An Assessment of the Role of Sustainability Goals in Trade Rules

MATS Deliverable 1.3





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Summary

The aim of Deliverable 1.3 was to "set the scene" for the MATS project by conducting a brief analysis of the current linkages between trade rules and the SDGs. This was done by reviewing relevant literature and databases as well as text analysis in the Atlas.ti software package. The focus of the study was on the EU's Non-Tariff Measures (NTMs), a selection of EU trade agreements with developing countries as well as Voluntary Sustainability Standards (VSS) applicable to agriculture.

The analyses showed that all three types of trade rules have indirect linkages with the SDGs. For the NTMs and trade agreements these linkages were relatively concentrated on a limited set of SDGs. However, the VSS cover a much broader range of SDGs.

SDG3 (health and wellbeing) had the highest coverage in the EU NTMs and SDG8 (decent work and economic growth) recorded the highest coverage in the selected EU trade agreements. For the agricultural VSS, most of the linkages were with SDG16 (peace, justice, and strong institutions). SDG6 (water and sanitation) recorded the weakest coverage in all three types of trade rules.

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Rules

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1. Introduction

International trade rules are increasingly being used to support sustainable development across the globe. This can be either through bilateral and multilateral trade agreements between governments or through private standards as set by industry or civil society³. Most trade flows between the European Union (EU) and developing countries are governed by a set of 20 different trade agreements. Furthermore, a total of 119 different Voluntary Sustainability Standards (VSS)⁴ are relevant for agricultural exports to the EU (ITC, 2022).

Agricultural trade specifically has a role to play in achieving the Sustainable Development Goals (SDG's) as it has the potential to increase wellbeing, income, social welfare, food security, biodiversity, sustainable farming practice (see: Duncan and Claeys, 2018; FAO *et al.*, 2019; UN Committee on World Food Security, 2015; Oxfam, 2016; IATP, 2017). This role and impact are however not inherent and are strongly reliant on the national policy settings and the production structure of countries and on the nature and degree of international economic cooperation and governance (OECD, 2017). The latter predominantly depends on how bilateral, plurilateral and multilateral trade policies have been shaped. These agreements have however frequently been criticised for their negative impact on sustainable development (OECD, 2021). Therefore, a limited number of countries (e.g., Canada, the EU, the UK) these days conduct (ex- and post-ante) Sustainability Impact Assessments (SIA) of trade agreements to inform negotiations and domestic reforms (OECD, 2021).

Apart from the above-mentioned benefits of trade, in some instances increased globalisation has also resulted in unsustainable production and consumption patterns (Blankenbach, Negi and Pérez-Pineda, 2020; Alvarado *et al.*, 2021). Hence, these impacts are widely recognized and therefore sustainability concerns are being increasingly embedded in standards and regulations governing trade as they set certain social and environmental criteria for products and production. UNCTAD estimates that standards and regulations have an impact on 80 percent of global trade.

Blankenbach *et al.* (2020) noted that sustainability standards generally have a high-level of acceptability in a domestic setting. However, in an international trade perspective they tend to be perceived and act as a barrier to trader Morin & Lechner,

Note that besides trade agreements and VSS, the EU also applies unilateral sustainability standards.

⁴ Requirements that producers, traders, manufacturers, retailers, or service providers may be asked to meet, relating to a wide range of sustainability metrics, including respect for basic human rights, worker health and safety, the environmental impacts of production, community relations, land use planning and others (UNFSS, 2013).



2018; Rodrik, 2018), with the Carbon Border Adjustment Mechanism (CBAM) being a case in point (Eicke *et al.*, 2021; Meyer and Tucker, 2021; Zachmann and McWilliams, 2020). Another case of possible trade impediment is the differences in sustainability standards between markets. For example, the definition of organic food within the three largest import markets, the EU, USA and Japan, is not the same. Striking the balance between genuine sustainability reasons and not allowing them to hamper trade is thus crucial (Blankenback *et al.*, 2020) this will also require including third-countries in the consultation process when setting standards.

Against this broad background, the MATS project aims to improve understanding of key leverage points in governance, design and implementation which support a shift of international trade towards greater resilience and equity. This specific deliverable (D1.3) aims to "set the scene" for the project by conducting a stocktaking of the current links between trade rules and the SDG's.

The focus of the analysis will be specifically on Non-Tariff Measures (NTM's), trade agreements and VSS. Though, trade rules are broader and also includes multilateral agreements, mandatory public- and other voluntary private product standards.

The focus of the MATS project is on agricultural trade linkages between the EU and developing countries ⁵ in Asia, Africa, and Latin America. Hence, multi-lateral agreements, such as African Continental Free Trade Agreement (AcFTA) ⁶ or rules of the World trade Organisation (WTO), have a different or much broader geographical scope and where therefore not considered in the analysis for this report.

It is noteworthy that one of the goals of the AcFTA is "to promote and attain sustainable and inclusive socio-economic development, gender equality and structural transformation of the State Parties" (Article 3, Clause e) which broadly links to the SDGs (SEATINI, undated). However, analysis by SEATINI (undated) shows that the text if this continent-wide FTA has no direct linkages with any of the specific SDG goals.

The WTO provides an additional global legal framework linked to sustainability standards. The Sanitary and Phytosanitary (SPS) Agreement is the only mandatory legal reference in this regard. Furthermore, the institution recommends that all its members should adopt sustainability standards as laid out in their Codex Alimentarius

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⁵ Using the World Bank classification of low income and lower middle-income countries a total of 82 countries were identified in these regions.

⁶ This agreement came into force on the 1st of January 2021 and is the largest free trade arrangement in the world, comprising all 54 members of the African Union.



or stipulated by the World Organisation for Animal Health (OIE) and International Plant Protection Convention (IPPC) (Blankenbech *et al.*, 2020).

Private standards, besides the VSS relevant to agricultural trade, mostly focus on food safety and quality attributes and are therefore beyond the scope of this report. It is assumed that most of the mandatory public product standards in the import market (EU) are covered by their respective NTMs.

In terms of the SDGs, the MATS project puts special emphasis on SDG1 'No Poverty', SDG2 'Zero Hunger' and SDG3 'Good Health and Wellbeing', as well as SDG6 'Clean Water', SDG13 'Climate Action' and SDG15 'Life on Land'. Hence, these goals, together with SDG8 on 'Decent Work and Economic Growth', will form the focal point of the analysis in this report, though, in some instances all SDG's have been included.

The next sub-section will analyse the links between the NTM's prevalent in the EU and the SDGs. Section 3 will do the same for a selected set of trade agreements between the EU and developing countries. Section 4 will discuss the linkages between VSS and the SDG's. Each section will briefly review some of the previous work conducted on the topic and then discuss the approach and present the results.

2. Links between EU NTM's and the SDGs

NTM's have grown tremendously in importance within the global trade system over the last few decades. These policy measures cover a wide range of product and production aspects ranging from labelling requirements to maximum residue levels. UNCTAD data shows that almost 100% of agricultural products imported into the EU are subject to NTM's.

NTM's are not inherently bad or good. They can be perceived as trade prohibiting measures, but they often serve important purposes in terms of protecting human, animal, and plant health. Knebel and Peters (2015) argue that NTM's also have a role to play in sustainable development. This can be via a direct linkage in that NTM's can become a barrier to trade which weakens the developmental potential of trade. On the other hand, they argue that the linkage between NTM's and sustainable development can be indirect. Many of the underpinnings of NTM's can be linked to the SDG's such as food security (SDG2), nutrition and health (SDG3) and protecting endangered species (SDG14).

A comprehensive study by UNCTAD (2019) analyses the linkages between NTMs and the SDGs in Asia and the Pacific. The main finding was that on average 41.5 percent of the NTM's are directly addressing SDG's. Most often they are linked to SDG2 (zero



hunger) and SDG3 (good health), as well as SDG12 (responsible production and consumption) and SDG16 (peace, justice, strong institutions).

To explore these linkages UNCTAD and UN ESCAP developed a global NTM-SDG concordance table. An NTM (disaggregated at product-level using the Harmonised System) is considered to link to a specific NTM if (UNCTAD, 2021):

- It has a clearly stated SDG target-related objective.
- The examined NTM-HS code combination is not likely to have any objective other than the one that is relevant to an SDG.

The UNCTAD database on NTMs and SDGs was used to analyse these linkages for the EU. The results are shown in Figure 1. For comparison, the graph also shows the global average. It is evident from the Figure that a total of nine out of 17 SDGs could be linked to the NTMs enforced by the EU. The strongest linkages are with SDG3 (good health), SDG12 (responsible production and consumption) and SDG 2 (zero hunger). The NTM linkages with SDG6 (clean water) and SDG15 (life on land) are the weakest. However, that pattern is in line with the global average. The Figure also shows that the EU is lagging relatively far behind the global average linkages with regards to SDG2 and SDG3. The general pattern revealed in Figure 1 is that the NTMs in the EU have moderately strong links with a relative narrow spread of SDGs.

Noteworthy is that exporting countries complying with the NTMs prevalent in the EU are thus de facto addressing some of the SDGs.

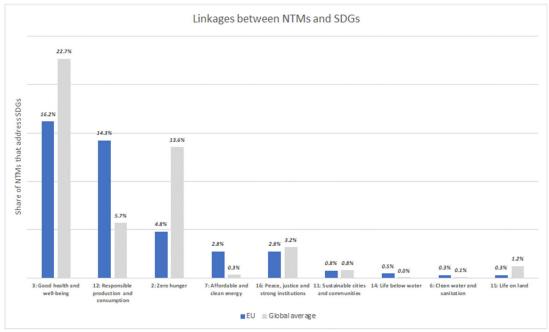


Figure 1: NTM-SDG linkages in the European Union Source: UNCTAD (2021)



3. Links between EU trade agreements and the SDGs

3.1 Introduction

A growing number of international trade agreements have incorporated sustainable development provisions (UNCTAD, 2016). A study by Agusti-Panereda, Ebert and Le Clery (2014) found that labour provisions in free trade agreements increased from only four in 1995 to 47 by 2011. An assessment by the International Labour Organisation (ILO, 2016) found that 80 percent of the trade agreements that have come into force since 2013 contain provisions on labour standards. They further found that these labour provisions are also increasingly being included in trade agreements beyond the traditional trade blocs (i.e., the EU, USA and Canada).

However, in the earlier trade agreements these labour provisions were limited to the preambles and refer to the 1998 ILO *Declaration on Fundamental Principles and Rights at Work* (Doumbia-Henry and Gravel, 2006). More recent, the EU lists the labour provisions in the Trade and Sustainable Development (TSD) chapter of their FTA's, but these are not subject to trade dispute settlement.

Environmental provisions are also relatively common in international trade agreements. The first major agreement to include these was the North American Free Trade Agreement (NAFTA) (UNCTAD, 2016). A study by the OECD (2014) specifically looked at the types of environmental provisions and found that 78 percent of the trade agreements in force in 2012 included the General Agreement on Trade and Tariffs (GATT) ⁷ exceptions for the protection of human, animal, and plant life. However, the study found that the use of more substantial environmental provisions, such as cooperation, public participation, dispute settlement, multilateral environmental agreements (MEA), was very limited.

With regards to the EU, the TREND Analytics database⁸ shows it concluded 210 trade agreements with a total of 3 567 environmental provisions: averaging to 17 provisions per agreement. The database shows this is far more than the trade agreements concluded by any other country/trade bloc.

3.2 Approach

To date no comprehensive study has been conducted on the linkages between EU trade agreements and the different goals and targets of the SDGs specifically. This report will attempt to provide more insights in this regard within the scope of the

⁷ GATT Articles XX

⁸ Berger, Axel/Brandi, Clara/Bruhn, Dominique/Morin, Jean-Frédéric (2017): TREND analytics - Environmental Provisions in Preferential Trade Agreements. German Development Institute (DIE), Bonn, Germany, and Université Laval, Canada.



MATS project. This entails that the country-focus of the EU trade agreements will only include developing countries (see also footnote 4). Therefore, a total of 20 trade agreements were selected for the analysis. An overview of these is provided in the maps in Figure 2. The maps show that the selection includes four agreements for Asia, 11 agreements for Africa, two agreements for Latin America / the Caribbean, and the EU's General System of Preferences (GSP). A complete overview of the agreements (type, signatories, status, and year) can be found in Annex 1.

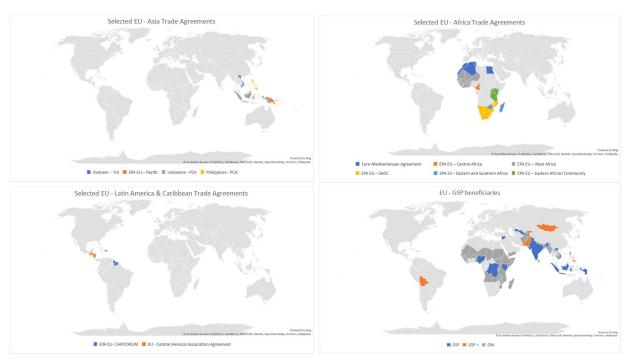


Figure 2: Geographical overview of the selected EU trade agreements

The scope of the analyses focusses on SDGs 1, 2, 3, 6, 8, 13 and 15 (see also Section 1). To determine the link between the selected agreements and the respective SDGs, several "key terms" were extracted by the researcher based on the targets for each one of them. An overview of the goals and targets of each SDG as well as the identified "key terms" are provided in Annex 2.

The Atlas.ti software package was used to analyse the official legal texts of the agreements which were obtained from the website of the European Commission. The analysis consisted of coding these texts according to the specified "key terms" of each SDG. For each of the SDGs these "codes" were counted to provide an overview of the degree of linkages between the selected SDGs and the 20 agreements.

This is by no means an exhaustive analysis, but it does provide valuable insights into the degree of the coverage of the respective SDGs within the EU trade agreements.



3.3 Results

It is important to note that the text analysis showed that none of the selected agreements make direct nor implicit reference to the UN's SDGs. This may be not surprising as almost all of the selected trade agreements were negotiated and concluded before 2015 when the SDGs were adopted (see Annex 1).

Figure 3 shows a word-cloud build in Atlas.ti indicating the frequency of SDG keywords which were found in the 20 selected trade agreements. The word "health" was mentioned the most with 481 "hits" in all of the agreements, followed by "sustainable" with a frequency of 478 and education with 345 mentions. Terms like "inequality", "nutrition", "biodiversity" and "poverty" for instance, all show a very low prevalence in the respective trade agreements.



Figure 3: Word cloud of SDG keywords in the selected trade agreements

The results of the text analysis of the selected trade agreements are presented in the heatmap in Table 1. The first column shows the different trade agreements. Note that some of these agreements consist of two official texts. The FTA with Indonesia is still under negotiation (see Annex 1) and the concept texts for sustainable development (SD) and sustainable food systems (SFS) were both included in the analysis. The top row in the heatmap show the seven selected SDGs.

The values in the heatmap reflect the number of linkages between each trade agreement – SDG pair which are shaded from green to red. The weaker linkages are coloured green as where the stronger linkages are depicted in red. The last column and row show the totals.



The overall picture that becomes eminent from Table 1 is that the linkages between the selected trade agreements and the SDGs are generally weak. The only SDG that really stands out in the heatmap is goal eight which focusses on economic growth and decent work (e.g., labour standards). This specific SDG links moderately to strong with most of the trade agreements.

The table shows that the Economic Partnership Agreement (EPA) with CARIFORUM, the Association Agreement with Central America and the Trade and Investment Protection Agreement with Vietnam have particularly strong linkages with SDG8. Following at a relative distance are the number of linkages with SDG15 (life on land), SDG3 (health) and SDG1 (end poverty). Table 1 furthermore shows that SDG6 (clean water) and SDG13 (climate action) have the weakest coverage within this specific set of trade agreements.

The Economic Partnership Agreement (EPA) with CARIFORUM, the Association Agreement with Central America and the Trade and Investment with Vietnam also show the most overall linkages with these seven SDG's. The EPA's with Eastern and Southern Africa and the Eastern African Community (EAC) show a moderate level of linkages with the SDGs.

The second-last column in the heatmap covers the main concept of the SDG, namely: "sustainable development". This main goal was covered second most after SDG8. Again, the Association Agreement with Central America and the Trade and Investment with Vietnam showed the most "hits" in this regard.

Table 1: Heatmap of the linkages between selected SDGs and EU Trade Agreements

	SDG 1: End poverty	SDG 2: Zero hunger	SDG 3: Health and well-being	SDG 6: Clean water and sanitation	SDG 8: Decent work and economic growth	SDG 13: Climate change	SDG 15: Life on land	SDG: main goal	Totals
EPA - Central Africa	4	2	3	0	19	1	4	9	42
EPA - Eastern & Southern Africa	5	1	4	9	61	6	16	10	112
EPA - Eastern African Community	9	20	4	6	53	3	15	11	121
EPA - SADC	2	6	4	1	23	0	2	17	55
EPA - West Africa 1	7	14	5	1	20	0	4	16	67
EPA - West Africa 2	0	0	0	0	4	0	0	0	4
EPA W Africa - Ghana	0	1	0	0	0	1	0	0	2
EPA W Africa - Ivory Coast	2	1	4	0	6	2	1	2	18
Mediterranean Agreement - Algeria	3	1	4	1	23	3	2	1	38
Mediterranean Agreement - Egypt	2	2	6	1	24	1	70	3	109
Mediterranean Agreement - Morocco 1	2	0	1	1	21	3	0	1	29
Mediterranean Agreement - Morocco 2	0	0	0	0	1	0	0	0	1
Mediterranean Agreement - Tunisia 1	2	0	1	1	20	3	0	1	28
Mediterranean Agreement - Tunisia 2	0	0	0	0	2	0	0	0	2
EPA - Pacific	2	3	0	0	10	0	3	3	21
FTA - Indonesia - Proposal SD	2	0	0	0	19	5	9	12	47
FTA - Indonesia - Proposal SFS	0	1	2	0	7	4	1	1	16
PCA - Indonesia	2	2	4	0	2	3	2	7	22
PCA - Philippines	1	0	0	0	1	0	0	0	2
TIA - Vietnam	29	5	47	10	80	13	23	39	246
AA - Central America	39	3	36	16	88	11	20	45	258
EPA - CARIFORUM	31	6	33	6	110	3	10	17	216
EU GSP	0	0	0	0	4	2	2	17	25
Totals	144	68	158	53	598	64	184	212	1481

4. Links between VSS and the SDGs

4.1 Introduction

Besides the mandatory public standards and regulations focussing on sustainability, Voluntary Sustainability Standards (VSS) have also gained tremendous importance in global agricultural trade (Daviron and Vagneron, 2011; Derkx and Glasbergen, 2014; Muradian and Pelupessy, 2005). This has been in line with the evolving global sustainability and development agendas such as the Rio Earth summit in 1992, the Millennium Development Goals introduced in 2000 and the adoption of the SDGs in 2015 (Blankenbach, 2020).

The first VSS were launched in the fields of agriculture and forestry and included the Forest Stewardship Council, Rainforest Alliance and Fairtrade International (UNFSS, 2018). As of 2022, the database on VSS of the International Trade Centre (ITC – Standards Map) counts 313 different standards applicable to a wide range of products and countries.

A study by the ITC (2016) found the following stylised facts regarding the emergence of VSS:

- The first VSS were initialised in developed countries but the number of VSS coming from large developing countries is increasing.
- There are few VSS with a global coverage and most of the standards focus on high-income countries as the demand for sustainably produced goods is higher.
- The sectoral scope of VSS is most frequently on extraction and primary production.
- The number of VSS that specifically target SMME's, and small-scale producers is relatively small

There are a variety of non-governmental actors that drive the development of VSS, including NGO's/civil society (e.g., Clean Clothes Campaign), industry consortia (e.g., GlobalGAP) and individual firms (e.g., Unilever Sustainable Agriculture Code). In many instances the public sector has provided support to these sustainability programs (UNFSS, 2018).

VSS are generally defined by a set of product and production requirements to support its respective aims (Blankenbach, 2020). In international accountability terms, we can distinguish such standards with respect to (i.) principle-based standards (e.g., United Nations Global Compact, UNGC), (ii.) certification-based standards (e.g. ISO 14001) and (iii.) reporting-based standards (e.g. GRI's Sustainability Reporting Guidelines) (Behnam and MacLean, 2011). These VSS also comprise of very distinct process criteria in terms of verification, certification, marketing, support, revision,



and governance. An independent verification and certification system ensures compliance with a VSS (Potts *et al.*, 2014), while effective involvement of public sector and civil society stakeholders should be an integral part of the accompanying governance process for achieving good governance on sustainability (dos Santos *et al.*, 2020).

As the term implies, for a producer / export the compliance with a VSS is not mandatory. Nevertheless, demand-led pressure from buyers in the importing market can imply that a VSS is perceived as a market entry requirement. Furthermore, a producer / exporter must be able to recover its cost of compliance and certification. On the other hand, and besides the greater societal benefits of more sustainable production and trade practices, the rewards for individual producers / exporters to comply with a VSS may include (Brauman and Viart, 2016):

- Price premiums
- Greater market access
- · Local norms and a culture of sustainability, and
- Production training and support

As mentioned in Section 1, there are a myriad of VSS in the EU which may be of importance to agricultural producers and exporters from developing countries. Table 2 provides an overview of these with regards to their geographical context as well as the sustainability themes they address. As there is overlap between the different VSS in terms of geographical coverage and themes, the rows and columns do not add up.

The table shows that most of the agricultural VSS in the EU are applicable to exporters from South America, followed by Asia. Furthermore, most of the VSS focus on social and management/ethics themes. Environmental themes are relative few within this specific set of VSS.

Table 2: Scope of agricultural VSS in the EU

		Sustainability themes				
Exporter	Total	Environment	Social	Management and Ethics	Quality	
Africa	67	13	47	41	10	
Asia	78	12	52	43	11	
Central America & Caribbean	53	12	37	31	10	
South America	84	15	60	49	12	
Total VSS	119					

Source: ITC (2022)

A sectoral overview of the total VSS prevalent in the EU market is shown in Figure 4. It is evident that most of the standards focus on the manufacturing sector (this



includes food processing) followed by agriculture. The mining and chemicals sectors face relative few VSS in the EU market.

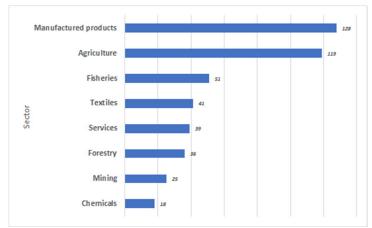


Figure 4: Number of VSS in the EU per sector in the EU market Source: ITC (2022)

Few studies have analysed the alignment of VSS with the SDGs. Blankenbach (2020) analysed a set of the 16 most important VSS applicable to agriculture, forestry, and biofuels with a global scope. He noticed that SDGs are much broader in their scope and wording than the detailed requirements of VSS. The study found the highest level of alignment with SDG2 (zero hunger), SDG8 (decent work and economic growth) and SDG 12 (sustainable production and consumption). Areas with less alignment were poverty reduction (SDG1), climate change (SDG13), health (SDG3) and gender (SDG5).

In another study, UNFSS (2018) analysed the linkage of 122 VSS with ten selected SDGs. This study also found a relative high level of complementarity between the VSS and SDG8 and SDG12 as well as SDG15 (life on land). On the contrary, this study found that SDG2 (zero hunger) is less well covered by the set of 122 VSS.

The most comprehensive study on SDGs and VSS to date has been conducted by Bissinger, K., Brandi, C., Cabrera de Leicht, S., Fiorini, M., Schleifer, P., Fernandez de Cordova, S., and Ahmed, N. (2020). This study maps the linkage between a set of 232 VSS and all 17 SDGs. The data of this study was used for the subsequent analyses in this report.

4.2 Approach

The study by Bissinger *et al.* (2020) mapped more than 800 VSS requirements as captured in their Standards Map database and linked these with the 126 core targets of the SDGs. A linkage to a specific SDG target was established when the VSS criteria and actions are relevant for achieving the target.



In order for a linkage to be included in the analysis it had to meet two criteria (Bissinger, 2020):

- The content of the VSS requirement must be described with a high or medium level of precisions.
- The content of the VSS requirement must show high correspondence with the content of the SDG.

Based on these criteria, only "relevant" VSS criteria were linked with the SDG targets. The ITC (2020) mapping used a "linkage" indicator to quantify the SDG coverage of the VSS requirements. This indicator will be the highest if a VSS covers all the requirements of an SDG target and zero of none of the requirements are linked.

4.3 Results

This sub-section will present some of the data derived from the ITC (2020) study. To provide some context, Figure 5 shows the number of VSS that link with each of the SDGs. In total 222 of the VSS, which is almost all standards in the sample, link with SDG8. The figure shows that vast proportion of the VSS, at least 180, link with a broad range of SDGs: 1, 2, 3, 4, 6, 8, 11 and 12. Only SDGs 13 and SDG14 have a weak coverage in the VSS. No linkages could be established for SDG17, but this goal is mainly addressed by governments.

The figure also highlights the SDGs specifically aligned with the MATS project scope.

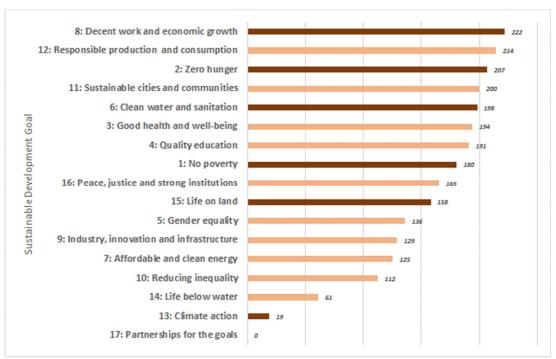


Figure 5: Number of VSS with linkages to the 17 SDGs Source: Bissinger et al. (2020)



Figure 6 narrows down the previous figure in that it only includes VSS applicable to agricultural products. Most of these VSS are inked to SDG16 (peace / justice / institutions), SDG4 (education) and SDG10 (inequality). Given the scope, one would expect stronger linkages of these specific VSS with SDG2 (zero hunger) and SDG15 (life on land).

The figure also shows that the SDGs that form part of the MATS project scope feature less prominent in this set of VSS.

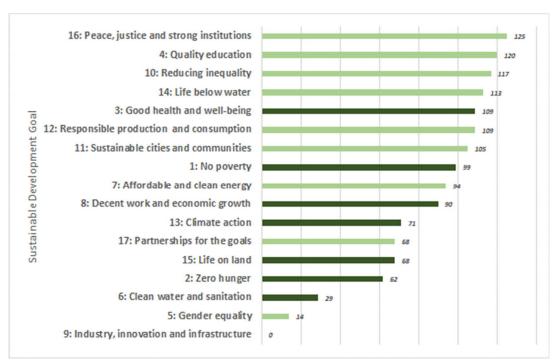


Figure 6: Number of agricultural VSS with linkages to the 17 SDGs Source: Bissinger et al. (2020)

It is evident that there are clear differences between the two previous figures. This variance in scope between all VSS and agricultural VSS is further explored in Figure 7. It shows the difference in ranking with regards to the number of VSS per SDG. The first eight SDGs in the figure rank lower in the agricultural VSS. SDG1 ranks the same in both sets of VSS and the bottom eight SDGs rank higher for agricultural VSS.

This pattern is quite remarkable as some of the SDGs which one would not directly link with agricultural VSS rank relatively high, for instance SDG14 (life below water), SDG16 (peace / justice / institutions) and SDG4 (education). Hence, this would require a deeper analysis beyond the scope of this report.



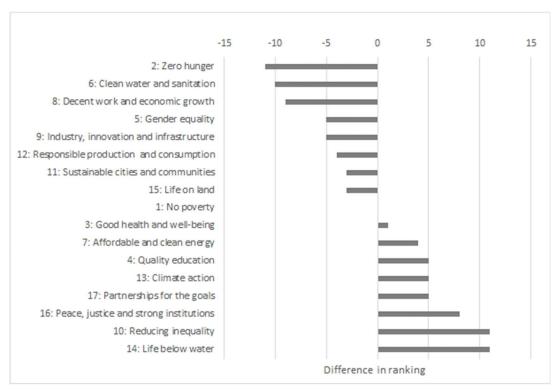


Figure 7: Differences in SDG linkages between all and agricultural VSS Source: Author's own calculation using data from ITC (2022)

To provide more details in terms of the individual VSS that link to each of the SDGs, the graphs in Annex 3 show the top 15 VSS with the highest proportional coverage of the SDGs of the MATS project scope (SDGs 1, 2, 3, 6, 8, 13 and 15). From this analysis it became evident that "Floverde Sustainable Flowers" has the broadest coverage as it links with all seven SDGs, followed by the "International Sustainability & Carbon Certification EU / PLUS (ISCC EU/PLUS)" and "Sustainably Grown" with links to six SDGs. In total, a set of 55 VSS were identified of which the majority (37) only had one SDG linkage.



5. Conclusions

It has become evident from the analyses that trade rules between the EU and developing countries de facto address some of the SDGs. It is evident that trade rules are indirectly supportive of a specific range of SDGs. A summary of this is provided in Table 3.

The top part of the table shows the three SDGs with the strongest linkage for each type of trade rule. The table shows that there is not much overlapping in terms of SDG coverage. Only SDG3 (highlighted in green) is well linked with EU NTMs and trade agreements. The SDGs highlighted in orange show a mixed picture in terms of their linkages. SDG2 is well covered by EU NTMs, but poorly covered in EU trade agreements on the other hand. A similar pattern exists for SDG15, which is relatively poorly covered in the EU NTMs but relatively well-linked with the selected EU Trade Agreements on the other hand.

Table 2: Overview of the SDGs linkages of the different EU trade rules

	EU NTMs	EU Trade Agreements	Agricultural VSS	
	<i>SDG 3</i> Health and wellbeing	SDG 8 Decent work and economic growth	SDG 16 Peace, justice, and strong institutions	
Highest SDG coverage	SDG 12 Responsible production and consumption	SDG 15 Life on land	<i>SDG 4</i> Quality education	
	<i>SDG 2</i> Zero hunger	<i>SDG 3</i> Health and wellbeing	SDG 10 Reducing inequality	
	SDG 15 Life on land	SDG 6 Clean water and sanitation	SDG 9 Industry and infrastructure	
Lowest SDG coverage	SDG 6 Clean water and sanitation	SDG 13 Climate action	<i>SDG 5</i> Gender equality	
	SDG 14 Life below water	<i>SDG 2</i> Zero hunger	SDG 6 Clean water and sanitation	
SDGs with an above average coverage (sample)	3(17)	2(7)	10(17)	

The bottom part of Table 1 shows the three SDGs with the lowest level of coverage with these trade rules. This is also a relative mixed set of SDGs. The table depicts that SDG6 is the least covered by all three types of trade rules in the EU.



The last row in the table shows the concentration of the linkages between the SDGs and the trade rules. The value indicates the number of SDGs with an above average coverage in each of the three EU trade rules. The value in brackets indicates the number of SDGs included in the analyses.

The values for EU NTMs and the selected EU trade agreements are relatively low. This indicates that the linkages or have a relative strong focus on a limited set of SDGs. The value for the VSS is higher, implying that they focus on a broader range of SDGs.

The core aim of VSS is sustainable development which directly aligns with the objective of the SDGs. Hence, a vast amount of VSS link with a broad set of SDGs. The linkages between NTMs and trade agreements are more concentrated as these specific types of trade rules are primarily aimed at facilitating trade, and although of increasing importance, sustainable development is secondary.

The contribution of the analyses of T1.3 to the other work packages of the MATS project may include:

- WP2 Frameworks, indicators, and tools: relevant literature on the relationship between trade and sustainability.
- WP3 Assessing linkages: providing context for the case studies from a trade rules perspective.
- WP4 Institutional, regulatory, and legal frameworks: providing context / relevant literature on trade agreements and sustainable development.
- WP5 Transition pathways and policy recommendations: providing context



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Annex

Annex 1a: Overview of selected EU - Africa trade agreements

Agreement	Countries	Туре	Status	Year	
Euro-Mediterranean Agreement	Algeria	Association Agreement	Signed / in force	2005	
Euro-Mediterranean Agreement	Egypt	Association Agreement	Signed / in force	2004	
Euro-Mediterranean Agreement	Tunisia	Association Agreement	Signed / in force	1998	
Euro-Mediterranean Agreement	Morocco	Association Agreement	Signed / in force	1996	
EPA EU – Central Africa	Cameroon	Interim Economic Partnership Agreement	Ratified	2014	
EPA EU – West Africa	Côte d'Ivoire	Steppingstone agreement	Provisionally applied	2016	
EPA EU – West Africa	Ghana	Steppingstone agreement	Provisionally applied	2016	
	Benin				
	Burkina Faso				
	Cape Verde				
	Gambia				
	Guinea,				
	Guinea-Bissau				
EPA EU – West Africa (ECOWAS / WAEMU)	Liberia	Economic Partnership Agreement	Initialised	NA	
	Mali				
	Niger				
	Senegal				
	Sierra Leone				
	Togo				
	Mauritania				
	Botswana				
	Lesotho				
EDA ELL. CADO	Mozambique	Faculty of the control of the contro	Cianad / in fame	2017	
EPA EU – SADC	Namibia	Economic Partnership Agreement	Signed / in force	2016	
	Swaziland				
	South Africa			<u>[</u>	



	Comoros			
	Madagascar			
EPA EU - Eastern and Southern Africa	Mauritius	Interim Economic Partnership Agreement	Provisionally applied	2013
	Seychelles			
	Zimbabwe			
	Burundi		Initialised	
	Kenya			
EPA EU – Eastern African Community	Rwanda	Economic Partnership Agreement		2014
	Tanzania			
	Uganda			

Annex 1b: Overview of selected EU - Asia trade agreements

Agreement	Countries	Туре	Status	Year	
Vietnam - Trade and Investment Agreement	Vietnam	Trade and Investment Agreement	Signed / in force	2020	
	Papua New Guinea				
	Fiji		Ratified		
EPA EU – Pacific	Samoa	Economic Partnership Agreement		2011 - 2020	
	Solomon Islands				
	Tonga				
Indonesia - Partnership and Cooperation Agreement	Indonesia	Framework agreement	Signed / in force	2017 - 2021	
EU-Indonesia FTA	Indonesia	Proposals	Under negotiation	2020	
Philippines - Partnership and Cooperation Agreement	Philippines	Framework agreement	Signed / in force	2018	



Annex 1c: Overview of selected EU – Latin America & the Caribbean trade agreements

Agreement	Countries	Туре	Status	Year
	Antigua and Barbuda			
	The Bahamas			
	Barbados			
	Belize			
	Dominica			
	Grenada			
	Guyana			
EPA EU- CARIFORUM	Jamaica	Economic Partnership Agreement	Signed / in force	2008
	Saint Lucia			
	Saint Vincent and the Grenadines			
	Saint Kitts and Nevis			
	Suriname			
	Trinidad and Tobago			
	the Dominican Republic			
	Haiti			
	Honduras			
EU - Central America Association Agree-	Nicaragua	Association Agrooment	Provisionally applied	2013
ment	Panama	- Association Agreement	Frovisionally applied	2013
	Guatemala			



Annex 1d: Overview of the agreement of the EU Generalised System of Preferences (GSP)

Agreement	Countries	Туре	Status	Year	
	Congo				
	Cook Islands				
	India				
	Indonesia				
	Kenya				
	Micronesia				
	Nauru				
GSP	Nigeria	Unilateral arrangement	Signed / in force	2014	
	Niue				
	Samoa				
	Syria				
	Tajikistan				
	Tonga				
	Uzbekistan				
	Vietnam				
	Armenia		Signed / in force	2014	
	Bolivia				
	Cape Verde				
GSP+	Kyrgyzstan	Unilateral arrangement			
	Mongolia	ormateral arrangement			
	Pakistan				
	Philippines				
	Sri Lanka				
	Afghanistan				
	Angola				
	Bangladesh				
EBA	Benin	Unilateral arrangement	Signed / in force	2014	
	Bhutan				
	Burkina Faso				
	Burundi				



1
Cambodia
Central African Rep.
Chad
Comoros
Congo (DRC)
Djibouti
Equatorial Guinea
Eritrea
Ethiopia
Gambia
Guinea
Guinea-Bissau
Haiti
Kiribati
Lao PDR
Lesotho
Liberia
Madagascar
Malawi
Mali
Mauritania
Mozambique
Myanmar/Burma
Nepal
Niger
Rwanda
Sao Tome &
Principe
Senegal
Sierra Leone
Solomon Islands
Somalia
Jornana



İ	İ	
South Sudan		
Sudan		
Tanzania		
Timor-Leste		
Togo		
Tuvalu		
Uganda		
Vanuatu		
Yemen		
Zambia		



Annex 2: Key terms of the selected SDG's

Goals	Targets	Key terms
SDG		sustainable development
1. End poverty in all its forms every-		end poverty
where		poverty
	1.1 By 2030, eradicate extreme poverty for all people everywhere, currently	extreme poverty
	measured as people living on less than \$1.25 a day	social protection
	1.2 By 2030, reduce at least by half the proportion of men, women and	equal rights to economic resources
	children of all ages living in poverty in all its dimensions according to national	land ownership
	definitions	property ownership
	1.3 Implement nationally appropriate social protection systems and	financial services
	measures for all, including floors, and by 2030 achieve substantial coverage	microfinance
	of the poor and the vulnerable	economic shocks
	1.4 By 2030, ensure that all men and women, in particular the poor and the	pro-poor development strategies
	vulnerable, have equal rights to economic resources, as well as access to	poverty eradication
	basic services, ownership and control over land and other forms of property,	
	inheritance, natural resources, appropriate new technology and financial	
	services, including microfinance	
	1.5 By 2030, build the resilience of the poor and those in vulnerable situations	
	and reduce their exposure and vulnerability to climate-related extreme	
	events and other economic, social, and environmental shocks and disasters	
	1.a Ensure significant mobilization of resources from a variety of sources,	
	including through enhanced development cooperation, in order to provide	
	adequate and predictable means for developing countries, in particular least	
	developed countries, to implement programmes and policies to end poverty	
	in all its dimensions	
	1.b Create sound policy frameworks at the national, regional and	
	international levels, based on pro-poor and gender-sensitive development	
	strategies, to support accelerated investment in poverty eradication actions	
2. End hunger, achieve food security		hunger
and improved nutrition and promote		end hunger
sustain-able agriculture	2.1 Po 2020 and become and approximately all provides the	food security
	2.1 By 2030, end hunger and ensure access by all people, in particular the	improved nutrition nutrition
	poor and people in vulnerable situations, including infants, to safe, nutritious	sustainable agriculture
	and sufficient food all year round	access to food
	2.2 By 2030, end all forms of malnutrition, including achieving, by 2025, the	sufficient food
	internationally agreed targets on stunting and wasting in children under 5	safe food
	years of age, and address the nutritional needs of adolescent girls, pregnant	nutritious food
	and lactating women and older persons 2.3 By 2030, double the agricultural productivity and incomes of small-scale	malnutrition
	food producers, in particular women, indigenous peoples, family farmers,	agricultural productivity
	pastoralists and fishers, including through secure and equal access to land,	rural infrastructure
	other productive resources and inputs, knowledge, financial services,	agricultural research
	markets and opportunities for value addition and non-farm employment	extension services
	2.4 By 2030, ensure sustainable food production systems and implement	agricultural export subsidies
	resilient agricultural practices that increase productivity and production, that	,
	1 - coment agricultural practices that moreuse productivity and production, that	1



		T
	help maintain ecosystems, that strengthen capacity for adaptation to climate	functioning food commodity
	change, extreme weather, drought, flooding and other disasters and that	markets
	progressively improve land and soil quality	food price volatility
	2.5 By 2020, maintain the genetic diversity of seeds, cultivated plants and	
	farmed and domesticated animals and their related wild species, including	
	through soundly managed and diversified seed and plant banks at the	
	national, regional and international levels, and promote access to and fair	
	and equitable sharing of benefits arising from the utilization of genetic	
	resources and associated traditional knowledge, as internationally agreed	4
	2.a Increase investment, including through enhanced international	
	cooperation, in rural infrastructure, agricultural research and extension	
	services, technology development and plant and livestock gene banks in	
	order to enhance agricultural productive capacity in developing countries, in	
	particular least developed countries	
	2.b Correct and prevent trade restrictions and distortions in world agricultural	
	markets, including through the parallel elimination of all forms of agricultural	
	export subsidies and all export measures with equivalent effect, in	
	accordance with the mandate of the Doha Development Round	
	2.c Adopt measures to ensure the proper functioning of food commodity	1
	, ,	
	markets and their derivatives and facilitate timely access to market	
	information, including on food reserves, in order to help limit extreme food	
	price volatility	
3. Ensure healthy lives and promote		public health
well-being for all at all ages		healthy lives
	3.1 By 2030, reduce the global maternal mortality ratio to less than 70 per	wellbeing
	100,000 live births	maternal mortality
	3.2 By 2030, end preventable deaths of newborns and children under 5 years	neonatal mortality
	of age, with all countries aiming to reduce neonatal mortality to at least as	AIDS
	low as 12 per 1,000 live births and under-5 mortality to at least as low as 25	tuberculosis
	per 1,000 live births	malaria
	3.3 By 2030, end the epidemics of AIDS, tuberculosis, malaria and neglected	premature mortality
	tropical diseases and combat hepatitis, water-borne diseases and other	mental health
	communicable diseases	substance abuse
	3.4 By 2030, reduce by one third premature mortality from non-	road traffic incidents
		healthcare
	communicable diseases through prevention and treatment and promote	reproductive healthcare
	mental health and well-being	· ·
	3.5 Strengthen the prevention and treatment of substance abuse, including	health coverage
	narcotic drug abuse and harmful use of alcohol	medicines
	3.6 By 2020, halve the number of global deaths and injuries from road traffic	vaccines
	accidents	pollution
	3.7 By 2030, ensure universal access to sexual and reproductive health-care	tobacco control
	services, including for family planning, information and education, and the	diseases
	integration of reproductive health into national strategies and programmes	TRIPS agreement
	3.8 Achieve universal health coverage, including financial risk protection,	health financing
	access to quality essential health-care services and access to safe, effective,	health workers
	, ,	health workforce
	quality and affordable essential medicines and vaccines for all	1



6. Ensure availability and sustainable	3.9 By 2030, substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination 3.a Strengthen the implementation of the World Health Organization Framework Convention on Tobacco Control in all countries, as appropriate 3.b Support the research and development of vaccines and medicines for the communicable and non-communicable diseases that primarily affect developing countries, provide access to affordable essential medicines and vaccines, in accordance with the Doha Declaration on the TRIPS Agreement and Public Health, which affirms the right of developing countries to use to the full the provisions in the Agreement on Trade-Related Aspects of Intellectual Property Rights regarding flexibilities to protect public health, and, in particular, provide access to medicines for all 3.c Substantially increase health financing and the recruitment, development, training and retention of the health workforce in developing countries, especially in least developed countries and small island developing States 3.d Strengthen the capacity of all countries, in particular developing countries, for early warning, risk reduction and management of national and global health risks	health risks
management of water and sanitation		sanitation clean water
for all		
	6.1 By 2030, achieve universal and equitable access to safe and affordable drinking water for all	drinking water water quality
	6.2 By 2030, achieve access to adequate and equitable sanitation and hygiene for all and end open defecation, paying special attention to the needs of women and girls and those in vulnerable situations	water pollution wastewater fresh water water use water supply water scarcity water ecosystems rivers lakes wetlands water harvesting desalination
	6.3 By 2030, improve water quality by reducing pollution, eliminating dumping, and minimizing release of hazardous chemicals and materials, halving the proportion of untreated wastewater and substantially increasing recycling and safe reuse globally	
	6.4 By 2030, substantially increase water-use efficiency across all sectors and ensure sustainable withdrawals and supply of freshwater to address water scarcity and substantially reduce the number of people suffering from water scarcity	
	6.5 By 2030, implement integrated water resources management at all levels, including through transboundary cooperation as appropriate	
	6.6 By 2020, protect and restore water-related ecosystems, including mountains, forests, wetlands, rivers, aquifers, and lakes	
	6.a By 2030, expand international cooperation and capacity-building support to developing countries in water- and sanitation-related activities and programmes, including water harvesting, desalination, water efficiency, wastewater treatment, recycling, and reuse technologies	
	6.b Support and strengthen the participation of local communities in improving water and sanitation management	



Promote sustained, inclusive, and sustainable economic growth, full and productive employment, and decent work for all		economic growth workers labour employment
	8.1 Sustain per capita economic growth in accordance with national circumstances and, in particular, at least 7 per cent gross domestic product growth per annum in the least developed countries	decent work productivity diversification innovation value adding job creation entrepreneurship SMME's production consumption equal pay unemployment modern slavery forced labour labour rights working environment migrant workers child labour aid for trade international labour organization
	8.2 Achieve higher levels of economic productivity through diversification, technological upgrading and innovation, including through a focus on high-value added and labour-intensive sectors	
	8.3 Promote development-oriented policies that support productive activities, decent job creation, entrepreneurship, creativity and innovation, and encourage the formalization and growth of micro-, small- and medium-sized enterprises, including through access to financial services	
	8.4 Improve progressively, through 2030, global resource efficiency in consumption and production and endeavour to decouple economic growth from environmental degradation, in accordance with the 10-Year Framework of Programmes on Sustainable Consumption and Production, with developed countries taking the lead	
	8.5 By 2030, achieve full and productive employment and decent work for all women and men, including for young people and persons with disabilities, and equal pay for work of equal value	
	8.6 By 2020, substantially reduce the proportion of youth not in employment, education, or training	
	8.7 Take immediate and effective measures to eradicate forced labour, end modern slavery and human trafficking and secure the prohibition and elimination of the worst forms of child labour, including recruitment and use of child soldiers, and by 2025 end child labour in all its forms	
	8.8 Protect labour rights and promote safe and secure working environments for all workers, including migrant workers, in particular women migrants, and those in precarious employment	
	8.9 By 2030, devise and implement policies to promote sustainable tourism that creates jobs and promotes local culture and products8.10 Strengthen the capacity of domestic financial institutions to encourage	
	and expand access to banking, insurance and financial services for all 8.a Increase Aid for Trade support for developing countries, in particular least developed countries, including through the Enhanced Integrated Framework for Trade-related Technical Assistance to Least Developed Countries	
	8.b By 2020, develop and operationalize a global strategy for youth employment and implement the Global Jobs Pact of the International Labour Organization	
13. Take urgent action to combat climate		climate change
change and its impact	13.1 Strengthen resilience and adaptive capacity to climate-related hazards and natural disasters in all countries	climate natural disasters climate policies



	13.2 Integrate climate change measures into national policies, strategies and planning 13.3 Improve education, awareness-raising and human and institutional capacity on climate change mitigation, adaptation, impact reduction and early warning 13.a Implement the commitment undertaken by developed-country parties to the United Nations Framework Convention on Climate Change to a goal of mobilizing jointly \$100 billion annually by 2020 from all sources to address the needs of developing countries in the context of meaningful mitigation actions and transparency on implementation and fully operationalize the Green Climate Fund through its capitalization as soon as possible 13.b Promote mechanisms for raising capacity for effective climate change-related planning and management in least developed countries and small island developing States, including focusing on women, youth, and local and	climate policy climate change mitigation climate change adaptation
15. Protect, restore, and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss	marginalized communities.	ecosystems forests desertification land degradation biodiversity conservation
and halt biodiversity loss	15.1 By 2020, ensure the conservation, restoration and sustainable use of terrestrial and inland freshwater ecosystems and their services, in particular forests, wetlands, mountains and drylands, in line with obligations under international agreements 15.2 By 2020, promote the implementation of sustainable management of all types of forests, halt deforestation, restore degraded forests and substantially increase afforestation and reforestation globally 15.3 By 2030, combat desertification, restore degraded land and soil, including land affected by desertification, drought and floods, and strive to achieve a land degradation-neutral world 15.4 By 2030, ensure the conservation of mountain ecosystems, including their biodiversity, in order to enhance their capacity to provide benefits that are essential for sustainable development 15.5 Take urgent and significant action to reduce the degradation of natural habitats, halt the loss of biodiversity and, by 2020, protect and prevent the extinction of threatened species 15.6 Promote fair and equitable sharing of the benefits arising from the utilization of genetic resources and promote appropriate access to such resources, as internationally agreed 15.7 Take urgent action to end poaching and trafficking of protected species of flora and fauna and address both demand and supply of illegal wildlife products 15.8 By 2020, introduce measures to prevent the introduction and significantly reduce the impact of invasive alien species on land and water ecosystems and control or eradicate the priority species	conservation deforestation afforestation reforestation droughts floods extinction genetic resources poaching protected species flora fauna wildlife invasive species



15.9 By 2020, integrate ecosystem and biodiversity values into national and
local planning, development processes, poverty reduction strategies and
accounts
15.a Mobilize and significantly increase financial resources from all sources
to conserve and sustainably use biodiversity and ecosystems
15.b Mobilize significant resources from all sources and at all levels to finance
sustainable forest management and provide adequate incentives to
developing countries to advance such management, including for
conservation and reforestation
15.c Enhance global support for efforts to combat poaching and trafficking of
protected species, including by increasing the capacity of local communities
to pursue sustainable livelihood opportunities

Annex 3: Top 15 VSS most linked to the selected SDG



Figure A3-1: VSS most linked with SDG 1 – no poverty Source: Bissinger et al. (2020)

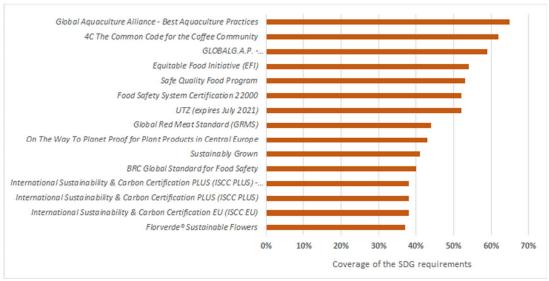


Figure A3-2: VSS most linked with SDG 2 – zero hunger Source: Bissinger et al. (2020)



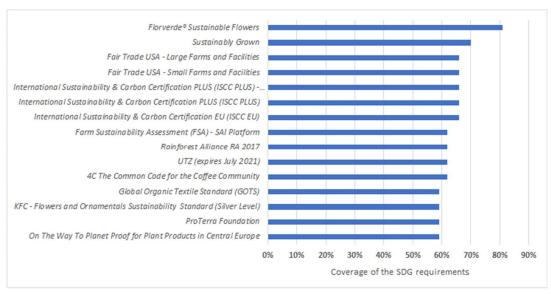


Figure A3-3: VSS most linked with SDG 3 – good health and well-being Source: Bissinger et al. (2020)

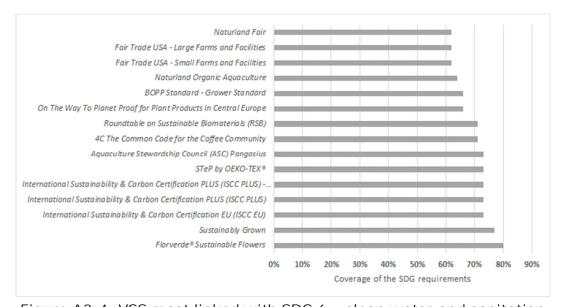


Figure A3-4: VSS most linked with SDG 6 – clean water and sanitation Source: Bissinger et al. (2020)



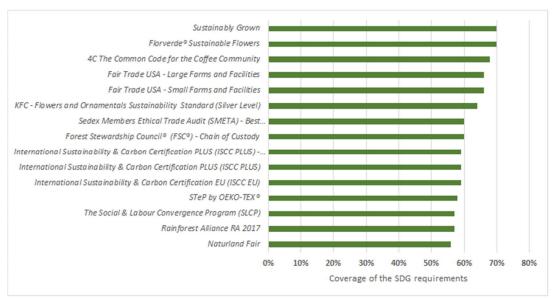


Figure A3-5: VSS most linked with SDG 8 – Decent work and economic growth Source: Bissinger et al. (2020)

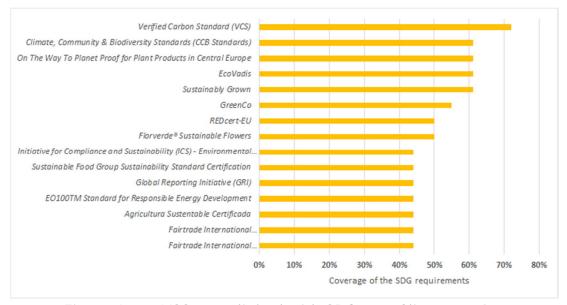


Figure A3-6: VSS most linked with SDG 13 – Climate action Source: Bissinger et al. (2020)



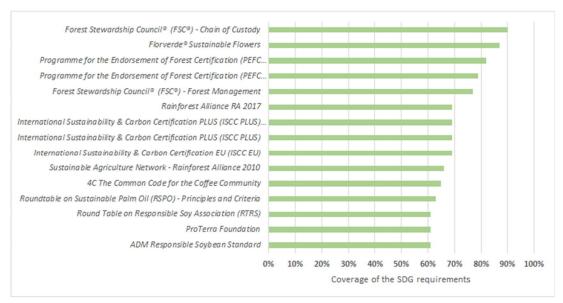


Figure A3-7: VSS most linked with SDG 15 – Life on land Source: Bissinger et al. (2020)