Research communication guidance, tools, and frameworks: a rapid scoping review

Protocol – version 12 October 2021

Jorge Otávio Maia Barreto¹, Tereza Setsuko Toma², Maritsa Carla de Bortoli², Bruna Carolina de Araújo², Cíntia Oliveira Freitas², Letícia Aparecida Lopes Bezerra da Silva², Roberta Crevelarios de Melo²

¹Oswaldo Cruz Foundation, Brasília, Brazil

² Health Institute, Sao Paulo, Brazil

Correspondence to: Jorge Barreto, e-mail: jorgeomaia@hotmail.com

Cite as: Barreto JOM, Toma TS, Bortoli MC, Araujo BC, Freitas CO, Silva LALB, Melo RC. Research communication guidance, tools, and frameworks: a rapid scoping review (protocol). Zenodo. October 2021.

1. Background

Evidence-informed policy making (EIP) integrates the best available evidence on policy issues into context-sensitive decision-making processes to drive change and achieve impact (1). EIP requires that policymakers have access to complete, relevant, trustworthy evidence presented in a way that is easy to understand and apply (2). This also implies that current needs and individual preferences of how policymakers receive evidence products are taken into consideration, and research is thematically relevant and produced and communicated in a timely way (3). Understanding how policymakers consider, access, and incorporate evidence in relation to their political environment of decision-making is crucial for effectively communicating evidence to support evidence-informed policy (4).

Research evidence is not always available to policymakers and, even when it is, it is not always communicated in a way that is relevant and usable. Even the most relevant, applicable, or convincing piece of evidence addressing a policy issue must be translated to effectively drive policy and practice change. To bridge this research-to-policy (R2P) gap, evidence should be communicated well, such as by making data available, providing clear summaries and syntheses of problems and solutions, and disseminating research through a range of outputs such as social media, blogs, and policy briefs (5).

A lack of active dissemination and strategic communication of research evidence is a wellknown barrier to closing the R2P gap, next to other factors such as lack of relevant or clear research evidence and the costs associated with accessing and using research evidence, the lack of timeliness or opportunity to use research evidence when it matters, and the lack of policymaker skills or awareness necessary to effectively use research evidence (6). Most policymakers prefer to inform decision-making processes through a range of information sources combining personal experience, peer-reviewed scientific evidence, 'grey' literature, public opinion, and feedback from consultation (4). This requires applicable evidence to be framed and packaged in a way that is relevant and actionable for policymakers alongside competing interests and other sources of information (7).

For communication to be effective, its content should be accessible, understandable, and thematically relevant, the messaging should be actionable, the authors credible and trusted, and it should be disseminated in a timely manner (8). Knowledge translation describes the process of making use of research evidence in EIP through exchanging, synthesizing, and effectively communicating reliable and relevant research (9). It focuses on developing relationships and interactions among researchers, policymakers, and other stakeholders to remove barriers to research use and adapting information for different target audiences to improve its uptake (9). While research exists on the facilitators and barriers to the uptake of research evidence in EIP (e.g., 4-6,10-16), only limited evidence is available on frameworks and strategies to effectively communicate evidence for policy and practice change (17).

2. Aim of the scoping review

A scoping review will be carried out with the aim to systematically identify and map evidence (18) about needs, preferences, and perspectives of policy makers for receiving scientific information, as well as guidance, tools, and frameworks to support the communication on research evidence to them.

3. Methods

3.1. Criteria for considering studies for this review

Types of studies

- We will include qualitative and quantitative primary and secondary studies that investigate how policymakers prefer to receive information about research evidence.
- We will include studies about communication guidance, tools, and frameworks to support effective communication on research evidence strategies for decision makers.
- We will include studies regardless of when they were undertaken or published.
- We will include both published and unpublished studies in English, Portuguese, and Spanish languages.
- We will exclude studies published in other languages due to the very short timeline for this review.

Topic of interest

• We will include studies which refers to the needs, preferences, and perspectives of health policymakers as well as frameworks, guidance, tools and approaches for communicating research evidence to inform policy decision-making.

Types of participants

- We will include studies about policymakers from all levels of the health systems.
- We will exclude studies about other stakeholders like health professionals and health services users.

Types of settings

• We will include studies that collected data in any country, conducted in any healthcare setting, including community-based care, primary health facilities and any other level of care.

3.2. Search methods for identification of studies

- We will search the following electronic databases: Medlina (via PubMed), BVSalud, Embase, Cochrane Library, Health Systems Evidence (HSE), Social Systems Evidence (SSE), Epistemonikos and Scopus.
- Grey literature searches will be conducted to identify government documents, theses, dissertations, and abstracts published in conference annals, eligible for this scoping review. Sources of unpublished studies and grey literature to be searched will include the repository of the Opengrey and the Google Scholar (the first 10 pages).
- We will develop search strategies for each database. We will not apply any limits on language or publication date. We will search all databases from inception to the date of search. See Appendix for final search strategy for PubMed.

3.3. Selection of studies

- The selection will be carried out with the support of Rayyan QCRI (19).
- Two review authors will independently assess each title and abstract of the identified records to evaluate eligibility. We will resolve disagreements by discussion or, when required, by involving a third review author.
- We will retrieve the full text of all the papers identified as potentially relevant. One review author will then assess these papers and another one will check them.
- We will include a PRISMA flow diagram to show our search results and the process of screening and selecting studies for inclusion.
- We will present a table listing the references of studies that we excluded from our review at full-text stage, and the main reason/s for exclusion.

3.4. Extraction of study information and charting

- We will develop and pilot a data extraction form.
- One review author will extract and chart study characteristics and data into the categories of the data extraction form. One review author will check all extracted data.
- Where data is not available in relation to a category, we will indicate this as 'not reported'.

These categories will include the following:

- Study information (lead author, year of publication).
- Aim/s or objective/s.
- Study participants and settings (countries in which the research was undertaken, types of participants, healthcare setting/s in which the study was carried out).
- Methods: type of data collection (individual interviews, focus group discussions, document analysis, observation, other – specify, unclear); type of data analysis (thematic, framework, etc., unclear); type of communication way, e.g., policy briefs, messaging, media or data exchange.
- Results/findings: study findings regarding policymakers' view of the communications way of research results; checklists, frameworks, tools, or models about how to communicate research results to policymakers.

3.5. Collation, summary and reporting of the results

- Assessment of study quality: The quality appraisal of the published studies included will use the Joanna Briggs Institute critical assessment tools (<u>https://jbi.global/critical-appraisal-tools</u>), considering the methodological design of each study. Grey literature documents will be similarly evaluated, where appropriate.
- The review report will adhere to the Joanna Briggs Institute Reviewer's Manual (20) and follow the PRISMA Extension for Scoping Reviews (21). Figures and tables will be used as needed.

4. References

Scarlett J, Köhler K, Reinap M, Ciobanu A, Tirdea M, Koikov V, Yegeubayeva S, Szigeti S, Mihalicza P, Lazeri L, Borbás I. Evidence-informed Policy Network (EVIPNet) Europe: success stories in knowledge translation. Public health panorama. 2018 [cited 2021 Aug 18];4(02):161-9. Available from:

https://apps.who.int/iris/bitstream/handle/10665/325029/php-4-2-161-169-eng.pdf

2. Oxman, A. D., Glenton, C., Flottorp, S., Lewin, S., Rosenbaum, S., & Fretheim, A. Development of a checklist for people communicating evidence-based information about the

effects of healthcare interventions: a mixed methods study. BMJ open. 2020 [cited 2021 Aug 18], 10(7), e036348. Available from: https://doi.org/10.1136/bmjopen-2019-036348

3. Dobbins, M., Jack, S., Thomas, H., & Kothari, A. (2007). Public health decision-makers' informational needs and preferences for receiving research evidence. Worldviews on Evidence-Based Nursing. 2007 [cited 2021 Aug 19], 4(3), 156-163. Available from: https://doi.org/10.1111/j.1741-6787.2007.00089.x

4. Cairney P, Kwiatkowski R. How to communicate effectively with policymakers: combine insights from psychology and policy studies. Palgrave Communications. 2017 [cited on 2021 Aug 19] Nov 28;3(1):1-8. Available from: https://www.nature.com/articles/s41599-017-0046-8

5. Oliver K, Cairney P. The dos and don'ts of influencing policy: a systematic review of advice to academics. Palgrave Communications. 2019 [cited 2021 Aug 20] Feb 19;5(1):1-11. Available from https://doi.org/10.1057/s41599-019-0232-y

6. Oliver K, Innvar S, Lorenc T, Woodman J, Thomas J. A systematic review of barriers to and facilitators of the use of evidence by policymakers. BMC health services research. 2014 [cited 2021 Aug 20] Dec;14(1):1-2. Available from: https://doi.org/10.1186/1472-6963-14-2

7. Topp L, Mair D, Smillie L, Cairney P. Knowledge management for policy impact: the case of the European Commission's Joint Research Centre. Palgrave Communications. 2018 [cited 2021 Aug 19] Jul 10;4(1):1-0. Available from:

https://www.nature.com/articles/s41599-018-0143-3

8. World Health Organization. WHO strategic communications framework for effective communications. 2017 [cited 2021 Aug 19]. Geneva: World Health Organization. Available from: https://www.who.int/about/communications

9. EVIPNet Europe. Introduction to EVIPNet Europe: Conceptual background and case studies [Internet]. World Health Organization Regional Office for Europe; 2017. Available from: http://www.euro.who.int/__data/assets/pdf_file/0008/344762/EVIPNet-Europe-Starter-Kit_complete_ENG.pdf?ua=1

10. Orton L, Lloyd-Williams F, Taylor-Robinson D, O'Flaherty M, Capewell S. The use of research evidence in public health decision making processes: systematic review. PloS one. 2011 [cited 2021 Aug 19] Jul 26;6(7):e21704. Available from: https://doi.org/10.1371/journal.pone.0021704

11. Innvaer S, Vist G, Trommald M, Oxman A. Health policymakers' perceptions of their use of evidence: a systematic review. Journal of health services research & policy. 2002 [cited 2021 Aug 19] Oct 1;7(4):239-44. Available from: https://doi.org/10.1258/135581902320432778

12. Oliver K, Cairney P. The dos and don'ts of influencing policy: a systematic review of advice to academics. Palgrave Communications. 2019 [cited 2021 Aug 19] Feb 19;5(1):1-11. Available from https://doi.org/10.1057/s41599-019-0232-y

13. El-Jardali F, Lavis JN, Ataya N, Jamal D. Use of health systems and policy research evidence in the health policymaking in eastern Mediterranean countries: views and practices of researchers. Implementation science. 2012 [cited 2021 Aug 19] Dec;7(1):1-6. Available from: https://implementationscience.biomedcentral.com/articles/10.1186/1748-5908-7-2

14. Lavis JN, Robertson D, Woodside JM, McLeod CB, Abelson J. How can research organizations more effectively transfer research knowledge to decision makers? The Milbank Quarterly. 2003 [cited 2021 Aug 18] Jun;81(2):221-48. Available from: https://doi.org/10.1111/1468-0009.t01-1-00052

15. Grimshaw JM, Eccles MP, Lavis JN, Hill SJ, Squires JE. Knowledge translation of research findings. Implementation science. 2012 [cited 2021 Aug 18] Dec;7(1):1-7. Available from: https://doi.org/10.1186/1748-5908-7-50

16. Moat KA, Lavis JN, Abelson J. How contexts and issues influence the use of policyrelevant research syntheses: a critical interpretive synthesis. The Milbank Quarterly. 2013 [cited 2021 Aug 18] Sep;91(3):604-48. Available from: https://doi.org/10.1111/1468-0009.12026

17. Chapman E, Pantoja T, Kuchenmüller T, Sharma T, Terry RF. Assessing the impact of knowledge communication and dissemination strategies targeted at health policymakers and managers: an overview of systematic reviews. (Manuscript being prepared for submission).

18. Hilary Arksey & Lisa O'Malley (2005) Scoping studies: towards a methodological framework, International Journal of Social Research Methodology, 8:1, 19-32, DOI: 10.1080/1364557032000119616

19. Ouzzani M, Hammady H, Fedorowicz Z, et al. Rayyan—a web and mobile app for systematic reviews. Syst Rev 2016; 5: 210.

20. Peters MDJ, Godfrey C, McInerney P, Soares CB, Khalil H, Parker D. Scoping reviews. In: Aromataris E, Munn Z, eds. Joanna Briggs Institute Reviewer's Manual. Adelaide, Australia: Joanna Briggs Inst, 2017.

21. Tricco A, Lillie E, Zarin W, O'Brien KK, Colquhoun H, Levac D et al. PRISMA Extension for Scoping Reviews (PRISMA-ScR): Checklist and Explanation. Ann Intern Med. 2018;169(7):467-473.

Contributions of authors

TST, JOMB and MCB led the protocol elaboration. All authors revised and approved the protocol.

Sources of support

Evidence to Policy and Impact Unit / World Health Organization

Declarations of interest

Authors declare no known financial conflicts of interest.

| # Search | Strategy | Result |
|---------------------------------|---|-----------|
| 1 | ((((Knowledge Management) OR (Evidence-Informed Policy)) OR (use of evidence)) OR (know-do gap)) OR (knowledge translation) | 2,327,212 |
| 2 | ((((Health Communication) OR (Information Dissemination)) OR (Communication Barriers)) OR (Communications Media)) OR (Communications) | 1,010,440 |
| 3 | ((((((Policy Making) OR (policymaker)) OR (policymakers)) OR (policymaker)) OR (policymakers)) OR (Decision Making)) OR (decision-maker)) OR (decision-makers) | 481,363 |
| 4 | ((needs) OR (preferences)) OR (perspectives) | 1,775,898 |
| 5 | ((((Checklist) OR (model)) OR (framework)) OR (guidance)) OR (tool) | 4,991,420 |
| 1 AND 2 AND 3 AND 4 AND 5 | (((((((Knowledge Management) OR (Evidence-Informed Policy)) OR (use of evidence)) OR (know-do gap)) OR (knowledge translation)) AND (((((Health Communication) OR (Information Dissemination)) OR (Communication Barriers)) OR (Communications Media)) OR (Communications))) AND ((((((((Policy Making) OR (policymaker)) OR (policymakers)) OR (policymaker)) OR (policymakers)) OR (Decision Making)) OR (decision-maker)) OR (decision-makers))) AND (((needs) OR (preferences)) OR (perspectives))) AND ((((Checklist) OR (model)) OR (framework)) OR (guidance)) OR (tool)) | 1,939 |

Appendix 1: Search strategies for PubMed, 12 October 2021.