# Detecting dairy cows' lying behaviour using noisy 3D ultra wide band positioning data

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# 13 **1 Abstract**

14 In precision livestock farming, technology-based solutions are used to monitor and manage 15 livestock and support decisions based on on-farm available data. In this study, we developed a 16 methodology to monitor the lying behaviour of dairy cows using noisy spatial positioning data, 17 thereby combining time-series segmentation based on statistical changepoints and a machine 18 learning classification algorithm using bagged decision trees. Position data (x, y, z -coordinates) 19 collected with an ultra-wide band positioning system from 30 dairy cows housed in a freestall 20 barn were used. After the data pre-processing and selection, statistical changepoints were 21 detected per cow-day (no. included = 331) in normalized 'distance from the centre of the barn' 22 and (z) time series. Accelerometer-based lying bout data were used as a practical ground truth. 23 For the segmentation, changepoint detection was compared with getting-up or lying-down events 24 as indicated by the accelerometers. For the classification of segments into lying or non-lying 25 behaviour, two data splitting techniques resulting in 2 different training and test sets were 26 implemented to train and evaluate performance: one based on the data collection day and one 27 based on cow identity. In 85.5% of the lying-down or getting-up events a changepoint was 28 detected in a window of 5 minutes. Of the events where no detection had taken place, 86.2% could 29 be associated with either missing data (large gaps) or a very short lying or non-lying bout. Overall 30 classification and lying behaviour prediction performance was above 91% in both independent 31 test sets, with a very high consistency across cow-days. Per cow-day, the average error in the 32 estimation of the lying durations were 7.1% and 7.8% for the cow-identity and time-based data 33 splits respectively. This resulted in sufficient accuracy for automated quantification of lying 34 behaviour in dairy cows, for example for health or welfare monitoring purposes.

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<sup>36</sup> **Keywords**: spatial data; ultra-wide band technology; dairy cow; lying behaviour

# 38 **2 Introduction**

39 Precision livestock farming solutions typically aim at supporting monitoring and decision taking 40 by farmers using on-farm sensors measuring animal behaviour, performance and production 41 (Banhazi et al., 2012). The raw data used to generate decision support are often noisy time series, 42 prone to errors and variation caused not only by sensor failure or the harsh and changing farm 43 environments in which they operate, but also by the animals' specific physiology itself. The 44 resulting complexity and magnitude of the raw data render them hard to interpret as such by 45 farmers or other end-users. Consequently, these data have little value without proper (pre-) 46 processing algorithms that translate the raw measures in information informative for the targeted 47 end-users.

48 In dairy production, precision technologies are vastly deployed and implemented (Lovarelli et al., 49 2020; Stygar et al., 2021). The reason for the dairy sector being pacesetter in this area, is groups 50 of animals are typically much less homogeneous (e.g. animals with different age, lactation stages 51 and parities are kept in the same barn) compared to other livestock species and therefore 52 management at group level is less applicable. Additionally, dairy cows are highly valuable but 53 rather vulnerable, rendering individual monitoring crucial to optimize production, welfare and 54 sustainability. Because of the physiological stress these animals endure during lactation, timely 55 and specific interventions obviate animal suffering and financial losses. As modern dairy farms 56 grew larger over the past decade, investments in sensor technology to guide these interventions 57 became increasingly justifiable (Borchers & Bewley, 2015). Out of the many technologies 58 available, a system monitoring cow position and its derived behavioural features not only 59 promises to disclose cow health, but might also reveal welfare and social interactions - aspects 60 that become increasingly important in the livestock production landscape (Boyland et al., 2016; 61 Chopra et al., 2020). To this end, many technologies such as radio frequency identification, 62 wireless local area network systems, ultrasound positioning systems, etc. have been proposed, as compared by Huhtala et al., (2007). Today's commercialized positioning systems mainly serve to 63 64 locate cows for e.g., treatment or when they don't go milking. Monitoring specific cow behaviours 65 offers new paths both for research and commercial decision support systems that can help the farmer manage their herd, optimize production and quickly act upon disease or welfare problems. 66 67 A continuous and essential step to better unlock the potential of cow behavioural analyses is the 68 development of new ways to process data from sensor technologies that allow precise and timely 69 interpretation and extraction of actionable information (Eckelkamp & Bewley, 2020). As such, 70 extra value can be created from existing technology.

71 Lying behaviour has been shown to change upon a changing health and welfare status (Tucker et 72 al., 2021). For example, lameness will lower the number of times an animal gets up or lies down 73 and increases general lying bout duration (Barker et al., 2018; Weigele et al., 2018). Similarly, 74 udder infections in which an animal becomes very sick, or metabolic problems affecting 75 rumination time, will alter the lying behaviour (Piñeiro et al., 2019). Accurate detection and 76 monitoring over time of lying thus has potential to reveal health and welfare status, contribute to 77 new precision phenotypes, and evaluate e.g., housing situations or management practices in an 78 accurate and non-invasive manner. One technology to do so is via 3-dimensional spatial data, such 79 as provided via modern ultra-wide band (**uwb**) positioning systems currently being developed 80 and commercialized.

Ultra-wide band technology allows the transmission of high amounts of data over small distances
with very low energy in a large frequency spectrum, giving it advantages over technologies such

83 as global positioning systems that have lower battery life and accuracy (Huhtala et al., 2007). In an indoor positioning system based on uwb, Radio-Frequency identification signals are 84 85 transmitted across a wide bandwidth and captured by an antenna. The tags worn by the individual cows allow precise and frequent localization of the animals with low power usage, even in 86 87 cluttered indoor environments (Zhou et al., 2012). Upon development of appropriate data 88 interpretation algorithms, indoor positioning systems allow studying and monitoring cow 89 behaviour, including general activity, resting, feeding, drinking and social interactions with a 90 single sensor system, giving it a relative advantage over e.g. commercially available accelerometer 91 systems. Similarly, video-based systems (e.g. McDonagh et al., 2021) have the challenge of cow-92 identification, sufficient spatial covering, and high computation power requirements. Despite its 93 continuous development and high potential for animal monitoring, uwb-based positioning is yet 94 sparingly adopted for livestock applications. As for many new sensor technologies, the main 95 reason for this is the lack of algorithms that translate raw data into information valuable to the 96 farmer (García et al., 2020). In case of indoor positioning systems, data interpretation is 97 complicated by the inaccuracy and noise in the time series, missing data, and its (unpredictable) 98 heteroscedasticity (Pastell et al., 2018; Ren et al., 2021). The latter partly results from differences 99 in behaviour, but previous research also highlighted dependency on the position of the animal in 100 the barn with regard to the antenna and interactions of the signal with metal (e.g. the feeding rack) 101 and water bodies (e.g., other cows) (Ren et al., 2022). These aspects hinder straightforward 102 interpretation of the positioning data and its derivatives (e.g., distance travelled), also preventing 103 wider adoption. Nonetheless, as dedicated processing of these data would tremendously increase 104 data interpretation potential, for example for the classification of behaviour, several studies on 105 this topic have been published in the past few years (Borchers et al., 2016; Hendriks et al., 2020; 106 Maselyne et al., 2017; Porto et al., 2013).

107 There is a high need for new methods that elegantly integrate and interpretation-farm collected 108 longitudinal data on which decision support can be based. Additionally, automated, continuous 109 and non-invasive detection of lying behaviour for health and welfare monitoring based on spatial 110 data has not been described in the past. In this study, a two-step methodology to identify lying 111 behaviour of dairy cows using a uwb-based indoor positioning system was developed and 112 validated against the lying bouts returned by a commercial accelerometer-based system. The 113 methodology relies on segmentation via the detection of changepoints, which are in a second step 114 classified as 'lying' or 'non-lying' based on a set of their statistical properties.

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# 116 **3 Materials & methods**

### 117 **3.1 Data collection**

118 Data were collected at the Dairy Campus research facilities of Wageningen University and 119 Research in Leeuwarden, the Netherlands, during two periods of five days in two successive 120 weeks in 2019 (July 3 to 8 and July 10 to 15, both periods with normal weather conditions with 121 temperatures between 10 and 20°C). Two groups of cows, one housed in a freestall barn with a 122 straw deep litter bedding and one in a freestall with synthetic flooring, were equipped with uwb-123 positioning tags on the upside of a neck collar (Ubisense, Cambridge, UK and Noldus, Wageningen, 124 the Netherlands) and accelerometers attached to right hind leg (IceQube<sup>®</sup> pedometers, 125 IceRobotics, Edinburgh, United Kingdom). It is important to note that the Ubisense technology 126 relies on different methods to determine (x,y)-position compared to (z)-position, affecting

- 127 accuracy of the measurements. The first is calculated based *on time difference of arrival*, whereas
- 128 the latter is derived from the *axis of arrival*, which makes the (z) more dependent on e.g.,
- 129 orientation of the tags. For the (x)- and (y) position, an accuracy of around 0.2m was found,
- whereas the (z)-accuracy was found to vary between 0.5 and 1m. Each group consisted of 16 cows selected based on production level, age and lactation stage such that the characteristics were
- 132 comparable across each group. The cows were milked twice daily in a rotary parlour and fed ad
- 133 libitum with a partial mixed ration complemented with concentrates individually rationed based
- 134 on production level.

# 135 **3.2 Lying behaviour**

136 As continuous visual observation of the animals' behaviour is too laborious over a longer period 137 of time, the lying bouts returned by the IceQube accelerometers were used as the benchmark 138 'ground truth' for lying behaviour. Despite this is a sensor-based measure and not visual 139 observation which would be the true gold standard, it allows to include multiple cows 140 simultaneously, with minimal labour and for a longer period of time, and it has been shown to 141 have sufficient accuracy to detect the actual lying behaviour, with r > 0.99 (Borchers et al., 2016). 142 For each cow, the timestamp of each lying down or getting up event was retrieved from the 143 IceQube software. These data were visually assessed to verify time synchronization and cow 144 identity across the different sensor systems. Only data for which in that time period both uwb and 145 IceQube data were available were retained. More specifically, for each cow, data were kept from 146 the first available IceQube lying bout onward until the end of the last lying bout registered, such 147 that the analysis was carried out on the data for which accelerometers were certainly attached to 148 the animals. This prevented that a lack of lying bout registrations was not caused by cows not 149 wearing a sensor. Two out of the 32 cows were excluded from the study because no ground truth 150 lying bouts were registered due to a technical problem with the IceQube sensors.

# 151 **3.3 Ultra-wide band data editing**

152 Raw binary data were extracted from daily Tracklab back-up \_les (.tlp) (Noldus, Wageningen, the 153 Netherlands) and converted with Python 3.7 into (x,y,z)-position time series containing one 154 measurement per second per cow. All further data processing was done using Matlab 2018b and 155 2020b (The MathWorks Inc., Natick, Massachusetts, USA). The (x,y,z)-position was expressed 156 relative to a pre-specified origin (x,y,z)=(0,0,0), which is a intrinsic characteristic of the 157 technology hardware. In the barns at Dairy Campus, the (x)-co-ordinate gives the position in the 158 direction of the feeding racks (range 0 to 23m in the first barn and 23 to 46m in the second barn), 159 whereas the (y)-coordinate represents the position perpendicular to the feeding alley (range 0 to 160 14m). A plan of the barn is shown in Figure 1. Codes are available at https://git.wur.nl/iadriaens/b4f indtracking. 161

		feedinglane		
	slatted flooring area		slatted flooring area	
<i>y = 10.6m</i> y-axis	lying & walking area, synthetic flooring		lying & walking area, straw bedding	
(x,y) = (0,0) x	-axis	x = 21.6m	x = 43.2	m

164 Figure 1 -Barn plan of where the position data are collected, including the origin left-under and the orientation of the axes

165 The (z)-position can be considered the height of the tag on the neck collar. When the (y)-value was 166 larger than 11.5m, the animals were in the slatted flooring (feeding) area, in which it was 167 considered they did not lie down (as formally confirmed by the IceQube data). To interpret the 168 raw position time series and derive cow behaviour from them, multiple data editing steps were 169 implemented to deal with noise and missing data (missing data = on average 43% per day, small 170 gaps and absent data due to milking included, shown in Figure A 1 of the appendix). First, outliers 171 indicating a position outside the barn edges were replaced with the edge value when it were single 172 measurements likely caused by normal measurement inaccuracy. When multiple successive 173 measurements were registered out of the barn edges, they probably resulted from a lost tag that 174 was put aside by the animal caretakers (in our dataset, this happened during 11 cow-days. An 175 example is shown in Figure A 2 of the appendix). These measurements were replaced by missing 176 values (on top of the 43% on average in the raw data), by retaining the time-stamps in the dataset, 177 but replacing (x,y,z)-value by "NaN = Not a Number". Second, based on a data exploration step 178 (not further detailed in this paper, but for which the code can be found in the repositories linked 179 to this manuscript), a methodology to manage missing data was developed and implemented. 180 More specifically, how we dealt with the missing data depended on (1) the gap size and (2) the 181 amount of non-missing data in predefined window preceding the gap. When data of a day were 182 available and the sensor was attached to the cow, no extra data were deleted before the analysis, 183 only data imputation was done. Missing data always occurred at cow-measurement level, i.e., if 184 data were unavailable, both the (x,y)- and (z)-position lacked. For gaps smaller than 60 seconds, 185 we assumed that the cow's behaviour would remain constant, or the error made when this 186 assumption was untrue would be negligible. In this case, the missing data were imputed by 187 sampling them from a normal distribution with mean and standard deviation calculated from the 188 data preceding the gap in a window of twice the gap size in each dimension. For gaps between 60 189 and 180 seconds, making assumptions on the consistency of the behaviour was more tricky but 190 these gaps could still be due to failure of the sensor system or interference with the barn 191 environment. For these gaps, we used a simple linear interpolation with added noise based on the 192 average standard deviation of the data. Missing data in gaps longer than 180 seconds were left without data, as these often resulted from the animals not being in the barn e.g. during milking. 193 194 Assumptions on these longer lasting gaps could not be made and were not of interest for this 195 study, as in these cases cows are not expected to lie down. A third data editing step consisted in 196 smoothing the (x)-, (y)- and (z)-data with a moving median filter in a window of 45 seconds to 197 reduce noise. In order to make sensible assumptions for the settings of the changepoint analysis, data of each cow-day were analysed separately (i.e., a separate segmentation was implementedper cow-day time series).

### 200 **3.4 Changepoint analysis for segmentation**

201 Changepoints are time instants or samples in which the statistical properties (i.e. statistical 202 distribution) of a (time) series abruptly change. In this study, we detected and combined the 203 individual changepoints per cow per day in two time series of (x,y,z)-coordinate positioning data. 204 Intuitively, one could argue to mainly rely on the position in the vertical (z) direction (height), as 205 a cow that lies down is expected to remain in a lower and more stable position compared to when 206 she is not lying down. However, the (z)-position was found (unpublished data exploration step) 207 to be the most unreliable and noisy (range, variability,...) of all three coordinates. Its inaccuracy 208 was variable in time and space, and depended on e.g., the position in the barn, the behaviour and 209 speed of the animals, the collar attachment, the calibration settings and individual interactions 210 between tags. Similarly, relying on detection of a relatively stable position in the (x,y)-direction 211 (which is unmistakably true during lying bouts) is imprecise and insufficient for lying behaviour 212 detection as well, as cow activity varies over the day, and oftentimes animals stand still for a longer 213 period of time apart from their lying bouts, for example when grooming other animals, feeding, 214 drinking or ruminating. These periods of 'standing' inactivity might additionally depend on 215 accessibility lying places, hierarchy, climate of the barn, etc. In this study, we chose to work on a 216 combination of two position-derived time series. The first is the (z)-coordinate (height) of the 217 animals, as this is the most straightforward one and because the distributions differ during lying 218 and non-lying behaviour, despite the noise in the data (see also Figure A 3 in the appendix). The 219 second time series is the `centre distance' (CD), i.e. the position relative to the centre of the barn. 220 The main advantages of using CD and not the raw (x,y)-position is that it summarizes position and 221 movement of the animals in a single signal, is less dependent on the actual direction of movement, 222 and has a lower variability and range. Should a cow move in a perfect circle around the centre of 223 the barn, however, CD remains constant (as is the case when a cow stands still or lies down). We 224 assumed that this would be extremely rare, and when it would happen for a short period of time, 225 this would not impair the analysis because movement as such causes the signal to be more 226 variable, which also changes the statistical properties of the time series. Before the segmentation, 227 the CD and (z) time series were normalized with a min-max standardization per cow over the 228 entire dataset as follows:

229 
$$x_{i,norm} = \left[\frac{x_i - \min(x)}{\max(x) - \min(x)}\right]$$

230 with  $x_i$  the z or CD values at time *i*.

The changepoint analysis relies on a parametric method that partitions both time series simultaneously in *K* segments based on the minimization of the following cost function J(K):

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234 
$$J(K) = \sum_{r=0}^{K-1} \sum_{i=k_r}^{k_{r+1}-1} \Delta\left(x_i; \chi\left(\left[x_{k_r} \cdots x_{k_{r+1}-1}\right]\right)\right)$$

with

236 
$$\sum_{i=k_r}^{k_{r+1}-1} \Delta\left(x_i; \chi\left(\left[x_{k_r}\cdots x_{k_{r+1}-1}\right]\right)\right) = \left((k_{r+1}-1) - k_r + 1\right) * \log\left(var\left(\left[x_{k_{r+1}-1}\cdots x_{k_r}\right]\right)\right)$$

237 and

238 
$$var([x_{k_{r+1}-1}\cdots x_{k_r}]) = \frac{1}{(k_{r+1}-1)-k_r+1} \sum_{i=k_{r+1}-1}^{k_r} (x_i - mean([x_{k_{r+1}-1}\cdots x_{k_r}]))^2$$

239 in which K is the number of changepoints, dividing the time series in K+1 segments,  $\beta$  is the 240 penalty function, here restrained such that at most 60 changepoints are found per cow-day, 241 because otherwise the number of changepoints would equal the number of data points as this 242 minimizes the total cost. As adding changepoints in general lowers the cost function, it is normal 243 that the number of changepoints found is equal to the maximum set beforehand. Because the 244 variability in the data was high and thereby unpredictable, a mathematical penalty function for 245 restricting the number of changepoints detected could not be found.  $x_{kr}$  is the  $t^{th}$  z or CD value in 246 segment k. Besides in 'number', also a restriction was set to the minimum distance between two 247 changepoints: they needed to be at least 300 measurements apart (i.e. the lying or non-lying 248 duration was at least 5 minutes). Other data-based algorithms (i.e., using variability and expected 249 minimal cost reduction) have been explored, but because of the heteroscedastic nature of the data, 250 could not be used for this study. The changepoint search algorithm used is based on a pruned 251 exact linear time algorithm using dynamic programming, as proposed by Killick et al. (2012), 252 having the advantage that it is mathematically exact and has a linear computational cost with the 253 number of data points.

### 254 3.5 Data split

255 To evaluate the performance of the classification algorithm, its performance was evaluated using 256 two different data splits, one based on time and one based on cow identity. For both, we chose to 257 use a smaller portion of the data for training than for testing (approximately 33-66%), unlike what 258 is usual in machine learning practices. We preferred this data split as (1) the method described 259 here is very robust, so a minimal amount of training data sufficed to achieve accurate predictions 260 and adding more data did not improve the accuracy, as formally tested but not included in this 261 manuscript, and (2) this situation mimics an on-farm situation where little training data is 262 available. The first data split (alike the more classical machine learning approach) uses data from 263 10 randomly chosen cows (33%) for the model training, and 20 animals (66%) as the independent 264 test set. The second approach corresponds to a situation on farm in which current and historical 265 data are used for training and the algorithm needs to perform well in a future situation. Here, data 266 of the 3 first days of the dataset (25.7% of the segments, 5138 in total) were assigned to training 267 set, after which classification performance was evaluated on the remaining 9 days of data (74.3%, 268 14 888 segments). One cow's data only started at day 4, and was therefore not included in this 269 training set as the animal would not have been present in the training period.

### 270 **3.6 Segment classification**

To move from segments to lying behaviour, we classified each segment as 'lying' or 'non-lying' based on its (statistical) properties, including the level and variability for the normalized data, a categorical variable to indicate whether the cow was in the slatted flooring area, the length of the segment, the number of outliers, the gap size, and the segment range. An overview of these features is given in the table in the appendix (Table A 1). The classification was done using a 276 'bagged' (i.e., bootstrap-aggregated, Breiman, 1996) tree algorithm which consistently performed 277 best on our data independently of input data and split. As opposed to individual decision trees 278 (which tend to over fit, Dietterich, 1995), bagged trees combine (i.e., use an ensemble) the results 279 of many trees, improving generalization. Other machine learning classification techniques were 280 also tested, but no further information is provided in this manuscript, as this is not considered as 281 truly novel and, by extension, might depend on farm context and sensor settings. The algorithm 282 uses a random subset of predictors at each decision split (similar to random forest classification) 283 and minimizes the classification error at each split. The model was trained with 5-fold cross-284 validation to determine the optimal hyper parameters for the number of learning cycles (i.e., 30) 285 and trees. For the bootstrapping, each time one segment was sampled with replacement to grow 286 a new tree. As in some cases a 'true' change happened within a segment, a threshold of 50% was 287 applied to calculate the binary outcome variable: if more the 50% of the segment's data 288 corresponded to a lying bout, it's ground truth was taken as 'lying' and vice versa. The features 289 were selected such that there was no multicollinearity across them.

#### 290 3.7 Performance evaluation

291 Two aspects of the methodology are important to achieve a good performance: (1) the 292 segmentation accuracy, i.e. are the true changes from lying to non-lying and vice versa accurately 293 detected; and (2) the classification performance in terms of accuracy per segment and 294 corresponding total lying duration per cow-day. For the first, we calculated how many of the true 295 changes have a changepoint associated with them within a window of 5 minutes. Given the length 296 of the lying bouts, this is considered as an acceptable margin for detection. When no detected 297 changepoint was associated with the true change, we assessed potential causes, including e.g., 298 missing data. The second was assessed using the confusion matrix comparing true and false 299 classifications and the total accuracy, for the entire dataset as well as at cow and at cow-day level. 300 We additionally compared the total lying down duration per cow-day in a similar way.

301

#### **4 Results** 302

#### 303 4.1 Data overview

304 A total of 30 cows, with each having between 4 and 12 days of data available were included in the 305 study. These cows had parities between 1 and 7, and were on average 188 (range 119 to 243) days 306 in lactation. An overview of the cow characteristics is given in Table 1.

307

Table 1 – Overview of	cow characteri	stics include	ea in the tr	' <i>1</i> al.
Name	average	std	min	max
Parity	2.77	1.50	1.00	7.00
Lactation stage	188.16	43.49	119.00	243
Daily milk yield	26.95	6.01	12.68	41
Fat%	4.72	0.45	4.01	5.44
Protein%	3.38	0.23	2.94	4.06
Lactose%	4.49	0.11	4.23	4.68
SCC*1000c/mL	200.08	212.05	24.75	1035

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Over the measurement period, in total 2720 lying bouts were detected with the IceQube sensors. From these, 97 bouts were shorter than 10 minutes. Per cow, an average number of 90.6±24.4 lying bouts per cow were included, with an average duration of 85.3±19.8 minutes per bout across cows. Cows had on average 8.2±1.8 lying bouts per day (range: 4.5 to 11.3) and spent 8.23 hours lying down in total. The within-bout level and standard deviation of the z time series, and the standard deviation of the CD across lying and non-lying bouts are given in Table 2. From this, it is clear that statistical properties of the chosen time series differ across lying and non-lying

- 317 behaviour, which is the basis of our analysis.
- 318

Table 2 – Distributional properties of the (z)-position and centre distance (CD) time series across lying bouts
 and non-lying bouts as measured by the accelerometers (i.e. gold standard)

	lying			non-lying				
	average	std	min	max	average	std	min	max
average z	0.71	0.10	0.49	0.89	1.21	0.09	1.06	1.34
std <sup>1</sup> z	0.25	0.05	0.14	0.33	0.32	0.03	0.27	0.40
average znorm <sup>2</sup>	0.28	0.04	0.20	0.36	0.48	0.04	0.42	0.53
std znorm	0.10	0.02	0.06	0.13	0.13	0.01	0.11	0.16
std CD <sup>3</sup>	0.45	0.10	0.29	0.73	1.68	0.23	1.23	2.18
std CDnorm <sup>4</sup>	0.04	0.01	0.02	0.06	0.13	0.02	0.10	0.17

321 <sup>1</sup> standard deviation; <sup>2</sup> normalized z- time series; <sup>3</sup> distance from center of the barn calculated from (x,y)-322 position; <sup>4</sup> normalized centre distance

323

### 324 **4.2 Changepoint detection**

325 Of all 5443 ground truth changes in the dataset, 85.5% had a changepoint detected within 5 326 minutes. Per cow-day, this corresponds to 2.3 changes not identified accurately with the 327 changepoint analysis. From these unidentified changes, 50.3% were linked to changes at a 328 moment that there were more than 15 minutes of missing values in the surrounding hour, and 329 62.2% of these 50.3% were in a segment with at least 20% missing data. Additionally, 23.9% of 330 these false negatives were within less than 20 minutes from another ground truth change, and 331 thus associated with a very short segment length (Table 3). At cow level, the performance 332 remained more or less constant, with 14.2% of the changes not detected within 5 minutes of the 333 ground truth and up to 93% associated with missing data. Based on our experience with sensors 334 in an on-farm environment and the fact no sensor is faultless, it is expected that part of the changes 335 not being correctly identified with the changepoint analysis is also due to the ground truth not 336 being perfect but this can, with the current dataset, not be verified.

337

Table 3 – Changepoint detection results. Ground truth changes are the getting up/lying down events as
 measured with IceQube accelerometers.

	No.	%
Ground truth changes	5443	100
Detected changepoints within 5 minutes of ground truth	4654	85.5
Not detected changepoints within 5 minutes of ground truth		14.5
with >15' missing values in surrounding hour	397	50.3
with previous/next changepoint within 20'	189	23.9

### 341 **4.3 Classification performance for cow identity-based data split**

342 The first split was based on cow identity, and the training dataset consisted of 7024 segments 343 (35%) from 10 animals, from which 3206 segments represented non-lying behaviour (45.64%). 344 The independent test set contained 13002 segments. The cross-validation accuracy on the 345 training dataset was 91.7%, and the overall prediction accuracy of the test set was 92.8%. The confusion matrix is shown in Figure 2. In total, the test set contains 5625 non-lying segments, from 346 347 which 5162 were correctly classified, rendering a non-lying classification accuracy of 91.8%. From 348 the 7377 lying segments in the test set, 6901 were correctly classified, corresponding to a 349 classification accuracy of 93.5% for the lying behaviour. In terms of lying duration, the total 350 predicted non-lying time was 2480h, being 115h different from the ground truth non-lying time 351 of 2595h (percent deviation = 4.4%). The total lying time was estimated as 2327h, which is 141h 352 less than the actual lying time of 2468h in the test set (difference 5.7%). Per cow-day, the average 353 classification accuracy at the segment level was 92.8% with a minimum accuracy of 78.7% and a 854 maximum accuracy of 100% (Figure 3, left panel). This corresponded to an average error of 7.1% 855 in the estimation of lying duration at cow-day level (Figure 3, right panel).



**Confusion Matrix** 

Figure 2 -Confusion matrix showing the classification performance of the bagged tree algorithm of each
 segment belonging to either lying or non-lying behaviour, using a training-test split of the data based on cow
 identity.



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Figure 3 -Classification accuracy of the (z)-position and center distance (cd) time series segments per cow-day (left panel),
 and the resulting prediction accuracy for liedown duration per cow-day.

### 363 4.4 Classification performance for time-based data split

In the second split based on time, 5138 segments were included in the training dataset of day 0,1 364 365 and 2, from 29 cows. The confusion matrix is shown in Figure 4. In the training set, 2229 (i.e. 366 43.4%) segments represented 'non-lying' behaviour. The test set contained 14888 segments from 367 30 cows. The cross-validation accuracy on the training set was 92.3%. In the test set, 6102 out of 368 6602 segments were correctly classified as non-lying (accuracy 92.4%), whereas 7634 out of 8286 369 segments were correctly classified as lying (accuracy 92.1%). The total predicted non-lying 370 duration over the entire dataset was 2853h, whereas the ground truth was a non-lying duration 371 of 2980h, giving a difference of 127h (4.27% over the entire test set). The predicted and ground 372 truth lying duration in the test set were 2612h and 2830h respectively, corresponding to a 373 deviation of 217h or 7.7%.



Figure 4 -Confusion matrix showing the classification performance of the bagged tree algorithm of each segment belonging
to either lying or non-lying behaviour, using a training-test split of the data based on time.

Per cow-day, the average classification accuracy at the segment level was 92.3% with a minimum

accuracy of 78.3% and a maximum accuracy of 100% (Figure 5, left panel). This corresponded to

an average error of 7.8% in the estimation of lying duration at cow-day level (Figure 5, right

380 panel).



Figure 5 -Classification accuracy of the (z)-position and center distance (cd) time series segments per cow-day (left panel),
 and the resulting prediction accuracy for liedown duration per cow-day for the data split based on time in the trial.

382

# 386 **5 Discussion**

387 In this study, a methodology was developed to distinguish lying from non-lying behaviour of dairy 388 cows based on spatial uwb (x,y,z)-positioning data in a freestall barn, combining a segmentation 389 and classification step. A high segmentation performance overall was reached, with many of the 390 true changes indeed resulting in an alteration of statistical properties and corresponding 391 changepoint in the selected time series. Previous (unpublished) results showed that a 392 combination of time series, and finding simultaneous changepoints was necessary to achieve good 393 results, which supports the general idea that more data integration is needed to achieve good 394 performance in on-farm situations in which data are often noisy and prone to many kinds of 395 errors. This was confirmed by the fact that mainly data-quality issues related to missing data and 396 atypical lying behaviour (i.e. short lying and non-lying bouts) prevented reaching a higher 397 performance in the segmentation step. The overall and at cow-day level classification 398 performance was high, with accuracies above 91% independent of data split, demonstrating that 399 our methodology is robust and has high practical value. We evaluated the performance of the 400 methodology based on a data split that contained most data in the independent test set and not in 401 the training set to mimic practical on-farm situation. Robustness of the algorithm is demonstrated 402 by the fact that both the cow identity-based split and the time-based split performed equally well. 403 Future research can investigate the performance of the model when using different position-404 measuring technologies or in other farm settings and over a longer period of time.

405 By cross-comparing sensor-based predictions instead of using visual observation, we could 406 validate the methodology with quite an extensive dataset in contrast to what is usual when visual 407 observations are used (e.g., Vázquez Diosdado et al., 2015). For example Kok et al. (2015) used a 408 similar approach for validation of the IceQube accelerometers for lying behaviour, comparing the 409 prediction results of two sensors attached to the same cow. Working with spatial data has proven 410 challenging, and e.g., attempts to implement data-based penalty functions for restricting the 411 number of changepoints, failed. This is mainly due to the enormous heteroscedasticity in these 412 data, which depends on multiple factors such as the cow, the time of the day, the behaviour, factors

413 interfering with the sensor system, etc., for which we can not account mathematically. Applying 414 purely black-box approaches generally results in insufficient robustness, interpretability and 415 generalisability (Hermans et al., 2018; Niloofar et al., 2021; Wathes et al., 2008). Therefore, 416 introducing expert knowledge in animal monitoring algorithms, for example for the data pre-417 processing steps, remains essential to make them useful for the end-users. An example of this for 418 other data sources such as 3D accelerometers is using the static component of acceleration in the

- 419 y direction (Vázquez Diosdado et al., 2015). In the current study, expert knowledge was used to
- 420 pre-process and impute the data, to decide how to combine the spatial data into time series of
- 421 interest for lying behaviour and set the number and distance of changepoints.
- 422 Other algorithms have been developed to automatically detect lying behaviour in dairy cows, for 423 example using machine vision solutions (Porto et al., 2013). The latter study reported a high 424 sensitivity of 92% as well, but this was not based on lying duration, but on whether there were or 425 weren't animals lying in a cubicle in a specific frame, ignoring the longitudinal importance of the 426 data and restricting its current applicability on farm. Additionally, our algorithm was developed 427 in a freestall barn without cubicles. In cubicle barns, position of the cows in the lying places could 428 be considered as a variable as well, which allows tailoring the algorithm to different barn 429 circumstances. In this study, we demonstrated how correct processing of aspecific positioning 430 data (i.e., the system is not designed as such for lying behaviour only) allows to use one system 431 for multiple purposes, maximizing the value of a single investment. In a practical setting, the 432 developed methodology shows sufficient performance for monitoring lying behaviour of dairy 433 cows over time. For example, the algorithm could be used to create time-series data of lying 434 behaviour (duration, bout length), which can be assessed with additional interpretation tools such 435 as individual control charts (Adriaens et al., 2018; Huybrechts et al., 2014). Combining these at 436 group or at herd level, for example into time budgets allocated to certain behaviours of interest, 437 can also indicate cow health and welfare dynamics of the animals (Tucker et al., 2021) and allows 438 automated monitoring with little manual labour. We believe that our methodology can be 439 generalized to other sensor data sources as well.
- 440

# 441 6 Conclusions

442 In this study, we developed a methodology to predict certain aspects of the lying behaviour of 443 dairy cows from spatial data with the use of time-series segmentation and a subsequent 444 classification algorithm. The methodology relies on differences in statistical properties across the 445 behaviour of interest. The overall performance, both when considering a cow-based and a time-446 based data split to train and evaluate the methodology, was above 92%. Missing data pose the 447 main challenge to reach even higher accuracies, but this doesn't necessarily impair the 448 interpretation of the current results and usability of the method in a practical setting. 449 Generalization of the segmentation-classification method to other behaviours and other sensors 450 was identified as a potential route to improve on-farm data interpretation for decision support.

451

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- 462

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- 571

# 573 9 Appendices

574 Table A 1 - Statistical and non-statistical features calculated from the time-series segments

feature name	categorical	description
inslatted	1	cow is >85% of the time in the slatted flooring area
seglength	0	length of the segment (in time)
maxgapsize	0	maximum gap size of the data in the segment
gappercent	0	percentage of the segment in time without data
nextseggap	0	gapsize of the next segment
avgdifoutlZ	0	difference between the normalised Z level of the current and the previous segment, excluding outliers difference between the normalised CD level of the current and the previous
avgdifoutlCD	0	segment, excluding outliers
rangeZ	0	range of the normalised Z values of the segment
rangeCD	0	range of the normalised CD values of the segment difference between the interquantile (5-95%) range and the full range of the
difquantrangeZ	0	normalised Z data difference between the interquantile (5-95%) range and the full range of the
difquantrangeCD	0	normalised CD data
avgoutlZ	0	average (i.e., level) of the normalised Z data without outliers
avgoutlCD	0	average (i.e., level) of the normalised CD data without outliers
stdoutlZ	0	standard deviation of normalised Z data without outliers
stdoutlCD	0	standard deviation of normalised CD data without outliers
outlpercentZ	0	percentage of outliers in the normalised Z data of the segment
outlpercentCD	0	percentage of outliers in the normalised CD data of the segment

575

### 578 Figure A 1 – Average % of missing data when 1 measurement per day is expected per cow







Figure A 3 – Data exploration: Distributions of (z-) position data showing the differences during lying (upper panel) and non-lying (lower panel) behaviour.



