

1 Strategies to revise agrosystems and breeding to control Fusarium wilt of 2 banana

3 Yasmín Zorrilla-Fontanesi¹, Laurens Pauwels^{2,3}, Bart Panis^{1,4}, Santiago Signorelli^{1,5,6}, Hervé
4 Vanderschuren^{1,7*}, Rony Swennen^{1,4,8*}

- 5 1. Laboratory of Tropical Crop Improvement, Division of Crop Biotechnics, KU Leuven, Leuven,
6 Belgium.
- 7 2. Department of Plant Biotechnology and Bioinformatics (Technologiepark 71), Ghent University,
8 Ghent, Belgium.
- 9 3. VIB Center for Plant Systems Biology (Technologiepark 71), Ghent, Belgium. VIB Center for Plant
10 Systems Biology (Technologiepark 71), 9052 Ghent, Belgium.
- 11 4. Bioversity International, Heverlee, Belgium.
- 12 5. Departamento de Biología Vegetal, Facultad de Agronomía, Universidad de la República,
13 Montevideo, Uruguay.
- 14 6. The School of Molecular Sciences, Faculty of Science, The University of Western Australia,
15 Crawley, Western Australia, Australia.
- 16 7. Plant Genetics Laboratory, TERRA Teaching and Research Center, Gembloux Agro-Bio Tech,
17 University of Liège, Gembloux, Belgium.
- 18 8. International Institute of Tropical Agriculture (IITA), C/o The Nelson Mandela African Institution
19 of Science and Technology (NM-AIST), Arusha, Tanzania.

20 *Corresponding authors: Rony Swennen (rony.swennen@kuleuven.be and r.swennen@cgiar.org); Hervé
21 Vanderschuren (herve.vanderschuren@kuleuven.be)

22 These authors contributed equally to this work: Yasmín Zorrilla-Fontanesi, Laurens Pauwels

23 ORCID Y.Z-F.: 0000-0002-2514-3927

24 ORCID L.P.: 0000-0002-0221-9052

25 ORCID B.P.: 0000-0001-6717-947X

26 ORCID S.S.: 0000-0002-1854-316

27 ORCID H.V.: 0000-0003-2102-9737

28 ORCID R.S.: 0000-0002-5258-9043

29 Abstract

30 The recent emergence of the fungus *Fusarium oxysporum* f. sp. *cubense* tropical race 4 (*Foc*
31 TR4), the deadly strain that causes Fusarium wilt of banana, has put the banana production
32 chain for export under threat. Here, we propose research priorities and complementary
33 strategies and challenges for effective and efficient mitigation management of Fusarium wilt.
34 Our strategies include diversifying the agrosystems to increase crop resilience, as well as using
35 precision breeding approaches to rapidly assess and introduce disease-resistance genes to
36 develop stable and complete *Foc* resistance in commercial banana cultivar.

37 Main

38 The recent identification of the fungus *Foc* TR4 — the most destructive and uncontrollable soil
39 pathogen of banana (*Musa* spp.) — in Colombia¹ is sending a dreadful message to the export

40 plantations of Central and South America, demonstrating that this disease has become a global
41 threat.

42 In tropical regions, banana production contributes significantly to food security, as cooking
43 bananas and plantains are considered staple crops in more than 120 countries across the tropical
44 belt. The dessert banana Cavendish, which is internationally traded, is an important export
45 commodity. Over the last fifty years, the average banana yield in the world has nearly doubled,
46 increasing from 10.6 t ha⁻¹ in 1961 to 20.2 t ha⁻¹ in 2017². While the yield gain has been
47 attributed to several factors, including climate change³, the increasing acreage of banana
48 cropped under intensive monoculture systems has significantly contributed to the increment in
49 banana production.

50 Bananas originated from Southeast Asia and most of the domesticated varieties are seedless
51 triploids ($2n = 3x = 33$) developed from inter- and intra-specific hybridizations of two wild
52 diploid *Musa* species (*M. acuminata* and *M. balbisiana*)⁴. Modern edible varieties display
53 genome constitutions of AAA (that is, the cross of three *M. acuminata* genomes), as the sweet
54 dessert and East African Highland bananas; AAB (cross of two *M. acuminata* and one *M.*
55 *balbisiana* genomes), like starchy plantains and some dessert bananas; or ABB (cross of one
56 *M. acuminata* and two *M. balbisiana* genomes), as cooking bananas. Seedless cultivated
57 bananas that are diploids (AA or AB) exist as well.

58 In 2017, global banana production was 114 Mt, with locally consumed bananas, often grown
59 by smallholder producers, making up 80% of global production. Smallholder organic
60 agrosystems rely on 4 to 22 banana varieties⁵ mixed with other food crops and often with trees⁶
61 (Fig. 1a). This is in striking contrast to commercial plantations, where large fields consisting
62 of one single clone from the Cavendish subgroup (*Musa* AAA; Fig. 1b) are managed with
63 agrochemicals, even though intermediate systems are emerging⁷. The monoculture practice
64 directly relies on a very narrow and inflexible genetic pool in the crop. Hence, *Foc* TR4 creates
65 havoc in these genetically uniform monocultures⁸. However, in smallholder fields, backyard
66 gardens or mixed agrosystems, the predecessor of Cavendish called Gros Michel (*Musa* AAA)
67 and other varieties similarly susceptible to *Foc* race 1 (R1) are still used even today^{5,9}. During
68 the first part of the 20th century, Gros Michel was the most internationally traded banana due
69 to its favourable traits, that is, big bunch, bruise-resistant peel and ability to withstand the long
70 journey from farm to market¹⁰. However, the highly susceptible Gros Michel variety was
71 completely replaced by resistant Cavendish bananas in large-scale industrial plantations during

72 the 1950–1960s, as Fusarium wilt caused by *Foc* R1 rapidly spread across South and Central
73 America¹¹.



74
75 **Fig. 1 Two contrasting banana cropping systems. a**, Organic farm in Tanzania with Mchare banana (AA
76 genome group) intercropped with coffee and in the shade of big trees, ensuring a richer above- and below-ground
77 biodiversity. **b**, Conventional banana plantation in Honduras based on the monoculture of Cavendish (AAA
78 genome group). Credit: Rony Swennen.

79 **Research priorities and complementary strategies to control Fusarium wilt of** 80 **banana**

81 We propose research priorities and complementary strategies and discuss challenges to
82 effectively and efficiently manage Fusarium wilt.

83 **Biodiverse agrosystems to increase resilience**

84 Unlike other banana fungal diseases such as Sigatoka leaf diseases, which are largely controlled
85 by fungicides with up to 50 sprays per year, Fusarium wilt cannot be controlled without
86 performing complete sterilization of the soil¹², which is unaffordable and destroys the soil
87 microbiome. Therefore, an effective and efficient mitigation management of Fusarium wilt
88 should consist of a combination of strategies including redesigned banana cropping systems
89 conducive to higher above- and below-ground biological diversity.

90 Smallholder farms tend to rely on more heterogeneous agrosystems with minimal inputs⁶.
91 Noticeably, Sukari Ndizi, a local banana variety in eastern Africa susceptible to *Foc* R1, can
92 be cultivated under such heterogeneous agrosystems in *Foc* R1-endemic regions¹³. Efforts are
93 being made to identify specific bacterial and fungal genera present in asymptomatic Sukari
94 Ndizi plants and *Foc* suppressive soils, as they were demonstrated to host a wide diversity of
95 microorganisms¹³. Cover crops in industrial banana plantations are a good first attempt to not
96 only reduce chemical weed control, but also to reduce weevil and nematode infestations^{14,15}.
97 However, more research is needed to identify the ideal cover crops contributing to pest

98 regulation and biomass production. Such cover crops should be able to grow in the shade of
99 the banana plants and not compete with them. Banana varietal mixtures are an additional
100 option, as practices in East and Central Africa showed that banana production with *Foc* R1-
101 susceptible varieties is possible where *Foc* R1 is widespread¹⁶. It is in such varietal mixtures
102 where the *Foc* R1-susceptible Gros Michel has not disappeared from biodiverse smallholders'
103 fields in Africa — nearly 70 years after the *Foc* R1 epidemics annihilated Gros Michel
104 plantations in Latin America. Moreover, such susceptible varieties are cultivated as part of
105 intercropped or agroforestry systems in association with small trees like coffee, but also in the
106 shade of big trees^{17,18} (Fig. 1a). Agricultural management practices with an increased level of
107 biodiversity on the farm were shown to reduce the intensity of important fungal diseases in
108 crops¹⁹, including Fusarium wilt²⁰. The mechanisms involved in these biodiverse agrosystems
109 remain elusive. It is possible that higher biodiversity in the field triggers, directly or indirectly,
110 the induction of resistance mechanisms in neighbouring plants through competition for
111 resources (such as light, water and nutrients), the release of specific plant-derived compounds,
112 or the establishment of plant–microbiome interactions²¹.

113 In such biodiverse-rich environments, plants are exposed to different types of microbiota
114 leading to complex plant–microbiome interactions, with considerable potential to increase
115 plant health²². Indeed, the molecular signals that trigger plant immune responses are very
116 similar and often identical in pathogenic and beneficial microbes²³. However, the beneficial
117 effects of plant-associated microbiomes are usually variety- and species-specific, and reveal
118 robust habitat- and genotype-dependent selections²⁴. Therefore, functional plant–microbiome
119 interactions should be incorporated into breeding processes as a trait for selection²⁵.
120 Nevertheless, further efforts need to be made in order to identify key genotype–microorganism
121 interactions and candidate genes for *Foc* tolerance. To achieve this, a better characterization of
122 the microbiomes in relation to banana genotypes, agricultural practices and environments
123 would result in essential information to adapt banana breeding. For instance, microbiome
124 profiles from tolerant and susceptible banana plants would help identify those microorganisms
125 and, ultimately, candidate genes associated with *Foc* tolerance or resistance. Likewise,
126 identifying *Foc*-resistant accessions through germplasm screening would help to understand
127 mechanisms of resistance and provide banana breeders with the genetic resources to be
128 integrated into commercial varieties. Therefore, selection of naturally resistant varieties needs
129 to tap into the available banana diversity²⁶. Because the soil microbiome impacts plant health,

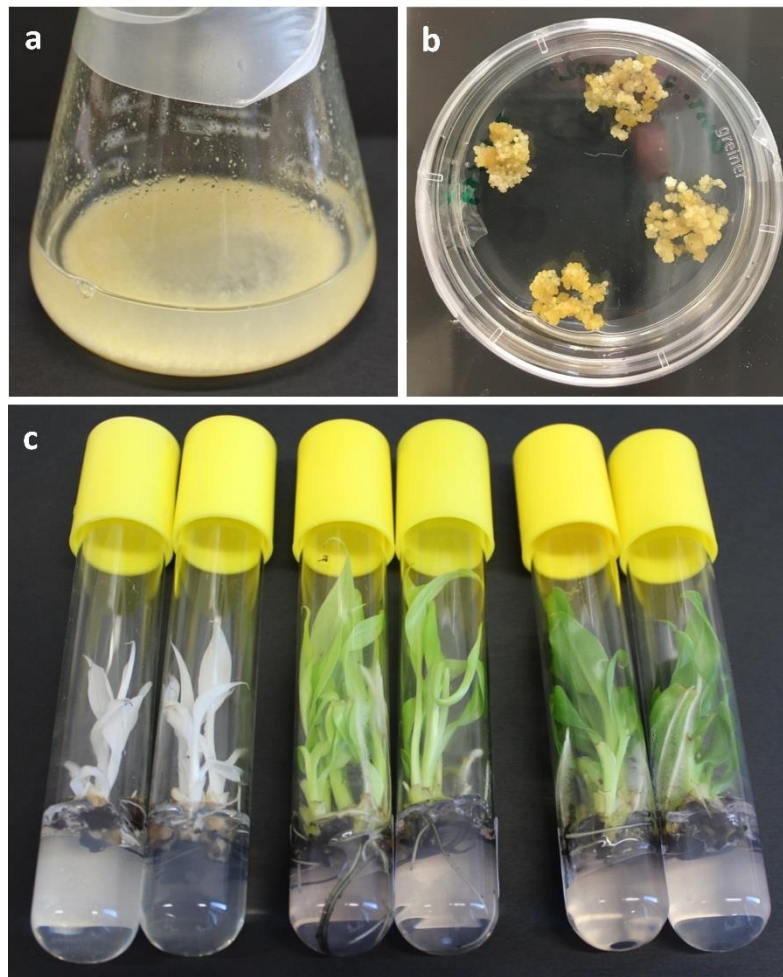
130 these new varieties should then be integrated into agrosystems and crop management practices
131 that stimulate soil biodiversity associated with resistance against *Fusarium* wilt²⁰.

132 **Precision breeding approach**

133 With the export industry still highly dependent on its preferred Cavendish varieties, it is also
134 necessary to develop *Foc* TR4 resistant Cavendish or Cavendish-like bananas. However,
135 conventional breeding is time- and labour-consuming, especially for crops with long life cycles
136 that require large plantation areas, such as banana²⁷. In addition, although sources of resistance
137 to *Foc* TR4 were found in wild banana species²⁸, introgression of *Foc* TR4 resistance genes
138 into commercial varieties by conventional breeding remains a difficult task due to the sterile
139 nature of Cavendish²⁷. On the other hand, mutation induction resulted in Cavendish and other
140 varieties with only intermediate resistance²⁹. Genetic transformation of banana offers the
141 opportunity to overcome the difficulties of classical breeding²⁷. Transformation of Cavendish
142 with *resistance gene analog 2* (*RGA2*), isolated from a TR4-resistant diploid banana, showed
143 promising results³⁰. However, acceptance of transgenic products by consumers, particularly in
144 the European Union, prevents adoption of transgenic technologies by the banana export
145 industry³¹. Therefore, new approaches to develop Cavendish varieties displaying stable and
146 complete resistance are urgently needed.

147 New plant breeding techniques (NPBTs) are opening venues to breed difficult crops such as
148 banana and can accelerate the transition towards precision breeding for crop improvement^{32,33}.
149 Polyploidy in *Musa* varieties is associated with domestication, and speed breeding techniques
150 could be instrumental to rapidly reproduce domestication events and provide access to novel
151 traits, including disease resistance, for subsequent selection of improved varieties³⁴. Precision
152 breeding using CRISPR technology also holds tremendous opportunities for rapid and direct
153 editing of current elite triploid varieties. Genome editing of banana has been established using
154 *Agrobacterium*-mediated stable genetic integration of a Cas9-containing transgene in the
155 genome of sterile triploid varieties^{35–38} (Fig. 2). *Agrobacterium*-mediated stable transformation
156 offers the advantage of high efficiency. However, the main drawbacks are the impossibility of
157 out-crossing the T-DNA in triploid thus, provide an alternative⁴². Another approach that
158 remains to be tested in diploid banana is haploid induction editing (HI-Edit) technology, which
159 combines haploid induction with gene editing⁴³. The main haploid inducer locus is known to
160 encode MATRILINEAL (MTL) and CRISPR-Cas9 knock-out of MTL has been used to make
161 haploid inducers in rice and wheat⁴⁴.

162 The sterile nature of triploid commercial varieties and the reluctance to use transgenic banana
163 make DNA-free CRISPR-Cas delivery methods indispensable for direct gene editing.
164 Transient T-DNA delivery using *Agrobacterium*⁴⁵ or the use of carriers such as nanoparticles⁴⁶
165 can help establishing T-DNA-free edited banana. Because CRISPR-Cas9 functions as a
166 ribonucleoprotein complex (RNP), it can also be delivered as in vitro synthesized RNPs by
167 biolistics to plant cells, as pioneered in maize and wheat^{47,48}, or to protoplasts⁴⁹. Protocols for
168 biolistics of cells and protoplast electroporation followed by plantlet regeneration were
169 explored for banana in the past^{50,51}. Biolistics or particle bombardment of banana cells is a
170 relatively simple delivery system that remains constrained by low transformation efficiency
171 and the production of chimeric plants when no selection marker is used (Supplementary Fig.
172 1). Transformation systems of banana protoplasts ensure regeneration of non-chimeric plants
173 but they are limited by the low viability of protoplasts after electroporation (Supplementary
174 Fig. 1). These aforementioned limitations, as well as the availability of good quality
175 embryogenic cell cultures with low probability of somaclonal variation⁵², will need to be
176 addressed in order to establish routine DNA-free genome editing protocols for banana.
177 Reducing tissue culture time by direct somatic embryogenesis using morphogenic regulators
178 and by cryopreservation could limit somaclonal variation and make banana gene editing more
179 efficient^{53,54}. Cryopreservation would be executed as soon as enough good quality embryogenic
180 cell suspensions are obtained, leading to a long-term genetically stable stock of totipotent cells.
181 Additionally, optimizing the photoperiod and the light quality and intensity required for plant
182 regeneration after transformation could be applied to shorten the process⁵⁵.



183

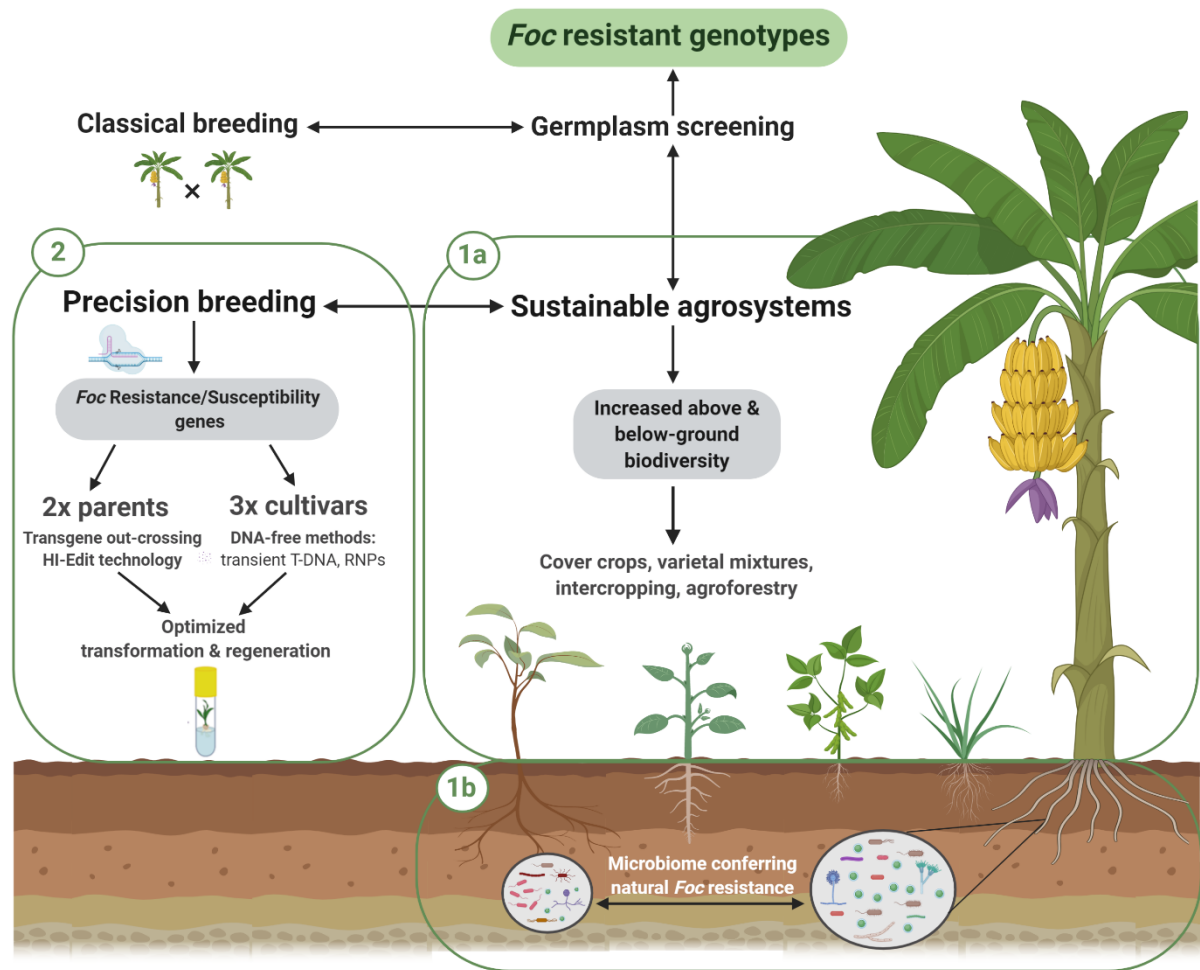
184 **Fig. 2 Targeted genome editing of banana using CRISPR-Cas9.** **a**, Embryogenic cell culture of Williams
185 (Cavendish, AAA genome group) used for *Agrobacterium*-mediated transformation and genome editing. **b**,
186 Transformed embryogenic cells regenerating on a selective medium. **c**, Genome-edited plants showing (i)
187 mutations in *M. acuminata* *Phytoene desaturase* (*MaPDS*) leading to albino phenotypes (left), (ii) mutations in
188 *M. acuminata* *Chlorophyll a/b binding protein harvesting–organelle specific* (*MaCHAOS*) leading to pale-green
189 phenotypes (middle), and (iii) wild type phenotypes (right). Credit: Yasmín Zorrilla-Fontanesi.

190 Progress in NPBTs for banana needs to be concomitant with the identification of candidate
191 genes for disease resistance. Despite several years of research, identification and
192 characterization of genes conferring resistance against *Foc* TR4, the closely related subtropical
193 race 4 (STR4) and R1 remain scarce^{30,56}. Recent efforts to characterize the transcriptome
194 modulation after *Foc* TR4 inoculation have led to the identification of a number of candidate
195 resistance genes whose validation could be accelerated by fast and robust NPBTs^{57–59}.
196 Additionally, the characterization of resistance genes in other *Fusarium oxysporum*–host plant
197 pathosystems combined with genomics approaches might narrow down natural resistance gene
198 candidates in banana^{60,61}. Strong candidates for genome editing in banana could be either
199 negative regulators of disease-resistance genes or host-susceptibility genes, which have been

200 used to generate loss-of-function mutations (knock-outs) in other plant–fungal pathosystems³².
201 However, in perennial plant species that underwent recent whole-genome duplications, such as
202 banana⁶², a large proportion of genes belong to well-conserved gene families comprising
203 several paralogs with highly similar DNA sequences. Simultaneous expression of multiple
204 single guide RNAs targeting different paralogs would allow ‘multiplex genome editing’ (for
205 example, double, triple or quadruple mutants) to be performed, as demonstrated in many plants,
206 including rice³², and provide a powerful tool for addressing the problem of genetic redundancy
207 in banana. Likewise, the generation of gain-of-function mutants (knock-ins) of resistance genes
208 by homology-driven repair is another option, although this method still remains difficult to
209 implement efficiently in higher plants³². Alternatively, enhancing the expression of resistance
210 genes in Cavendish-like bananas, as the *RGA30* gene, by means of CRISPR-mediated gene
211 regulation, targeted promoter mutagenesis or replacement^{32,40} may also lead to the generation
212 of transgene-free banana varieties resistant to *Foc* TR4.

213 **The way forward**

214 Current challenges in banana production will require a holistic approach building on new
215 agronomic practices supporting biodiversity and the development of banana varieties requiring
216 lower agricultural inputs (Fig. 3). Sustainable and immediate mitigation strategies for the *Foc*
217 TR4 should rely on a combination of ‘smart’ agrosystems⁶³ and cohort-based crop management
218 practices⁶⁴. Cohort-based banana management will also require a global surveillance system of
219 pathogens to match banana cropping systems and risk management⁶⁵. Due to the limitations
220 inherent to banana genetics, breeding disease-resistant bananas, including the Cavendish
221 dessert banana, represents a middle- to long-term strategy. However, the potential of banana
222 improvement to increase the durability of banana cropping systems cannot be underestimated.
223 Concomitantly, implementation of such durable cropping systems will also ensure that the
224 newly developed resistant varieties will hold longer in the field by slowing down the emergence
225 of pathogens able to overcome the deployed resistance. High-throughput sequencing
226 technologies have helped identifying soil microbiomes associated with plant health²², and
227 banana breeding programmes could take advantage of such approaches to develop resistance
228 to *Fusarium* wilt (Fig. 3). Because the diversity of cultivated banana has long been impeded by
229 its genetic structure, breeding programmes also need to take advantage of recent progress in
230 tools for genetic improvement to rapidly assess and introduce disease-resistance genes in
231 susceptible banana varieties (Fig. 3).



232

233 **Fig. 3 Integrated view of the proposed strategies for Fusarium wilt mitigation management in banana.**
 234 Sustainable agrosystems increasing the above- and below-ground biodiversity on the farm (1a) can lead to the
 235 establishment of novel plant–microbiome interactions (1b) and the discovery of candidate genes associated to *Foc*
 236 resistance or tolerance. Concomitantly, NPBTs, such as CRISPR genome editing, can be used for precision
 237 breeding in banana (2) and the generation of improved *Foc*-resistant lines through targeted modification of
 238 susceptibility or resistance genes either in diploid parents (pre-breeding stage) or triploid varieties. *Foc*, *Fusarium*
 239 *oxysporum* f. sp. *cabense*; HI-Edit, haploid induction editing technology; RNPs, ribonucleoproteins.

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397 R.S., H.V. and Y.Z.-F. led the writing of the paper. L.P., B.P. and S.S. contributed to the critical
398 reading of the manuscript, and provided suggestions and contributed to the writing of specific
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401 **Competing interests**

402 The authors declare no competing interests.

403 **Additional information**

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