

IMI2 821520 - ConcePTION

ConcePTION

WP8 - Scientific coordination, project management & sustainability

D8.5 Data Management Plan

Lead contributor	Florian van der Nolle (1. UMC Utrecht) f.l.vandernolle-raven@umcutrecht.nl
Other contributors	Pieter Stolk (1. UMCU) Miriam Sturkenboom (1. UMCU) Peter Singleton (6. i-HD) Dipak Kalra (6. i-HD) Marianne Cunnington (41. GSK)

Document History

Version	Date	Description	Non-contributor reviewers (if applicable)
V0.1	14 Feb. 2020	First Draft	
V1.0	25 Feb 2020	Second draft	
V1.1	5 March 2020	First version	Managing Board

Summary

The ConcePTION Description of Action (DoA) includes a Data Management Plan (DMP) as deliverables D8.5 and D8.19, as part of WP8. The DMP describes the data management life cycle for all data sets that will be collected, processed or generated by the project. In addition to the ConcePTION Consortium Agreement (CA), the DMP provides a general framework regarding data management, data protection, data ownership, accessibility and sustainability requirements.

Overall, the DMP provides a description of the data management, regarding generated research data, that will be applied during the ConcePTION project including:

- Data management and strategy information per WP and/or task, including but not limited to a data summary, data collection, data storage, data processing; quality control and governance
- The possibilities of and conditions for sharing data
- The implementation of data protection requirements

The DMP is an evolving document, therefore, some aspects may be added and/or updated in later version of the document.

Table of contents

Publishable Summary	2
1. Introduction and aim	4
2. General principles	5
Collaborative website – ConcePTION Member Area	5
3. Data types and formats generated or collected in ConcePTION	6
Data types per work package	7
4. Data management and strategy	8
Responsibilities of the data owner(s)	10
5. Data sharing and secondary use of generated or collected data and evidence	11
Remote data platform	11
6. Protection of personal data	12
7. Ethical aspects	13
Annex 1: Data management and strategy survey WP1	14
Annex 2: Data management and strategy survey WP2	20
Annex 3: Data management and strategy survey WP3	26
Annex 4: Data management and strategy survey WP4	36
Annex 5: Data management and strategy survey WP5	44
Annex 6: Data management and strategy survey WP7	47

1. Introduction and aim

ConcePTION aims to create a paradigm shift in how we generate and disseminate evidence on the effects of medication in pregnancy. We intend to establish a trusted ecosystem that can efficiently, systematically, and in an ethically responsible manner, generate and disseminate reliable evidence-based information regarding effects of medications used during pregnancy and breastfeeding to women and their healthcare providers. This will be achieved by generating, cataloguing, linking, collecting and analysing data from pharmacovigilance, modelling, routine healthcare, pregnant women and their children through a large network.

The ConcePTION Description of Action (DoA) includes a Data Management Plan (DMP) as deliverables D8.5 and D8.19, as part of WP8. The DMP describes the data management life cycle for all data sets that will be collected, processed or generated by the project. In addition to the ConcePTION Consortium Agreement (CA), the DMP provides a general framework regarding data management, data protection, data ownership, accessibility and sustainability requirements.

Overall, the DMP describes the data management, regarding generated research data, that will be applied during the ConcePTION project including:

- Data management and strategy information per WP and/or task, including but not limited to a data summary, data collection, data storage, data processing; quality control and governance
- The possibilities of and conditions for sharing data
- The implementation of data protection requirements

The DMP is an evolving document, therefore, some aspects may be added and/or updated in a later version of the document. The DMP will be updated with the periodic evaluation/assessment of the project and as deliverable 8.19 in M60.

In summary, the ConcePTION DMP gives guidance and provides oversight of general data management, while each study needs to provide specific data management information including, but not limited to, data capture systems, data analysis systems, data protection and data privacy measures, including a description of de-identification of data sets and access rules. In cases where the research results are not open access, a justification needs to be provided.

2. General principles

This report is the initial DMP for ConcePTION. As mentioned before, the DMP is a working document that will evolve during the project and will be updated to reflect project progress. The DMP will be updated with the periodic evaluation/assessment of the project and as deliverable 8.19 in M60. Additional updates will be done whenever important changes occur e.g. due to the creation of new data sets.

Procedures relating to the different data management plan aspects will be worked out as the project progresses and will be explained in more detail in an upcoming version of the DMP.

The DMP follows the 'FAIR data principle', i.e. data should be findable, accessible, interoperable and re-usable¹.

The general principles on access rules are defined in the ConcePTION Consortium Agreement (Section 8 Intellectual property – Access rights).

Collaborative website – ConcePTION Member Area

ConcePTION makes use of one information exchange platform, the ConcePTION member area. The member area is a password secured web space where consortium member can store and exchange reports and documents. The platform is not meant to share patient research datasets. The member area is hosted by the coordinator (UMCU), contact person: Florian van der Nolle (f.l.vandernolle-raven@umcutrecht.nl).

¹European Commission Horizon2020 programme. Guidelines on FAIR Data Management, v3.0, 2016.
(http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/hi/oa_pilot/h2020-hi-oa-data-mgt_en.pdf)

3. Data types and formats generated or collected in ConcePTION

ConcePTION aims to create an ecosystem for the rapid and robust generation of evidence on the safety of medications in pregnancy and during lactation, using both existing and newly generated real world data.

The real world data that will be transformed into evidence is coming from various sources:

1) Existing data

- a. Pharmacovigilance data e.g. reports of adverse events in the mother or child following drug exposure prior to or during pregnancy, or during lactation. This data may also capture events following paternal exposure.
- b. Research data e.g. Drug exposure pregnancy registries that recruit women who are exposed to specific drug(s) and are followed up prospectively
- c. Existing health care and surveillance data:
 - *Healthcare claims databases* – created for operational health care purposes and billing of costs on defined population that is followed over time (for example drug dispensing claims)
 - *General practice databases* – electronic medical records provided by General Practitioners (GPs) on defined population that is followed-up prospectively
 - *Birth research cohorts* - pregnant women recruited during pregnancy or at birth, irrespective of exposure, and followed-up prospectively
 - *Demographic/population databases* – includes the population register, residents register, date of birth/death
 - *Linkable Registries*: relevant outcome/exposure data collected for a specific purpose when they can be linked to an underlying population file that defines follow-up time
 - Medical Birth Registries
 - Specific Disease or outcomes surveillance registries e.g. congenital anomaly registries such as EUROCAT, cancer registries, infectious disease surveillance, death
 - Child surveillance databases –growth and developmental records as measured by community child health teams/public health nurses
 - Educational databases - created for operational education administration purposed for example school results, special educational needs and attendance
 - Registry of disability - created for insurance purposes and service delivery
 - Immunization registries
 - Medical encounter databases: hospital based encounters, laboratory measurements, imaging

2) Newly collected data

- a. Human: human reported data on pregnancy (prospective)
- b. Milk: human milk samples
- c. Blood: human blood samples
- d. Animal: animal milk and blood data on milk transfer
- e. Human cells

The trajectory and steps of conversion of data into evidence is depicted in Figure 1 below.

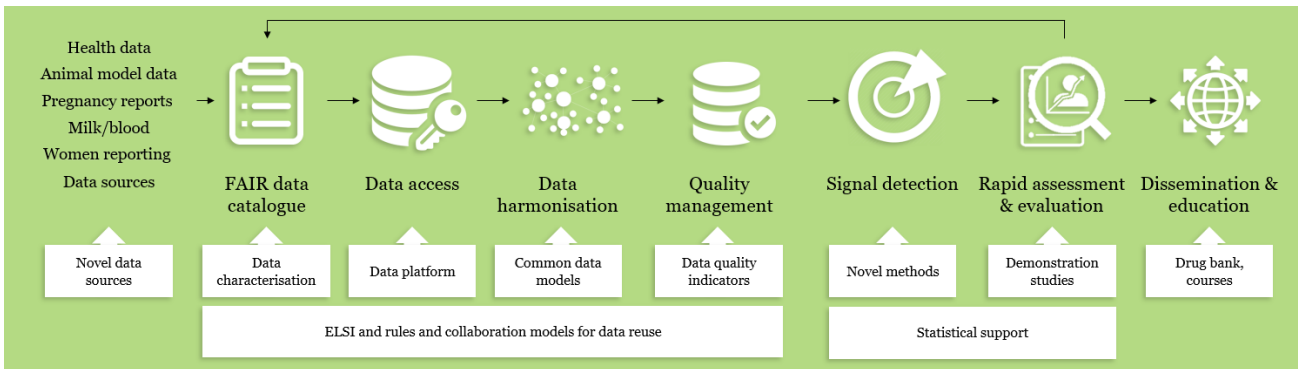


Figure 1 Central to the ConcePTION ecosystem is a dedicated workflow that allows for identification, verification and transformation of data into evidence

The technical infrastructure that will support the management and generation of evidence from different sources of data and the data dimension and approach are described in more detail in D7.2 (Description of the operational platform for data sharing and task management system).

The key principles in ConcePTION are that we work as a distributed data system, and that analytics go to the data rather than the data to the analytics.

Data types per work package

The ConcePTION workplan has been divided in 8 workpackages (Figure 2). Workpackages 1-4 will deal with generation of evidence from different sources of data, WP5 will summarize and disseminate the evidence, WP6 will discuss and get feedback on acceptability of the tools/solutions from stakeholders, and WP7 is providing the ethical, IT system, and quality dimensions. WP8 deals with project management and coordination. Whereas all WP will deal with some type of data, the focus will on WP1-4 as they will deal with transformation of original health/animal/ data into evidence.

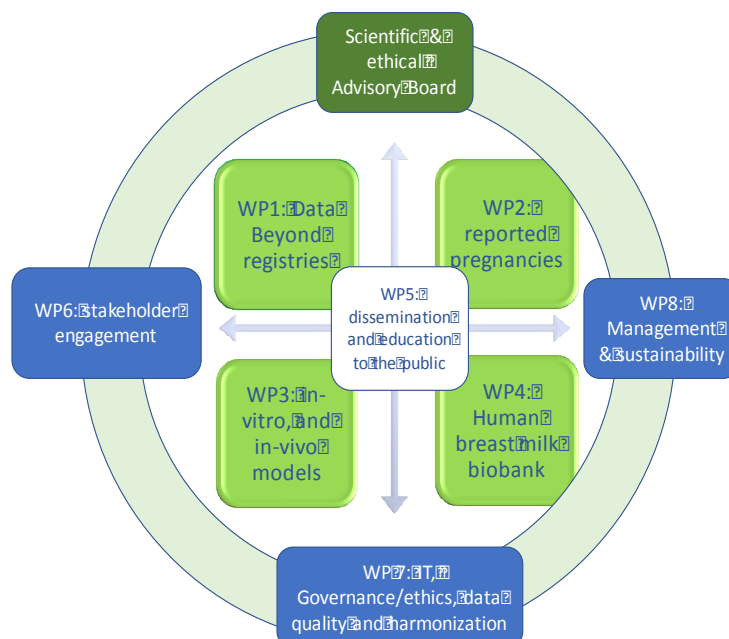


Figure 2 Workplan according to workpackages in the ConcePTION project

4. Data management and strategy

To map all foreseen data collection and to establish the data management needs, every WP is requested to complete the ‘Data management and strategy survey’ (tables below). The tables are based around the following topics:

- Data summary
- Data collection
- Data storage
- Data processing; cleaning, transforming and analysing
- Quality control
- Governance

In addition to these tables, every WP was allowed to provide additional comments related to data management which wasn’t covered by the 6 topics above.

For this initial DMP WP1-5 and 7 were requested to complete the data management and strategy survey between September and December 2019. The surveys of these WPs can be found in Annex 1 – 6. The DMP is an evolving document and throughout the project, as more specific information about data management and strategy is known, the annexes will be updated.

Data management and strategy survey

WP.....

Compiled by.....

1. Data summary

What is the purpose of the data collection/generation and its relation to the objectives of the project?
What types and formats of data will the project generate/collect?
What is the origin of the data?
Will you re-use any existing data and how?
What is the expected size of the data?
To whom might it be useful ('data utility') in the project and after wards?

2. Please describe which partners will be collecting some type of data in your WP, and link to the tasks. Please be specific for each type of data collection

Task	Type of data to be collected	Responsible partner	Collaborating partners	Type of species (specify)	What type of ethical review is needed?	Do you collect identifiable information?

Please comment.....

3. Please describe where the collected data will stored for each task and type of data collection

Task	Type of data to be collected	Where (at which physical location) is primary (original) data stored?	What software is used for storage of data?	What format and type of data standards will you use to store the data?	How will you make the primary data accessible to other partners in consortium. Are there restrictions?

Please make a data localization and format organogram .

4. Please describe the process of cleansing, transforming and analysis of the data. Please be specific for each type of data

Task	Type of data to be collected	What type of data cleaning is needed ?	Who is responsible for data cleaning?	What type of data transformation/ analysis do you anticipate	What software will be used for cleaning, transformation and analysis?	Where/by whom will the analysis be conducted ?	What standards will you use for code development / access and re-use?

Please explain if you wish.....

5. How will you conduct quality control?

Task	Type of analysis	Will you work according to specific protocol?	Who will create the statistical analysis plan?	How do you anticipate to verify data transformation & analysis?

6. Governance please complete for each participating partner

Partner	What code of conduct will you use in each task	What levels of data security do you have locally?	What level of security will the primary data that you use in ConcePTION be? Please list all	Who are the data privacy officers in each of the participating organizations (e-mails)

Please feel free to provide additional comments

Responsibilities of the data owner(s)

The data owners of the respective research projects and dataset are responsible to comply with all legal and ethical requirements for data collection, handling, protection and storage. This includes adherence to regulations, guidelines such as (but not limited to) the EU clinical trial directive 2001/20/EC, Good clinical practice (GCP) and Good Pharmacoepidemiology Practice (GPP), as applicable.

5. Data sharing and secondary use of generated or collected data and evidence

The information collected from the completed ‘Data Management and Strategy surveys’ will be uploaded on the ConcePTION member area. This will enable easy identification of the available datasets and their respective data owners by consortium members. The data owners are responsible for appropriate findability outside the consortium.

Remote data platform

The DoA describes requirements for a remote data platform:

“A state-of-the-art digital research environment with ISO certified and GDPR proof services for remote collaborations will be subcontracted and operated. Access to the application server will be only allowed using two-factor authentication. The environment will be able to host multiple research projects, each with its own secured area to share data and results and provide access through remote desk tops clients. The infrastructure will offer several analytical tools (e.g. R, SQL database, Shiny, Stata) word processing software, and utilities”

Deliverable D7.2 (Description of the operational platform for data sharing and task management system) describes the remote data platform in more detail. The report will describe the requirements of the data platform and will also review and test multiple solutions.

6. Protection of personal data

The collection, handling storage and exchange of personal data will be conducted in a secure manner, through secure channels. In addition, this will happen under the applicable international, IMI and national laws and regulations. Only data of relevance for the proposed research will be collected, no excess data will be stored. ConcePTION researchers commit to the highest standards of data security and protection in order to preserve the personal rights and interests of study participants. They will adhere to the provisions set out in the:

- Regulation (EU) 2016/679 - General Data Protection Regulation (GDPR)²
- Directive 2002/58/EC of the European Parliament and of the Council of 12 July 2002 concerning the processing of personal data and the protection of privacy in the electronic communications sector (Directive on privacy and electronic communications)³

Deliverable D7.20 (Templates and guidance for local and central Data Privacy Impact Assessments for data sources and repository for continuous collection of completed forms and approvals) will explain the protection of personal data in more detail.

² <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32016R0679&rid=1>

³ <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32002L0058&rid=1>

7. Ethical aspects

All ConcePTION participants are committed to the highest standards of ethics and privacy protection. Every partner will ensure that all staff working on the ConcePTION project complies to all relevant National, International and EU legislation relating to the processing of personal data, will protect privacy and maintain confidentiality, the legal and ethical use of human cells/tissues and animal experimentation.

The ConcePTION consortium has performed an ethics self-assessment (DoA, Section 5). In addition, an Ethics Advisory Board will be established to ensure that potential ethical issues are actively monitored and dealt with accordingly throughout the project.

Responsibilities of the Ethics Advisory Board

The Ethics Advisory Board is an advisory board to the ConcePTION project in general and to the Managing Board in particular. The Ethics Advisory Board will advise the Managing Board upon request of the Project Leader together with the Coordinator and provide non-binding advice to the General Assembly and the Managing Board as decision making support. The Ethics Advisory Board will not have decision authority in the project but will provide advice and feedback on the activities and results of ConcePTION.

The Scientific and Ethics Advisory Board will be responsible for:

- a. Reviewing the proper application of the ethical rules by the Beneficiaries;
- b. Providing advice to the Beneficiaries, the General Assembly and the Managing Board on ethical issues; and
- c. Providing advice on the compliance with European ethical laws and regulations and with different guidelines, laws and regulations of countries where studies are being performed.

Annex 1: Data management and strategy survey WP1

1. Data summary

What is the purpose of the data collection/generation and its relation to the objectives of the project?

To develop methods and access to observational data for better harmonized and standardized generation of evidence on drug safety in pregnancy and perform demonstration studies

What types and formats of data will the project generate/collect?

Four types of data source are foreseen

- 1) EUROmedicat registries (registries of congenital anomaly with information on medication exposure in the first trimester of pregnancy)
- 2) Electronic health record data, education data and civil registration data
- 3) Prospective cohort data collected for research purposes
- 4) Population-based registries other than EUROCAT, e.g. Cerebral Palsy registers, cancer registries)
- 5) Linkages between one or more of the above categories e.g. euromedicat registries linked to electronic healthcare data, or the linked Scandinavian electronic healthcare data.

Note: Prospective cohorts and pregnancy registries which are collected for the sole purpose of medication safety monitoring will be captured by WP2

The data types to be collected include:

- Metadata to describe databases (in a data source catalog)
- Aggregate data to be held on a data platform, resulting from data analysis

N.B. Individual data will be held within the contributing data source institutions only.

What is the origin of the data?

1) Data included in EUROmediCAT registries:

- Population-based registries for surveillance of congenital anomalies

2) Electronic health record data

- Data captured for medical recording or billing purposes in the process of routine care

3) Prospective cohort data set up for research purposes for example

- Rotterdam Study
- Danish Cohort
- Norwegian Cohort

4) Registries other than EUROCAT – for surveillance and research purposes

Will you re-use any existing data and how?

Yes, for items 1-4 all data is about re-use of data collected for routine care or specific research. Re-use will comprise

- 1) Use of individual level anonymized data on a local secure platform
- 2) Distributed analytics of data transformed locally in a CDM

What is the expected size of the data?

- 1) Not clear, but results will be shared only and should not be big in terms of Bytes

To whom might it be useful ('data utility') in the project and after wards?

- 1) Methods development for analysis and determining optimal methods and study design approaches in different scenarios within ConcePTION: will have long term value for many stakeholders – researchers, HCPs, patients, regulators, industry.
- 2) Enables accessible harmonized data repository to study medication safety in pregnancy and lactation for all stakeholders wanting to give advice about drug use in women
- 3) The results of the Demonstration projects will provide medication safety evidence to be published in peer reviewed journals and will have immediate value to stakeholders.

2. Please describe which partners will be collecting some type of data in your WP, and link to the tasks. Please be specific for each type of data collection

Type of data to be collected	Responsible partner	Collaborating partners	Type of species (specify)	What type of ethical review is needed?	Do you collect identifiable information?
EUROmediCAT Registries - unlinked	University of Ulster, on behalf of individual registries who give specific permission	EUROmediCAT registry partners, DAPs	Human	Use of unlinked data and linkage of data with identifying link requires an approval. Unclear whether ethical approval would be granted for ConcePTION as a whole or on a study-by-study basis. Some registries have individualized consent but most do not.	No, only used at DAP level but not released.

Electronic health record data	Various –	DAPs	Human	Review by governance boards, Consent not typically required	Data is typically anonymized at point of use.
Prospective Cohort data	Various	DAPs	Human	Individual cohorts have individual consent requirements	
Registries outside of EUROmediCAT	Various	DAPs	Human	Various	

3. Please describe where the collected data will stored for each task and type of data collection

Individual level data to be stored locally. Aggregate data will be made available to specific partners on the ConcePTION Platform.

4. Please describe the process of cleansing, transforming and analysis of the data. Please be specific for each type of data

Type of data to be collected	What type of data cleaning is needed?	Who is responsible for data cleaning?	What type of data transformation/ analysis do you anticipate	What software will be used for cleaning, transformation and analysis?	Where/by whom will the analysis be conducted?	What standards will you use for code development / access and re-use?
EUROmediCAT Registries	EUROmediCAT registry data will be maintained in the	EUROmediCAT/ DAPs	Structural transformation will be conducted locally and		Semantic harmonization by WP7	

	EUROCAT CDM		semantic harmonization will be done by WP7. SAP will be generated by WP1			
Electronic health record data		DAPs			Semantic harmonization by WP7	
Prospective Cohort data		DAPs			Semantic harmonization by WP7	
Registries outside of EUROmediCAT	Conversion to the lower level CDM	DAPs			Semantic harmonization by WP7	

Please explain if you wish:

- Structural transformation will be conducted locally and semantic harmonization (harmonization of content) will be done by WP7. WP1 will describe in the Statistical analysis plan for each demonstration project the variables for the level 2 CDM
- WP1 has a task to define algorithms for exposures and outcomes in the demonstration projects together with task 7.7
- People locally will convert data to the low-level CDM, WP7 will work with databases to help accomplish this.
- Each study will require a unique data analysis table, which WP1 will be defining together with WP7.
- Standardization and characterization of databases is done by WP7 while study-specific variables will be defined in collaboration with task 1.3 and the definitios task force

5. How will you conduct quality control?

Type of data	Type of analysis	Will you work according to specific protocol?	Who will create the statistical analysis plan?	How do you anticipate to verify data transformation & analysis?
EUROmediCAT Registries		EUROmediCAT protocol regarding quality control	WP1 DP leaders	
Electronic health record				

data				
Prospective Cohort data				
Registries outside of EUROmediCAT				

6. Governance please complete for each type of data

Type of data	What code of conduct will you use in each task	What levels of data security do you have locally?	What level of security will the primary data that you use in ConcePTION be? Please list all	Who are the data privacy officers in each of the participating organizations (e-mails)
EUROmediCAT Registries	ENCePP	Secure local platform		
Electronic health record data	ENCePP	Secure local platform		
Prospective Cohort data	ENCePP	??		
Registries outside of EUROmediCAT	ENCePP	Secure local platform		

For aggregate data, note that there are disclosure restrictions on cells with small numbers, and aggregate data formats also need approved therefore.

Please feel free to provide comments

Annex 2: Data management and strategy survey WP2

1. Data summary

What is the purpose of the data collection/generation and its relation to the objectives of the project?

To develop methods and access to reported pregnancy data for better harmonized and standardized generation of evidence on drug safety in pregnancy

What types and formats of data will the project generate/collect?

Four types of data are foreseen

- 3) Available data from publicly available international spontaneous reporting systems: EUDRAVIGILANCE, FAERS, VAERS, Vigibase
- 4) Available spontaneous reporting data from pharmacovigilance centers locally
- 5) Available Pregnancy registry data & prospective cohort datasets
- 6) Newly collected data in ConcePTION on neurodevelopmental outcomes

What is the origin of the data?

Ad 1) Available data from publicly available international spontaneous reporting systems: EUDRAVIGILANCE, FAERS, VAERS, Vigibase

- EUDRAVIGILANCE: spontaneous reports sent to EMA (small molecules and biologics), from marketing authorization holders, patients, Health care professionals, pharmacovigilance centers, lawyers. Regarding all products licensed in the European Union
- FAERS: spontaneous reports sent to FDA on drugs from marketing authorization holders, patients, Health care professionals, pharmacovigilance centers. Regarding all products licensed in the USA
- VAERS: spontaneous reports sent to US-CDC on vaccines from marketing authorization holders, patients, Health care professionals, pharmacovigilance centers. Regarding all products licensed in the USA
- VIGIBASE: spontaneous reports of suspected adverse drug reactions, collected by national drug authorities in over 110 countries

Ad 2) Available spontaneous reporting data from pharmacovigilance centers locally

- LAREB: spontaneous reports including narratives, from NL potentially other pharmacovigilance sites

Ad 3) Pregnancy registry data & cohorts

- ENTIS reported pregnancy exposure & follow-up data, at different ENTIS sites in Europe
- Data collection from clinical research groups (i.e. RA, SLE, MS patients) at different institutions_
- Regulatory mandated pregnancy exposure registries at companies, based on CRO collected data
- Pregnancy & birth cohorts (independent of drug exposure), with patient reported data and follow-up (www.birthcohorts.net)

Ad 4) Cohort of women and children followed-up after pregnancy exposure specifically for ConcePTION to test new neurodevelopmental outcomes

Will you re-use any existing data and how?

Yes, for items 1-3 all data is about re-use of data collected for routine care, surveillance or specific research. Re-use will comprise

- 3) Use of individual level anonymized data on a secure platform
- 4) Distributed analytics of data transformed locally in a CDM

What is the expected size of the data?

- 1) Spontaneous reporting data publicly available (not restricted to pregnancy)
 - EUDRAVIGILANCE: .15 million reports
 - VIGIBASE: 20 million reports
 - FAERS: 17 million
 - VAERS: 350,000

Updates will be made during the course of ConcePTION

- 2) LAREB reports: 3000
- 3) Pregnancy registries & cohorts: unclear
- 4) New data: unclear

To whom might it be useful ('data utility') in the project and after wards?

- 4) Methods development for analysis: useful for ENTIS, Conception, pharmacovigilance centers, EMA, FDA, MAH, CDC, research groups
- 5) Accessible harmonized data repository on pregnancy reports will be useful for all stakeholders wanting to give advice about drug use in women

2. Please describe which partners will be collecting some type of data in your WP, and link to the tasks. Please be specific for each type of data collection

Type of data to be collected	Responsible partner	Collaborating partners	Type of species (specify)	What type of ethical review is needed?	Do you collect identifiable information?
Int. Publicly available datasets	LAREB	UMCU, GSK???, EMA ???	human	EV: application EMA VIGIBASE: request to WHO-UMC FAERS: none VAERS: None	No
LAREB data	LAREB		human	Non/internal governance	Yes but can be anonymized
Prosp.cohort data	ENTIS	ENTIS sites	human	Review of consent applicability	Yes but can be anonymized or accessed through CDM

				Review by governance boards	
Regulatory mandated pregn. exposure	GSK/Other EFPIA depending on accessibility	Relevant EFPIA companies	human	Approval by companies, freedom of information	Can be anonymized
New cohort	??University of Manchester (if Rebecca)	??	human	Ethics Board consent	

3. Please describe where the collected data will stored for each task and type of data collection

Please make a data localization and format organogram.

4. Please describe the process of cleansing, transforming and analysis of the data. Please be specific for each type of data

Type of data to be collected	What type of data cleaning is needed?	Who is responsible for data cleaning?	What type of data transformation/ analysis do you anticipate	What software will be used for cleaning, transformation and analysis?	Where/by whom will the analysis be conducted?	What standards will you use for code development / access and re-use?
FAERS/EUDRAVIGILANCE	Extraction Deduplication, completion mapping	Luc, HJ, Caitlin	Transformation into CDM	R	LAREB/UMCU	Public SAP Publicly available R code in repository

						(WP7)
Prospective cohort data	unknown		Transformation into CDM	R	?	Public SAP Publicly available R code in repository (WP7)
LAREB data	None additional	LAREB	None	None	LAREB	
Newly collected data neurodevelopmental	unknown	Unknown	unknown	unknown	unknown	

Please explain if you wish.....

5. How will you conduct quality control?

Type of data	Type of analysis	Will you work according to specific protocol?	Who will create the statistical analysis plan?	How do you anticipate to verify data transformation & analysis?
Int. Publicly available datasets	Completeness description (indicators) Signal detection	Yes (indicator protocol T7.6) Yes (demonstration projects)	LAREB/UMCU.....	Review by second group Double coding
LAREB data	Manual reading of	all exported reports	LAREB	to be discussed

	narratives, deduplication	compatible with R3 and EMA validation rules		
Prosp.cohort data	Description of completeness of data (indicators) Signal detection	Yes (indicator protocol T7.6) Yes (demonstration projects)	
Regulatory mandated pregn. exposure	Description of completeness of data (indicators)	Yes (indicator protocol T7.6)		
New cohort	Study	Yes (demonstration project)	?	?

6. Governance please complete for each type of data

Type of data	What code of conduct will you use in each task	What levels of data security do you have locally?	What level of security will the primary data that you use in ConcePTION be? Please list all	Who are the data privacy officers in each of the participating organizations (e-mails)
Int. Publicly available datasets	ENCePP	Secure platform (WP7)	Secure platform (ISO/GDPR), UMCG	UMCG
LAREB data	ENCePP	Secure platform	--to be discussed--	p.vanderhorst@lareb.nl
Prosp.cohort data				
Regulatory mandated pregn. exposure				
New data				

Please feel free to provide comments

Annex 3: Data management and strategy survey WP3

1. Data summary

<p>What is the purpose of the data collection/generation and its relation to the objectives of the project?</p> <p>To develop, characterise, validate and apply a non-clinical testing platform for reliable prediction of drug concentrations in human breast milk (and plasma; milk/plasma ratio) along with systemic drug exposure in breastfed infants.</p>
<p>What types and formats of data will the project generate/collect?</p> <p><i>In silico</i> data, <i>in vitro</i> cell culture data and <i>in vivo</i> animal data</p> <p>Clinical data to be used will come from WP4</p> <p>DOC, XLS, CSV, PDF, TXT, PPT, JPG, ZIP, PNG, EPS, AVI, MPG</p>
<p>What is the origin of the data?</p> <p>Experimental <i>in silico/in vitro/in vivo</i> animal data</p>
<p>Will you re-use any existing data and how?</p> <p>Yes, literature data, data obtained in related external projects (e.g. opportunistic sampling in infants), and clinical data generated in WP4</p>
<p>What is the expected size of the data?</p> <p>1Tb</p>
<p>To whom might it be useful ('data utility') in the project and after wards?</p> <p>All that need to predict drug exposure in human milk to inform risk assessment on medication use during breastfeeding (e.g. Health Care Industry: pharmaceuticals, physicians; Health Authorities, Patients, Academic Researchers, etc...).</p>

2. Please describe which partners will be collecting some type of data in your WP, and link to the tasks. Please be specific for each type of data collection

Task	Type of data to be collected	Responsible partner	Collaborating partners	Type of species (specify)	What type of ethical review is needed?	Do you collect identifiable information?
3.1	Literature lactation data	UNIBO, TEVA	KUL, NVS, Lilly Covance Ellegaard, BioNotus	Human and animal	None	No
	Literature data from existing in vitro/in silico models	UNIBO, TEVA	KUL, NVS, Lilly	Human and animal	None	No
3.2	In vitro animal and human cell culture data	KUL, NVS	UNIBO, BioNotus, Ellegaard, Covance	Human and animal	None (commercially available cell lines)	No
3.3	In vivo experiments animal data	UNIBO, Ellegaard	BioNotus, Covance, Lilly, NVS, Teva	Animal	Yes: animal ethics committee	No
3.4	In silico data generation	KUL, NVS	BioNotus, UNIBO, CHUT, UNIGE, Teva	From in vitro data described in 3.2 and In vivo animal data in 3.3	No	No
	Clinical data from literature studies, related external studies (outside ConcePTION) and/or WP4 No data collection in WP3.	KUL, NVS	BioNotus, UNIBO, CHUT, UNIGE, Teva	Human	No (literature) Ethics committee (via WP4)	No Maybe yes (either anonymized but better coded)

Task	Type of data to be collected	Responsible partner	Collaborating partners	Type of species (specify)	What type of ethical review is needed?	Do you collect identifiable information?
3.5	Literature data on predicted infant drug exposure	KUL, NVS	UNIBO, UNIGE, BioNotus, Teva	Human and animal	No (literature)	No
	Infant data may become available via related external studies (outside ConcePTION). No infant data collection is planned in WP3/WP4	KUL, NVS	UNIBO, UNIGE, BioNotus	Human	<ul style="list-style-type: none"> Ethics committee (via WP4) 'External' data collection should have been approved by EC. 	Maybe yes (either anonymized but better coded)
3.6	No data collection	KUL, NVS	CHUT, UNIBO, UNIGE, UOSL, BioNotus, Teva	Human	Yes (via WP4)	Maybe yes (either anonymized but better coded)
3.7	No data collection (generation of protocol)	UNIBO, Covance	CHUT, UNIGE, KUL, Lilly, NVS, Teva, Ellegaard	Animal	No	No
3.8 – 3.9	No data collection	UNIGE, NVS	UOSL, CHUT, KUL, Teva	None	No	No

Please comment.....

3. Please describe where the collected data will stored for each task and type of data collection

Task	Type of data to be collected	Where (at which physical location) is primary (original) data stored?	What software is used for storage of data?	What format and type of data standards will you use to store the data?	How will you make the primary data accessible to other partners in consortium. Are there restrictions?
3.1	Literature lactation data	At partners location – the cloud (Sharepoint)	WP3 common space on ConcePTION Website <i>[Endnote or equivalent (software should support easy exchange between partners)]</i>	Text in PDF, DOC or XLS	WP3 common space on ConcePTION Website
	Literature data from existing in vitro/in silico models	At partners location – the cloud (Sharepoint)	WP3 common space on ConcePTION Website <i>[Endnote or equivalent (software should support easy exchange between partners)]</i>	Text in PDF, DOC or XLS	WP3 common space on ConcePTION Website
3.2	In vitro animal and human cell culture data	At KUL and UNIBO location – the cloud (Sharepoint)	Microsoft Excel R Graphpad Prism	XLS CSV PZF	WP3 common space on ConcePTION platform
3.3	In vivo experimental animal data	<ul style="list-style-type: none"> At UNIBO location – the cloud (Sharepoint) At Covance location 	<ul style="list-style-type: none"> Microsoft Excel R Graphpad Prism? GLP commercial data collection system 	<ul style="list-style-type: none"> XLS CSV PZF Word, PDF 	WP3 common space on ConcePTION platform
3.4	In silico data generation	At KUL and UNIBO location – the cloud	Microsoft Excel R	XLS, CSV, TXT and software-specific	WP3 common space on ConcePTION platform

Task	Type of data to be collected	Where (at which physical location) is primary (original) data stored?	What software is used for storage of data?	What format and type of data standards will you use to store the data?	How will you make the primary data accessible to other partners in consortium. Are there restrictions?
		(Sharepoint)	Simcyp PK-SIM NONMEM	formats	
	Clinical data from literature, related external studies and/or WP4	At partners location – the cloud (Sharepoint) Storage on common space on ConcePTION Website	WP3 common space on ConcePTION Website <i>[Endnote or equivalent (software should support easy exchange between partners)]</i>	TXT, CSV, DOC	WP3 common space on ConcePTION website
3.5	Literature data on predicted infant drug exposure	At partners location – the cloud (Sharepoint)	WP3 common space on ConcePTION Website <i>[Endnote or equivalent (software should support easy exchange between partners)]</i>	Text in PDF, DOC or XLS	WP3 common space on ConcePTION Website
	No infant data collection in WP3/WP4.	NA	NA	NA	NA
3.6	No data collection	NA	NA	NA	NA
3.7	No data collection (generation of protocol)	NA	NA	NA	NA
3.8-3.9	No data collection	NA	NA	NA	NA

Please make a data localization and format organogram

4. Please describe the process of cleansing, transforming and analysis of the data. Please be specific for each type of data

Task	Type of data to be collected	What type of data cleaning is needed?	Who is responsible for data cleaning?	What type of data transformation/ analysis do you anticipate	What software will be used for cleaning, transformation and analysis?	Where/by whom will the analysis be conducted?	What standards will you use for code development / access and re-use?
3.1	Literature lactation data	As defined by the process of systematic literature review: removal of irrelevant data, duplicates, standardization	3.1 participants	NA	NA	NA	NA
	Literature data from existing in vitro/in silico models	Removal of irrelevant data, duplicates, standardization	3.1 participants	NA	NA	NA	NA
3.2	In vitro animal and human cell culture data	Exclusion of data from experiments not passing quality indicators	KUL, UNIBO, BioNotus	Calculation of rates, clearances; dealing with BLQ values; statistical analysis, modelling	Excel, R, Graphpad Prism	KUL, UNIBO , BioNotus	NA
3.3	In vivo experimental animal data	Exclusion of data from experiments not passing	UNIBO	Calculation of rates, clearances; dealing with BLQ values;	Excel, R, Graphpad Prism	KUL	NA

Task	Type of data to be collected	What type of data cleaning is needed?	Who is responsible for data cleaning?	What type of data transformation/ analysis do you anticipate	What software will be used for cleaning, transformation and analysis?	Where/by whom will the analysis be conducted?	What standards will you use for code development / access and re-use?
		quality indicators		statistical analysis, modelling			
3.4	In silico data	Data transformation as part of data processing	KUL, BioNotus	Simulation-based outputs	Modelling and simulation software (R, Simcyp, PK-SIM, NONMEMML)	KUL, BioNotus	NA
	Clinical data from literature, related external studies and/or WP4	removal of irrelevant data, duplicates, standardization	KUL	NA	NA	NA	NA
3.5	Literature data on predicted infant drug exposure	removal of irrelevant data, duplicates, standardization	KUL	NA	NA	NA	NA
	No data collection (data from WP4)	Data transformation	KUL	NA	NA	NA	NA
3.6	No data collection	NA	NA	NA	NA	NA	NA
3.7	No data collection (generation of	NA	NA	NA	NA	NA	NA

Task	Type of data to be collected	What type of data cleaning is needed?	Who is responsible for data cleaning?	What type of data transformation/analysis do you anticipate	What software will be used for cleaning, transformation and analysis?	Where/by whom will the analysis be conducted?	What standards will you use for code development / access and re-use?
	protocol)						
3.8-3.9	No data collection	NA	NA	NA	NA	NA	NA

Please explain if you wish.....

5. How will you conduct quality control?

Task	Type of analysis	Will you work according to specific protocol?	Who will create the statistical analysis plan?	How do you anticipate to verify data transformation & analysis?
3.1	Literature lactation data	Yes	NA	NA
	Literature data from existing in vitro/in silico models	Yes	NA	NA
3.2	In vitro animal and human cell culture data	Yes	KUL	The QC will be done by an independent scientist
3.3	In vivo experimental animal data	Yes	UNIBO, Ellegaard	The QC will be done by an independent scientist
3.4	In silico data	Yes	KUL	The QC will be done by an independent scientist. The outputs of independently developed models (2 scientists) will be compared
	Clinical data from literature,	Yes	KUL	NA

Task	Type of analysis	Will you work according to specific protocol?	Who will create the statistical analysis plan?	How do you anticipate to verify data transformation & analysis?
	related external studies and/or WP4			
3.5	Literature data on predicted infant drug exposure	Yes	KUL	NA
	Infant data may become available via related studies (outside ConcePTION). No infant data collection is planned in WP3/WP4.	Yes	KUL	The QC will be done by an independent scientist
3.6	No data collection	NA	NA	NA
3.7	No data collection	NA	NA	NA
3.8-3.9	No data collection	NA	NA	NA

6. Governance please complete for each participating partner

Partner	What code of conduct will you use in each task	What levels of data security do you have locally?	What level of security will the primary data that you use in ConcePTION be? Please list all	Who are the data privacy officers in each of the participating organizations (e-mails)
KUL	?? (In vitro cell culture and PBPK modelling)	KUL Server with Sharepoint security protected (2-factor authentication)	KUL server (behind university firewall) ConcePTION common website	Toon Boon
UNIBO	?? (In vitro cell culture and in vivo data)			
TEVA	NA			
Novartis	NA			
Lilly	NA			
Covance	In vivo data: GLP-like			

Partner	What code of conduct will you use in each task	What levels of data security do you have locally?	What level of security will the primary data that you use in ConcePTION be? Please list all	Who are the data privacy officers in each of the participating organizations (e-mails)
	status			
Ellegaard	NA			
BioNotus	?? BA assays			
UOSL	NA			
CHUT	NA			
UNIGE	NA			

Please feel free to provide comments

Annex 4: Data management and strategy survey WP4

1. Data summary

What is the purpose of the data collection/generation and its relation to the objectives of the project?

Assessment of drug (and active metabolites) concentration values in milk of breastfeeding women as part of the overall objective of ConcePTION to demonstrate feasibility of performing research with samples collected at the BBMRI breast milk biobank and bioanalytical centre.

What types and formats of data will the project generate/collect?

Bioanalysis data. Rawdata are reported in form of text file (.txt) and as excel file (.xls). The processing data will be in format of MassLynx TargetLynx or any other software used for processing of mass spectrometry Rawdata (alternative in Thermo instrument).

The popPK modelling data and models will be processed in specific software (NONMEM, MonoLix or any other).

The reports will be in word format (.docx), and final versions is Acrobat Reader format (.pdf file).

Please specify if the data will be in SAS datasets

Please specify if the preferred software used for PK analyses and modeling

Please indicate number of users for the ConcePTION database UPPS – 8.

Master protocol

Informed Consent Form Master Template

Clinical trial data from collection and analysis of blood and breastmilk from women – data from each clinical sites conducting breast milk sampling (stored at UMCU, UPPS at Biobank)

- Study sites information
- Study personnel information

- Protocol
- Informed Consent
- Case report form
- Ethics Board Approvals
- Screening information
- Inclusion/exclusion data
- Woman's disease or condition
- Medication dose, frequency and exposure
- Concomitant medications
- Adverse events
- Subject disposition
- Protocol deviations
- Demographic data
- Date of birth of the child
- Gestational age of the child
- (Weight and height of mother
- Weight of baby
- Time of last dose of studied medication
- Date and time of sampling for milk and blood
- Volume of breast milk from which sample was taken
- Collection Method

Samples stored (samples shipped to and stored at UPPS Biobank)

- Name of site
- Type of sample (plasma/breast milk)
- Date of sample collection
- Date of shipment to biobank
- Date of receipt to biobank
- Noticeable problems at reception
- Specifications met for shipment (yes/no according to IATA recommendations)
- Notification that samples are frozen when received
- Storage temperature of samples
- Processing time
- Deviations from protocol
- Storage temperature at site

- Freeze-Thaw-Cycles
- Storage time before analysis/withdrawal
- Processing times
- Records of measuring instruments
- Records of methods validation
- Records of the maintenance of the equipment

Data on storage at UPPS (data stored at UPPS Biobank))

- Date and time of reception
- Freezer location
- Storage temperature (also with temperature alarms on freezers)
- Freeze-Thaw-Cycles
- Date and time of retrieval
- Withdrawals for analysis
- LIMS data – all biobank data will be in LIMS and traceability of samples and shipments will be managed in the LIMS

Bioanalytical data (Data stored at UPPS)

- Drug concentration value in breast milk
- Drug concentration value in plasma from mother
- Drug concentration value in plasma/blood from infant
- Analytical methods validation results
- Quality data

PK data (Data stored in ConcePTION database)

- AUC_T : Area under the curve over a dosing interval
- C_{av} : Average concentration over a dosing interval, equal to $C_{av} = AUC_T / \tau$
- C_{max} : Maximum observed drug concentration
- t_{max} : Time of the maximum observed concentration
- λ_z : First-order terminal elimination rate constant, calculated from a semi-log plot of the milk (plasma) concentration vs time curve
- $t_{1/2}$: First-order terminal elimination half-life, calculated as $0.693 / \lambda_z$

Population PK modeling data (Data stored in ConcePTION database)

Calculated infant dose and relative infant dose (Data stored in ConcePTION database)

What is the origin of the data?

Pregnant and breastfeeding women from 5 studies of different drugs

Will you re-use any existing data and how?

Data from medical records will be collected at clinical sites.

What is the expected size of the data?

25 terabytes

To whom might it be useful ('data utility') in the project and afterwards?

For monitoring purposes regarding pharmacovigilance, for academic research and for drug companies.

For physicians and patients to understand medication exposures through breast milk.

2. Please describe which partners will be collecting some type of data in your WP, and link to the tasks.

Please be specific for each type of data collection

Task	Type of data to be collected	Responsible partner	Collaborating partners	Type of species (specify)	What type of ethical review is needed?	Do you collect identifiable information?
4.1	Protocol for collection and storage	UPPS Biobank (storage) and ULAUS (collection)	BBMRI, UCB, NVS	Protocol	None	No
4.2	Protocol and SOP, bioanalytical methods data, PK profiles, popPK models	UPPS	ULAUS, CHUT, UOSL, UCB	Protocol, popPK models, reports	None	No
4.3	Patient data, data of breast milk and blood, sample values, standard curves, sample collection details	ULAUS	UPPS, UOSL, CHUT	Patient data, data from PK analysis	Ethical review for sampling at each collecting site. Ethical review for biobanking and analyses	Yes
4.4	1. Standard documents (e.g. process descriptions, SOP,	BBMRI-ERIC	Uo Leipzig, Wroclaw MU, UPPS, ULAUS, CHUT, UOSL	1. process descriptions, SOP, checklists, forms, questionnaire	1.None 2.None 3.None	1.No 2. Yes, anonymised needed 3. No

checklists, forms, questionnaire) 2. Verification documents (e.g. records) 3. QMS documents				2. records 3. documented information about QM Structure preferably in a digital format		
---	--	--	--	---	--	--

Please comment.....

3. Please describe where the collected data will stored for each task and type of data collection

Task	Type of data to be collected	Where (at which physical location) is primary (original) data stored?	What software is used for storage of data?	What format and type of data standards will you use to store the data?	How will you make the primary data accessible to other partners in consortium. Are there restrictions?
4.1	See above	Uppsala	LabWare, LIMS, Socrates, NONMEM		Only pseudonymized data will be shared
4.2	See above	Uppsala	Text (.txt), excel (.xls), Acrobat Reader (.pdf) files, NONMEM (Monolix?)	Rawdata, Processed analytical data, PK parameters, popPK models, Reports	ConePTION consortium members will have access to the data
4.4	See 2. / task 4.4	Uppsala / BBMRI-ERIC	MS Office Software, software of the new database?		

Please make a data localization and format organogram

4. Please describe the process of cleansing, transforming and analysis of the data. Please be specific for each type of data

Task	Type of data to be collected	What type of data cleaning is needed?	Who is responsible for data cleaning?	What type of data transformation/ analysis do you anticipate	What software will be used for cleaning, transformation and analysis?	Where/by whom will the analysis be conducted?	What standards will you use for code development / access and re-use?
4.2	Bioanalysis of breast milk and plasma samples, Population PK						

Please explain if you wish.....

5. How will you conduct quality control?

Task	Type of analysis	Will you work according to specific protocol?	Who will create the statistical analysis plan?	How do you anticipate verifying data transformation & analysis?
		Yes	Study PI	
4.2	Bioanalysis of breast milk	Yes, regulatory guidance	Study PI	

	and plasma samples, Population PK	(FDA and EMA), best practice		
4.4	Monitoring and updating the standard documents	Yes	Version control by BBMRI- ERIC	Version control done by BBMRI-ERIC, outdated versions will be abrogated by BBMRI-ERIC

6. Governance - please complete for each participating partner

Partner	What code of conduct will you use in each task	What levels of data security do you have locally?	What level of security will the primary data that you use in ConcePTION be? Please list all	Who are the data privacy officers in each of the participating organizations (e-mails)
All	ConcePTION CoC			

Please feel free to provide comments

Annex 5: Data management and strategy survey WP5

1. Data summary

<p>What is the purpose of the data collection/generation and its relation to the objectives of the project?</p> <p>Get end users input and insights on needs, experience and preferences for information about drug use during pregnancy and lactation</p>
<p>What types and formats of data will the project generate/collect?</p> <ol style="list-style-type: none"> 1. Ad hoc surveys and focus groups, literature reviews 2. Knowledge bank
<p>What is the origin of the data?</p> <ol style="list-style-type: none"> 1. Newly collected data through surveys or focus groups 2. Literature references and synthesis of evidence in knowledge databases developed by local TIS
<p>Will you re-use any existing data and how?</p> <p>TBD (information from existing knowledge databases)</p>
<p>What is the expected size of the data?</p> <ol style="list-style-type: none"> 1. Unclear but expected to be limited (Surveys in thousands of end users, focus groups of limited size) 2. Unclear (note: check with existing KB e.g. Lareb)
<p>To whom might it be useful ('data utility') in the project and after wards?</p> <ol style="list-style-type: none"> 2. Accessible harmonized repository on evidence regarding drug use in pregnancy and breastfeeding will be useful for all stakeholders wanting to give advice about drug use in women

2. Please describe which partners will be collecting some type of data in your WP, and link to the tasks. Please be specific for each type of data collection

Task	Type of data to be collected	Responsible partner	Collaborating partners	Type of species (specify)	What type of ethical review is needed?	Do you collect identifiable information?
5.1.1	surveys	Newcastle	EFPIA, ENTIS, ?		?	Yes?
5.1.3	surveys	Synergist	EFPIA, ?		?	Yes?

5.1.3	Focus group	Lareb	EFPIA, ?		Local ERB	Yes?
5.2	Literature, labelling, regulatory documents, study results, etc	Lareb	Orcion, ENTIS,		none	no

Please comment.....

3. Please describe where the collected data will stored for each task and type of data collection

Task	Type of data to be collected	Where (at which physical location) is primary (original) data stored?	What software is used for storage of data?	What format and type of data standards will you use to store the data?	How will you make the primary data accessible to other partners in consortium. Are there restrictions?
5.1.1	Survey results	?	?	?	
5.1.3	Survey results	?			
5.1.3	Focus groups summary	?			
5.2	Summary of evidence + list of references	?			

Please make a data localization and format organogram

4. Please describe the process of cleansing, transforming and analysis of the data. Please be specific for each type of data

Not Applicable

Task	Type of data to be collected	What type of data cleaning is needed?	Who is responsible for data cleaning?	What type of data transformation/ analysis do you anticipate	What software will be used for cleaning, transformation and analysis?	Where/by whom will the analysis be conducted?	What standards will you use for code development / access and re-use?

Please explain if you wish.....

5. How will you conduct quality control? (NA)

Task	Type of analysis	Will you work according to specific protocol?	Who will create the statistical analysis plan?	How do you anticipate to verify data transformation & analysis?

6. Governance please complete for each participating partner

Partner	What code of conduct will you use in each task	What levels of data security do you have locally?	What level of security will the primary data that you use in ConcePTION be? Please list all	Who are the data privacy officers in each of the participating organizations (e-mails)
5.1.1				
5.1.3				

Please feel free to provide comments

Annex 6: Data management and strategy survey WP7

1. Data summar

What is the purpose of the data collection/generation and its relation to the objectives of the project?

To collect information on ethical hurdles to share data from pregnant women and data access providers, to assess governance issues and information, to characterize datasources and to assess whether they are fit for purpose to provide evidence on drug safety in pregnancy

What types and formats of data will WP7 generate/collect?

Task 7.1: Reward models: ethico legal documents from data access providers, and interview data from DAPs participating in the ConcePTION project

Format: mp3, .docx

Task 7.2 Governance: codes of conduct for analysis of different types of data that are used in ConcePTION

Format: .docx

Task 7.3: Ethics: interview data from pregnant women who consent to participate

Format: mp3, .docx

Task 7.4: Catalogue: non-curated publicly available information on datasources (EUroMediSafe), curated data on organizations, responsible persons and datasources

Format: .Xls; Catalogue (Molgenis)

Task 7.5: Common data models: common data models for use by WP1 and 2

Format: .docx, .xls

Task 7.6: Data characterization: approaches all the DAPs for WP1 and 2 that agree to participate in data characterization, data collected will comprise indicators of the data (aggegrate). Event definition templates to find codes to extract events /data/ Simulated data to develop programs

Format: .csv .docx

Task 7.7: Algorithm validation: Will assess impact of the use of different algorithms to extract events and evaludate novel validation manners

Format: .csv .docx

Task 7.8: Double coding of scripts: in this task codes for distributed analyses will be created and hosted.

Simulated data for programming

Format: .csv ; .txt; R, GitHub repository

Task 7.9: Reprotox data: collection of reprotoxicology data from rats and rabbits extracted from regulatory submission

Format: .csv; .docx

Task 7.10: Ethics issues: collection/repository of documents

Format: .docx; .pdf

What is the origin of the data?

Task 7.1: Data access providers in the consortium as partners or third parties

Task 7.2: Existing codes of conduct that are publicly available or owned by consortium members

Task 7.3: Pregnant women

Task 7.4: EuroMediSAfe (data from internet) (non-curated), survey data with DAPs partners in consortium (curated)

Task 7.5: Common data model development by WP7, 1 and 2, based on prior CDM and those in Sentinel, OMOP, Eurocat

Task 7.6: Data access providers that participate in the data characterization & algorithm validation/

Task 7.7: Data access providers that participate in the data characterization & algorithm validation

Task 7.8: Tools written by the WP7 participants

Task 7.9: Data collected by CBG and RIVM

Task 7.10: Documents generated by different WP and organizations

Will you re-use any existing data and how?

Yes, for the data characterization we will access data that is available at the sites of the data access providers. This will be done in a distributed manner. Data will stay local and data characterization scripts will be sent to the DAPs, these scripts will generate results that will be sent to the secure platform

What is the expected size of the data?

- 2) WP7 anticipates that the data that is shared as results will be small in terms of bytes
- 3) Simulated data will be hosted on the platform to develop scripts

To whom might it be useful ('data utility') in the project and after wards?

- 6) WP7 will support methods development and conduct of demonstration studies by WP1 and 2
- 7) WP7 will also systematically characterize datasources and assess whether they are fit for purpose, this will increase the transparency and potentially take away criticisms about quality of RWE

2. Please describe which partners will be collecting some type of data in your WP, and link to the tasks. Please be specific for each type of data collection

Type of data to be collected	Responsible partner	Collaborating partners	Type of species (specify)	What type of ethical review is needed?	Do you collect identifiable information?
7.1 Interviews	UMCU	All DAPs in consortium as partners or TP	Human	None	Yes, DAP name
7.2 Code of conducts/guidance	iHD	UPPS, GSK UMCU....	NA	NA	no
7.3 Ethics interviews	UMCU	EIWH, EFGCP	Human	Ethics board, UMCU	Yes (consented)
7.4 Catalogue details	UMCG	BBMRI, all DAPs, J&J, GSK	Human / datasources	None	Yes, DAP name, contact details and privacy officer names
7.5 Results of analyses on health data using common data model	UMCU	ARS, UMCG, BBMRI, GSK, J&J All DAPs and WP1/2 partners	Human (aggregated data)	Governance review at each site of protocol	No (only aggregated results, coded/anonymized)
7.6 Data characterization	UMCU	ARS, GSK, J&J All DAPs	Human	Governance review at sites in study team	No (only aggregated results, coded/anonymized)
7.7 Algorithm validation	ARS	UMCU, GSK, J&J, DAPs, WP1	Human	Governance review at sites in study team	No (only aggregated results, coded/anonymized)
7.8 Double coding	UMCU	ARS, J&J,	Human/simulated data	None	NA
7.9 Reprotoxicology	RIVM	CBG, NVS, GSK, UMCU	Rabbit & Rats	NA	Re-use of data

3. Please describe where the collected data will stored for each task and type of data collection

Type of data to be collected	Responsible partner	Collaborating partners	Storage of data
7.1 Interviews	UMCU	All DAPs in consortium as partners or TP	Original data Local network UMCU, summary results ConcePTION shared website
7.2 Code of conducts/guidance	iHD	UPPS, GSK UMCU....	ConcePTION shared website member area
7.3 Ethics interviews	UMCU	EIWH, EFGCP	Original data local network UMCU, summary results ConcePTION shared website
7.4 Catalogue details	UMCG	BBMRI, all DAPs, J&J, GSK	BBMRI server, Catalogue is publicly available except negotiation part
7.5 Results of analyses on health data in DP	UMCU	ARS, UMCG, BBMRI, GSK, J&J All DAPs and WP1/2 partners	Aggregate outputs on ConcePTION Platform
7.6 Data characterization	UMCU	ARS, GSK, J&J All DAPs	Aggregate outputs on ConcePTION Platform
7.7 Algorithm validation	ARS	UMCU, GSK, J&J, DAPs, WP1	Aggregate outputs on ConcePTION Platform
7.8 Double coding	UMCU	ARS, J&J,	GitHUB
7.9 Reprotoxicology	RIVM	CBG, NVS, GSK, UMCU	CBG/RIVM local computers summary data on ConcePTION shared website
7.10 Ethical follow-up	UMCU	All WPs	ConcePTION shared website

4. Please describe the process of cleansing, transforming and analysis of the data. Please be specific for each type of data

Type of data to be collected	What type of data cleaning is needed?	Who is responsible for data cleaning?	What type of data transformation/ analysis do you anticipate	What software will be used for cleaning, transformation and analysis?	Where/by whom will the analysis be conducted?	What standards will you use for code development / access and re-use?
7.1 Interviews	Recording and transcribing	UMCU	Summarize and reflect based on ethical framework	Word	UMCU other 7.1 partners	None
7.2 Code of conducts/guidance	None	NA	NA	NA	iHD, GSK	Existing standards/guidances
7.3 Ethics interviews	Recording and transcribing	UMCU	Summarize and reflect based on ethical framework	Word	UMCU	None
7.4 Catalogue details	Review and uploading of information and documents	DAPs, WP1 partners, WP7	Uploading of data collected in questionnaire format into the catalogue	Excel	UMCG	Open source /Molgenis
7.5 Results of analyses on health data in DP	Quality indicators of data to support Demonstration projects	DAP	Transformation of original data into CDM, transformation of data in CDM into analysis tables And pooling & final analysis of results	Locally into CDM: SAS, SQL, Stata, R From CDM into analysis table: R From analysis table into final results: WP1/2 partners	Locally ETL from original to CDM: DAP From CDM into analysis table: UMCU, ARS, J&J On platform: Analysis:	ETL will be available on catalogue Data transformation script: open source Final analysis script: double coded

					WP1/2	
7.6 Data characterization	Quality indicators of data	DAP	Transformation of original data into CDM, transformation of data in CDM into analysis tables And pooling & final analysis of results	Locally into CDM: SAS, SQL, Stata, R From CDM into analysis table: R From analysis table into final results	Locally ETL from original to CDM: DAP From CDM into analysis table: UMCU, ARS, J&J On platform: Analysis: UMCU, ARS, DAP	ETL will be available on catalogue Data transformation script: open source
7.7 Algorithm validation	Algorithm development, verification of impact	DAP	Analysis of different combinations of components to define event	From CDM into analysis table: R From analysis table into final results	From CDM To platform: Analysis: UMCU, ARS, DAP	Data transformation script: open source
7.8 Double coding	None	NA	Double coding of scripts	R	UMCU/ARS, J&J	ENCePP
7.9 Reprotoxicology	Extraction from files	CBG	Extracting and transforming into Excel	Excel	CBG,RIVM	GLP
7.10 Ethical follow-up	None	None	None	NA	NA	NA

5. How will you conduct quality control?

Type of data	Type of analysis	Will you work according to specific protocol?	Who will create the statistical analysis plan?	How do you anticipate to verify data transformation & analysis?
Real world Health data	Quality indicators	Data characterization	UMCU	Double coding

6. Governance please complete for each type of data

Type of data	What code of conduct will you use in each task	What levels of data security do you have locally?	What level of security will the primary data that you use in ConcePTION be? Please list all	Who are the data privacy officers in each of the participating organizations (e-mails)
Real world health data	ENCePP	Secure local and central platform	Authentication to access	Are being identified as part of the interview on ethico-legal issues