

# Upper ocean statistics from super-resolved SST imagery

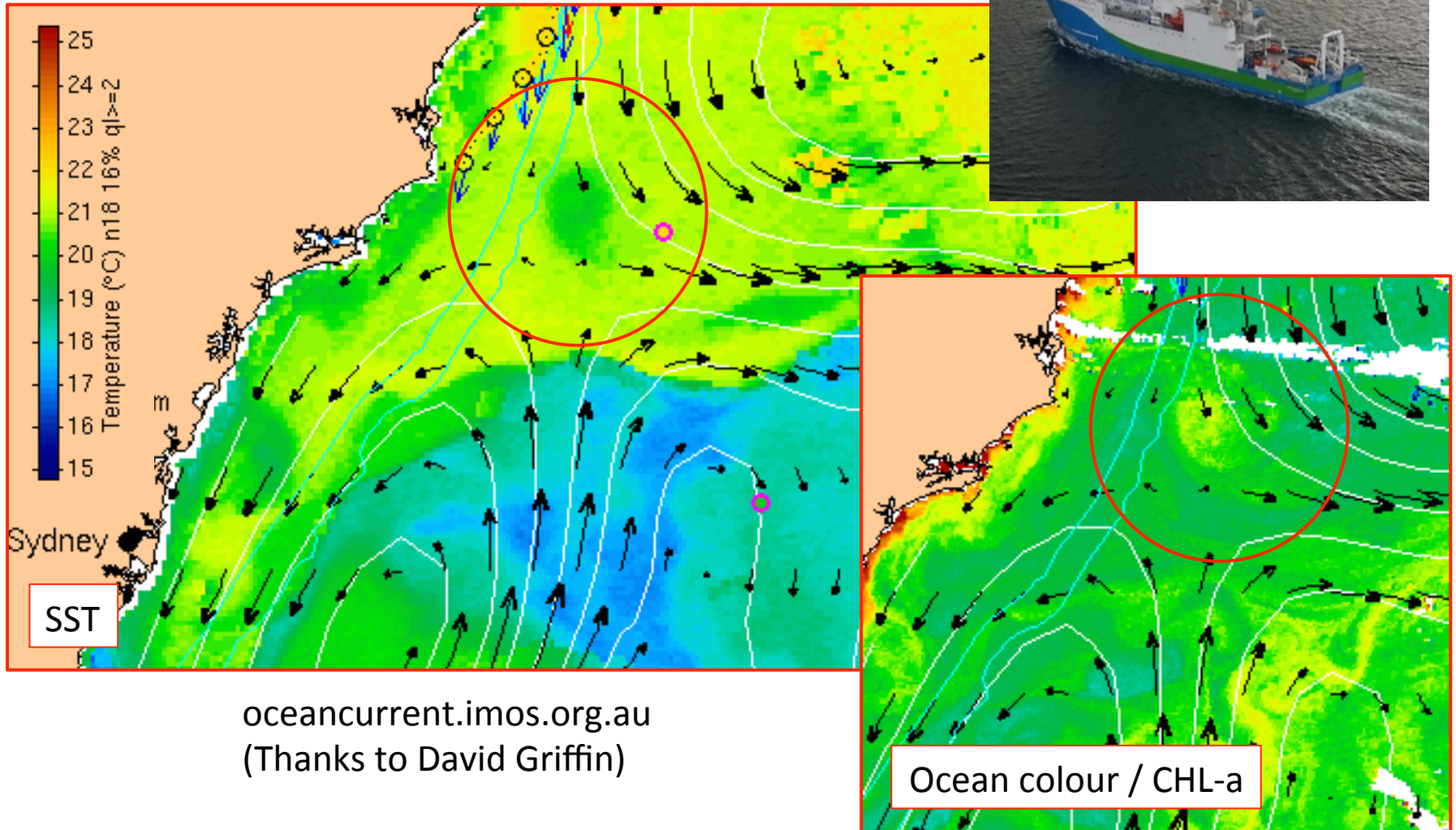
**Shane R. Keating** University of New South Wales

**K. Shafer Smith and Andrew Majda** New York University



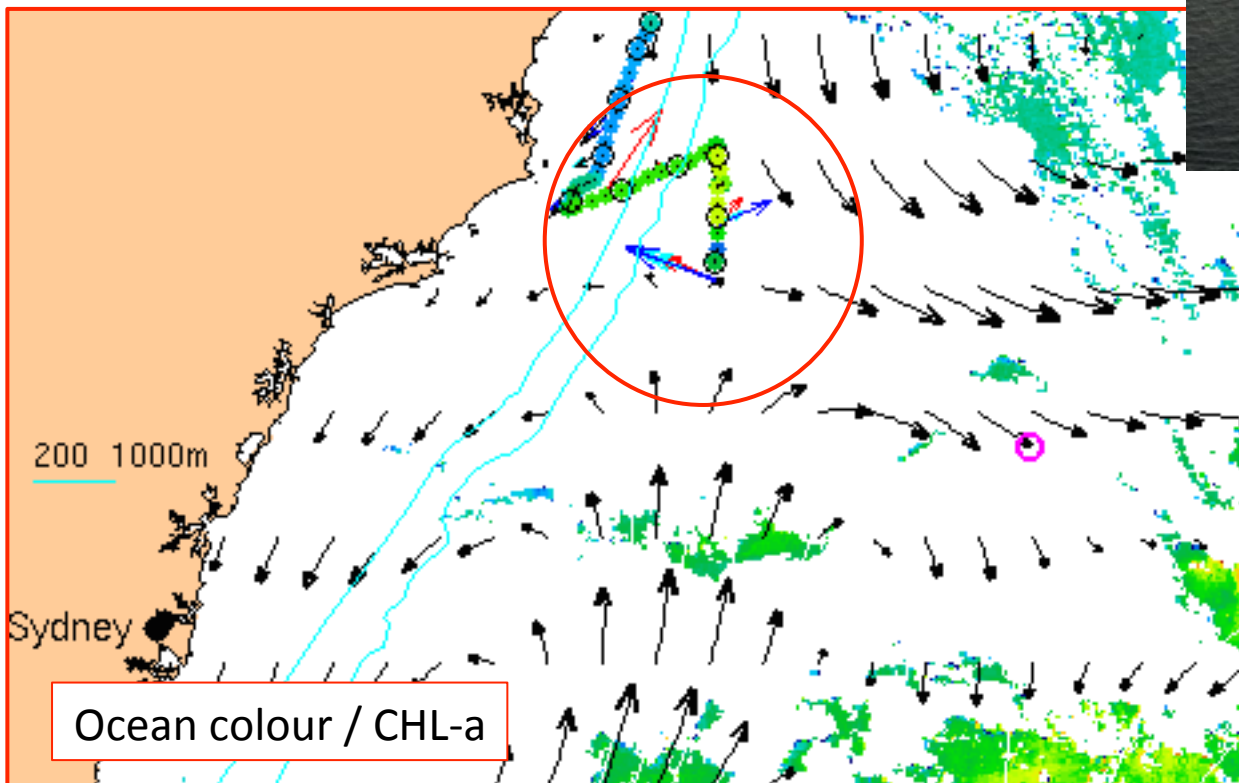
Satellite Oceanography Users Workshop  
Bureau of Meteorology, Melbourne 10 Nov 2015

# Hunting submesoscale eddies in the EAC



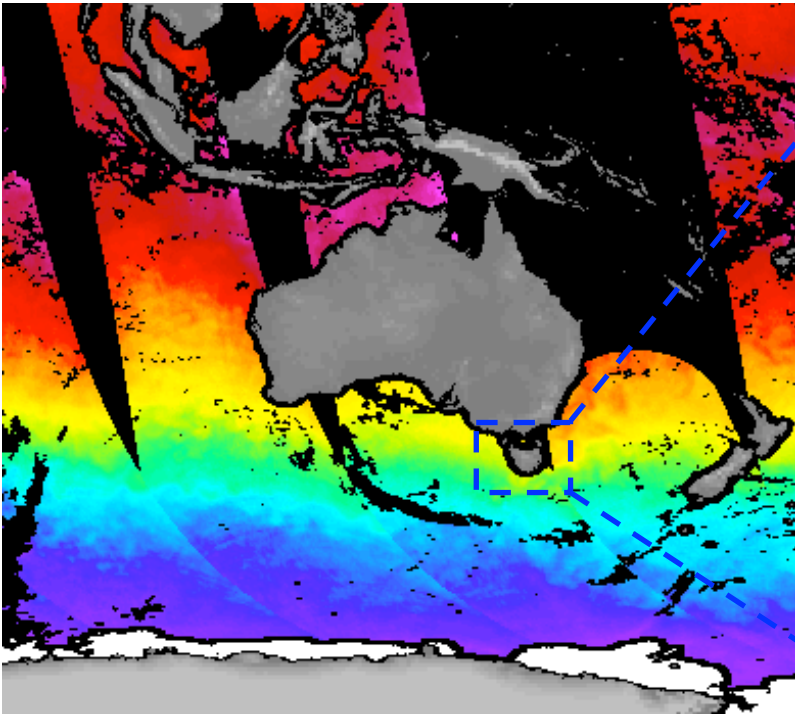
[oceancurrent.imos.org.au](http://oceancurrent.imos.org.au)  
(Thanks to David Griffin)

# Hunting submesoscale eddies in the EAC

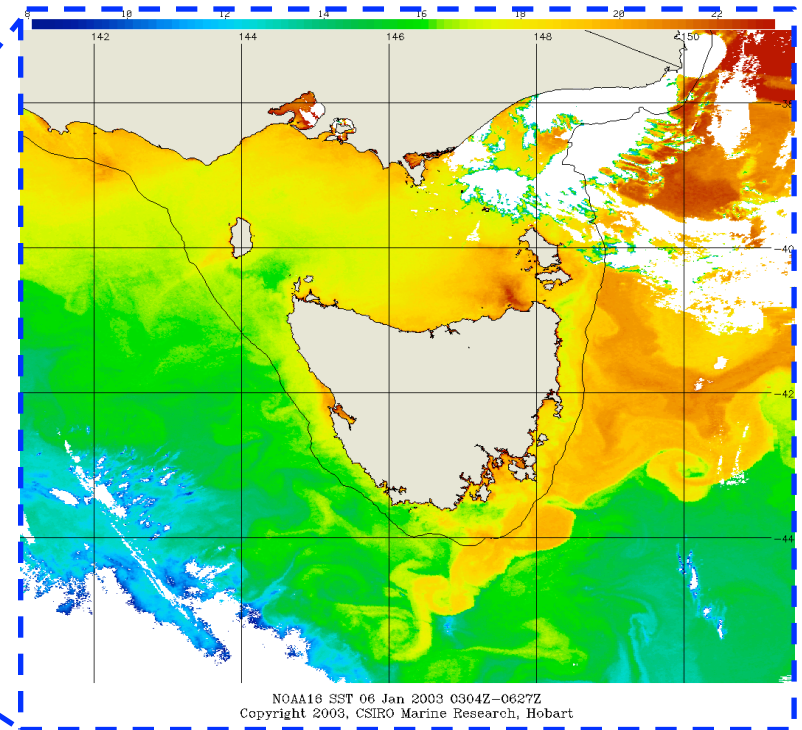


Ocean colour / CHL-a

Microwave SST (AMSR-E)



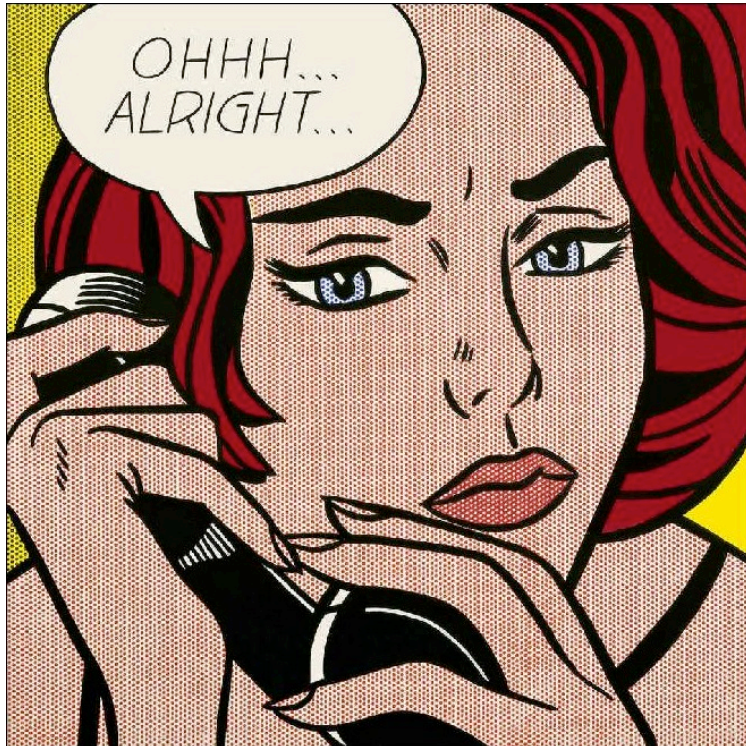
Infrared SST (AVHRR)



**Sea-surface temperature reveal footprint of submesoscale flow:**

- **Passive microwave observations** (subskin temperature) have spatial resolutions of **20-50 km** and can **penetrate clouds**
- **Infrared observations** (skin temperature) have spatial resolutions of **1 km** but are **obscured by clouds**

- Derive **super-resolved SST images** by combining microwave images with **statistical knowledge** from infrared observations
- Exploit **spatial aliasing** of small scales by **coarse observations**

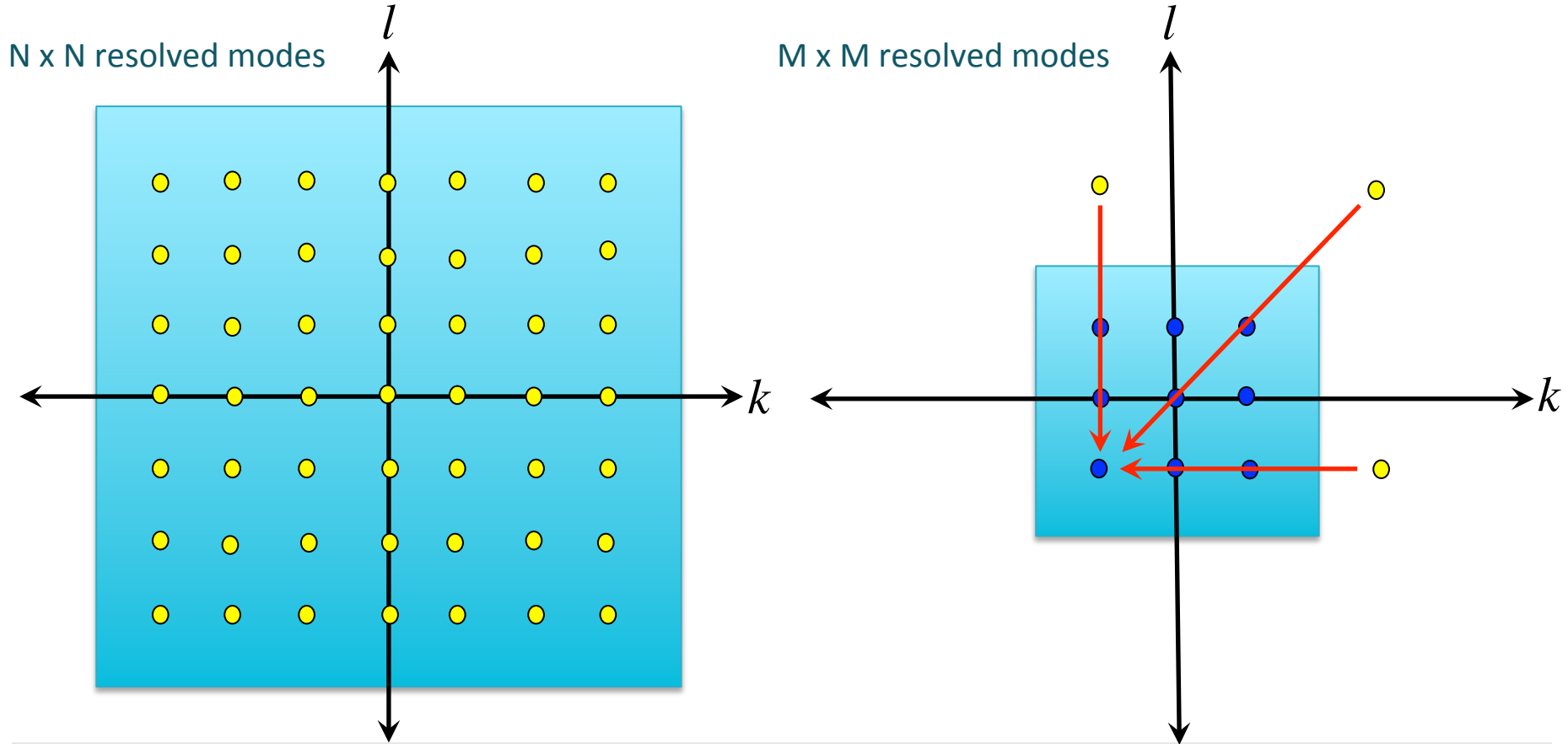


Original image



Subsampled image

# Aliasing of sparse observations



Coarse-grid modes are superposition of fine-grid modes in **same aliasing set**.

$$\psi_{k,l}^{coarse} = \sum_{\tilde{k}, \tilde{l}} \psi_{\tilde{k}, \tilde{l}}^{fine} \quad \begin{array}{l} \tilde{k} \bmod M = k \\ \tilde{l} \bmod M = l \end{array}$$

# Filtering sparse observations

**Observation:** Low-resolution observations with aliased information about small scales

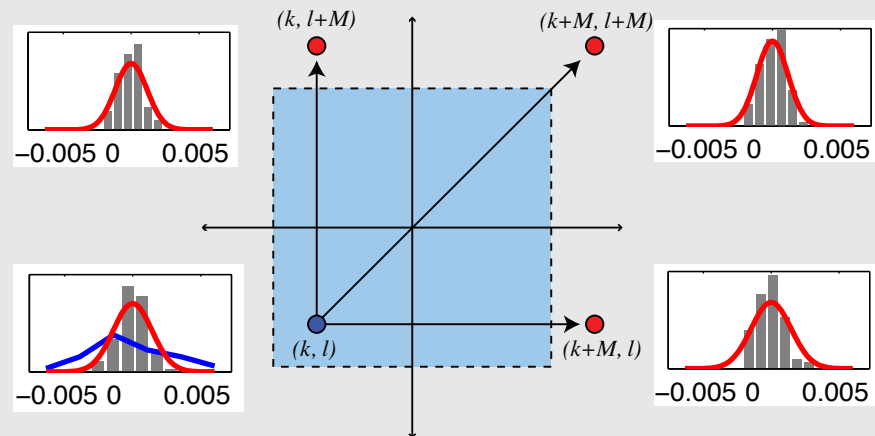
$$\psi_{k,l}^{obs} = \sum_{\tilde{k}, \tilde{l}} \psi_{\tilde{k}, \tilde{l}}^{true} + \sigma_{k,l}^{obs}$$

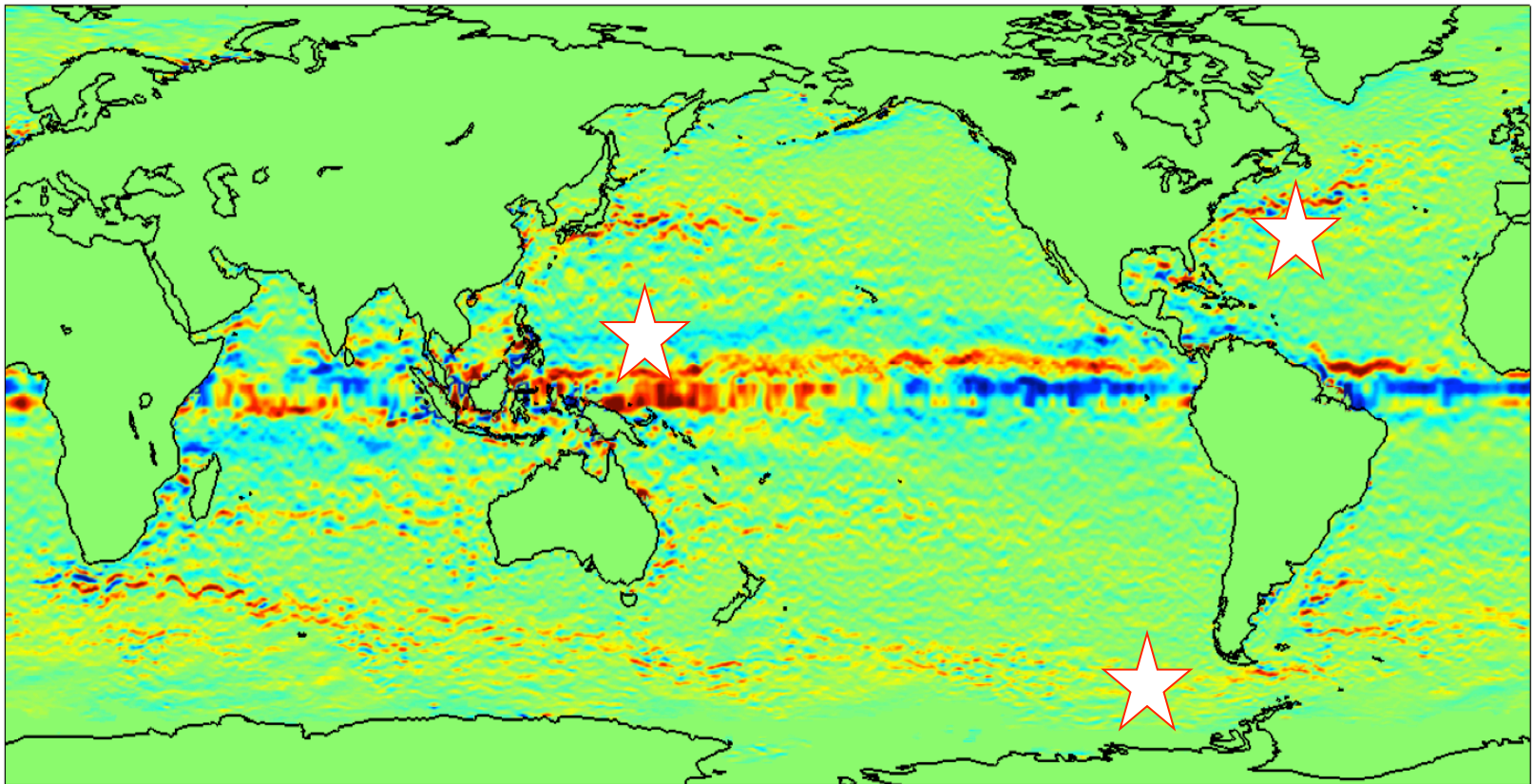
**Forecast:** Quasi-linear stochastic model using parameters from available high-resolution obs

$$\partial_t \hat{\theta} = -(\gamma - i\omega) \hat{\theta}(t) + \sigma \dot{W}(t)$$

Kalman Filter

**Analysis:** Super-resolved SST image with resolution given by high-resolution forecast model





*Zonal velocity*

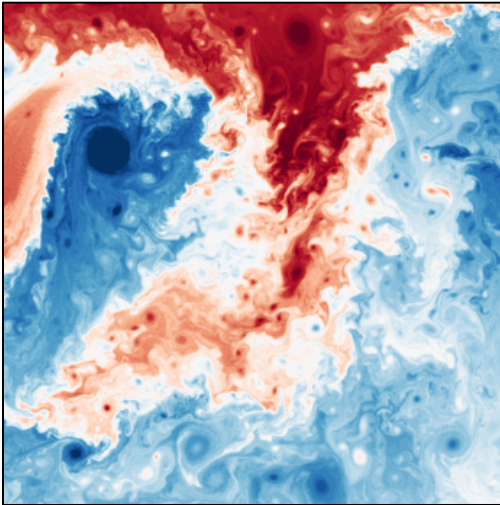
- **Quasigeostrophic model** driven by Forget (2010) hydrography.
- Assume that **surface density anomalies** are dominated by SST.
- Synthetic daily temperature observations over a 90-day period with both **microwave (40 km)** and **infrared (5 km) resolutions**.
- Infrared observations used to learn **stochastic parameters**.



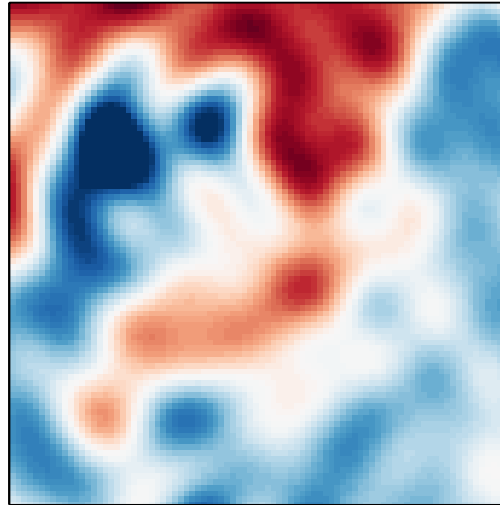
# *Super-resolved SST*

SST snapshots: Subtropical Pacific

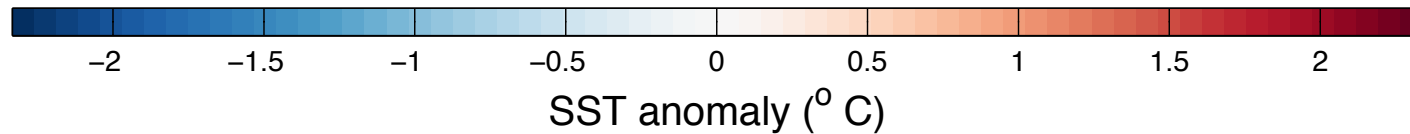
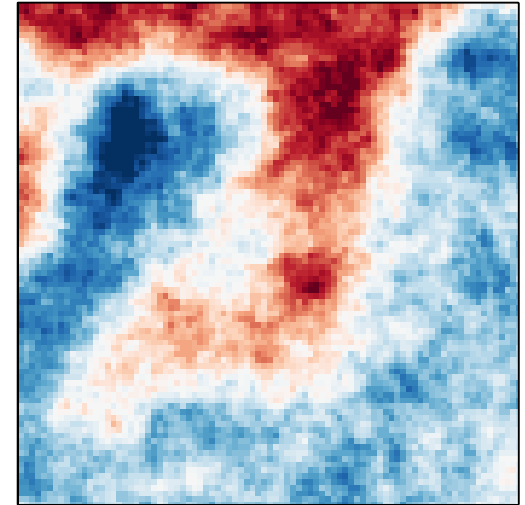
True SST



Observed SST

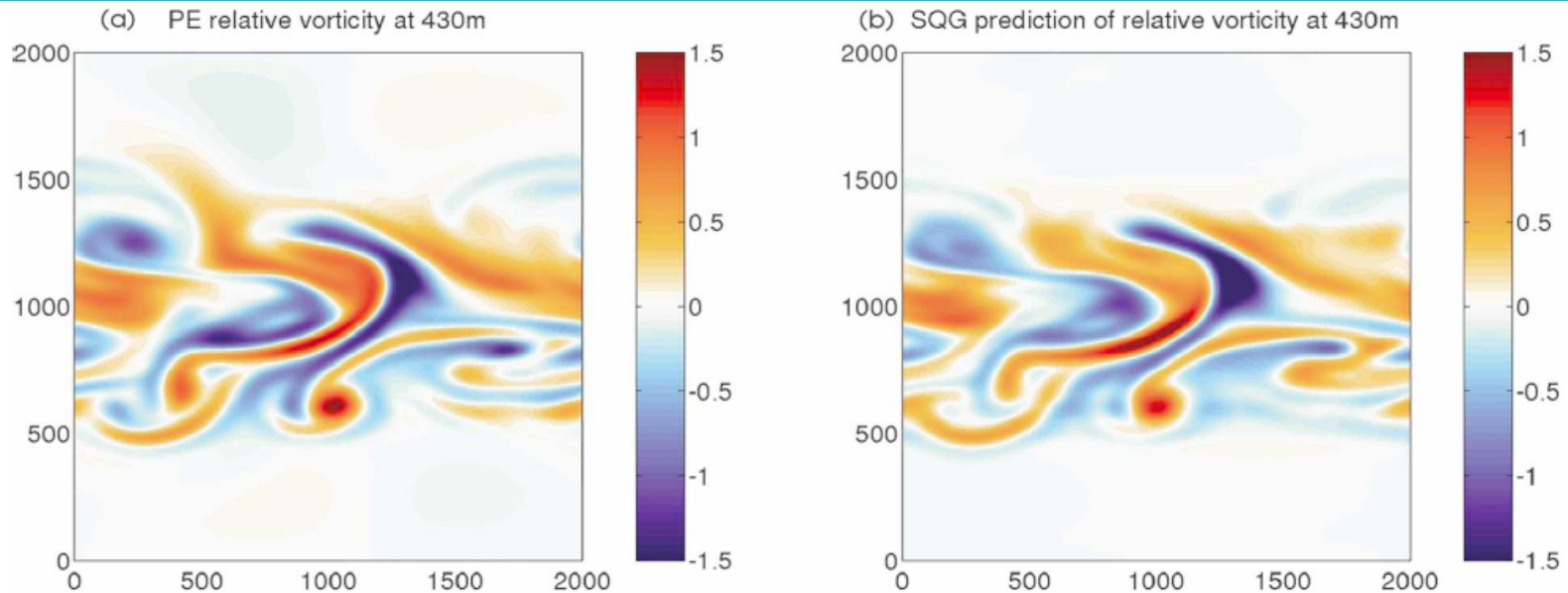


Superresolved SST



$$\theta_{kl} = \langle \theta_{kl} \rangle + A(k,l)X, \quad A^*(k,l)A(k,l) = R(k,l)$$

# Upper ocean flow reconstruction



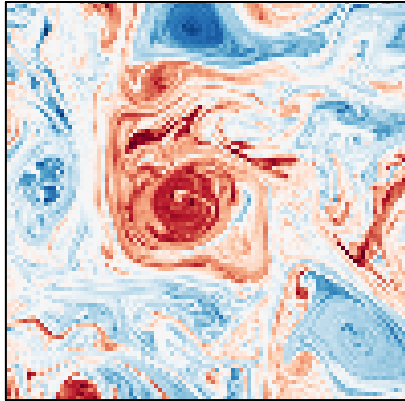
- **Surface quasigeostrophic (SQG) model:** Interior streamfunction slaved to surface density/temperature (Lapeyre & Klein 2006)

$$\hat{\psi}_{k,l}(z) \sim \frac{\hat{\theta}_{k,l}(0)}{K} \exp(\sigma K z), \quad z \leq 0, \quad K^2 = k^2 + l^2$$

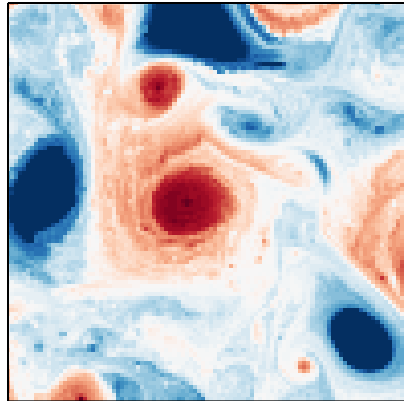
- Streamfunction is **smoothed version** of temperature:  
Microwave SST reconstructs flow with resolution of  $O(100)$  km.

# Upper ocean flow reconstruction

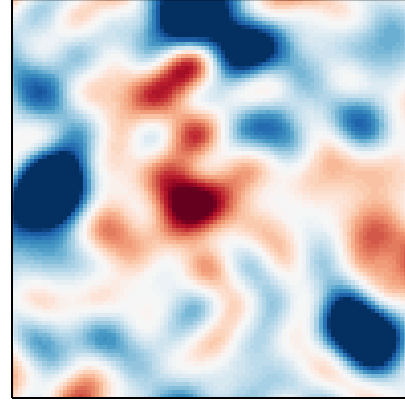
True PV at 200m



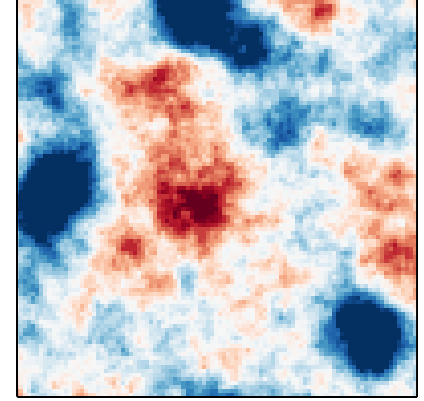
PV from perfect SST



PV from observed SST



PV from super-res SST



- Lapeyre and Klein (2006): model interior PV with empirically derived vertical profile function:  $q(x, y, z) = \alpha(z) \theta_{surf}(x, y)$
- Even with **perfect observations of SST**, Surface QG methods have a **depth of validity varies regionally**.
- Super-resolved SST results in improved **subsurface stream-function reconstruction** compared with raw observations.

# Conclusions

 AGU PUBLICATIONS

JGR

Journal of Geophysical Research: Oceans

RESEARCH ARTICLE

10.1002/2014JC010357

## Upper ocean flow statistics estimated from superresolved sea-surface temperature images

Shane R. Keating<sup>1</sup> and K. Shafer Smith<sup>2</sup>

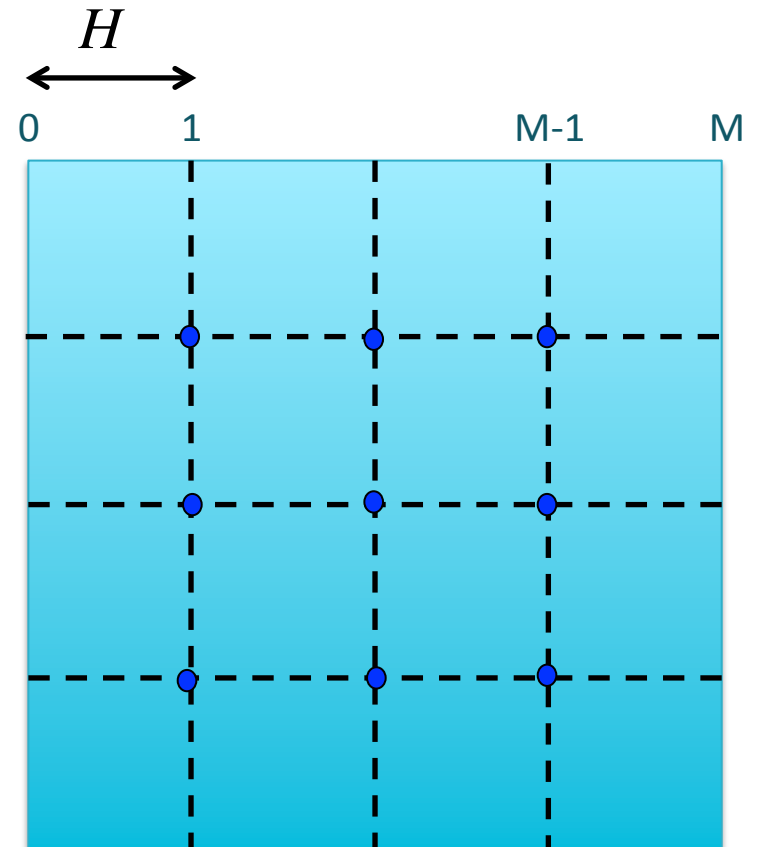
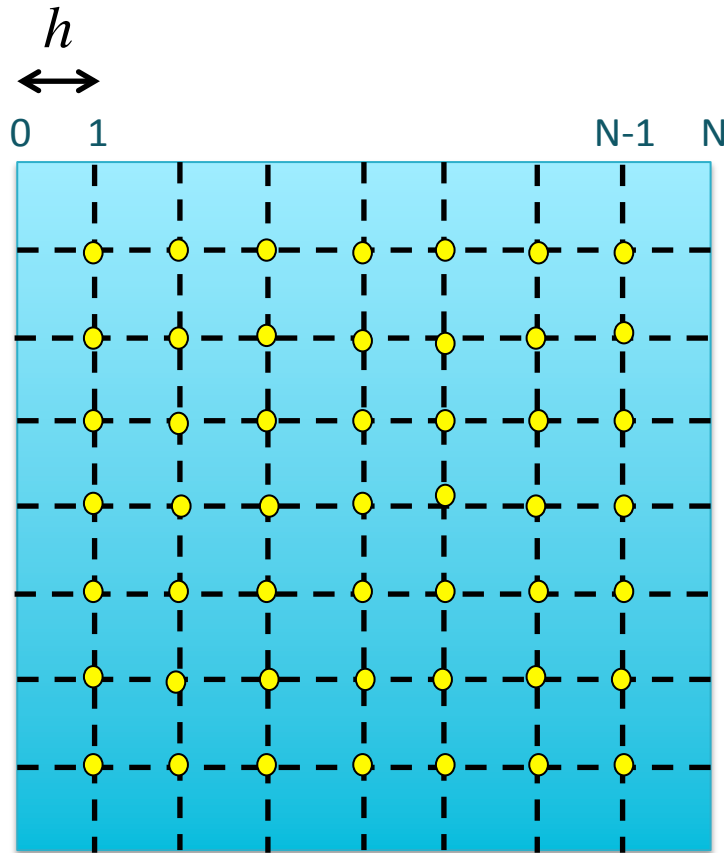
**Key Points:**

- The resolution of microwave SST images is increased using a statistical model
- The model is based upon statistics learned from intermittent infrared

<sup>1</sup>School of Mathematics and Statistics, University of New South Wales, Sydney, New South Wales, Australia, <sup>2</sup>Center for Atmosphere–Ocean Science, Courant Institute of Mathematical Sciences, New York University, New York, New York, USA

- Combine coarse-resolution microwave SST images with a simple statistical model to construct **super-resolved SST images**.
- **Upper ocean flow statistics** can be derived by projecting SST onto subsurface streamfunction
- Plan to implement this with satellite data and compare with existing SST products. **Collaborators wanted!**

# Aliasing of sparse observations

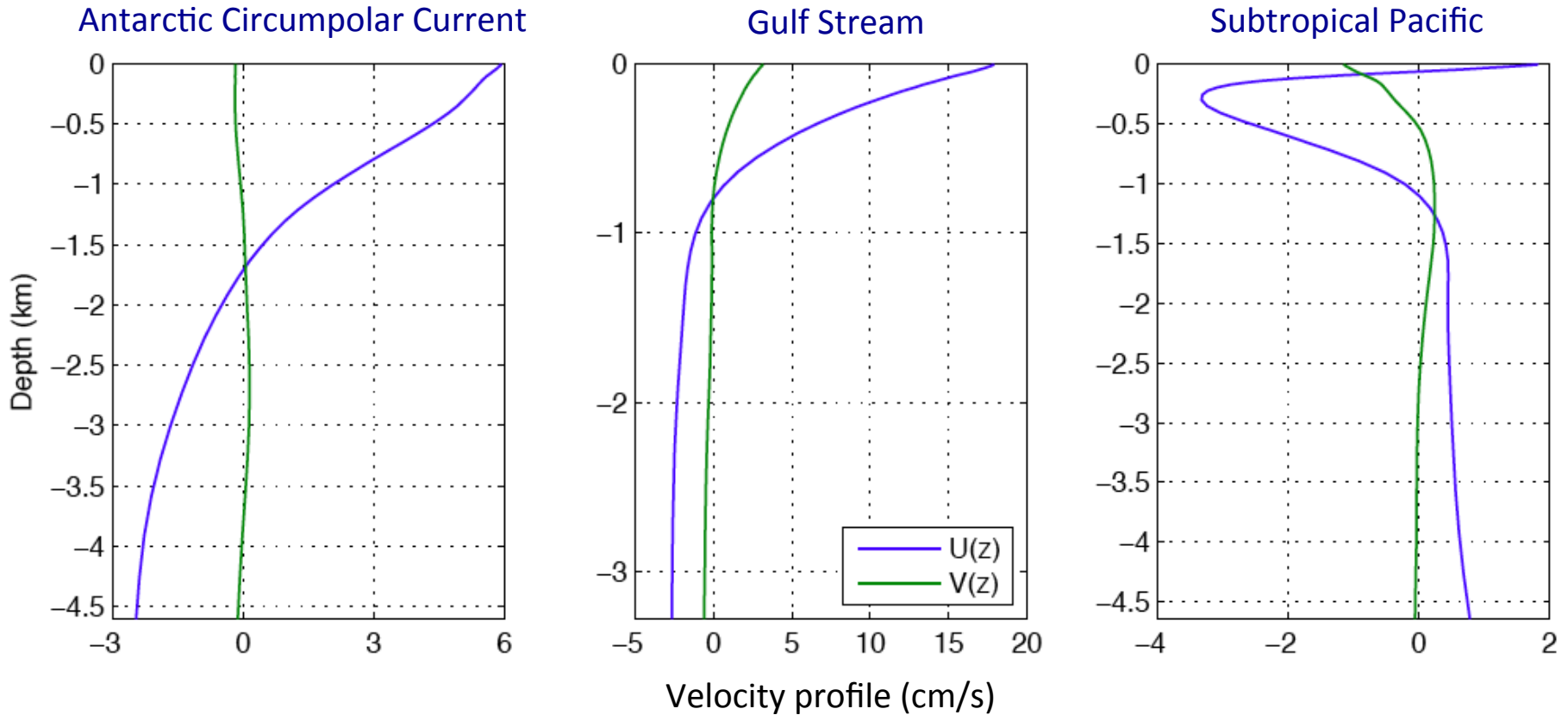


Fourier transform on **fine** grid:

$$\psi_{\tilde{k}, \tilde{l}}^{fine} = \frac{1}{N^2} \sum_{m,n=1}^N \psi (mh, nh) e^{ih(m\tilde{k} + n\tilde{l})}$$

Fourier transform on **coarse** grid:

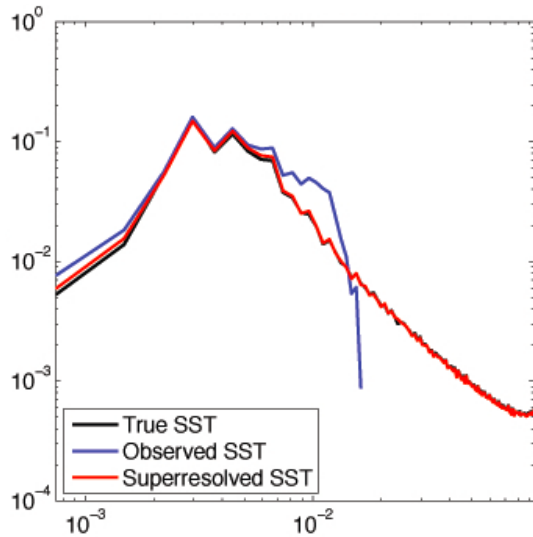
$$\psi_{k,l}^{coarse} = \frac{1}{M^2} \sum_{m,n=1}^M \psi (mH, nH) e^{iH(mk + nl)}$$



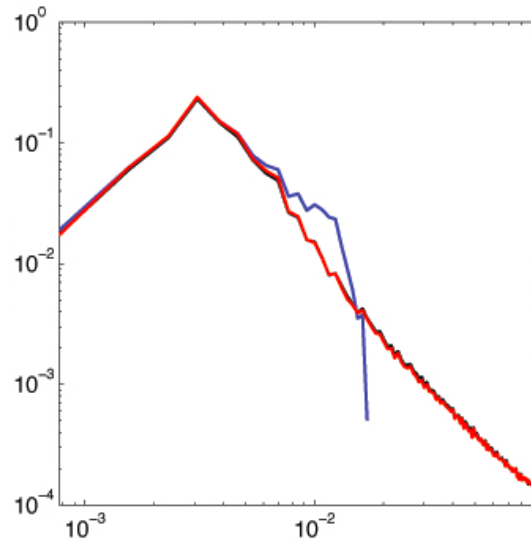
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# Temperature variance spectrum: $\langle |\theta(k)|^2 \rangle$

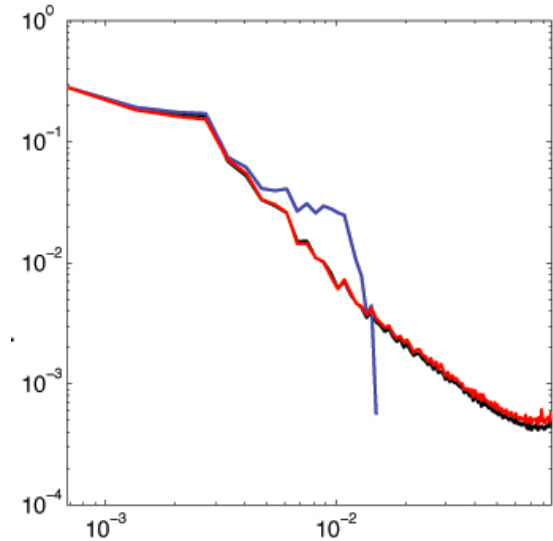
Antarctic Circumpolar Current



Gulf Stream



Subtropical Pacific

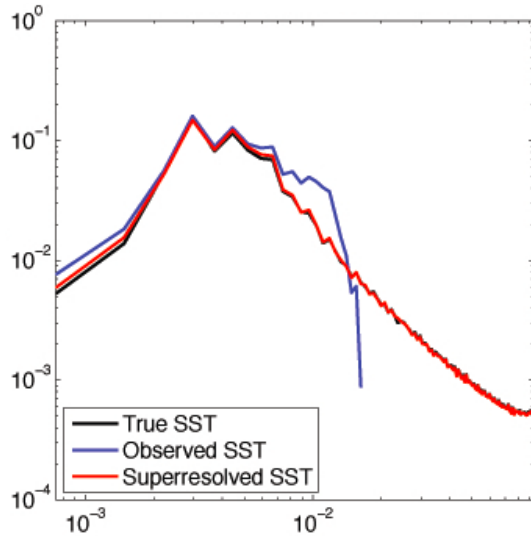


Isotropic wavenumber ( $\text{km}^{-1}$ )

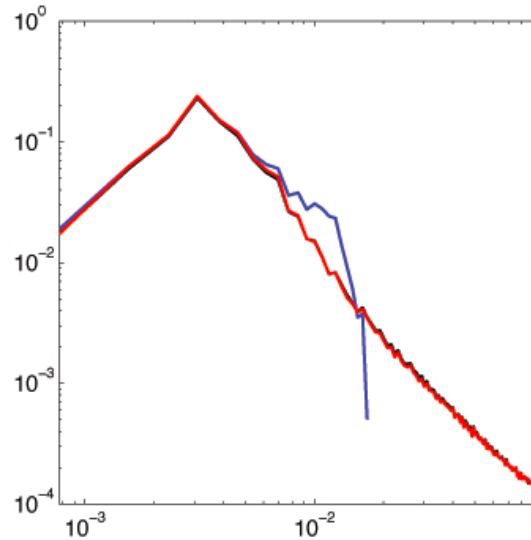
- **Effect of aliasing** can be seen in spurious variance in observations near the limit of resolution
- Super-resolved estimate correctly **redistributes variance** to small scales

# Super-resolved SST

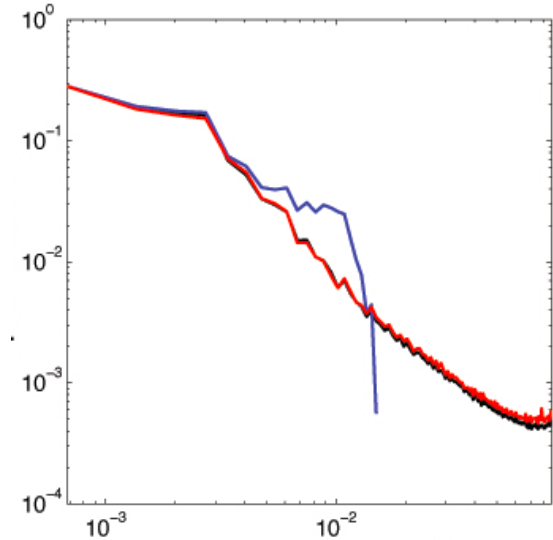
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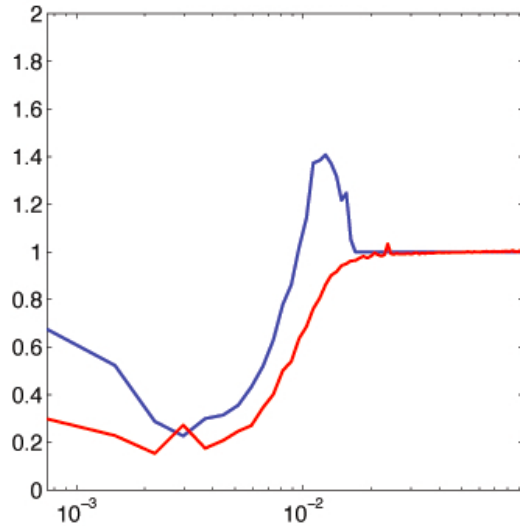
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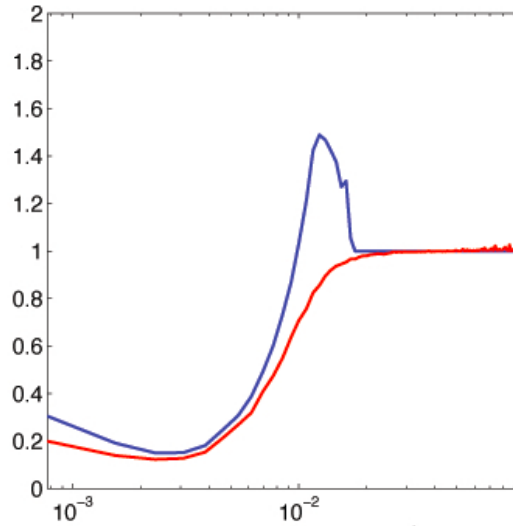


$$\text{RMS error: } \left\langle \left| \theta(k) - \theta^{true}(k) \right|^2 \right\rangle^{1/2} / \left\langle \left| \theta^{true}(k) \right|^2 \right\rangle^{1/2}$$

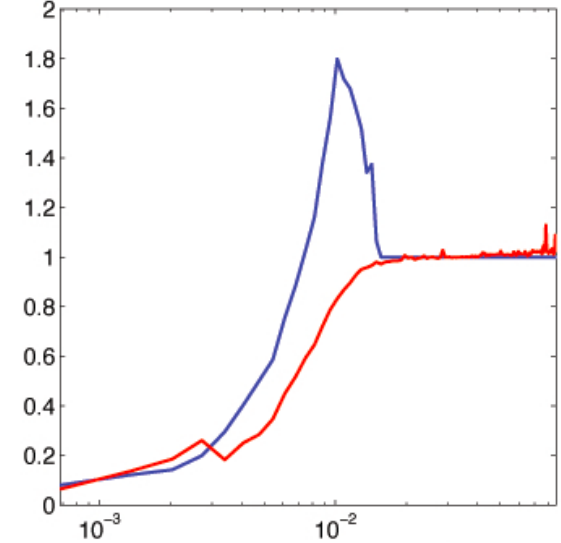
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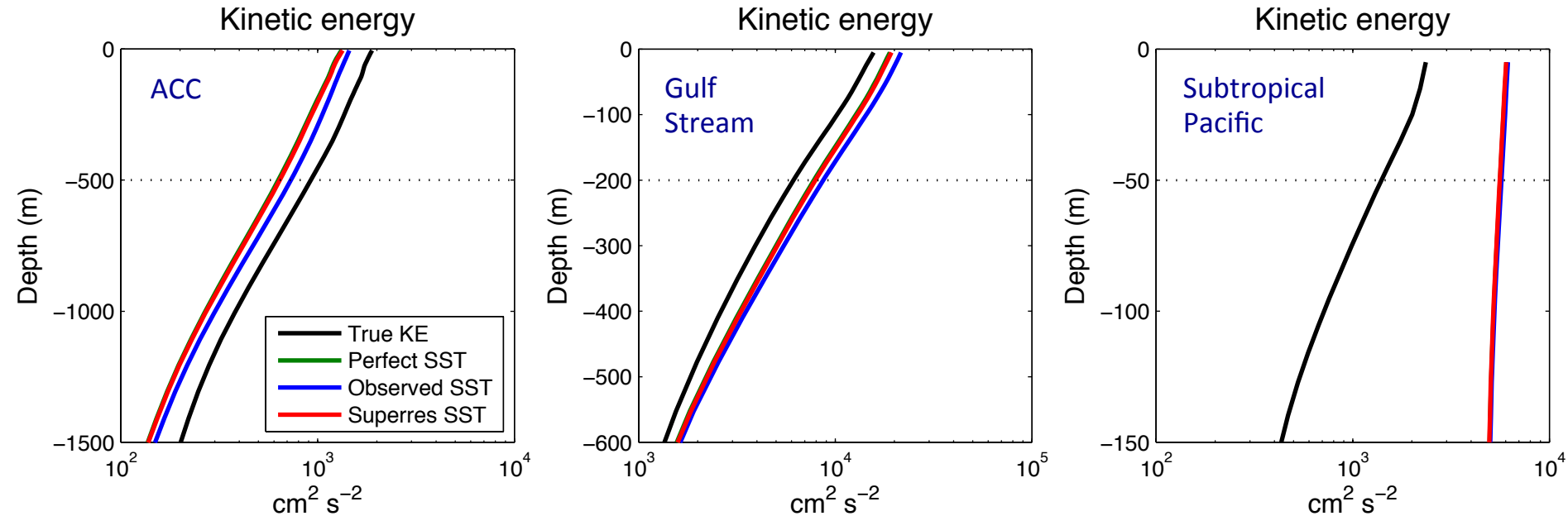
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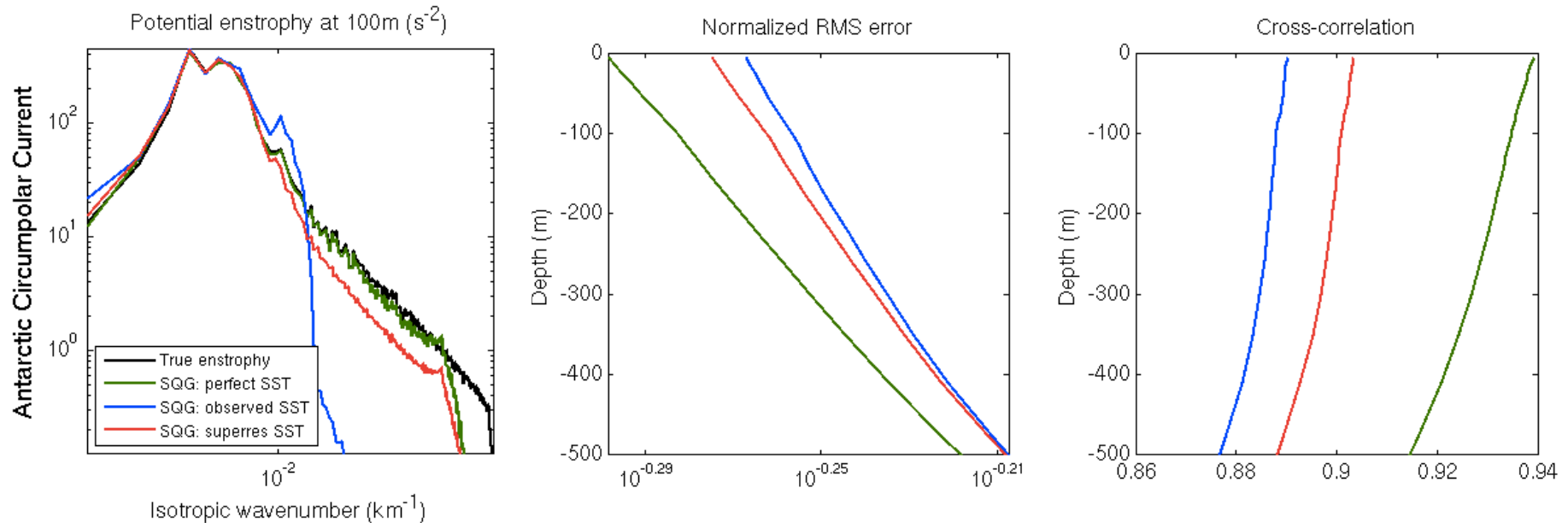
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# Upper ocean flow reconstruction



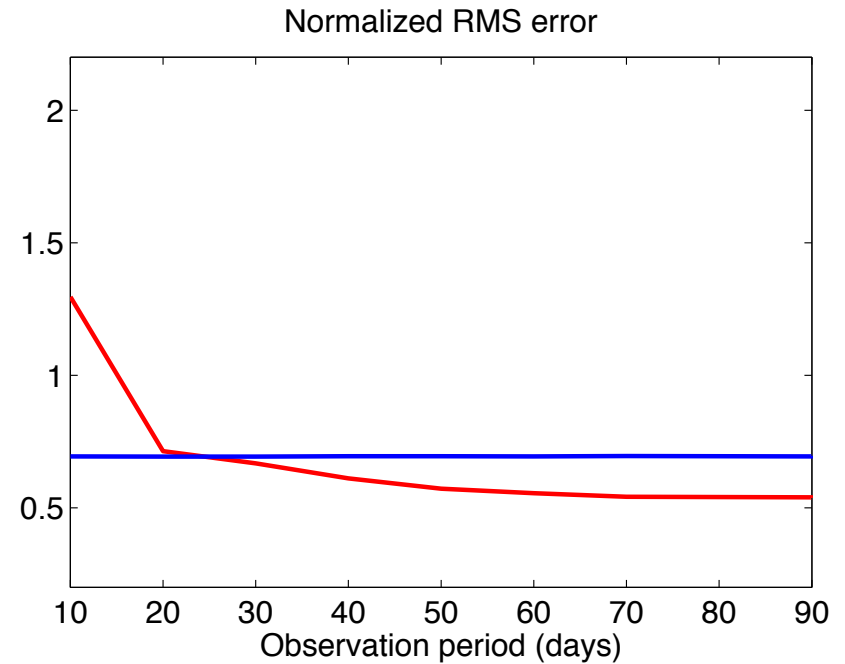
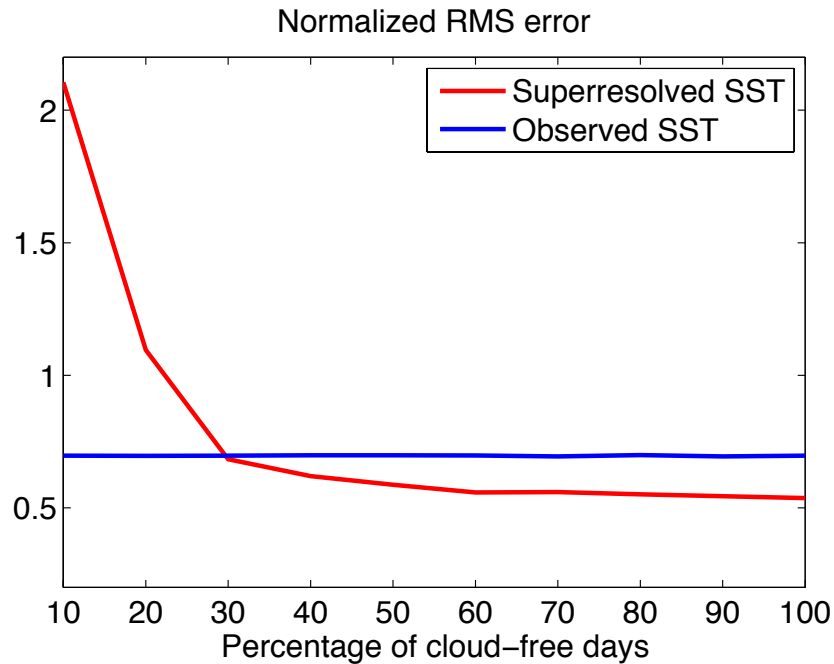
- Even with **perfect observations of SST**, SQG methods have a **depth of validity that varies regionally**.
- Argues for **inclusion of interior dynamics**: Lapeyre (2009), Ponte and Klein (2013), Wang et al. (2013).
- However, super-resolved SST results in improved **surface mode reconstruction** compared with raw observations.

# Upper ocean flow reconstruction



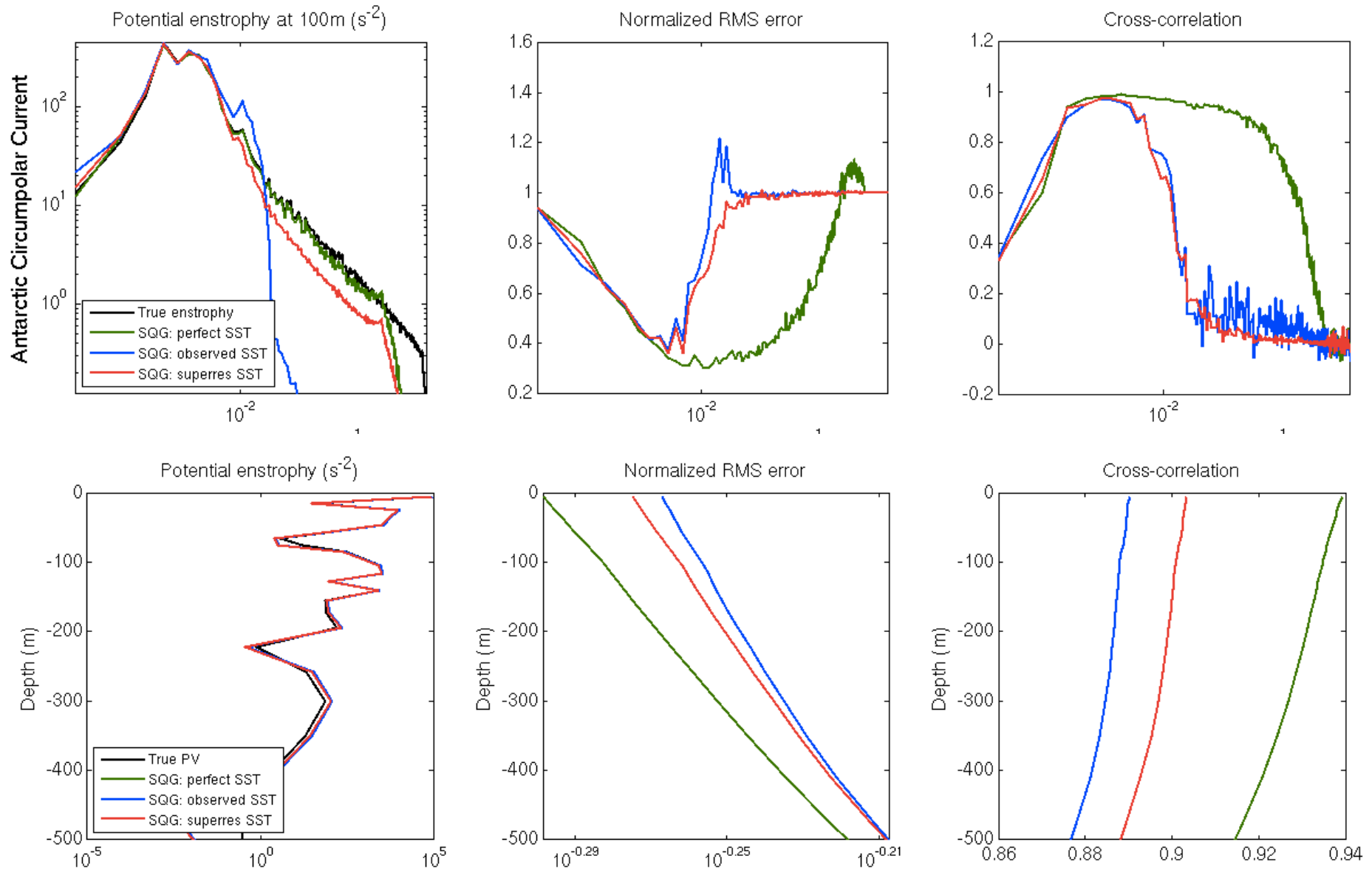
- Lapeyre and Klein (2006): model interior PV with empirically derived vertical profile function:  $q(x, y, z) = \alpha(z) \theta_{surf}(x, y)$
- Even with **perfect observations of SST**, Surface QG methods have a **depth of validity varies regionally**.
- Super-resolved SST results in improved **subsurface stream-function reconstruction** compared with raw observations.

## Sensitivity to clouds and observing period:



- **Accuracy of small-scale statistics** calculated using high-resolution images depends on quality of data
- **Model effect of imperfect data** by randomly discarding frames (“clouds”) or shortening observing period

# Upper ocean flow reconstruction



In general, observation will sample over a footprint given by sampling weight  $G(x,y)$

$$\theta^{\text{obs}}(x, y) = \int G(x', y') \theta(x - x', y - y') dx' dy'.$$

Coarse-grid Fourier transform is weighted by *spectral transfer function*

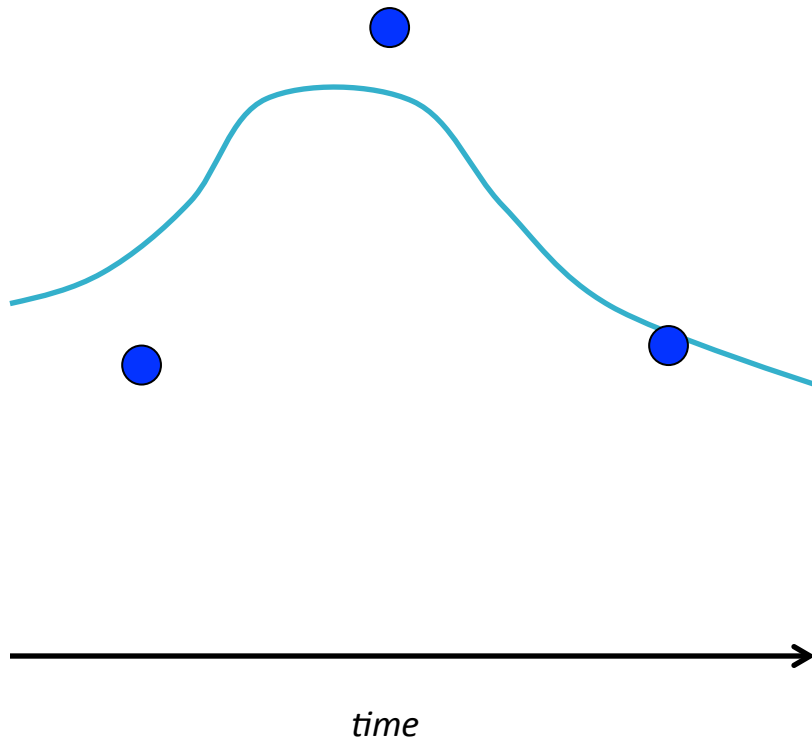
$$\tilde{\theta}^{\text{obs}}(k, l) = \sum_{i,j=-\infty}^{\infty} \hat{G}(k+iM, l+jM) \hat{\theta}(k+iM, l+jM),$$

For a Gaussian sampling footprint of width  $\ell$ , transfer function is a Gaussian of width  $1/\ell$

$$G(x, y) = \frac{1}{2\pi\ell^2} e^{-(x^2+y^2)/2\ell^2}, \quad \hat{G}(p, q) = e^{-2\pi^2(p^2+q^2)\ell^2/L^2}.$$

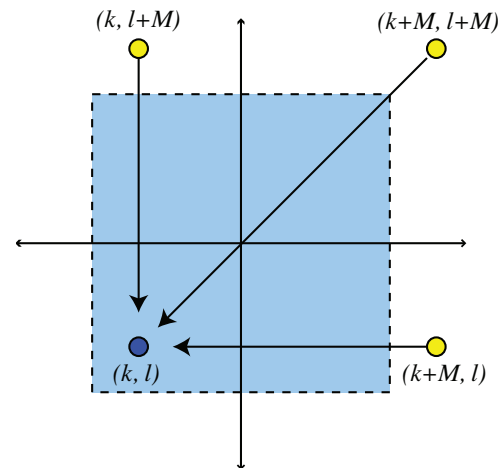
# Filtering sparse observations

Data assimilation or filtering seeks the *best-guess estimate* of the *state of the system* by combining *noisy, incomplete observations* with an *internal forecast model*.



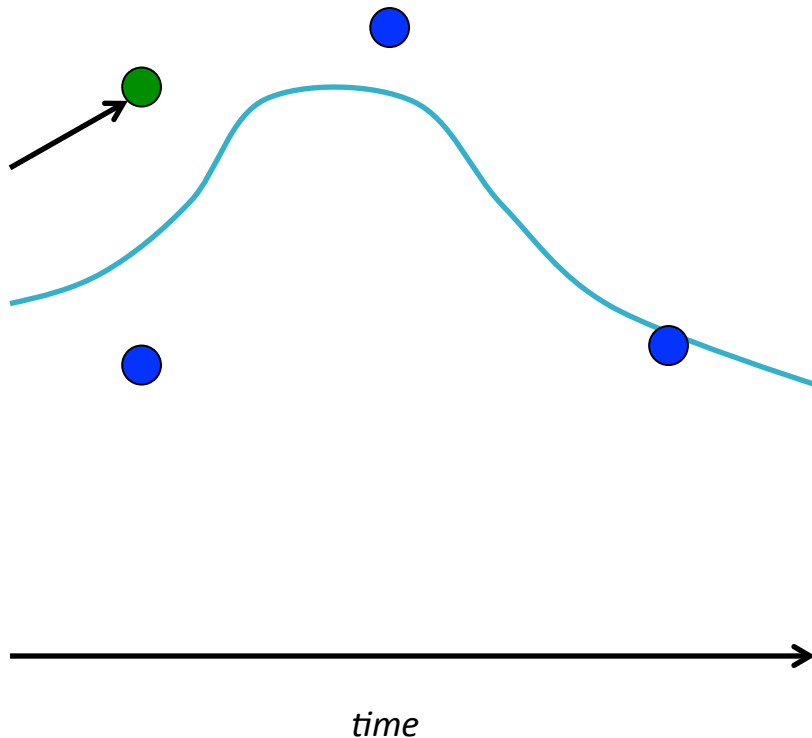
$M \times M$  observations of each resolved mode + aliased modes

$$\psi_{k,l}^{obs} = \sum_{\tilde{k}, \tilde{l}} \psi_{\tilde{k}, \tilde{l}}^{true} + \sigma_{k,l}^{obs}$$



# Filtering sparse observations

Data assimilation or filtering seeks the *best-guess estimate* of the *state of the system* by combining *noisy, incomplete observations* with an *internal forecast model*.



## 1. Forecast step:

Make prediction for  $N \times N$  modes using quasi-linear stochastic model.

$$\partial_t \hat{\theta} = -(\gamma - i\omega) \hat{\theta}(t) + \sigma \dot{W}(t)$$

Forecast mean and covariance:

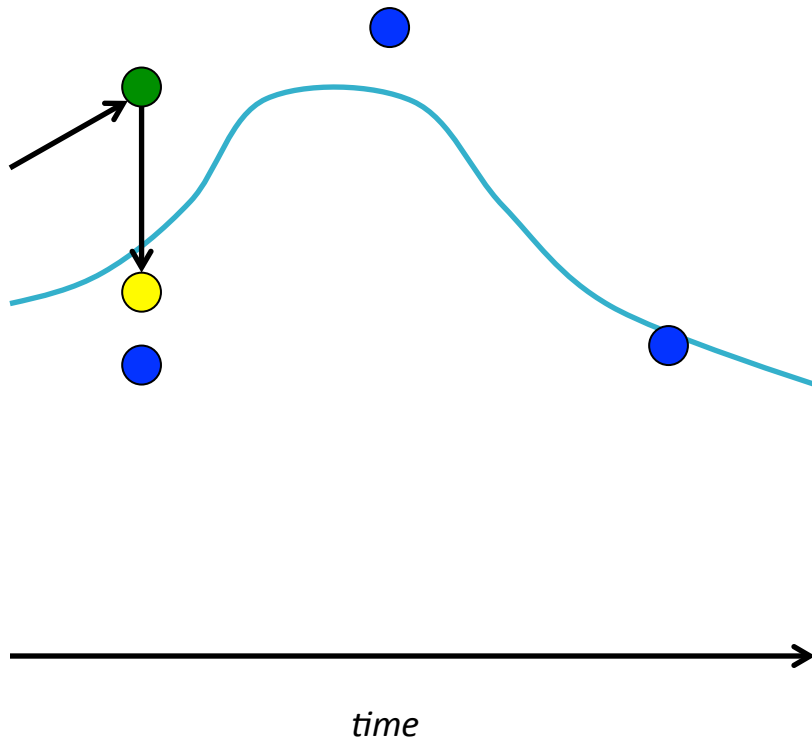
$$\langle \theta \rangle, R_{pq} = \langle \theta_p^* \theta_q \rangle$$

Tune parameters to give correct energy and timescales estimated from **infrared observations**.



# Filtering sparse observations

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## 2. Update step:

Combine  $N \times N$  prediction (-) with  $M \times M$  observation ( $\sim$ ) using **Kalman filter** solution:

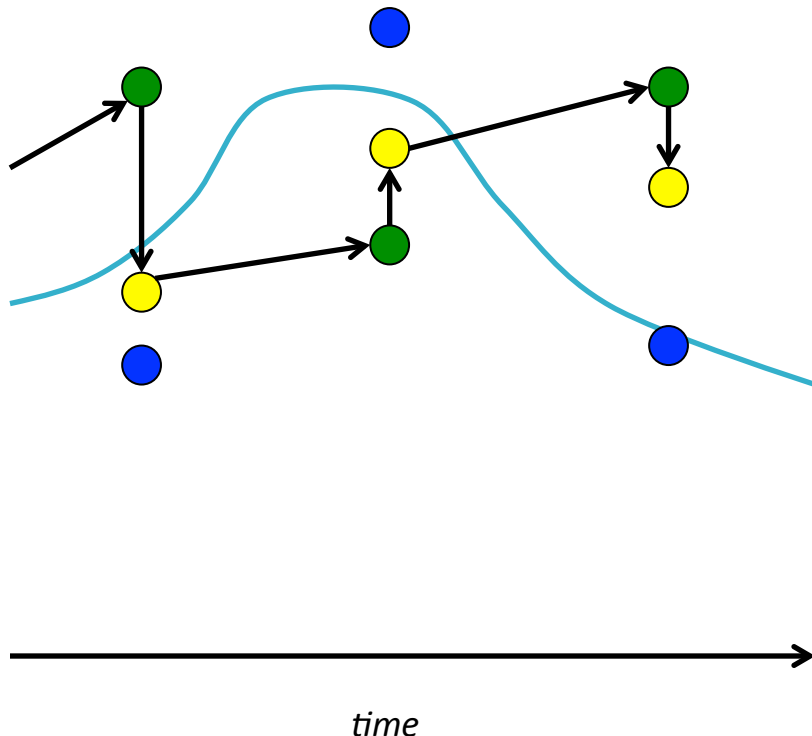
$$\langle \theta_+ \rangle = (1 - KG) \langle \theta_- \rangle + K \tilde{\theta}$$

$$R_+ = (1 - KG) R_-$$

**Optimal solution** when dynamics and observation operator are linear with unbiased uncorrelated Gaussian noise.

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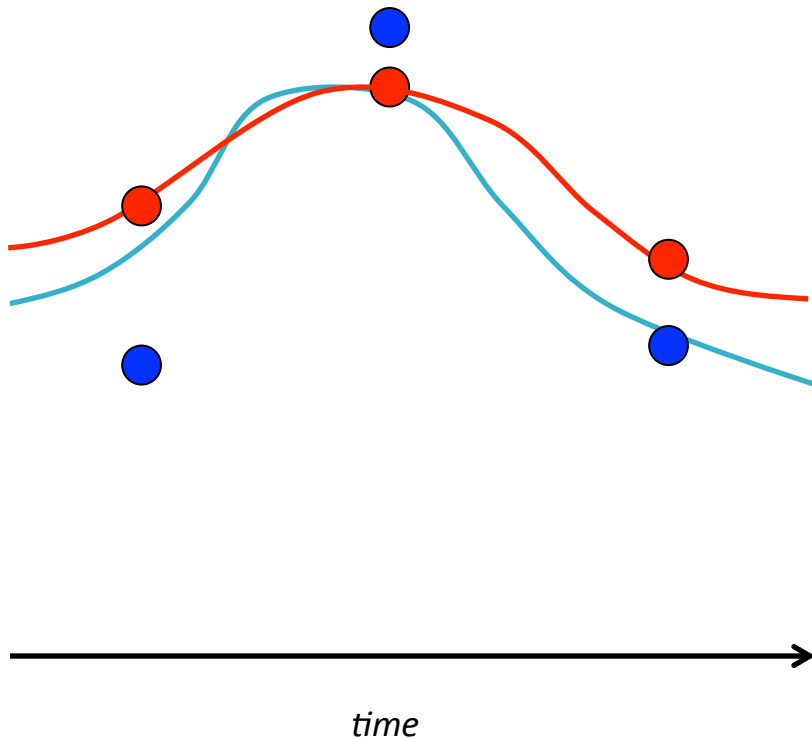
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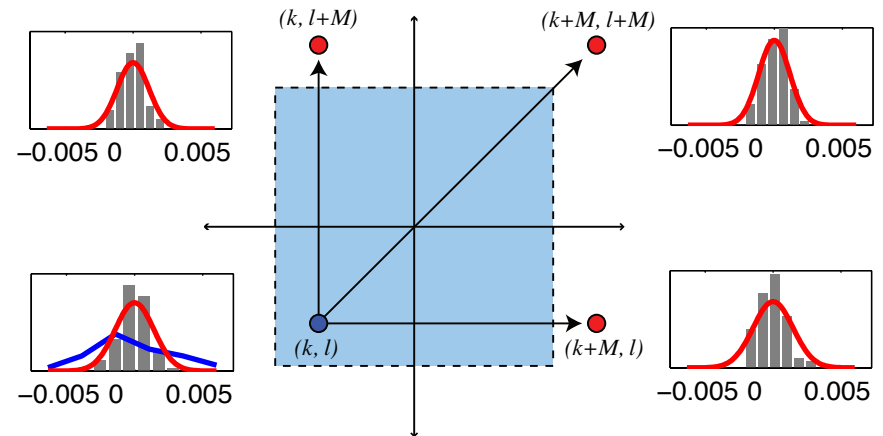
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Data assimilation or filtering seeks the *best-guess estimate* of the *state of the system* by combining *noisy, incomplete observations* with an *internal forecast model*.



## 3. Smoothing step:

Apply **Rauch-Tung-Straub smoother** to remove unphysical jumps.



Resulting **superresolved SST estimate** is a pdf with an **effective resolution** given by model, not observations.