments
- Bromley W. (1992). Making the commons work: Theory, practise and policy. Institute for Contemporary Studies Press. San Francisco, California: http://library.uniteddiversity.coop/Cooperatives/MultiStakeholder_Coops/Making_the_Com mons_Work-Theory_Practice_and_ Policy.pdf
- BiodivERsA Stakeholder Engagement Toolkit (2013): http://www.biodiversa.org/702
- DEFRA (2011). Participatory and deliberative techniques to embed an ecosystems approach into decision making: An introductory guide.

- Felipe-Lucía M. et al. (2015). Ecosystem services flows: why stakeholders' power relationships matters: http://journals.plos.org/plosone/article?id=10.1371/journal.pone.0132232
- GTZ (2007). Multi-stakeholder management: Tools for stakeholder analysis: 10 building blocks for designing participatory systems of cooperation: http://www.fsnnetwork.org/sites/default/files/en-svmp-instrumente-akteuersanalyse.pdf
- Hanna S. and M. Munasinghe (1995). Property rights and the environment Social and ecological issues.
- The Beijer international Institute of Ecological Economics and the World Bank: http://elibrary.worldbank.org/doi/abs/10.1596/0-8213-3415-8
- Mayers J. (2005). Stakeholder power analysis, IIED: http://www.policypowertools.org/Tools/Understanding/docs/stakeholder_power_tool_englis h.pdf
- Center for International Forestry research. CIFOR. Sindangbarang, Bogor.
- Schmeer K. (1999). Stakeholder analysis guidelines. Policy Toolkit for Strengthening Health Sector Reform, Abt Associates, Inc., Bethesda, MD, USA: http://www.who.int/workforcealliance/knowledge/toolkit/33.pdf
- EuropeAid (2010), Toolkit for Capacity Development, Tools and Methods Series, Reference Document No. 6, European Commission.
- John Gaventa (2005) Reflections on the Uses of the 'Power Cube': Approach for Analyzing the Spaces, Places and Dynamics of Civil Society Participation and Engagement CFP evaluation series 2003-2006: no 4, Mfp Breed Netwerk
- Swedish International Development Co-operation Agency (2000). Analysis of needs for capacity development. Capacity Development Working Paper No. 4.
- Increasing the policy impact of ecosystem service assessments and valuations Insights from practice (2016):

http://www.aboutvalues.net/data/about_values/increasing_impact_of_es_assessments.pdf

- UNDP 2020: What is a good practice? A framework to analyse the quality of stakeholder engagement in implementation and follow up of the 2030 Agenda
- GIZ 2015: Handbook: Cooperation Management for Practitioners
- Reed 2017 et al: A theory of participation: What makes stakeholder and public engagement in environmental management work? https://www.researchgate.net/publication/319210815_A_theory_of_participation_What_ma kes_stakeholder_and_public_engagement_in_environmental_management_work
- UNDP. (2012). Institutional and Context Analysis Guidance Note (p. 48). New York, USA
- GIZ (2018): Principles of Ecosystem Services Assessment por policy impacts: http://www.aboutvalues.net/data/trainings/3_manual_principlesesav_low.pdf
- GIZ (2012): Manual Integrating Ecosystem Services into developing planning: http://www.aboutvalues.net/data/six_steps/integr_ecosys_serv_in_dev_planning_en.pdf
- Method profile on identification of stakeholders in the ValuES Methods Inventory: http://www.aboutvalues.net/method_navigator/
- OpenNESS Project: Operalisation of Natural Capital and Ecosystem Services: http://www.openness-project.eu/library/reference-book/sp-stakeholder-involvement

- Tengö, M., Brondizio, E. S., Elmqvist, T., Malmer, P., & Spierenburg, M. (2014). Connecting diverse 1480 knowledge systems for enhanced ecosystem governance: The multiple evidence base 1481 approach. https://doi.org/10.1007/s13280-014-0501-3 1482
- Tengö, M., Hill, R., Malmer, P., Raymond, C. M., Spierenburg, M., Danielsen, F., ... Folke, C. (2017). 1483 Weaving knowledge systems in IPBES, CBD and beyond—lessons learned for sustainability. 1484 Current Opinion in Environmental Sustainability, 26-27, 17-25.