

## Anaesthesia and Analgesia Methods Applied in Thoracic Surgery

Research Article

Onur Karaca, Semih Başkan, Gülçin Alay, Dilşen Örnek\*, Vildan Taşpınar, Bayazıt Dikmen

Department of Anesthesia and Reanimation, Ankara Numune Education and Research Hospital, Ankara, Turkey.

### Abstract

**Aim:** To determine the anaesthesia and analgesia methods applied in thoracic surgery in our clinic and to evaluate these with current literature.

**Method:** A retrospective evaluation was made of patients who underwent surgery in the Chest Surgery Clinic of Ankara Numune Training and Research Hospital in the period January 2011-2014. The demographic data of patients, the operation applied, the anaesthetic and analgesic agents used, monitoring and complications which occurred perioperatively were obtained from anaesthesia notes and computer records and were recorded on scanning forms with many variables.

**Results:** Following the scanning of the study, the data of 210 patients were accessed. No statistical difference was determined in respect of the demographic data of the patients. The most frequent operations were found to be thoracotomy (n=103) and bronchoscopy (n=85). Benzodiazepine was used in all groups and patients. In almost all groups, propofol was found to be the most preferred agent for intravenous induction. Vecuronium and rocuronium were the most frequently applied muscle relaxant agent, sevoflurane was generally selected as the inhalation agent and was applied with a mixture of air and oxygen. In addition to the application of standard monitoring, in major operations such as thoracotomy where central and arterial entrance was made, contralateral was used as postoperative analgesia and in thoracotomy operations, the selection of thoracic epidural route and patient-controlled analgesia was determined to be statistically significant. Postoperative complications developed in 8 patients, there was ventilator requirement in 13 patients and 53 patients were followed up in the intensive care unit in the postoperative period.

**Conclusion:** Although the number of patients admitted for thoracic surgery in our study was low, it can be said that it was attempted to reach current standards in the application of anaesthesia and analgesia. Taking the experience of each anaesthesia clinic in thoracic surgery and the standards of the centre into consideration, there is a need for a clinic-specific anaesthesia and analgesia strategy to be developed with the aid of scientific studies.

**Keywords:** Thoracic Surgery; Anaesthesia; Analgesia.

### Introduction

The general heading of thoracic surgery encompasses bronchoscopy, thoracoscopy, mediastinoscopy, lung resections, pleura, diaphragm and chest wall surgery, tracheo-bronchial surgery, mediastinal surgery (including thymectomy, myasthenia gravis, retrosternal goitre), oesophagus surgery, pulmonary thromboembolism, aorta, heart surgery and lung transplantation [1-6]. In patients who are to undergo thoracic surgery, it is important that the pre-anaesthesia evaluation is applied thoroughly, that when necessary, invasive monitoring is provided additional to non-invasive monitoring, that the appropriate anaesthetic agent is

selected, appropriate mechanical ventilation (MV) and fluid support is provided, one-lung ventilation (OLV) is applied when necessary and that sufficient postoperative analgesia is provided. In these cases, the primary responsibility of the anaesthetist is to define the pre-operative risks, provide the optimal conditions pre-operatively and plan appropriate anaesthesia [1-6].

It must be determined whether or not the patient will be able to tolerate a planned lung resection before anaesthesia is applied. The majority of lung operations are performed in the lateral decubitus position and generally, while ventilation of the lower lung continues, it is necessary to apply OLV to the operated lung be-

#### \*Corresponding Author:

Dilşen Örnek,  
Department of Anesthesia and Reanimation, Ankara Numune Education and Research Hospital, Ankara, Turkey.  
Tel: 05057373828  
E-mail: dilşenpinar@yahoo.com

**Received:** November 29, 2016

**Accepted:** December 15, 2016

**Published:** December 17, 2016

**Citation:** Onur Karaca, Semih Başkan, Gülçin Alay, Dilşen Örnek, Vildan Taşpınar, Bayazıt Dikmen (2016) Anaesthesia and Analgesia Methods Applied in Thoracic Surgery. *Int J Anesth Res.* 4(12), 368-372. doi: <http://dx.doi.org/10.19070/2332-2780-1600076>

**Copyright:** Dilşen Örnek© 2016. This is an open-access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution and reproduction in any medium, provided the original author and source are credited.

cause of the possibility of collapse. The aims of OLV application are to provide sufficient oxygenation and CO<sub>2</sub> elimination, while at the same time reducing the side-effects of mechanical ventilation on the airway and haemodynamics and to provide appropriate operation conditions [1-6]. Not providing sufficient postoperative analgesia causes postoperative atelectasis, limited expansion in inspiration in the thoracic cage and reduced patient comfort.

In the light of this knowledge, this study aimed to evaluate the anaesthetic and analgesic methods applied in thoracic surgery in our clinic.

**Method**

Approval for the study was granted by the Ethics Committee of Ankara Numune Training and Research Hospital. A retrospective examination was made of the records of patients who underwent surgery in the Chest Surgery Clinic between January 2011 and January 2014. The demographic data of the patients, concomitant diseases, ASA scores, medications used, anaesthesia and postoperative analgesia methods applied, duration of anaesthesia, and perioperative complications were evaluated. The data related to the patients were obtained from the pre-operative evaluation forms, anaesthesia observation notes and hospital computer records and were recorded on multi-variable scanning forms.

The data obtained in the study were analysed with SPSS v. 20 software. The Shapiro Wilks test was used because of the number of units when examining the normal distribution of the variables. In the examination of the differences between groups with normal distribution of the variables, the One-Way ANOVA test was

applied and for those not with normal distribution, the Kruskal-Wallis H-test. When significant differences were determined with the Kruskal-Wallis H-test, the post-hoc multiple comparison test was applied to ascertain which groups were different. When the relationships between the groups of nominal variables were examined, Chi-square analysis was applied. Pearson Chi-square analysis was applied with Monte Carlo simulation in the RxC tables. A value of p < 0.05 was accepted as statistically significant.

**Results**

The data of 210 patients were accessed. No statistical difference was determined in respect of the demographic data of the patients (p > 0.05). The demographic data of the patients are shown in Table 1. The most frequent operations were found to be thoracotomy (n=103) and bronchoscopy (n=85). The mean operation time of thoracotomy was determined as 159.32 ± 84.84 mins, which was longer than for other operations.

No relationship was determined between the Mallampati and ASA scores and the operation type. The ASA II group included 66.5% of patients and ASA III, 25.7%. Benzodiazepine was used in all groups and patients. In almost all groups, propofol was found to be the most preferred agent for intravenous induction. Vecuronium and rocuronium were the most frequently applied muscle relaxant agent, sevoflurane was generally selected as the inhalation agent and was applied with a mixture of air and oxygen. TIVA was determined to have been rarely applied. In addition to the application of standard monitoring, in major operations such as thoracotomy where central catheterisation and invasive blood pressure monitoring was applied, contralateral was used as postop-

**Table 1. Results of the Kruskal-Wallis H-test Related to the Differences Between the Demographic Characteristics of the Groups.**

								Kruskal Wallis H Test		
		n	Mean	Median	Min	Max	SD	mean	H	p
Patient Age (years)	Thoracotomy	103	55.83	56	22	82	12.71	112.43	5.172	0.075
	Bronchoscopy	85	53.79	55	4	83	15.97	103.59		
	Vats + Mediastinoscopy + oesophagectomy	22	49.95	47.5	33	72	10.51	80.43		
	Total	210	54.39	55	4	83	13.99			
Patient body weight (Kg)	Thoracotomy	103	72.84	75	43	90	11.11	111	2.775	0.25
	Bronchoscopy	85	71.38	70	45	120	12.65	97.06		
	Vats + Mediastinoscopy + oesophagectomy	22	73.45	75	45	90	10.89	112.39		
	Total	210	72.31	72	43	120	11.71			
Anaesthesia duration (mins)	Thoracotomy	103	183.69	150	80	480	93.2	149.95	129.95	0.001
	Bronchoscopy	85	57.47	45	20	210	31.1	48.9		
	Vats + Mediastinoscopy + oesophagectomy	22	141.36	95	70	360	91.93	116.09		
	Total	210	128.17	100	20	480	95.12	Multi comparison: 2-1. 2-3		
Operation duration (mins)	Thoracotomy	103	159.32	130	70	440	84.84	149.92	129.06	0.001
	Bronchoscopy	85	45.94	35	15	170	27.29	49.14		
	Vats + Mediastinoscopy + oesophagectomy	22	121.14	80	50	320	87.42	115.32		
	Total	210	109.43	90	15	440	86.38	Multi comparison: 2-1. 2-3. 3-1		

erative analgesia and in thoracotomy operations, the selection of thoracic epidural route and patient-controlled analgesia was determined. In thoracotomy and oesophagectomy operations, intubation was provided with a double lumen tube and OLV could have been applied. Postoperative complications developed in 8 patients, there was ventilator requirement in 13 patients and 53 patients were followed up in the intensive care unit in the postoperative period Table 2.

A statistically significant relationship was determined between operation types and the use of contramal ( $p < 0.05$ ). The use of contramal was seen in 68.9% of thoracotomy operations, in 38.8% of bronchoscopy operations and in 54.5% of Vats, mediastinoscopy and oesophagectomy operations.

A statistically significant relationship was determined between operation types and the use of propofol ( $p < 0.05$ ). The use of propofol was seen in 79.6% of thoracotomy operations, in 95.3% of bronchoscopy operations and in 95.5% of Vats, mediastinoscopy and oesophagectomy operations.

A statistically significant relationship was determined between operation types and the use of succinylcholine ( $p < 0.05$ ). The use of succinylcholine was seen in 3.9% of thoracotomy operations, in 28.2% of bronchoscopy operations and in 4.5% of Vats, mediastinoscopy and oesophagectomy operations.

A statistically significant relationship was determined between operation types and the use of vecuronium ( $p < 0.05$ ). The use of vecuronium was seen in 51.5% of thoracotomy operations, in 24.7% of bronchoscopy operations and in 54.5% of Vats, mediastinoscopy and oesophagectomy operations.

A statistically significant relationship was determined between operation types and the use of air ( $p < 0.05$ ). The use of air was seen in 86.4% of thoracotomy operations, in 64.7% of bronchoscopy operations and in 86.4% of Vats, mediastinoscopy and oesophagectomy operations.

No analysis was made of the relationship between operation type and atracurium as there were no data.

No statistically significant relationship was determined between the use of other analgesics and anaesthetics and operation type ( $p > 0.05$ ).

## Discussion

In this study, the information of 210 patients was able to be accessed and evaluated. The findings of the study and the methods applied in our clinic can be considered to conform to current applications. Although the main reason for this is that our hospital is not a private hospital for thoracic surgery, that there were no difficulties in accessing data sources can also be included in the reasons.

Advances in anaesthesia methods and monitoring methods, lung isolation techniques which have been developed and the intensive care services presented, have increased the success rates of surgery on patients who were previously accepted as inoperable. In addition, the possibility of operating on patients with se-

verely impaired pulmonary function is higher compared to the past and therefore, a higher incidence of gas exchange abnormalities can be expected [7, 8]. In current thoracic surgery applications, despite advances in anaesthesia, including the use of epidural anaesthesia, in surgical techniques and in perioperative care, pulmonary complications continue to be the leading causes of morbidity and mortality [4, 5]. Major respiratory complications such as atelectasis, pneumonia and respiratory failure have been reported to develop in 15%-20% of patients and to be responsible for the majority of mortality at rates of 3%-4% [9].

Higher rates of postoperative mortality are seen together with the development of pulmonary complications. Similarly, postoperative pulmonary complications have been reported in 15%-40% of patients undergoing oesophagectomy. The reasons for this high risk in oesophagectomy patients are multi-factorial, including surgical entry into two separate body cavities, impaired bronchial innervation and lymphatic circulation, diaphragm dysfunction, and disruption to the swallowing co-ordination and insufficient protection of the airway because of recurrent laryngeal nerve damage [9].

Recent innovations and monitoring possibilities allow one-lung ventilation (OLV) to be applied under safer conditions and aid the reduction of complications. Several reasons (blood transfusion, elevated creatinine, low preoperative FEV1) for delayed postoperative extubation are difficult factors not taken into consideration, but are factors which can be modified by the application of thoracic epidural analgesia. These applications have a noticeable value in reducing the requirement for post-thoracotomy-associated mechanical ventilator and intensive care [10]. Postoperative prophylactic CPAP (5-7 cm H<sub>2</sub>O) applied to patients who have undergone pulmonary surgery has been shown to increase the PaO<sub>2</sub>/FiO<sub>2</sub> ratio [11].

In the current study, although postoperative complications developed in 8 patients, ventilator requirement in 13 patients and 53 patients were followed up in the intensive care unit in the postoperative period, generally the reasons for these were seen to be surgical bleeding and respiratory failure.

A significant level of irregularity in gas exchange is the reason for OLV and hypoxemia may develop due to increased intrapulmonary shunt. Postoperative pulmonary dysfunction following video-assisted thoracoscopic surgery (VATS) procedures has been seen to occur less frequently compared to the number of cases observed following thoracotomy. In a comparison made of lobectomy applied to patients with thoracotomy and lobectomy applied to patients with VATS, on both the 7<sup>th</sup> and the 14<sup>th</sup> day postoperatively, the postoperative PaO<sub>2</sub>, O<sub>2</sub> saturation, peak flow rates, forced expiratory volume at 1 second (FEV1) and forced vital capacity (FVC) were seen to be better in the patients who had undergone the VATS procedure [12]. In addition to the type of operation, the application of anaesthesia is important and at the moment of transfer from denitrogenisation (use of oxygen and air mixture) of double lung ventilation in these operations to OLV, it has been determined that the surgical conditions improve [13]. In our hospital, lobectomy operations are generally applied with thoracotomy and O<sub>2</sub>/air mixture is used in anaesthesia.

The findings of recent studies have shown that OLV provides safer conditions. Recent studies have stated that for the applica-

**Table 2. The Results of the Chi - Square Test Related to the Correlations Between Operation Type and The Use of Specified Anaesthetics and Analgesics.**

		Operation Type								Chi-square Test	
		Thoracotomy		Bronchoscopy		Vats + Mediastinoscopy + oesophagectomy		Total			
		n	%	n	%	n	%	n	%	Chi-square	p
Diclomec	Absent	94	91.3	75	88.2	17	77.3	186	88.6	3.521	0.172
	Present	9	8.7	10	11.8	5	22.7	24	11.4		
	Total	103	100	85	100	22	100	210	100		
Aldolan	Absent	93	90.3	83	97.6	21	95.5	197	93.8	4.453	0.108
	Present	10	9.7	2	2.4	1	4.5	13	6.2		
	Total	103	100	85	100	22	100	210	100		
Adepiron	Absent	82	79.6	75	88.2	20	90.9	177	84.3	3.429	0.18
	Present	21	20.4	10	11.8	2	9.1	33	15.7		
	Total	103	100	85	100	22	100	210	100		
Contramal	Absent	32	31.1	52	61.2	10	45.5	94	44.8	17.079	0.001
	Present	71	68.9	33	38.8	12	54.5	116	55.2		
	Total	103	100	85	100	22	100	210	100		
Perfalgan	Absent	96	93.2	81	95.3	21	95.5	198	94.3	0.44	0.803
	Present	7	6.8	4	4.7	1	4.5	12	5.7		
	Total	103	100	85	100	22	100	210	100		
Barbiturate	Absent	89	86.4	80	94.1	19	86.4	188	89.5	3.213	0.201
	Present	14	13.6	5	5.9	3	13.6	22	10.5		
	Total	103	100	85	100	22	100	210	100		
Benzodiazepine	Absent	8	7.8	4	4.7	1	4.5	13	6.2	0.866	0.649
	Present	95	92.2	81	95.3	21	95.5	197	93.8		
	Total	103	100	85	100	22	100	210	100		
Propofol	Absent	21	20.4	4	4.7	1	4.5	26	12.4	11.949	0.003
	Present	82	79.6	81	95.3	21	95.5	184	87.6		
	Total	103	100	85	100	22	100	210	100		
Opioid	Absent	7	6.9	2	2.4	1	4.5	10	4.8	2.073	0.355
	Present	95	93.1	83	97.6	21	95.5	199	95.2		
	Total	102	100	85	100	22	100	209	100		
Succinylcholine	Absent	99	96.1	61	71.8	21	95.5	181	86.2	24.974	0.001
	Present	4	3.9	24	28.2	1	4.5	29	13.8		
	Total	103	100	85	100	22	100	210	100		
Atracurium	Absent	103	100	85	100	22	100	210	100	-	-
	Present	0	0	0	0	0	0	0	0		
	Total	103	100	85	100	22	100	210	100		
Vecuronium	Absent	50	48.5	64	75.3	10	45.5	124	59	15.659	0.001
	Present	53	51.5	21	24.7	12	54.5	86	41		
	Total	103	100	85	100	22	100	210	100		
Rocuronium	Absent	56	54.4	47	55.3	13	59.1	116	55.2	0.164	0.921
	Present	47	45.6	38	44.7	9	40.9	94	44.8		
	Total	103	100	85	100	22	100	210	100		
Air	Absent	14	13.6	30	35.3	3	13.6	47	22.4	13.707	0.001
	Present	89	86.4	55	64.7	19	86.4	163	77.6		
	Total	103	100	85	100	22	100	210	100		
Nitrogen	Absent	89	86.4	71	83.5	19	86.4	179	85.2	0.331	0.847
	Present	14	13.6	14	16.5	3	13.6	31	14.8		
	Total	103	100	85	100	22	100	210	100		
Isoflurane	Absent	96	93.2	82	96.5	22	100	200	95.2	*	0.254
	Present	7	6.8	3	3.5	0	0	10	4.8		
	Total	103	100	85	100	22	100	210	100		
Sevoflurane	Absent	13	12.6	14	16.5	3	13.6	30	14.3	0.572	0.751
	Present	90	87.4	71	83.5	19	86.4	180	85.7		
	Total	103	100	85	100	22	100	210	100		
Desflurane	Absent	97	94.2	83	97.6	20	90.9	200	95.2	*	0.337
	Present	6	5.8	2	2.4	2	9.1	10	4.8		
	Total	103	100	85	100	22	100	210	100		
TIVA	Absent	100	97.1	82	96.5	22	100	204	97.1	*	0.773
	Present	3	2.9	3	3.5	0	0	6	2.9		
	Total	103	100	85	100	22	100	210	100		
Ketamine	Absent	99	96.1	83	97.6	22	100	204	97.1	*	0.622
	Present	4	3.9	2	2.4	0	0	6	2.9		
	Total	103	100	85	100	22	100	210	100		

\* Monte Carlo simulation was applied for the evaluation of values >20% or <5% than expected

tion of high  $\text{FiO}_2$  and CPAP to a deflated lung, OLV is necessary and should be the first treatment in desaturation. In our clinic, when desaturation is observed, OLV is transferred to double lung ventilation, the tube is cleaned of secretions and the  $\text{O}_2$  concentration is increased [14].

In a prospective, randomised, controlled, clinical study of patients with OLV in thoracic surgery, it was shown that the postoperative complication rate decreased and the expressed inflammatory mediators were reduced by a noticeable level with the use of the volatile anaesthetic, sevoflurane [15]. In our clinic, it was determined that generally sevoflurane is used as the inhalation anaesthetic in thoracic surgery.

Postoperative analgesia is also of importance in these operations. This issue remains current and various studies are ongoing. Thoracic epidural analgesia (TEA) is still seen as the gold standard. As TEA has a positive effect on ventilation mechanics by strengthening the inspiratory respiratory muscle strength, it can be safely used on advanced stage COPD patients [16].

However, research into alternative analgesia methods is ongoing. In a previous study, a comparison was made of the effects on the efficacy of analgesia, complication rates and mortality of thoracic epidural catheter and paravertebral block in thoracic surgery. Although it could be said that the postoperative side-effects of paravertebral block were lower in the short-term, it was reported that the long-term effects on complications and mortality should be investigated [17].

In our clinic, the thoracic epidural analgesia method was preferred in thoracic surgery. When the application was not successful, intercostal block or intravenous patient-controlled analgesia methods were applied.

## Conclusion

Although the number of patients admitted for thoracic surgery was low and therefore there was limited experience of our clinic in the applications of anaesthesia and analgesia, the protocols followed can be seen to be consistent with the knowledge in current literature.

Thoracic surgery threatens or directly disrupts the natural structure of the respiratory and circulatory system both anatomically and physiologically, thus causing an increase in perioperative and postoperative morbidity and mortality. Therefore, there can be considered to be a need for anaesthesia and analgesia manage-

ment strategies to be developed for anaesthesia clinics in this type of surgery, taking into consideration the experience and standards of the centre and the features of the applications of anaesthesia and analgesia.

## References

- [1]. Datta D, Lahiri B (2003) Preoperative evaluation of patients undergoing lung resection surgery. *Chest*. 123: 2096-103.
- [2]. Brunelli A, Kim AW, Berger KI, Addrizzo-Harris DJ (2013) Physiologic evaluation of the patient with lung cancer being considered for resectional surgery: Diagnosis and management of lung cancer, 3rd ed: American College of Chest Physicians evidence-based clinical practice guidelines. *Chest*. 143(5): e166S-90S.
- [3]. Brodsky JB, Fitzmaurice B (2001) Modern Anesthetic Techniques for Thoracic Operations. *World J Surg*. 25: 162-6.
- [4]. Banki F (2010) Pulmonary assessment for general thoracic surgery. *Surg Clin North Am* 90(5): 969-84.
- [5]. Bernstein WK, Deshpande S (2008) Preoperative evaluation for thoracic surgery. *Semin Cardiothorac Vasc Anesth*. 12(2): 109-21.
- [6]. Stephan F, Boucheseiche S, Hollande J, Bonnet F, Cheffi A, et al., (2000) Pulmonary complications following lung resection: a comprehensive analysis of incidence and possible risk factors. *Chest* 118(5): 1263-70.
- [7]. Wilson WC, Benumof JL (2010) Anesthesia for thoracic surgery (Toraks Cerrahisinde Anestezi). Miller Anestezi, Miller RD, (6th Edn), (Çeviri editörü: Aydın D) baskı. İzmir: Güven Kitapevi. 1847-939.
- [8]. Morgan GE, Mikhail MS, Murray MJ (2000) Anesthesia for thoracic surgery. *Clinical Anesthesiology*. (4th Edn), New York: Lange Medical Books / The McGraw-Hill. 525-51.
- [9]. Gockel I, Exner C, Junginger T (2005) Morbidity and mortality after esophagectomy for esophageal carcinoma: a risk analysis. *World J Surg Oncol*. 3: 37.
- [10]. Cywinski JB, Xu M, Sessler D, Mason D, Koch CG (2009) Predictors of prolonged postoperative endotracheal intubation in patients undergoing thoracotomy. *J Cardiothorac Vasc Anesth*. 23(6): 766-9.
- [11]. Garutti I, Puentecasta L, Laso J, Sevilla R, Frias I, et al., (2014) Comparison of gas exchange after lung resection with a Boussignac CPAP or Venturi mask. *Br J Anaesth*. 112: 929-35.
- [12]. Nakata M, Saeki H, Yokoyama N, Kurita A, Takiyama W (2000) Pulmonary function after lobectomy: videoassisted thoracic surgery versus thoracotomy. *Ann Thorac Surg*. 70: 938-41.
- [13]. Ko R, McRae K, Darling G, Waddell TK, McGlade D, et al., (2009) The Use of Air in the Inspired Gas Mixture During Two-Lung Ventilation Delays Lung Collapse During One-Lung Ventilation. *Anesth Analg*. 108(4): 1092-6.
- [14]. Lumb A, Slinger P (2015) Hypoxic pulmonary vasoconstriction, physiology and anesthetic implications. *Anesthesiology*. 122: 932-46.
- [15]. De Conno E, Steurer MP, Wittlinger M, Urner M, Neff TA, et al., (2009) Anesthetic-induced improvement of the inflammatory response to one-lung ventilation. *Anesthesiology*. 110(6): 1316-26.
- [16]. Gruber EM, Tschernko EM, Kritzinger M, Deviatko E, Wisser W, et al., (2001) The effects of thoracic epidural analgesia with bupivacaine 0.25% on ventilatory mechanics in patients with severe chronic obstructive pulmonary disease. *Anesth Analg*. 92(4): 1015-9.
- [17]. Powell ES, Cook D, Pearce AC, Davies P, Gao F, et al., (2011) A prospective, multicenter, observational cohort study of analgesia and outcome after pneumonectomy. *Br J Anaesth*. 106(3): 364-70.