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Suppletive periphrasis, symphrasis, and morphological theory

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DEDICATED TO THE MEMORY
OF OTMAR WERNER (1932-1997)

Goals of this talk:

- examine the notion of periphrasis
(rare in morphological theory; exception: BÖRJARS et al. 1997)
- make some necessary conceptual distinctions
- establish the concept of *symphrasis* (= 'anti-periphrasis')
- argue for a morphology-syntax continuum

1. What is periphrasis?

periphrasis: the use of a multi-word expression where one would expect a single word in an inflectional paradigm

two kinds of periphrasis:

(cf. AERTS 1967, ROSÉN 1992)

- (A) Suppletive periphrasis:** (= gap-filling periphrasis)
supplies forms for inflectional paradigms that cannot be formed as regular single-word forms
e.g. English *warm* – *warmer*
beautiful – *more beautiful* (**beautifuller*)

- (B) Categorical periphrasis:**
creates a new category which is not necessarily part of the inflectional paradigm
e.g. English *will cut* (Future)
e.g. Italian *viene tagliato* 'is cut' (Passive)
sto tagliando 'I'm cutting' (Progressive)
comincio a tagliare 'I begin to cut' (Inceptive)

HOCKETT (1958:212): periphrasis "can be recognized only where there is a clear gap in the inflectional patterns, which the phrases serve to fill"

2. Two types of suppletive periphrasis

- (A1) Lexical suppletive periphrasis** (creates lexical generality):

(1) Latin comparative degree: different adjective classes

positive	<i>longus</i>	<i>felix</i>	<i>arduus</i>	<i>idoneus</i>
comparative	<i>longior</i>	<i>felic-ior</i>	<i>[magis arduus]</i>	<i>[magis idoneus]</i>
	'long'	'happy'	'steep'	'suitable'

(2) Romanian case inflection: different noun classes

	masc. common n.	fem. proper n.	masc. proper n.
base form	<i>prieten-ul</i>	<i>Ana</i>	<i>Petre</i>
oblique case	<i>prieten-ul-ui</i> 'the friend'	<i>Anei</i> 'Ana'	<i>[lui Petre]</i> 'Petre'

(3) Maltese possessive forms (1st person singular): different noun classes

base form	<i>dar</i>	<i>id</i>	<i>ktieb</i>
possessive form	<i>dar-i</i> 'house'	<i>id-i</i> 'hand'	<i>[ktieb tieg]-i</i> 'book'

(A2) Paradigmatic suppletive periphrasis (creates paradigm symmetry):(4) Latin aspects and voices: 3rd person singular of *scribere* 'write'

	present	imperfect	perfect	pluperfect
active	<i>scribit</i>	<i>scribebat</i>	<i>scripsit</i>	<i>scripserat</i>
passive	<i>scribitur</i>	<i>scribebatur</i>	<i>[scriptum est]</i>	<i>[scriptum erat]</i>

(5) Russian tenses and aspects: 3rd person singular of (s)*delat'* 'do'

	present	past	future
perfective	—	<i>s-delal</i>	<i>s-delaet</i>
imperfective	<i>delaet</i>	<i>delal</i>	<i>[budet delat']</i>

(6) Classical Greek middle perfect inflection (*grápho* 'write')

	singular	plural
1st	<i>gégram-maige</i> <i>grám-metha</i>	
2nd	<i>gégrap-sai</i>	<i>gégraph-the</i>
3rd	<i>gégrap-tai</i>	<i>[gegram-ménoi eisi]</i>

3. The structural paradigmatic model

Suppletive periphrasis, like suppletion, serves as an argument for a paradigmatic view of inflection (cf. BÖRJARS, VINCENT & CHAPMAN 1997):

	-perfect	+perfect
+active	<i>lauda-t</i>	<i>lauda-vi-t</i>
-active	<i>lauda-tur</i>	*

TABLE 1: Latin *laudare* 'praise'

	+present	-present
1SG	*	<i>all-ai-s</i>
1PL	<i>all-ons</i>	<i>all-i-ons</i>

TABLE 2: French *aller* 'go'

There are no regular bound forms for [+perfect, -active] of *laudare*, and no regular bound form for [+present, 1SG] of *aller*.

The lexicon supplies the suppletive [+present, 1SG] form of *andare*: *vais*
The syntax supplies a periphrastic [+perfect, -active] form of *laudare*:

<i>laudatum</i>	<i>est</i>
[−active] [3SG]	
[+perfect] [+present]	

4. Symphrasis (or: "anti-periphrasis")

Sometimes the single-word forms in a paradigm that create the slots filled by periphrastic forms are a clear **minority**.

E.g., in Lezgian (HASPELMATH 1993), **18 verbs** allow prefixal negation of verbal nouns, all other verbs have "periphrastic negation" (cf. also Maltese in 3):

(7) Lezgian negated verbal noun: different verb classes				
affirmative	<i>awun</i>	<i>xun</i>	<i>gun</i>	<i>c&üixün</i>
negative	<i>t-awun</i>	<i>ta-xun</i>	<i>ta-gun</i>	<i>[c&üixün t-awun]</i>
	'do'	'become'	'give'	'wash'

In Afrikaans, **a handful of verbs** have bound past tense forms:

(8) Afrikaans past tense forms (DONALDSON 1993:222)					
present tense	<i>is</i>	<i>weet</i>	<i>kan</i>	<i>werk</i>	<i>begin</i>
past tense	<i>was</i>	<i>wis</i>	<i>kon</i>	<i>[het gewerk]</i>	<i>[het begin]</i>
	'be'	'know'	'can'	'work'	'begin'

In Spanish, **4 adjectives** have bound comparative forms:

(9) Spanish comparative				
positive	<i>bueno</i>	<i>pequeño</i>	<i>oscuro</i>	<i>caliente</i>
comparative	<i>mejor</i>	<i>menor</i>	<i>[más oscuro]</i>	<i>[más caliente]</i>
	'good'	'little'	'dark'	'warm'

In Hungarian, **1 verb** has a bound future form:

(10) Hungarian future tense (3rd person singular)			
infinitive	<i>lenni</i>	<i>írni</i>	<i>felelni</i>
future tense	<i>lesz</i>	<i>[fog írni]</i>	<i>[fog felelni]</i>
	'be'	'write'	'answer'

So few single-word forms are hardly sufficient to create slots for the whole word-class.

Instead: The multi-word forms are the unmarked forms and create a **syntactic paradigm**. Some "slots" in this syntactic paradigm may be filled by **symphrastic forms** (= **anti-periphrastic forms**), i.e. single-word forms that "supply" the "gaps" in the syntactic paradigm.

Paradigmatic suppletive "periphrasis":

more periphrastic slots than bound slots

(11) Hungarian subject-object inflection (<i>kér-</i> 'ask'; <i>engem</i> 'me', <i>téged</i> 'you')			
	1OBJ	2OBJ	3OBJ
1SG.SUBJ	—	<i>kér-lek</i>	<i>kér-em</i>
2SG.SUBJ	<i>[engem kér-ed]</i>	—	<i>kér-ed</i>
3SG.SUBJ	<i>[engem kér-i]</i>	<i>[téged kér-i]</i>	<i>kér-i</i>
1PL.SUBJ	—	<i>[téged kér-jük]</i>	<i>kér-jük</i>
2PL.SUBJ	<i>[engem kér-itek]</i>	—	<i>kér-itek</i>
3PL.SUBJ	<i>[engem kér-ik]</i>	<i>[téged kér-ik]</i>	<i>kér-ik</i>

An exemplary **symphrasis analysis** of Hungarian (symphrastic form in brackets):

(12) Hungarian future tense (3rd person singular)

infinitive	<i>írni</i>	<i>felelni</i>	<i>lenni</i>
future tense	<i>fog írni</i> 'write'	<i>fog felelni</i> 'answer'	<i>[lesz]</i> 'be'

≈ *periphrastic/single-word* (if the multi-word forms are the marked minority)

≈ *multi-word/symphrastic* (if the single-word forms are the marked minority)

But can we assume **syntactic paradigms**, like morphological paradigms?

5. The symphrasis-periphrasis continuum

Periphrastic pole

Symphrastic pole

←		→		
<i>magis idoneus</i>	<i>gegramménoi eisi</i>	<i>more beautiful</i>	<i>c&üxiin taw.</i>	<i>más oscuro</i>
<i>long-ior</i>	<i>pepaídeu-ntai</i>	<i>warm-er</i>	<i>ta-xun</i>	<i>mejor</i>

multi-word forms are few

single-word forms are few

multi-word forms are recent innovations

single-word forms are old relics

The periphrasis-symphrasis continuum is further evidence for the syntax-morphology continuum.

6. Blocking through entrenchment

symphrasis (not suppletive **periphrasis**) is analogous to **suppletion**:

periphrasis:	fills what would otherwise be a gap (<i>magis idoneus</i>) <i>*idoneior</i> : impossible for phonological reasons
suppletion:	does not fill a gap (English <i>went</i> / French (<i>je</i>) <i>vais</i>) <i>*goed/(j)*alle</i> : impossible because blocked
symphrasis:	does not fill a gap (Lezgian <i>ta-xun</i> 'not becoming') <i>*xun t-awun</i> : impossible because blocked morphology blocks syntax!

Mechanism of **blocking** (cf. ANSHEN & ARONOFF 1988, RAINER 1988):

only **frequent** lexemes show suppletion, because

- high frequency leads to a high degree of **lexical strength** (BYBEE 1985) or **entrenchment** (LANGACKER 1987); and
- high degree of entrenchment allows **rapid lexical access**; hence
- **suppletive** forms of **frequent** items are **more efficient** in processing (cf. also WERNER 1977, 1987)

The same mechanism is responsible for symphrasis:

morphological forms are more deeply entrenched than syntactic combinations (cf. Bybee 1995)

Like suppletion, symphrasis occurs only with the most frequent words:

(‘be’, ‘do’, ‘have’, ‘know’; ‘good’, ‘bad’, ‘small’, ‘big’
(periphrasis occurs with rarer words)

Regular morphology, too, has a blocking effect:

cf. Latin **magis longus* ‘more long’

		(irregular:)	(regular:)	(irregular:)
symphrasis:	Hungarian	‘will write’ ‘will be’	<i>lesz</i>	<i>fog írni</i> >>> (<i>*fog lenni</i>)
suppletion:	English	‘played’ ‘went’	<i>went</i>	<i>play-ed</i> >>> (<i>*go-ed</i>)
periphrasis:	Latin	‘longer’ ‘more fitting’		<i>long-ior</i> >>> (<i>*magis longus</i>) <i>magis idoneus</i>
		←—————→		
		more entrenched		less entrenched
direction of blocking >>> >>> >>> >>> >>>				

FIGURE 1: *Symphrasis, suppletion, periphrasis*
 (“X >>> Y” = X blocks Y”)

Blocking is independent of the **regularity** or **irregularity** of the blocking item – it only depends on the degree of **entrenchment** (ultimately, frequency)

7. Periphrasis/symphrasis in syntax

Suppletive periphrasis (or symphrasis) is found in syntax as well:

(13) English polar question formation:

affirmative	<i>you can</i>	<i>you have</i>	<i>you came</i>	<i>you played</i>
polar interrog.	<i>can you?</i>	<i>have you?</i>	<i>[did you come?]</i> (<i>*came you?</i>)	<i>[did you play?]</i> (<i>*played you?</i>)

(14) English comparative formation:

positive	<i>warm</i>	<i>nice</i>	<i>beautiful</i>	<i>relevant</i>
comparative	<i>warm-er</i>	<i>nic-er</i>	<i>[more beautiful]</i> (<i>*beautifuler</i>)	<i>[more relevant]</i> (<i>*relevanter</i>)

Note: **high frequency** of inversion verbs, not only in English:

Italian dialects of Veneto (cf. POLETTI 1993):

Paduan: **verb-subject clitic inversion**, all verbs:

El vien. ('He comes'); *Vien-lo?* ('Does he come?')

Venetian: only a **restricted class** of verbs:

Dove va-lo? 'Where does he go?' [*Cossa ze che el magna?*]
Cossa fa-lo? 'What does he do?' (**Cossa magne-lo?*)

Cf. Grimshaw's (1997) implausible constraint NO LEXICAL HEAD MOVEMENT)

8. Conclusion

periphrasis and symphrasis are independent of the syntax-morphology division:

<i>more morphological</i> <-----> <i>more syntactic</i>					
	root	morphemes	new morphemes	words	more words
English	'worse'	<i>worse</i>	>>> (* <i>badder</i>)		
Spanish	'darker'			<i>más oscuro</i>	
	'better'	<i>mejor</i>		>>> (* <i>más bueno</i>)	
Latin	'more fit'			<i>magis idoneus</i>	
	'longer'		<i>long-ior</i>	>>> (* <i>magis longus</i>)	
English	'heaped'			<i>heap-ed</i>	
	'kept'		<i>kep-t</i>	>>> (* <i>keep-ed</i>)	
English	'did you come?'				<i>did you come?</i>
	'are you?'			<i>are you?</i>	>>> (* <i>did you be?</i>)

more entrenched <-----> *less entrenched*

FIGURE 2.

result: a morphology-like view of syntax:

paradigms, blocking, periphrasis, symphrasis extended to syntax

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