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1 Introduction

1.1 Purpose of the document

This document is the 1st update of the Data Management Plan (DMP) of the CURE project (Copernicus for Urban Resilience in Europe). It contains information required for the management of all data and products to be collected and generated during the project. The document outlines how data are handled during the project implementation phase and after it, describing the kind of data to be collected, processed, or generated, as well as the standards to be followed and their compliance with the FAIR guiding principles (Findable, Accessible, Interoperable and Reusable) (Wilkinson et al., 2016).

A sound data management is pivotal for fully realising the CURE project, as well as for allowing the wider research and user communities to access and further assess the project achievements. Within the project consortium, well curated data stimulate and ensure smooth collaboration between the project partners. Regarding the researchers and users, sound data management allows easy evaluation and deployment of the CURE data products. For communication, dissemination, and exploitation purposes, open access to publications and research data generated by the project helps to underpin its credibility and stimulates the uptake of the CURE results.

The CURE data management evolves during the project implementation phase. Thus, this document constitutes an update of the initial CURE DMP (CURE Deliverable D7.4) and presents the relevant status and planning in month 18. Any potential revision of the provisions in this document will be recorded in the final periodic update of the DMP, which will take place in the end of the project (month 36).

1.2 Definitions and acronyms

Definitions

<u>Data Management Plan (DMP)</u>: Document outlining how the research data collected or generated by a project will be handled during and after the life of the project. It sets out: the data to be collected/generated, the methodology and standards to be used, whether and how the data will be shared and/or made accessible, how data will be curated and preserved (EC "Funding & tender opportunities" Glossary, 2021).

<u>Open access to publications</u>: Practice of providing free online access to scientific information in 2 main categories: peer-reviewed research articles (published in academic journals), research data (data underlying publications and/or raw data) (EC "Funding & tender opportunities" Glossary, 2021).

<u>Open access to research data</u>: Practice of making results public by providing access to digital research data (such as statistics, results of experiments, measurements, observations resulting



from fieldwork, survey results, interview recordings and images) and giving the possibility to re-use it. Openly accessible research data can typically be accessed, mined, exploited, reproduced and disseminated free of charge for the user (EC "Funding & tender opportunities" Glossary, 2021).

The FAIR Guiding Principles (Wilkinson et al., 2016)

- To be Findable: (meta)data are assigned a globally unique and persistent identifier; data are described with rich metadata; metadata clearly and explicitly include the identifier of the data it describes; (meta)data are registered or indexed in a searchable resource.
- To be Accessible: (meta)data are retrievable by their identifier using a standardised communications protocol (the protocol is open, free, and universally implementable the protocol allows for an authentication and authorisation procedure, where necessary); metadata are accessible, even when the data are no longer available.
- To be Interoperable: (meta)data use a formal, accessible, shared, and broadly applicable language for knowledge representation; (meta)data use vocabularies that follow FAIR principles; (meta)data include qualified references to other (meta)data.
- To be Reusable: meta(data) are richly described with a plurality of accurate and relevant attributes ((meta)data are released with a clear and accessible data usage license (meta)data are associated with detailed provenance); (meta)data meet domain-relevant community standards.

Acronyms

| API | Application Programming Interface |
|------|---|
| C3S | Copernicus Climate Change Service |
| CAMS | Copernicus Atmosphere Monitoring Service |
| CLMS | Copernicus Land Monitoring Service |
| CURE | Copernicus for Urban Resilience in Europe |
| DIAS | Data and Information Access Services |
| | |

DMP Data Management Plan
DOI Digital Object Identifier
EC European Commission

EMS Emergency Management Service

EO Earth Observation EU European Union

FAIR Findable, Accessible, Interoperable and Reusable
GEMET General Multilingual Environmental Thesaurus
INSPIRE Infrastructure for Spatial Information in the Europe

SDGs Sustainable Development Goals

UN United Nations



2 Project overview

Urban resilience refers to the ability of an urban system to maintain or rapidly return to desired functions in the face of a disturbance, to adapt to change, and to quickly transform systems that limit current or future adaptive capacity (Meerow et al., 2016). Mitigation and adaptation actions that enhance the resilience of cities need to be based on a sound understanding and quantification of the drivers of urban transformation and settlement structures, human and urban vulnerability, as well as local and global climate change, as defined by United Nations (UN) in the Sustainable Development Goals (SDGs) and the New Urban Agenda (UN, 2015; 2017).

Copernicus, as the means for the establishment of a European capacity for Earth Observation (EO), is based on continuously evolving Core Services. The CURE project uses information from the Copernicus Land Monitoring Service (CLMS), the Copernicus Atmosphere Monitoring Service (CAMS), the Copernicus Climate Change Service (C3S) and the Emergency Management Service (EMS) to deal with the multidimensional nature of urban resilience (Figure 1). Also, it deploys data and products from contemporary satellite missions in order to achieve spatially disaggregated environmental information at local (neighbourhood) and city scales.

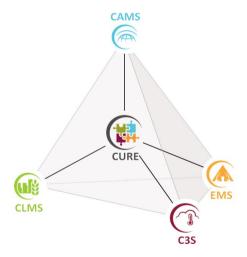


Figure 1. Conceptual illustration of the CURE system development as a cross-cutting structure, based on products derived from four different Copernicus Core Services: CLMS, CAMS, C3S and EMS. The CURE system will be built on Copernicus Data and Information Access Services (DIAS) and will contain cross-cutting applications related to urban resilience, reflecting urban sustainability dimensions.

Therefore, the CURE project addresses urban resilience, with the development of eleven cross-cutting applications among the above Copernicus Core Services, capable of coping with the required scale and granularity; by also integrating or exploiting third-party data, in-situ observations, and modelling. Moreover, it is introducing novel ideas on how applications for climate change adaptation and mitigation, healthy cities, and social environments, as well as energy and economy can be developed across Copernicus Core Services.



3 DATA SUMMARY

The CURE consortium generates, collects, and handles geospatial data in the format of raster (e.g. GeoTIFF), vector (e.g. shapefiles, kml/kmz, etc.), and table (e.g. csv files with coordinates and environmental parameters such as temperature, precipitation, etc.). Also, data (i.e. email addresses of stakeholders) and products (i.e. reports, photos, videos, presentations, and documents of various formats) related to the communication, dissemination and reporting needs of the project are managed. Moreover, data from potential users are gathered through workshops and other ways of interaction. Indicatively, at this stage, the total size of the CURE data and products is estimated to be less than 2 TB.

The CURE data and products can be grouped as follows:

1. Copernicus Core Services data:

- a. CLMS
- b. CAMS
- c. C3S
- d. EMS

2. Copernicus Satellites data:

- a. Sentinel 1
- b. Sentinel 2
- c. Sentinel 3

3. Third-party data:

- a. Commercial satellite data from the European Commission (EC) data warehouse
- b. Meteorological data from in-situ measurement stations

4. Users' responses:

- a. Users' requirements for CURE applications
- b. User evaluation of CURE system and its applications

5. CURE applications data and products

6. Communication, dissemination, and reporting products:

- a. Internal project reports and confidential Deliverables
- b. Communication and dissemination material (articles, conference presentations and publications, posters, newsletters, leaflet, etc.)
- c. Public Deliverables

7. Personal contact information

The basic data of the CURE project correspond to Group 1, since it develops cross-cutting applications using large amount of data from the existing Copernicus Core Services (i.e. CLMS, CAMS, C3S and EMS). Information are also extracted from data of the Copernicus Satellite missions (Group 2). Furthermore, third-party data are used for algorithms calibration and validation purposes (Group 3). Data are collected from potential users during the workshops, or with other interactive processes, for enhancing the CURE system and its applications based



on users' perspectives (Group 4). The CURE applications data and products are related to the implementation of the CURE applications in various cities (Group 5). Moreover, products related to the communication, dissemination, and reporting activities of the CURE project are considered in Group 6, while email addresses of the audience reached in the context of all communication and dissemination activities are included in Group 7. It has to be noted here that data from Groups 1, 2, 3, and 4 are data to be collected and used internally for the project implementation. Nevertheless, most of the data from Groups 1, 2, and 3 are also publicly available. Finally, the data and products from Groups 5, 6b, and 6c constitute the majority of the resulting products of the CURE project and are publicly available. Hence, this document mostly focuses on these last types of data and products.

Regarding CURE cross-cutting applications data and products (Group 5), local (neighbourhood) scale and city scale CURE products are provided from the implementation of these applications in various cities (http://cure-copernicus.eu/thecuresystem.html). Specifically, a range of cities have been selected in the CURE project as case studies (Figure 2): highly urbanised cities (Berlin, Munich); typical Central (Basel), Western (Bristol, Vitoria-Gasteiz, San Sebastian), Northern (Copenhagen), and Eastern (Ostrava, Sofia) European medium size cities; as well as a low latitude Mediterranean city with dynamic urbanisation (Heraklion). Berlin, Copenhagen, Sofia and Heraklion have the role of front runner cities (i.e. CURE applications are built in these cities), whereas the remaining cities have the role of follower cities (i.e. CURE applications are transferred and tested to these cities).



Figure 2. The front runner (with blue) and the follower (with green) cities of the CURE project.



Moreover, publicly available communication, dissemination, and reporting products are specified in Deliverable D7.2 and mainly consist of: articles in scientific journals and conference proceedings (http://cure-copernicus.eu/journals.html); the CURE newsletter including 6 issues (http://cure-copernicus.eu/newsletters.html); publications for public consumption, dissemination and communication material including the CURE leaflet (http://cure-copernicus.eu/published material.html); as well as Public Deliverables presented in Table 1 (http://cure-copernicus.eu/deliverables.html).

Table 1. The Public Deliverables of the CURE project.

| Deliverable (number) | Deliverable name | Delivery date (month) |
|-------------------------|--|-----------------------------|
| D7.3 | CURE Web-site | 3 |
| D7.4 | Data Management Plan | 3 |
| D1.1 | Summary of user requirements | 6 |
| D1.2 | Copernicus service review | 6 |
| D1.3 | Methodology review and selection | 6 |
| D2.1 | Copernicus Core Services Interface and Relevant Data Portfolio Guide | 6 |
| D2.2 | Copernicus Core Services Interface | 12 |
| D3.1 | Urban Cross-cutting Applications Preparation | 12 |
| D6.1 | Scenarios for CURE integration to Copernicus | 12 |
| D4.1 | CURE System Requirements | 15 |
| D4.2 | CURE System Design | 18 |
| D5.1 | Demonstration and Evaluation Methodology | 18 |
| D7.5 | Data Management Plan 1st Update | 18 |
| D3.2 | Urban Cross-cutting Applications Methods | 24 |
| D3.3 | Urban Cross-cutting Applications Sample Dataset | 24 |
| D5.2 | Users' Feedback on Demonstrations | 24 |
| D2.3 | Copernicus Core Services Interface Update | 30 |
| D3.4 | Urban Cross-cutting Applications Development Report | 30 |
| D4.3 | CURE System | 30 |
| D4.4 | CURE Application Solution Brochure | 30 |
| D4.5 | CURE Portal | 30 |
| D6.2 | Benchmarking, Scenarios & Economic Feasibility Report | 30 |
| D7.8 | CURE Published Material | 36 |
| D5.3 | Demonstration and Evaluation Final Report | 36 |
| D7.6 | Data Management Plan 2 nd Update | 36 |



4 FAIR DATA

All the CURE data and products from Groups 5, 6b, and 6c (publicly available project outputs) comply with the FAIR guiding principles (i.e. to be findable, to be accessible, to be interoperable, to be reusable), while the most of the other Groups data and products serve at least one guiding principle. It should be noted that not all details have been defined at this point, since the CURE data management is an ongoing and evolving activity during the project.

4.1 Making data findable, including provisions for metadata

The most of the CURE data and products can be characterised more or less as findable. However, these from Groups 5, 6b, and 6c serve this guiding principle the most, as each one of them is identified with a unique persistent identifier, i.e. a Digital Object Identifier (DOI), and described with rich standardised metadata that clearly include the persistent identifier. Towards increasing the findability of these data and products, the zenodo open access data repository is deployed and the CURE zenodo community (Figure 3) was created to host the most of the publicly available outputs of the CURE project, i.e. all products from Groups 6b and 6c as well as specific Group 5 data and products. Through zenodo communities, data and products can be collected, uploaded, curated and harvested, while each file upload can be combined with the following metadata information:

- Required: upload type, publication date, title, authors, description, access right, license.
- Recommended: communities, funding (grants), related/alternate identifiers.
- Optional: DOI, version, language, keywords, additional notes, contributors, references, journal, conference, book/report/chapter, thesis, subjects.

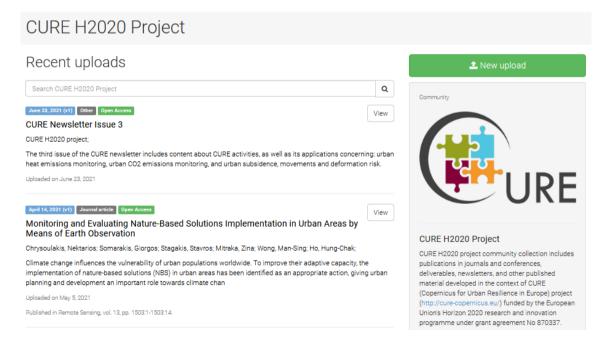


Figure 3. The zenodo community of the CURE project (https://zenodo.org/communities/cure-h2020).





Moreover, focusing on Group 5 data and products, they can be listed also in the official portal for European data (https://data.europa.eu), whereas the relevant data services can be findable through the DIAS platform. Group 5 products are named following naming conventions of the existing Copernicus Services. For each of the products, proper keywords harvested from wellestablished and harmonised thesaurus, such as EnvThes (https://www.ecopotentialproject.eu/images/ecopotential/documents/D5.6.pdf), **GEMET** (General Multilingual Environmental Thesaurus) (https://www.eionet.europa.eu/gemet/en/themes/) or other suitable vocabularies, are used. Also, it is expected to have more than one version of the crosscutting applications; thus clear version numbers, naming, keywords, and other metadata information indicate this distinction at the different steps. Additionally, Group 5 data are associated with metadata files compliant with the INSPIRE (Infrastructure for Spatial Information in the Europe) regulation and the metadata records can be indexed in a catalogue (e.g. within DIAS platform), linked with the related data.

4.2 Making data openly accessible

The accessibility status varies among the different CURE data and products types. Specifically, data from Groups 1 and 2 are already openly accessible. Group 3a data are not accessible, since they are commercial satellite data available to the CURE project from the Copernicus Space Component Data Warehouse. Group 3b data are openly accessible by the organisations owing the in-situ measurements equipment. Group 4 data are analysed and published through project Deliverables and other CURE publications. Data from Groups 6b and 6c are openly accessible through the CURE zenodo community and the CURE website (http://cure-copernicus.eu/). Group 6a data are confidential data shared within the CURE consortium and Group 7 data are accessible only to CURE partners responsible for communicating and disseminating CURE activities and outputs.

Finally, Group 5 data/products and their metadata will be openly accessible through the CURE zenodo community and DIAS. The methods of the individual applications to be developed in the CURE project are deployed in DIAS. Therefore, the relevant data and products will be accessible through the WEkEO DIAS service (https://www.wekeo.eu/), which is deployed for developing the CURE system; as it supports environmental data, virtual processing and skilled users as well as provides useful documentation along with the relevant software. Additionally, the CURE system development Git (Bitbucket) will be used as a distributed version control system in order to store all code and documentation. The usage of the services will be controlled via the user management system. Via an API (Application Programming Interface), there will be a user creation with a bearer authentication access to the services. All services will also need an additional license, which the user has to order. Thus, although everyone could be able to create an account, the user is not allowed to order services without a respective license for them.



4.3 Making data interoperable

Almost all CURE data and products are interoperable and allow data exchange and re-use between researchers, institutions, organisations, countries, etc. Most data and products are in raster (e.g. GeoTIFF), vector (e.g. shapefiles), table (e.g. csv), text (e.g. pdf) and other formats, as they are described in Chapter 3. Such formats are fully compliant with openly available software (e.g. QGIS - https://qgis.org/) and allow re-combinations with data and products from different origins. Also, the CURE metadata support the interoperability of CURE data and products, as they accompany all publicly available CURE data and products and include useful information facilitating this principle. Especially, regarding Group 5 metadata, the metadata files are created using the first version of the INSPIRE metadata online editor and the keywords are based on standardised metadata vocabularies. If terms used in the CURE project as keywords are not (or partially) included in these vocabularies, the relevant technical report and the additional keywords are provided.

4.4 Increase data re-use (through clarifying licences)

The right to re-use is diverse among CURE data and products and depends on their types. On the one hand, data and products from Groups 3a, 4, 6a, and 7 are intended for CURE project internal usage and cannot be shared widely. On the other hand, Groups 1, 2, 3b, 5, 6b, and 6c include open access data and products associated with specific licenses, which are also defined in the corresponding metadata. Regarding data from Groups 1, 2, and 3b, their providers define the license types, while the license types for data and products from Groups 5, 6b, and 6c are defined by the CURE consortium or the respective publisher. Specifically, Creative Commons Attribution 4.0 International applies to products from Groups 6b and 6c published by the CURE project. Data from scientific publications are available according to the individual embargo time of the respective publisher, whereas Group 5 data and products are available for immediate re-use without embargo time and restrictions. However, the exact type of license for Group 5 data and products will be delineated in the next update of the CURE DMP. Apart from clarifying licenses, quality assurance processes are followed to ensure the quality of Group 5 data and products. In particular, each data/product is accompanied with quality metrics, such as the statistical metrics describing the accuracy, precision and uncertainty, using relevant approaches.

5 ALLOCATION OF RESOURCES

Since the CURE project should mainly provide data and products as open access resources, unless other license is required due to data of commercial value or proprietary datasets, all costs related to data management are foreseen in the project and covered by it. Table 2 provides an overview of the allocated resources for the CURE data storage and the respective responsible organisation. Costs regarding the DIAS platform are strongly connected with the



development of the services and the associated data and processing expenditure. Hence, the development of services is feasible under reasonable costs.

Table 2. Partner's indicative resources for data storage.

| Organisation | Data Groups | Resources |
|--------------|--|-----------|
| FORTH | Internal Data (Groups 1, 2, 3, 4, and 6) | 1 500 € |
| FORTH | Data deposited on DIAS (Group 5) | 5 000 € |
| GEOVILLE | Data deposited on DIAS (Group 5) | 9 500 € |

6 Data security

Apart from other repositories, all the CURE data and products are secured in FORTH internal data storage facilities with daily backup. Therefore, data recovery will be possible at any time and all the data and products are safely stored for long term preservation and curation. Moreover, FORTH repositories ensure secure storage and transfer of the sensitive data from Group 4, 6a, and 7. Raw data from Group 4 are stored on the UWE secure OneDrive and only processed data are transferred to FORTH repositories. Publically available data and products from Groups 5, 6b, and 6c are also deposited on the CURE zenodo community and DIAS. Thus, security of data and products in terms of recovery, storage and safety is ensured.

7 ETHICAL ASPECTS

No ethical or legal issues can have an impact on data sharing. Although data from Groups 4 and 7 are sensitive, since they contain personal data; they are not publicly available and openly shared. The processing and protection of personal data in the CURE project is subject to the rules set out in national, European Union (EU) and international law about the processing of personal data. Additionally, the CURE project does not collect any personal data falling within special categories of personal data, such as racial or ethnic origin, data related to religion, political opinion, etc. Finally, regarding Group 4 data, their analysis is utilised within the CURE project and processed results concerning the user requirements and evaluation regarding the CURE system and its application are provided in the public CURE Deliverables D1.1, D5.2, and D5.3. It has to be noted that in the context of gathering users' perspectives, templates of the informed consent forms and information sheets (in language and terms intelligible to the participants) were prepared and submitted as CURE Deliverable D8.1.

8 REFERENCES

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