

Toward an attentive robotic architecture: learning-based mutual gaze estimation in human-robot interaction

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2 ABSTRACT

3 Social robotics is an emerging field that is expected to grow rapidly in the near future. In fact, it is
4 increasingly more frequent to have robots that operate in close proximity with humans or even
5 collaborate with them in joint tasks. In this context, it is still an open problem the investigation of
6 how to endow a humanoid robot with social behavioural skills typical of human-human interactions.
7 Among the countless social cues needed to establish a natural social attunement, the paper
8 reports our research towards the implementation of a mechanism for estimating gaze direction,
9 focusing in particular on mutual gaze as fundamental social cue in face-to-face interactions.
10 We propose a learning-based framework to automatically detect eye-contact events in online
11 interactions with human partners. The proposed solution achieves high performance both *in silico*
12 and in experimental scenarios. Our work is expected to be the first step towards an attentive
13 architecture able to endorse scenarios in which the robots are perceived as social partners.

14 **Keywords:** mutual gaze, joint attention, human-robot interaction, humanoid robot, computer vision, experimental psychology,
15 attentive architecture

1 INTRODUCTION

16 Joint attention (or shared attention) is one of the most important mechanisms occurring in a non-verbal
17 interaction between two or more individuals. It is achieved when individuals direct their gaze on the same
18 object or event in the environment as consequence of social gestures (e.g. gaze shift, pointing, facial
19 expressions) (Moore et al., 2014). The ability to establish joint attention is crucial in many mechanisms of
20 social cognition, for example comprehension, language development, intention, to cite a few (Tomasello,
21 1995; Tomasello et al., 2005; Mundy et al., 2007). A failure in such abilities, indeed, represents one of
22 the earliest and basic social impairments in autism and communicative deficits (Mundy and Neal, 2000;
23 Dawson et al., 2004).

24 In this context, designing and building an attention architecture enabling joint attention between a human
25 and an embodied artificial agent, such as iCub, has inspired many researchers from different fields, spanning

26 from artificial intelligence to robotics, from neuro and cognitive science to social science (Henschel et al.,
27 2020; Wykowska, 2020). Inspired by the behaviour of human beings, our ambitious goal is to develop
28 a robotic visual attention system that responds to several social cues characterising an effective non-
29 verbal human interaction. For example, as social cue, eye gaze estimation plays a crucial role for the
30 prediction of human attention and intention, and hence is indispensable for better understanding human
31 activities (Kleinke, 1986; Emery, 2000). Humans, indeed, tend to look at an object before trying to grasp
32 it with the hand (Voudouris et al., 2018). This implies that it is possible to predict human intention just
33 observing where his/her attention is focused at.

34 In our long-range aim, the humanoid robot iCub will be able to establish social attunement with the
35 human partner recognising and reproducing a wide range of social abilities in a human-like manner. The
36 robot's ability to imitate human-like behaviours might bring the human to adopt the so called *intentional*
37 *stance* as strategy towards the robot like s/he does with other humans (Marchesi et al., 2019). As proposed
38 by the philosopher Daniel Dennett, intentional stance is the strategy of prediction and explanation that
39 attributes beliefs, desires and intentions to an agent, and predicts its future behaviour from what it would
40 be rational for an agent to do given those mental states (Dennett, 1989).

41 In this research report we present our first successful step in the ongoing implementation of such a robotic
42 system. Specifically, we spent our initial effort on endowing iCub with the key ability of recognising
43 eye-contact events. The report is organised in the following way. In the next section (Section 2) we discuss
44 the importance of the mutual gaze in dyadic interactions. In Section 3 we describe the proposed solution
45 for eye-contact detection. We benchmarked this algorithm in Section 4 where we compare it against the
46 state-of-the-art. In Section 5, we test our architecture in a real HRI experimental setup, discussing the
47 advantages of our solution in regard to the chosen case study. Finally, we draw the conclusion in Section 6.

2 FOCUS ON MUTUAL GAZE AND MOTIVATION

48 In the context of joint attention, eye-contact provides a foundation of effective social interaction since it
49 signals the readiness for interaction and the attention of the partner. Given the sensitivity of a human when
50 being watched by another one, it is not surprising that the mutual eye contact may influence the efficiency
51 of the person-construal process (Macrae et al., 2002). For example, studies revealed that human observers
52 are faster to detect target faces/eyes with direct gaze than those with averted gaze (Coelho et al., 2006) and
53 the perceived eye-contact enhances the activation of components of the social brain network (Senju and
54 Johnson, 2009).

55 While the effect of mutual eye gaze has been largely studied in human-human and human-screen
56 scenarios with the use of reaction time measures (Galfano et al., 2012), saccadic behaviour (Ueda et al.,
57 2014; Dalmaso et al., 2017a,b) and EEG (Hietanen et al., 2008; Pönkänen et al., 2011), few works exist
58 in the literature investigating whether similar attention mechanisms arise in human-robot scenarios as
59 well (Boucher et al., 2012).

60 For example in the context of human-human interaction, Chong et al. (2020) proposed a novel approach
61 based on deep neural networks to detect eye contact in PoV camera video with reliability equivalent to
62 expert human raters. The proposed algorithm has been used in this work as baseline for the comparison
63 (see Section 4.3).

64 Wykowska (2021) underlined the importance of the role of humanoid robots as physical presence in
65 real-time interaction since they provide higher ecological validity than screen-based stimuli and better
66 experimental control than human-human interaction. Along the same line, Kompatsiari et al. (2018)

67 exploited the widely used Posner paradigm (Posner, 1980) to propose a novel interactive protocol involving
68 the humanoid robot iCub (Metta et al., 2010) and examine the impact of mutual gaze on the mechanisms of
69 joint attention.

70 Posner paradigm (together with its variations) is a neuropsychological test typically used to investigate
71 attentional orienting in response to a directional cue. In such a gaze cueing task, the observer is typically
72 asked to discriminate an object target (usually presented in a lateral location) while looking at a directional
73 cue (e.g. schematic face or arrows) presented centrally, in-between the locations of potential target
74 presentation. The cue can be either valid or invalid, depending on whether it pointed to the target object or
75 to a different direction.

76 In their study, iCub was positioned between two lateral screens on which the object target was presented
77 (in line with the Posner paradigm). iCub was used as the experimental apparatus both to establish real-time
78 eye-contact with the human participant and to manipulate the directional gaze cue across the trials. The
79 results revealed that the human reaction times depended on the combined effect of cue validity related to
80 the iCub's gaze direction and social aspect of mutual gaze. Another example can be found in Stanton and
81 Stevens (2017) where the Nao humanoid robot¹ was used to study the impact of three different levels of
82 robot gaze (averted, constant and situational) in cooperative visual tracking task. Nevertheless the main
83 drawback of the aforementioned studies is the use of the robot as a passive stimuli. Specifically, in both
84 studies the humanoid robot was operated either with pre-programmed default text-to-speech and timed
85 head movements or through pre-programmed gaze behaviour. As such, the robot had neither any perception
86 of the real human's gaze nor any feedback by the surrounding environment.

87 Some authors support the notion that a robot embodying artificial models capable to reproduce human
88 skills is a unique and invaluable tool to explain human cognition (Wykowska (2021); Pfeifer et al. (2007);
89 Wainer et al. (2006)). With this motivation, in this work we propose a new module for iCub which allows to
90 automatically detect whether the mutual gaze is established with the human partner during the interaction.
91 Specifically, the report consists of three main contributions:

- 92 i. *Dataset collection for mutual gaze detection in frontal human-robot interaction.* In the context of frontal
93 tasks, the dataset was collected general enough to be suitable in many different experimental scenarios.
94 To the best of our knowledge it is the first mutual-gaze dataset collected involving a humanoid robot.
- 95 ii. *Designing, implementation and training of a learning module based on the aforementioned dataset.*
96 Such a module is then embedded in the iCub's framework and validated both in silico and in online
97 scenarios. Furthermore, we compare our method with the solution proposed in Chong et al. (2020)
98 achieving an improvement in the accuracy of around 15 percentage points.
- 99 iii. As a case study, we select the experimental setup proposed in Kompatsiari et al. (2018) where iCub
100 was used as a passive experimental apparatus. Within this framework, we performed several controlled
101 experimental trials to test our application also in a time-constrained social robotics experiment.

102 Our approach aims at reducing the amount of hardware equipment required by the robot to detect mutual
103 gaze with the human partner (e.g. external cameras, eye-tracker, and so on). The robot, indeed, relies only
104 on the image frames captured by its eye-like cameras making the interaction as natural as possible. The
105 algorithm developed in this work is an important building block for robotic setups that can be used to study
106 human social cognition in naturalistic interaction.

¹ <https://www.softbankrobotics.com/emea/en/nao>

3 EYE-CONTACT LEARNING APPROACH

107 3.1 Data collection

108 3.1.1 Participants

109 A total of 24 participants were recruited for the data collection (mean age = 29.54 ± 3.14 , 15 females).
110 All participants had normal or corrected normal vision (6 participants out of 24 wore glasses) and provided
111 written informed consent. The data collection was conducted at the Istituto Italiano di Tecnologia, Genoa,
112 and it was approved by the local ethical committee (Comitato Etico Regione Liguria).

113 3.1.2 Setup

114 The humanoid robot iCub embeds two Dragonfly2 cameras² (right and left eye); only one eye-camera
115 was used with the frame resolution set to 640x480 pixels. In this study we used the right eye-camera, but
116 the left-eye camera could be used equivalently. In order to have also higher quality images for the training
117 phase of the proposed eye-contact classifier, a second dataset was also collected with the Intel RealSense
118 depth camera D435³ (see Figure 1 for a visual evidence). The RealSense camera was mounted on the
119 iCub’s head through a 3D printed headseat. The middleware YARP (Yet Another Robot Platform) (Metta
120 et al., 2006) was used to integrate the different modules (e.g. iCub’s controller, cameras, data dumper, code
121 modules). The recording setup is shown in Figure 1. In line with what we claimed in Section 1 – i.e. to
122 avoid need of external hardware – we underline that the RealSense camera was used only for acquiring
123 training data. In the deployment phase, the system was always tested using images provided by the cameras
124 mounted in the eyes of the iCub.

125 3.1.3 Task

126 Participants were asked to sit in front of the iCub at a distance of around one meter and to establish first
127 mutual gaze and then averted gaze with the iCub’s eyes in order to acquire frames both in eye-contact and
128 in no eye-contact condition. In the eye-contact recording session, participants were also asked to look at
129 the iCub’s eyes but moving first their torso and then their head (Figure 1). For each position, the frame was
130 captured both by the iCub’s right camera and the RealSense pressing the bar space of the laptop’s keyboard.
131 The final datasets consist of 484 frames each (207 in eye-contact and 277 in no eye-contact condition).

132 3.2 Eye-contact classifier

133 Once the dataset was collected, the vector feature is extracted from each frame image by means of
134 OpenPose⁴ (Cao et al., 2019), a well-known real-time system for multi-human pose estimation. Specifically,
135 OpenPose takes as an input a $w \times h$ color image as input and produces in output the 2D locations (x, y)
136 of anatomical keypoints for each person in the scene with the corresponding detection confidence level k .
137 Relying on a multi-stage deep convolutional neural network, OpenPose can jointly detect body, face, hands
138 and foot keypoints reaching high accuracy and real-time performance, regardless the number of people in
139 the image.

140 In our work, a subset of 19 face keypoints are considered (8 points for each eye, 2 points for the ears and 1
141 for the nose), resulting in a vector of 57 elements (i.e. the triplet (x, y, k) is taken for each point). Then, the

² http://wiki.icub.org/images/c/c9/POINTGREY_-_Dragonfly2.pdf

³ <https://www.intelrealsense.com/depth-camera-d435/>

⁴ <https://github.com/CMU-Perceptual-Computing-Lab/openpose>, <https://github.com/robotology/human-sensing>

142 detected keypoints are centered with respect to the head centroid, computed as the mean coordinates of all
143 face keypoints, and normalised on the farthest point from the head centroid. The use of the face keypoints
144 as feature vector has the main advantage of making the classifier independent of the light conditions and
145 the picture's background.

146 The resulting feature vector is finally used as input to the binary classifier. Support Vector Machine (SVM)
147 with RBF kernel was chosen to address this classification task. We compared the SVM with a random
148 forest classifier; the former was chosen because it reported the best performance in terms of accuracy
149 and F1-score (for a detailed comparison, see *Supplementary Material*). Moreover, given the results of the
150 Principal Components Analysis (PCA), we considered the RBF kernel (see the *Supplementary Material* for
151 further details). The hyperparameters of the SVM model were selected using an exhaustive search over a
152 grid parameters and optimised by a 5-fold cross-validation (Pedregosa et al., 2011). After the training, the
153 classifier's output is the pair (r, c) where $r = 1$ if mutual gaze is detected (0 otherwise), while $c \in [0, 1]$ is
154 the confidence level of the prediction.

155 The overall learning architecture is depicted in Figure 2.

156 3.3 Training details

157 The mutual gaze classifier was trained both using the dataset collected with the RealSense and with
158 iCub's eye. From now on, we refer to the classifier trained with the dataset from iCub's right eye since it
159 reported higher performance metrics. For the full comparison between the two datasets, see *Supplementary*
160 *Material*.

161 The acquired dataset was augmented in order to be robust to the degenerative case in which OpenPose
162 fails to detect the eyes' boundaries and the pupils. To simulate such a condition, the coordinates of those
163 keypoints in case of eye-contact were set to zero, while the others (namely, the ones for nose, ears and
164 eyes) are left unchanged. Moreover, we applied a further augmentation by geometrically rotating the
165 face keypoints, extracted by OpenPose, to the left and right of a certain angle around the face centroid to
166 cover a wider range of head rotations (not covered by the acquired samples). In detail, facial keypoints
167 were rotated to the left and right by an angle $\alpha \in \{15^\circ, 30^\circ, 45^\circ, 60^\circ\}$ taking the $\{5\%, 10\%, 10\%, 5\%\}$ of
168 the data respectively. The final augmented dataset consist of 654 samples (377 in eye-contact, 277 in no
169 eye-contact).

170 We handled the unbalanced dataset properly weighting each class of classification. Such weights were
171 chosen inversely proportional to class frequencies in the input data.

172 Finally OpenPose parameters were tuned in order to have the best performance for the considered dataset
173 (e.g. neural network resolution, images at different scales, and so on).

4 RESULTS

174 4.1 Evaluation on the collected test set

175 For the training of the classifier, the dataset was split into two subsets taking 19 out of 24 participants for
176 the training set and the others 5 participants for the test set. The dataset was split $k = 5$ times in order to
177 average the performance over different participants subsets and evaluate the statistical properties of the
178 method. The performance were evaluated in terms of accuracy, precision, recall and F1-score reaching in
179 all metrics values around 90%. Precisely we had: accuracy = 0.91 ± 0.03 , precision = 0.90 ± 0.08 , recall
180 = 0.89 ± 0.06 , F1-score = 0.89 ± 0.04 .

181 4.2 Evaluation on temporal sequences

182 The mutual-gaze classifier was validated also on video streams recorded from the iCub’s camera during
183 different controlled interactions with a human. In detail, four video streams were recorded in order to cover
184 the following scenarios: 1) no mutual gaze, 2) frontal mutual gaze, 3) human rotating the head to left/right
185 while keeping mutual gaze with the robot, and 3) human rotating the torso while keeping mutual gaze with
186 the robot. To avoid the flickering in the classifier predictions caused by the high video frame rates, we
187 implemented a mechanism to propagate the predictions to those frames for which the classifier output is
188 not available due to frame rate incompatibilities. The reason behind this is that, in practical settings, it is
189 reasonable to assume coherent predictions in a $\sim 100ms$ time span. To this aim, we implemented a buffer
190 of 3 elements at inference time. The actual classifier result was selected through a majority rule evaluated
191 on the buffer. The implementation of the buffer allows to reach even higher level of accuracy. Specifically,
192 the accuracy registered in the first three scenarios reaches its maximum value – i.e. 1.0 –, whereas in the
193 last one the accuracy is 0.93. Analysing the last scenario, the classifier made wrong predictions when the
194 human’s torso reached the extreme angles of 90 (right) and -90 (left) while keeping the head straight
195 toward the robot (see the videos in *Supplementary Material*). Such a drop in performance for the extreme
196 torso rotations is reasonable, since the classifier was trained for frontal task.

197 4.3 Comparison with State-of-the-art method

198 In this Section the mutual gaze classifier is compared with the solution proposed in Chong et al. (2020).
199 To the best of our knowledge, this is the most recent solution in the current literature that best adapts to our
200 purposes. In Chong et al. (2020) authors trained a deep convolution neural network (i.e. ResNet-50 (He
201 et al., 2016)) as backbone to automatically detect eye contact during face-to-face interactions. As network
202 performance, authors reported an overall precision of 0.94 and F1-score of 0.94 on 18 validation subjects.
203 The network was trained only with egocentric cropped frames of the individuals’ face.

204 Because the training code of Chong et al. (2020) was not released by the authors, we used the publicly
205 available pre-trained model. We tested this model on our scenario where the participants wore face-masks
206 due to Covid19’s ordinance and the frames captured by the robot were low quality frames. Since the
207 algorithm used in Chong et al. (2020) failed to detect the bounding boxes of the humans’ face in 33% of
208 cases (probably due to the face-masks), we used OpenPose for the bounding box detection. Such bounding
209 box was then used to crop the image sent as input to the convolution neural network. This was done to
210 obtain a fair comparison between the two algorithms. Accuracy and F1-score were evaluated as metrics
211 both on the test set and on the video streams:

212 • Proposed approach

- 213 • *Test set*. Accuracy = 0.91 ± 0.03 ; F1-score = 0.89 ± 0.04 .
- 214 • *Stream videos*. Accuracy = 0.97; F1-score = 0.98.

215 • Chong et al. (2020) + OpenPose

- 216 • *Test set*. Accuracy = 0.76 ± 0.05 ; F1-score = 0.77 ± 0.06 .
- 217 • *Stream videos*. Accuracy = 0.89; F1-score = 0.82.

218 Since data were normally distributed (Shapiro-Wilk test, p-value > 0.05), paired T-test was performed to
219 assess the statistical difference between the performance of the two approaches (accuracy: p-value = 0.01,
220 Cohen’s d = 2.009, 95% CI for Cohen’s d [0.385, 3.581]; F1-score: p-value = 0.037, Cohen’s d = 1.375,
221 95% CI for Cohen’s d [0.072, 2.609]).

222 On the test set we obtained an improvement of 15% in accuracy and of 12% in F1-score, whereas on
223 the video streams we obtained an improvement of 8% in accuracy and of 6% in F1-score. In addition, our
224 method is based on a low dimensional feature vector computed from facial and body landmarks. With
225 respect to Chong et al. (2020), and other methods based on RGB information, it can be trained with less
226 expensive hardware and without acquiring sensitive information (i.e. full RGB images depicting faces)
227 from subjects.

228 The drop in the performance reported by Chong et al. (2020) in their work demonstrates the need of
229 collecting a new dataset and shows that the current approaches in literature are not suitable for our scenario.
230 Indeed, the considered setting is challenging both for the presence of face masks and for the low resolution
231 camera that often is available in humanoid robots. On the contrary authors in Chong et al. (2020) used high
232 resolution camera from camera glasses (1080p resolution). Notably, we could not compute the performance
233 of our algorithm on the dataset used in Chong et al. (2020), because the latter was not made publicly
234 available due to constraints imposed by the IRB protocol.

235 4.4 Model interpretability

236 With the aim of understanding which face keypoints have larger contribution to the final output of the
237 learning architecture, SHAP analysis was performed on the trained SVM model. SHAP (SHapley Additive
238 exPlanations) is a method based on coalitional game theory used to explain individually each prediction
239 made by the learning algorithm. For each individual prediction, a value (SHAP value) is assigned to each
240 feature as measure of its impact on the model's output. The final contribution for each feature is evaluated
241 averaging its SHAP values over a set of predictions (Lundberg and Lee, 2017).

242 In Figure 3 the bar plot of the feature impact on the model output is reported for the first 20 most important
243 face keypoints. It can be observed that the internal points of the eyes (pts 15, 16, 38, 39, 40, 42) and partially
244 the ears (pt 18) have a mean SHAP value between 0.02 and 0.09; this means that a change in these features
245 in input has an impact on the prediction of around 2 – 9% percentage points. The analysis reveals that there
246 is no feature that predominates on the others but all the elements of the feature vector make a comparable
247 contribution to the prediction in output. This is also confirmed by the principal components analysis
248 reported in the *Supplementary Material*. The PCA performed on the data, indeed, does not make any
249 improvement to the system implying that none of the considered features is completely redundant.

5 DEPLOYMENT IN AN EXPERIMENTAL SETUP

250 Next, we further validated our approach presented in the Section 3. As testbed example, we integrated our
251 algorithm in the experimental scenario presented in Kompatsiari et al. (2018). In such a setup, participants
252 were seated face-to-face with the iCub robot at a desk 125cm wide. iCub was positioned between two
253 lateral screens on which target letters were presented to the participant. Also, iCub's height was set at
254 124cm from the floor in order to have its eyes aligned with participants' eyes (Figure 4).

255 The conclusions of Kompatsiari et al. (2018) were based on the assumption that mutual gaze was
256 established between subjects and the robot, as confirmed by manual annotation by an experimenter.
257 Therefore, the solution presented here offers a significant advancement, as it provides an automatic
258 mechanism that can avoid manual annotation and implements a contingent robot behaviour allowing
259 bi-directional eye contact mechanisms, which, as shown by the results of Kompatsiari et al. (2018), are
260 crucial for establishing joint attention in HRI.

261 The experimental trial was designed as follows:

- 262 • iCub starts with the head pointing down and with its eyes closed for 2s;
- 263 • it opens its eyes for 500ms without moving the head;
- 264 • iCub looks towards the participant's eyes (eye contact) for 2.5s;
- 265 • iCub moves the head laterally towards one of the lateral screens, where the letter V or T appeared
- 266 randomly either on the same screen where the robot is looking at (valid trial) or on the opposite screen
- 267 (invalid trial) for 200ms;
- 268 • the participant is instructed to identify the target letter pressing V or T on the keyboard while keeping
- 269 mutual gaze with the robot and without gazing at the screen.

270 To validate the classifier, we asked a total of 4 participants to carry out 8 blocks of 8 trials each. The
271 experiments were controlled in order to have the ground truth for each block of trials. In detail, the
272 participant was asked to *maintain* mutual gaze with the robot in 5 blocks of trials and to *always* simulate a
273 distracted participant in the other 4 blocks left (e.g. checking the phone, looking at the lateral screens). To
274 assure the quality of the ground truth, the experimenter monitored online eye movements of the participants
275 and the trials were further checked offline before the analysis. Only one trial was discarded.

276 As done before, the performance were evaluated in terms of accuracy, precision, recall and F1 score. We
277 registered: accuracy = 0.97, precision = 0.95, recall = 1.00, F1-score = 0.97.

6 CONCLUSION

278 In this research report we presented our first results of an ongoing work aiming at developing a novel
279 attentive architecture for the humanoid robot iCub. In this context, we focused on the social cue of
280 the mutual gaze making iCub capable of recognising eye-contact events while interacting online with a
281 human partner. We validated the proposed mutual gaze classifier both computationally and experimentally,
282 showing high performance values. We also compared the proposed approach with the state-of-the-art
283 method Chong et al. (2020) reporting a consistent improvement in performance. We underline that our
284 method requires neither any additional hardware (e.g. external camera, eye tracking glasses) nor a robot
285 with embedded high-quality and expensive eye-cameras. Another advantage of our method is that it uses
286 relatively low dimensional features extracted by facial landmarks which are intrinsically anonymous. With
287 respect to other methods that use RGB information it can be re-trained with less expensive hardware and
288 without storing personal data from subjects. Our results may potentially allow the research community
289 to use an active robotic framework in more complex interactive scenarios helping the study of human
290 cognition. For example, it has been previously found that the mutual gaze condition increases the level
291 of engagement and/or rewarding during a human-robot interaction compared to averted gaze (Kampe
292 et al., 2001). Similarly, Schilbach et al. (2010) investigated the neural correlates of joint attention finding
293 that following or directing someone else's gaze activates several cortex areas of the brain related to the
294 coordination of perceptual and cognitive processes.

295 Improving and extending the mutual gaze scenario to the wider problem of the gaze estimation is part
296 of the current research. As a potential improvement, temporal information (e.g. temporal coherence
297 between consecutive frames, optical flow, and so on) from dynamic data, like videos, could bring
298 additional information to the system increasing performance and generalisation capabilities. Furthermore,
299 the implementation of an attention system with the ability to detect social cues is a fundamental step toward
300 the realisation of socially capable humanoid robots.

DATA AVAILABILITY STATEMENT

301 The anonymised data that support this study, the code and the learning trained models can be found
302 at <https://github.com/hsp-iit/mutual-gaze-detection.git>. Further inquiries can be
303 directed to the corresponding author.

ETHICS STATEMENT

304 The studies involving human participants were reviewed and approved by the Comitato Etico Regione
305 Liguria. The participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

306 AW and LN conceived the main idea of the study. ML, EM and LN conceived and designed the learning
307 architecture. ML collected the data, implemented the learning system, performed the experiments and
308 analysed the data. ML, DDT deployed the algorithm on the iCub robot. ML, EM, DDT, AW and LN
309 discussed the results. ML wrote the manuscript. All authors revised the manuscript.

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CONFLICT OF INTEREST STATEMENT

315 The authors declare that the research was conducted in the absence of any commercial or financial
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SUPPLEMENTARY MATERIAL

320 The Supplementary Material for this article can be found online at: [Link Supplementary Material]

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FIGURE CAPTIONS

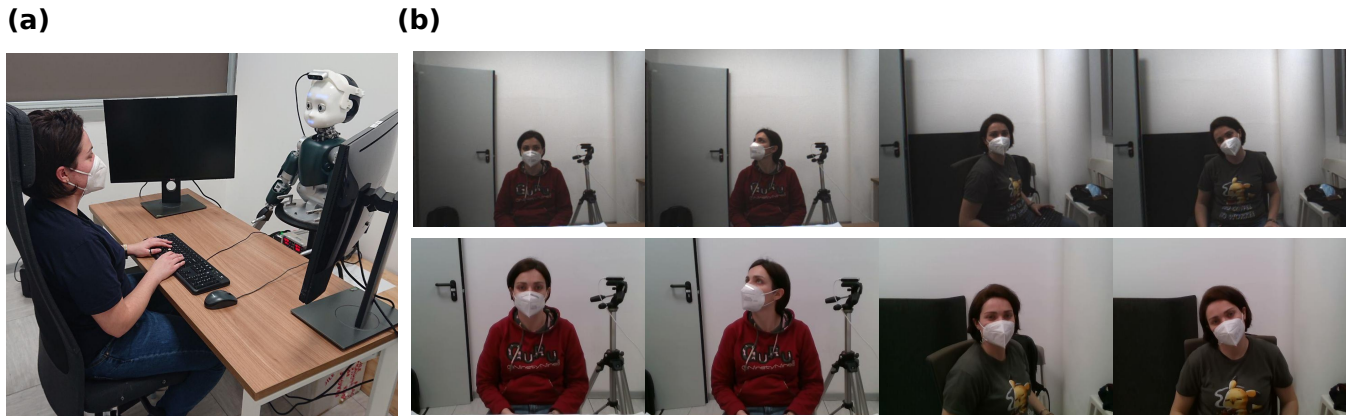


Figure 1. Dataset collection. (a) Overall setup. The participant was seated at a desk in front of iCub. The latter was mounted with a RealSense camera on its head. (b) Sample frames were recorded using both iCub's camera (first row) and the RealSense camera (second row). Different frames capture different human positions (rotation of the torso/head) and conditions (eye-contact and no eye-contact).

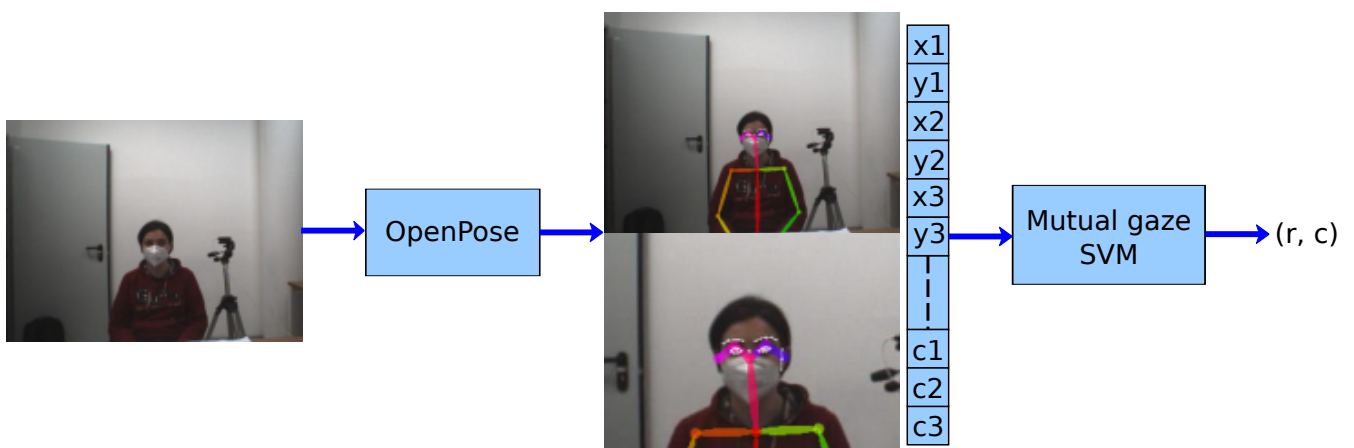


Figure 2. Learning architecture. The acquired image is first used as input for OpenPose in order to get the facial keypoints and build the feature vector for the individual in the scene. Then, such a feature vector goes in as input to the mutual gaze classifier whose output is the pair (r, c) , where r is the binary result of the classification (eye-contact/no eye-contact) and c is the confidence level.

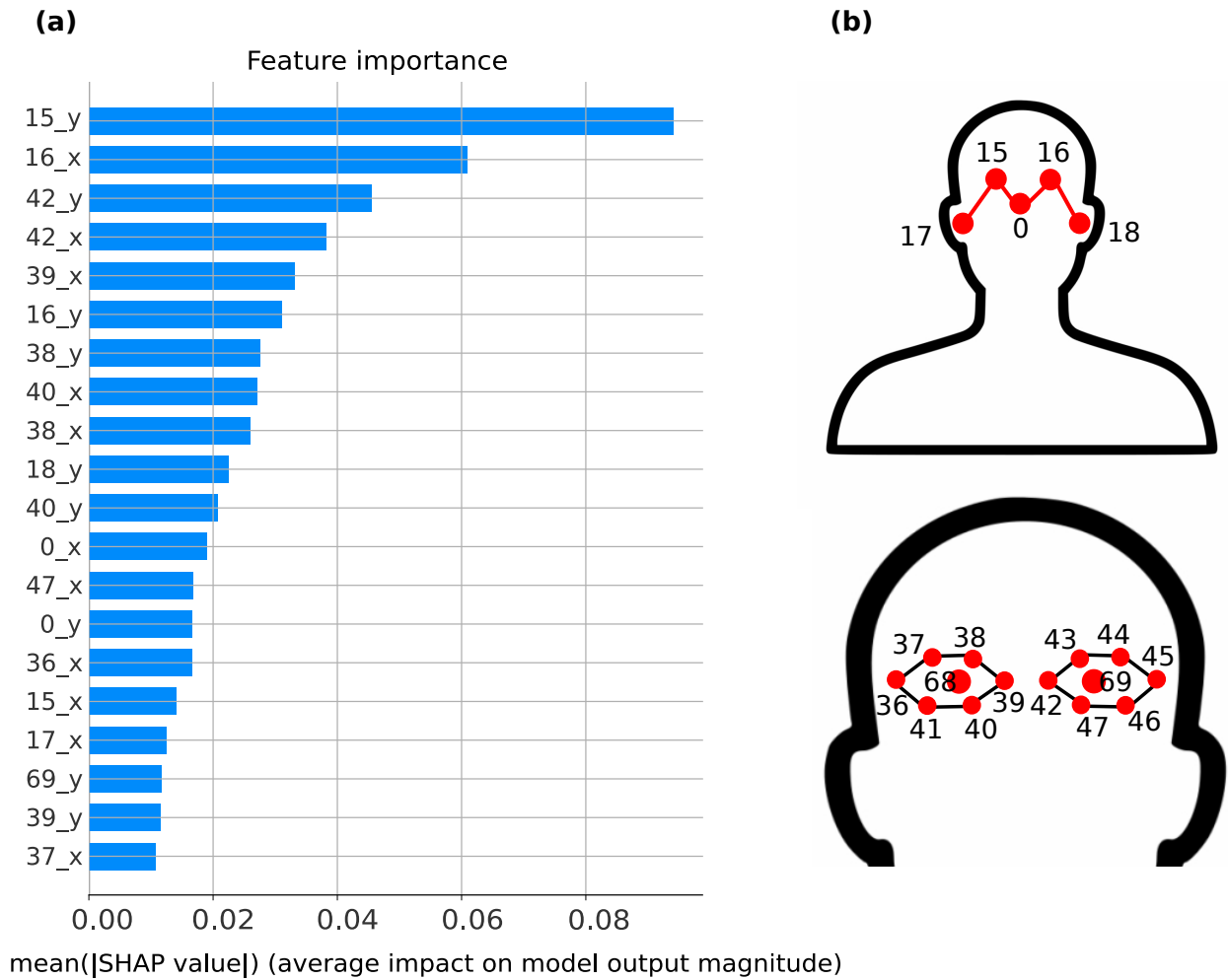


Figure 3. Feature importance. (a) Bar plot reporting on the x-axis the SHAP feature importance in percentage measured as the mean absolute Shapley value. Only the first 20 most important features are reported on the y-axis. (b) Numbered face keypoints of the feature vector.

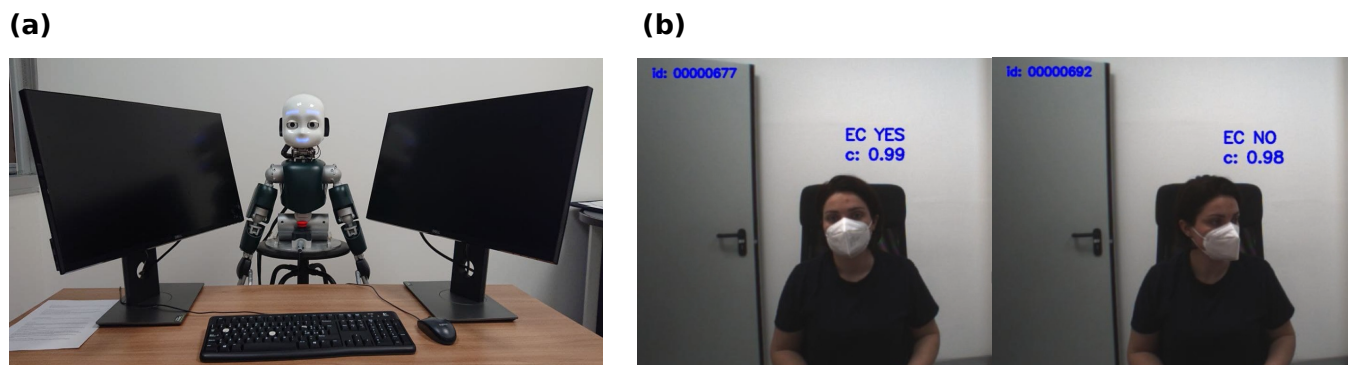


Figure 4. Experimental setup. (a) The iCub is positioned between two lateral screens face to face with the participant at the opposite sides of a desk that is 125cm wide. (b) Sample frames acquired during the experiment in which the participant first looks at the robot to make an eye contact and then simulates a distraction looking at the lateral screen. On each frame, the prediction (eye Contact yes/no) with the confidence value *c* is also reported.