Detecting dairy cows' lying behavior using noisy 3D ultra-wide band positioning data

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¹⁰ 1 Abstract

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In precision livestock farming, technology-based solutions are used to monitor 11 and manage livestock and support decisions based on on-farm available data. 12 In this study, we developed a methodology to monitor the lying behavior of 13 dairy cows using noisy spatial positioning data, thereby combining time-series 14 segmentation based on statistical changepoints and a machine-learning classifi-15 cation algorithm using bagged decision trees. Position data (x, y, z-coordinates) 16 collected with an ulta-wide band positioning system from 30 dairy cows housed 17 in a freestall barn were used. After the data preprocessing and selection, statisti-18 cal changepoints were detected per cow-day (no. included = 331) in normalized 19 'distance from the center' and (z) time series. Accelerometer-based lying bout 20 data were used as a practical ground truth. For the segmentation, changepoint 21 detection was compared with getting-up or lying-down events as indicated by 22 the accelerometers. For the classification of segments into lying or non-lying 23 behavior, two data splitting techniques resulting in 2 different training and test 24 sets were implemented to train and evaluate performance: one based on the 25 data collection day and one based on cow identity. In 85.5% of the lying-down 26 or getting-up events a changepoint was detected in a window of 5 minutes. Of 27 the events where no detection had taken place, 86.2% could be associated with 28 either missing data (large gaps) or a very short lying or non-lying bout. Over-29 30 all classification and lying behavior prediction performance was above 91% in both independent test sets, with a very high consistency across cow-days. This 31 resulted in sufficient accuracy for automated quantification of lying behavior in 32 dairy cows, for example for health or welfare monitoring purposes. 33 34

Keywords: spatial data; ultra-wide band technology; dairy cow; lying behavior

37 2 Introduction

Precision livestock farming solutions typically aim at supporting monitoring 38 and decision taking by farmers using on-farm sensors measuring animal behav-39 ior, performance and production [1]. The raw data used to generate decision 40 support are often noisy time series, prone to errors and variation caused not 41 only by sensor failure or the harsh and changing farm environments in which 42 they operate, but also by the animals' specific physiology itself. The resulting 43 complexity and magnitude of the raw data render them hard to interpret as 44 such by farmers or other end-users. Consequently, these data have little value 45 without proper (pre-)processing algorithms that translate the raw measures in 46 information informative for the targeted end-users. 47

In dairy production, precision technologies are vastly deployed and imple-48 mented [2, 3]. The reason for the dairy sector being pacesetter in this area, 49 is groups of animals are typically much less homogeneous compared to other 50 livestock species and therefore management at group level is less applicable. 51 Additionally, dairy cows are highly valuable but rather vulnerable, rendering 52 individual monitoring crucial to optimize production, welfare and sustainabil-53 ity. Because of the physiological stress these animals endure during lactation, 54 timely and specific interventions obviate animal suffering and financial losses. 55 As modern dairy farms grew larger over the past decade, investments in sensor 56 technology to guide these interventions became increasingly justifiable [4]. Out 57 of the many technologies available, a system monitoring cow position and its 58 derived behavioral features not only promises to disclose cow health, but might 59 also reveal welfare and social interactions - aspects that become increasingly 60 important in the livestock production landscape. Today's commercialized po-61 sitioning systems, however, mainly serve to locate cows for e.g., treatment or 62 when they don't go milking. Monitoring specific cow behaviors offers new paths 63 both for research and commercial decision support systems that can help the 64 farmer manage their herd, optimize production and quickly act upon disease or 65 welfare problems. A continuous and essential step to better unlock the potential 66 of cow behavioral analyses is the development of new ways to process data from 67 sensor technologies that allow precise and timely interpretation and extraction 68 of actionable information [5]. As such, extra value can be created from existing 69 technology. 70

Lying behavior has been shown to change upon a changing health and wel-71 fare status **[6]**. For example, lameness will lower the number of times an animal 72 gets up or lies down and increases general lying bout duration. Similarly, udder 73 infections in which an animal becomes very sick, or metabolic problems affect-74 ing rumination time, will alter the lying behavior [7]. Accurate detection and 75 monitoring over time of lying thus has potential to reveal health and welfare 76 status, contribute to new precision phenotypes, and evaluate e.g., housing situ-77 ations or management practices in an accurate and non-invasive manner. One 78 technology to do so is via 3-dimensional spatial data, such as provided via mod-79 ern ultra-wide band (\mathbf{uwb}) positioning systems currently being developed and 80 commercialized. 81

Ultra-wide band technology allows the transmission of high amounts of data
over small distances with very low energy. In an indoor positioning system,
Radio-Frequency identification signals are transmitted across a wide bandwidth
and captured by an antenna. The tags worn by the individual cows allow precise

and frequent localization of the animals with low power usage, even in cluttered 86 87 indoor environments [8]. Upon development of appropriate data interpretation algorithms, indoor positioning systems allow studying and monitoring cow 88 behavior, including general activity, resting, feeding, drinking and social in-89 teractions with a single sensor system, giving it a relative advantage over e.g. 90 commercially available accelerometer systems. Similarly, video-based systems 91 have the challenge of cow-identification, sufficient spatial covering, and high 92 computation power requirements. Despite its continuous development and high 93 potential for animal monitoring, uwb-based positioning is yet sparingly adopted 94 for livestock applications. As for many new sensor technologies, the main reason 95 for this is the lack of algorithms that translate raw data into information valu-96 able to the farmer [9]. In case of indoor positioning systems, data interpretation 97 is complicated by the inaccuracy and noise in the time series, missing data, and 98 its (unpredictable) heteroscedasticity [10, 11]. The latter partly results from 99 differences in behavior, but previous research also highlighted dependency on 100 the position of the animal in the barn with regard to the antenna and inter-101 actions of the signal with metal (e.g. the feeding rack) and water bodies (e.g., 102 other cows). These aspects hinder straightforward interpretation of the posi-103 tioning data and its derivatives (e.g., distance traveled), also preventing wider 104 adoption. Nonetheless, as dedicated processing of these data would tremen-105 dously increase data interpretation potential, for example for the classification 106 of behavior, several studies on this topic have been published in the past few 107 years [12, 13, 14, 15]. 108

There is a high need for new methods that elegantly integrate and interpretat 109 on-farm collected longitudinal data on which decision support can be based. Ad-110 ditionally, automated, continuous and non-invasive detection of lying behavior 111 for health and welfare monitoring based on spatial data has not been described 112 in the past. In this study, a two-step methodology to identify lying behavior 113 of dairy cows using a uwb-based indoor positioning system was developed and 114 validated against the lying bouts returned by a commercial accelerometer-based 115 system. The methodology relies on segmentation via the detection of simultane-116 ous changepoints in two position-derived time series, considering both the (x,y)117 and in the (z)-direction, while avoiding fixed thresholds or severe assumptions 118 on the statistical properties of the data. The individual segments are in a second 119 step classified as 'lying' or 'non-lying' based on a set of statistical properties. 120

¹²¹ 3 Materials & methods

122 3.1 Data collection

Data were collected at the Dairy Campus research facilities of Wageningen Uni-123 versity and Research in Leeuwarden, the Netherlands, during two periods of five 124 days in two successive weeks in 2019 (July 3 to 8 and July 10 to 15, both peri-125 ods with normal weather conditions with temperatures between 10 and 20°C). 126 Two groups of cows, one housed in a freestall barn with a straw deep litter 127 bedding and one in a freestall with synthetic flooring, were equipped with uwb-128 positioning tags on the upside of a neck collar (Ubisense, Cambridge, UK and 129 Noldus, Wageningen, the Netherlands) and accelerometers attached to right 130 hind leg (IceQube[®] pedometers, IceRobotics, Edinburgh, United Kingdom). It 131

is important to note that the Ubisense technology relies on different methods 132 to determine (x,y)-position compared to (x)-position. The first is calculated 133 based on time difference of arrival, whereas the latter is derived from the axis 134 of arrival, which makes the (z) more dependent on e.g., orientation of the tags. 135 Each group consisted of 16 cows selected based on production level, age and 136 lactation stage such that the characteristics were comparable across each group. 137 The cows were milked twice daily in a rotary parlor and fed *ad libitum* with 138 a partial mixed ration complemented with concentrates individually rationed 139 based on production level. 140

¹⁴¹ 3.2 Lying behavior

As continuous visual observation of the animals' behavior is too laborious over 142 a longer period of time, the lying bouts returned by the IceQube accelerometers 143 were used as the benchmark 'ground truth' for lying behavior. Despite this is a 144 sensor-based measure and not visual observation which would be the true gold 145 standard, it allows to include multiple cows simultaneously, with minimal labor 146 and for a longer period of time, and it has been shown to have sufficient accuracy 147 to detect the actual lying behavior, with r > 0.99 [13]. For each cow, the 148 timestamp of each lying down or getting up event was retrieved from the IceQube 149 software. These data were visually assessed to verify time synchronization and 150 cow identity across the different sensor systems. Only data for which in that 151 time period both uwb and IceQube data were available were retained. More 152 specifically, for each cow, data were kept from the first available IceQube lying 153 bout onward until the end of the last lying bout registered, such that the analysis 154 155 was carried out on the data for which accelerometers were certainly attached to the animals. This prevented that a lack of lying bout registrations was not 156 caused by cows not wearing a sensor. Two out of the 32 cows were excluded 157 from the study because no ground truth lying bouts were registered due to a 158 technical problem with the IceQube sensors. 159

¹⁶⁰ 3.3 Ultra-wide band data editing

Raw binary data were extracted from daily Tracklab back-up files (.tlp) (Noldus, 161 Wageningen, the Netherlands) and converted with Python 3.7 into (x,y,z)-162 position time series containing one measurement per second per cow. All further 163 data processing was done using Matlab 2018b and 2020b (The MathWorks Inc., 164 165 Natick, Massachusetts, USA). The (x,y,z)-position was expressed relative to a pre-specified origin (x, y, z) = (0, 0, 0). In the barns at Dairy Campus, the (x)- co-166 ordinate gives the position in the direction of the feeding racks (range 0 to 23m 167 in the first barn and 23 to 46m in the second barn), whereas the (y)-coordinate 168 represents the position perpendicular to the feeding alley (range 0 to 14m). A 169 plan of the barn is shown in **figure 1**. The (z)-position can be considered the 170 height of the tag on the neck collar. When the y was larger than 11.5m, the 171 animals were in the slatted flooring (feeding) area, in which it was considered 172 they did not lie down (as formally confirmed by the IceQube data). To interpret 173 the raw position time series and derive cow behavior from them, multiple data 174 editing steps were implemented to deal with noise and missing data (missing 175 176 data = on average 43% per day, small gaps and absent data due to milking included). First, outliers indicating a position outside the barn edges were re-177

placed with the edge value when it were single measurements likely caused by 178 normal measurement inaccuracy. When multiple successive measurements were 179 registered out of the barn edges, they probably resulted from a lost tag that 180 was put aside by the animal caretakers (in our dataset, this happened during 11 181 cow-days). These measurements were replaced by missing values. Second, based 182 on a data exploration step (not further detailed in this paper), a methodology to 183 manage missing data was developed and implemented. How we dealt with the 184 missing data depended on (1) the gap size and (2) the amount of non-missing 185 data in predefined window preceding the gap. Missing data always occurred 186 at cow-measurement level, i.e., if data were unavailable, both the (x,y)- and 187 (z)-position lacked. For gaps smaller than 60 seconds, we assumed that the 188 cow's behavior would remain constant, or the error made when this assumption 189 was untrue would be negligible. In this case, the missing data were imputed by 190 sampling them from a normal distribution with mean and standard deviation 191 calculated from the data preceding the gap in a window of twice the gap size 192 in each dimension. For gaps between 60 and 180 seconds, making assumptions 193 on the consistency of the behavior was more tricky but these gaps could still be 194 due to failure of the sensor system or interference with the barn environment. 195 For these gaps, we used a simple linear interpolation with added noise based on 196 the average standard deviation of the data. Missing data in gaps longer than 197 180 seconds were left without data, as these often resulted from the animals not 198 being in the barn e.g. during milking. Assumptions on these longer lasting gaps 199 could not be made and were not of interest for this study, as in these cases cows 200 are not expected to lie down. A third data editing step consisted in smooth-201 ing the (x)-, (y)- and (z)-data with a moving median filter in a window of 45 202 seconds. In order to make sensible assumptions for the settings of the change-203 point analysis, data of each cow-day were analyzed separately (i.e., a separate 204 segmentation was implemented per cow-day time series). 205

²⁰⁶ 3.4 Changepoint analysis for segmentation

Changepoints are time instants or samples in which the statistical properties 207 (i.e. statistical distribution) of a (time) series abruptly change. In this study, 208 we detected and combined the individual changepoints per cow per day in two 209 time series of (x, y, z)-coordinate positioning data. Intuitively, one could argue 21 0 to mainly rely on the position in the vertical (z) direction (height), as a cow 211 that lies down is expected to remain in a lower and more stable position com-212 pared to when she is not lying down. However, the (z)-position was found 21 3 (unpublished data exploration step) to be the most unreliable and noisy (range, 214 variability,...) of all three coordinates. Its inaccuracy was variable in time and 215 space, and depended on e.g., the position in the barn, the behavior and speed 21.6 of the animals, the collar attachment, the calibration settings and individual 217 interactions between tags. Similarly, relying on detection of a relatively stable 218 position in the (x,y)-direction (which is unmistakably true during lying bouts) 219 is imprecise and insufficient for lying behavior detection as well, as cow activity 220 varies over the day, and oftentimes animals stand still for a longer period of 221 time apart from their lying bouts, for example when grooming other animals, 222 feeding, drinking or ruminating. These periods of 'standing' inactivity might 223 additionally depend on accessibility lying places, hierarchy, climate of the barn, 224 etc. In this study, we chose to work on a combination of two position-derived 225

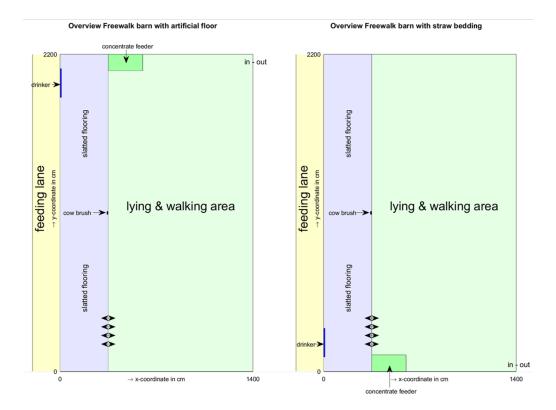


Figure 1: Plans of the barns in which the data were recorded

time series. The first is the (z)-coordinate (height) of the animals, as this is 226 the most straightforward one. The second time series is the 'center distance' 227 (CD), i.e. the position relative to the center of the barn. The main advantages 228 of using CD and not the raw (x,y)-position is that it summarizes position and 229 movement of the animals in a single signal, is less dependent on the actual di-230 rection of movement, and has a lower variability and range. Should a cow move 231 in a perfect circle around the center of the barn, however, CD remains constant 232 (as is the case when a cow stands still or lies down). We assumed that this 233 would be extremely rare, and when it would happen for a short period of time, 234 this would not impair the analysis because movement as such causes the signal 235 to be more variable, which also changes the statistical properties of the time 236 series. Before the segmentation, the CD and (z) time series were normalized 237 with a min-max standardization per cow over the entire dataset as follows: 238

$$x_{i,norm} = \left[\frac{x_i - min(x)}{max(x) - min(x)}\right]$$

with x_i the z or CD values at time *i*.

The changepoint analysis relies on a parametric method that partitions both time series simultaneously in K segments based on the minimization of the following cost function J(K):

$$J(K) = \sum_{r=0}^{K-1} \sum_{i=k_r}^{k_{r+1}-1} \Delta(x_i; \chi([x_{k_r} \cdots x_{k_{r+1}-1}]))$$

243 with

$$\sum_{i=k_r}^{k_{r+1}-1} \Delta(x_i; \chi([x_{k_r}\cdots x_{k_{r+1}-1}])) = ((k_{r+1}-1)-k_r+1)*log(var([x_{k_{r+1}-1}\cdots x_{k_r}]))$$

 and

$$var([x_{k_{r+1}-1}\cdots x_{k_r}]) = \frac{1}{(k_{r+1}-1)-k_r+1} \sum_{i=k_{r+1}-1}^{k_r} (x_i - mean([x_{k_{r+1}-1}\cdots x_{k_r}]))$$

in which K is the number of changepoints, dividing the time series in K+1244 segments, β is the penalty function, here restrained such that at most 60 change-245 points are found per cow-day, because otherwise the number of changepoints 246 would equal the number of data points as this minimizes the total cost. As 247 adding changepoints in general lowers the cost function, it is normal that the 248 number of changepoints found is equal to the maximum set beforehand. Because 249 the variability in the data was high and thereby unpredictable, a mathematical 250 penalty function for restricting the number of changepoints detected could not 251 be found. x_{k_r} is the $r^t h z$ or CD value in segment k. Besides in 'number', 252 also a restriction was set to the minimum distance between two changepoints: 253 they needed to be at least 300 measurements apart (i.e. the lying or non-lying 254 duration was at least 5 minutes). Other data-based algorithms (i.e., using vari-255 ability and expected minimal cost reduction) have been explored, but because 256 of the heteroscedastic nature of the data, could not be used for this study. The 257 changepoint search algorithm used is based on a pruned exact linear time algo-258 rithm using dynamic programming, as proposed by Killick et al. [16], having 259 the advantage that it is mathematically exact and has a linear computational 260 cost with the number of data points. 261

262 3.5 Data split

To evaluate the performance of the classification algorithm, its performance was 263 evaluated using two different data splits, one based on time and one based on 264 cow identity. For both, we chose to use a smaller portion of the data for training 265 than for testing (approximately 33-66%), unlike what is usual in machine learn-266 ing practices. However, we preferred this data split as (1) the method described 267 here is very robust, so a minimal amount of training data sufficed to achieve 268 accurate predictions and adding more data did not improve the accuracy, and 269 (2) this situation mimics an on-farm situation where little training data is avail-270 able. The first data split (alike the more classical machine learning approach) 271 uses data from 10 randomly chosen cows (33%) for the model training, and 20 272 animals (66%) as the independent test set. The second approach corresponds 273 to a situation on farm in which current and historical data are used for training 274 and the algorithm needs to perform well in a future situation. Here, data of the 275 3 first days of the dataset were assigned to training set, after which classification 276

performance was evaluated on the remaining 9 days of data. One cow's data
only started at day 4, and was therefore not included in this training set as the
animal would not have been present in the training period.

²⁸⁰ 3.6 Segment classification

To move from segments to lying behavior, we classified each segment as 'lying' or 281 'non-lying' based on its (statistical) properties, including the level and variability 282 for the normalized data, a categorical variable to indicate whether the cow was 283 in the slatted flooring area, the length of the segment, the number of outliers, the 284 gapsize, and the segment range. An overview of these features is given in table 285 The classification was done using a 'bagged' (i.e., bootstrap-aggregated) 4. 286 tree algorithm which consistently performed best on our data independently of 287 input data and split. As opposed to individual decision trees (which tend to 288 over fit), bagged trees combine (i.e., use an ensemble) the results of many trees, 289 improving generalization. The algorithm uses a random subset of predictors 290 at each decision split (similar to random forest classification) and minimizes 291 the classification error at each split. The model was trained with 5-fold cross-292 validation to determine the optimal hyper parameters for the number of learning 293 cycles (i.e., 30) and trees. For the bootstrapping, each time one segment was 294 sampled with replacement to grow a new tree. As in some cases a 'true' change 295 happened within a segment, a threshold of 50% was applied to calculate the 296 binary outcome variable: if more the 50% of the segment's data corresponded 297 to a lying bout, it's ground truth was taken as 'lying' and vice versa. The 298 features were selected such that there was no multicollinearity across them. 299

300 3.7 Performance evaluation

Two aspects of the methodology are important to achieve a good performance: 301 (1) the segmentation accuracy, i.e. are the true changes from lying to non-302 lying and vice versa accurately detected; and (2) the classification performance 303 in terms of accuracy per segment and corresponding total lying duration per 304 cow-day. For the first, we calculated how many of the true changes have a 305 changepoint associated with them within a window of 5 minutes. Given the 306 length of the lying bouts, this is considered as an acceptable margin for detec-307 tion. When no detected changepoint was associated with the true change, we 308 assessed potential causes, including e.g., missing data. The second was assessed 309 using the confusion matrix comparing true and false classifications and the to-31 0 tal accuracy, for the entire dataset as well as at cow and at cow-day level. We 311 additionally compared the total lying down duration per cow-day in a similar 31 2 way. 31 3

314 4 Results

315 4.1 Data overview

A total of 30 cows, with each having between 4 and 12 days of data available were included in the study. These cows had parities between 1 and 7, and were on average 188 (range 119 to 243) days in lactation. An overview of the cow characteristics is given in **table 1**.

Table 1:	Overview	of cow	characteristics
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Name	average	\mathbf{std}	min	max
Parity	2.77	1.50	1.00	7.00
Lactation stage	188.16	43.49	119.00	243
Daily milk yield	26.95	6.01	12.68	41
${\operatorname{Fat}}\%$	4.72	0.45	4.01	5.44
$\mathbf{Protein}\%$	3.38	0.23	2.94	4.06
Lactose%	4.49	0.11	4.23	4.68
$\mathbf{SCC*1000c/mL}$	200.08	212.05	24.75	1035

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Over the measurement period, in total 2720 lying bouts were detected with 321 the IceQube sensors. From these, 97 bouts were shorter than 10 minutes. Per 322 cow, an average number of 90.6 ± 24.4 lying bouts per cow were included, with 323 an average duration of 85.3 ± 19.8 minutes per bout across cows. Cows had on 324 average 8.2 ± 1.8 lying bouts per day (range: 4.5 to 11.3) and spent 8.23 hours 325 lying down in total. The within-bout level and standard deviation of the z time 326 series, and the standard deviation of the CD across lying and non-lying bouts 327 are given in table 2. From this, it is clear that statistical properties of the 328 chosen time series differ across lying and non-lying behavior, which is the basis 329 of our analysis. 330

Table 2: Statistical properties of the time-series data across lying and non-lying bouts

		lyin	g		1	ing		
	average	\mathbf{std}	min	max	average	\mathbf{std}	min	max
average z	0.71	0.10	0.49	0.89	1.21	0.09	1.06	1.34
std z	0.25	0.05	0.14	0.33	0.32	0.03	0.27	0.40
average znorm	0.28	0.04	0.20	0.36	0.48	0.04	0.42	0.53
std znorm	0.10	0.02	0.06	0.13	0.13	0.01	0.11	0.16
std CD	0.45	0.10	0.29	0.73	1.68	0.23	1.23	2.18
std CDnorm	0.04	0.01	0.02	0.06	0.13	0.02	0.10	0.17

331 4.2 Changepoint detection

Of all 5443 ground truth changes in the dataset, 85.5% had a changepoint 332 detected within 5 minutes. Per cow-day, this corresponds to 2.3 changes not 333 identified accurately with the changepoint analysis. From these unidentified 334 changes, 50.3% were linked to changes at a moment that there were more than 335 15 minutes of missing values in the surrounding hour, and 62.2% of these 50.3%336 were in a segment with at least 20% missing data. Additionally, 23.9% of these 337 false negatives were within less than 20 minutes from another ground truth 338 change, and thus associated with a very short segment length (table 3). At 339 cow level, the performance remained more or less constant, with 14.2% of the 34 0 changes not detected within 5 minutes of the ground truth and up to 93%341 associated with missing data. It is expected that part of the changes not being 342

correctly identified with the changepoint analysis is also due to the ground truth
not being perfect but this can, with the current dataset, not be verified.

 Table 3: Overview of correctly and incorrectly detected changepoints corresponding to lying down or getting up

	No.	%
Ground truth changes	5443	100
Detected changepoints within 5 minutes of ground truth	4654	85.5
Not detected changepoints within 5 minutes of ground truth	789	14.5
with $>15'$ missing values in surrounding hour	397	50.3
with previous/next changepoint within 20'	189	23.9

4.3 Classification performance for cow identity-based data split

The first split was based on cow identity, and the training dataset consisted of 347 7024 segments (35%) from 10 animals, from which 3206 segments represented 348 non-lying behavior (45.64%). The independent test set contained 13002 seg-34 9 ments. The cross-validation accuracy on the training dataset was 91.7%, and 350 the overall prediction accuracy of the test set was 92.8%. The confusion matrix 351 is shown in figure 2. In total, the test set contains 5625 non-lying segments, 352 from which 5162 were correctly classified, rendering a non-lying classification 353 accuracy of 91.8%. From the 7377 lying segments in the test set, 6901 were 354 correctly classified, corresponding to a classification accuracy of 93.5% for the 355 lying behavior. In terms of lying duration, the total predicted non-lying time 356 was 2480h, being 115h different from the ground truth non-lying time of 2595h 357 (percent deviation = 4.4%). The total lying time was estimated as 2327h, which 358 is 141h less than the actual lying time of 2468h in the test set (difference 5.7%). 359 Per cow-day, the average classification accuracy at the segment level was 360 92.8% with a minimum accuracy of 78.7% and a maximum accuracy of 100%361 (figure 3, left panel). This corresponded to an average error of 7.1% in the 362 estimation of lying duration at cow-day level (figure 3, right panel). 363

³⁶⁴ 4.4 Classification performance for time-based data split

In the second split based on time, 5138 segments were included in the training 365 dataset of day 0.1 and 2, from 29 cows. The confusion matrix is shown in **figure** 366 4. In the training set, 2229 (i.e. 43.4%) segments represented 'non-lying' behav-367 ior. The test set contained 14888 segments from 30 cows. The cross-validation 368 accuracy on the training set was 92.3%. In the test set, 6102 out of 6602 seg-369 ments were correctly classified as non-lying (accuracy 92.4%), whereas 7634 out 370 of 8286 segments were correctly classified as lying (accuracy 92.1%). The total 371 predicted non-lying duration over the entire dataset was 2853h, whereas the 372 ground truth was a non-lying duration of 2980h, giving a difference of 127h 373 (4.27% over the entire test set). The predicted and ground truth lying duration 374 in the test set were 2612h and 2830h respectively, corresponding to a deviation 375 of 217h or 7.7%. 376

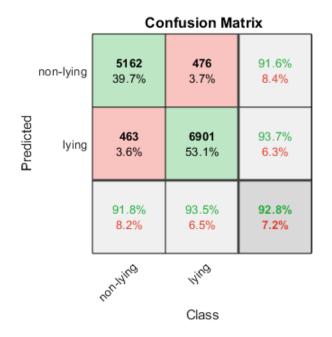


Figure 2: Confusion matrix for the split based on cow identity

Per cow-day, the average classification accuracy at the segment level was 92.3% with a minimum accuracy of 78.3% and a maximum accuracy of 100% (figure 5, left panel). This corresponded to an average error of 7.8% in the estimation of lying duration at cow-day level (figure 5, right panel).

³⁸¹ 5 Discussion

In this study, a methodology was developed to distinguish lying from non-lying 382 behavior of dairy cows based on spatial uwb (x, y, z)-positioning data in a freestall 383 barn, combining a segmentation and classification step. A high segmentation 384 performance overall was reached, with many of the true changes indeed result-385 ing in an alteration of statistical properties and corresponding changepoint in 386 the selected time series. Previous (unpublished) results showed that a com-387 bination of time series, and finding simultaneous changepoints was necessary 388 to achieve good results, which supports the general idea that more data inte-389 gration is needed to achieve good performance in on farm situations in which 390 data are often noisy and prone to many kinds of errors. This was confirmed 391 by the fact that mainly data-quality issues related to missing data and atypi-392 cal lying behavior (i.e. short lying and non-lying bouts) prevented reaching a 393 higher performance in the segmentation step. The overall and at cow-day level 394 classification performance was high, with accuracies above 91% independent of 395 data split, demonstrating that our methodology is robust and has high practical 396 value. We evaluated the performance of the methodology based on a data split 397 that contained most data in the independent test set and not in the training set 398

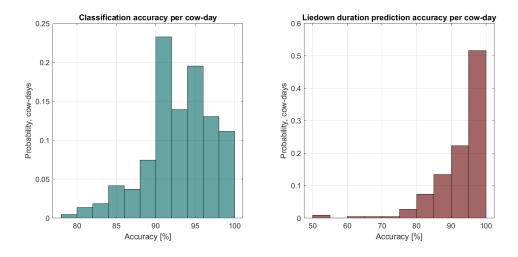


Figure 3: Prediction accuracy at cow-day level for the split based on cow identity

to mimic practical on-farm situation. Robustness of the algorithm is demonstrated by the fact that both the cow identity-based split and the time-based
split performed equally well. Future research can investigate the performance
of the model when using different position-measuring technologies or in other
farm settings and over a longer period of time.

By cross-comparing sensor-based predictions instead of using visual observation, 404 we could validate the methodology with quite an extensive dataset in contrast to 405 what is usual when visual observations are used (e.g., [17]). For example Kok et 406 al. [18] used a similar approach for validation of the IceQube accelerometers for 407 lying behavior, comparing the prediction results of two sensors attached to the 408 same cow. Working with spatial data has proven challenging, and e.g., attempts 409 to implement data-based penalty functions for restricting the number of change-410 points, failed. This is mainly due to the enormous heteroscedasticity in these 411 data, which depends on multiple factors such as the cow, the time of the day, the 412 behavior, factors interfering with the sensor system, etc., for which we cannot 413 account mathematically. Applying purely black-box approaches generally re-414 sults in insufficient robustness, interpretability and generalisability [19, 20, 21]. 415 Therefore, introducing expert knowledge in animal monitoring algorithms, for 416 example for the data-preprocessing steps, remains essential to make them useful 417 for the end-users. In the current study, expert knowledge was used to pre-process 418 and impute the data, to decide how to combine the spatial data into time series 419 of interest for lying behavior and set the number and distance of changepoints. 420 Other algorithms have been developed to automatically detect lying behavior in 421 dairy cows, for example using machine vision solutions [12]. The latter study 422 reported a high sensitivity of 92% as well, but this was not based on lying du-423 ration, but on whether there were or weren't animals lying in a cubicle in a 424 specific frame, ignoring the longitudinal importance of the data and restricting 425 its current applicability on farm. Additionally, our algorithm was developed in 426 a freestall barn without cubicles. In cubicle barns, position of the cows in the 427 lying places could be considered as a variable as well, which allows tailoring the 428

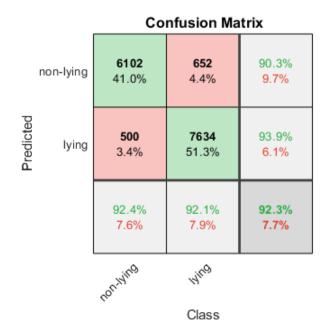


Figure 4: Confusion matrix for the split based on time

⁴²⁹ algorithm to different barn circumstances.

In this study, we demonstrated how correct processing of aspecific positioning 430 data (i.e., the system is not designed as such for lying behavior only) allows 431 to use one system for multiple purposes, maximizing the value of a single in-432 vestment. In a practical setting, the developed methodology shows sufficient 433 performance for monitoring lying behavior of dairy cows over time. For exam-434 ple, the algorithm could be used to create time-series data of lying behavior 435 (duration, bout length), which can be assessed with additional interpretation 436 tools such as individual control charts [22, 23]. Combining these at group or 437 at herd level, for example into time budgets allocated to certain behaviors of 438 interest, can also indicate cow health and welfare dynamics of the animals [6] 439 and allows automated monitoring with little manual labor. We believe that our 440 methodology can be generalized to other sensor data sources as well. 441

442 6 Conclusions

In this study, we developed a methodology to predict certain aspects of the 443 lying behavior of dairy cows from spatial data with the use of time-series seg-444 mentation and a subsequent classification algorithm. The methodology relies on 445 differences in statistical properties across the behavior of interest. The overall 446 performance, both when considering a cow-based and a time-based data split 447 to train and evaluate the methodology, was above 92%. Missing data pose the 448 main challenge to reach even higher accuracies, but this doesn't necessarily im-449 pair the interpretation of the current results and usability of the method in a 450

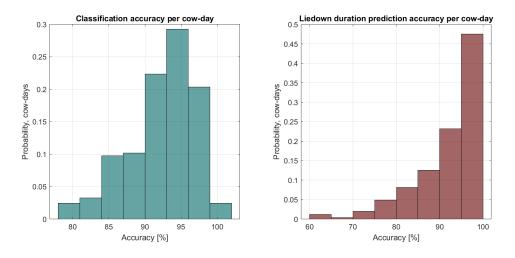


Figure 5: Prediction accuracy at cow-day level for the split based on time

451 practical setting. Generalization of the segmentation-classification method to
452 other behaviors and other sensors was identified as a potential route to improve
453 on-farm data interpretation for decision support.

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8 Appendices

feature name	categorical	description
inslatted	-	cow is >85% of the time in the slatted flooring area
$\operatorname{seglength}$	0	length of the segment (in time)
maxgapsize	0	maximum gap size of the data in the segment
gappercent	0	percentage of the segment in time without data
nextseggap	0	gapsize of the next segment
avgdifoutIZ	0	difference between the normalised Z level of the current and the previous segment, excluding outliers
avgdifoutICD	0	difference between the normalised CD level of the current and the previous segment, excluding outliers
rangeZ	0	range of the normalised Z values of the segment
rangeCD	0	range of the normalised CD values of the segment
difquant range Z	0	difference between the interquantile $(5-95\%)$ range and the full range of the normalised Z data
difquantrangeCD	0	difference between the interquantile (5-95%) range and the full range of the normalised CD data
avgoutIZ	0	average (i.e., level) of the normalised Z data without outliers
avgoutICD	0	average (i.e., level) of the normalised CD data without outliers $(i.e., level)$
stdoutIZ	0	standard deviation of normalised Z data without outliers
stdoutICD	0	standard deviation of normalised CD data without outliers
outlpercentZ	0	percentage of outliers in the normalised Z data of the segment
outlpercentCD	0	percentage of outliers in the normalised CD data of the segment

 Table 4: Statistical and non-statistical features calculated from the segments