About this Document

In 2021, the Advisory Board of the Open Access eBook Usage (OAeBU) Data Trust project worked to identify these guiding principles to inform the next phase of development for services explored in this project between 2020-2021. Generated by OAeBU Advisory Board volunteers via a facilitated process led by Katherine Skinner of Educopia Institute, these principles were used to ground the development of separate missions, visions, and programmatic logic models for both the OA Book Usage Data Trust and an OA Book Usage Data Analytics service.

The following document was produced by Advisory Board volunteers based on discussions with fellow Advisory Board members that reflected upon the existing principles statements of Crossref[1] and OASwitchboard[2], the Principles of Open Infrastructure[3], and a 2020 survey[4] of common scholarly communications infrastructure values and principles produced by Skinner and Wipperman. Graphics representing the discussion whiteboards follow the principles.

While the project's Policy Working Group developed a tree-diagram[5] that notes many branches of principles and frameworks relevant to the overall project, Advisory Board volunteers Jennifer Kemp, Peter Potter, Brian Scrivener, Charles Watkinson were asked by the facilitator to draft an initial set of high-level guiding principles that could serve as a starting point for broader community feedback and iteration throughout the governance and sustainability modeling process for the OA Book Usage Data Trust and an OA Book Usage Data Analytics service.

Guiding Principles for OA Book Usage Data Services

- **Delivering reliable, trustworthy, accurate OA ebook usage data** that are flexible and adaptive to evolving needs, providing a model that is extensible to other types of scholarly research outputs
- **Working collaboratively** with representatives from all parts of the ecosystem to cultivate a trusted, standards-based, interoperable, technology-neutral exchange of dependable, quality OA ebook usage data
- **Facilitating and modeling ethical data usage** through thorough and ongoing study, discussion, and advocacy work on trust, privacy, and accessibility
- **Helping to foster, promote, and use community norms** for responsible OAeBU data use
- **Committing to seek out and amplify under-represented voices** in OA ebook usage data discussions, including those excluded due to size, language, mission, and geographic region recognizing that our work will always fail to be fully inclusive and risks exacerbating existing inequities
- **Ensuring resilience and sustainability** to be financially, technologically and organizationally reliable, scalable and agile for the long-term
- **Providing transparency in both strategy and process** so stakeholders can understand, trust, and audit our policies, data processing approaches, and governance

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[1] Crossref (2021), Our Truths, accessed June 2021, https://www.crossref.org/truths/

[2] OA Switchboard, Core Principles as listed under FAQ 28, accessed June 2021, https://www.oaswitchboard.org/faq

[3] Bilder G, Lin J, Neylon C (2020), The Principles of Open Scholarly Infrastructure, accessed June 2021, https://doi.org/10.24343

[4] Skinner K, Wipperman S (2020), Living Our Values and Principles: Exploring Assessment Strategies for the Scholarly Communication Field, accessed June 2021, https://educopia.org/living-our-values-and-principles/

[5] Drummond C, Neylon C, Sherer J, Moore S (2020), OA eBook Usage Data Trust: Related Principle Statements and Frameworks Ideation Output, accessed June 2021, https://zenodo.org/record/5842029

Discussion Whiteboards that informed Principle Development

Value Prioritization

Session 1: Value Prioritization Activity Output

Ideas

TITLE	VOTES	# VOTERS
Ethical	5	5
Transparency	4	4
Focus	4	4
Inclusiveness	4	4
Sustainability	3	3
Accurate quality data	3	3
Neutrality	2	2

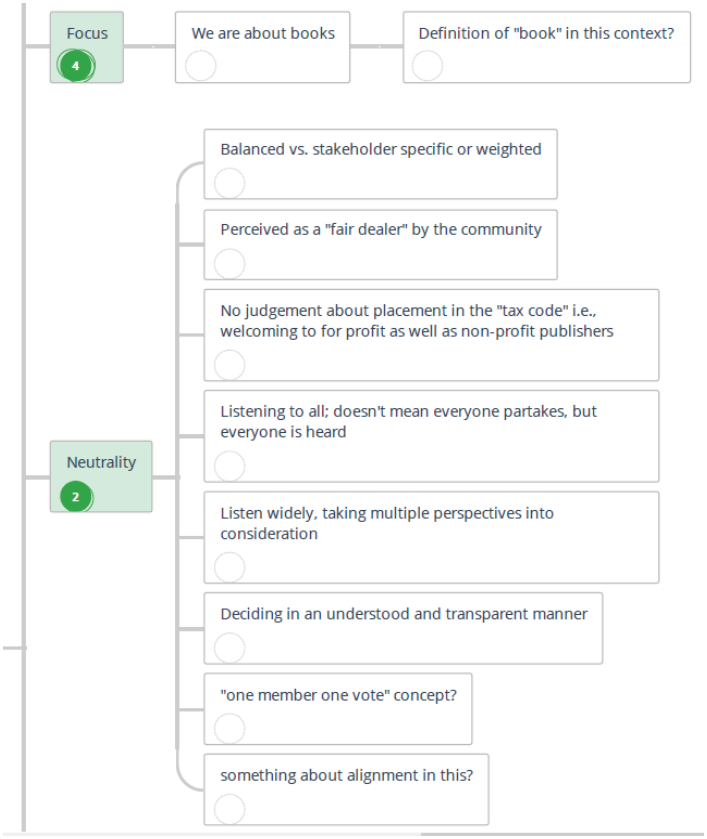
Session 2: Value Prioritization Activity Output

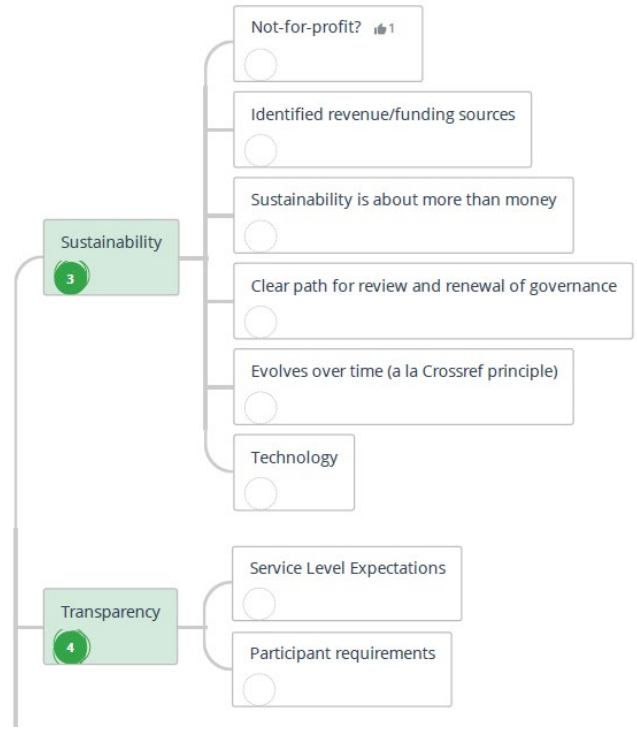
Ideas

TITLE	VOTES	# VOTERS
Transparency	7	7
Accurate quality data	7	7
Inclusiveness	6	6
Cooperation	6	6
Sustainability	4	4
Ethical	4	4
Focus	2	2
Shared community	2	2
evolving	1	1
Flexibility	1	1
Neutrality/Balance	1	1

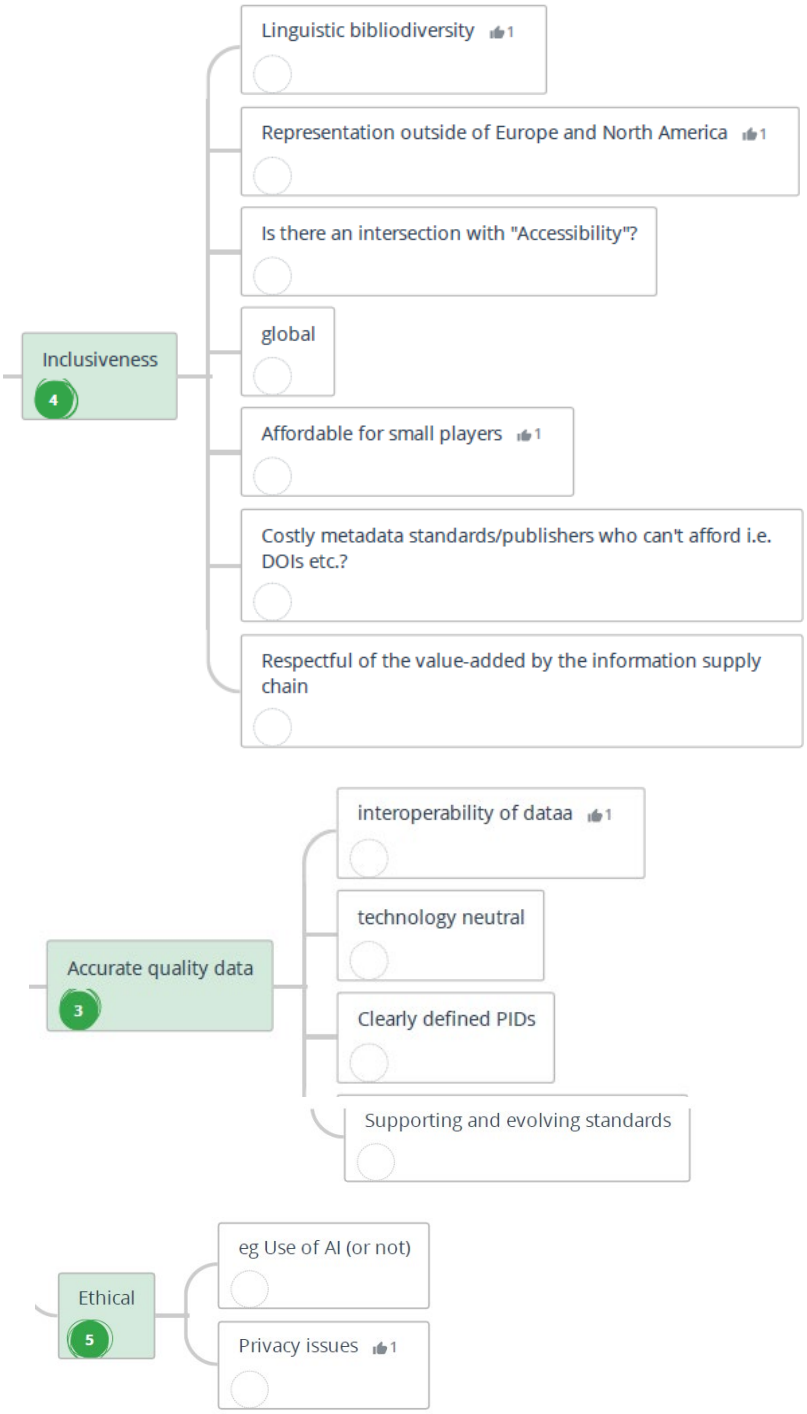
Value Definition

Session 1: Value Definition Brainstorming Activity Output

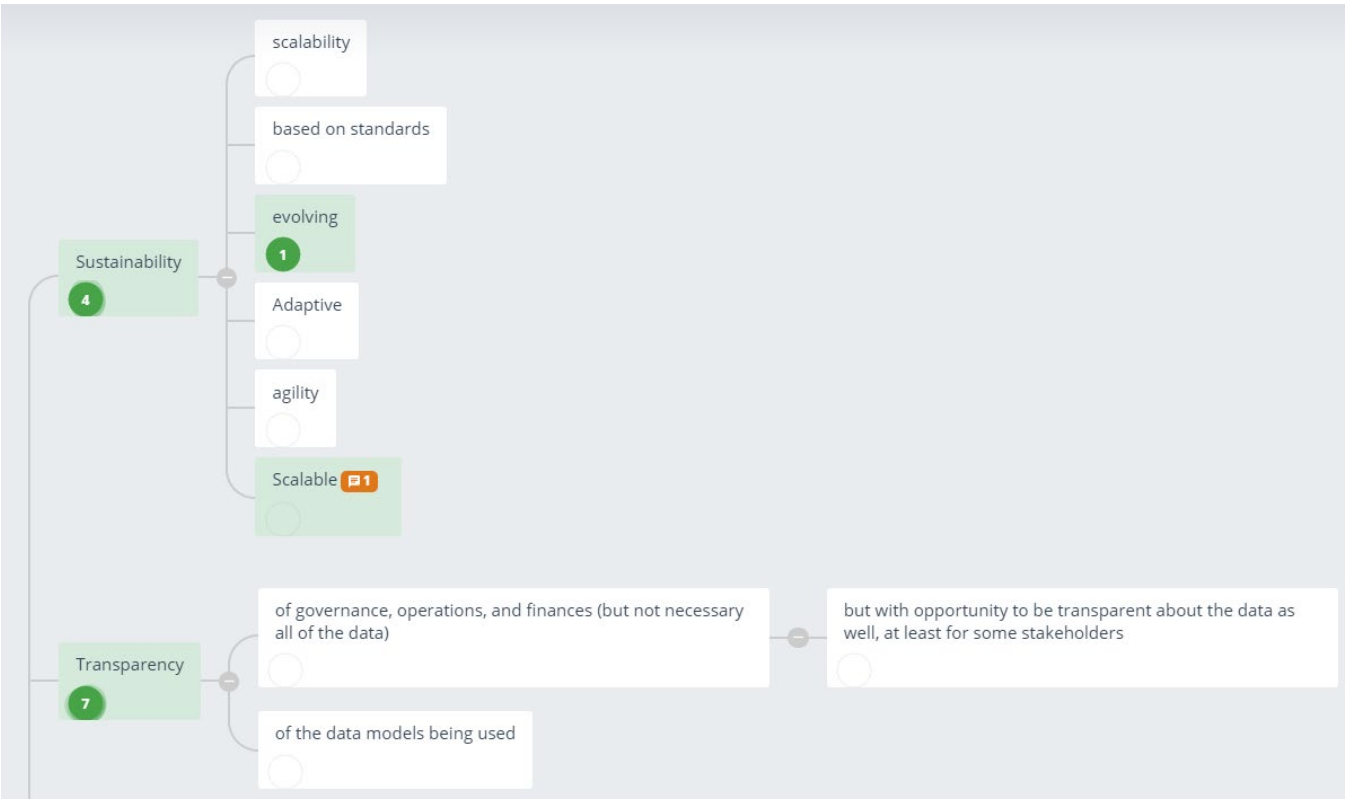


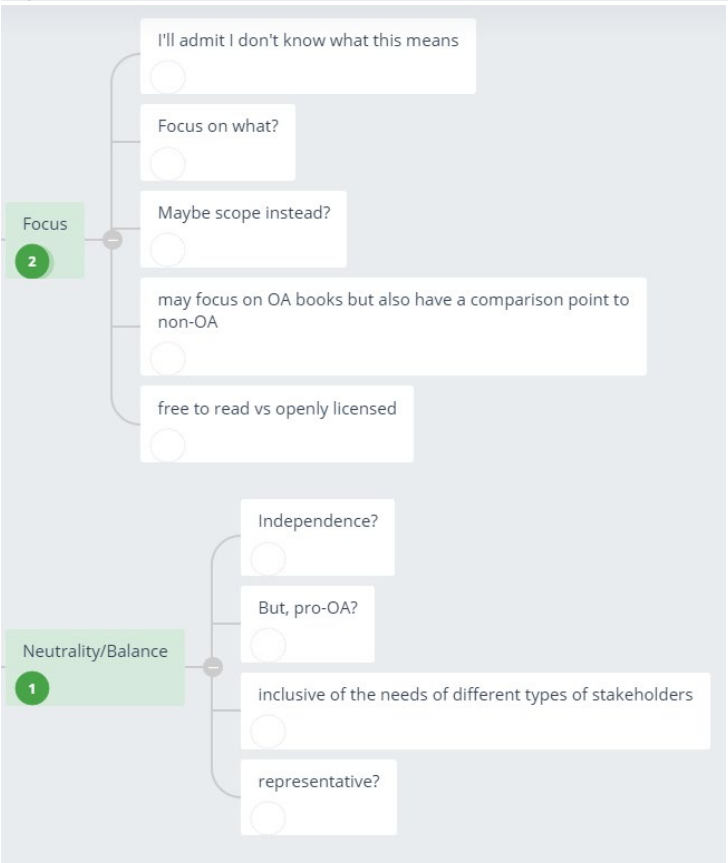


Session 1: Value Definition Brainstorming Activity Output (Continued)

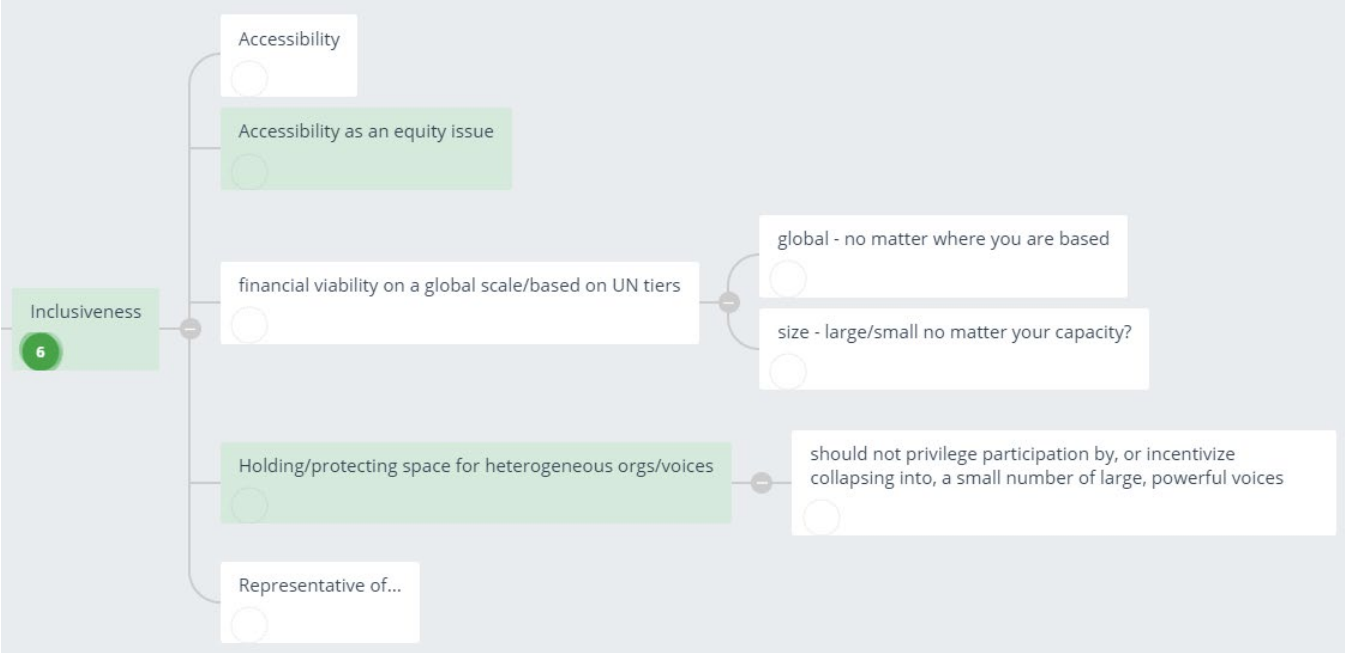


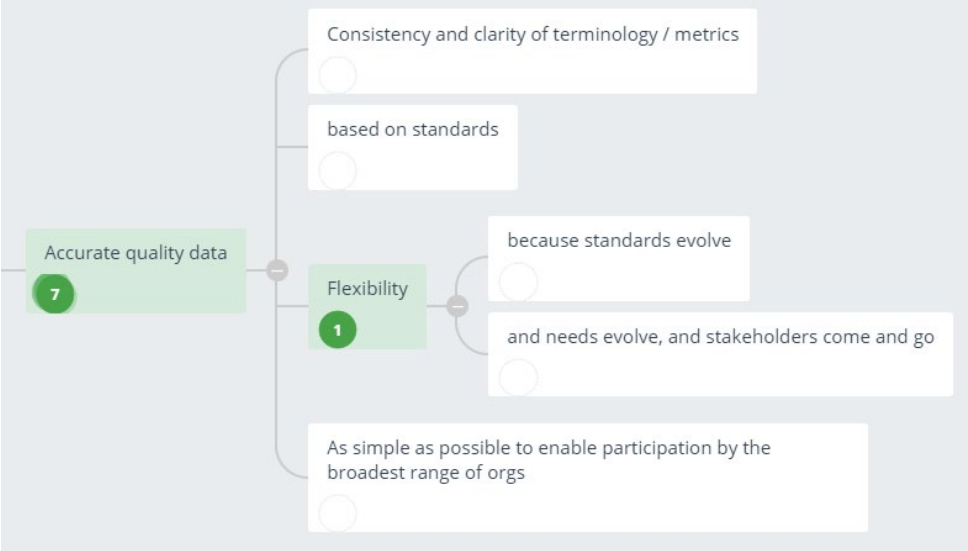
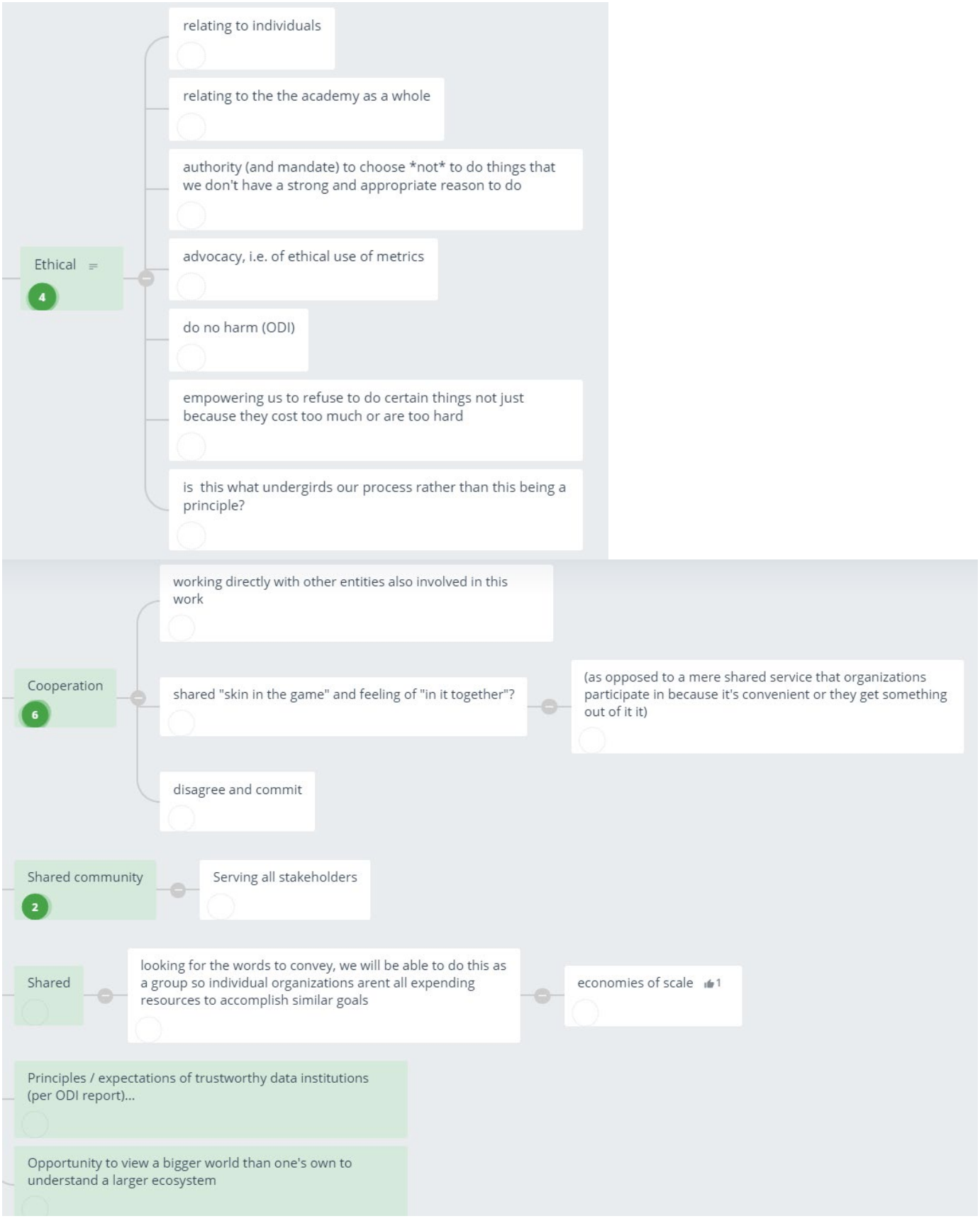
Session 2: Value Definition Brainstorming Activity Output





Session 2: Value Definition Brainstorming Activity (Continued)





Session 2: Value Definition Brainstorming Activity Whiteboard (Continued)