# **DSWE Package User Help Document**

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## Table of Contents

| 1      | HOW DO I INSTALL THE PACKAGE?  | 3               |
|--------|--|-----------------|
|        | HOW TO UPDATE THE PACKAGE TO A NEW VERSION?  | 3               |
| 2      | HOW TO USE KNN TO FIT A MULTI-DIMENSIONAL POWER CURVE?   | 4               |
|        | HOW TO SELECT THE BEST SUBSET OF VARIABLES IN BUILDING A MULTI-DIMENSIONAL POWER CURVE?  SUPPOSE ONE HAS BUILT A KNN POWER CURVE MODEL USING SOME DATA. WHEN THE NEW DATA COMES IN, HOW CAN ONE UPDATE PERIODICALLY? | 7<br>TE IT<br>8 |
| 3      | HOW TO USE TEMPORAL GAUSSIAN PROCESS MODEL TO FIT A MULTI-DIMENSIONAL POWER CURVE?   | 9               |
|        | HOW TO UPDATE THE TRAINING DATA IN THE TEMPGP MODEL WHEN NEW DATA IS AVAILABLE?  | 10              |
| 4      | HOW TO USE AMK TO FIT A MULTI-DIMENSIONAL POWER CURVE?   | 11              |
| 5      | HOW TO USE THE SPLINE MODEL TO FIT A MULTI-DIMENSIONAL POWER CURVE?  | 12              |
| 6      | HOW TO USE THE BAYESIAN TREE MODEL TO FIT A MULTI-DIMENSIONAL POWER CURVE?   | 13              |
| 7      | HOW TO USE THE SUPPORT VECTOR MACHINE TO FIT A MULTI-DIMENSIONAL POWER CURVE?  | 14              |
| 8<br>D | HOW TO SELECT THE SUBSETS OF DATA, BEFORE AND AFTER A DECISION POINT, SO THAT THEY CAN BE EEMED PROBABILISTICALLY COMPARABLE?  | 15              |
| 9      | HOW TO COMPARE PERFORMANCE OF TWO TURBINE OR TWO DATA SET IN DIFFERENT TIME PERIOD?  | 17              |
|        | HOW TO USE A DIFFERENT PROBABILITY DISTRIBUTION THAN THAT COMPUTED FROM THE DATA TO COMPUTE THE WEIGHTED DIFFERENCE BETWEEN THE POWER CURVES?  | 18              |
| 10     | A CASE STUDY OF ESTIMATING THE EFFECT ASSOCIATED WITH TURBINE UPGRADES.  | 19              |
| 1:     | (OPTIONAL) INSTALLATION USING SOURCE CODE  | 24              |

### 1 How do I install the package?

The package is available through <u>CRAN</u>, the official package repository of R, as well as on <u>GitHub</u>. This package is tested on and is compatible with <u>R of version 3.5.0 or above</u>. When using an earlier R version, problems may arise.

The package should be installed using the standard install.packages()command in R or RStudio:

### install.packages("DSWE")

Note: The package contains C++ source codes, and thus can be installed using the pre-compiled binaries available on CRAN or compiling the source code itself. When installing, R might ask if one wants to install the binaries or compile from source. Please select the latter only if you have the necessary tools to compile C++ code. For those who wish to compile from source, we provide the details on how to get the necessary tools in an <u>optional section</u> at the end of this document.

How to update the package to a new version?

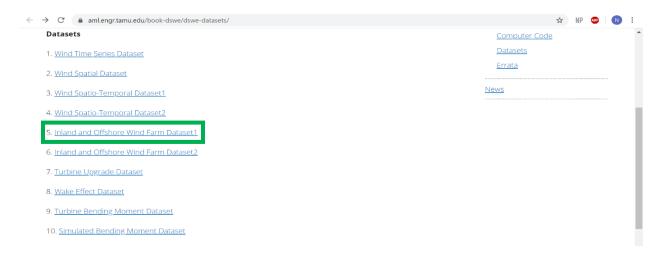
Use the following command in R or RStudio:

update.packages("DSWE")

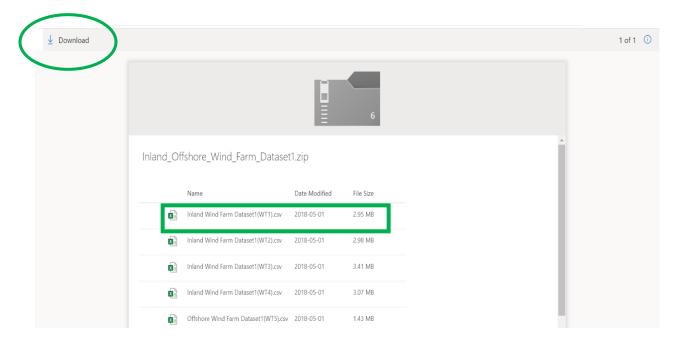
### 2 How to use KNN to fit a multi-dimensional power curve?

### **Step1**: Download the sample data set as shown.

Visit site using the following link - <a href="https://aml.engr.tamu.edu/book-dswe/dswe-datasets/">https://aml.engr.tamu.edu/book-dswe/dswe-datasets/</a>. The page looks like as shown below and select the option 5.



Download the sample data set as shown below in green boxes. After downloading, save the file in working directory.



**Step 2:** Set the path containing data set to a current working directory. Further load the package and import the data set as shown.

```
#setting the work directory which contains data set
setwd('F:/')

#loading the package
library(DSWE)

#import the data set
data = read.csv('F:/Inland Wind Farm Dataset1(WT1).csv')

##setting the work directory which contains data set
setwd('F:/')

##setting the work directory which contains data set
setwd('F:/')

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##setting the work directory which contains data set
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##setting the work directory which contains data set
setwd('F:/')

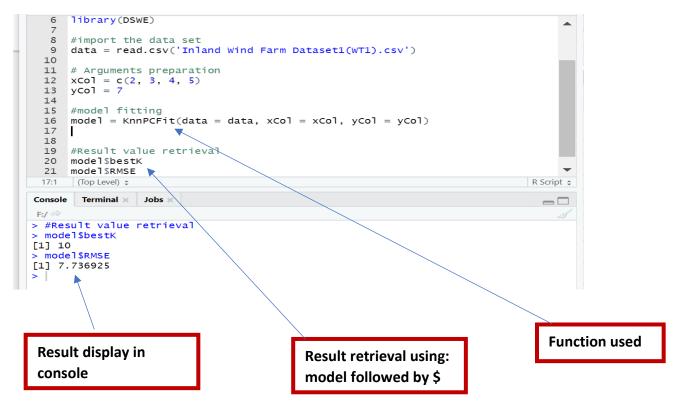
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setwd('F:/')

##setting the work directory which contains data set
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##setting the work directory which contains data set
setwd('F:/')

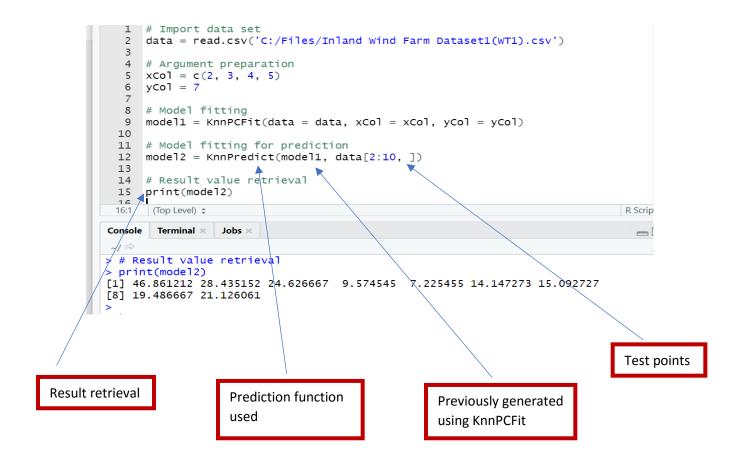
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##setwd('F:/')
#
```

**Step 3:** Prepare the arguments to fit a power curve as shown below.



Note: - The RMSE reported is based on generalized cross validation on training set. To obtain a prediction on a test point, follow the next step.

**Step 4**: Prediction on a test point using the model generated from KnnPCFit and using the function KnnPredict as shown below.



### How to select the best subset of variables in building a multi-dimensional power curve?

**Step 1**: The data set mentioned in previous questions will be used to demonstrate the usability of subset selections. The package is loaded and data is imported as shown.

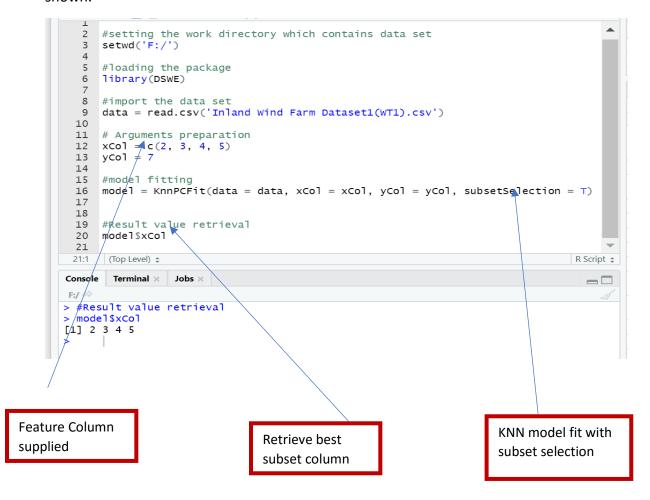
```
#setting the work directory which contains data set
setwd('F:/')

#loading the package
library(DSWE)

#import the data set
data = read.csv('F:/Inland Wind Farm Dataset1(WT1).csv')

#import the data set
```

**Step 2**: Prepare the arguments to fit the KNN power curve and retrieve the best subset as shown.



Suppose one has built a KNN power curve model using some data. When the new data comes in, how can one update it periodically?

**Step 1**: The data set mentioned in previous questions will be used to demonstrate model update. The package is loaded and data is imported as shown.

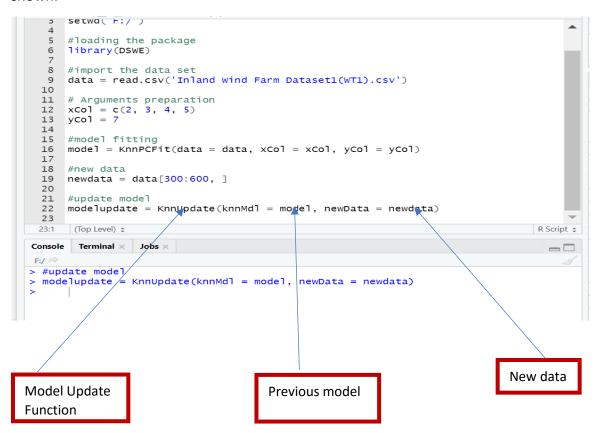
```
#setting the work directory which contains data set
setwd('F:/')

#loading the package
library(DSWE)

#import the data set
data = read.csv('F:/Inland Wind Farm Dataset1(WT1).csv')

#import the data set
```

**Step 2**: Prepare the arguments to update the previously obtained model using new data as shown.



## 3 How to use temporal Gaussian process model to fit a multidimensional power curve?

For this example, we use data1 in the DSWE package.

**Step 1:** Divide the training dataset into a input variable matrix and a response vector. One can also create a vector of time indices for the training data points. If the time indices are not created, the code assigns positive integers starting from 1 as the time indices. For example, if there are 100 training data points, the code will assign the time indices from 1 to 100.

```
data = DSWE::data1
trainindex = 1:5000 #using the first 5000 data points to train the model
traindata = data[trainindex,]
xCol = c(2:6) #input variable columns
yCol = 7 #response column
tCol = 1 #column with the time indices
trainX = as.matrix(traindata[,xCol])
trainY = as.numeric(traindata[,yCol])
trainT = as.numeric(traindata[,tCol])
```

**Step 2:** Call the tempGP function using the training data. There are two ways to call tempGP, with training time indices or without training time indices. As explained in Step #1, when training time indices are not assigned, tempGP automatically assigns the time indices starting from 1.

```
## Two ways to call the tempGP function
# 1. Using user defined trainT
tempGPObject = tempGP(trainX, trainY, trainT)

# 2. Generating time indices internally as the sequence of integers starting from 1.
tempGPObject = tempGP(trainX, trainY)
```

**Step 3:** Use the predict method to get predictions from the learned model. Again, one can either use just environmental input variables alone to predict the response, or also use the time indices of the test data points. We first show the case for using just the environmental input variables and provide details of using time indices in Section 0.

```
testdata = data[5001:10000,] # defining test data as the next 5000 data points after
train indices

## Predict only the function f(x) and ignore temporal component g(t)
testX = as.matrix(testdata[,xCol,drop = F])
testY = as.numeric(testdata[,yCol])
predF = predict(tempGPObject, testX)
rmseF = sqrt(mean((testY - predF)^2)) #rmse
cat('RMSE using just f(x):',round(rmseF,3),'\n')
```

### How to update the training data in the tempGP model when new data is available?

Using the time indices for test data points improves the prediction accuracy of the tempGP model if the time indices of the test points are close to that of the training points, as the temporal dependence in response vanishes after a short period of time. Thus, we have provided another function *updateData* to keep updating the training data as the new data becomes available.

```
## Predict both f(x) and g(t) using a rolling window data update with the help of
updateData() method for tempGP objects.

predY = rep(0,nrow(testdata)) #vector to store the rolling predictions
```

**Step 1:** Do an *i*-step ahead prediction given the input variables. In this example, we use the actual input variable values; if the input variable values are not available, replace with their forecast.

```
#starting a loop for doing the rolling predctions
for (i in 1:nrow(testdata)){

testX_i = as.matrix(testdata[i,xCol,drop = F]) #input variables for time point i;
replace with forecast when actual data not available.

testT_i = testdata[i,tCol] #time index for i

predY[i] = predict(tempGPObject, testX_i, testT_i) #predict both f(x) and g(t)
```

**Step 2:** Once the data for time point i' is available, update the tempGP model using the updataData function.

```
#After time point i, the data for time point i would be available. Update the data
and residuals in the tempGP object.

tempGPObject = updateData(tempGPObject, newX = testX_i, newY = testY[i], newT =
testT_i)
}

rmseY = sqrt(mean((testY - predY)^2)) #rmse

cat('RMSE using rolling update and f(x) + g(t):',round(rmseY,3),'\n')
```

### 4 How to use AMK to fit a multi-dimensional power curve?

**Step 1**: The data set mentioned in previous question will be used to demonstrate the usability of AMK. The package is loaded and data is imported as shown.

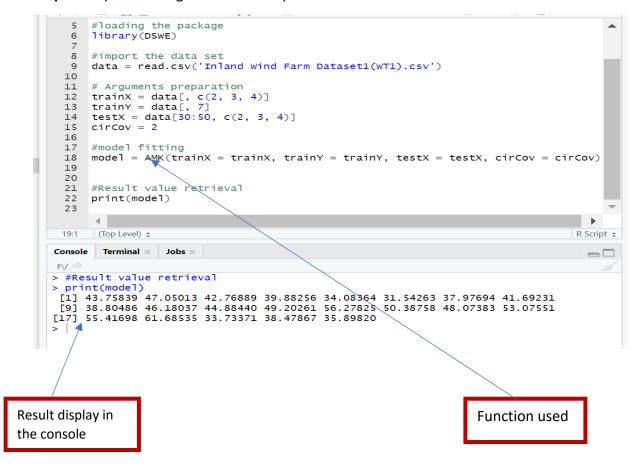
```
#setting the work directory which contains data set
setwd('F:/')

#loading the package
library(DSWE)

#import the data set
data = read.csv('F:/Inland Wind Farm Dataset1(WT1).csv')

#import the data set
```

**Step 2**: Prepare the arguments to fit a power curve as shown.



### 5 How to use the spline model to fit a multi-dimensional power curve?

**Step 1**: The data set mentioned in previous question will be used to demonstrate the usability of SplinePCFit. The package is loaded and data is imported as shown.

```
#setting the work directory which contains data set
setwd('F:/')

#loading the package
library(DSWE)

#import the data set
data = read.csv('F:/Inland Wind Farm Dataset1(WT1).csv')

#import the data set
```

Step 2: Prepare the arguments to fit a power curve as shown.



# 6 How to use the Bayesian tree model to fit a multi-dimensional power curve?

**Step 1**: The data set mentioned in previous question will be used to demonstrate the usability of BayesTreePCFit. The package is loaded and data is imported as shown.

```
#setting the work directory which contains data set
setwd('F:/')

#loading the package
library(DSWE)

#import the data set
data = read.csv('F:/Inland Wind Farm Dataset1(WT1).csv')

#import the data set
```

**Step 2**: Prepare the arguments to fit a power curve as shown.



# 7 How to use the support vector machine to fit a multi-dimensional power curve?

**Step 1**: The data set mentioned in previous question will be used to demonstrate the usability of SvmPCFit. The package is loaded and data is imported as shown.

```
#setting the work directory which contains data set
setwd('F:/')

#loading the package
library(DSWE)

#import the data set
data = read.csv('F:/Inland Wind Farm Dataset1(WT1).csv')

##setting the work directory which contains data set
setwd('F:/')

##setting the work directory which contains data set
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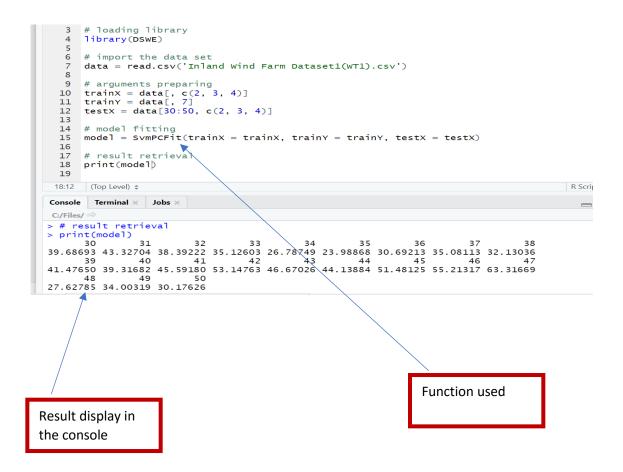
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##setting the work directory which contains data set
setwd('F:/')
##setwd('F:/')
#
```

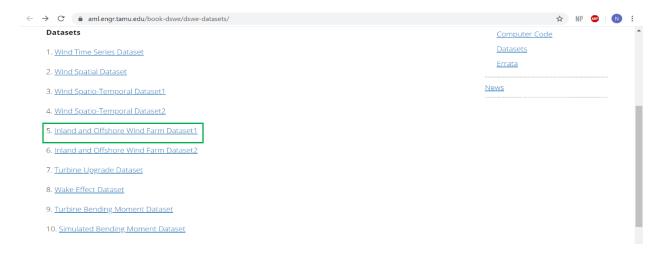
**Step 2**: Prepare the arguments to fit a power curve as shown.



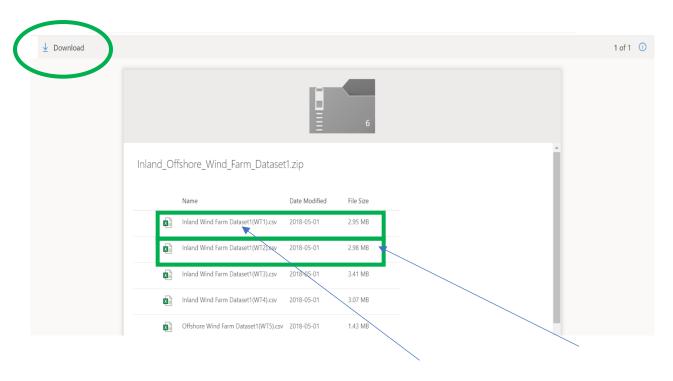
# 8 How to select the subsets of data, before and after a decision point, so that they can be deemed probabilistically comparable?

**Step1**: Download the sample data set.

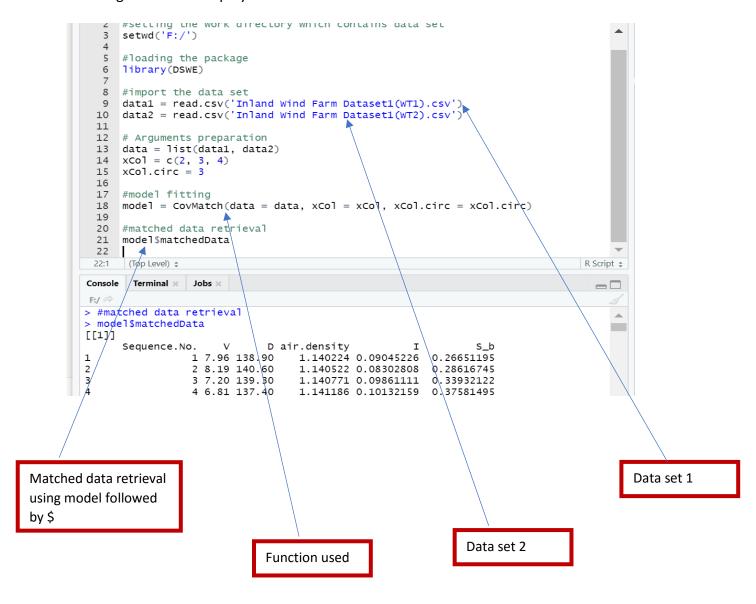
Visit site using following link - <a href="https://aml.engr.tamu.edu/book-dswe/dswe-datasets/">https://aml.engr.tamu.edu/book-dswe/dswe-datasets/</a>. The page looks like as shown below and select the option 5.



Download the data set as shown below in green boxes. After downloading, save the file in working directory.



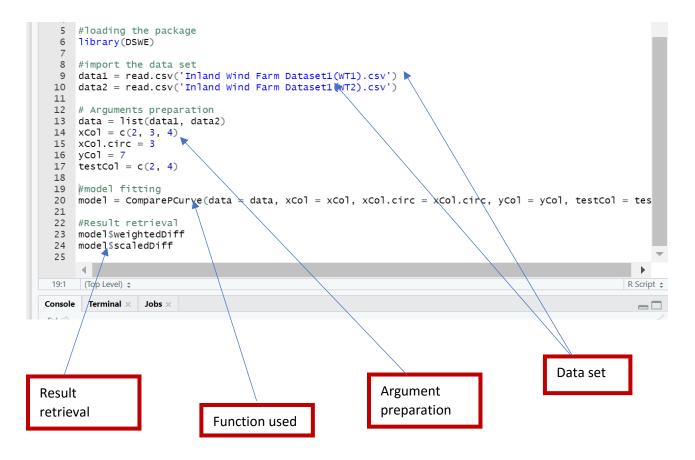
**Step 3**: The package is loaded and data is imported. Also, arguments are prepared and matching function is employed as shown



# 9 How to compare performance of two turbine or two data set in different time period?

**Step 1**: The data set mentioned in previous question will be used to demonstrate the performance quantification. The package is loaded and data is imported as shown.

**Step 2**: Prepare the arguments to use performance comparison function as shown.



How to use a different probability distribution than that computed from the data to compute the weighted difference between the power curves?

**Step 1:** Construct a desired *testset* and a probability distribution over that *testset* as shown below as an example:

```
## Construct a custom testset and custom weights.
ws_min = 5 #minimum value of wind speed for constructing the testset.
ws_max = 15 #maximum value of wind speed for constructing the testset.
ws_test = seq(ws_min, ws_max, length.out = 50) #generate 50 grid points for wind speed.
rho_min = 1.1 #minimum value of air density for constructing the testset.
rho_max = 1.3 #maximum value of air density for constructing the testset.
rho_test = seq(rho_min, rho_max, length.out = 50) #generate 50 grid points for air density.

#Combine ws_test and rho_test to create a 50 by 50 mesh grid.
testset = expand.grid(ws_test, rho_test)

#For example, We use a Weibull distribution for windspeed with shape = 2.25 and scale = 6.5.
#and uniform distribution for air density. Please change as desired.
#Multiplying the weights by 1 to denote a uniform distribution for air density.
weights = dweibull(testset[,1], shape = 2.25, scale = 6.5)*1
weights = weights/sum(weights) #normalizing weights to ensure that they sum to 1.
```

# **Step 2**: Run *ComparePCurve()* function as described earlier with the *testset* generated in Step 1 as one of the inputs:

**Step 3:** Use the output from *ComparePCurve()* function to compute the weighted difference and statistically significant weighted difference with the weights computed in Step 1 as follows:

# 10 A case study of estimating the effect associated with turbine upgrades.

This case study applies the functions in the DSWE package to the Turbine Upgrade Dataset, associated with the book, *Data Science for Wind Energy*, and available from the website below. The case study is explained in Section 4.1 of the preprint <a href="https://arxiv.org/pdf/2005.08652.pdf">https://arxiv.org/pdf/2005.08652.pdf</a>. Additional information about the dataset and turbine upgrades can be found in Section 1.2.3 and Chapter 7 of *Data Science for Wind Energy*. The dataset include two cases of upgrades—one is the pitch angle adjustment and the second is the vortex generator installation. The steps below explain how the top rows of Table 3 of the preprint <a href="https://arxiv.org/pdf/2005.08652.pdf">https://arxiv.org/pdf/2005.08652.pdf</a> are obtained as well as how the VG effect is estimated.

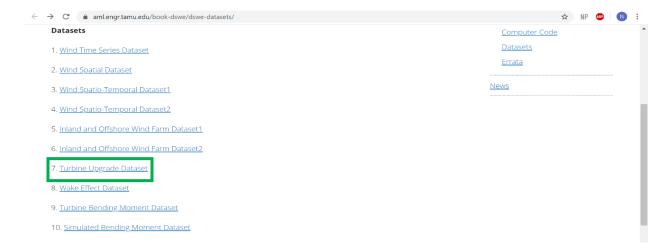
The above preprint is now published in the journal of *Renewable Energy*. The paper's full citation is

Ding, Kumar, Prakash, Kio, Liu, Liu, and Li, 2021, "A case study of space-time performance comparison of wind turbines on a wind farm," *Renewable Energy*, Vol. 171, pp. 735-746.

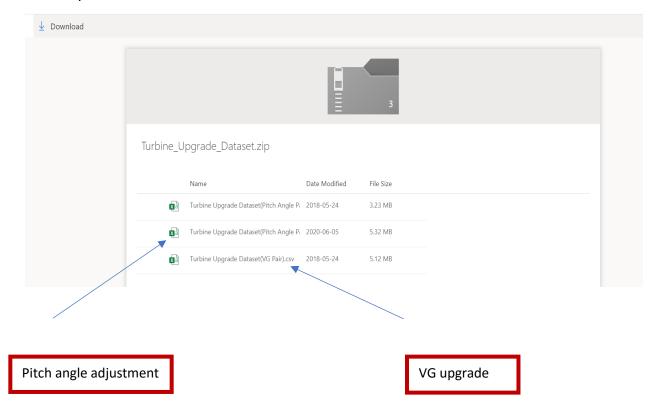
One can go to <a href="https://aml.engr.tamu.edu/2001/09/01/publications/">https://aml.engr.tamu.edu/2001/09/01/publications/</a> (and then go to J77) to get the reproducibility report and R code for reproducing the majority of the results in this paper.

#### **Step1**: Download the sample data set as shown.

Visit site using the following link - <a href="https://aml.engr.tamu.edu/book-dswe/dswe-datasets/">https://aml.engr.tamu.edu/book-dswe/dswe-datasets/</a>. The page looks like as shown below and select the option 7.



Download the sample data set as shown below. After downloading, save the file in working directory.



**Step 2:** Set the path containing data set to a current working directory. Further load the package and import the data set as shown

For pitch angle pair:

```
# setting the working directory which contains data set
setwd('F:/')

# loading library
library(DSWE)

# import the data set
data = read.csv('Turbine Upgrade Dataset(Pitch Angle Pair, Table7.3).csv')
```

#### For VG upgrade:

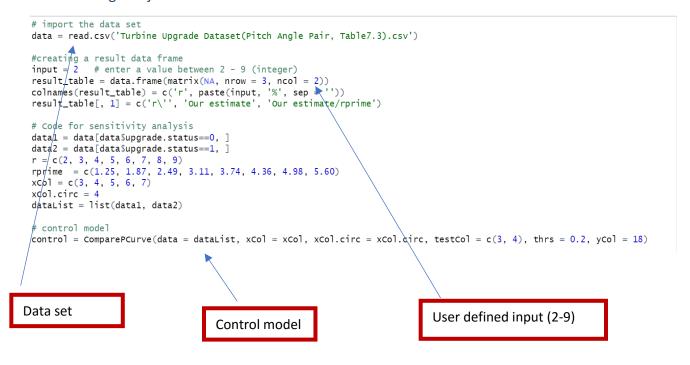
```
# setting the working directory which contains data set
setwd('F:/')

# loading library
library(DSWE)

# import the data set
data = read.csv('Turbine Upgrade Dataset(VG Pair).csv')
```

**Step 3:** Use the performance comparison function on pitch angle adjustment and VG upgrade as shown below. In case of pitch angle adjustment, user just needs to import the appropriate data set and change the value of 'input' variable as shown below in the script

### For Pitch Angle adjustment:



```
22 # test model
23 yCol = c(10, 11, 12, 13, 14, 15, 16, 17)
24 rprime = rprime[r == input]
25 yCol = yCol[r == input]
26 r = r[r == input]
27 test = ComparePCurve(data = dataList, xCol = xCol, xCol.circ = xCol.circ, testCol = c(3, 4), thrs = 0.2, yCol = yCol)
28 result_table[2, 2] = test$weightedDiff - control$weightedDiff
21 / rnrime 2)
result_table[3, 2] = round(result_table[2, 2] / rprime, 2)
result_table[1, 2] = paste(rprime, '%', sep = '')
result_table[2, 2] = paste(result_table[2, 2], '%', sep = '')
32
33 # display result
 34 print(result_table)
35
35:1
       (Top Level) $
                                                                                                                                                                                             R Sc
onsole Terminal × Jobs ×
::/Files/ 🥱
# display result
print(result_table)
                           r' 1.25%
Our estimate 1.12%
Our estimate/rp/ime 0.9
            Result display
                                                                                                                                                Test model
```

#### For VG upgrade:

```
1 # import the data set
  2 data = read.csv('Turbine Upgrade Dataset(VG Pair).csv')
  4 # argument preparation
  5 data1 = data[data$upgrade.status == 0, ]
  6 data2 = data[data$upgrade.status == 1, ]
  7 dataList = list(data1, data2)
  8 xco1 = c(4, 5, 6, 7)
  9 xcol.circ = 5
 10
 11 # control model
 12 control = ComparePCurve(data = dataList, xCol = xCol, xCol.circ = xCol.circ, testCol = c(4, 5), thrs = 0.2, yCol = 12)
 14 # test model
 15 test = ComparePCurve(data = dataList, xCol = xCol, xCol.circ = xCol.circ, testCol = c(4, 5), thrs = 0.2, yCol = 11),
 17 # VG effect
 18 VG_Effect = paste(test$weightedDiff - control$weightedDiff, '%', sep = '')
 19
 20 # result retrieval
 21 print(VG_Effect)
 22:1 (Top Level) $
                                                                                                                     R Scrip
Console Terminal × Jobs ×
                                                                                                                       c:/Files/ 🖈
> # result retrieval
> print(VG_Effect)
[1] "1.32%"
>
     Result display in the
                                                                                          Target for control
    console
                                                                                          and test model
```

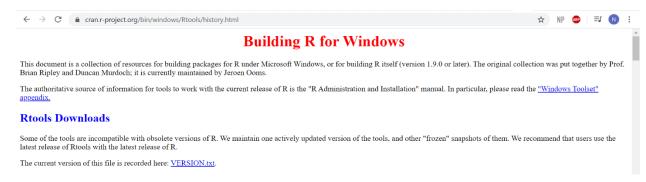
### 11 (Optional) Installation using source code

The package contains some C++ code for fast computation, and thus requires compiling C++ code if one wishes to install the package using the source code. Following are the necessary steps in order to get the required compilation tools and install the package from source:

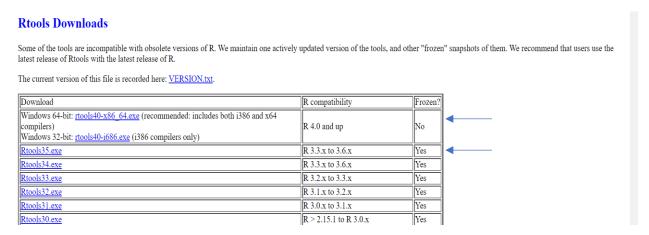
**Step 1**: Install C++ tool chains, which is the Rtools for Windows and the GFortran for Mac. The guidelines to install are:

#### For Windows:

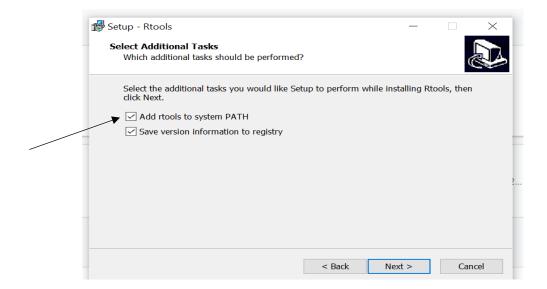
Visit site using the following link: <a href="https://cran.r-project.org/bin/windows/Rtools/history.html">https://cran.r-project.org/bin/windows/Rtools/history.html</a>. The page directed looks like the below.



Choose the compatible Rtools version from the table below and follow the installation process. If one uses R 4.0 or up, please select 'Rtools40-x86\_64'. If one uses R 3.5.x-3.6.x, please choose 'Rtools35.exe'.



If the following prompt message box appears with the option of 'Add rtools to system path,' please make sure that option is checked. If the option is not shown, then just proceed, and Step 2 provides the information for manually adding rtools to system path.



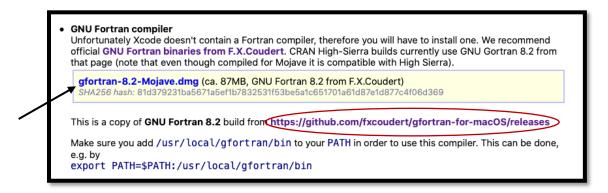
#### For MacOS:

You would need C++ and Fortran compilers to build the package. Apple's official C++ compilers can be downloaded by installing the command line developer tools using the following steps:

- Open the Terminal app
- Type the command: xcode-select –install

An installation window will open up. Click install and the installation would be begin.

Apple's command line tools do not have a Fortran compiler. It can be downloaded from the official website of R using the link: <a href="https://mac.r-project.org/tools/">https://mac.r-project.org/tools/</a>



The latest version is for MacOS Mojave but works for MacOS Catalina too. If an older version of MacOS is in use, use the link in the circle to download for older versions.

**Step 2**: While installing Rtools, if the prompt message box did not appear with a message 'Add rtools to system path', please follow the steps below to manually add Rtools to system path.

- First locate Rtools bin location on your computer. The default location for Rtools35 is "C:\\Rtools\\bin" and the default location for Rtools40 is "C:\\Rtools40\\usr\\bin". Please double check and make sure using the File Explorer on your computer.
- Next, use the following command in R, Rtool\_bin\_location = "C:\\Rtools\\bin" if using Rtools35, or, Rtool\_bin\_location = "C:\\Rtools40\\usr\\bin" if using Rtools40. If the Rtools bin is not located in directory, please enter the right location identified in the above step.
  - Last, execute the following command in R, Sys.setenv(PATH = paste(Rtool\_bin\_location, Sys.getenv("PATH"), sep=";")), to set up Rtools in path temporarily.

Step 3: Use the standard install.packages() command as: install.packages("DSWE") to install the package and use the install from source option when asked.

Note: Some message boxes may pop up asking for user input.

- One pop-up message box asks "Do you want to install from sources the package which needs compilation?" Upon prompted, please click on "Yes".
- Another pop-up message box asks for updates. Upon prompted, type "1" and press enter, as it is safe to overwrite the installed dependencies with the recent ones. The layout of prompt may differ, depending on R versions in use. Always select the option to update the package.

