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Experimental Analysis of Hill-Climbing MPPT Algorithms under Low Irradiance Levels

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Abstract: Adaptive hill-climbing MPPT algorithms have superior performance as opposed to their conventional counterparts under medium-high irradiance. However, the performance of these hill-climbing algorithms remains mostly unknown under low irradiance condition. The low irradiance conditions are prominent in tropical countries during rainy seasons and niche PV applications. Additionally, several thin-film photovoltaic (PV) technologies have better efficiency under low irradiance conditions. Hence, the optimum operation of MPPT algorithms under low irradiance conditions is vital. In the real-time implementation, MPPT algorithms can fail to detect the incremental changes in voltage and current under low irradiance conditions. Hence, analog to digital converter (ADC) resolution becomes a critical constraint that governs the performance of hill-climbing (HC) MPPT algorithms. This work entails a detailed calculation to determine the perturbation step-sizes of the MPPT algorithms under a wide range of irradiance. Two distinct perturbation step-sizes are determined corresponding to the minimum and optimum change in voltage and current due to perturbation, that is sensed by the ADC. The authors also defined a general expression to determine the optimum digitized step-size for duty-based perturb and observe algorithm under low irradiance condition. This expression is formulated by considering the resolution of the ADC and the desirability of keeping the power oscillations at an acceptable level. Finally, the performance of eight hill-climbing algorithms for two distinct step-sizes is analyzed on a small-scale experimental prototype under both uniform and sudden changes in low values of irradiance. The statistical analysis validates that the adaptive HC drift-free MPPT algorithm outperforms other HC algorithms when implemented with the optimum perturbation step-size under low irradiance conditions.

Keywords: Hill-climbing, MPPT, Perturb and Observe, perturbation step-size, ADC, Incremental conductance

List of Abbreviations

ADC	Analog to Digital Converter	GAF-VPF	Gaussian–Arctangent Function Variable Perturbation Frequency
AHC	Adaptive Hill-Climbing	I&T	Irradiance & Temperature
AI	Artificial Intelligence	MPP	Maximum power point
ANN	Artificial Neural Network	MPPT	Maximum power point tracking
ASF	Adaptive Scaling Factor	OSS	Optimum step-size
BST	Bisection Search Theorem	OC	Open circuit
C/A-P&O	Conventional/Adaptive Perturb and Observe	PI	Proportional Integral
C/A-INC	Conventional/Adaptive Incremental Conductance	PSO	Particle swarm optimization
C/A-DF	Conventional/Adaptive Drift-Free	PV	Photovoltaic
C-INR	Conventional Incremental Resistance	SA	Simulated Annealing
CCL	Current Control Loop	SC	Short circuit
CHC	Conventional Hill-Climbing	SM-ESC	Sliding-mode extremum seeking control

CSAM	Current sensor-less method with auto-modulation	SS	Steady-state
CV	Constant voltage	SSS	Suboptimal step-sizes
DCPA	Duty-cycle perturbations adaptation	STC	Standard Test Condition
ESC	Extremum seeking control	TG	Temperature gradient
FLC	Fuzzy logic control	VCL	Voltage Control Loop

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Nomenclature

I_{PV}	PV current	G	Irradiance
V_{PV}	PV voltage	T	Temperature
P_{PV}	PV power	$D(k)$	duty at k^{th} iteration
V_{MPP}	Voltage at MPP	$D(k-1)$	duty at $(k-1)^{\text{th}}$ iteration
I_{MPP}	Current at MPP	ΔD	duty step-size
ΔI	Current step-size	ΔV	Voltage step-size
P_{MPP}	Power at MPP	$P(k-1)/P(k-2)$	PV power at $(k-1)^{\text{th}}/(k-2)^{\text{th}}$ iteration
V_{OC}	Open-circuit voltage	ΔD_{\max}	maximum step-size in duty
I_{SC}	Short-circuit current	dV_{\max}	change in voltage corresponding to ΔD_{\max}
$V_{\text{ref}}(k)$	Reference voltage at k^{th} iteration	dP_{\max}	change in power corresponding to ΔD_{\max}
$V(k-1)$	PV voltage at $(k-1)^{\text{th}}$ iteration	ΔV_{\max}	maximum step-size in voltage
$I_{\text{ref}}(k)$	Reference current at k^{th} iteration	ΔI_{\max}	maximum step-size in current
$I(k-1)$	PV current at $(k-1)^{\text{th}}$ iteration	N_C	Number of solar cells
L	Inductance	β_{OC}	Open-circuit temperature coefficient
C	Capacitance	α_{SC}	Short-circuit temperature coefficient
R	Load resistance	dV_{\min}	Minimum voltage sensing ability of the microcontroller due to perturbation
D	Duty	dI_{\min}	Minimum current sensing ability of the microcontroller due to perturbation
dV_{opt}	Optimum change in voltage sensed by the microcontroller due to perturbation	dI_{opt}	Optimum change in current sensed by the microcontroller due to perturbation
$D_{\text{mpp}(G)}$	Duty cycle at MPP at G	$V_{\text{mpp}(G)}$	Voltage at MPP at G
$I_{\text{mpp}(G)}$	Current at MPP at G	ΔD_{opt}	Optimum duty cycle
\tilde{V}_{PV}	Small perturbations in PV voltage	\tilde{d}	Small perturbations in duty
t_{ss}	Steady-state response time	\tilde{I}_{PV}	Small perturbations in PV current

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1. Introduction

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Annual Energy Outlook (AEO2019) has reported that at the present rate of consumption, all the non-renewable sources of energy like coal, oil, and uranium would deplete within a few decades [1]. Besides being exhaustible, these forms of energy are adding to the already beleaguered state of environmental pollution. This situation has prompted various government agencies and industries to come up with new policies and to look for new cleaner forms of energy resources that are renewable. Among these sources, solar energy is becoming the most reliable one, as it is profusely available [2].

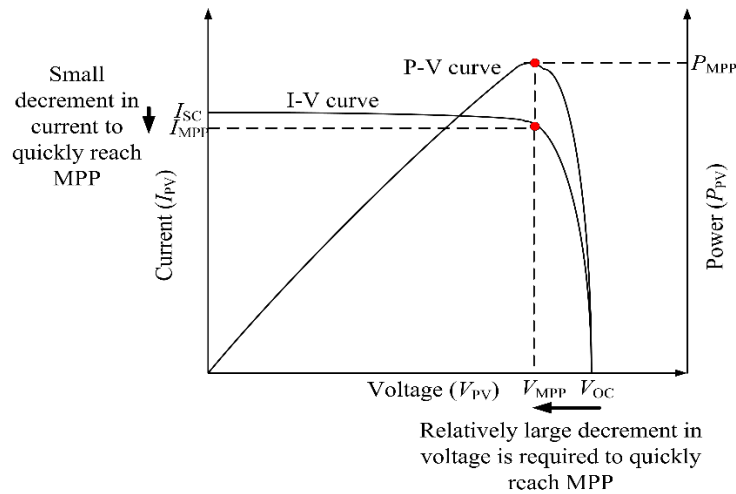
Nowadays, PV systems are built and used with output power ranging from a few milli-watts in scientific calculators to MWs in solar farms for residential/industrial applications [3]. Medium-large scale

34 PV systems require power converters (inverter, chopper) depending upon the nature of the load. These units
 35 not only help in achieving the desired voltage and frequency of operation but also extract maximum power
 36 from PV modules [4]. The power converter, along with an MPPT algorithm, is a MPPT controller, which
 37 is a crucial component in most PV systems. This controller tries to find MPP, which lies in the curved
 38 region of the current-voltage (I-V) characteristics of a photovoltaic module, shown in Fig. 1. MPP tracking
 39 is the continuous process of finding this MPP in the non-linear region of the I-V curve. This tracking
 40 becomes complex in nature when the MPP needs to be located on a changing I-V characteristic under
 41 variation in irradiance (G), temperature (T), and load [5]. Several MPPT algorithms have been published
 42 that work well under uniform and rapidly changing meteorological conditions [6 – 17].

43 Among the existing MPPT techniques, hill-climbing algorithms are extensively used in both research
 44 and industrial applications because they are array independent, efficient and easily implemented in an
 45 inexpensive controller. A brief classification of the most commonly used hill-climbing algorithms is shown
 46 in Fig. 2.

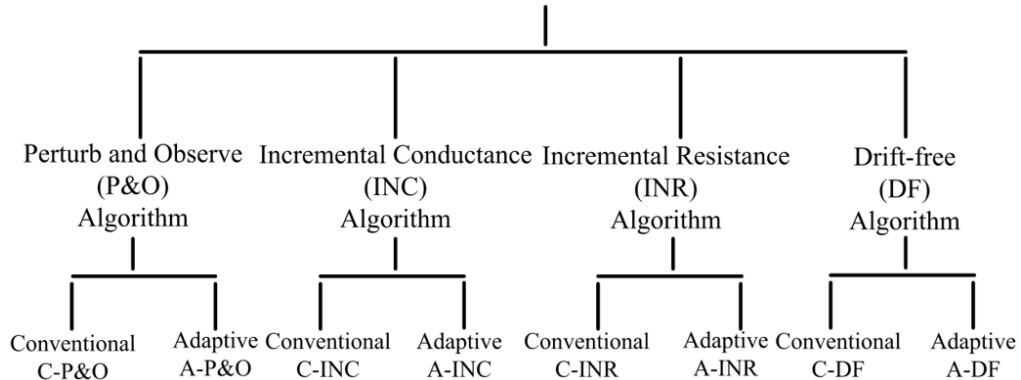
47 Both C-P&O and C-INC algorithms utilize knowledge of the power-voltage (P-V) curve, whereas C-
 48 INR uses knowledge of the power-current (P-I) curve to speculate the sign of the next perturbation.
 49 Although these classical algorithms are efficient, these suffer from a quid pro quo between their dynamic
 50 and steady-state (SS) response. A large perturbation step-size improves the dynamic response but results in
 51 inadequate SS response, whereas a small perturbation step does refine the SS response but slows down the
 52 tracking process.

53 The adaptive versions of these HC algorithms, i.e., Adaptive P&O (A-P&O), Adaptive INC (A-INC),
 54 and Adaptive INR (A-INR) are more popular as they try to create a balance between this trade-off. These
 55 algorithms adaptively increase or decrease the perturbation step-size based on the region of the operating
 56 point on the power curve [18 – 20].



57
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Fig. 1. I-V and P-V plot of PV module Hill-Climbing (HC) MPPT Algorithms



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Fig. 2. Classification of commonly used Hill-Climbing MPPT Algorithms

61 Moreover, these conventional and adaptive algorithms also lose their MPP tracking capability which
62 results in power losses under rapidly changing irradiance. This loss in MPP tracking is because these
63 algorithms do not have the inherent capability to differentiate between the change in power due to
64 intentional perturbation or due to change in irradiance. Several authors have investigated this ‘drift’
65 phenomena and suggested modifications in HC algorithms to improve their tracking capability [5].

66 In [21], the authors proposed a voltage reference-based drift-free P&O algorithm, which tracks the
67 MPP under rapidly changing irradiance. The algorithm uses an objective function evaluated by taking the
68 slope of power vs duty curve to determine a change in irradiance. However, the algorithm has poor transient
69 response under a sudden change in irradiance. The authors in [22] proposed a modified incremental
70 resistance-based algorithm. Although, the algorithm uses a self-tuning IPID controller, the constant factor
71 assumed depends on the PV power rating. In [23], the authors proposed a drift-free P&O boost converter
72 based MPPT controller. The algorithm compares the sign of change in power, current and voltage to detect
73 a change in irradiance. However, the algorithm loses its tracking capability if the point of operation shifts
74 to the same side of the new curve. In [24], the algorithm compares the difference in power between two
75 samples and compares the voltage of the last two samples to accurately detect a change in irradiance.
76 However, the proposed algorithm offers a slow tracking speed. In [25], an adaptive incremental resistance
77 method has been proposed that shifts the operating point to RHS of the I-V curve under sudden variations
78 in irradiance and load resistance. Although, the algorithm promises a high tracking speed it can deviate
79 from the MPP tracking path under continuously changing irradiance. In [26], the authors proposed a
80 weighted set point similarity method in which four consecutive duty cycles are stored to determine the
81 direction of tracking. The algorithm uses an upper and lower power boundary limits which are iteratively
82 reduced to converge towards the MPP. If a sudden change in irradiance is observed, these limits are
83 expanded and the whole process starts again. The performance of the algorithm is highly dependent on the
84 constants used to determine the boundary limits. In [27], the authors proposed an improved incremental
85 conductance in which the algorithm tracks the MPP by taking two step-sizes based on the region of
86 operation. A small step-size is used under steady-state condition whereas a large step-size is taken under a
87 change in irradiance or when the operating point is far away. The algorithms’ performance is highly
88 dependent on the chosen step-sizes and the constant value chosen for the steady-state region.

89 Apart from these, several authors have proposed MPPT algorithms based on evolutionary algorithms.
90 In [28], recent developments have been reported in the ANN based tracking algorithms. Several other
91 sophisticated MPPT techniques like genetic algorithm, particle swarm optimization, gravitational search
92 algorithm, and other metaheuristic approaches have been proposed [29 – 33]. Although these techniques
93 are efficient but require large memory and the use of an expensive hardware controller. Hence, hill-climbing
94 algorithms remain the natural choice of selection for tracking the MPP.

95 In recent years, several researchers have compared and analyzed the performance of the conventional
96 and adaptive hill-climbing (AHC) algorithms [34 – 39]. In [34], the authors have simulated and analyzed
97 the performance of the CHC and AHC algorithms. Among them, adaptive algorithms performed well as
98 compared to their conventional counterparts under medium-high irradiance levels. However, the
99 performance of these algorithms remains relatively obscure as it does not take into account the ADC
100 resolution, which remains a crucial aspect in real-time implementation of the MPPT algorithms [35]. In
101 [36], a comparison among P&O, INC, and derivative dP/dt use the EN50530 test procedure to state that
102 derivative dP/dt has the highest efficiency under high regulating frequency. In [37], the authors compared
103 beta, temperature, ripple correlation, conventional and modified P&O and INC algorithms under medium-
104 high insolation levels. Among the tested algorithms, the beta method exhibits good transient response and
105 low steady-state oscillations. In [38], a comparison between improved HC MPPT algorithms is carried out.
106 The analysis shows that fuzzy based P&O algorithm outperforms the conventional ones when subjected to
107 severe changes in irradiance. In [39], the researchers have investigated the performance of fractional open-
108 circuit voltage, INC, P&O and temperature based MPPT algorithms in MATLAB/Simulink environment.
109 The results indicate that fractional open-circuit voltage has a higher efficiency but requires a large number
110 of sensors. A literature survey is conducted showing previous similar works as in Table 1.

111 Several articles have discussed the performance of CHC and AHC algorithms under medium-high
112 irradiance levels. However, very few studies have examined the efficacy of these algorithms under low

insolation levels. A typical day in Kuala Lumpur has low insolation levels, below 400 W/m^2 and sudden changes for nearly 40% of the useful 10-hour day [40]. Similar conditions exist during the big-rain season (June-September) in Ethiopian highlands and may also exist in other tropical regions of the world [41]. Moreover, the niche applications of emerging PV technologies also signify that optimizing the yield from PV arrays under low irradiance is essential [42]. Hence, a detailed analysis of the HC MPPT algorithms becomes essential by evaluating and optimizing their performance under low irradiance levels.

The key novelty features of the proposed work are:

- i) An in-depth study is carried by evaluating two distinct duty, current and voltage perturbation step-sizes for the MPPT algorithms corresponding to minimum and optimum change in voltage and current due to perturbation that is sensed by the ADC for a wide range of irradiance values.
- ii) A generalized expression is derived for calculating the optimum step-size for the duty-based hill-climbing algorithms by considering the worst condition to ensure the correct operation of the MPPT algorithm.
- iii) The current and voltage control loops are meticulously designed with the help of small-signal analysis to ensure the stability and robustness of the controller.
- iv) The performance evaluation of eight HC MPPT algorithms is carried out for suboptimal and optimum perturbation step-sizes using a small-scale experimental prototype and further statistical analysis is carried out on the obtained results.

Table 1 Literature Review of previous similar works

Ref	Year	Algorithms	Type of Review	Irradiance Level	Results
[34]	2014	P&O, CV, A-P&O, INC, fractional SC current	Simulation	High	Quantitative
[35]	2016	CV, P&O, INC, FLC, ANN, Modified P&O, PI-FLC	Simulation	Medium High	Quantitative
[36]	2011	P&O, INC, dP/dt	Experimental	Low Medium High	Quantitative
[37]	2013	Beta, P&O, temperature, Modified INC, Correlation	Experimental	Medium	Quantitative
[38]	2019	P&O, INC, Fuzzy-P&O, Fuzzy-INC	Simulation	Low Medium High	Quantitative
[39]	2016	Fractional OC voltage, P&O, INC, temperature, FLC, ANN	Simulation	Medium High	Quantitative
[40]	2013	OC voltage, fractional SC, P&O, ESC, INC, AI	Simulation	Medium High	Qualitative
[41]	2014	CV, P&O, A-P&O	Experimental	High	Quantitative
[42]	2015	P&O, ANN, FLC, PSO, A-P&O, BST, DCPA	Simulation	High	Qualitative
[43]	2015	P&O, PSO, SA	Simulation	High	Quantitative
[44]	2016	P&O, INC	Simulation	High	Qualitative

[45]	2017	HC, Soft computing	Theoretical	-	Qualitative
[46]	2018	P&O, INC, model-based	Experimental	Medium High	Quantitative
[47]	2019	ESC, SM-ESC, modified-ESC	Experimental	Medium High	Quantitative
[48]	2019	P&O, A-P&O, DF-P&O, INC	Experimental	Medium High	Quantitative
[49]	2020	I&T, CV, TG, fractional OC voltage, fractional SC current, P&O	Experimental	Medium High	Quantitative
[50]	2021	P&O, A-P&O, A-INC, CSAM, ASF-beta, GAF-VPF	Simulation	Medium High	Quantitative
[51]	2021	Fixed zone P&O, A-INC, P&O	Experimental	Low Medium High	Quantitative

The article is structured as follows. Section 2 gives a brief overview of the commonly used Hill Climbing MPPT Techniques. Section 3 describes the methodology to evaluate the perturbation step-sizes based on the resolution of the ADC and the stability aspects in designing the MPPT controller. In section 4, the small-scale experimental setup used to test various MPPT algorithms, is explained. Section 5 covers the experimental results and statistical analysis of the implemented algorithms under both sudden changes in irradiance and uniform irradiance. In section 6, a detailed discussion on the performance of the various MPPT algorithms is carried out. Finally, section 7 concludes the study and gives the salient findings of the proposed work.

2. Hill-Climbing MPPT Algorithms

Hill-Climbing MPPT algorithms are primarily used in medium-high power AC/DC PV applications. This section briefly discusses the most common conventional and adaptive hill-climbing algorithms.

2.1. Perturb and Observe Algorithm

In this algorithm, the duty of the converter is purposefully disturbed to observe the change in power. This disturbance decides the tracking direction.

The duty-based P&O algorithm is governed by (1).

$$D(k) = D(k-1) \pm \text{Step} \quad (1)$$

where, $D(k)$ is the duty at K^{th} iteration, $D(k-1)$ is the duty at $(K-1)^{\text{th}}$ iteration and Step is the duty perturbation step-size.

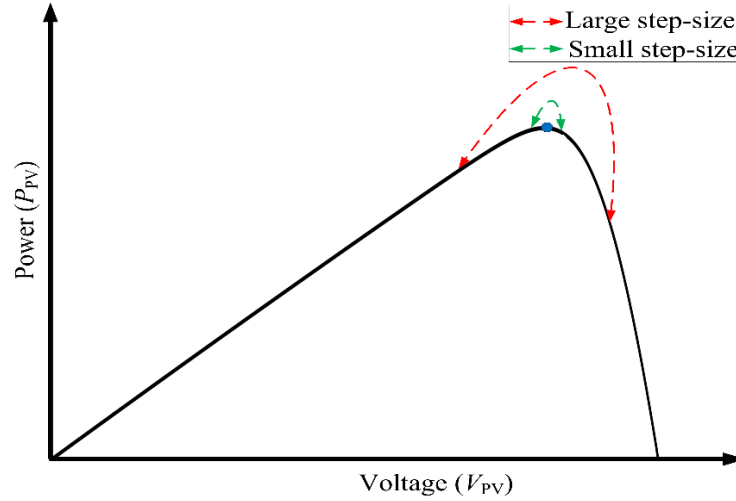
Careful selection of perturbation step-size, ΔD governs the efficacy of the C-P&O algorithm. A high tracking speed may be achieved by increasing the step-size but with a penalty of increased power fluctuations around MPP, which can result in instability. A small perturbation step-size does improve the steady-state response, but slows down the tracking speed, as shown in Fig. 3. A-P&O algorithm improves this trade-off between steady-state power oscillations and tracking speed.

The perturbation step of A-P&O in (2) uses a scaling parameter, M, and also gathers knowledge on the slope of the power curve. The scaling parameter helps in quickly reaching the MPP with low power oscillations around MPP. Maximum duty step-size and the corresponding difference in voltage and power determine the scaling factor, M as in (3) [18].

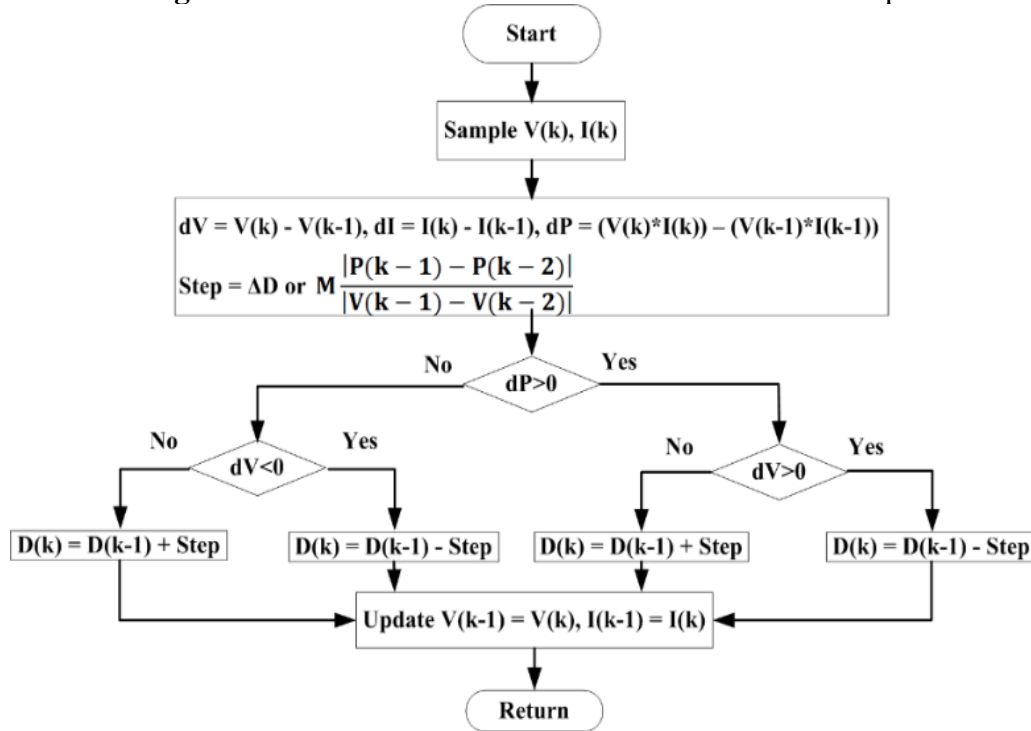
$$\text{Step} = M \frac{|P(k-1) - P(k-2)|}{|V(k-1) - V(k-2)|} \quad (2)$$

$$M = \frac{|dV_{\max}| \times \Delta D_{\max}}{|dP_{\max}|} \quad (3)$$

164 where, ΔD_{\max} = maximum step-size in duty, dV_{\max} = change in voltage corresponding to ΔD_{\max} and
 165 dP_{\max} = change in power corresponding to ΔD_{\max} . The combined flowchart of C-P&O and A-P&O
 166 algorithms is shown in Fig. 4.



167 **Fig. 3.** Power oscillations around MPP under different step-sizes
 168



169 **Fig. 4.** Compiled Flowchart of Classical and Adaptive P&O MPPT algorithm
 170

171 2.2. Incremental Conductance Algorithm

172 This algorithm is similar to the P&O algorithm [52]. It also uses the knowledge of the slope of the
 173 power curve, which decides the tracking direction. If the ratio of the increment in conductance is higher
 174 than the negative conductance, the reference voltage is increased to track MPP. If the ratio of the increment
 175 in conductance is less than the negative conductance, the reference voltage is decreased to track MPP.
 176 The combined flowchart of C-INC and A-INC algorithms is shown in Fig. 5.

177 The voltage-based INC algorithm is governed by (4):

$$178 V_{\text{ref}}(k) = V(k-1) \pm \text{Step} \quad (4)$$

180 The voltage step-size ' ΔV ' governs the performance of the C-INC algorithm. A-INC algorithm uses
 181 a scaling parameter, N, and the knowledge of the power curve, which ensures a balance between

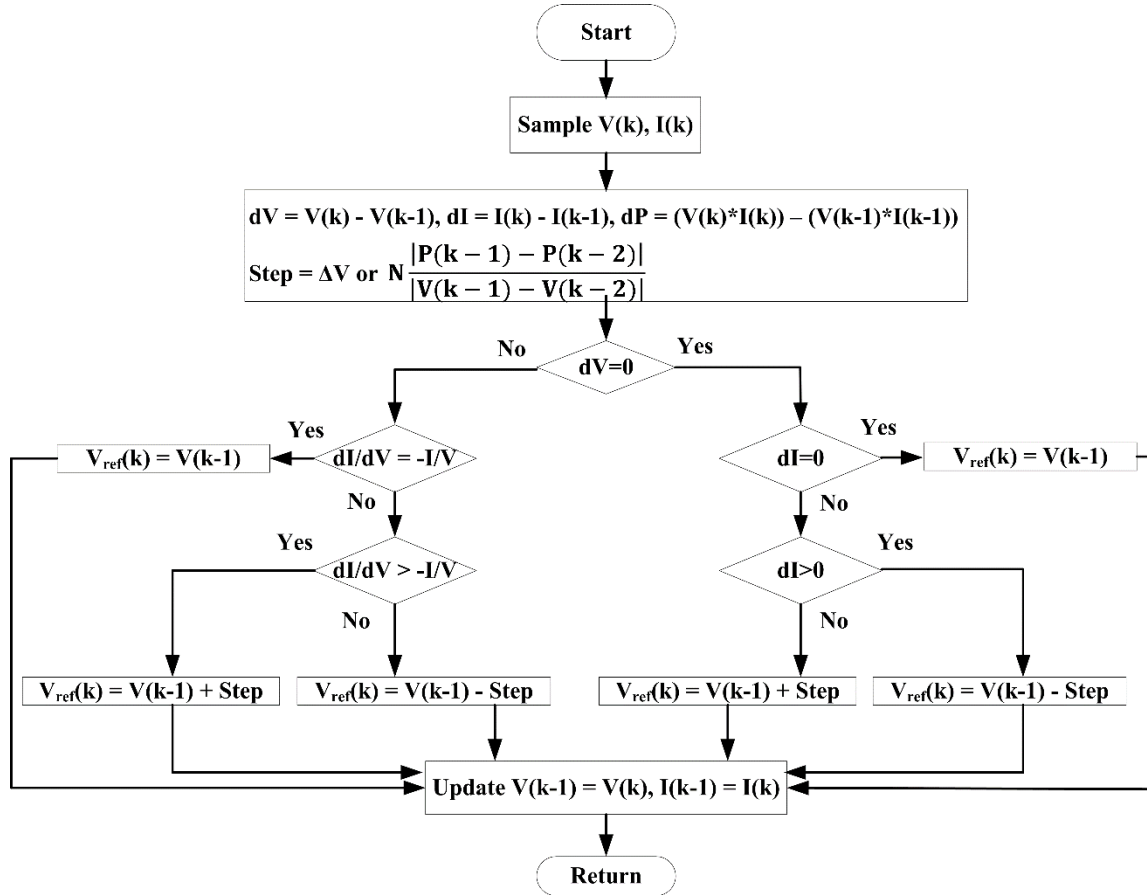
182 maintaining low power oscillations and a high tracking process. The step-size of A-INC algorithm and the
 183 scaling parameter N is governed by (5) and (6), respectively [19].

184
$$\text{Step} = N \frac{|P(k-1) - P(k-2)|}{|V(k-1) - V(k-2)|}$$

 185 (5)

186
$$N = \frac{|dV_{\max}| \times \Delta V_{\max}}{|dP_{\max}|}$$

 187 (6)



188
 189 **Fig. 5.** Compiled Flowchart of C-INC and A-INC MPPT algorithm

190 where, ΔV_{\max} = maximum step-size in voltage, $dV_{\max} = (\Delta V_{\max})$ maximum change in voltage corresponding to
 191 ΔV_{\max} and dP_{\max} = maximum change in power corresponding to ΔV_{\max} .

192 **2.3. Incremental Resistance Algorithm**

193 The INR algorithm also belongs to the family of the hill-climbing technique as it uses the information of
 194 the slope of the P-I power curve to track in the correct direction. The algorithm uses current as a perturbation
 195 parameter to track the MPP. If the ratio of change in output resistance is higher than the negative output
 196 resistance, the reference current is increased to track MPP. On the other hand, when the ratio of change in
 197 output resistance is less than the negative output resistance, the current reference is decreased to track MPP.
 198 The combined flowchart algorithm of C-INR and A-INR is in Fig. 6.

199 The INR algorithm is depicted by (7) as given below.

200
$$I_{\text{ref}}(k) = I(k-1) \pm \text{Step} \quad (7)$$

201 The perturbation step-size determines the performance of the classical INR algorithm in current, i.e.,
 202 ‘ ΔI ’. A significant value of ΔI improves the dynamic response on account of large steady-state oscillations,
 203 whereas a small value slows down the tracking process. The perturbation step-size of the adaptive INR
 204 algorithm in (8) tries to balance this trade-off with the help of a scaling parameter, C, and the knowledge
 205 of the P-I power curve [20].

$$\text{Step} = C \frac{|P(k-1) - P(k-2)|}{|I(k-1) - I(k-2)|} \quad (8)$$

The maximum step-size in current and the corresponding change in maximum power calculates the scaling factor, C , as in (9).

$$C = \frac{dI_{\max} \times \Delta I_{\max}}{dP_{\max}} \quad (9)$$

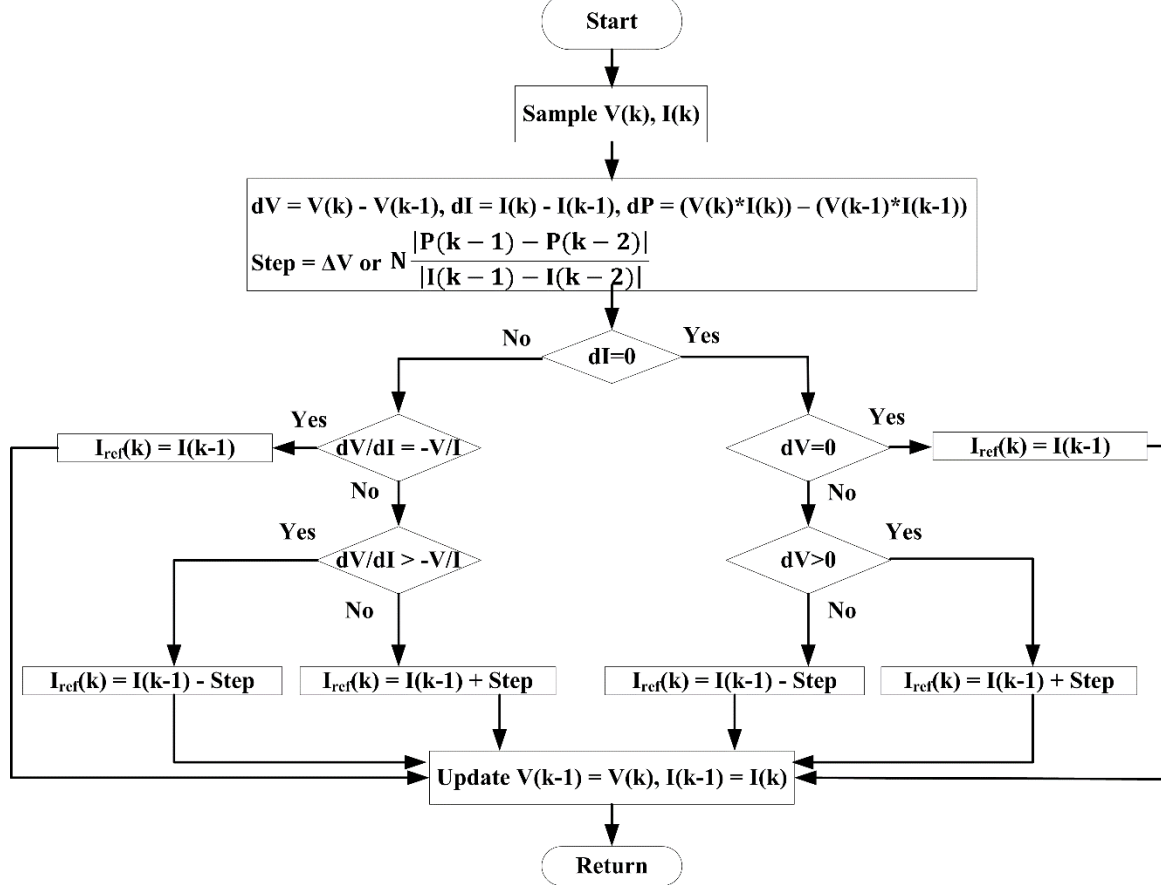


Fig. 6. Combined Flowchart of C-INR and A-INR algorithm

where, ΔI_{\max} = maximum step-size in current, dI_{\max} = maximum change in current corresponding to ΔI_{\max} , and dP_{\max} = maximum change in power corresponding to ΔI_{\max} .

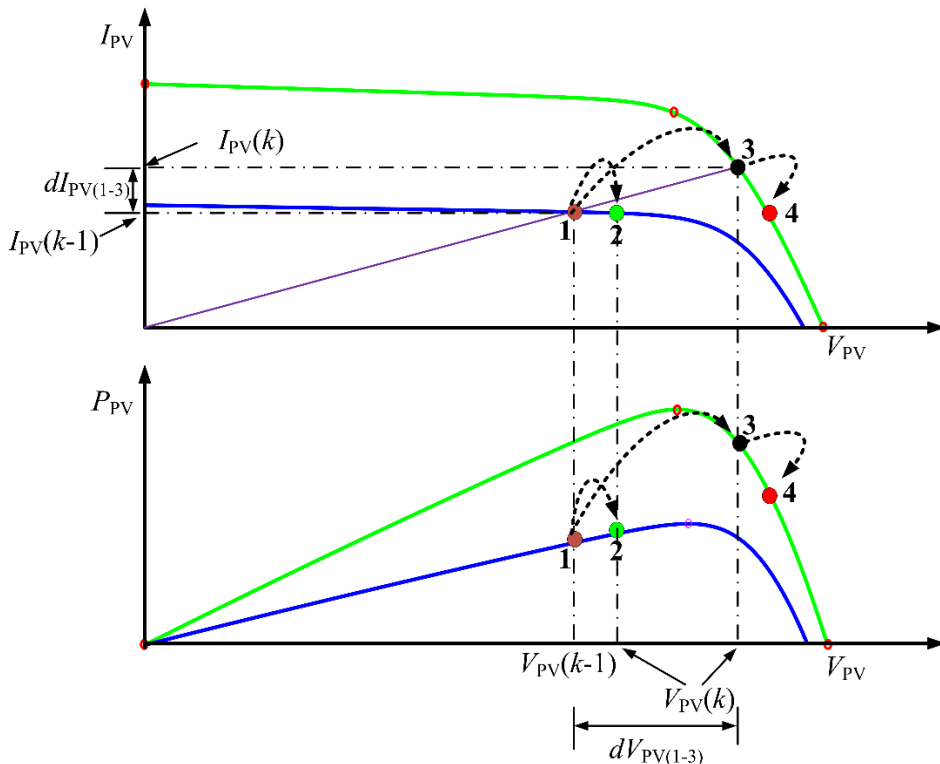
It may not be out of place to mention here that direct-duty MPPT algorithms are not affected by the changes in the parameters of PV arrays due to aging [53]. Hence, the values of ΔV_{\max} in (6) and ΔI_{\max} in (9) may require periodic tuning to compensate for the aging effect.

2.4. Drift-Free Algorithm

In recent years, hill-climbing based drift-free MPPT algorithms have gained popularity due to their high accuracy in tracking the MPP under rapidly changing irradiance [34]. It is widely known that the conventional and adaptive hill-climbing MPPT algorithms suffer from drift-phenomena under rapidly changing irradiance. To elaborate on this concept, consider that the current operating point is at 1 in the low irradiance curve as shown in Fig. 7. Under constant irradiance, the conventional and adaptive HC MPPT algorithms operate normally and ensure that the point of operation will shift from 1 to 2 to extract more power. On the other hand, if there is a sudden change in irradiance while going from 1 to 2, the point of operation shifts from 1 to 3. This is due to the sudden increase in irradiance under constant load resistance. Now, the operating point 3 lies on the RHS of the high irradiance curve. The conventional and adaptive hill-climbing algorithms cannot determine the reason behind the increase in power, i.e. whether it is due to perturbation or due to an increase in irradiance. Hence, these algorithms allow perturbation in the same direction causing the point of operation to shift from 3 to 4, which results in power loss. This drift is

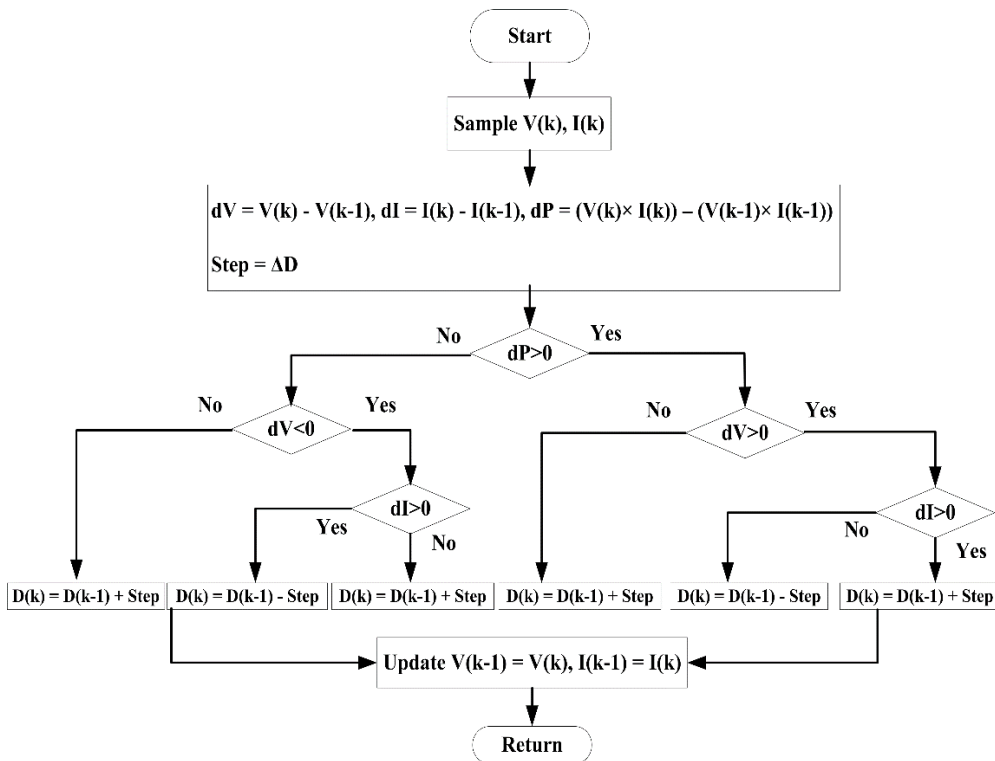
230 severe in adaptive HC MPPT algorithms as these algorithms use large step-size corresponding to a large
 231 change in irradiance.

232 To overcome this, authors in [5], proposed a drift-free P&O MPPT algorithm that monitors an
 233 additional parameter, i.e. a change in current to determine whether the change in power is due to intentional
 234 perturbation or change in irradiance, as shown in Fig. 8. It can be easily observed that the sign of change in
 235 power, current and voltage between two perturbations is same only when there is a change in irradiance.
 236 This is due to the unique I-V characteristic of the PV module.



237
 238

Fig. 7. Drift phenomena in hill-climbing MPPT algorithms



239
 240

Fig. 8. Flowchart of conventional drift-free P&O algorithm

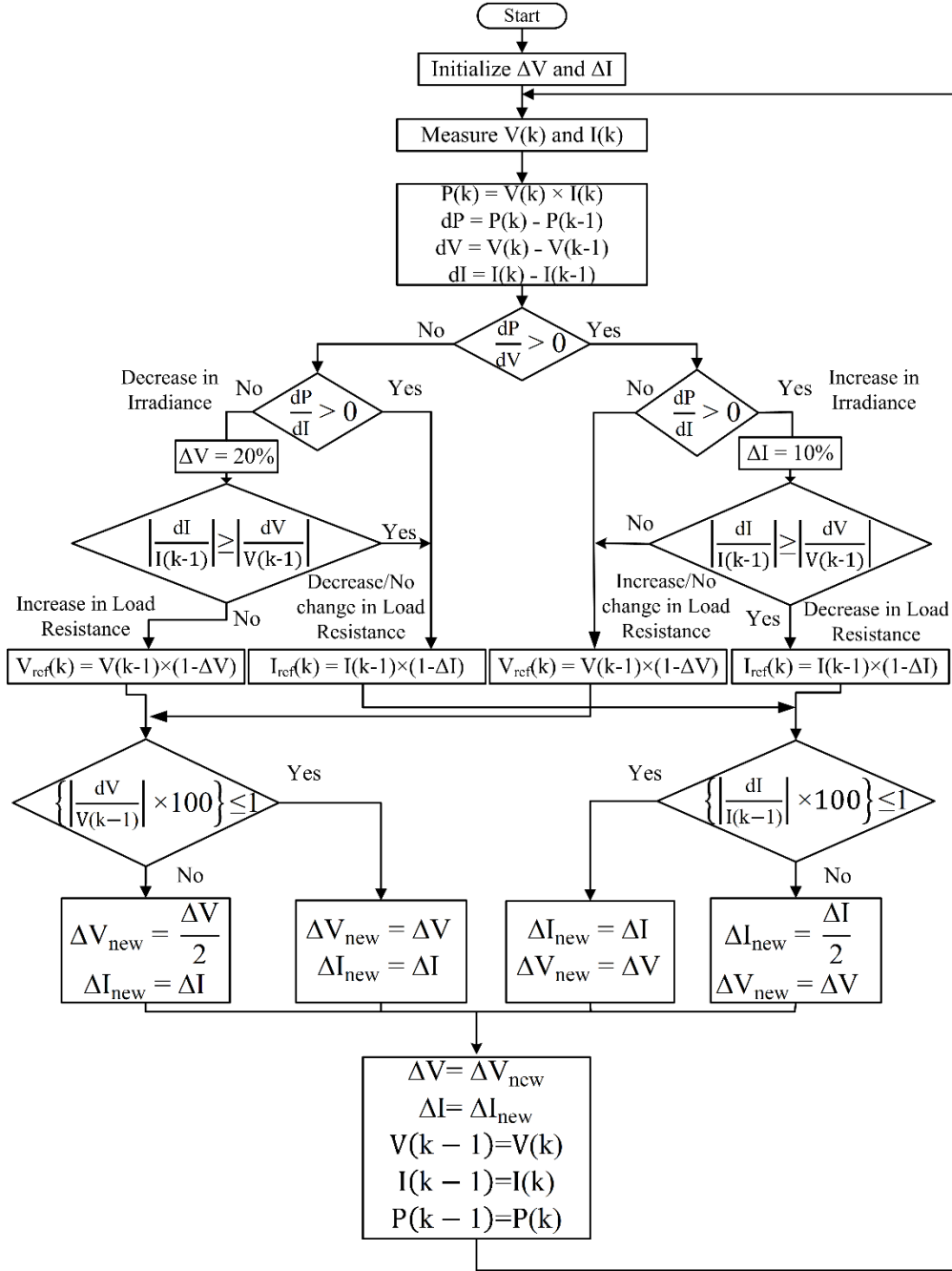
241 An adaptive drift-free MPPT algorithm is proposed in [54], which can overcome the drift under
242 simultaneous changes in irradiance and load resistance. The algorithm detects the change in irradiance by
243 comparing the sign of the slope of P-V and P-I curve between two perturbations, as shown in Fig. 9. The
244 sign of the slope of the two power curves are same only when there is a change in irradiance. The algorithm
245 uses two perturbation parameters, namely, voltage and current to ensure high speed of tracking and limits
246 the oscillations around MPP by iteratively reducing the perturbation step-size.

247 This section has briefly overviewed the MPPT algorithms chosen for investigation under low irradiance
248 condition. The next section will cover the design aspects to implement these algorithms in real-time to
249 ensure overall stability of the system.

250 **3. Perturbation Step-Size under Low Irradiance Levels**

251 The perturbation parameter can be in the form of duty, voltage or current to implement MPPT
252 algorithms. It is already proven that the mathematical comparison of the P&O and INC algorithm is similar
253 in continuous time as well as in their discrete implementation [52]. Therefore, the implementation of the
254 C-P&O and A-P&O algorithm uses duty as a perturbation parameter, whereas C-INC and A-INC
255 algorithms use voltage as a perturbation parameter. The different perturbation parameters help to determine
256 the efficacy of conventional and adaptive P&O and INC algorithms.

257 The classical and adaptive INR algorithms use current as a perturbation parameter as both these
258 algorithms require the knowledge of the P-I curve to reach MPP. Detailed analysis of the perturbation step-
259 sizes for P&O, INC and INR algorithms is in the subsequent section.



260
261 **Fig. 9.** Flowchart of adaptive drift-free MPPT algorithm

262 **3.1. Calculation of Step-Size of C-P&O and A-P&O Algorithms**

263 As mentioned previously, the P&O algorithm uses duty as the control variable. To accurately
264 determine the perturbation step-sizes under low irradiance, the ADC resolution is a vital constraint variable.
265 The experimental setup has an ADC of 10-bit resolution, with a maximum input voltage of 5V. Therefore,
266 the minimum voltage that can be sensed by the ADC is 4.88mV. The current sensor has a sensitivity of
267 1mV/mA, the minimum sensing ability in voltage and current due to perturbation of the microcontroller is
268 $dV_{\min} = 0.00488V$ and $dI_{\min} = 0.0488A$, respectively. The perturbation step-sizes for different irradiance
269 levels, for the minimum set values of dV_{\min} and dI_{\min} , are given in Table 2.

270 From Table 2, one can easily observe that the perturbation step-size in duty is increasing as the
271 irradiance decreases which is due to the set constraints on dV_{\min} and dI_{\min} values. A step-size of
272 $\Delta D = 0.3922$ is obtained under a low irradiance level of $250W/m^2$ to detect a minimum change in voltage,
273 $dV_{\min} = 0.00488V$ and current $dI_{\min} = 0.0488A$. In C-P&O technique, step-size governs the yield of the
274 algorithm. If a big step-size of 0.3922 is selected, then the performance of the algorithm may worsen under

275 medium-high insolation level. On the other hand, a small step-size of 0.0087 under low irradiance
 276 conditions may give a false reading of ADC as the variation in voltage and current due to perturbation may
 277 fall below their minimum set values. This false reading of ADC will affect the operation of the MPPT
 278 algorithm. To determine an optimum perturbation step-size, the authors have changed the minimum
 279 difference in voltage and current value due to perturbation to be $dV_{\text{opt}} = 0.1\text{V}$ and $dI_{\text{opt}} = 0.01\text{A}$,
 280 respectively. By doing this, the perturbation step-size is low, by marginally increasing the steady-state
 281 oscillations around MPP under high irradiance condition, as given in Table 3.

282 The designer should consider the resolution of the ADC for obtaining the optimum allowable change
 283 in voltage and current due to perturbation. Hence, the authors have determined generalized expressions for
 284 the optimum change in voltage and current as given in (10) and (11), respectively.

$$285 \quad dV_{\text{opt}} = 2 \times n \times dV_{\text{min}} \quad (10)$$

$$286 \quad dI_{\text{opt}} = \frac{2 \times n \times dI_{\text{min}}}{10} \quad (11)$$

287 where, n is the resolution of ADC and dV_{min} (dI_{min}) is the minimum value of voltage (current) that can be
 288 read by ADC.

289 Fig. 1 shows that the perturbation step change in voltage should be more than the perturbation step
 290 change in current to reach the MPP quickly [55]. The minimum perturbation step-size of $\Delta D = 0.0166$ of
 291 $250\text{W}/\text{m}^2$ is selected to ensure accurate tracking of both conventional and adaptive P&O algorithms.

292 The expression for optimum duty cycle at the lowest irradiance is derived in (12), where, $D_{\text{mpp}(G)}$,
 293 $V_{\text{mpp}(G)}$ and $I_{\text{mpp}(G)}$ is the duty cycle, voltage and current, respectively, at MPP at irradiance, G . Two values
 294 of ΔD_{opt} can be obtained from (12) corresponding to a minimum and maximum duty cycle. The maximum
 295 value of ΔD_{opt} should be selected for the worst condition and this value will ensure the correct operation of
 296 the P&O algorithm.

$$297 \quad \Delta D_{\text{opt}} = |D_{\text{mpp}(G)} - D_{(\text{max}/\text{min})}| = \sqrt{\frac{1}{R} \left(\sqrt{\frac{V_{\text{mpp}(G)} \pm dV_{\text{opt}}}{I_{\text{mpp}(G)} \mp dI_{\text{opt}}}} - \sqrt{\frac{V_{\text{mpp}(G)}}{I_{\text{mpp}(G)}}} \right)} \quad (12)$$

298 The value of scaling factor, $M = 0.166$ is obtained for $dV_{\text{max}} = 0.3729\text{V}$, $D_{\text{max}} = 0.0166$ and
 299 $dP_{\text{max}} = 0.0371\text{W}$ by substituting these values in (3). These values are for the minimum irradiance level of
 300 $250\text{W}/\text{m}^2$. The selection of these values ensures that the change in current and voltage due to perturbation
 301 never falls below the preset limit, under low irradiance ($250\text{W}/\text{m}^2$).

302 **Table 2** Suboptimal duty perturbation step-sizes for $dV_{\text{min}} = 0.00488\text{V}$ and $dI_{\text{min}} = 0.0488\text{A}$

$G \text{ (W/m}^2\text{)}$	1000	900	800	700	600	500	400	370	300	250
ΔD	0.0087	0.0110	0.0124	0.0157	0.0203	0.0296	0.0480	0.0643	0.1795	0.3922

303

304 *3.2. Calculation of Step-Size of C-INC and A-INC Algorithms*

305 As previously mentioned, the conventional and adaptive INC algorithms use voltage as a perturbation
 306 variable. Table 3 shows the step-sizes in voltage for different irradiance levels, with the same constraint on
 307 dV_{opt} and dI_{opt} values.

308 In this case, a minimum voltage step of $\Delta V = 0.3729\text{V}$ ensures accurate operation of the INC
 309 algorithms under all irradiance levels, as in Table 3. The direct increment/decrement in voltage is not
 310 possible as the control variable is duty cycle. The authors carefully designed a PI controller to implement
 311 the voltage based C-INC and A-INC algorithms.

312 *3.2.1. Design of Voltage Control Loop*

313 The MPPT controller circuit diagram for implementing P&O, INC, INR and DF MPPT algorithms is shown
 314 in Fig 10. The instantaneous value of voltage (V_{PV}) and duty (d) are perturbed to deduce the small-signal
 315 expression as given in (13) [56]:

316

$$G_{vd}(s) = \frac{\tilde{V}_{PV}}{\tilde{d}} = \frac{\frac{V_0 L s}{R}}{LCs^2 + \frac{L}{R}s + (1-d)^2} \quad (13)$$

317

where, \tilde{V}_{PV} and \tilde{d} are small perturbations in PV voltage and duty, respectively.

318

The transfer function of the PI controller for the VCL is depicted by (14).

319

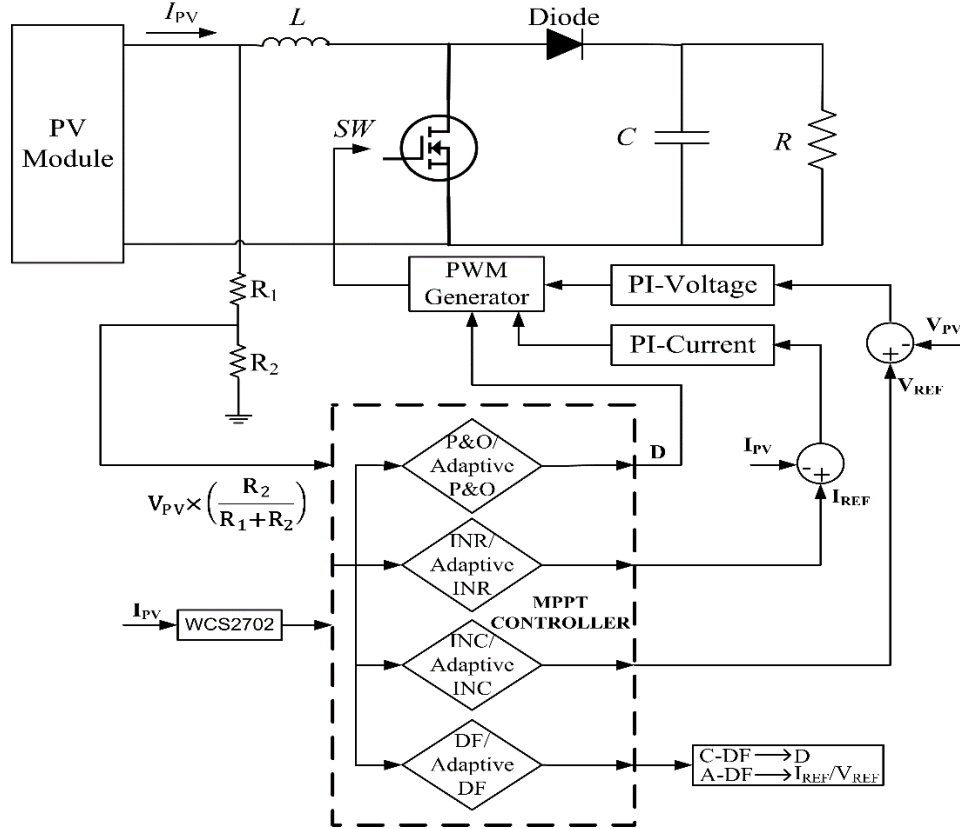
$$G_v(s) = K_{pv} + \frac{K_{iv}}{s} \quad (14)$$

320

The open-loop transfer function of the control loop is depicted by (15).

321

$$G_{olv}(s) = G_{vd}(s)G_v(s) \quad (15)$$



322

323

Fig. 10. Circuit diagram of the MPPT controller

324

Table 3 Optimum perturbation step-sizes for $dV_{opt} = 0.1V$ and $dI_{opt} = 0.01A$

G (W/m^2)	1000	900	800	700	600	500	400	370	300	250
ΔD	0.0024	0.0034	0.0034	0.0047	0.0068	0.0072	0.0084	0.0086	0.0149	0.0166
ΔV (V)	0.1000	0.1031	0.1034	0.1208	0.1410	0.1737	0.2190	0.2461	0.3100	0.3729
ΔI (A)	0.0200	0.0113	0.0112	0.0100	0.0100	0.0100	0.0100	0.0100	0.0100	0.0100

325

326

The bode graph of the control loop without and with compensation is shown in Fig. 11(a). The open-loop transfer function without compensator has a phase margin of -91.4° at 33.1 rad/s as shown in Fig. 11(a). The designed controller gives an overshoot of 5.8% for a unit step response having a phase margin (PM) of 60° at 8.95 krad/s. The coefficients calculated are $K_{pv} = 0.348$ and $K_{iv} = 2059.8$.

329

The root locus of the open-loop transfer function $G_{olv}(s)$ is shown in Fig. 11(b). The root locus shows that the closed-loop poles for 60° PM occur at $p_{1,2} = -266 \pm j797$ ($\zeta = 0.317$, $\omega_n = 840$ rad/s) which ensures the stability of the system.

332

The scaling factor, $N = 3.661$ obtained for $dV_{max} = 0.3729V$ and $dP_{max} = 0.03797W$ for adaptive INC algorithm using (6). These values are chosen for the minimum irradiance level of $250W/m^2$ and will ensure the correct operation of the A-INC algorithm.

333

334

335

3.3. Calculation of Step-Size of C-INR and A-INR Algorithms

336 As the conventional and adaptive INR algorithms use the knowledge of the P-I curve, they use current
 337 as a perturbation variable. Table 3 shows the current perturbation step-sizes for different irradiance levels
 338 for $dV_{opt} = 0.1V$ and $dI_{opt} = 0.01A$.

339 The current based INR algorithm uses a minimum current step-size of $\Delta I = 0.02A$, such that both
 340 conventional and adaptive INR algorithms work accurately under a wide range of irradiance conditions. As
 341 direct perturbation in current is not possible, careful designing of PI controller is essential to implement the
 342 current based INR algorithm.

343 3.3.1. Design of Current Control Loop

344 The current controller determines the reference current (I_{ref}) using INR algorithm, as shown in
 345 Fig. 10. The instantaneous value of current (I_{PV}) and duty (d) are perturbed to deduce the small-signal
 346 expression as given in (16) [18]:

$$347 \quad G_{id}(s) = \frac{\widetilde{I}_{PV}}{\widetilde{d}} = \frac{V_o C s + 2 \frac{V_o}{R}}{L C s^2 + \frac{L}{R} s + (1-d)^2}$$

348 (16)

349 where, \widetilde{I}_{PV} and \widetilde{d} are small perturbations in PV current and duty, respectively.

350 The transfer function of the PI controller for the CCL is depicted by (17).

$$351 \quad G_c(s) = K_{pc} + \frac{K_{ic}}{s} \quad (17)$$

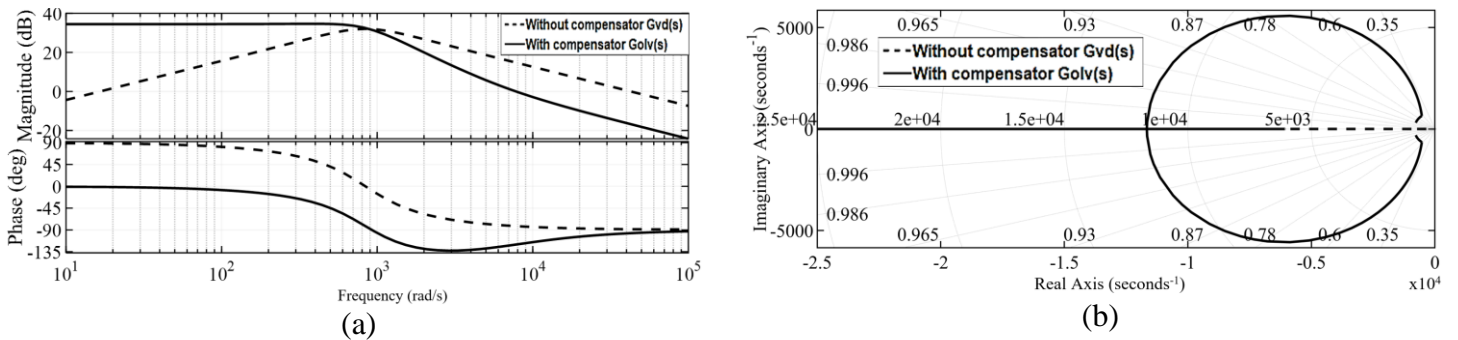
352 The open-loop transfer function of CCL is depicted by (18).

$$353 \quad G_{olc}(s) = G_{id}(s) G_c(s) \quad (18)$$

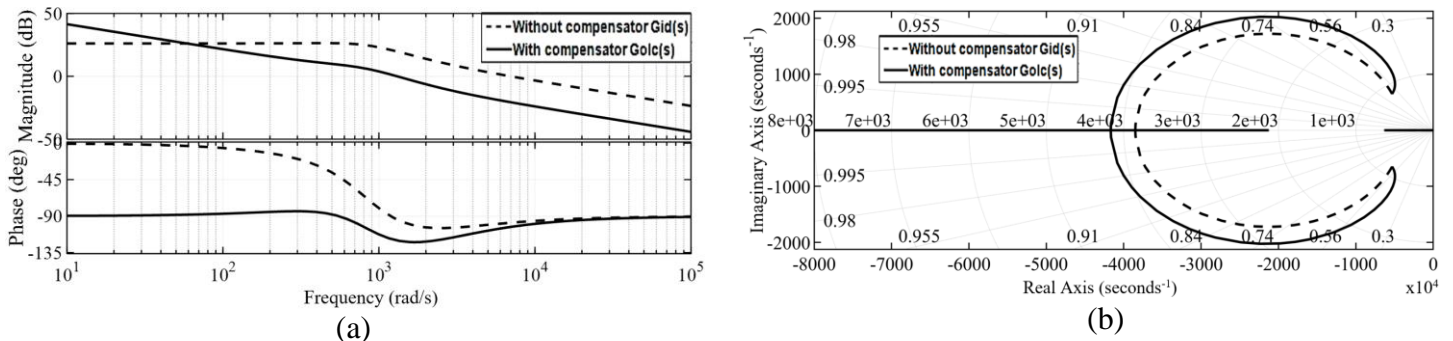
354 The bode plot of the CCL without and with compensation is shown in Fig. 12(a). The open-loop
 355 transfer function without compensation has a phase margin of 85.7° at 6.83 krad/s , as shown in Fig. 12(a).
 356 The step input response of the current control loop without compensation results in an overshoot of above
 357 10%.

358 The designed PI controller achieves a maximum overshoot of 7.8% for a PM of 60° at 1.88 krad/s .
 359 The coefficients of this PI controller are $K_{pc} = 0.195$ and $K_{ic} = 133.8$.

360 The root locus of the open-loop transfer function $G_{olc}(s)$ is shown in Fig. 12(b). Root locus shows
 361 that the closed-loop poles for 60° PM occur at $p_1 = 0$ and $p_{2,3} = -266 \pm j797$ ($\zeta = 0.317$, $\omega_n = 840 \text{ rad/s}$)
 362 thereby ensuring the stability of the system.



363 **Fig. 11.** (a) Bode graph and (b) Root locus of VCL



364 **Fig. 12.** (a) Bode graph and (b) Root locus of CCL

365 The scaling factor, $C = 2.9 \times 10^{-3}$ is obtained for $dI_{\max} = 0.02A$ and $dP_{\max} = 0.1338W$ for adaptive INR
 366 algorithm using (9). The chosen value corresponding to the maximum irradiance level of $1000W/m^2$ will
 367 ensure the reliable working of the adaptive INR algorithm under a wide range of irradiance.

368 4. Experimental Setup

369 A small-scale experimental setup developed to evaluate the performance of HC algorithms is shown in
 370 Fig. 13. For testing these algorithms, irradiance is varied over the Vikram Solar 40W (ELDORA 40P)
 371 multi-crystalline PV module by 150W halogen lamps whereas the module temperature is controlled through
 372 fans installed at the bottom of the PV module. The parameters of the 40W PV module are given in Table
 373 4. MPPT algorithms are programmed in an inexpensive ATMEGA-32 microcontroller development board
 374 having ADC of 10-bit resolution. The voltage is sensed with the help of a potential divider circuit with R_1
 375 $= 10k\Omega$ and $R_2 = 1k\Omega$, whereas the current is sensed with the help of a current sensor (WSC2702) to reduce
 376 the maximum voltage given to ADC which should be less than 5V. Table 5 gives the specifications of the
 377 DC-DC boost converter which is designed for the MPP voltage and current values of the PV module at
 378 STC to ensure its stable operation under low and high irradiance levels. The boost converter control switch
 379 is a MOSFET (IRFP350) of 350V, 15A with low switching loss. A dedicated driver IC (IR2112) is used to
 380 drive the control switch. A constant load resistance, $R = 60\Omega$ is used to test the performance of the MPPT
 381 algorithms as shown in the circuit diagram of the MPPT controller in Fig. 10. Fluke 287 multi-meters and
 382 TDS2000C digital storage oscilloscope are used to store the experimental data.

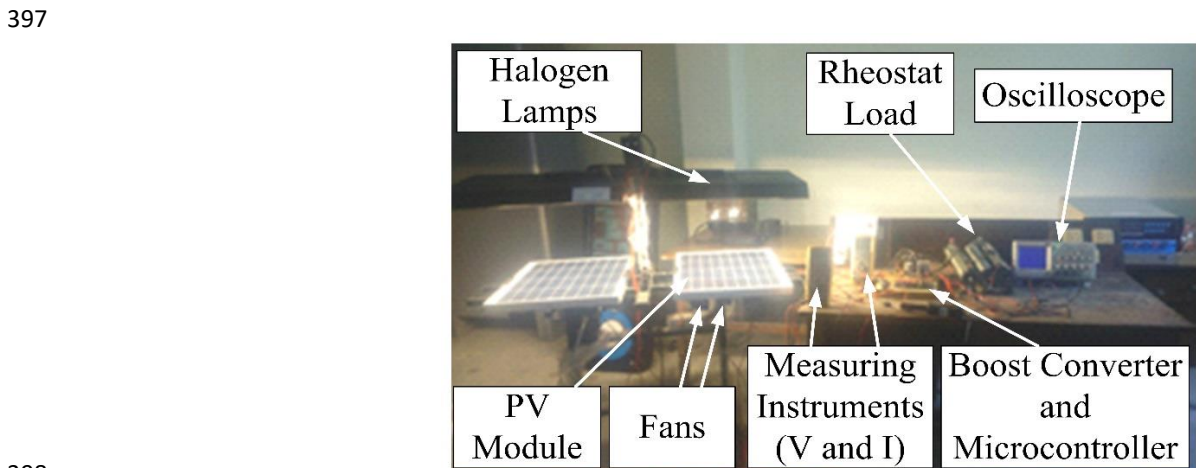
383 5. Experimental Results

384 In this section, the authors analyzed the performance of the classical and adaptive algorithms for two
 385 distinct step-sizes under (i) sudden change in low values of irradiance and (ii) low values of uniform
 386 irradiance. The comparison between algorithms is carried out based on two key performance parameters as
 387 given below.

- 388 i) *Steady-state response time to reach MPP (t_{ss})*: The MPPT algorithms tracking speed is determined
 389 by the time taken by the algorithm to reach steady-state (t_{ss}) when there is a sudden change in the
 390 irradiance. In this study, the steady-state response time is evaluated by measuring the time taken by
 391 the algorithm to reach 90% of the target value.
- 392 ii) *Power oscillation around MPP*: The power oscillation is another key parameter to determine the
 393 performance of MPPT algorithms. It is calculated by taking the mean of samples of the difference
 394 between the target and the measured values. The power oscillations around MPP indicates the power
 395 loss, which is determined after the algorithm has reached steady-state.

396 **Table 4** Specifications of 40W PV module at STC

Nc	V _{OC}	I _{SC}	V _{MPP}	I _{MPP}	β_{OC}	α_{SC}
36	21.95V	2.44A	17.84V	2.25A	-0.31V/ ⁰ C	0.058A/ ⁰ C



398 **Fig. 13.** Small-scale prototype to test the MPPT algorithms

399 **Table 5** Parameters of the boost converter

400

V_{in}	I_{in}	V_{out}	D	R	L	C	f_{sw}
17.84V	2.25A	40V	0.554	40 Ω	6mH	47 μ F	16kHz

5.1. Conventional vs adaptive P&O, INC, INR and DF algorithms for two distinct step-sizes under sudden variation in irradiance

In this case, the algorithms are tested on a 40W PV module under relatively constant temperature measured between $T = 25^{\circ}\text{C} - 25.5^{\circ}\text{C}$. At $t_1 = 0\text{s}$, the MPPT algorithms are activated in succession with a starting irradiance of $G = 250 \text{ W/m}^2$. At $t_2 = 20\text{s}$, the irradiance is instantly incremented to 370 W/m^2 and then instantly dropped to 250 W/m^2 at $t_3 = 40\text{s}$, as depicted by Fig. 14. The experimental waveforms of the MPPT algorithms for the suboptimal step-sizes corresponding to minimum ADC resolution are shown in Fig. 15.

Considering the ADC resolution, the minimum allowable change in voltage and current due to perturbation is $dV_{\min} = 0.00488\text{V}$ and $dI_{\min} = 0.0488\text{A}$, respectively. The perturbation step of P&O, INC and INR algorithms corresponding to this condition are $\Delta D = 0.3922$, $\Delta V = 0.8\text{V}$ and $\Delta I = 0.07\text{A}$. As DF algorithm is implemented using duty as the perturbation parameter, $\Delta D = 0.3922$ is used as the step-size. The Adaptive DF algorithm uses $\Delta V = 0.8\text{V}$ and $\Delta I = 0.07\text{A}$ as perturbation step-sizes for voltage and current, respectively. The zoomed P-t curves during start-up at $t_1 = 0\text{s}$, sudden increment in irradiance at $t_2 = 20\text{s}$ and sudden decrement in irradiance at $t_3 = 40\text{s}$ are shown in Fig. 15.

The observed response times of the MPPT algorithms with suboptimal step-size to reach steady-state around MPP are depicted in Table 6. Both conventional and adaptive P&O_{SSS} and conventional DF_{SSS} take more time to settle, as they fail to converge quickly due to the use of large step-size of $\Delta D = 0.3922$. As the INC_{SSS} algorithm uses voltage as a perturbation variable, the step-size of $\Delta V = 0.8\text{V}$ helps in quick convergence to MPP and hence, reaches steady-state at a faster rate. INR_{SSS} algorithm comes out a close second with a low perturbation step-size of $\Delta I = 0.07\text{A}$. The adaptive DF_{SSS} is the fastest due to the two perturbation parameters used within the algorithm.

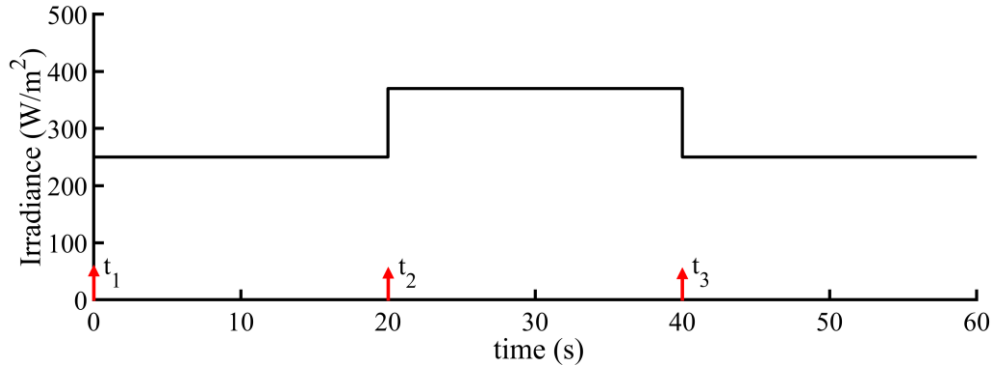


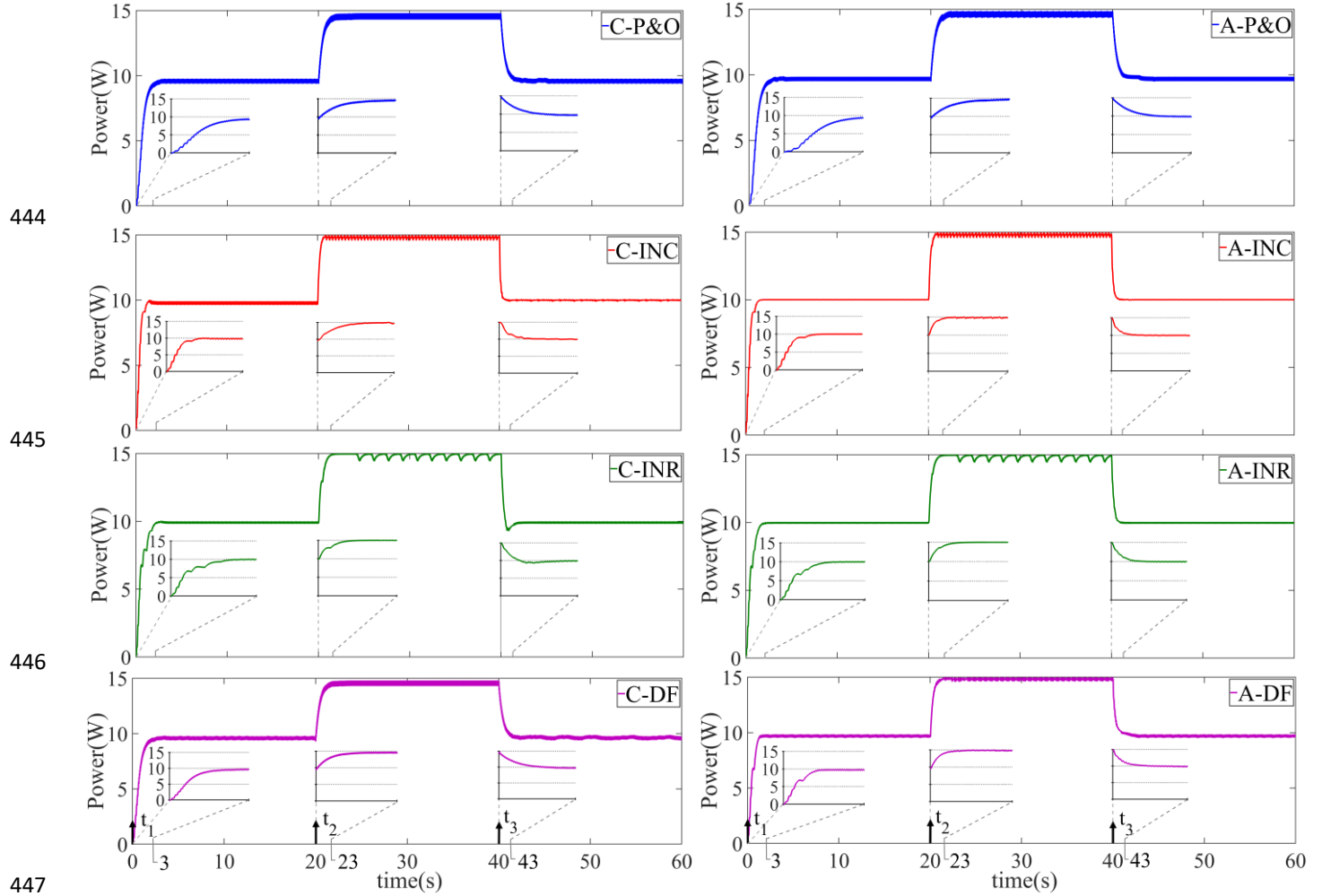
Fig. 14. Waveform indicating the change in irradiance

Although, a high step-size guarantees a rapid convergence, however, the use of a very large step-size of $\Delta D = 0.3922$ and $\Delta I = 0.07\text{A}$ of P&O and INR algorithms, respectively results in instability and substantial power loss under steady-state power oscillations.

From Table 6, one can easily see that the SSS corresponding to the resolution of ADC, slightly improves the tracking speed. However, this also results in high power oscillations around MPP, which is due to the large step-size.

To maintain the balance between response time to reach SS and power oscillations around MPP, the authors have evaluated step-sizes using (10), (11) and (12). The expressions in (10) and (11) can guide an engineer to calculate the minimum allowable change in voltage and current, respectively, due to perturbation. Once the minimum step change in voltage and current is defined, one can obtain the optimum step-size using (12). The optimum allowable change in voltage and current due to perturbation is determined as $dV_{\text{opt}} = 0.1\text{V}$ and $dI_{\text{opt}} = 0.01\text{A}$, respectively. The duty step-size for P&O_{SSS} and DF_{SSS} corresponding to these limits is $\Delta D = 0.0166$. The voltage and current step-size for INC_{SSS} and INR_{SSS} are $\Delta V = 0.3729\text{V}$ and $\Delta I = 0.02\text{A}$ by taking into account the entire range of irradiance. The voltage and current step-size selection for INC and INR algorithms are chosen for the lowest and highest irradiance values of

440 250W/m² and 1000W/m², respectively. If we select the voltage step-size of the largest value of irradiance
 441 and current step-size for the lowest value of irradiance, there is a good chance that the change in voltage or
 442 current due to perturbation may fall below their minimum preset values.
 443



447 **Fig. 15.** Experimental waveforms of conventional and adaptive versions of P&O, INC, INR and DF MPPT
 448 algorithms under start-up at $t_1 = 0s$, increase in irradiance $t_2 = 20s$ and decrease in irradiance at $t_3 = 40s$
 449 with suboptimal step-size (SSS), i.e., corresponding to ADC resolution
 450
 451
 452
 453

Table 6 Response time to reach steady-state (t_{ss}) of MPPT algorithms with SSS and OSS

Algorithm	t_{ss} during start-up (s)	t_{ss} under rising G (s)	t_{ss} under falling G (s)
P&O _{SSS}	2.1	1.4	1.1
INC _{SSS}	1.4	0.7	0.6
INR _{SSS}	2.5	0.8	1.4
DF _{SSS}	1.6	0.7	0.7
Adaptive P&O _{SSS}	2.1	1.4	1.1
Adaptive INC _{SSS}	1.4	0.7	0.6
Adaptive INR _{SSS}	1.7	0.7	0.5
Adaptive DF _{SSS}	1.4	0.6	0.5
P&O _{OSS}	2.1	1.7	0.8
INC _{OSS}	2.8	1.0	1.2

INR _{Oss}	2.2	0.8	1.3
DF _{Oss}	2.1	0.9	0.6
Adaptive P&O _{Oss}	1.2	0.8	0.6
Adaptive INC _{Oss}	1.4	1.0	0.7
Adaptive INR _{Oss}	2.0	0.9	0.9
Adaptive DF_{Oss}	1.4	0.7	0.5

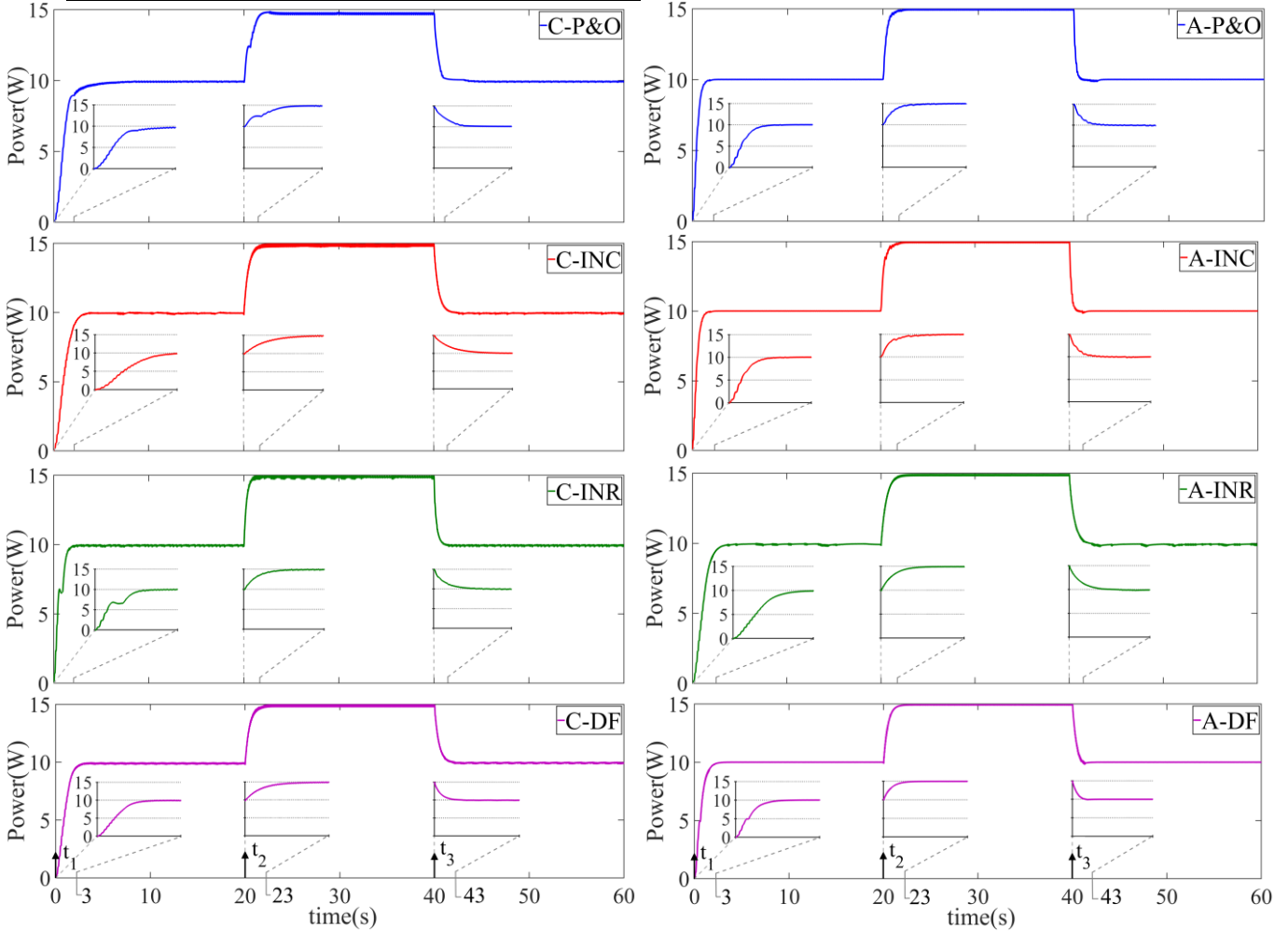


Fig. 16. Experimental waveforms of conventional and adaptive versions of P&O, INC, INR and DF MPPT algorithms under start-up at $t_1 = 0s$, increase in irradiance $t_2 = 20s$ and decrease in irradiance at $t_3 = 40s$ with optimum step-size (OSS)

The adaptive DF_{Oss} uses a voltage and current step-size of $\Delta V = 0.3729V$ and $\Delta I = 0.02A$, respectively. The experimental waveforms of conventional P&O_{Oss}, INC_{Oss} and INR_{Oss} algorithms with their adaptive versions for $\Delta D = 0.0166$, $\Delta V = 0.3729V$ and $\Delta I = 0.02A$ under sudden change in irradiance are shown in Fig. 16. The zoomed experimental waveforms depicting the P-t curves under start-up at $t_1 = 0s$, increase in irradiance at $t_2 = 20s$ and decrease in irradiance at $t_3 = 40s$ are shown in Fig. 16.

Response times of the MPPT algorithms with the OSS to reach steady-state around MPP are given in Table 6. The results show that adaptive DF_{Oss} has the highest tracking speed, owing to dual perturbation, which results in low response time to reach steady-state around MPP. Adaptive P&O_{Oss} also has a good tracking speed due to direct duty perturbation, whereas adaptive INC_{Oss}/INR_{Oss} comes at a close third place. As the perturbation step-size is selected for the worst possible condition, similar response times among the conventional hill-climbing algorithms is noticed, under low irradiance levels.

As previously discussed, the worst possible condition for P&O and INC occurs under low irradiance, whereas in INR algorithm, it occurs at the highest possible irradiance. By selecting the perturbation step-size for worst conditions, the successful operation of ADC and thereby the reliability of the MPPT

475 algorithm is increased for a broad span of irradiance. Under low irradiance, the change in voltage and
 476 current is small; therefore, the resolution of ADC becomes an important constraint in tracking the MPP.

477 *5.2. Conventional vs adaptive P&O, INC, INR and DF algorithms for two distinct step-sizes under*
 478 *uniform irradiance condition*

479 In the second case, the conventional MPPT algorithms are tested for two distinct step-sizes under low
 480 value of uniform irradiance levels, namely, 250 W/m² and 370 W/m². This case study helped in determining
 481 the power oscillations around MPP. The experimental results are analyzed, and a comparison based on
 482 steady-state power oscillations between the conventional and adaptive MPPT algorithms for two distinct
 483 step-sizes is shown in Table 7.

484 From the obtained results, one can see that the SSS corresponding to the ADC resolution, i.e., P&O_{SSS},
 485 INC_{SSS}, INR_{SSS} and DF_{SSS} results in high steady-state oscillations. The power oscillations will keep on
 486 increasing as we go towards the higher value of irradiance. The OSS of the conventional MPPT algorithms
 487 results in low steady-state power oscillations because the change in voltage and current due these step-sizes
 488 is much greater than the resolution of ADC.

489 Hence, the perturbation step-size should be selected based on the worst possible condition such that the
 490 difference in voltage and current is always higher than the minimum set values, which can be easily read
 491 by ADC. These worst conditions occur in the case of conventional and adaptive INR algorithms at high
 492 irradiance levels. On the other hand, such worst conditions occur at low irradiance levels for the
 493 conventional and adaptive P&O and INC algorithms, thereby establishing that performance analysis in the
 494 medium-low range of irradiance cannot be ignored.

495 *5.3. Robustness and Statistical Analysis*

496 This section compares the performance of the MPPT algorithms by evaluating the mean, minimum,
 497 maximum and standard deviation of the extracted power using the statistical analysis. The mean is
 498 calculated to determine the accuracy of the different MPPT algorithms, whereas the standard deviation
 499 helped in measuring the amount of dispersion within the power data sets. Two non-parametric tests are
 500 performed to evaluate the overall performance of each MPPT algorithm.

501 To check whether Adaptive DF_{OSS} outperforms other HC algorithms, Wilcoxon rank-sum test is
 502 performed with a significance level of $\alpha = 0.05$. The sign ‘+’ indicates that the Adaptive DF_{OSS} performs
 503 significantly better than the other algorithm, the sign ‘ \approx ’ indicates that the Adaptive DF_{OSS} is comparable
 504 to other algorithm and the sign ‘-’ indicates that the Adaptive DF_{OSS} algorithm is worse than the other
 505 algorithm. Table 8 gives the statistical results obtained by testing all eight algorithms under 370 W/m² and
 506 250 W/m² irradiance.

507 Another non-parametric Friedman ranking test is performed to determine the ranking of the HC
 508 MPPT algorithms. Table 9 gives the ranking obtained using the Friedman ranking test which also shows
 509 that the Adaptive DF_{OSS} algorithm has a superior tracking performance as compared to other algorithms.

510 **Table 7** MPPT algorithms steady-state power oscillations for two distinct step-sizes

Algorithm	Power Oscillation (%) at 370 W/m ²	Power Oscillation (%) at 250 W/m ²
P&O _{SSS}	3.33	3.28
INC _{SSS}	3.20	3.18
INR _{SSS}	3.26	3.08
DF _{SSS}	3.18	3.17
Adaptive P&O _{SSS}	2.72	2.65
Adaptive INC _{SSS}	2.73	2.62
Adaptive INR _{SSS}	2.99	3.01
Adaptive DF _{SSS}	2.66	2.54

P&O _{OSS}	1.73	2.18
INC _{OSS}	1.66	2.08
INR _{OSS}	1.46	1.99
DF _{OSS}	1.64	1.98
Adaptive P&O _{OSS}	1.72	2.15
Adaptive INC _{OSS}	1.64	1.88
Adaptive INR _{OSS}	1.42	1.75
Adaptive DF_{OSS}	1.38	1.35

511 The distribution of extracted power obtained from the various HC algorithms is shown in the form of
512 boxplot in Fig. 17. This distribution clearly shows the convergence accuracy and the sustained power
513 oscillations in each MPPT algorithm.

514 From the statistical analysis it can be easily seen that the adaptive versions perform better than their
515 conventional counterparts when implemented using OSS. The use of OSS also prevents false tracking
516 process within the MPPT algorithm as the ADC resolution is not challenged.

517 **Table 8** Statistical results using Wilcoxon rank-sum test with OSS

Irradiance	Algorithm	Power (W)				rank-sum
		Max	Min	Mean	Std	
250 W/m ²	A-DF_{OSS}	10.03	9.91	9.96	0.03	
	P&O _{OSS}	9.75	9.38	9.60	0.10	(+)
	INC _{OSS}	9.89	9.62	9.77	0.08	(+)
	INR _{OSS}	9.99	9.82	9.89	0.04	(+)
	DF _{OSS}	9.78	9.38	9.61	0.10	(+)
	A-P&O _{OSS}	9.84	9.51	9.71	0.09	(+)
	A-INC _{OSS}	9.84	9.51	9.71	0.09	(+)
	A-INR _{OSS}	9.84	9.52	9.70	0.07	(+)
370 W/m ²	A-DF_{OSS}	15.01	14.41	14.84	0.14	
	P&O _{OSS}	14.79	13.77	14.52	0.16	(+)
	INC _{OSS}	14.98	14.56	14.78	0.08	(+)
	INR _{OSS}	15.00	14.40	14.86	0.13	(≈)
	DF _{OSS}	14.79	13.79	14.53	0.16	(+)
	A-P&O _{OSS}	14.86	13.93	14.60	0.15	(+)
	A-INC _{OSS}	14.99	14.56	14.78	0.08	(+)
	A-INR _{OSS}	15.01	14.41	14.84	0.14	(≈)

518 **Table 9** Ranking of the HC MPPT algorithms according to Friedman ranking test

Algorithm	Friedman ranking at 250 W/m ²	Friedman ranking at 370 W/m ²	Overall ranking
P&O _{OSS}	7.85	7.01	8
INC _{OSS}	5.81	4.98	5
INR _{OSS}	2.40	2.23	2
DF _{OSS}	7.00	6.36	7
A-P&O _{OSS}	5.01	5.56	6
A-INC _{OSS}	4.16	5.04	4
A-INR _{OSS}	3.72	3.01	3
A-DF_{OSS}	1.82	1.99	1

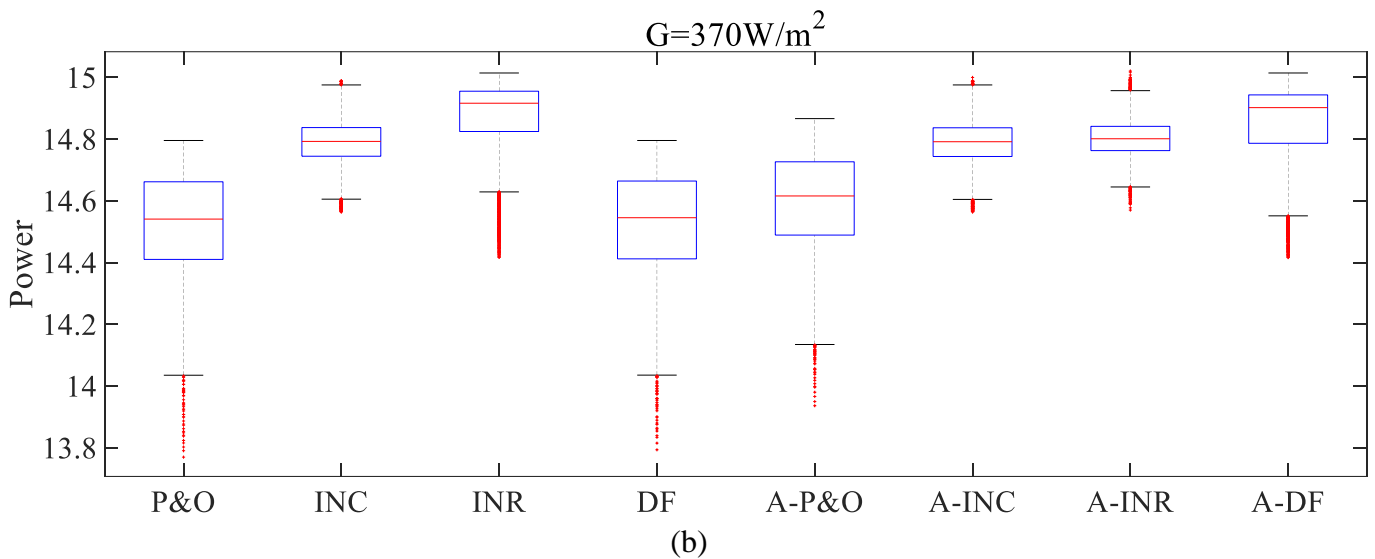
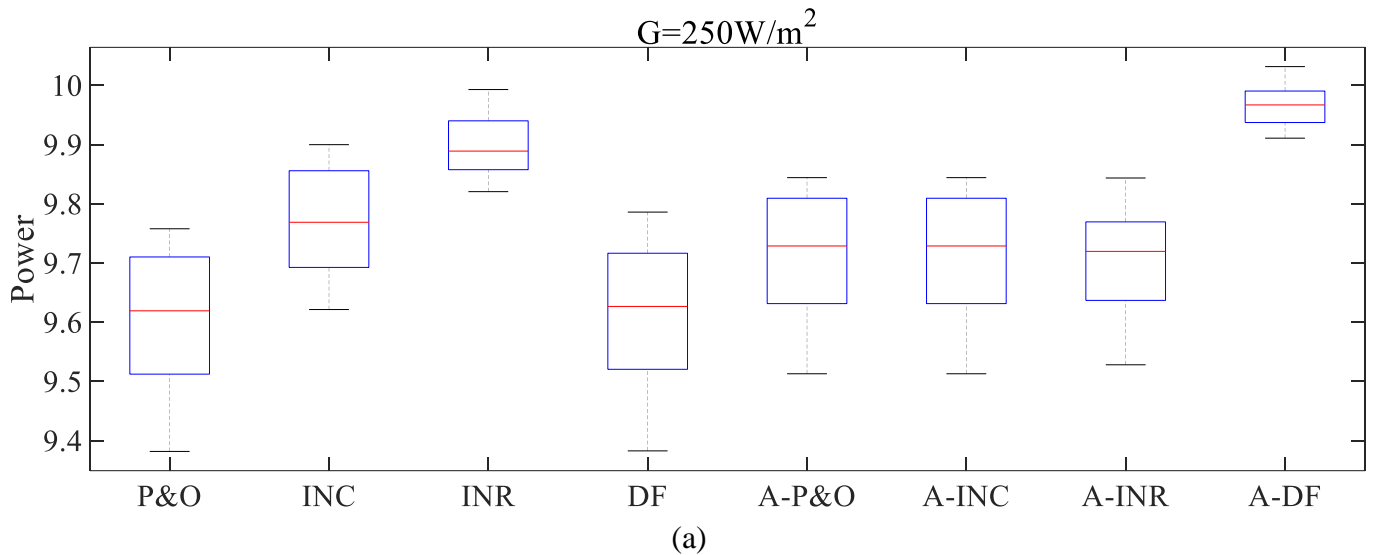


Fig. 17 Boxplot graph delineating the extracted Power (W) under (a) 250 W/m^2 and (b) 370 W/m^2

6. Discussion

To evaluate the performance of MPPT algorithm under low irradiance conditions, eight well established HC algorithms have been thoroughly investigated. It is observed that the perturbation step-size is a key parameter that governs the performance of the MPPT algorithm and should be carefully evaluated by considering the ADC resolution of the controller. The algorithm's performance is determined by evaluating the steady-state response time and the power oscillations around MPP at steady-state.

Under 250 W/m^2 and 370 W/m^2 , the Adaptive DF algorithm has the lowest steady-state response time implemented for both SSS and OSS as compared to other HC algorithms. This is due to the use of two perturbation parameters, i.e. both voltage and current which increases the speed of tracking. The other adaptive algorithms when tested using SSS has a large settling time due to the large step-size which is calculated by considering the resolution of the ADC. Both conventional and adaptive versions of P&O, INC and INR algorithms show similar response time when implemented using SSS. To improve the tracking process the OSS remain the obvious choice under low irradiance conditions as they significantly improve the tracking speed of both conventional and adaptive HC algorithms.

The Wilcoxon rank-sum test is used to evaluate the standard deviation which helped in determining the dispersion in steady-state power. The test is used to compare the performance of the HC MPPT algorithms. The boxplot graphs under 370 W/m^2 indicate that the Adaptive DF and INR algorithms have similar dispersion in steady-state power. This is because of the low perturbation step-size in current determined by considering the optimum change in voltage and current due to perturbation that is sensed by

543 the ADC. However, under 250 W/m² the Adaptive DF algorithm outperforms the other HC algorithms as
544 evident from the lowest standard deviation value obtained from the Wilcoxon rank-sum test.

545 Finally, Friedman ranking test is used to determine the overall rank of the various HC algorithms.
546 The Adaptive DF algorithm remains the obvious choice even under low irradiance. The Conventional and
547 Adaptive INR algorithms comes at a close second and third place due to the low perturbation step-size
548 which although slightly increases the tracking time but has low steady-state power oscillations. Both
549 Conventional and Adaptive P&O has a very good tracking speed but results in higher power oscillations at
550 steady-state. The Conventional and Adaptive INC algorithm has similar tracking performance as compared
551 to INR algorithms and has low steady-state oscillations when compared with P&O algorithms.

552 Hence, the proposed methodology to evaluate the optimum step-size is vital to improve the tracking
553 response as well as ensure low steady-state oscillations around MPP especially under low irradiance.

554 7. Conclusion

555 This paper has compared and analyzed CHC and AHC algorithms for two distinct step-sizes under
556 low irradiance levels. The imposition on the two preset values for the minimum allowable difference in
557 voltage and current helped in comparing the performance of these algorithms. The first preset value is
558 selected solely based on the resolution of the ADC. The authors proposed the second optimum preset value,
559 such that the minimum allowable difference in current and voltage due to perturbation remains fairly larger
560 than the resolution of the ADC. Two distinct step-sizes have been determined corresponding to these two
561 preset values.

562 The selection of proposed preset value helped in reducing the perturbation step-sizes of conventional
563 hill-climbing algorithms. The authors determined a method to evaluate the optimum duty step-size by
564 taking the ADC resolution as a critical attribute to ensure the correct operation of the MPPT algorithm for
565 the entire range of irradiance.

566 The major conclusions of the proposed work are as follows:

- 567 • The perturbation step-size should be evaluated based on the resolution of the ADC of the controller.
- 568 • The experimental results show that Adaptive DF algorithm is superior to other HC algorithms, in
569 terms of tracking speed and low steady-state power oscillations.
- 570 • The small-signal modelling is vital to ensure the stability of both voltage and current control loop.
- 571 • The performance of the HC algorithms is similar when implemented using the SSS, because of the
572 large perturbation step-size which is determined corresponding to the resolution of the ADC.
- 573 • Among P&O, INC and INR algorithms, the P&O algorithm has the highest tracking speed due to
574 the use of duty as a perturbation parameter which helps in reducing the tracking time.
- 575 • The perturbation step-size should be optimized for the worst condition, i.e., analysis should be
576 carried out for the lower end of irradiance.
- 577 • The proposed methodology to determine the OSS helped in lowering the steady-state oscillations
578 and maintaining a good tracking speed.

579 This work will, hopefully, guide researchers, engineers and industry professionals working in this
580 area to understand the key aspects when implementing MPPT algorithms and in evaluating their
581 performance under low irradiance conditions. Furthermore, the performance of these MPPT algorithms can
582 be analyzed on a grid-connected PV configuration for practical applications.

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