# **Particle swarm optimization based multilevel MRI compression using compressive sensing**

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# **1. INTRODUCTION**

Compressive sensing (CS) is a very efficient tool for signal compression, since it can sample the signal under the Nyquist rate as stated in [1] and [2]. However, this is only possible if the signal is sparse, where the incoherence property is satisfied. The magnetic resonance imaging (MRI) images as other types of images require compression. These images are not necessarily sparse in the time domain, but they are sparser in other domains like the discrete cosine transform (DCT), the discrete Fourier transform, or the wavelet transform (WT) [3], [4]. An MRI image has different nature other than ordinary images, it contains areas of high sparsity and areas of low sparsity, where high details occur. From image compression perspective, it is not wise to compress the whole image as one frame, using the same compression ratio. A multilevel compression is more proper to compress each frame in the image with respect to its sparsity content.

The CS method has many applications in different trends in previous studies. A comparative analysis of different transforms is presented in [4], the analysis shows that the DCT outperforms other transforms for grey images, while the Haar WT is better for coloured images. A hybrid method for MRI CSbased compression is presented in [5], the method employs the Walsh Hadamard transform and the discrete WT, to provide a sparse domain for the CS. A CS and deep learning method are employed for quantitative MRI reconstruction [6]. A CS MRI image reconstruction is presented in [7], the method adopts the empirical WT as a sparse feature domain and the grey wolf optimizer, to tune the method parameters. The CS is used with dual-tree complex WT to reconstruct an image, by comparing the distorted image measurements to a reference image [8]. A CS-based compression is suggested in [9], the algorithm divides the images into blocks, each block location is found optimally, then sampled differently using specific measurement matrix.

(5)

A high speed compression is proposed, by reducing the optical signal size using CS [10]. A projection driven block-based CS recovery algorithm is proposed in [11], to provide more sparsity in the wavelet domain, the algorithm is designed to achieve a focused image among other unfocused images. A CS-based compression for video and image is suggested in [12], the sparsity is adaptively measured in this method. A hyper-chaotic algorithm with 2D CS is used for encryption-compression scheme [13]. Rearranged wavelet coefficients are used with CS for image compression in [14]. An image compression algorithm that employs CS for infrared image, is suggested in [15]. First, block-sparsity is used to represent the small target image, then the correlated source vector of the image is modeled using Bayesian framework. Three recovery methods are explored for CS-based MRI image recovery, Basis Pursuit, Matching Pursuit and Orthogonal Matching Pursuit [16]. CS is used in 3D multi-channel data method for MRI image reconstruction in [17], due to the parallel reconstruction, the processing time gain is the main advantage of the method. Many compression methods as mentioned above use fixed compression ratio for the entire image [18]-[20]. A non-uniform sparsity constraint methods are proposed in [21], [22], the methods suggest splitting the MRI image in the frequency domain to 5 regions, based on the sparsity level of each region. In [23], another algorithm that uses adaptive sparsity CS per block is proposed. Two approaches are considered to set the samples number per block, where the data presented in the DCT domain. Tahsan and Azawi [24] propose a multi-level MRI image compression using CS, six different MRI images are considered, each image is segmented into blocks, then the DCT is computed, based on the sparsity in the block, the compression CS level is chosen. The results show significant better compression, when compared to linear compression for the whole image.

The work here presents a continuation of the proposed algorithm in [24], where the thresholds that define the different four compression levels are selected experimentally, and the amount of information for CS in each level also experimentally selected. The contribution in this paper is by automatically computing these thresholds using the particle swarm optimization (PSO) algorithm, which searches for the optimal value of these thresholds, based on the best fitness function. The fitness function can be either a target compression level, where the PSO tends to find the thresholds of the highest PSNR, or the fitness function can be a target PSNR, where the PSO tends to find the thresholds of the highest compression level.

The rest of the paper is organized as follows: section 2 addresses the CS theoretical background, section 3 explains the PSO theory, section 4 presents the proposed method, section 5 describes the fitness function, section 6 adresses the results and discussion, while section 7 presents the main conclusions.

### **2. CS THEORY**

The CS simply means sampling the signal under the Nyquist rate and can be described mathematically as follows: Assuming that  $X$  is a discrete signal, which can be expressed in different sparse domain S using transformation matrix  $\Phi$  as shown in (1).

$$
S = \Phi X \tag{1}
$$

where X is the discrete signal of N elements,  $\Phi$  is the transformation matrix of  $N \times N$  in size, and S is the signal in the sparse domain. There are K non-zero components in S, where  $N \gg K$ . The CS theory states that M samples can be randomly selected from S, i.e., the matrix  $\Phi$  is sub-sampled by choosing some random rows/columns. If the sub-matrix chosen from the matrix  $\Phi$  is  $\Lambda_{M\times N}$  where  $N \gg M$ , then  $Y_{M\times 1}$ contains the measurements of the CS [25].

$$
Y = \Lambda S = \Lambda \Phi X \tag{2}
$$

$$
Y = \Theta X \tag{3}
$$

where  $\Theta_{M \times N} = \Lambda \Phi$  represents the CS matrix. The size of Y is much less the size of X. The choice of  $\Phi$  must guarantees the property of incoherence, where small number of measurements can provide the reconstruction. In (3) contains more unknowns than equations. An optimization algorithm must be used to reach a solution. Greedy algorithms are commonly used such as convex relaxation [26], orthogonal matching pursuit (OMP) [27], and matching pursuit (MP) [28]. Here, the  $l_1$ -minimization is considered, where it expressed as:

$$
\min \|X\|_{l_1} \text{ subject to } Y = \Theta X \tag{4}
$$

where the  $l_1$  norm of  $X$  represents the absolute sum of the components of  $X$  $\min ||X||_{l_1} = \sum_{i=1}^{N} |X_i|$ 

## **3. PSO THEORY**

The PSO is widely used in optimization problem, due to its simplicity of implementation [29], [30]. In PSO, several elements in the swarm scan the d-dimensional problem space for new solutions. A vector of position Pi (the particle's indicator), and a velocity vector indicate each particle's position and velocity, respectively. After each iteration, the swarm's best position vector is saved in a vector. In (6) determines the velocity update from the original velocity to the current velocity. In (7) is then used to calculate the current position, which is equal to the sum of the new velocity and the old position [31].

$$
v_i(t) = w * v_i(t-1) + C_1 R_1 \left( P_{\text{Best}_i}(t) - P_i(t-1) \right) + C_2 R_2 (G_{\text{Best}_t} - P_i(t-1)) \tag{6}
$$

$$
P_i(t) = P_i(t-1) + v_i(t-1)
$$
\n(7)

Where  $v_i$  is the ith particle velocity, w represents the inertia,  $P_{\text{Best}_i}$  is the best position for the ith particle,  $G_{Best}$  is the best position up to the tth iteration,  $C_1$  and  $C_2$  are acceleration factors, and  $R_1$  and  $R_2$  are two random numbers uniformly distributed in the interval between 0 and 1. The size and range of the particles are defined according to the problem. The best fitness must have a lower value than a pre-set threshold [31]. A summary of how a PSO works can be addressed in five steps as follows:

Step 1: Initialize for each particle with velocity and location.

Step 2: Determine the optimal solution; if it is best than  $P_{best}$ , the current value is equal to  $P_{best}$ .

Step 3: G<sub>best</sub> is the best fitness value for particles.

- Step 4: Calculate the new velocity and position for each particle according to velocity and position update equations.
- Step 5: While the minimum error criteria are not attained, the steps 2 to 4 are repeated until the required target is obtained.

### **4. PROPOSED METHOD**

The proposed compression method in this paper is a modified version of the method previously presented in [24]. The main difference is that the experimentally tunned thresholds in [24] are PSO optimized in this paper. Figure 1 illustrates the flowchart of the proposed method. The method starts with dividing the image into frames. The DCT is then calculated for each frame, and the sparsity index (SI) is computed for that frame as described in [24]. The next step is compressing each frame using CS, based on its SI value, high SI refers to higher compression level, since the frame contains high sparsity. While low SI refers to low compression level, since is contains low sparsity, i.e., high information.



Figure 1. Flowchart of the proposed method

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In the reconstruction stage, the CS is considered to recover each frame using a percentage  $Rec<sub>i</sub>$  of the DCT information, which represents the compressed data of that frame. It can be noticed from Figure 1 that  $SI_1$ ,  $SI_2$ ,  $Rec_1$ ,  $Rec_2$  and  $Rec_3$  are all experimentally chosen in [24]. While in this paper, these parameters are optimized using PSO, to provide a fitness criterion.

## **5. FITNESS FUNCTION**

The PSO in this paper is designed to maximize a fitness function that considers two main parameters, the peak signal to noise ratio (PSNR) and the compression level (CL). To model such fitness function it is tricky task, since the better solution of the five parameters  $SI_1$ ,  $SI_2$ ,  $Rec_1$ ,  $Rec_2$  and  $Rec_3$  must satisfy a higher PSNR and higher CL. It is well known that in image compression higher PSNR can be only obtained with low CL. While higher CL can be only obtained with low PSNR value. In the proposed method, it is up to the user to decide which parameter to set and which to optimize. For example, the user can set a specific CL value, and the PSO optimizes the five parameters to maximize the PSNR. Alternatively, the user can set a desired PSNR value, and the PSO optimizes the five parameters to maximize the CL. To meet the previous conditions, in this paper a two-dimensional sigmoid function is suggested as follows:

$$
F_1 = \frac{500}{1 + e^{-t_1(CL - m_1)} + e^{t_1(CL - m_1)} + e^{-t_2(100 - PSNR)} + e^{0.75t_2(100 - PSNR)}}
$$
(8)

Where  $F_1$  is the fitness function, that maximizes the PSNR after the user pre-set the CL to a desired value of  $m<sub>1</sub>$ .

$$
F_2 = \frac{500}{1 + e^{-t_1(PSNR - m_2)} + e^{t_1(PSNR - m_2)} + e^{-t_2(100 - CL)} + e^{0.75t_2(100 - CL)}}
$$
(9)

Where  $F_2$  is the fitness function, that maximizes the CL after the user pre-set the PSNR to a desired value of  $m_2$ ,  $t_1$  and  $t_2$  are the temperatures factors of the two-dimensional sigmoid function and their values are 10 and 0.04 respectively. Figure 2(a) shows the graph of the suggested fitness function as stated in (8), while Figure 2(b) shows the fitness function as stated in (9).



Figure 2. Fitness functions by (a) setting CL to 60% and maximize PSNR and (b) setting PSNR to 40 dB and maximize CL

#### **6. RESULTS AND DISCUSSION**

The same six images, which are previously used in [24] are considered here. These images are named as  $Head_{720\times720}$ ,  $Neck_{600\times600}$ ,  $Brain_{840\times840}$ ,  $Ankle_{720\times720}$ ,  $Hand_{460\times720}$ , and  $Knee_{720\times720}$  as shown in Figures 3(a)-(f). A segmentation of  $10 \times 10$  pixels is applied on each image, then the CS compression is performed as explained in Figure 1. Each MRI image has a trade-off curve between the CL and the PSNR. Here,  $F_2$  as expressed in (9), is considered as the fitness function for the PSO. The PSO parameters are listed in Table 1.

	Table 1. PSO parameters	
Parameter $C_1$ $C_2$ $W$	Value $\frac{1.5}{2.5}$	
	0.6	
Swarm size Iterations	$\frac{10}{10}$	
$\overline{a}$	(b)	
$\overline{\text{(c)}}$	$\overline{d}$	
(e)	$\overline{f}$	

Figure 3. MRI images, (a) head, (b) neck, (c) brain, (d) ankle, (e) hand, and (f) knee

Figures 4(a)-(f) (see in Appendix) shows the trade-off curve for each image, in the case of using uniform compression (i.e., compressing the whole image with one CL), and in the case of using the proposed method, where multilevel CS compression is used, and the tuneable parameters  $SI_1$ ,  $SI_2$ ,  $Rec_1$ ,  $Rec_2$  and  $Rec<sub>3</sub>$  are optimized using the PSO for each image, at a desired PSNR.

It can be noticed from Figure  $4(a)$ -(f) (see in Appendix) that the proposed method has superior performance at high PSNR values, when compared to uniform compression. However, the difference in CL decreases between the two at low PSNR values. Practically, the user of the compression proposed method can decide the desired PSNR value, while the method optimizes for highest possible CL value.

# **7. CONCLUSIONS**

This paper presents a modification on the compression method, previously presented in [24]. The proposed method employs PSO, to optimize the amount of information for the CS reconstruction, at each level of compression  $Rec_1$ ,  $Rec_2$  and  $Rec_3$ . In addition, the PSO optimizes the thresholds of the sparsity, that separate the levels of compression  $SI_1$  and  $SI_2$ . A two-dimensional sigmoid function is suggested, as fitness function for the PSO. The main advantage of the proposed method, is the flexibility in setting different PSNR value, to achieve the highest possible CL.

## **ACKNOWLEDGEMENTS**

The authors would like to thank all colleagues at Electrical Engineering Department, College of Engineering, Mustansiriyah University for their support. (https://uomustansiriyah.edu.iq/).

# **APPENDIX**



Figure 4. Proposed method performance versus uniform compression (a) head, (b) neck, (c) brain, (d) ankle, (e) hand, and (f) knee

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