

# A NASA OPEN-SOURCE SCIENCE MISSION: TOPS: TRANSFORM TO OPEN SCIENCE

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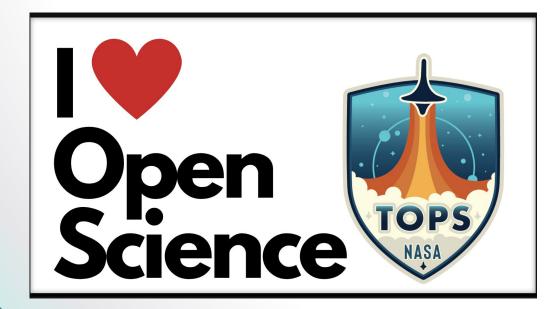
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# Welcome!

We are encouraging people to use #NASATops and #IHeartOpenScience





## **Code of Conduct**



## **Expected Behavior**

All participants are to...

- Be treated with respect and consideration, valuing a diversity of views and opinions
- Be considerate, respectful, and collaborative
- Communicate openly with respect for others, critiquing ideas rather than individuals
- Avoid personal attacks directed toward other participants
- Be mindful of your virtual surroundings and of your fellow participants
- Alert a host if you notice a dangerous situation or someone in distress
- Respect the rules and policies of the virtual meeting space

## **Unacceptable Behavior**

- Harassment, intimidation, or discrimination of any form will not be tolerated
- Physical or verbal abuse of any participant
- Examples of unacceptable behavior include, but are not limited to, verbal comments related to gender, sexual orientation, disability, physical appearance, body size, race, religion, national origin, inappropriate use of nudity and/or sexual images in the meeting space or in presentations or threatening or stalking of any participant.
- Disruption of proceedings, panels, discussions, and/or lightning talks.



# **Code of Conduct (Continued)**



## **Expected Behavior**

- Anyone requested to stop unacceptable behavior is expected to comply immediately.
- Hosts may take any action deemed necessary and appropriate, including immediate removal from the meeting without warning.

## **Reporting Unacceptable Behavior**

- If you are the subject of unacceptable behavior or have witnessed any such behavior, please immediately notify a meeting host.
- Notification should be done by contacting a host via direct chat or emailing your concern to Chelle Gentemann <a href="mailto:chelle.gentemann@nasa.gov">chelle.gentemann@nasa.gov</a>
- Anyone experiencing or witnessing behavior that constitutes an immediate or serious threat to public safety is advised to contact 911 or your local emergency number.





# Submit Feedback or Suggestions

Your inputs are <u>essential</u> to the success of our mission. Throughout this week's panel, please feel free to submit questions, feedback, or suggestions via the feedback tool.

You can use the QR code to access the feedback tool



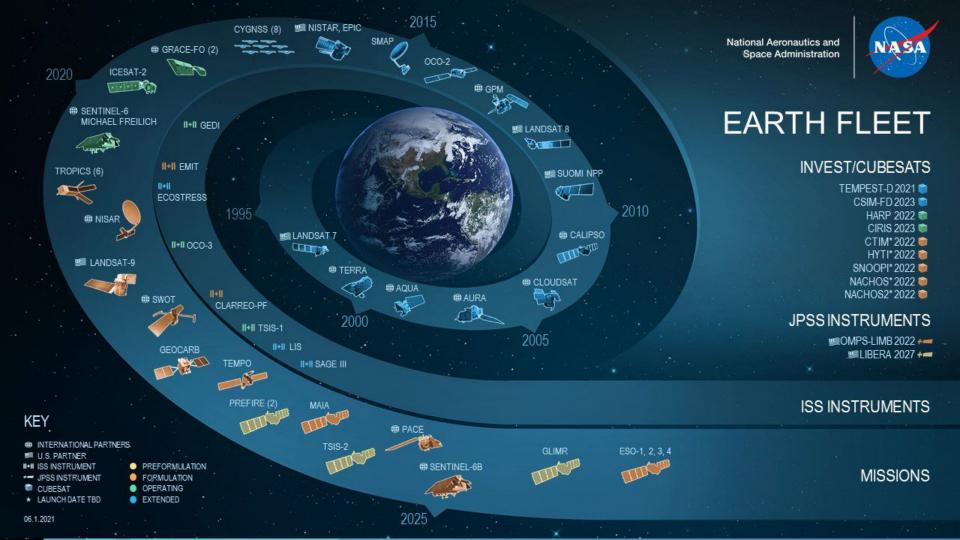


# **Agenda**

SCHEMOE
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Time (ET)	Agenda Item	Presenter	
12:00 pm	Introduction and Review of Code of Conduct	Karla Mastracchio	
12:05 pm	NASA's Open Science Policies	Steve Crawford	
12:30 pm	Discussion: Policy	Steve Crawford	
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2:40 pm	Next Steps and Panel Wrap-up	Yvonne Ivey	







# Science should be...





## **Transparent**

scientific process and results should be visible, accessible, and understandable



## **Accessible**

data, tools, software, documentation, and publications should be accessible to all (FAIR)



## **Inclusive**

process and participants should welcome participation by and collaboration with diverse people and organizations



## Reproducible

reproducible by members of the community





# Open-Source Science is NASA's method to put Open Science into practice.

- **Open** the entirety of the scientific process, *from start to finish*
- **Broaden** community involvement in the scientific process
- **Increase** accessibility of data, software, & publications
- **Facilitate** inclusion, transparency, and reproducibility of science



# **Open-Source Science Initiative**



Unlocking the full potential of a more equitable, impactful, efficient, scientific future



Policy development, education, compliance tools Updating NASA policies on scientific information to better enable the activation of open science



Core Services for Science
Discovery
Developing core data and computing services to enable open science



**ROSES Elements** 

**Supporting** open-source software, tools, frameworks, libraries, platforms, and training with over \$5 million dollars in grants



Community Building &
Partnerships - Transform to Open
Science (TOPS)
Accelerating adoption of open
science



# Advancing Science Requires the Sharing of Information

SPD-41 is the NASA SMD Information Policy.

SPD-41 brings together existing NASA and Federal guidance.

It applies to all SMD-funded activities related to producing scientific information.

 SPD-41: The Science Information Policy https://go.usa.gov/xtNTJ



 Science Information Policy Website https://go.usa.gov/xtNTt



Feedback on proposed additions to SPD-41 were due by **March 4, 2022** 

 An update to SPD-41 will be released no earlier than June 2022

How we share information <u>matters</u> - it affects the impact, the transparency, the reproducibility, and the accessibility of research.



# What is the current policy?



## **Data**

**Scientific data** shall be made publicly available with a clear, open, and accessible data license no later than the publication of the research.

**Mission data** shall be openly available with no period of exclusive access.

## **Software**

**Research software** should be publicly available no later than the publication of the research and assigned a permissive software license.

## **Publications**

**Manuscripts** versions of as-accepted manuscripts shall be deposited in a NASA repository and made publicly available within 12-months.

**Mission publications** shall additionally be made publicly available at the time of their publication.



# What are the new proposed changes?



## **Data**

Scientific data should be FAIR and shall be made publicly available with a clear, open, and accessible data license no later than the publication of the research, and be citable.

**Mission data** shall be openly available with no period of exclusive access.

## **Software**

**Research software** shall be publicly available no later than the publication of the research, assigned a permissive software license, and be citable.

Mission software shall additionally be developed openly in a publicly accessible, version-controlled platform that allows for contributions and engagement from the community.

## **Publications**

Manuscripts versions of as-accepted manuscripts shall be deposited in a NASA repository and made publicly available within 12-months. Publishing as open access is supported and posting preprints is encouraged.

**Mission publications** shall additionally be made publicly available at the time of their publication.

**Science workshops and meetings** shall be open to broad participation and documented in public repositories.

Open science activities will be considered in reviews of proposals.



# Next steps for the Scientific Information Policy



- SMD is currently in the process of reviewing the responses to the RFI and revising SPD-41a. Adoption of SPD-41a is not expected before July 2022.
  - Once adopted it will be incorporated into ROSES23.
- Each division is releasing guidance related to Scientific Information Policy
  - Example: <u>Heliophysics Data Policy</u> released in February 20222
- SMD's goal is to minimize the burden in making our information as open as possible
  - Providing services that support open science
  - Improving policy and processes related to open science
    - Currently working to improve NASA software release policies and process
  - Training in open science
    - Standards related to data and metadata
    - Open source software process and intellectual property for software



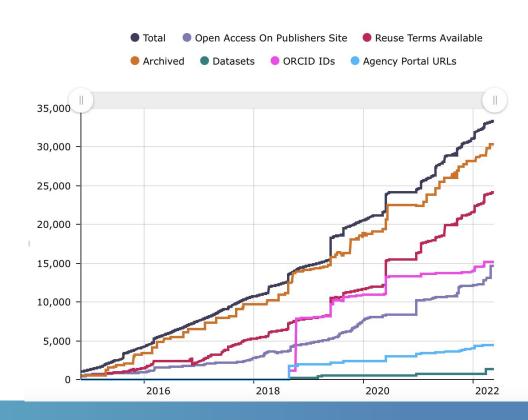
# CHORUS Agreement for Open Access



NASA has entered into a partnership agreement with the Clearinghouse for the Open Research of the United States (CHORUS) publishing group.

NASA researchers who publish in a CHORUS member's journal will automatically satisfy the open access requirements for SMD publications.

More information will be released soon.





# **Core Services for Science Discovery**



## **Proposal**

SMD provides **common directorate capabilities**. Develops core data and computing services to be used as building blocks by divisions and the open science community (to be complete within **three years**).

## **Objectives**

Divisions develop and operate division-specific requirements (**missions and science capabilities**) within SMD core systems.

**Meet open source science goals** in the Data and Computing Strategy for SMD and requirements in SPD-41.

**Reduce** cloud environment **development duplication and barriers** to speed of adoption.

**Improve computing infrastructure** to seamlessly provide access to high-performance computing and cloud resources while reducing cybersecurity risk.

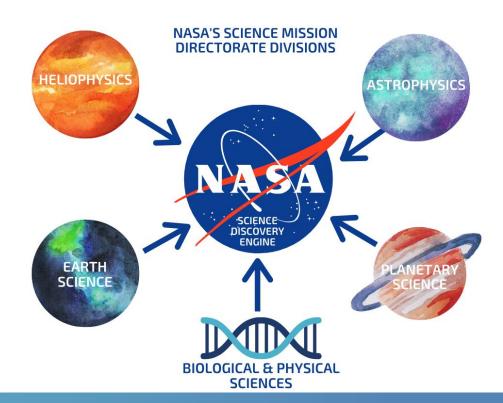


# SMD Science Discovery Engine



Create an SMD discovery capability to enable open source science. Scope includes:

- Scientific data from the five divisions
- Contextual information such as software, documentation, publications



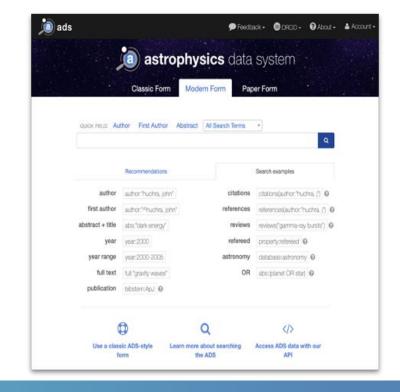


# **Expanding Access to SMD Publications**



NASA has a mandate to ensure access to and reliable preservation of peer-reviewed publications that arise from NASA-funded research.

- NASA SMD supports publishing as Open Access and encourages using preprint servers.
- SMD is funding ADS to expand its holdings in Heliophysics and Planetary Science.





# Research Opportunities in Space and Earth Sciences (ROSES): Supporting Open Source Software



ROSES20 E.7 - Open Source Tools, Frameworks, and Libraries selected 8 proposals supporting 14 different projects.

ROSES22 F.8 Supplemental Open
Source Software Awards - Support for existing grant holders to modernize software and to release it as open source.











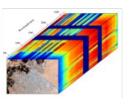


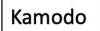














# F.2 Topical Workshop, Seminars, and Conferences

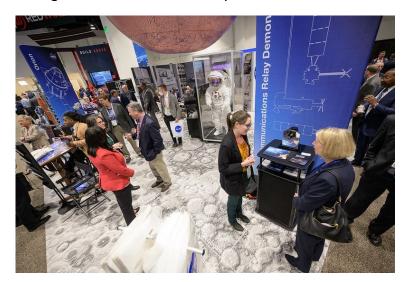


Funding to support events relevant to its goals to expand open science including:

- Events focused on SMD data, software, or open science practices
- Hackathons, un-conferences, and challenges that build open science skills
- Training in open science

\*Proposals that include training should align to and address the Transform to Open Science (TOPS) initiative. In addition to relevance to OSSI, the proposal must also include how the material produced as part of the event will be made openly available and plans for inclusive participation in the event.

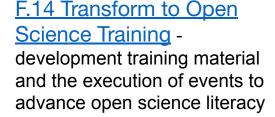
ROSES22 F2. TWSC is currently accepting proposals on a rolling basis until it closes in May 2023.



## **ROSES 22 - Solicitations to be released**

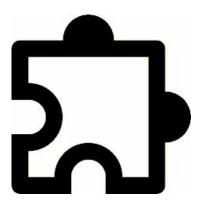








F.15 High Priority Open-Source Science - advance the goals of TOPS supporting innovative open source tools, software, frameworks, data formats, and libraries that will have a significant impact to the SMD science community



F16. Supplement for Software Platforms - Supplemental support to existing awards for usage of scientific platforms.

# **AWS Public Data Sets**



As part of a Space Act Agreement with AWS, SMD plans to make 5 PB of high priority data sets available as part of the AWS Public Data sets and ready to be used for the Year of Open Science.

## **Registry of Open Data on AWS**



### **NASA Space Act Agreement**



Amazon Web Services and the National Aeronautics and Space Administration (NASA) have entered into a Space Act Agreement to explore best practices around discovery, access, and use of high-value NASA science datasets.

Making analytics-optimized data stores available to the

science community will minimize the need for data wrangling and preprocessing within the community, leading to a faster time to insight and quicker innovation.

#### Search datasets (currently 4 matching datasets)

Search datasets

#### Add to this registry

If you want to add a dataset or example of how to use a dataset to this registry, please follow the instructions on the Registry of Open Data on AWS GitHub repository.

Unless specifically stated in the applicable dataset documentation, datasets available through the Registry of Open Data on AWS are not provided and maintained by AWS. Datasets are provided and maintained by a variety of third parties under a variety of licenses. Please check

## Multi-Scale Ultra High Resolution (MUR) Sea Surface Temperature (SST)

climate	earth observation		tion	environmental	natural resource	oceans	satellite imager
sustainability		water	wea	ther			

A global, gap-free, gridded, daily 1 km Sea Surface Temperature (SST) dataset created by merging multiple Level-2 satellite SST datasets. Those input datasets include the NASA Advanced Microwave Scanning Radiometer-EOS (AMSR-E), the JAXA Advanced Microwave Scanning Radiometer 2 (AMSR-2) on GCOM-W1, the Moderate Resolution Imaging Spectroradiometers (MODIS) on the NASA Aqua and Terra platforms, the US Navy microwave WindSat radiometer, the Advanced Very High Resolution Radiometer (AVHRR) on several NOAA satellites, and in situ SST observations from the NOAA iQuam project. Data are available fro...

Details →

#### Usage examples

- Python Jupyter Notebooks by Chelle Gentemann, Rich Signell
- State of the Ocean (SOTO) server by PO.DAAC
- Web discovery service by PO.DAAC
- Python Reader Software by PO.DAAC
- HTTPS server by PO.DAAC

See 10 usage examples →



# **Open-Source Science Initiative**



Unlocking the full potential of a more equitable, impactful, efficient, scientific future

## Four main initiatives:

- Policy development, education, compliance tools
- 2. Core Services for Science Discovery
- 3. ROSES Elements
- 4. Community Building & Partnerships



## **Transform to Open Science**

The TOPS program is a key part of OSSI in providing training to the community.

What other activities are needed to support TOPS?

What training does TOPS need to prioritize to help support OSSI goals?



# **Break Until 1:10**

TOPS
TOPS  TPANGS CEPHEL CPETA SCIENCE

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Cross-Sector Developments in: the Alignment of Open Scholarship Incentives

**Greg Tananbaum** 

Scholarly Publishing and Academic Resources Coalition (SPARC)



Greg Tananbaum
Director, Open Research Funders Group
Secretariat, National Academies
Roundtable on Aligning Incentives
for Open Science

@OpenResearchFG May 19, 2022

# **Roundtable Parameters**

## Charter

The Roundtable brings together senior leaders from universities, funding agencies, professional societies, foundations, and industry to discuss incentives for adopting open science practices, current barriers, and ways to work with communities and disciplines to develop hiring, review, tenure and promotion, and funding practices that are more reflective of open research principles.

## **Timeline**

H1/H2 2019: Information Gathering H1 2020: Resource Development

H2 2020: Resource Testing H1 2021: Toolkit Socialization

\_\_\_\_\_

H2 2021 and Beyond: Scaling, Coalition-Building, Coordination







## **Universities**

- Arizona State University
- Atlanta University Center
- Benedict College
- Duke University
- Harvard University
- Howard University
- Iowa State University
- Johns Hopkins University
- Massachusetts Institute of Technology
- Princeton University
- Stanford University
- Trinity University
- University of Arizona
- University of California, San Francisco
- University of California at Los Angeles
- University of Houston
- University of Southern California

## **Funders**

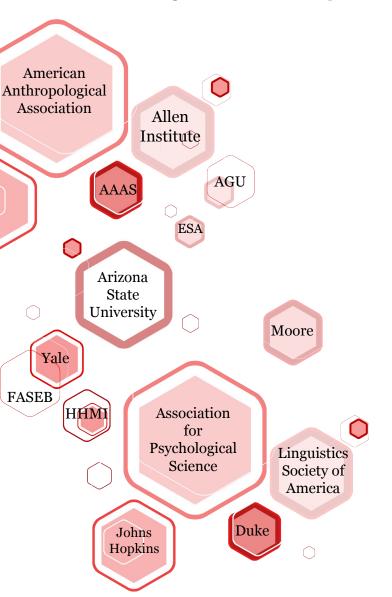
- Alfred P. Sloan Foundation
- American Heart Association
- Andrew W. Mellon Foundation
- Arcadia
- Arnold Ventures
- Bill & Melinda Gates Foundation
- Coalition for Epidemic Preparedness Innovations
- Gordon and Betty Moore Foundation
- Health Research Alliance
- Howard Hughes Medical Institute
- James S. McDonnell Foundation
- John Templeton Foundation
- Leona M. and Harry B. Helmsley Charitable Trust
- Lumina Foundation
- Robert Wood Johnson Foundation
- Schmidt Futures
- Templeton World Charity Foundation
- Wellcome Trust

## **Agencies & Others**

- Association of American Medical Colleges
- Association of American Universities
- Association of Public and Land-grant Universities
- European Commission
- International Science Council
- Natural Environment Research Council
- National Institute of Standards and Technology
- National Institutes of Health
- Open Research Funders Group
- National Science Foundation
- Office of Science and Technology Policy
- Scholarly Publishing and Academic Resources Coalition
- U.S. Department of Education
- United Kingdom Research and Innovation



# Theory of Change: Mutually Reinforcing Vectors

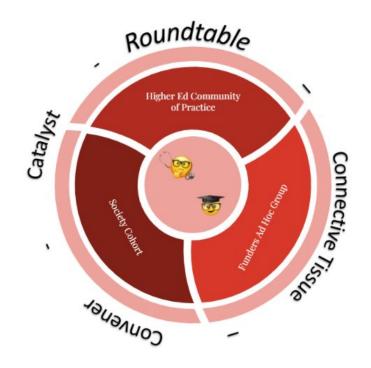


ASM

Hewlett



# **Roundtable as Connective Tissue**





# HELIOS

https://heliosopen.org

# What is HELIOS?

HELIOS is a community of practice launched as an outcome of discussions convened by the National Academies of Sciences, Engineering, and Medicine's Roundtable on Aligning Incentives for Open Science.

At a high level, engagement from colleges and universities has three core components:

- Presidential Commitment
- Campus Engagement
- Community of Practice



# **Current Members**

- 44 Public and Land Grant Institutions
- o 32 Private, Not-for-Profit
- 12 Minority Serving Institutions
- 7 Ivies

76 heterogeneous institutions 2.2 million FTE

# **HELIOS Leadership**



**Michael Crow** 

HELIOS Co-Chair President Arizona State University



**Roslyn Artis** 

HELIOS Co-Chair President Benedict College



**Ron Daniels** 

HELIOS Co-Chair President Johns Hopkins University



**Geeta Swamy** 

**HELIOS Strategic Lead** 

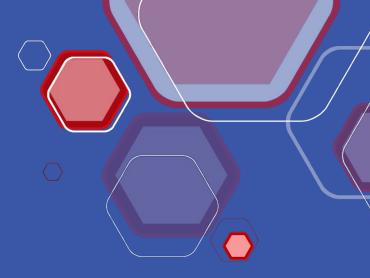
Duke University Associate Vice President for Research & Vice Dean for Scientific Integrity



# So...what is HELIOS doing?

- Identify areas of interest/intervention
- Arrive at a set of testable recommendations (via research, sharing of experiences, discussion, etc.)
- Work with individual members and/or clusters of members to adapt and adopt recommendations
- Learn from shared experiences, revise as appropriate, and scale
- Engage with other sectors to harmonize activities

# **Working Groups**





Drafting guidance for students and faculty to clearly and succinctly articulate good practices for sharing specific forms of open scholarship (e.g., papers, data).



Engaging with other key stakeholders (e.g., government agencies, philanthropies, professional societies, publishers) to align open scholarship policies and incentives.



Collaborating with other institutions on shared resourcing and infrastructure.



Identifying policy language that can be adapted and adopted by departments on our campus, and/or across the entirety of the institution.

# **Society Cohort**





























## **Societies' Identified Shared Interests**





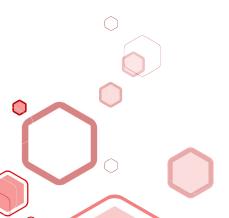




Devising policy guidance that explicitly promotes the nexus among openness, inclusivity, and equity

Providing guidance on discipline-specific open research good practices

Clearly articulating the direct link between specific open research practices (e.g., data sharing) and organizational mission/values Collaborating with colleges and universities on language that can be adapted for hiring, review, and tenure & promotion



# **Societies' Possible Next Steps**



#### **Statement**

"As a professional society committed to promoting a positive research culture in the field of X, we affirm our support for the principles of A, B, and C."



### **Action**

"In order to ensure that these values are fully embedded within our field's culture, we commit to taking and/or supporting the following actions..."



















# Funder Cohort - Participants

Advanced Education Research & John S. and James L. Knight **Development Fund** Foundation Albert and Mary Lasker Foundation John Templeton Foundation Alex's Lemonade Stand Klarman Family Foundation Alfred P. Sloan Foundation Leona M. and Harry B. Helmsley Aligning Science Across Parkinson's Charitable Trust Lipedema Foundation Allen Institute **American Epilepsy Society LUNGevity Foundation** American Federation for Aging Lyda Hill Philanthropies Research Marguerite Casey Foundation American Heart Association Michael J. Fox Foundation Arnold and Mabel Beckman National Psoriasis Foundation Foundation Ovarian Cancer Research Alliance **Arnold Ventures Pew Charitable Trusts Bill & Melinda Gates Foundation** Rainwater Charitable Foundation **Burroughs Wellcome Fund** Robert Wood Johnson Foundation Chan Zuckerberg Initiative Sierra Health Foundation CureSearch for Children's Cancer Simons Foundation Dana Foundation Skoll Foundation David and Lucile Packard Foundation St. Baldrick's Foundation Esmee Fairbairn Foundation Templeton World Charity Foundation **Fidelity Foundation** The ALS Association Focused Ultrasound Foundation The Sontag Foundation **GO2** Foundation for Lung Cancer Tinker Foundation Inc. Gordon and Betty Moore Foundation **Tuberous Sclerosis Alliance** Health Research Alliance VolkswagenStiftung William and Flora Hewlett Hillman Family Foundations **Howard Hughes Medical Institute Foundation** John D. and Catherine T. Macarthur William Randolph Hearst Foundation Foundation

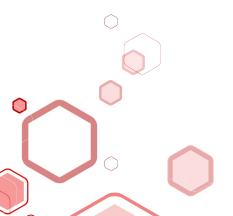
# **Funder Cohort Meeting Goals**



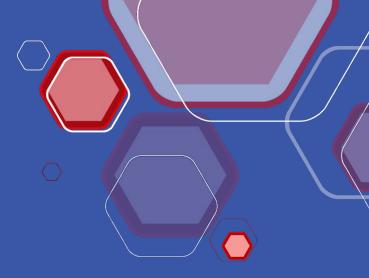
Gauge appetite for collective action

Explore statement→action approach

Identify funders ready to move forward with their own open research policies Identify funders interested in engaging in cross-stakeholder alignment









How can the Roundtable incorporate TOPS insights and work products into its cohorts, and vice versa?



How do we use our combined efforts to drive change at other federal agencies?



How can we socialize the breadth of these activities to more fully engage communities and individual researchers?

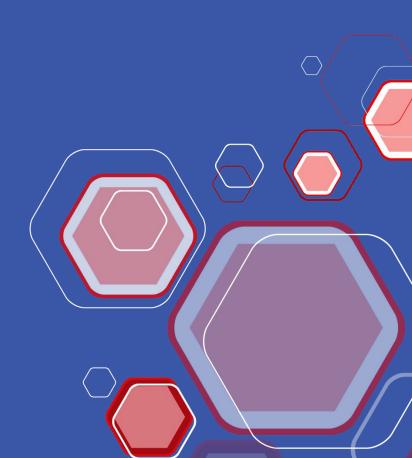


What are we learning about incentives that can really move the needle on systems change?

# Thanks!

**Any questions?** 

Greg Tananbaum greg@orfg.org @OpenResearchFG



## **Break until 2:10**



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## **Area of Action: Capacity Sharing Resources**



### **Engagement with the Community**



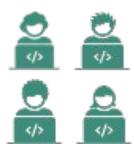
**TOPS Champions** 

Scientists to help teach modules at events and act as Open Science champions



**Cohorts** 

Engage with learners through a virtual cohort model to increase Open Science Badge achievement



#### **Summer Schools**

Institutions selected to run ~6 weeks of teaching the 5 modules to selected science teams + open competitive under-represented researchers



# **Curriculum Expansion**

Groups funded to migrate/create discipline specific modules and data science skills modules to Open edX TOPS platform



#### **Hackathons**

More hackathons that advance data science skills and open science









Scientists to help teach modules at events and act as Open Science champions

During the Year of Open Science there will be OpenCore workshops at many conferences and meetings

 We need domain experts to be trained on the modules to help teach the workshops and act as champions within their community.









#### Cohorts

Engage with learners through a virtual cohort model to increase Open Science Badge achievement

- Many people will have time to take 1 or more modules
- We need groups to engage with these learners to support and encourage them to complete the modules and become advocates for open science









#### **Summer Schools**

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- Many people will have time to take 1 or more modules
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# **Curriculum Expansion**

Groups funded to migrate/create discipline specific modules and data science skills modules to Open edX TOPS platform

- For researchers with established workflows, they may be more motivated to explore open science by seeing domain examples showcasing the power and efficiency of open science
- OpenCore is the base, we will need more detailed modules as learners want to try out and learn more advanced open science









#### **Hackathons**

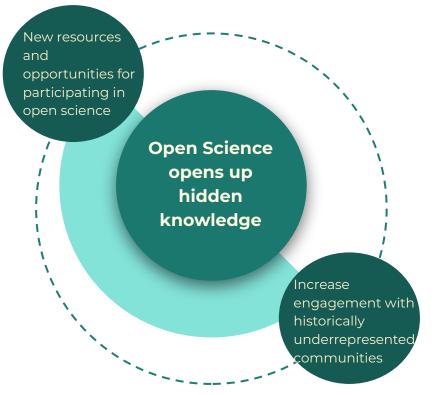
More hackathons that advance data science skills and open science

- Hackathons are often a space where interdisciplinary work can be enabled by open science practices
- Attractive to a wide range of communities
- Expand who is participating in science



## **Engagement with Minority Serving Institutions**





- Engagement via NASA's Minority University Research and Education Project (<u>MUREP</u>)
- Partnering with internal NASA programs like <u>Science Activation</u>, SMD <u>Bridge Program</u>









# So What's Next

- Panel Review: Individual reviews synthesized into a panel review.
  - 5/23/22: We will provide panelists with a short written summary of each day, via a google doc.
  - 6/17/22: Panel will provide written feedback in the google doc.
     Please work with each other to provide constructive feedback on our future work.
- TOPS Community Panel #2 will be in October 2022
- Upcoming Monthly Community Forums June 9, 2022

Connect with Us:





**TOPS Email List**