

1 **Residual hole concentration in recombination centres after bleaching**

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8  
9 **Abstract**

10 Trapped charge dating method using electron spin resonance (ESR) of quartz is progressively  
11 used for sediment dating. As in the case of luminescence dating methods, the ESR signals can be used  
12 for accurate age estimation of a sediment layer only when these signals are zeroed by sunlight  
13 exposure before the layer creation or when one knows their ESR residual level (in other words the  
14 part of the signal that is not bleached). It is well known that the ESR signal related the Al-hole centres  
15 in quartz used for sediment dating has a significant residual signal. From the point of view of  
16 luminescence models, as a hole trap, the Al-hole centre is considered a recombination centre in  
17 quartz. Recently, it was demonstrated experimentally that the ESR signal of Al-hole centre is  
18 dependent on the total dose absorbed by the quartz sample in the past. The same effect was  
19 confirmed by simulations of the charge transport processes for a model including two recombination  
20 centres. Here, the dependence of residual hole concentration in the recombination centres on the  
21 total dose absorbed by a sample in the past is studied in detail by computer simulations for a wide  
22 range of model parameters. The impact that the various relations of centre parameters have on the  
23 dependence of the residual as function of dose is investigated and the implications for the dating  
24 practice are discussed.

25 **Keywords:** bleaching, recombination centres, residual, quartz, Al-h ESR signal

27 **1. Introduction**

28 Trapped charge dating methods such as luminescence and electron spin resonance are  
29 increasingly used for sediment dating. The physical phenomena that these methods rely upon are  
30 based on the presence of trapping states in the crystalline structure of the minerals used. The  
31 concentration of electrons and/or holes held in these metastable states increases with continuous  
32 excitation of the charge by the natural background radiation and can be used to estimate the time  
33 elapsed since the traps were emptied, based on the assumption that the dose rate remained  
34 constant during time.

35 The key event in sediment dating is the formation of a sediment layer, i.e. the deposition of  
36 mineral grains after they have been transported by wind or water, and then covered with another  
37 layer. If any of the trapped charge methods are to be used for dating a sediment layer, the traps  
38 must be empty at the time of its formation, or the residual level must be known. The main natural  
39 factor responsible for trap emptying before grain deposition in the case of sediments is sunlight  
40 exposure, so only the traps which are sensitive to sunlight bleaching can be used for dating.

41 Two phenomena are exploited to measure the concentration of trapped charge when dating  
42 sediments: optically stimulated luminescence (OSL) and electron spin resonance (ESR). According to  
43 the current understanding of the OSL processes in the minerals commonly used for dating (quartz or  
44 potassium feldspars), OSL dating relies on the quantification of the concentration of trapped  
45 electrons. (Bailey and Arnold, 2006; Preusser et al., 2009; Peng and Pagonis, 2016; Friedrich et. al.,  
46 2016). On the other hand, in the case of electron spin resonance applied on quartz both electron  
47 (such as Ti centres) as well as hole traps (Al-hole centres) are used in the so-called Multiple Centre  
48 (MC) approach (Toyoda, et al., 2000; Duval and Guilarte, 2015). These hole traps play the role of  
49 recombination centres in luminescence models of minerals. However, from both luminescence and  
50 ESR measurements it is known that the recombination centres are not completely emptied even  
51 after extremely long time bleaching by light. When the sample is heated after the prolonged  
52 bleaching, the so-called residual thermoluminescence was reported by many (Aitken, 1998; Singhivi

53 et al., 1982; Smith and Rhodes, 1994; Spooner, 1994; Przegiętka et al., 2005; Chruścińska and  
54 Przegiętka, 2005). Similar effects have been found in ESR measurements on hole centres (Walther  
55 and Zilles, 1994; Toyoda and Falguères, 2003; Duval et al., 2017; Bartz et al., 2020). When the Al-hole  
56 centre is used for dating it is common practice to quantify the residual concentration of holes, in  
57 other words measuring the intensity of residual ESR signal after bleaching and subtracting it from the  
58 measured ESR for accurate equivalent dose determination (Voinchet et al., 2003). There are two  
59 approaches to estimate the residual ESR signal. The first is to measure it after an exposure of many  
60 days to the sunlight simulator and express it as the percent of natural ESR signal measured without  
61 bleaching. The reported values vary between 40 % and 80 % (Walther and Zilles, 1994; Voinchet et  
62 al., 2003; Rink et al., 2007; Tsukamoto et al., 2017). Another way of residual ESR level estimation is  
63 the measurement of a modern analogue or the reconstruction of the natural dose response curves  
64 (i.e. the plot of intensity of the natural ESR signal versus the expected natural dose) using an  
65 extended sediment layer sequence for which an independent age control is available. The  
66 approximation of the residual signal is the intercept of the natural dose response curve (Tsukamoto  
67 et al., 2018). However, these methods assume that the ESR residual level was the same for all  
68 samples in the stratigraphic sequence and can be practically carried out only under fortunate  
69 circumstances when age information is already available.

70 A recent study has shown that the residual level of the Al-hole ESR centre in quartz depends on  
71 the total absorbed dose (Timar-Gabor et al., 2020). This effect is in line with the simulation results  
72 performed for the kinetic model of charge transport processes involving two recombination centres  
73 and several types of traps which are populated and emptied with the participation of the conduction  
74 band. The key to this phenomenon is the presence of deep traps that are thermally stable and are  
75 not emptied by light, the so-called disconnected traps. The occupation of these traps by electrons  
76 after the bleaching dictates that the recombination centres are filled with an identical number of  
77 holes. Their number is shared between the different recombination centres adequately to the  
78 probability of electron recombination in the centres. For equal concentrations of the recombination

79 centres, the residual level of holes is lower for the centre with the bigger recombination coefficient.  
80 The residual level of hole concentration in recombination centres after bleaching, i.e. also the  
81 residual ESR signal corresponding to this centre, increases with the total absorbed dose until doses  
82 that cause the saturation of the electron population in the disconnected traps. As such, the residual  
83 signal depends on the concentrations of the deep disconnected traps and recombination centres as  
84 well as on their parameters.

85 Detailed results of the simulation of the processes leading to residual hole concentration after  
86 optical bleaching are presented here for wide ranges of recombination centre parameters. The  
87 impact of the mutual relation of the parameters of individual recombination centres on the residual  
88 level of holes and the dependence of the residual level on dose is investigated. It is shown that for  
89 some ranges of the parameters the function describing the changes of residual hole concentration  
90 level with dose does not simply increase up to the highest value at doses for which the disconnected  
91 traps are most populated by electrons, but it rises and then decreases again. This, on the one hand  
92 makes the level of the residual hole concentration hard to predict and on the second hand, can  
93 provide some insights into the relation between the parameters of recombination centres in the field  
94 of research on the properties of luminescent materials. It should be mentioned that the processes  
95 presented below, although investigated in close relation to the application of ESR signal of the  
96 aluminum hole centre in quartz for dating, generally apply to the occupation of recombination  
97 centres in insulating crystals in a metastable state. The effects observed in the simulations may  
98 explain the changes in luminescence effectiveness in phosphors after prolonged irradiation and  
99 exposure to light. The presence of deep disconnected traps can lead to surprising effects.

100

## 101 2. Methods

102 The simulations were performed by means of MATLAB differential equation solver ode15s,  
103 which is the appropriate tool for stiff equations. The charge transfer in the crystal was simulated for

104 1) the process of excitation - the filling of the traps

105 2) the bleaching process.

106 The simulations were carried out for a kinetic model that includes four kinds of traps and two  
 107 recombination centres (Fig. 1). Traps of the first kind are shallower than the others, are optically  
 108 emptied during bleaching and are not thermally stable during irradiation. Traps of two other kinds  
 109 are optically emptied during the bleaching and are thermally stable during irradiation and bleaching.  
 110 The last kind of traps are deep and are neither optically nor thermally emptied in the simulated  
 111 processes. They will hereinafter be referred to as disconnected traps.

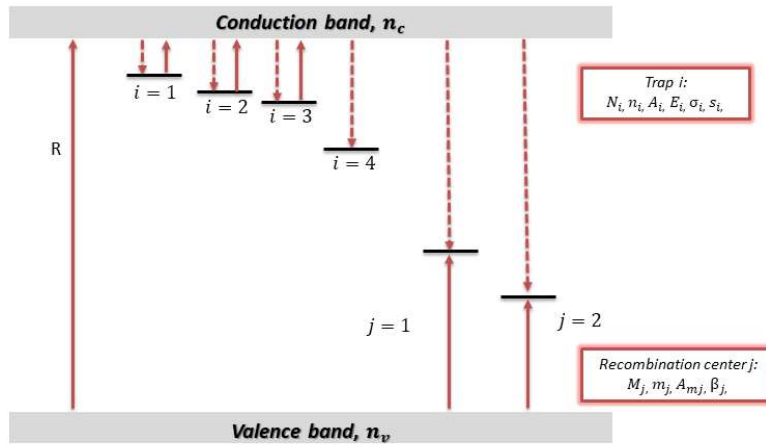


Fig. 1. Energy levels and transitions taken into account in the model used in the simulations.

112

113 The kinetic equations solved at both steps of simulations have the following form:

114 
$$\frac{dn_i}{dt} = -n_i \sigma_i f - s_i \exp\left(\frac{-E_i}{kT}\right) n_i + A_i (N_i - n_i) n_c; \quad i = 1..4 \quad (1)$$

115 
$$\frac{dm_j}{dt} = A_{mj} (M_j - m_j) m_v - \beta_j m_j n_c; \quad j = 1, 2 \quad (2)$$

116 
$$\frac{dn_c}{dt} = R + \sum_{i=1}^4 \left[ f \sigma_i n_i - A_i (N_i - n_i) n_c + s_i \exp\left(\frac{-E_i}{kT}\right) n_i \right] - \sum_{j=1}^2 \beta_j m_j n_j \quad (3)$$

117 
$$\frac{dm_v}{dt} = R - \sum_{j=1}^2 A_{mj} (M_j - m_j) m_v \quad (4)$$

118 
$$\sum_{j=1}^2 m_j + m_v = \sum_{i=1}^4 n_i + n_c \quad (5)$$

119 where  $n_i$  ( $\text{cm}^{-3}$ ) and  $N_i$  ( $\text{cm}^{-3}$ ),  $i=1\dots4$ , are the concentrations of trapped electrons and the  
120 concentrations of traps, respectively,  $m_j$  ( $\text{cm}^{-3}$ ) and  $M_j$  ( $\text{cm}^{-3}$ ),  $j=1,2$ , are the concentrations of holes  
121 trapped in recombination centres and the concentration of these centres,  $n_c$  ( $\text{cm}^{-3}$ ) and  $m_v$  ( $\text{cm}^{-3}$ ) are  
122 the concentrations of free electrons and holes in the conduction and valence bands, respectively,  $A_i$   
123 ( $\text{cm}^3\text{s}^{-1}$ ),  $i=1\dots4$ , are the probability coefficients of electron trapping in the corresponding traps,  $A_{mj}$   
124 ( $\text{cm}^3\text{s}^{-1}$ ),  $j=1,2$ , are the probability coefficients of hole trapping in the adequate recombination  
125 centres,  $R$  ( $\text{cm}^{-3}\text{s}^{-1}$ ) is the intensity of the excitation irradiation producing the pairs of free electron  
126 and holes (taken as  $10^{10} \text{ cm}^3\text{s}^{-1}$  during the excitation process and 0 during bleaching),  $\beta_i$  ( $\text{cm}^3\text{s}^{-1}$ ) are  
127 the probability coefficients of a free electron recombining with a hole trapped in the corresponding  
128 recombination centre (hereinafter referred to as recombination coefficient). The time-dependent  
129 probability of release of electrons from the  $i$ -th trap to the conduction band is equal  $\gamma_i = \sigma_i f$ , where  $\sigma_i$   
130 ( $\text{cm}^2$ ) is the optical cross-section of  $i$ -th trap and  $f$  ( $\text{cm}^{-2}\text{s}^{-1}$ ) is the stimulation photon flux density  
131 (during excitation  $f=0$ ). The probability of thermal release of electrons from the  $i$ -th trap to  
132 conduction band is equal  $s_i \exp(-E_i/kT)$ , where  $E_i$  and  $s_i$  are the thermal depth and the frequency  
133 factor of the  $i$ -th trap, respectively.

134 The concentration  $N_4$  and the probability coefficient of electron trapping in disconnected traps,  
135  $A_4$  the concentrations of recombination centres  $M_j$ , the probability coefficient of a free electron  
136 recombining with a hole trapped in the luminescence centre  $\beta_i$ , were changed to check their impact  
137 on the dependence of the residual level on the total dose which was previously absorbed. Unless  
138 otherwise specified, the parameters of the model used in simulations are:  $N_1=1.5 \times 10^7 \text{ cm}^{-3}$ ,  $N_2=10^9$   
139  $\text{cm}^{-3}$ ,  $N_3=2.5 \times 10^8 \text{ cm}^{-3}$ ,  $N_4=5 \times 10^{10} \text{ cm}^{-3}$ ,  $M_1=M_2=10^{11} \text{ cm}^{-3}$ ,  $A_1=10^{-8} \text{ cm}^3\text{s}^{-1}$ ,  $A_2=10^{-9} \text{ cm}^3\text{s}^{-1}$ ,  $A_3=5 \times 10^{-10}$   
140  $\text{cm}^3\text{s}^{-1}$ ,  $A_4=10^{-10} \text{ cm}^3\text{s}^{-1}$ ,  $A_{m1}=A_{m2}=10^{-9} \text{ cm}^3\text{s}^{-1}$ ,  $\beta_1=\beta_2=10^{-10} \text{ cm}^3\text{s}^{-1}$ ,  $f=10^{15} \text{ cm}^{-2}\text{s}^{-1}$ ,  $\sigma_1=1,96 \times 10^{-15} \text{ cm}^2$ ,  
141  $\sigma_2=2,22 \times 10^{-17} \text{ cm}^2$ ,  $\sigma_3=5,41 \times 10^{-18} \text{ cm}^2$ ,  $E_1=0,97 \text{ eV}$ ,  $E_2=1,7 \text{ eV}$ ,  $E_3=1,72 \text{ eV}$ ,  $s_1 = 5 \times 10^{12} \text{ s}^{-1}$ ,  $s_2 = 5 \times 10^{13} \text{ s}^{-1}$ ,  
142  $s_3 = 5 \times 10^{14} \text{ s}^{-1}$ ,  $T=293 \text{ K}$ .

143 The model used in the simulations was constructed so that, while maintaining the greatest  
144 possible simplicity, it reproduces the degree of complication of the examined processes in real

145 materials, especially in natural materials such as minerals. The values of individual parameters were  
146 taken from the work by Bailey (2001) on the model of luminescence in quartz. It should be  
147 emphasized that such parameters for quartz as recombination coefficients or centers concentrations  
148 are not strictly defined. What is more, in subsequent works presenting the model for quartz  
149 (Adamiec et al., 2004, 2006; Bailey and Arnold, 2006; Adamiec et al., 2008; Pagonis et al., 2014;  
150 Friedrich et al., 2017), their different values appear, allowing the correct reproduction of the effects  
151 observed in this material. Here, we selected one of many possible sets of centres parameters. In  
152 order to demonstrate the effects related to the dependence of RHC on the total absorbed dose, not  
153 so much the precise absolute values of these parameters are essential, but the mutual ratios of these  
154 values, especially the concentrations of centres and recombination coefficients. The particular values  
155 of both kinds of parameters can fluctuate from sample to sample because of the various crystal  
156 lattice defects present in the materials of different origins.

157         During simulations, the concentration of holes in both recombination centres  $m_1$  and  $m_2$  was  
158 monitored after the optical bleaching. These values will be hereafter referred to as residual hole  
159 concentrations (RHC). Their values were observed for different duration of the irradiation with the  
160 intensity of the excitation (i.e. irradiation) producing pairs of free electrons and holes  $R$  equal to  $10^{10}$   
161  $\text{cm}^{-3}\text{s}^{-1}$ . Simulation results, for the sake of clarity of presentation, are shown in the form of a dose  
162 dependence. The dose axis for all the results is directly related to the excitation time. It should be  
163 mentioned that several series of simulations were repeated for smaller  $R$  values, among them also  
164 values smaller by 6 orders of magnitude being chosen. When it can be assumed that one Gray  
165 generates about  $1.5 \times 10^7$  electron-hole pairs in  $1 \text{ cm}^3$  of quartz (Chen et al., 2020) the range of  $R$   
166 value from  $10^{10} \text{ cm}^{-3}\text{s}^{-1}$  to  $10^4 \text{ cm}^{-3}\text{s}^{-1}$  corresponds to the dose rates ranging from  $600 \text{ Gys}^{-1}$  to  $6$   
167  $\text{mGys}^{-1}$ . Such a range of dose rates was tested in the simulations and no differences in the shape of  
168 RHC dependency on the dose were found for various dose rates. At the initial step of the simulations,  
169 different times of bleaching were tested. The bleaching time of 1000 s was found to be sufficient to  
170 effectively depopulate the optically active traps and was used in all the further simulations. For the

171 simulation results presented, the dependences of electron and hole concentrations on dose are  
172 shown for selected cases (panel a in the most of figures). They illustrate how the centres are filled  
173 during irradiation for various sets of model parameters.

174

### 175 **3. Results**

#### 176 3.1. Significance of the presence of the disconnected trap.

177 First the dependence of the residual hole concentration on the concentration,  $N_4$ , and the  
178 coefficient of trapping probability,  $A_4$ , of disconnected traps was investigated. For this purpose, the  
179 concentrations of recombination centres as well as their recombination coefficients were fixed and  
180 set equal for both centres,  $M_1 = M_2$  and  $\beta_1 = \beta_2$ , respectively. It is intuitively to foresee that the  
181 greater the dose at which the saturation level of occupation of these traps is achieved the bigger is  
182 also the dose at which the residual hole concentration in recombination centres after bleaching  
183 stabilises. Both parameters  $N_4$  and  $A_4$  control the rate at which the maximum filling of these traps is  
184 attained, so both are responsible for the shape of the dose dependence obtained for the residual



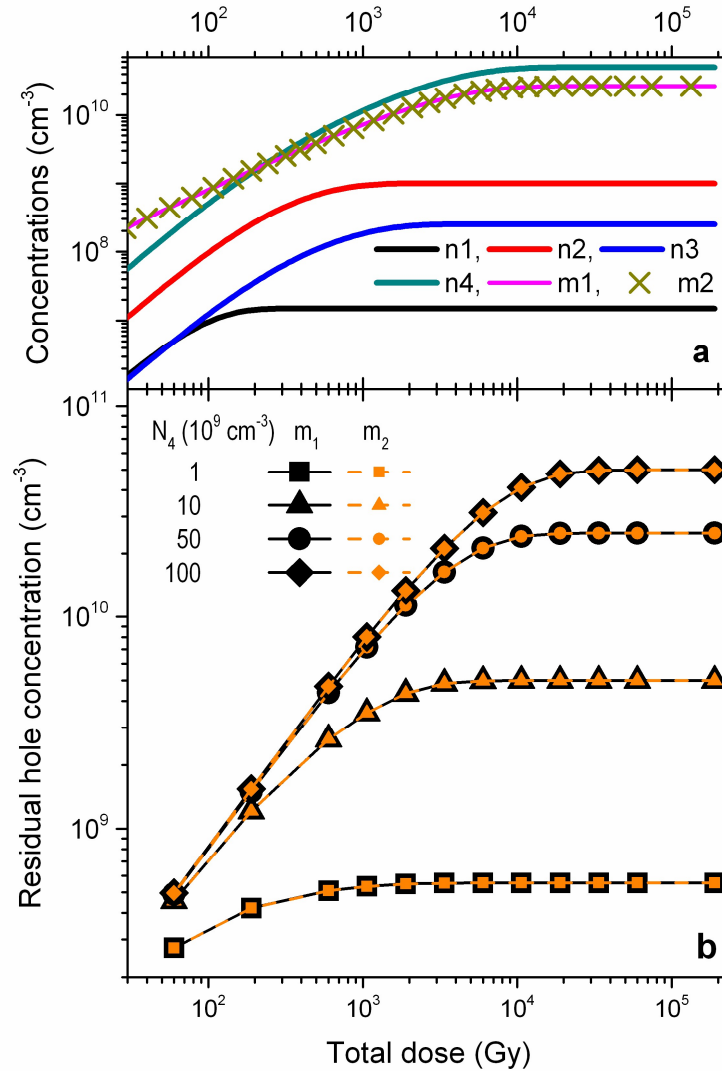


Fig. 2. Results of simulations for recombination centres having equal concentrations ( $M_1 = M_2 = 10^{11} \text{ cm}^{-3}$ ) and recombination coefficients ( $\beta_1 = \beta_2 = 10^{-10} \text{ cm}^3\text{s}^{-1}$ ) for different values of the concentration of disconnected traps. (a) - An example of growth curves for the electron and the hole concentrations, respectively, in traps and recombination centres for the case when concentration of disconnected traps  $N_4 = 5 \times 10^{10} \text{ cm}^{-3}$ ; (b) - The dependence of RHC after total emptying of shallower traps by optical stimulation for 1000 s on the total dose which was previously absorbed for four different values of  $N_4$ .

185 hole concentration. The first parameter only determines the final saturation value of the residual  
 186 hole concentration, because, as charge neutrality dictates, the number of holes trapped in both  
 187 recombination centres is equal to number of electrons in the disconnected traps. This is confirmed

188 by the results presented in Fig. 2 that show the dependency of the residual hole concentration in  
 189 both recombination centres after total emptying of shallower traps by optical stimulation for 1000 s  
 190 for four different values of disconnected trap concentration  $N_4$  and the same  $A_4$  value. The residual  
 191 hole concentration reaches its final saturation value for higher and higher doses as the concentration  
 192 of disconnected traps increases.

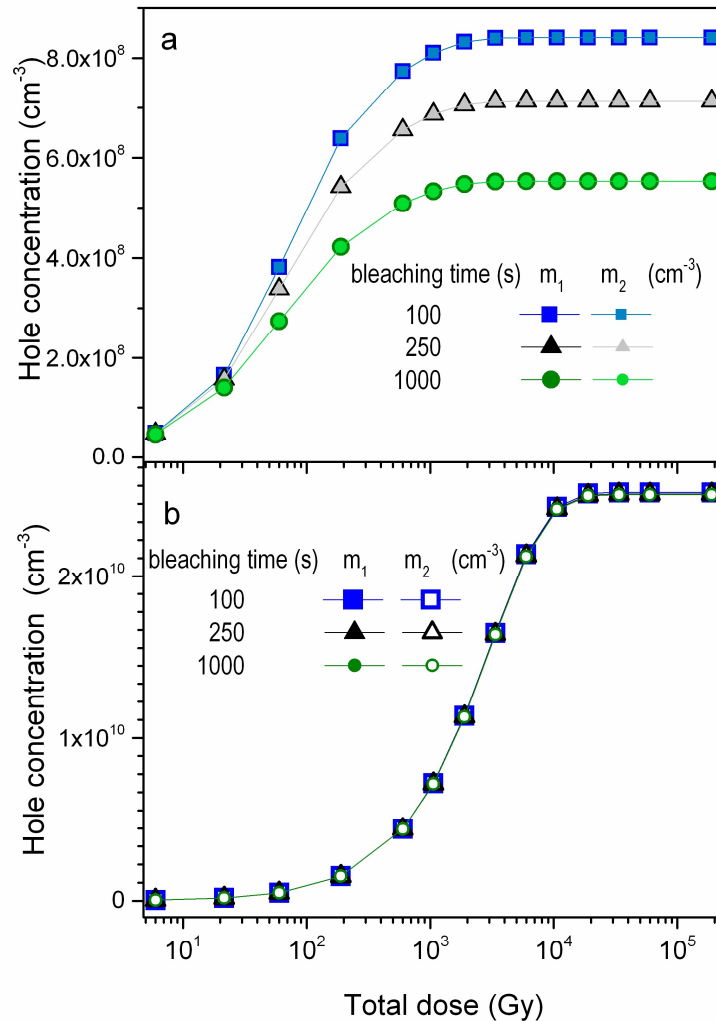


Fig. 3. The dependence of hole concentrations in the both recombination centres ( $M_1 = M_2 = 10^{11} \text{ cm}^{-3}$ ,  $\beta_1 = \beta_2 = 10^{-10} \text{ cm}^3\text{s}^{-1}$ ) on the total dose for different times of bleaching and two different concentrations of disconnected traps: (a)  $N_4 = 10^9 \text{ cm}^{-3}$ ; (b)  $N_4 = 5 \times 10^{10} \text{ cm}^{-3}$ . The concentrations of optically active traps are:  $N_2=10^9 \text{ cm}^{-3}$  and  $N_3=2.5 \times 10^8 \text{ cm}^{-3}$ .

193 It is interesting to notice that the extent of changes of the concentration of holes in the  
194 recombination centres with the time of optical bleaching reflects the general relation of the  
195 concentration of optically active traps to the concentration of the disconnected traps. When the  
196 concentration of the latter has overwhelming majority over the concentration of bleachable traps  
197 the differences between the hole concentrations for different bleaching times are hardly noticeable.  
198 This is illustrated in Fig. 3b. In Fig. 3a, the differences in the hole concentrations for various bleaching  
199 times can be clearly observed, because the concentration of the disconnected trap is comparable  
200 with the concentrations of optically emptied traps. Such observations can be used for a qualitative  
201 assessment of the proportion of the concentration of the both kinds of traps.

202

203 For a defined concentration of disconnected traps, the coefficient of probability of electron  
204 trapping,  $A_4$ , dictates the rate of filling these traps. Therefore, its influence on the dose  
205 characteristics of residual hole concentration is easy to predict. The saturation level for different  
206 values of  $A_4$ , and fixed concentrations of the centres, stays the same but it is reached for  
207 progressively lower doses when  $A_4$  increases. Such an effect is illustrated in Fig. 4 that shows the  
208 changes of residual hole concentration level in the recombination centres with dose. When the  $A_4$  is  
209 equal to  $10^{-11} \text{ cm}^3\text{s}^{-1}$ , the residual hole concentration saturates for doses larger than 100 kGy, while  
210 for the trapping probability coefficient equal to  $10^{-9} \text{ cm}^3\text{s}^{-1}$  saturation starts around 5 kGy. Changes in  
211 the dose range for which the saturation of residual hole concentration occurs are dynamic when the  
212  $A_4$  is smaller than the trapping probability coefficients for the main optically active traps ( $A_2=10^{-9}$   
213  $\text{cm}^3\text{s}^{-1}$ ,  $A_3=5 \times 10^{-10} \text{ cm}^3\text{s}^{-1}$ , see in Fig. 4b the curves for  $A_4= 0.1 \times 10^{-10} \text{ cm}^3\text{s}^{-1}$  and  $10^{-10} \text{ cm}^3\text{s}^{-1}$ ). For the  
214 higher  $A_4$  values, comparable with  $A_2$  and  $A_3$ , ranging from  $10^{-10} \text{ cm}^3\text{s}^{-1}$  to  $10^{-9} \text{ cm}^3\text{s}^{-1}$ , the saturation  
215 range of residual hole concentration does not significantly change. It stabilizes when  $A_4$  is  
216 comparable with the larger coefficient of the optically active traps, i.e. in this case  $A_2 = 10^{-9} \text{ cm}^3\text{s}^{-1}$ .

217 The simulations presented in Fig. 4 were made for different concentrations of the  
218 recombination centres,  $M_1 = 10^{11} \text{ cm}^{-3}$  and  $M_2 = 4 \times 10^{10} \text{ cm}^{-3}$ . Such cases are considered in detail

219 in subsection 3.2.2. Here, it is used to demonstrate that the dose at which the residual level reaches  
220 its final saturation level does not depend on the mutual relation of recombination centre  
221 concentrations. The factors that control this are the parameters of disconnected traps  $N_4$  and  $A_4$ .

222

223 3.2. Influence of the properties of recombination centres.

224 As it can be clearly seen in Fig. 2, the maximum residual hole concentration as function of  
225 previous given dose depends on the relation of the concentration of disconnected traps  $N_4$  to the  
226 concentrations of recombination centres. However, Fig. 2 presents simulation results for an  
227 exceptional case when both recombination centres have the same concentrations ( $M_1 = M_2$ ) and  
228 recombination coefficients ( $\beta_1 = \beta_2$ ). While for different samples of the same material the  
229 concentrations of specific defects (here  $M_1$  and  $M_2$ ) may be different, the recombination coefficients  
230 of these defects (here  $\beta_1$  and  $\beta_2$ ) remain constant from sample to sample. When one knows the  
231 recombination coefficient values of specific centres in the crystal, which is not the case with quartz,  
232 changes in RHC may be considered only regarding to different concentrations of recombination  
233 centres. So, it is interesting to observe how these different parameters individually affect the residual  
234 hole concentrations in recombination centres.

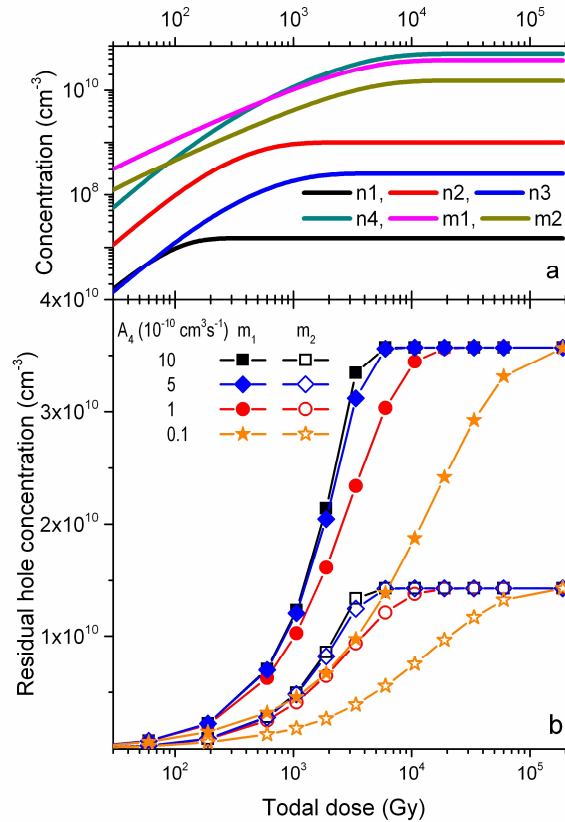


Fig. 4. Results of simulations for recombination centres having equal recombination coefficients ( $\beta_1 = \beta_2 = 10^{-10} \text{ cm}^3 \text{ s}^{-1}$ ) and concentrations respectively:  $M_1 = 10^{11} \text{ cm}^{-3}$  and  $M_2 = 4 \times 10^{10} \text{ cm}^{-3}$ , for different values of the coefficient of probability of electron trapping in disconnected traps  $A_4$ . (a) - An example of growth curves for the electron and the hole concentrations in traps and recombination centres for the case when coefficient  $A_4 = 10^{-10} \text{ cm}^3 \text{ s}^{-1}$ ; (b) - The dependence of RHC on the total dose which was previously absorbed for four different values of  $A_4$ . The concentration of disconnected traps is set to  $N_4 = 5 \times 10^{10} \text{ cm}^{-3}$ .

### 235 3.2.1 The impact of recombination coefficients of the centres on the residual hole concentration

236 First the simulation was conducted for equal concentrations  $M_1$  and  $M_2$  and different  
 237 relations between the recombination coefficients  $\beta_1$  and  $\beta_2$  were tested with the fixed assumption  
 238 that  $\beta_1 > \beta_2$ . An interesting effect can be noticed in Fig. 5, which shows the results for a wide range of  
 239  $\beta_2$  values and  $\beta_1$  equal to  $10^{-10} \text{ cm}^3 \text{ s}^{-1}$ . When  $\beta_1$  is greater than  $\beta_2$  but no more than four times ( $5 \times 10^{-11}$ ,  
 240  $7.5 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$ ) the dependence of the residual hole concentration on dose is similar in shape to

241 all the curves presented previously in Figs 2 - 5. For lower  $\beta_2$  values (in Fig. 5 for  $\beta_2 = 0.5 \times 10^{-11}$ ,  $10^{-11}$ ,  
242  $2.5 \times 10^{-11} \text{cm}^3 \text{s}^{-1}$ ), in the case of the recombination centre number 1 with the greater recombination  
243 coefficient  $\beta_1$ , a peak is observed before the dependence of residual hole concentration on dose  
244 reaches its stable final level. The amplitude of this peak depends on the relationship between the  
245 concentrations of recombination centres  $M_1$  and  $M_2$  which will be shown in subsection 3.2.2. The  
246 ratio  $\beta_1/\beta_2$ , instead, decides about the final saturation value of the residual hole concentration for  
247 doses higher than those causing the peak. For a fixed  $M_1/M_2$  ratio, the level is the lower the greater  
248 the difference between the two coefficients and reaches zero for extreme cases, here for  $\beta_1/\beta_2 = 20$ .

249 It is important to notice that the peak similar to that observed in the dependence of RHC on  
250 the total dose appears also in the growth curves of hole concentration of the respective  
251 recombination centre (see Fig. 5a). This is the consequence of the fact that the recombination rate is  
252 controlled not only by the recombination coefficient ( $\beta_1$  and  $\beta_2$ ) but also by the current hole  
253 concentration  $m_1$  and  $m_2$ . The terms in the kinetics equations responsible for recombination rates are  
254  $\beta_1 m_1 n_c$  and  $\beta_2 m_2 n_c$  which shows that both processes are also coupled by the current state of  
255 electron concentration in the conduction band described by the Eq. (3) and compete with each  
256 other. For low  $\beta_2$  and  $m_2$ , the rate of recombination at centre 2 is simply lower than at centre 1 which  
257 although catches the holes during irradiation simultaneously loses them more effectively. When all  
258 the traps are almost full, the electrons in the conduction band have are less effectively trapped and  
259 then the recombination process at centre 1 becomes the most effective way for their relaxation. That  
260 leads to lower hole concentration in this recombination centre after irradiation and then also to the  
261 lower RHC values after bleaching.

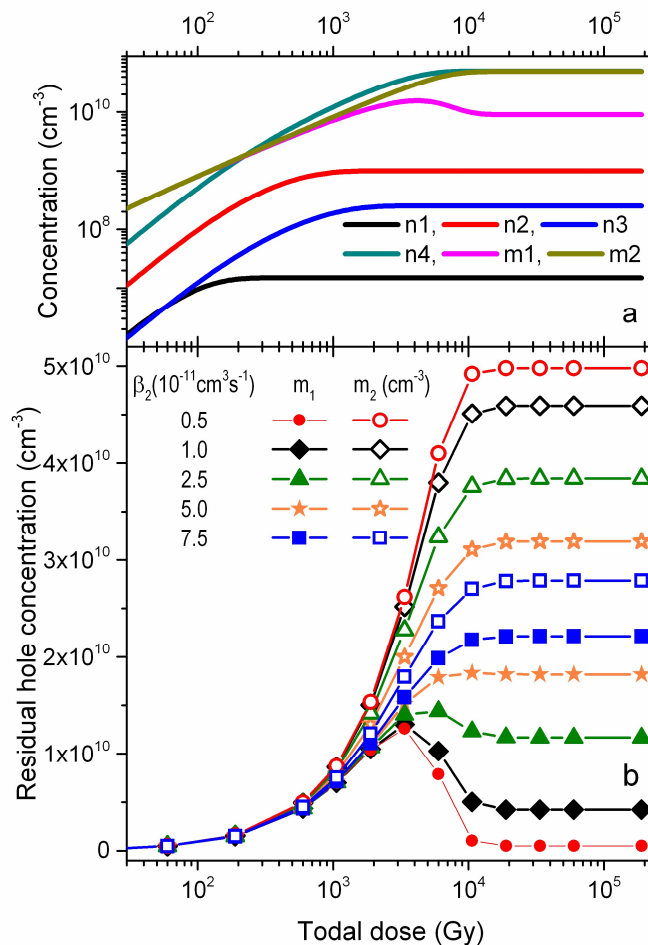


Fig. 5. Results of simulations for recombination centres having equal concentrations ( $M_1 = M_2 = 10^{11} \text{ cm}^{-3}$ ) and different values of the recombination coefficients  $\beta_1$  and  $\beta_2$ .  $\beta_1$  is fixed and equal to  $10^{-10} \text{ cm}^3 \text{ s}^{-1}$ , when  $\beta_2$  is changed. (a) - An example of growth curves for the electron and the hole concentrations in traps and recombination centres for the case when the recombination coefficient  $\beta_2 = 10^{-11} \text{ cm}^3 \text{ s}^{-1}$ ; (b) - The dependence of RHC on the total dose which was previously absorbed for five different values of  $\beta_2$ . The concentration of disconnected traps is set to  $N_4 = 5 \times 10^{10} \text{ cm}^{-3}$ .

262           The value of  $\beta_1/\beta_2$  ratio for which the peak in the dose dependence of residual hole  
 263 concentration appears is controlled by the relation of recombination centres concentrations  $M_1$  and  
 264  $M_2$  to the concentration of disconnected traps. When both  $M_1$  and  $M_2$  are significantly bigger than  $N_4$   
 265 the peak is always observed for  $\beta_1 > 2\beta_2$ . For close values of the concentrations of recombination  
 266 centres and disconnected traps the difference between  $\beta_1$  and  $\beta_2$  has to be bigger. The effect is

267 illustrated by Fig. 6 which is a repetition of the simulations presented in Fig. 5 but with two different  
268 concentrations of recombination centres  $M_1$  and  $M_2$ . In Fig 6a and c  $M_1 = M_2$  and is twice than the  
269 values chosen in Fig. 5, while in Fig. 6c and d the value is a much smaller equal to  $N_4$ . As can be seen  
270 for example for  $\beta_2 = 10^{-11}$  or  $2.5 \times 10^{-11} \text{cm}^3 \text{s}^{-1}$  in Fig. 6a, the final saturation value, in other words the  
271 stabilization level for the residual hole concentration is clearly lower for bigger  $M_1$  and  $M_2$  (equal to  
272  $2 \times 10^{11} \text{cm}^{-3}$ ) and for  $\beta_2 = 5 \times 10^{-11} \text{cm}^3 \text{s}^{-1}$  the slight decrease after approaching the maximum value  
273 of hole concentration may be noticed. The latter was not present for lower  $M_1$  and  $M_2$  ( $10^{11} \text{cm}^{-3}$ ) on  
274 Fig. 5. When  $M_1$  and  $M_2$  are close to the concentration of disconnected traps (Fig. 6b, all equal to  
275  $5 \times 10^{10} \text{cm}^{-3}$ ), the decrease of the dose dependence curve after reaching the maximum value can be  
276 hardly noticed for  $\beta_2 = 2.5 \times 10^{-11} \text{cm}^3 \text{s}^{-1}$  for which the decrease is clearly visible in Fig. 5. The very low  
277 value of final saturation value, close to zero, seen for the smaller value of  $\beta_2$  ( $0.5 \times 10^{-11} \text{cm}^3 \text{s}^{-1}$ ) in Fig.  
278 5 and Fig. 6b is not observed here.

279 It is also worth noting that the amplitudes of the peaks observed in the dose dependence  
280 curves presented in Fig. 5 and 6 does not change. Additionally, it was checked that as long as the  
281  $M_1/M_2$  ratio does not change, the concentrations  $M_1$  and  $M_2$  alone have no effect on the peak height  
282 of the dose dependence of the residual hole centres. A corresponding result of simulations are  
283 presented in Fig. S1 in Supplementary Materials. This is because, as was mentioned above, it is the  
284  $M_1/M_2$  ratio that dictates the amplitude of the peak. Here, it can be also observed that in the case  
285 when  $M_1$  and  $M_2$  are smaller than  $N_4$  the peak in the dose dependence curve is not observed (Fig. S1,  
286 curve for  $M_1 = M_2 = 2.5 \times 10^{10} \text{cm}^{-3}$ ).

287



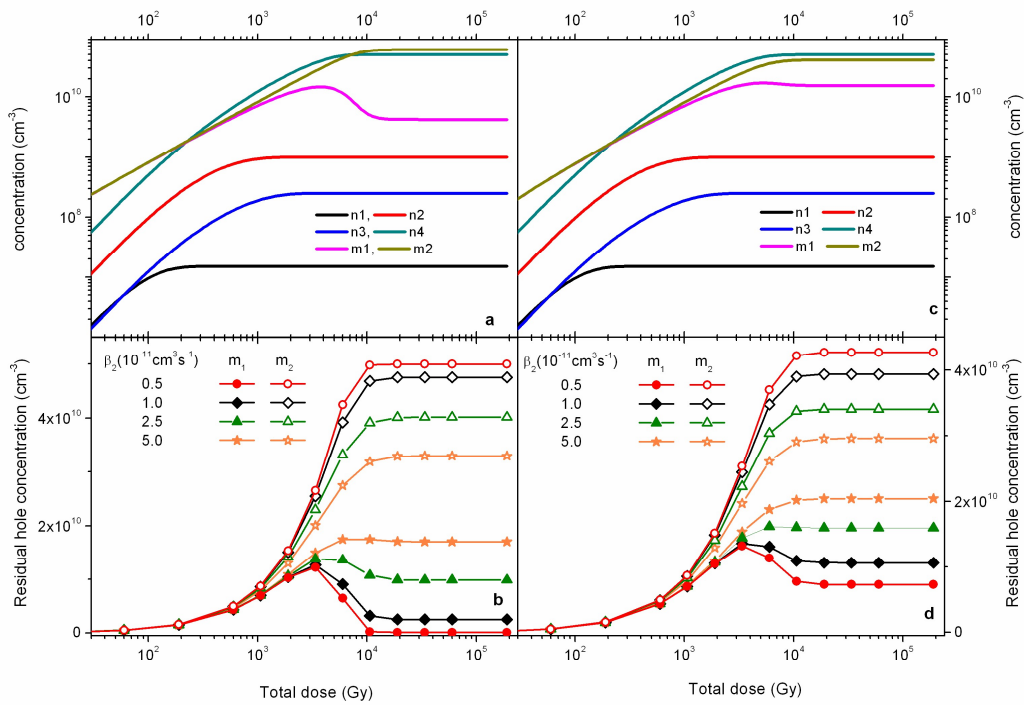


Fig. 6. Results of simulations for recombination centres having equal concentrations and different values of the recombination coefficients  $\beta_1$  and  $\beta_2$ .  $\beta_1$  is fixed and equal to  $10^{-10} \text{ cm}^3\text{s}^{-1}$ , when  $\beta_2$  is changed. (a) - An example of growth curves for the electron and the hole concentrations in traps and recombination centres for the case when their concentrations are  $M_1 = M_2 = 2 \times 10^{11} \text{ cm}^{-3}$  and recombination coefficient  $\beta_2 = 5 \times 10^{-10} \text{ cm}^3\text{s}^{-1}$ ; (b) - The dependence of RHC on the total dose which was previously absorbed for five different values of  $\beta_2$  when  $M_1 = M_2 = 2 \times 10^{11} \text{ cm}^{-3}$ ; (c) - An example of growth curves for the electron and the hole concentrations in traps and recombination centres for the case when their concentrations are  $M_1 = M_2 = 5 \times 10^{10} \text{ cm}^{-3}$  and recombination coefficient  $\beta_2 = 10^{-11} \text{ cm}^3\text{s}^{-1}$ ; (d) - The dependence of RHC on the total dose for five different values of  $\beta_2$  when  $M_1 = M_2 = 5 \times 10^{10} \text{ cm}^{-3}$ . The concentration of disconnected traps is  $N_4 = 5 \times 10^{10} \text{ cm}^{-3}$ .

288 3.2.2. The influence of the concentrations of the recombination centres on the residual hole  
 289 concentration

290 To investigate the influence of the concentration of the recombination centres on the changes  
 291 of residual hole concentrations with dose the  $\beta_1/\beta_2$  ratio and the concentration of disconnected traps  
 292  $N_4$  were established and the processes were modelled for different relationships between  $M_1$  and

293  $M_2$ . Fig. 7 shows the residual hole concentrations after emptying the optically sensitive traps versus  
 294 the dose. As the  $\beta_1/\beta_2$  ratio is set to 10 the peak can be observed for every case. The greater the  $M_1 /$   
 295  $M_2$  ratio the higher is the peak in the dose dependence for the recombination centre 1. It was  
 296 checked that for fixed  $M_1 / M_2$  ratio the individual values of recombination centre concentrations  
 297 play here a minor role. The results illustrating this are shown in Supplementary Material in Fig. S2.

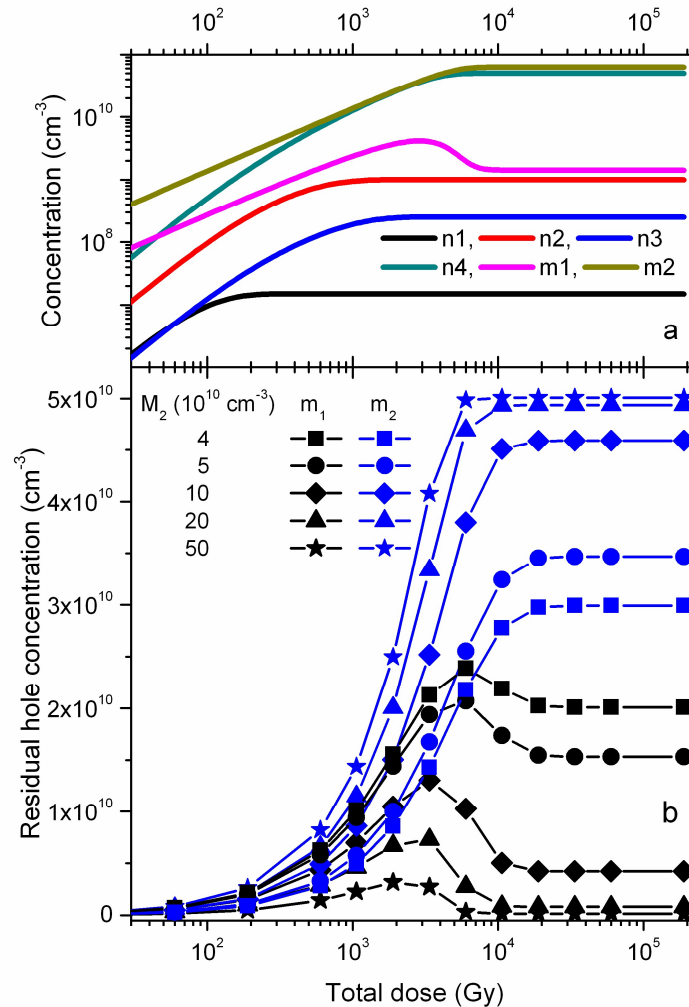


Fig. 7. Results of simulations for recombination centres for fixed recombination coefficients :  $\beta_1 = 10^{-10} \text{ cm}^3\text{s}^{-1}$ ,  $\beta_2 = 10^{-11} \text{ cm}^3\text{s}^{-1}$  and various ratios of the recombination centre concentrations  $M_1/M_2$ .  $M_1$  is always equal to  $10^{11} \text{ cm}^{-3}$  and the value of  $M_2$  is changed,  $N_4 = 5 \times 10^{10} \text{ cm}^{-3}$ . (a) - An example of growth curves for the electron and the hole concentrations in traps and recombination centres for the case when the recombination centre concentration  $M_2 = 5 \times 10^{11} \text{ cm}^{-3}$ ; (b) - The dependence of RHC on the total dose which was previously absorbed for five different values of  $M_2$ .

298 In Fig. 7, an interesting detail can be noticed. The stabilization level of residual hole  
 299 concentration is attained for lower doses when concentration  $M_2$  increases. This indicates that not  
 300 only the concentration of disconnected traps dictates the dose range where residual hole  
 301 concentration stabilization occurs but also, in the second order, its relation to the sum of  
 302 concentrations of recombination centres ( $M_1 + M_2$ ).

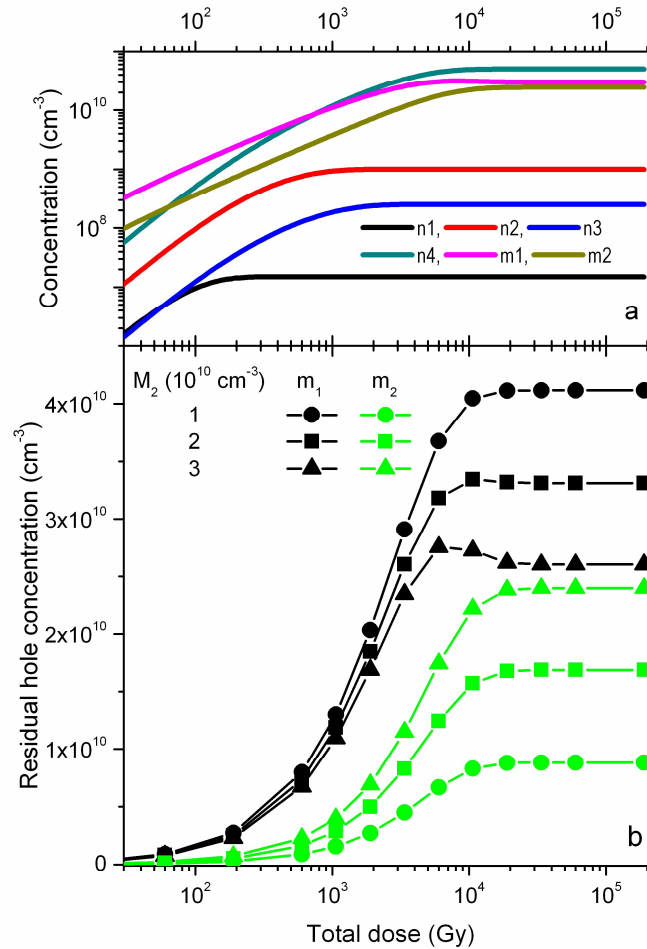


Fig. 8. Results of simulations for recombination centres for selected cases when the concentration  $M_2$  of recombination centre with the lower recombination coefficient is smaller than the concentration of the disconnected traps  $N_4 = 5 \times 10^{10} \text{ cm}^{-3}$ . The recombination coefficients:  $\beta_1 = 10^{-10} \text{ cm}^3 \text{ s}^{-1}$ ,  $\beta_2 = 10^{-11} \text{ cm}^3 \text{ s}^{-1}$  and the concentration  $M_1 = 10^{11} \text{ cm}^{-3}$ . (a) - An example of growth curves for the electron and the hole concentrations in traps and recombination centres for the case when the recombination centre concentration  $M_2 = 3 \times 10^{10} \text{ cm}^{-3}$ ; (b) - The dependence of RHC on the total dose for three different values of  $M_2$ .

303 As it was already noticed above, the peak in the dose dependence of the residual hole  
304 concentration does not occur when  $M_1 = M_2 < N_4$ . However, when only one of the recombination  
305 centres has the concentration lower than  $N_4$ , the peak appears. A good example is the dose  
306 dependence shown in Fig. 7 for  $M_2 = 4 \times 10^{10} \text{ cm}^{-3}$ . But it was also observed that for small enough  
307 concentration of  $M_2$ , the peak in the dose dependency curve disappears and additionally the relation  
308 between the final saturation values of RHC of both centres is changed. When for larger  $M_2$ , the  
309 residual hole concentrations for recombination centre 2 saturates for larger values than for  
310 recombination centre 1, for very small  $M_2$  this is reversed, and the bigger saturation value is  
311 observed for centre 1. Both effects are presented in Fig. 8 for various  $M_2$  values. For very low  $M_2$ , the  
312 hole trapping at this centre is very slow and because of that the hole concentration in this centre is  
313 continuously lower than hole concentration in centre 1, which can be observed for both the hole  
314 concentrations during irradiation as well as residual hole concentrations in Fig. 8. This makes the  
315 recombination centre 2 "hardly visible" for the processes taking place at the centre 1 and unable to  
316 compete effectively. This makes that the peak in the dose dependence curves, which is a result of  
317 competition between the recombination processes in both centres, is vanishing with decreasing  $M_2$   
318 values. When the dose range for which the stabilization of residual levels occurs is reached,  
319 recombination centre 2 stays completely filled out after the bleaching and centre 1 ensures the  
320 fulfilment of electric charge neutrality.

321

#### 322 **4. Discussion**

323 ESR is a very powerful experimental tool for the detection and identification of defects in  
324 solid states (Lund and Shiotani, 2014). As such it is also a perfect tool for measuring the trapped  
325 charge concentration based on which ages are determined in ESR dating (Grün, 2020; Rizal et al,  
326 2020). However, for accurate applications to be carried out the initial concentration of charge at the  
327 moment that is considered the starting point for time measurement needs to be known. The most  
328 convenient and desirable situation is the lack of charge in the traps at this initial moment. The

329 complexity of charge transport processes in crystals, and especially in natural crystals, makes one  
330 doubt this state is always possible, especially when the ESR signal is resetted by light exposure. When  
331 the measurement of such initial concentration gives a negligible result in comparison to the  
332 concentrations measured to determine the age this assumption is likely valid.

333 For Al-hole signals however, it is well known this is not the case, and generally a value which is  
334 not negligible is determined and taken into account in the age estimation by adequately reducing the  
335 value obtained by the application of dating protocols (e.g. Voinchet et al., 2003). There is, however,  
336 one necessary condition for such corrections to be valid, and that is that the residual value obtained  
337 by laboratory measurements has to agree with the initial natural value at the start point for time  
338 measurement. The results presented here clearly show that this cannot usually be the case when the  
339 concentration of holes in recombination centres is used for age estimation and the factor responsible  
340 for the ESR signal zeroing is light. The ESR measurement results for quartz extracted from sediments  
341 clearly presented that the residual level of the ESR signal related to Al centre in quartz depends on  
342 the total dose absorbed in quartz before the beaching (Timar-Gabor et al., 2020). The nature of this  
343 dependence is a consequence of the rule of electric charge neutrality and the complex processes of  
344 charge transfer in the crystal. These processes are controlled by the physical parameters of the  
345 centres involved: the probability coefficients of electron or hole trapping in the corresponding trap  
346 and the recombination coefficients of a free electron recombination with a hole trapped in the  
347 recombination centre, as well as by the concentrations of the centres which are expected to vary  
348 from sample to sample.

349 We have investigated these effects using the band gap model by considering four electron  
350 traps and two recombination centres. It should be noted that although only one kind of disconnected  
351 trap type was considered, it stands for the many kinds typically found in quartz. The sum of their  
352 concentrations may well exceed the concentration of the optically emptied traps. The presented  
353 results seem to indicate a favourable case, which could allow the use of ESR signal from the Al-hole  
354 centre in quartz for sediment dating without the risk that the RHC level included in the age

355 calculation does not correspond to the real one. It is when the concentration of disconnected traps is  
356 low compared to the concentration of optically emptied traps, as this makes the overall RHC levels  
357 low compared to the hole concentration in recombination centres immediately after excitation. In  
358 this case the difference between the ESR intensity of the natural sample at the moment of bleaching  
359 and that of the laboratory bleached sample will be negligible. Another case that may also lead to  
360 same small values of the difference between the ESR intensity of Al-hole centre of the natural sample  
361 and that of the laboratory bleached one is quartz containing a recombination centre with a much  
362 higher concentration and a several times lower recombination coefficient than the Al-hole centre.  
363 Then the residual hole concentration for a centre of low concentration (here by assumption Al-hole  
364 centre) has mostly low levels and stabilizes at a value close to zero. Such a case is illustrated by the  
365 dose dependence curves presented in Fig. 7 for  $M_2 = 2 \times 10^{11} \text{ cm}^{-3}$  or  $5 \times 10^{11} \text{ cm}^{-3}$ . The concentration  
366 of centre 1 is  $M_1 = 10^{11} \text{ cm}^{-3}$ , which is, two or five times less than the concentration of centre 2. Other  
367 similar cases are presented in Supplementary Material in Fig. S2. However, it is hard to assume that  
368 Al-hole centre plays the role of centre 1 in such cases because it is very unlikely that there will be a  
369 centre in quartz having a higher concentration. Other ions occur in quartz in concentrations on  
370 average ten times lower than Al. The latter occurs in high concentrations (up to a few 1000 ppm,  
371 Müller et al., 2003, 2012) due to the common occurrence of Al in the Earth crust and the similarity of  
372 ionic radius of  $\text{Si}^{4+}$  and  $\text{Al}^{3+}$ . Because of the above Al may be regarded as the recombination centre  
373 with potentially the highest concentration. In this case significant and variable residual hole  
374 concentrations can be expected for Al-hole centre. This seems to be confirmed by practice as usually  
375 high values are reported in the literature for the relative difference between the ESR intensity of the  
376 natural sample used for equivalent dose determination and that of the signal after laboratory  
377 bleaching the natural samples in the laboratory. For example, Walther and Zilles (1994) reported a  
378 residual level at 44% of the natural, Voincet et al. (2003) reported a maximum bleached value of 50 %  
379 of the natural, Rink et al. (2007) observed that the remaining signal was 56% of the natural ESR  
380 intensity measured prior to light exposure., while Voinchet et al. (2015) examined modern samples

381 from various sedimentary environments (fluvial, marine and aeolian) and reported bleaching rates  
382 (expressed as % of the total signal that can be bleached) of up to 22%.

383 Due to the lack of data on the recombination coefficient of Al-hole centre two opposite cases  
384 should be taken into account: (1) when this coefficient is bigger than for other centres and (2) when  
385 another centre with a bigger recombination coefficient than of Al-hole centre exists.

386 In the first case, a complex dose dependence for the residual hole concentration after  
387 bleaching may be expected. The possible changes of the residual hole concentration with dose can  
388 be seen in Fig. 6 for different ratios of the probability coefficients of both centres and two different  
389 relations of the recombination centre concentrations to the disconnected trap concentration. The Al-  
390 hole centre plays here the role of centre 1. The shape of dose dependency curves for this centre ( $m_1$ )  
391 varies between a function which monotonically increases up to a stabilization level and a peak shape  
392 curve. The relative fluctuations in residual hole concentration with the total dose are the most  
393 significant for big differences between recombination coefficients (e.g. curves for  $\beta_2 = 2.5 \times 10^{-11} \text{ cm}^3$   
394  $\text{s}^{-1}$  and  $5 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$ , when  $\beta_2 = 10^{-10} \text{ cm}^3 \text{ s}^{-1}$ ), because after the peak very low values are reached in  
395 the stabilization range. So a very low residual hole concentration observed for old samples could find  
396 explanation by the conceivable large predominance of the recombination coefficient of Al centre  
397 over the coefficients of the rest of recombination centres. It may also explain why in some cases the  
398 results obtained by signals from the Ti and Al-hole centres in the quartz are consistent (Tissoux, et al.,  
399 2007, Bartz et al., 2018; Duval et al., 2020; Bahain et al., 2020; Bartz et al., 2020), and in others they  
400 are not (Duval and Guilarte, 2015; Duval et al., 2017; Demuro et al., 2020). Sometimes the residual  
401 hole concentration for the Al centre is close to zero (Tissoux, et al., 2012). However, such a case does  
402 not necessarily mean that the ESR signal of Al-hole centre was also close to zero in result of bleaching  
403 during the deposition process of the quartz grains. In case when Al-hole centre has the biggest  
404 recombination coefficient from all centres, significantly higher RHC could appear for lower total  
405 absorbed doses (e.g. Fig. 7, curve for  $\beta_2 = 10^{-11}$  and  $5 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$ ). At this point, it is important to  
406 note that the complex peak shape is observed also in the growth curves of the hole concentration in

407 recombination centres with the high recombination coefficient. This can be seen in parts a of Figs 5 -  
408 7. The second case, when another centre has the bigger recombination coefficient than Al-hole  
409 centre, does not lead to as much complex behavior of the dose dependence curve for the RHC. But  
410 still, the RHC changes with dose. The absolute value of the residual hole concentration strongly  
411 depends on the concentration and the probability coefficient of trapping of disconnected traps as  
412 can be observed in Fig. 2 and 4. Moreover, the same factors dictate the dose range where the  
413 residual hole concentration starts to stabilize. Therefore, also in the case when the Al-hole centre is  
414 not the recombination centre with the larger value of the recombination coefficient, the dose  
415 dependence of the residual hole concentration may explain a variety of the unbleachable residuals  
416 levels of ESR signal from Al-hole centre reported in the literature as well the observed strong sample  
417 dependency (e.g Toyoda et al., 2000, Tissoux et al., 2012; Duval et al., 2017, Bartz et al., 2020).

418 To sum up, regardless of the magnitude of the recombination coefficient of Al-hole centre, the  
419 residual concentration level of holes that is reached after the bleaching of the quartz grains is  
420 practically impossible to estimate. The first reason is that the total dose of radiation absorbed by the  
421 grains since their inception, more precisely from the moment when the disconnected traps were  
422 emptied, to the moment when grains were exposed to light is not known. The equivalent dose, the  
423 dose accrued after deposition until the moment of measurement is obviously not known as this is the  
424 measurable in the dating process. For this reason, even if the dose dependency of residual hole  
425 concentration could be well constrained by laboratory experiments, it is not possible to determine  
426 where on this dose dependency the point of sediment deposition lies on. The construction of natural  
427 dose response curves does not seem to fully solve the problem either, as one can easily see from the  
428 results above that it cannot be assumed that the RHC level was the same in all samples used to  
429 construct the curve. The dose dependence of the RHC is sample specific because it strongly depends  
430 on the concentration of all kinds of defects in quartz.

431

432



433 **5. Conclusions**

434 As long as the hole centres are believed to be disintegrated by light in the process of  
435 recombination of electrons from conduction band with holes in these centres, there is no reason to  
436 believe that the number of the residual hole centres that remain after the optical bleaching can be  
437 assumed to be constant and fixed from sample to sample. The detailed investigation of the possible  
438 levels of residual hole concentrations in recombination centres after the bleaching shows that they  
439 change with the total dose absorbed in the history of the sample. The character of the dose  
440 dependency may vary significantly from sample to sample. It is hard to reconstruct the shape of this  
441 dependency, and it is impossible to know the total dose accrued in the crystal before the bleaching  
442 event. Until one knows the relation of the recombination coefficient of the centre whose signal is  
443 used for dating (in the case of quartz is the Al-hole centre) to the recombination coefficients of the  
444 other recombination centres, one cannot assume that the RHC determined in the laboratory  
445 corresponds to the natural value.

446

447 **Acknowledgement:** Alida Timar-Gabor has received funding from the European Research Council  
448 (ERC) under the European Union's Horizon 2020 research and innovation programme ERC-2015-STG,  
449 (grant agreement No [678106]).

450

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## Residual hole concentration in recombination centres after bleaching

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Supplementary Material

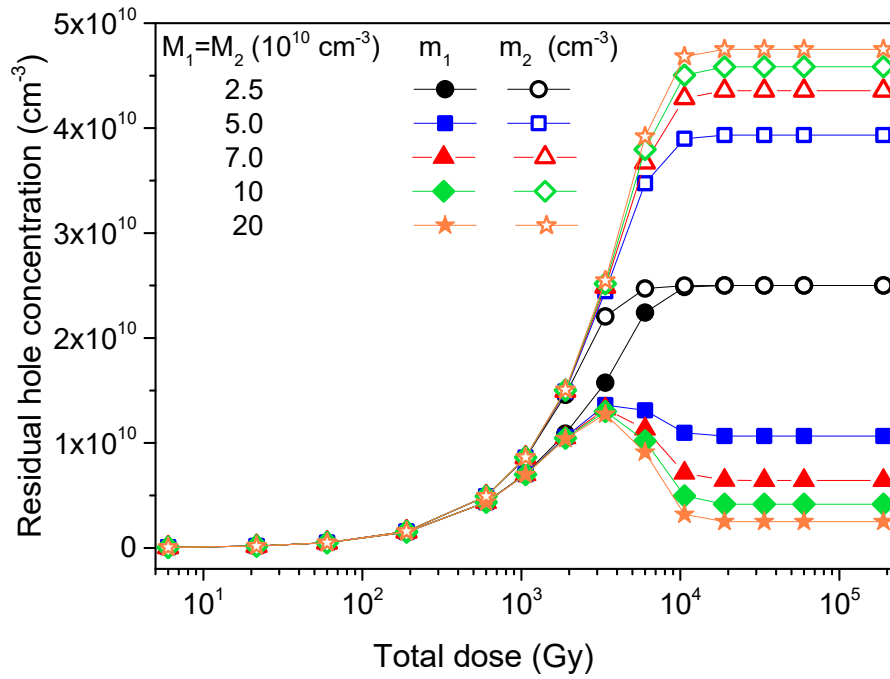


Fig. S1. The behaviour of the dependence of RHC on the total dose which was previously absorbed of two recombination centres with clearly different recombination coefficients ( $\beta_1 = 10^{-10} \text{ cm}^3\text{s}^{-1}$ ,  $\beta_2 = 10^{-11} \text{ cm}^3\text{s}^{-1}$ ) for five different ratios of their concentrations  $M_1/M_2$ .  $M_1$  is fixed and equal to  $10^{11} \text{ cm}^{-3}$ .  $N_4 = 5 \times 10^{10} \text{ cm}^{-3}$ .

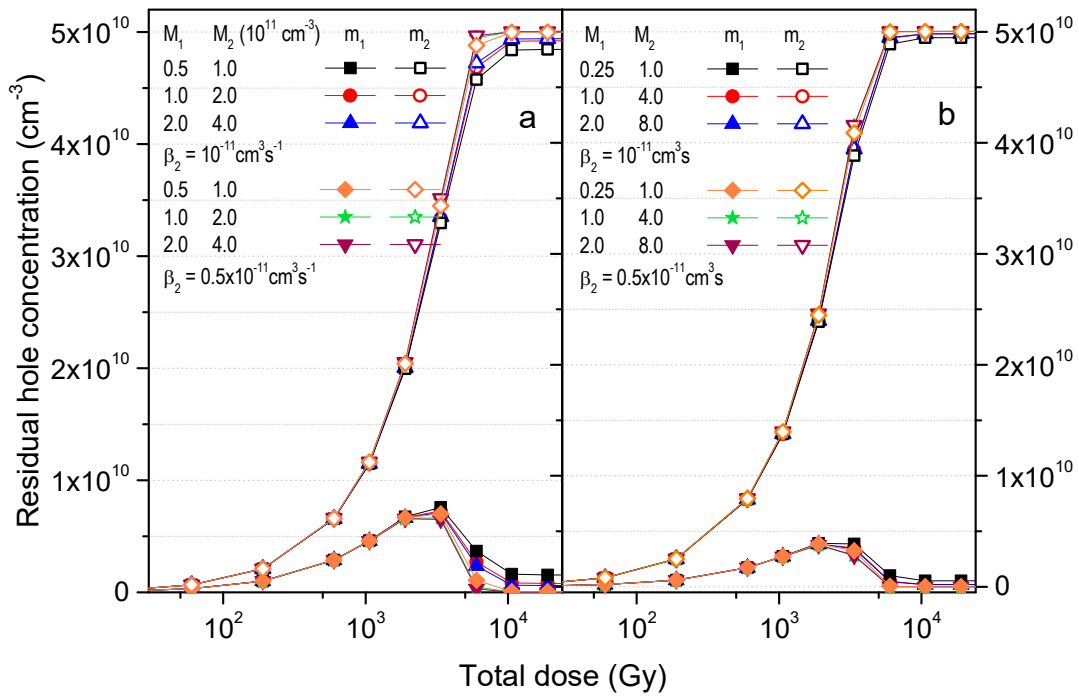


Fig. S2. Results demonstrating that the height of the peak observed in the dependence of RHC on the total dose which was previously absorbed is governed by the  $M_1/M_2$  ratio and not by the values of individual recombination centre concentrations. The dose response curves are shown for two different relations of recombination coefficients  $\beta_1$  and  $\beta_2$  and for two ratios  $M_1/M_2$ : a)  $M_2 = 2 \times M_1$  and b)  $M_2 = 4 \times M_1$ ,  $M_1 = 10^{11} \text{ cm}^{-3}$ ,  $\beta_1 = 10^{-10} \text{ cm}^3 \text{ s}^{-1}$ ,  $N_4 = 5 \times 10^{10} \text{ cm}^{-3}$ .