|  | Data | Key findings | Keywords |
| :---: | :---: | :---: | :---: |
| MBIE ShinyApp report |  |  |  |
| R\&D Expenditure | Total R\&D Expenditure by Sector (2008-2020) <br> https://infoshare.stats.govt.n z/ <br> Under 'Industry sectors' subject category and 'Research and Development survey - RAD' group. Then 'Total research and development expenditure by industry (Annual-Jun)'. | - Total expenditure increased by $90 \%$ between 2010 and 2020 <br> - Greatest increase in business sector <br> - Business sector $=60 \%$ of total expenditure in 2020; while higher education $=24 \%$ and government $=17 \%$ | - Funding |
|  | Total Expenditure on R\&D as a percentage of GDP for NZ and other small advanced economies (20092019) <br> https://infoshare.stats.govt.n z/ <br> Under 'Industry sectors' subject category and 'Research and Development survey - RAD' group. Then 'Total research and development expenditure by industry (Annual-Jun)'. <br> https://stats.oecd.org/Index. aspx?DataSetCode=MSTI <br> PUB GERD as percentage of GDP | - NZ's total R\&D expenditure as a proportion of GDP increased from $1.25 \%$ in 2009 to $1.41 \%$ in 2019 <br> - NZ's expenditure is much lower than many of these other small advanced economies (except for Ireland), which range from 1.23-4.93\% of GDP in 2019 | - Funding |




|  | parity (PPP) <br> Numerator: GBARD, Government Budget Appropriations in R\&D in constant prices USD and purchasing power parity (PPP). |  |  |
| :---: | :---: | :---: | :---: |
|  | Public funding of R\&D by mechanism (2016-2020 with 2021/2022 estimates) <br> https://www.treasury.govt.nz /publications/data/budget-2020-data-estimates-appropriations-2020-21 <br> https://www.treasury.govt.nz /publications/data/budget-2021-data-estimates-appropriations-2021-22 | - Largest increases in funding have been directed towards supporting industry research, including investment through the Strategic Science Investment Fund <br> - Research and Development Tax Incentive was introduced in April 2019 was not included in this data | - Funding |
| Business expenditure on $R \& D$ | Funding sources for business R\&D expenditure (2008-2020) <br> https://infoshare.stats.govt.n Z/ <br> Under 'Industry sectors' subject category and 'Research and Development survey - RAD' group. Then 'Source of funds to the business sector (AnnualJun)' | - Total business expenditure on R\&D had a 2.8-fold increase over 2010-2020 (from $\$ 971$ to $\$ 2,709$ million) <br> - In 2020, 73\% of this increased amount ( $\$ 1,941$ million) was contributed by businesses' own funds <br> - Government funding increased 3.6-fold from \$82 to \$295 million for 2010-2020 <br> - Overseas funding grew 4.2-fold from $\$ 81$ to $\$ 344$ million for 2010-2020 | - Funding |


|  | Proportion of business sector R\&D undertaken by business size <br> https://www.stats.govt.nz/inf ormation-releases/research-and-development-survey2020 | - In 2020, large businesses (100 or more employees) contributed more than half of the business sector's R\&D expenditure, but only accounted for $14 \%$ of the number of businesses carrying out R\&D | - Funding |
| :---: | :---: | :---: | :---: |
|  | BERD by purpose of research <br> https://www.stats.govt.nz/inf ormation-releases/research-and-development-survey2020 | - In 2020, 25\% (\$649 million) of BERD was intended to benefit the manufacturing industry <br> - $1 / 5$ of BERD (\$555 million) went to information and communication services <br> - $3 \%, 1.7 \%$ and $0.2 \%$ went to energy, environment and cultural understanding, respectively <br> - In 2020, computer services industry spent $\$ 924$ million on R\&D ( $>1 / 3$ of total BERD) <br> - Over the last 10 years, computer services industry has quadrupled their R\&D expenditure, while the total BERD almost tripled <br> - The number of FTEs working on R\&D in the computer services industry has more than tripled from 2010 (6300 in 2020) | - Funding <br> - Priorities |
| Government expenditure on R\&D | Funding sources for government R\&D expenditure <br> https://infoshare.stats.govt.n <br> ZI <br> Under 'Industry sectors' subject category and 'Research and Development survey - RAD' group. Then 'Source of funds to the government sector (AnnualJun)' | - Total government expenditure on R\&D (GovERD) increased by $23.3 \%$ between 2010 and 2020, primarily through greater public funding <br> - Funding from business increased by 1.2-fold during this time <br> - Most government R\&D is carried out by Crown research institutes, which also receive contracts or grants from private and overseas funders <br> - In 2020, R\&D funding from government was $52 \%$ of the total amount of government expenditure on R\&D, private sector funding was $26 \%$, and overseas funding was $6 \%$ <br> - Drop from 2019 to 2020 in expenditure from $\$ 828$ to $\$ 758$ million due to drop in funding from NZ government (\$533 to \$394 million) | - Funding |


|  | Government expenditure on R\&D as a percentage of GDP compared with other small advanced economies <br> https://infoshare.stats.govt.n z/ <br> Under 'Industry sectors' subject category and 'Research and Development survey - RAD' group. Then 'Source of funds to the government sector (AnnualJun)' <br> https://stats.oecd.org/Index. aspx?DataSetCode=MSTI PUB 'GOVERD as a percentage of GDP' | - Government R\&D expenditure as a percentage of GDP in NZ was relatively high compared with other small advanced economies <br> - From 2009 to 2019 NZ government expenditure decreased from $0.32 \%$ to $0.24 \%$ of GDP <br> - Funding for CRIs makes up a large part of government expenditure on R\&D | - Funding |
| :---: | :---: | :---: | :---: |
| Higher Education R\&D Expenditure | Funding sources for higher education R\&D expenditure (2008-2020) <br> https://infoshare.stats.govt.n ZI <br> Under 'Industry sectors' subject category and 'Research and Development survey - RAD' group. Then 'Source of funds to the higher education sector (Annual-Jun)' | - Total expenditure for higher education on R\&D (HERD) increased by ~35\% for 2010-2020 from $\$ 802$ to $\$ 1082$ million <br> - $45 \%$ of funding over this period came from government <br> - Since 2010, almost half of the total R\&D funding for HE came from universities and other tertiary institutions | - Funding <br> - Infrastructure |
|  | Higher education expenditure on R\&D as a percentage of GDP <br> http://stats.oecd.org/Index.a | - NZ's HERD fell from $0.41 \%$ of GDP in 2009 to $0.34 \%$ in 2019 <br> - NZ's HERD is lower than other small advanced economies (except Ireland from 2015) | - Funding |


|  | spx? DataSetCode=MSTI P UB <br> variable is HERD as a percentage of GDP <br> https://infoshare.stats.govt.n Z/ <br> Under 'Industry sectors' subject category and 'Research and Development survey - RAD' group. Then 'Source of funds to the higher education sector (Annual-Jun)' |  |  |
| :---: | :---: | :---: | :---: |
|  | R\&D expenditure by NZ universities <br> Source: Education Counts https://www.educationcounts .govt.nz/ data/assets/excel doc/0012/16302/Research-financing-final.xIsx | - Although university R\&D expenditure increased in the universities from $\$ 877$ million in 2015 to $\$ 960$ million in 2017, as a percentage of GDP, this expenditure decreased slightly from $0.35 \%$ in 2015 to $0.34 \%$ in 2017 <br> - Universities had much higher proportion of expenditure on Basic research than the overall research sector in NZ <br> - In 2017, $56 \%$ was on basic research compared with $25 \%$ for the overall sector <br> - The proportion of university research expenditure on basic research has increased from $48 \%$ in 2009 <br> - The proportion of expenditure on applied research has decreased from $16 \%$ (2009) to $8 \%$ (2017) <br> - Expenditure on health research continues to represent the largest proportion of university R\&D expenditure <br> - In 2017, 24\% of expenditure was in this area followed by Cultural understanding (10\%) | - Funding |
| Research productivity | Publications per million dollars spent compared with other small advanced economies <br> https://app.dimensions.ai/dis cover/publication | - NZ researchers consistently produce a high number of publications per dollar spent when compared to most small advanced economies (except Ireland in 2016-2017) <br> - Increased by $13 \%$ from 2011 to 2019 | - Funding |


|  | https://stats.oecd.org/Index. aspx?DataSetCode=MSTI PUB <br> This variable is GERD minus BERD for current PPP |  |  |
| :---: | :---: | :---: | :---: |
| Trends in Research Fields | Change in number of publications by research field in 2010 and 2020 <br> https://app.dimensions.ai/dis cover/publication | - $\quad$ NZ produced more publications across all research fields in 2020 compared to 2010 <br> - Greatest proportional increases seen in: <br> - Built environment and design (3.4-fold) <br> - Studies in creative arts and writing (2.6-fold) <br> - Law and legal studies (2.4-fold) <br> - Education (2.3-fold) <br> - Engineering (2.3-fold) <br> - In 2020, three fields accounted for $51 \%$ of all publications <br> - Medical and health sciences (30\%, 6804 publications) <br> - Biological sciences (12\%, 2621 publications) <br> - Engineering (9\%, 2053 publications) | - Priorities |
|  | Change in relative number of publications by research field in 2010 and 2020 <br> https://app.dimensions.ai/dis cover/publication | - The relative number of publications is calculated using the revealed comparative advantage, which is the proportion of NZ publications in one field divided by the proportion of publications in the same field globally <br> - In 2020, NZ had a higher proportion of publications in some research fields relative to the rest of the world: <br> - Earth sciences <br> - Environmental sciences <br> - Commerce, management, tourism services <br> - Agricultural and veterinary sciences <br> - Biological sciences <br> - Studies in creative arts and writing <br> - Education <br> - In 2020, fields with fewer publications by NZ-affiliated authors than rest of the world: Mathematical sciences <br> - Physical sciences <br> - Technology | - Priorities |


|  |  | - History and archaeology <br> - Chemical sciences <br> - Research fields with the greatest increases in publications by NZ-affiliated authors <br> - Studies in creative arts and writing <br> - Built environment and design <br> - Studies in human society <br> - Psychology and cognitive sciences <br> - Research fields with the greatest decreases in publications by NZ-affiliated authors Economics <br> - Environmental sciences <br> - Earth sciences |  |
| :---: | :---: | :---: | :---: |
|  | R\&D expenditure by type of research (2008-2020) <br> https://infoshare.stats.govt.n z/ <br> Under 'Industry sectors' subject category and 'Research and Development survey - RAD' group. Then 'Type of research and development expenditure by industry (Annual-Jun)' | - Includes three types of research activity: basic research, applied research and experimental development <br> - Expenditure increased for all 3 types between 2010 and 2020 <br> - 2.0-fold increase in experimental development <br> - 1.9-fold increase in applied research <br> - 1.5 -fold increase in basic research <br> - In 2020, ~39\% of R\&D expenditure was spent on experimental development, $\sim 39 \%$ on applied research and $23 \%$ on basic research <br> - May be explained by the increase in business R\&D expenditure, which is much less likely to include basic research than in government or higher education sectors | - Funding <br> - Priorities |
| Size and profile of the RSI workforce | Size of the R\&D workforce by sector and occupation (2010-2020) <br> https://infoshare.stats.govt.n z/ <br> Under 'Industry sectors' subject category and 'Research and Development survey - RAD' group. Then | - Support and technical staff comprise ~43-46\% of personnel involved in R\&D in business and government sectors and $13 \%$ in the higher education sector <br> - R\&D workforce increased by 47\% between 2010 and 2020 Business sector workforce had the greatest increase, with numbers more than doubling <br> - Higher education workforce had a $15 \%$ increase <br> - Government workforce had a 0.6\% increase | - Workforce |


|  | 'Personnel involved in research and development by industry and occupation (Annual-Jun)' <br> https://www.stats.govt.nz/inf ormation-releases/research-and-development-survey$\underline{2020}$ |  |  |
| :---: | :---: | :---: | :---: |
|  | R\&D workforce as a proportion of the total workforce compared with other small advanced economies <br> https://stats.oecd.org/Index. aspx?DataSetCode=MSTI PUB <br> Th variables are total researchers per thousand total employment and total R\&D personnel per thousand total employment | - R\&D workforce has increased as a proportion of NZ's total employed workforce since 2011 <br> - R\&D workforce made up 15 per 1000 NZers employed in 2019, lower than other small advanced economies (except Singapore) <br> - The researcher workforce (excludes support staff and technicians) comprised 10.8 per 1000 NZers employed in 2019, which is lower than Denmark, Finland and Ireland but higher than OECD-Totals and Switzerland | - Workforce |
| Diversity in the RSI workforce | Tertiary sector researchers by gender and field <br> https://www.tec.govt.nz/fundi ng/funding-and-performance/funding/fund-finder/performance-based-research-fund/previous-quality-evaluation-rounds/pbrf-2018-quality-evaluation/pbrf-2018-quality-evaluation-results/researcher- | - In 2018, female researchers were under-represented in disciplines related to STEM, making up less than $25 \%$ of researchers in fields such as engineering and technology, physics and computer science <br> - In 2018, male researchers made up less than $30 \%$ of researchers in nursing, Pacific research and education | - Workforce |


|  | demographics/\#!/ |  |  |
| :---: | :---: | :---: | :---: |
|  | Tertiary sector researchers by ethnicity and field <br> https://www.tec.govt.nz/fundi ng/funding-and-performance/funding/fund-finder/performance-based-research-fund/previous-quality-evaluation-rounds/pbrf-2018-quality-evaluation/pbrf-2018-quality-evaluation-results/researcherdemographics/\#!/ | - The Māori population of NZ is $16.5 \%$ but in $35 / 43$ fields of research, less than $5 \%$ of researchers are Māori <br> - Pacific Peoples comprise $8.1 \%$ of the NZ population but in all fields (except for Pacific research) make up less than $5 \%$ of the total researchers <br> - Engineering technology and molecular, cellular and whole organism biology have the lowest proportion of Pacific researchers | - Workforce <br> - Te Tiriti, Mātauranga Māori and supporting Māori aspiration |
| Growing and nurturing STEM skills | Proportion of graduates attaining degrees in STEM subjects <br> Custom data requests from MoE and raw data held by MBIE <br> https://www.educationcounts .govt.nz/statistics/achieveme nt-and-attainment | - The proportion of bachelor and postgraduate students gaining qualifications in STEM subjects increased from 2009 to 2019 for domestic and international students <br> - Although international students were more likely than domestic to complete degrees in STEM <br> - There is no sustained upward trend in the number of domestic STEM doctorates since 2009 (43.7\% in 2009 to $38.3 \%$ in 2019) <br> - Trends suggest the science and research pipeline is increasingly reliant on international students and immigrants joining the RSI workforce | - Workforce |
| Ensuring a diverse pipeline of workers | Doctorates completed by student gender and international status <br> Custom data requests from MoE and raw data held by MBIE | - For NZers, in 2019, 55\% of domestic doctoral graduates are female, while $54 \%$ of international doctoral graduates are male <br> - Domestic students are more likely to work in NZ after completing their degrees than international students | - Workforce |


|  | https://www.educationcounts .govt.nz/statistics/achieveme nt-and-attainment |  |  |
| :---: | :---: | :---: | :---: |
|  | Doctorates completed by student ethnicity and international status <br> Custom data requests from MoE and raw data held by MBIE <br> https://www.educationcounts .govt.nz/statistics/achieveme nt-and-attainment | - The proportion of Māori, Pacific Peoples and Asian students is low among domestic PhD graduates <br> - Māori and Pacific Peoples are also under-represented in the total number of PhD graduates compared to the ethnic mix of the NZ population <br> - Between 2009 and 2019, Māori comprised $\sim 7 \%$ of domestic doctoral graduates and Pacific Peoples ~3\% <br> - There were minimal increases in the number of doctoral completions by Māori and Pacific Peoples in the past decade <br> - Five-fold increase in the number of international Asian students | - Workforce |
|  | Doctorates completed by student international status and field of study <br> Custom data requests from MoE and raw data held by MBIE <br> https://www.educationcounts .govt.nz/statistics/achieveme nt-and-attainment | - In general, domestic students are under-represented in STEM subjects, this under-representation has increased in the past decade <br> - For both domestic and international students, more than $70 \%$ of doctoral completions are in 4 research fields: engineering and related technologies, health, natural and physical sciences, and society and culture <br> - In 2019, 36\% of engineering and related technology PhD students were domestic students compared to $42 \%$ in natural and physical sciences, $61 \%$ in health, and $60 \%$ in society and culture <br> - International students have increased from 70 in 2009 to 225 in 2019 in natural and physical sciences (and 35 to 140 in engineering and related technologies), while domestic don't show any clear increasing/decreasing trends in any field | - Workforce |
| Attracting and retaining skilled workers from overseas | Migration and immigration of STEM professionals <br> https://infoshare.stats.govt.n z/ <br> Under 'Tourism' subject category and 'International | - Between 2010 and 2018, there was a net increase in STEM professionals migrating to NZ <br> - There is also an increasing trend of STEM professionals immigrating out of NZ between 2013 and 2018 | - workforce |


|  | Travel and Migration - ITM' group. Then 'Permanent \& long-term migration by ctry of residence, occupation (ANZSCO minor) (AnnualSep)'. |  |  |
| :---: | :---: | :---: | :---: |
|  | Migration and immigration of science professionals <br> https://infoshare.stats.govt.n Z/ <br> Under 'Tourism' subject category and 'International Travel and Migration - ITM' group. Then 'Permanent \& long-term migration by ctry of residence, occupation (ANZSCO minor) (AnnualSep)'. | - Net increase in science professionals between 2010 and 2018 <br> - Between 2010 and 2018, net increase in arrivals <br> - Increasing trend of departures | - workforce |
| Connectivity within the Research Community | Proportion of publications with more than one author https://app.dimensions.ai/dis cover/publication | - Average coauthorship rates for NZ-affiliated publications increased by 3.7\% between 2010 and 2020 (87.8\%) <br> - Rates are broadly similar across small advanced economies, ranging from 87-91\% in 2020 <br> - Collaboration on authorship is $>85 \%$ in science and engineering but lowest in fields such as history and archaeology, studies in creative arts and writing, language, communication and culture and philosophy and religious studies <br> - Trend towards increased coauthorship in all fields of research | - Institutions |
|  | Effect of external coauthorship on publication impact by field, 2019 <br> https://app.dimensions.ai/dis cover/publication | - In all fields of research, the field citation ratios (FCRs) from publications with external coauthorship were substantially higher than those without coauthors <br> - On average, a 2.7-fold increase in FCR was seen in publications involving external coauthorship - ranging from 1.5-fold increase in agricultural and | - Institutions |


|  |  | veterinary sciences to a 5.7 -fold increase in studies in creative arts and writing <br> - The fields of research where FCR was most influenced by external coauthorship for 2019 were: <br> - Studies in creative arts and writing (2.4 vs 0.4 ) <br> - Law and legal studies (2.6 vs 0.5 ) <br> - History and archaeology (2.1 vs 0.4 ) <br> - Philosophy and religious studies (3.4 vs 0.8 ) |  |
| :---: | :---: | :---: | :---: |
| Growing international research collaborators | Proportion of publication with international coauthorship <br> https://app.dimensions.ai/dis cover/publication | - Publications with international coauthorship are cited more frequently <br> - The proportion of publications with international collaboration is increasing across all small advanced economies and Australia <br> - Nearly $56 \%$ of publications in NZ have international coauthors in 2020, up from $39 \%$ in 2010 <br> - In 2020, international collaboration rates were highest in: Earth sciences Physical sciences Biological sciences Technology <br> - Chemical sciences <br> - Environmental sciences <br> - Mathematical sciences <br> - The rates were much lower for fields in: <br> - Studies in creative arts and writing <br> - Philosophy and religious studies <br> - Law and legal studies <br> - Language, communication and culture | - Institutions |
|  | Effect of international collaboration on publication impact by field <br> https://app.dimensions.ai/dis cover/publication | - Across all fields of research, the citation performance of publications with international coauthorship substantially exceeded the citation performance of publications without - average 2.6-fold increase; ranging from 7.3-fold increase in studies in creative arts and writing to a 1.5 -fold increase in agricultural and veterinary sciences <br> - In 2019, fields with the largest difference were <br> - Studies in creative arts and writing (3.5 vs 0.5 ) | - institutions |


|  |  | Physical sciences (3.7 vs 1.3 ) <br> Law and legal studies (3.1 vs 0.7) <br> Education (4.1 vs 1.9) |  |
| :---: | :---: | :---: | :---: |
| Growing research connectivity with business | Proportion of businesses cooperating with research organisations for innovation, by business size <br> https://infoshare.stats.govt.n z/ under 'Businesses' subject category and 'Business Operations Survey - BUO' group. Then 'Cooperative arrangements for innovation by partner by Business Size (Annual-Aug)' | - Reduction in the level of business cooperation with universities, CRIs and other research organisations in 2013 <br> - Corresponds to the timing of agency changes including the disestablishment of Industrial Research Limited and the establishment of Callaghan Innovation as the main government agency supporting business innovation <br> - Levels of business cooperation had generally not recovered from 2009 levels by 2019 | - Institutions |
| Increasing international capital investment in NZ R\&D | Proportion of business expenditure on R\&D financed from overseas <br> https://stats.oecd.org/Index. aspx?DataSetCode=MSTI PUB <br> this variable is the percentage of BERD financed by the rest of the world | - Between 2009 and 2019, the proportion of funding from international sources for business R\&D was above the OECD-Totals and similar to most small advanced economies (apart from Israel and Ireland) <br> - The proportion of funding from international sources for business R\&D in NZ increased from 8.5 to 12.7\% during this period | - Institutions <br> - Funding |
|  | Volume and quality of NZ research, by field <br> https://app.dimensions.ai/dis cover/publication | - The relative quality of NZ publications (mean FCR) declined between 2010 and 2019 but remains twice the world average <br> - In 2019, physical sciences, commerce, management, tourism and services, and education were the fields with the highest FCRs <br> - The specialisation of NZ research was consistent in 2010 and 2020 <br> - Earth sciences and environmental sciences were the fields with the highest relative volume in both 2010 and 2020 as | - Priorities |


|  | measured by the revealed comparative advantage, RCA |  |
| :---: | :---: | :---: |
| Education Counts Data https://www.educationcounts.govt.nz/statistics/research |  |  |
| Doctoral degree enrolments <br> https://www.educationcounts .govt.nz/ data/assets/excel doc/0013/16303/Research-performance-final.xlsx <br> https://www.educationcounts .govt.nz/statistics/achieveme nt-and-attainment | - The number of students enrolled in doctoral degrees increased by $1.8 \%$ in 2019, to reach 10,395 <br> - $17 \%$ higher than in 2014 <br> - 40\% higher than in 2009 <br> - In 2019, the number of international doctoral degree students increased by $3.1 \%$ compared with an increase of $0.8 \%$ for domestic students <br> - In 2006, government policy changed so that international PhD students were charged domestic fees, which has contributed to a significant increase in enrolments at the doctoral degree level by international students <br> - In 2019, international students made up 49\% of all doctoral degree enrolments compared with $14 \%$ in 2005 <br> - In 2019, 54\% of doctoral enrolments were by women, compared with $51 \%$ in 2009 and $46 \%$ in 1999 <br> - The number of Māori and Pacific Peoples enrolled in doctoral degrees has continued to rise in 2019 <br> In 2019, 12\% of domestic doctoral degree enrolments were by Māori, compared with $7.8 \%$ in 2009, and $6.1 \%$ in 1999 <br> - The number of university doctoral degree students per academic FTE staff member was 1.74 in 2019 (1.28 in 2009), with the increase mainly a result of higher enrolments by international students. | - Workforce |
| Doctoral degree completions <br> https://www.educationcounts .govt.nz/ data/assets/excel doc/0013/16303/Research-performance-final.xlsx <br> https://www.educationcounts .govt.nz/statistics/achieveme | - The number of students completing doctoral degrees increased by $2.8 \%$ in 2019 to reach 1480. <br> - The number of domestic doctoral completions decreased by $0.7 \%$ in 2019 , while the number of international completions increased by 6.9\% <br> - $52 \%$ of completions were by international students <br> - Between 2018 and 2019, the increase in doctoral degree completions was $3.4 \%$ for women and $2.1 \%$ for men - $51 \%$ of completions were by women | - Workforce |


|  | nt-and-attainment | - In 2019, the number of Māori completing doctoral degrees was 50 from 70 in 2018 and for Pacific Peoples was 15 from 25 in 2018. |  |
| :---: | :---: | :---: | :---: |
| Research quality and quantity | PBRF Quality Evaluation results <br> https://www.educationcounts .govt.nz/ data/assets/excel doc/0013/16303/Research-performance-final.xlsx | - The peer reviewed quality of research at NZ universities has been increasing over time <br> - The number of FTE staff awarded an 'A' quality category in the 2018 PBRF Quality Evaluation was 1159, compared with 831 in 2012 and 597 in 2006 | $\bullet$ |
|  | Bibliometric performance of NZ universities <br> https://www.educationcounts .govt.nz/ data/assets/excel doc/0013/16303/Research-performance-final.xlsx | - Rates of citation of research from NZ universities have generally been rising over time compared with the world average <br> - The category normalised citation impact (CNCI) measure was 1.30 in the 2013-17 five-year period compared with 1.08 in the 2001-05 five-year period <br> - Australian universities were higher with a CNCl of 1.41 in the 2013-2017 five-year period <br> - Rates of collaboration have been increasing over time <br> - The percentage of articles and reviews produced by NZ universities that had interinstitutional collaboration has increased from 54\% in 2001-05 to 67\% in 2013-17 | - Institutions |
| Research Financing | Vote Tertiary Education funding for research and research-led teaching <br> https://www.educationcounts .govt.nz/ data/assets/excel doc/0012/16302/Research-financing-final.xlsx | - Includes money distributed vis PBRF, Centres of Research Excellence fund and Wānanga Capability Fund <br> - Total funding for research and research-led teaching was \$366 million in 2019 (same in 2018) <br> - $86 \%$ by the PBRF ( $\$ 315$ million) <br> - Vote Tertiary Education research funding is $32 \%$ higher than in 2009 due to increases in the size of the PBRF and Centres for Research Excellence fund over time <br> - In 2019, 96.7\% of PBRF funding was allocated to the universities, 2.7\% to Institutes of Technology and Polytechnics (ITPs), $0.2 \%$ to wānanga and $0.4 \%$ to private training establishments (PTEs) | - Funding <br> - Te Tiriti, Mātauranga Māori and supporting Māori aspiration |


| University external research contract income <br> Sources: PBRF external research income measure (annual) and the Research and Development Survey (bi-annual) | - The value of PBRF external research income (ERI) earned by universities reached $\$ 582$ million in 2018 <br> - ERI per FTE researcher increased by $9.5 \%$ in 2018 and was $32 \%$ higher than in 2013 (adjusting for number of academic/research staff and inflation) <br> - Government was the largest source of funding for universities <br> - In 2017, 78\% of funding was sourced from Government <br> - In 2017, 49\% of contract funding came from Government research purchase agencies (Ministry of Business Innovation and Employment, Health Research Council and the Royal Society) | - Funding |
| :---: | :---: | :---: |
| University Annual Reports |  |  |
| University of Auckland (2020) <br> https://www.auckland.ac.nz/ <br> en/about-us/about-the-university/the-university/official-publications/annualreport.html | FTE Staff $=5985$ total <br> - 2449 Academic, 313 UniServices, 3223 Professional <br> - $14 \%$ are professors ( 231 male; 104 female) <br> - $14 \%$ are associate professors (205 male; 130 female; 1 diverse) <br> - $23 \%$ are senior lecturers (283 male; 285 female) <br> - $7 \%$ lecturers ( 82 male; 79 female) <br> - $15 \%$ senior research fellows/research fellows (182 male; 192 female; 1 diverse) <br> - $14 \%$ professional teaching fellows (142 male; 211 female) <br> - $13 \%$ other teaching and research roles (134 male; 187 female; 2 diverse) <br> - Academic salaries $\$ 320,455,000(\$ 308,186,000)$ <br> Equivalent Full-Time Students (EFTS) $=34,388$ total <br> - $73 \%(25,008)$ undergraduate; $16 \%(5621)$ taught postgraduate; 9\% (2956) research postgraduate; 2\% (803) non-formal degree <br> - Number of EFTS have increased from $2011(\sim 32,100)$ to $2020(34,388)$ but composition of undergrad/taught postgrad/research postgrad have stayed relatively the same <br> - Ethnicity of students <br> 14,308 European; 3073 Māori; 3714 Pacific Island; 19,611 Asian; 1607 MELAA; 687 Other | - Workforce <br> - Funding |


|  | - Gender of students <br> - 18,065 male; 24,700 female; 175 diverse <br> - International students = 8199 total <br> - Percentage of participate at postgraduate level <br> - 5.1\% Māori; 5.2\% Pasifika; 90.2\% non-Māori, nonPacific <br> Course completions <br> - Qualification 472 Doctoral (462 in 2019; 394 in 2018) 1840 Masters <br> - 1297 Bachelor Honours <br> - 1804 Postgraduate Certificate/Diploma <br> - 4845 Bachelors <br> - 501 Undergraduate Certificate/Diploma <br> - Māori 86.4\%; Pasifika 79.8\%; 92.6\% non-Māori, non-Pacific Research <br> - Research output cost $\$ 384,706,000$ (2019: $\$ 359,935,000 ;$ 2018: \$339,105,000) <br> - Consolidated research and contracts revenue \$269,059,000 (2019: \$269,236,000) <br> - external research income $\$ 227,791,000$ (\$223,323,000 in 2019) <br> - other research and contract income \$41,268,000 (\$45,913,,000 in 2019) <br> - University research and contracts revenue $\$ 214,837,000$ (2019: \$220,264,000) <br> - external research income $\$ 214,228,000$ (\$219,938,000 in 2019) <br> - other research and contract income \$609,000 ( $\$ 326,000$ in 2019) |  |
| :---: | :---: | :---: |
| University of Canterbury (2020) <br> https://www.canterbury.ac.n z/about/governance/annualreports/ | $\text { EFTS }=15,378$ <br> - 12,224 undergraduate <br> - 3154 postgraduate <br> - 13,678 Domestic ( 11,053 in 2015); 1700 International (878 in 2015) <br> - Percentage of SAC (Student Achievement Component) students in 2020: <br> - $9.3 \%$ Māori at degree-level | - Workforce <br> - Funding |


|  |  | 3\% Pasifika at degree-level <br> 9.4\% Māori at postgrad level <br> 2.5\% Pasifika at postgrad level <br> - 382 research degrees completed in 2020 (394 in 2018) <br> - First year retention rate at degree-level in 2020: <br> non-Māori, non-Pasifika 71.1\% <br> Māori 70.5\% <br> Pasifika $60.5 \%$ <br> - Successful course completion rate: <br> - non-Māori, non-Pasifika 87.9\% <br> - Māori $81.9 \%$ <br> - Pasifika 72.6\% <br> 3644 Graduates: <br> - 153 PhDs <br> - 850 Masters <br> - 384 Postgrad/Honours <br> - 2257 Bachelors <br> - Number of undergrad EFTS increased from 9329 in 2015 to 12,224 in 2020, while postgrads have increased from 2602 (2015) to 3154 (2020) <br> - $90.6 \%$ of graduates are in employment or further study (92.7\% in 2018) <br> Revenue <br> - \$404m earned <br> - $\$ 42 \mathrm{~m}$ external research revenue earned <br> 3373 staff <br> - In 2020, 867 FTE Academic staff (cf. 708 in 2015) <br> - EFTS:Staff ratio in 2020 is 17.7 <br> - Staff turnover in 2020: <br> - Academic 3.1\% (2.2\% in 2018) <br> - General staff $10.6 \%$ ( $13.1 \%$ in 2018) <br> - Diversity: <br> - $5.2 \%$ of all staff are Māori <br> - $1.6 \%$ of all staff are Pasifika <br> - $49.1 \%$ of all staff are female <br> - Academic salaries in 2020: $\$ 101,110,000$ <br> - General salaries in 2020: $\$ 90,685,000$ <br> University Financial Operating Data <br> - Government grant $\$ 148$ million in 2020 ( $\$ 131$ million in 2015) |  |
| :---: | :---: | :---: | :---: |


|  | - SAC funding, PBRF and other <br> - Tuition fees $\$ 129$ million in 2020 ( $\$ 83$ million in 2015) <br> - Research funding (incl. PBRF and External Research Funding) $\$ 70$ million in 2020 ( $\$ 55$ million in 2015) <br> - Capital expenditure $\$ 76$ million in 2020 ( $\$ 117$ million in 2015) <br> - Proportion of publication outputs coauthored with international academics is $68.5 \%$ in 2020 ( $64.5 \%$ in 2018) |  |
| :---: | :---: | :---: |
| University of Otago (2020) <br> https://www.otago.ac.nz/abo ut/official-documents/ | - Research <br> - $\$ 17.5 \mathrm{~m}$ from Marsden fund <br> - $\$ 27.4 \mathrm{~m}$ MBIE Endeavour Fund grants <br> - 2 Centres of Research Excellence (CoREs; received a combined $\$ 69 \mathrm{~m}$ over 7 -year period) <br> - Revenue <br> - \$257,988,000 in government grants <br> - $\$ 167,498,000$ in tuition fees <br> - \$145,795,000 in externally-funded research <br> - \$62,299,000 in PBRF <br> - $\$ 7,703,000$ in trust donations <br> - \$20,712,000 in finance revenue <br> - 18,722 EFTS <br> - Ethnicity <br> - $11 \%$ (2288) Māori students (12.8\% of domestic); $9.2 \%$ of domestic postgraduate EFTS <br> - $5.6 \%$ (1150) Pasifika students (5.9\% of domestic); $3.6 \%$ of domestic postgraduate EFTS <br> - $72.9 \%$ European/Pākehā <br> - $20 \%$ Asian <br> - 3.6\% Middle Eastern/Latin American/African <br> - $2.8 \%$ other/unknown <br> - Gender: <br> 12501 female; 8187 male; 33 gender diverse <br> - $18.8 \%$ are postgraduate ( 1368 PhD in 2020; 1325 in 2016) <br> 1183 degrees completed (969 in 2016) <br> - EFTS enrolments by subject: | - Workforce <br> - Funding <br> - Institutions |


|  |  | - Commerce 2768 <br> - 775 graduates <br> - Health Sciences 6409 <br> - 1835 graduates <br> - Humanities 4799 <br> - 1289 graduates <br> - Sciences 4532 <br> - 1579 graduates <br> - International <br> - 1256 undergraduate (1810 in 2016); 1099 postgraduate (913 in 2016) <br> - External engagement <br> - 20.2\% academic staff serving on government advisory boards and committees <br> - $71.8 \%$ of academic staff providing services to public sector departments, statutory authorities, agencies, boards, committees or inquiries, or to nongovernmental organisations <br> - 70.6\% of academic staff holding posts in an organisation or association relevant to their area of professional expertise <br> - Expenditure <br> - University Operating Expenditure: <br> - $50.8 \%$ to research; $49.2 \%$ to teaching <br> - Salaries (academic) \$228,924,000 (\$219,873,000 in 2019) <br> - Salaries (professional) \$173,275,000 (\$167,596,000, in 2019) <br> - FTE teaching and research staff <br> - Female:Male (814:817) <br> - 3:5 Vice-Chancellor/senior academic managers <br> - 64:170 professors <br> - 89:123 readers/associate professors <br> - 155:164 senior lecturers <br> - 99:57 lecturers <br> - 187:111 Other teaching and teaching/research staff <br> - 218:185 research-only staff |  |
| :---: | :---: | :---: | :---: |


|  | - FTE professional staff <br> - Female:Male (1635:881) <br> - 9:17 senior managers <br> - 1238:676 professional services, student and community staff <br> - 83:22 librarians and library assistants <br> - 305:166 research support staff and technicians <br> - 5559 total publications |  |
| :---: | :---: | :---: |
| Victoria University of Wellington (2020) <br> https://www.wgtn.ac.nz/abou t/governance/university-publications/annual-report | - Research <br> - 27 Marsden Fund grants ( $>\$ 16 \mathrm{~m}$ ) and $>\$ 26 \mathrm{~m}$ MBIE to the Robinson Research Institute <br> - Total external research income of $\$ 86.8 \mathrm{~m}$ <br> - $42 \% ~(\$ 209.3 \mathrm{~m})$ of expenditure on research; $51 \%$ on teaching and learning <br> - 4883 qualifications awarded <br> 2708 Bachelor's; 190 Honours; 1107 Master's; 168 PhDs and other doctorates; 264 Postgraduate certificates and diplomas; 304 Graduate certificates and diplomas; 142 Undergraduate certificates and diplomas <br> - 2336 FTE Staff and 1150 teaching and research staff <br> - $27.8 \%$ of professors are female <br> - $5.9 \%$ of academic staff are Māori 1.9\% of academic staff are Pasifika <br> - Students (17,767 EFTS) 12,974 female; 9336 male; 128 diverse Research postgraduate/total EFTS $=6.1$ Commencing Māori student EFTS $=561$ Commencing Pasifika student EFTS $=310$ Diversity of total SAV eligible EFTS enrolled <br> - at level 7 degree <br> - $82.2 \%$ are non-Māori, non-Pasifika <br> - $12.4 \%$ are Māori <br> - $6.4 \%$ are Pasifika <br> - At level 8-10 <br> - $88.3 \%$ are non-Māori, non-Pasifika <br> - $8 \%$ are Māori | - Workforce <br> - Funding |


|  | - $4.2 \%$ are Pasifika <br> - 1817 international student EFTS <br> - 552 research degrees completed <br> - Expenditure <br> - Salaries $\$ 249,984,000$ |  |
| :---: | :---: | :---: |
| Massey University (2020) <br> https://www.massey.ac.nz/m assey/about-massey/plans-reports/plans-andreports home.cfm | - Research <br> - Revenue $\$ 115,914,000$; expenditure $\$ 178,299,000$ <br> - External research income $\$ 77.5 \mathrm{~m}$ <br> - PBRF external research income $\$ 73 \mathrm{~m}$ <br> - Active international research partnerships: 205 <br> - Research degree completions: 399 (504 in 2016) <br> - Māori research degree completions: 29 (40 in 2016) <br> - Postgraduate successful course completion: $87.6 \%$ <br> - Students (30,653 total; 4803 international; 18,568 EFTS; <br> 2885 international EFTS) <br> - Degree-level (L07) <br> - $79.5 \%$ non-Māori, non-Pacific <br> - $15 \%$ Māori <br> - $6.6 \%$ Pasifika <br> - Postgrad level (L08-10) <br> - $86.2 \%$ non-Māori, non-Pacific <br> - $10.4 \%$ Māori <br> - $3.9 \%$ Pasifika <br> - First-Year Retention <br> - $75 \%$ non-Māori, non-Pacific <br> - $62.8 \%$ Māori <br> - $61.6 \%$ Pasifika <br> - Successful course completion all study levels <br> - $85.8 \%$ non-Māori, non-Pacific <br> - $74.2 \%$ Māori, <br> - $65.4 \%$ Pasifika <br> - Student progression to employment <br> - total $82.3 \%$ ( $88.3 \%$ in 2019) <br> - Māori $83.3 \%(87.5 \%$ in 2019) <br> - Pasifika $88.4 \%$ ( $79.8 \%$ in 2019) <br> - Gender <br> - 2645 female:1007 male:1 diverse Māori students | - Workforce <br> - Funding |


|  | - 1180 female:492 male Pasifika students <br> - Staff <br> - Total FTE academic staff: 1362 Total FTE professional staff: 1876 Females in senior positions <br> - $55 \%$ (6) senior leadership team <br> - $44 \%$ (140) senior managers/directors/managers <br> - $35 \%$ (109) professors/associate professors <br> - Staff-related costs: salaries and wages: <br> \$296,684,000 |  |
| :---: | :---: | :---: |
| University of Waikato (2020) <br> https://www.waikato.ac.nz/a nnual-report/ | - Students (8262 EFTS domestic; 2186 EFTS international) <br> - 4252 qualifications completed ( $13 \%$ increase) 1028 graduate/postgraduate <br> - 339 subdegree EFTS; 8254 degree EFTS; 1285 taught postgrad EFTS; 594 research postgrad EFTS <br> - EFTS by organisational unit <br> - Division of arts, law, psychology and social sciences 2820 <br> - Division of education 1624 <br> - Division of health, engineering, computing <br> and science 2580 <br> - Division of management 2590 <br> - PVC teaching and learning 53 <br> - Te pua wānanga ki te ao 466 <br> - Pathways college 339 <br> - Ethnicity <br> - 5129 Pākehā/European <br> - 2527 Māori ( $19.3 \%$ ) <br> - 721 Pasifika <br> - 2106 Chinese <br> - 616 Indian <br> - 1977 Other <br> - Gender <br> - 7675 Female <br> - 5388 Male <br> - 13 Diverse <br> - Completion rates | - Workforce <br> - Funding |


|  | ■ 100-level: $72 \%$ Māori; $65.3 \%$ Pasifika <br> ■ 200-level: $80 \%$ Māori; $73.0 \%$ Pasifika <br> ■ 300-level: $85.2 \%$ Māori; $81.1 \%$ Pasifika <br> - $\quad$ Staff (1499) <br> - 649 total academic FTE (16.1 per EFTS); 841 Other staff <br> - 96 professors; 77 associate professors; 195 senior lecturers; 122 lecturers; 157 other <br> - Total staff FTE by organisational unit <br> - Division of arts, law, psychology and social sciences 202 <br> - Division of education 163 <br> - Division of health, engineering, computing and science 342 <br> - Division of management 147 <br> - Deputy vice-chancellor Māori/te pua wānanga ki te ao 33 <br> - Other 612 <br> - Revenue \$69.6m from research and development Annual research revenue $\$ 36.147 \mathrm{~m}$ <br> - Academic FTE (research) 422 <br> - Research revenue per academic FTE (research) \$85,656 <br> - Government funding and grants $\$ 113,150,000$ <br> - SAC grant $\$ 78,030,000$ <br> - Fees free funding $\$ 9,673,000$ <br> - PBRF $\$ 15,722,000$ <br> - Advisory services grants $\$ 7,794,000$ <br> - Other $\$ 1,881,000$ <br> - Expenditure <br> - $\$ 46,336,000$ on research; total expenditure \$272,162,000 |  |
| :---: | :---: | :---: |
| Lincoln University (2020) <br> https://www.lincoln.ac.nz/ass ets/Publications/LIN3506- | - Students (3273) <br> - 60\% Domestic (1952); 40\% International (1321) $52 \%$ female (1703); 48\% male (1565); 5 diverse <br> - Level 8-10 level of total SAC eligible EFTS non-Māori \& non-Pasifika 95.8\% | - Funding <br> - Workforce <br> - Infrastructure |



| Auckland University of Technology (2020) <br> https://www.aut.ac.nz/about/ auts-leadership/official-aut-publications/archived-annual-reports | - Students (20,498 total EFTS) 1011 doctoral students (1017 in 2019; 994 in 2018; growing but due to border closures less international doctoral students seen in 2020) <br> - 68 Māori (6.7\%); 48 Pasifika (4.7\%) <br> - Postgraduate: 2586 SAC funded EFTS; 1418 International EFTS <br> - Ethnicity of domestic SAC-funded EFTS <br> - Asian 5612 (25\%) <br> - Māori 2533 (12\%) <br> - NZ European/Pākehā 8699 (39\%) <br> - Pacific 3672 (17\%) <br> - Other 1513 (7\%) <br> - Non-declared 51 ( $<1 \%$ ) Gender of domestic SAC-funded EFTS <br> - 13,756 ( $62 \%$ ) female; 8251 ( $38 \%$ ) male; 73 ( $<1 \%$ ) gender diverse <br> - 126 doctorates awarded; 1049 Masters <br> - EFTS by faculty <br> - 4278 Business, Economics and Law <br> - 3173 Culture and Society <br> - 5599 Design and Creative Technologies <br> - 6156 Health and Environmental Sciences <br> - 55 Te Ara Poutama <br> - 1198 University programmes <br> - Course completion for all levels <br> - non-Māori, non-Pacific $87 \%$ ( $88.4 \%$ in 2019) <br> - Māori $77.9 \%$ ( $82.3 \%$ in 2019) <br> - Pasifika $69.2 \%$ ( $68.8 \%$ in 2019) <br> - $\quad$ Staff (4500) <br> FTE: 1194 academic; 1255 admin; 2449 total <br> Faculty FTE: <br> - Business, Economics and Law: 200 academic; 63 admin <br> - Culture and Society: 170 academic; 62 admin <br> - Design and Creative Technologies: 329 academic; 120 admin <br> - Health and Environmental Sciences: 433 | - Workforce <br> - Funding |
| :---: | :---: | :---: |


|  |  | academic; 152 admin <br> - Te Ara Poutama: 24 academic; 3 admin <br> - $55 \%$ research-active academic staff ( $55 \%$ 2019; 59\% 2018) <br> - Academic salaries and wages $\$ 134,643,000$ (2019: $\$ 128,114,000$ ) <br> - Research <br> - External research income: $\$ 17,928,798$ (\$17,062,747 in 2019) <br> - Government grants: PBRF \$20,627,000 (2019: \$19,908,000) <br> - $\$ 33.8 \mathrm{~m}$ in research contracts signed <br> - $\$ 11.5 \mathrm{~m}$ with MBIE; $\$ 6.8 \mathrm{~m}$ with the Health Research Council; $\$ 2.1 \mathrm{~m}$ with Callaghan Innovation; $\$ 1 \mathrm{~m}$ with the Marsden Fund <br> - $\$ 28.4 \mathrm{~m}$ in 2019; $\$ 15.9 \mathrm{~m}$ in 2018 (increasing but staff numbers not increasing to match) <br> - $62 \%$ of outputs with an international co-author ( $64 \%$ in 2019; $58 \%$ in 2018) <br> - $\$ 66,887,000$ cost of research and scholarship |  |
| :---: | :---: | :---: | :---: |
| Brower and James 2020: Research performance and age explain less than half of the gender pay gap in New Zealand Universities |  |  |  |
| Women in NZ universities | Gender pay gap <br> https://journals.plos.org/plos one/article/figure? id=10.137 1/journal.pone.0226392.t001 | - Academic gender performance pay gap by field (not attributable to research performance) Arts 37.4\% Science 51.2\% Business 38.7\% Engineering 58.3\% Medicine 32.1\% Education 54.0\% | - Workforce |
|  | Women less likely to be promoted than men <br> https://journals.plos.org/plos one/article/figure? $\mathrm{id}=10.137$ 1/journal.pone.0226392.t002 | Gender by position <br> - Lecturer (L): 209 female; 218 male <br> - Senior lecturer (SL): 292 female; 557 male <br> - Associate professor (AP): 45 female; 193 male Promotion between 2003 and 2012 <br> - SL/AP/P | - Workforce |


|  | 83.7\% female L; $90.8 \%$ male L <br> - AP/P <br> 12.4\% female L; 20.2\% male L 49.7\% female SL; 56.4\% male SL <br> - $P$ 0.5\% female L; 5\% male L 13\% female SL; 15.6\% SL 62.2\% female AP; 67.4\% AP |  |
| :---: | :---: | :---: |
| Gender parity of each field <br> https://journals.plos.org/plos one/article/figure? id=10.137 <br> 1/journal.pone.0226392.g00 $\underline{2}$ | - Men more likely to be at higher ranks <br> - Less female L/SL/AP/P in Arts, Business, Medicine, and, particularly, Science and Engineering in 2012, but more in Education than males | $\bullet$ |
| CRI Annual Reports |  |  |
| AgResearch 2021 <br> https://www.agresearch.co.n z/about/ourorganisation/publications/an nual-report/ | - 881 permanent, fixed term and casual employees, studentships and contractors <br> - $53 \%$ female employees, $47 \%$ male <br> - 38 research enablers and investments <br> - 47 Post doc <br> - 55 PhD <br> - 102 Casual <br> - 196 Research support <br> - 34 Consultant/contract/visitors <br> - 7 executive <br> - 7 research delivery <br> - 5 research strategy <br> - 3 emeritus scientists <br> - 288 research capability <br> - Income <br> - \$44,963 MBIE strategic science funding <br> - \$8768 Land and Water national challenge <br> - \$13,570 COVID response and recovery <br> - $\$ 61817$ commercial |  |


|  | $\$ 4855$ farm produce \$15662 other |  |
| :---: | :---: | :---: |
| ESR 2021 <br> https://www.esr.cri.nz/home/ <br> about-esr/corporate- <br> publications/2021-annualreport/ |  |  |
| PBRF (2003, 2006, 2012, and 2018) Quality Evaluation rounds |  |  |
| Allocations for the PBRF <br> https://www.tec.govt.nz/fundi ng/funding-and-performance/funding/fund-finder/performance-based-research-fund/resources-and-publications/ | The TEC allocated: <br> - 2019 <br> $\$ 302.99$ million to 8 universities (96.2\%) $\$ 10.12$ million to 14 Institutes of technology and polytechnics (ITPs) (3.2\%) <br> - $\$ 1.14$ million to 11 Private training establishments (PTEs) (<1\%) <br> $\bigcirc$ <br> \$731,369 to 2 Wānanga (<1\%) <br> - 2018 <br> $\$ 306.3$ million to 8 universities ( $97.3 \%$ ) <br> $\$ 7.4$ million to 10 ITPs (2.4\%) <br> \$648,777 to 8 PTEs (<1\%) <br> $\$ 542,389$ to 1 Wānanga (<1\%) <br> - 2017 <br> $\$ 291.7$ million to 8 universities (97.2\%) <br> $\$ 7.25$ million to 10 ITPs (2.4\%) <br> $\$ 555,056$ to 8 PTEs (<1\%) <br> $\$ 508,809$ to 1 Wānanga (<1\%) <br> - 2016 <br> - $\$ 291.8$ million to 8 universities ( $97.3 \%$ ) <br> - $\$ 6.95$ million to 10 ITPs (2.3\%) <br> - \$556,589 to 8 PTEs ( $<1 \%$ ) <br> - \$664,020 to 1 Wānanga ( $<1 \%$ ) <br> - 2015 <br> $\$ 279.3$ million to 8 universities (97.2\%) | - Funding |


|  | $\$ 7.1$ million to 10 ITPs (2.5\%) <br> \$519,300 to 8 PTEs (<1\%) <br> \$528,500 to 1 Wānanga (<1\%) <br> - 2014 <br> $\$ 267.3$ million to 8 universities ( $97.2 \%$ ) <br> $\$ 6.8$ million to 10 ITPs (2.5\%) <br> $\$ 486,000$ to 8 PTEs (<1\%) <br> $\$ 435,000$ to 1 Wānanga (<1\%) <br> - 2013 <br> $\$ 255.1$ million to 8 universities (97\%) <br> $\$ 6.6$ million to 10 ITPs (2.5\%) <br> 8 PTEs <br> \$328,878 to 1 Wānanga (<1\%) |  |
| :---: | :---: | :---: |
| Researcher demographics <br> https://www.tec.govt.nz/fundi ng/funding-and-performance/funding/fund-finder/performance-based-research-fund/previous-quality-evaluation-rounds/pbrf-2018-quality-evaluation/pbrf-2018-quality-evaluation-results/researcherdemographics/\#!/ <br> https://www.tec.govt.nz/asse ts/Publications-and-others/PBRFPublications/27bab27243/Q uality-Evaluation-participation-across-fourrounds.pdf | - 2018 <br> Gender: F: 42.9\%, M: 56.6\%, Other: <1\% Employment: 88.4\% full-time; 11.6\% part-time <br> - 2012 <br> Gender: F: 38.8\%, M: 61\%, unknown: <1\% Employment: 90.1\% full-time; 9.9\% part-time <br> - 2006 <br> Gender: F: 33.7\%, M: 66.3\%, unknown: <1\% Employment: 92.6\% full-time; 7.4\% part-time <br> - 2003 <br> Gender: F: 23.9\%, M: 50.3\%, unknown: 25.8\% Employment: 92.5\% full-time, 7.5\% part-time |  |


| R\&D | Research and Development |
| :--- | :--- |
| GovERD | Government Expenditure on R\&D |
| CRI | Crown Research Institute |
| GERD | Gross Domestic Expenditure on R\&D |
| GBARD | Government Budget Allocations for R\&D |
| HERD | Higher Education Expenditure on R\&D |
| BERD | Business Expenditure on R\&D |
| ERI | External Research Income |
| ITPs | Institutes of Technology and Polytechnics |
| PTE | Private Training Establishment |
| CNCI | Category Normalised Citation Impact |
| FTE | Full-Time Equivalent |
| RCA | Revealed Comparative Advantage |
| FCR | Field Citation Ratio |
| EFTS | Equivalent Full-Time Students |
| TEC | Tertiary Education Commission |
| PBRF | Performance-Based Research Fund |

