

Community-led Open Publication Infrastructures for Monographs (COPIM): Annual Report

Year 2: 2020-2021

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COMMUNITY-LED OPEN PUBLICATION INFRASTRUCTURES FOR MONOGRAPHS (COPIM): ANNUAL REPORT – YEAR 2

Tobias Steiner, Janneke Adema

Participating organisations

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Collaborators: ScholarLed (incl. Mattering Press, Open Book Publishers, Open Humanities Press, Meson Press, Punctum Books), The British Library, The Digital Preservation Coalition.

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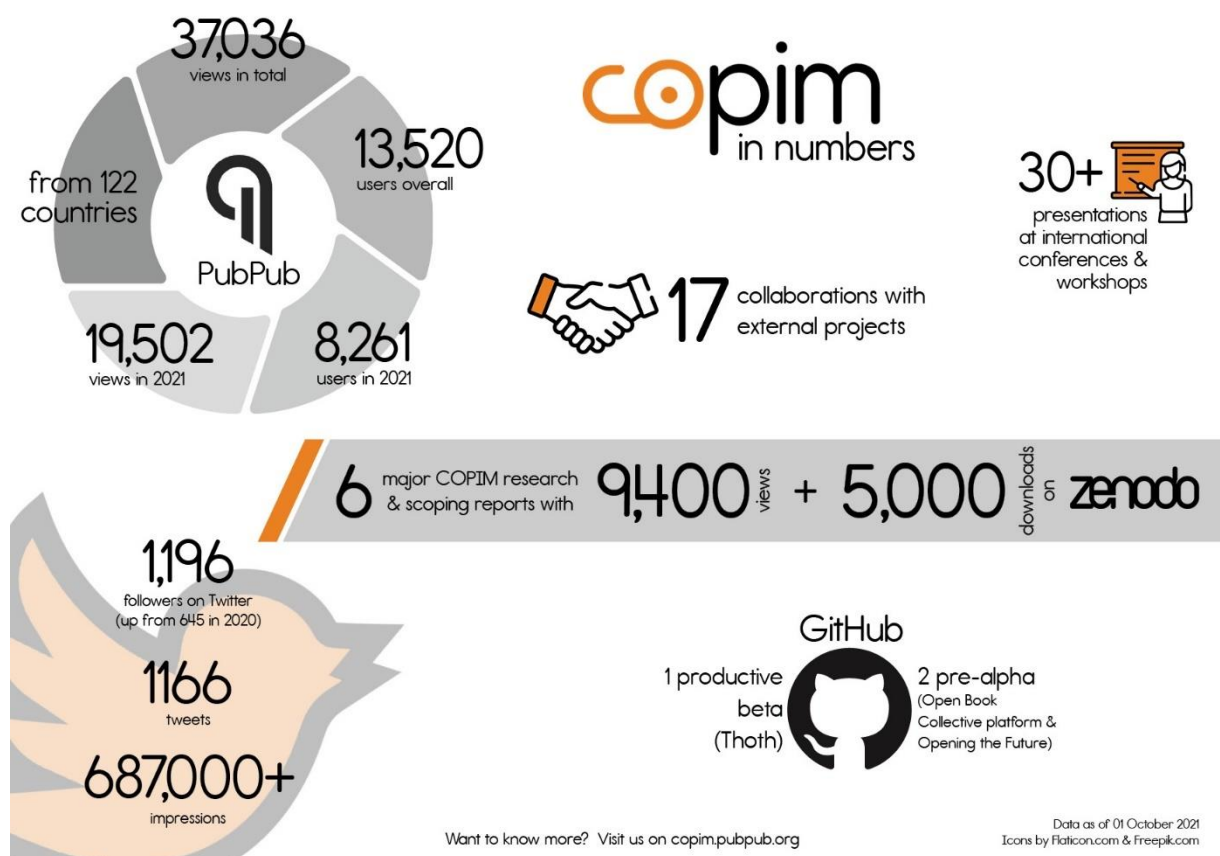
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EXECUTIVE SUMMARY



COPIM is committed to exploring solutions to some of the most pressing barriers currently preventing small publishers from interfacing with large-scale organisations and processes. As a result, COPIM is developing a significantly enriched, not-for-profit and open-source ecosystem for open access (OA) book publishing; one that will support and sustain a diversity of publishing initiatives and models, particularly within Humanities and Social Sciences (HSS) publishing.

The adoption of alternative infrastructures, business models, preservation structures, and governance procedures, all to be scoped and developed through COPIM, will enable increased economic resilience and enhanced capacities for the publication and dissemination of open access books at smaller and larger scales. COPIM's approach offers Higher Education institutions and HSS researchers sustainable publishing models that they control, increased publishing options, and new revenue streams and cost reductions, designed to help build a more equitable horizontal and co-operative knowledge sharing community.

During the second year of the project, COPIM has continued its work in the areas of collaborative research, infrastructure development, project management and outreach and community building. Further evolving its approach to cope with the continued and compounding challenges that the COVID-19 pandemic and Brexit have offered to the Higher Education sector and beyond, the project has succeeded in meeting the vast majority of its deliverables and milestones across all work packages, in some areas even outperforming the initial goals set.

The list of key output and activities delivered includes the:

- **publication of six new major scoping reports;**
- successful organisation and documentation of **15 workshops overall**, with **more than 190 national and international high-profile stakeholders representing 22 countries;**
- set-up and iterative extension of an **Outreach and Dissemination network** that is combining a variety of channels including social media and open community platforms;
- beta launch and continuous further development of **Thoth**, COPIM's Open Dissemination System. Thoth now makes **open access book metadata** available in an open, transparent, and participatory way. It will see further integration into the larger context of the **Open Book Collective**, among other outlets, to be developed in Year 3 and beyond.

The COPIM project has continued and deepened its engagement with the work packages' variety of stakeholders (i.e., librarians, publishers, researchers, technology providers, and the general public), bringing together key experts and those interested in learning more about scholar-led, not-for-profit, OA book publishing. Alongside its own event organisation and outreach activities, COPIM has been involved in the *Open Access Book Network*, while COPIM team members have been invited to participate in a variety of external conferences, events, and networks by organisations and projects such as *Invest in Open Infrastructure*, *OASPA*, *OPERAS*, *LIBER*, the *Next Generation Libraries Publishing project*, *OpenAIRE*, *The British Library*, *COAR*, *EIFL*, and the *European Open Science Cloud*.

The sustained progress against the original plan during this second year is particularly noteworthy as the project had to work with the continued and compounding effects of the various challenges that COPIM saw in its first-year set-up. As already outlined during the first year Brexit and the pandemic – and the systemic effects these events continue to have on the Higher Education sector as a whole – governed much of the project work on COPIM. As a result, the project had

to accommodate further delays in staff recruitment and an increase in pandemic-related limitations to availability of staff members due to extended care duties and the toll on health of all involved.

Despite these challenges COPIM, together with its stakeholders, is now well positioned to implement the open infrastructures and systems of governance conceptualised over its first two years, while continuing to develop its research and project management structures. COPIM has requested a six-month no-cost extension from its funders to make effective use of budget underspend accrued during the project's lifetime because of the pandemic, and to enable the team to continue its work on the envisioned open infrastructures for monographs.

ANALYSIS OF PROGRESS AGAINST TIMETABLE: OVERVIEW

This report is the second of three annual reports to be submitted to [Arcadia](#) – a charitable fund of Lisbet Rausing and Peter Baldwin, by the Community-Led Open Publication Infrastructures for Monographs (COPIM) project.

Background

COPIM looks to transform Open Access (OA) book publishing by delivering major improvements in the infrastructures used both by OA book publishers and by those publishers making a transition to OA books. In view of that goal, COPIM is now in the midst of scoping, developing and building the critical underlying modular components to support the sustainable publication of OA books. These include:

- **Infrastructures** (WP2 and 5), including a fully functional consortial library funding platform and an Open Dissemination System (ODS) for the integration of OA books into discovery systems;
- **Business models** (WP2 and 3), including an online toolkit and two pilot cases with existing OA publishers and publishers wanting to transition to OA monographs;
- **Governance procedures** (WP4), including community-approved best practices for the governance of open source collectively owned infrastructures and OA presses;
- **Re-use strategies** (WP6) to promote OA book content discovery, interaction, and reuse as well as the development and interaction with emergent genres of scholarship;
- **Preservation structures** (WP7) for the archiving of complex OA books;
- **Outreach programs** (all WPs) including extensive knowledge exchange activities with a range of HE and publishing stakeholder partners.

These systems and infrastructures will be open, collectively managed for the common good, and will map onto existing publishing workflows (e.g., from publisher to library) while also re-engineering them.

As a result, COPIM is developing a significantly enriched not-for-profit and open-source ecosystem for OA book publishing that will support and sustain a diversity of publishing initiatives and models, particularly within HSS publishing.

Achievements

During its second year, the project has further developed its work on collaborative research, open infrastructures, project management, and outreach. COPIM's work packages have built on the communities that have been engaged during Year 1, to synthesising the collected research data, conducting landscape studies including several scoping reports, and consulting stakeholders via workshops and participation in external events. All of this has been carried out in preparation and support of the infrastructures, the pilot cases, and the governance and preservation structures that the project has started to develop in Year 2 and will further finetune and create communities around in Year 3. In parallel, the project has been developing its underlying philosophy and organisational principle of 'scaling small',¹ which informs its overall activity, engaging the work packages in a dialogue around how this can be implemented and put into practice.

COPIM has been able to meet the majority of activities and outputs set out in its initial business plan and project proposal successfully. The main results achieved during COPIM's second year include the:

- **publication of six major scoping reports**, comprising the:
 - WP2 Scoping Report *The Promise of Collaboration: Collective Funding Models and the Integration of Open Access Books into Libraries*;
 - WP2 Scoping Report *Academic Libraries and Open Access Books in Europe: a Landscape Study*;
 - WP4 Research Report *Exploring Models for Community Governance*;
 - WP6 Research and Scoping Report *Books Contain Multitudes: Exploring Experimental Publishing*;
 - WP6 Research Report *Promoting and Nurturing Interactions with Open Access Books: Strategies for Publishers and Authors*;

¹ This "principle eschews standard approaches to organisational growth that tend to flatten community diversity through economies of scale, and instead puts forward the idea that scale can be nurtured through intentional collaborations between community-driven projects that promote a bibliodiverse ecosystem while providing resilience through resource sharing and other kinds of collaboration" (Adema and Moore, 2021).

- A substantial update to the WP5 Scoping Report *Building an Open Dissemination System*.
- The highly successful **implementation of COPIM's *Opening the Future* model** whereby library members pay a small annual fee to get unlimited multi-user access to eBooks from the publishers' backlists, and the membership revenue is then used to produce new OA monographs. This programme is facilitating the transition of two publishers – [Central European University Press](#) and [Liverpool University Press](#) – toward an open access-amenable business model.
- The successful organisation and documentation of **15 workshops overall**, through which COPIM has engaged with more than **190 national and international stakeholders** from the UK, US, Africa, Latin America, Australia, New Zealand, and Europe to establish communities on a variety of overarching open access book-related topics;
- The development of COPIM's [Open Dissemination System Thoth](#), a tool and service to make open access book metadata available in an open and transparent way, and successful real-life implementation thereof with four OA book publishers;
- The setup and iterative extension of a set of **Outreach and Dissemination activities** that are combining a variety of channels including social media and open community platforms;
- The development of **three Pilot Projects of experimental books**, co-created with international communities of scholars and three scholar-led presses: Open Humanities Press, Open Book Publishers, and Mattering Press

Activities and Outputs

[Please refer to the [Appendix](#) for a full list of activities and outputs in Year 2]

The project has successfully fostered engagement with the work packages' variety of stakeholders (i.e., librarians, publishers, scholars, technology providers, and the general public), bringing together key experts and those interested in learning more about scholar-led not-for-profit OA book publishing. In addition to the workshops organised by COPIM and participation at [more than 30 international conferences](#), the team has also supported and contributed to events and workshops held by external stakeholders and organisations working towards goals that are mutually beneficial (following the previously mentioned principle of 'scaling small'). These organisations include:

- [Invest in Open Infrastructure's Future of Scholarship](#) project;
- [Knowledge Futures Group](#);
- the Open Access Scholarly Publishers Association ([OASPA](#));
- [OPERAS](#);
- Ligue des Bibliothèques Européennes de Recherche – Association of European Research Libraries ([LIBER](#));
- the [Next Generation Libraries Project](#);
- [OpenAIRE](#), [COAR](#), and [EIFL](#);
- International Federation of Library Associations and Institutions ([IFLA](#));
- [The British Library](#);
- the publishing initiative and project [cache](#);
- and the [Open Access Books Network](#).

In addition to that, notable collaborations were sought with e.g. the European Science Cloud's [Provider Marketplace](#) and [EOSC Pillar](#); the German Federal Ministry for Education and Research-funded projects [KOALA](#), [CODRIA](#), and [Scholar-led Plus](#), the German [scholar-led.network](#) initiative, [LYRASIS](#), and the [Embedding Preservability](#) project.

Impact

In its second year, COPIM has built on the impact achieved across all work packages in Year 1 and exceeded the set deliverables and milestones in most of its work packages. Engagement with key stakeholders (including publishers, knowledge managers, and librarians) from the UK, United States, Europe, Africa, Australia, and New Zealand has been deepened, and the many new connections established has meant that interest in COPIM's approach from external stakeholders is mirrored in the consistently high engagement numbers that are available from the list of outputs (see Appendix) generated by the project so far.

Building on the now-established Outreach programme, COPIM's engagement of library stakeholders has continued to exceed the project's initial plan:

- *Opening the Future*, the business model devised to support traditional presses with transitioning towards an OA-amenable, non-BPC-based future, is seeing impressive uptake. Since it launched, two respected publishers have come on board and library membership uptake has already accrued enough funding for 5 monographs. To date, CEU Press' implementation has 24 library members, several of which have opted to subscribe to more than one package of books, garnering 37 annual subscriptions that will be used to pay for new OA front list titles. The model was also a finalist at the ALPSP 'Innovation in Publishing' Awards.

Early discussions with libraries regarding the *Open Book Collective* platform and model is similarly garnering a lot of interest.

During Year 2 all Work Packages have been very active in promoting COPIM's proposed alternative vision through [reports](#), [presentations](#) and original research. A list of detailed outputs is available in the [Appendix](#) section.

Challenges

Continuing its project work against the adverse effects of unprecedented global upheaval that permeated all aspects of the delivery process, the project's main challenges include:

- **Brexit**, and its impact on the economy, with the British Pound's intermittent loss in value, which has been affecting project payments to US- and EU-based partners particularly; and the corresponding uncertainties around the viability of contractual setups with international partners (EU, US), and their future sustainability due to the increased possibility of changing legislation (e.g., GDPR and continuing uncertainty around the UK's future (non-)compliance).²
- The impact of the **COVID-19 pandemic**. During the project's second year, COVID-19's effect on the Higher Education sector has become tangible in substantial ways, resulting in a shrinking of the budgets available to a sizeable portion of COPIM's external stakeholders,³ which in turn might mean less

² see e.g. [Information Commissioner's Office, FAQ](#), and "proposed rewriting of data protection rules said to put vital cooperation in doubt": [The Guardian, Sept 25, 2020](#).; the Government's [September 2021 announcement](#), and the [Open Rights Group's critical analysis](#) of the proposed changes and consequences on international collaboration.

³ With the Institute for Fiscal Studies estimating that UK HE's losses will be between £3 billion and £19 billion in one year: <https://www.ifs.org.uk/publications/14919>.

willingness to engage in the transformative approach that COPIM is proposing. On a more pragmatic level, the pandemic's impact had a compounding effect during Year 2 on the project's recruitment strategy, as many of the partners were seeing recruitment limitations enforced in their institutions (such as not allowing employees to work outside of the country in which the institution is based, even during periods of lock-down), which in turn led to delays in getting some of the open WP positions filled. Next to these limitations, which in turn required substantial extra work to navigate the resulting uncertainties, the pandemic also created a set of very personal challenges for the team: many team members were either dealing with a notable increase in care duties or had to observe quarantining due to contact with the virus, which in turn substantially impacted on members' availability and called for a flexible approach to meeting management and general workflows in the project.

Similar to Year 1, the project's second year has been fraught with uncertainties and shifting parameters. This has been particularly evident on the level of Outreach & Engagement activities: the pandemic has largely inhibited COPIM's ability to meet experts in the same physical space for the purpose of building community around the various project outputs. This also holds true for the team's engagement in internal team building, as well as face-to-face meetings (e.g., the project's biannual meetings). By approaching these challenges in a creative way, during Year 1 of the project the team has succeeded in establishing a number of alternative channels for interaction, networking, and knowledge sharing. The team has also continued with iteratively updating the Risk Register, which will be included in this report's Appendix section.

The new modes of engagement made necessary by the pandemic have also led to an increase in workshops planned by COPIM members. In many respects, the updated mode of delivery has proven beneficial for COPIM, with increased efficiency in organising logistics and participants through a more streamlined setup also allowing the project team to include participants from a wider range of geographic locations, and further extending stakeholder engagement to regions that tend to be underrepresented in the larger OA discourse.⁴

It needs to be noted, though, that while the move to online workshops has allowed for greater responsiveness, focus, and participation, deficits of this new mode of

⁴ See e.g., Joseph, Andrew. 2015. "Scholarly Publishing in South Africa: The Global South on the Periphery". *Insights* 28 (3): 62–68. DOI: <https://doi.org/10.1629/uksg.253>; Guédon, Jean-Claude. *Open Access and the divide between "mainstream" and "peripheral" science*, 2008, in *Como gerir e qualificar revistas científicas* (2007, in Portuguese). <https://core.ac.uk/display/11882978>; and Raju, R., & Pietersen, J. (2017). Library as Publisher: From an African Lens. *Journal of Electronic Publishing*, 20(2). <https://doi.org/10.3998/3336451.0020.203>.

engagement also become apparent in the sometimes burdensome increase of video meetings, with “[Zoom fatigue](#)” always looming around the corner, and the loss of rich social interaction that in-person, face-to-face meetings offer, with the corresponding lack of ability to engage more with possible stakeholders in order to foster deeper in-person networking.

Nonetheless, it is safe to say that the underlying systemic problems that have become apparent during the early months of the pandemic have also brought the team to reflect further on the ways in which the project work is made discoverable and reusable in a transparent manner.

Outlook

Working in an open and transparent manner, COPIM continues to make its outputs and processes available on the [project website](#) and COPIM’s [Open Documentation site](#), both of which are regularly updated to establish a clear timeline of COPIM’s research, findings, and processes. In the same vein, COPIM has continued to work across all its work packages to establish a sustainable archiving workflow to make all project outputs available as early as possible, in an open and transparent manner, and under permissive open licenses on the open-source, EU-funded Zenodo repository. For the facilitation of project management and team communications, COPIM is committed to using open-source technologies and corresponding open infrastructures wherever possible.⁵

Overall, the research projects, project management structure, and outreach networks that have been established in Year 1 have proven truly valuable to support the actual development of infrastructures, pilot cases, and the governance and preservation structures that the team is now working hard to implement and will continue to build communities around in Year 3. COPIM has also discussed a no-cost project extension of six months with Arcadia and the Research England Development Fund.

Focusing on the output categories of *Collaborative Research*, *Infrastructure Development*, and *Outreach Activities & Community Building*, the following paragraphs will present the project’s progress in more detail and with reference to each of the work packages. In addition to that, concise lists of *Outcomes* and *Impact* achieved over the first and second year are provided as part of the Appendix to this report.

⁵ see [Steiner 2021](#) for more details.

WP2. Revenue Infrastructures and Management Platform

WP2 is working to develop and launch a modular, scalable revenue generation and management platform for open access books, which is to be made available to publishers and libraries. As currently envisioned, it will be scoped and built by a team of publishers, knowledge managers, and librarians working in partnership to develop new funding channels for open access books.

In Year 2, WP2 has been focusing on synthesising those scoping efforts conducted during its first year. This has culminated in the publication of two major scoping reports on collaborative business models, and the role that open access books play in the European library landscape. Alongside that, development efforts have continued apace, with the team working to facilitate the onboarding of a new software development agency, and to plan ahead with regards to the extended outreach work that will be taking place all through Year 3 and up to the end of the project.

Outreach Activities

At the start of Year 2, WP2 had successfully completed its expanded set of workshops. This comprised four more events in close collaboration with the EU-funded OPERAS-P project, and focused on libraries from different European regions and their engagement with OA books. These workshops investigated OA books policies, funding structures, integration of OA books into library catalogues, engagement of libraries with OA in general, and a survey of scholar-/academic-/library-led activities in those European countries represented in the study. The resulting Scoping Report (see *Collaborative Research*) was then presented at the OPERAS-P end-of-project conference in April 2021.

The initial set of outreach activities had been completed at the end of 2020. The Work Package is now planning to develop a concise concept to foster uptake of the platform that has, in parallel, also continued with conceptual development. Two new part-time Outreach Specialist positions (with foci on the US and Europe, respectively) have now been established to ensure more resources are available when the library-facing outreach work starts in earnest in Year 3.

Collaborative Research

The overall substantial impact of COVID-19 on COPIM team members and the corresponding reduction in availability was particularly experienced in WP2. This in turn led to a compounded delay in being able to complete the **Scoping Report**.

Having consolidated and re-prioritized some of the WP's activities, the Scoping Report had been successfully published in project month 16, under the title *The Promise of Collaboration: Collective Funding Models and the Integration of Open Access Books into Libraries*. The collaboration between COPIM and OPERAS-P has also led to a further publication in the form of the *Academic Libraries and Open Access Books in Europe: a Landscape Study* scoping report. With more than 4,000 combined views and 2,400 downloads to date on Zenodo alone, both reports had a wide reception from the larger open access community and beyond.

Infrastructure Development

While the delivery of WP2's **Design Specifications** for the platform – which will now be running under the name of *Open Book Collective* – has experienced further delay due to the impact of COVID-19 on team availability, all of which was complicated by a change in software development agency, the specifications document has now been finalized and [made publicly available via Zenodo](#).

Next to the work on conceptualizing the platform's software development aspects, the team has succeeded in developing a **5-year financial / business plan**. This plan has evolved over the past 21 months to take account of the systemic changes in the HE landscape caused by the pandemic.⁶ The team now intend to present a more encompassing offer as part of the platform; an offer that extends beyond the focus on the ScholarLed group of publishers alone, as outlined in the original grant application, to include a wider set of OA publishing and infrastructure initiatives. The business plan will support that argument and offer, with an underlying assumption that the platform will be able to meet the initially defined revenue target, albeit with a lower number of libraries onboarded by the end of COPIM's regular project period. As a contingency, WP2 is also modelling for 'bad case' scenarios to account for further pandemic uncertainties that might mean the estimated revenue figures will not be met immediately.

Closely connected to WP2's work on developing the platform and business plan, the team have also begun to work closely with WP4 to conceive and establish a **Governance structure** for the platform. In this period, WP2 and WP4 held four workshops about governance, involving representatives from the ScholarLed publishing group, librarians, DOAB, Jisc, and the Humanities Commons Working Group. The team established the values and ethos that should underlie the whole

⁶ See e.g. the [Institute of Fiscal Studies' July 2020 prognosis](#). The librarians participating in the set of stakeholder workshops conducted in WP2 indicated that many of them are already seeing library budgets being put under massive pressure both in the UK and internationally (based on US, UK, and EU respondents' feedback).

of COPIM's governance procedures, discussed questions of accountability, and explored a range of models for how the platform might enable equitable, horizontal relationships in scholarly communication. In the third workshop the team discussed which governance structure(s) or mixture of structures would fit COPIM or its various elements best and why, always doing so in relation to COPIM's mission and values. WP2 members explored this question from the perspective of the platform and concluded that its governance would need to be seen as a distinct element of COPIM which would need its own structure under the umbrella of the larger project. The team have now produced a draft model and diagram for the governance of the platform, which was presented at the fourth governance workshop.

WP3. Knowledge Exchange and Piloting Alternative Business Models

WP3 is dedicated to knowledge exchange and transfer, as part of the project's central commitment to dissemination. It builds pathways to impact with relevant stakeholders from across the sector, including publishers (new) university presses, library-presses, scholar-led presses, academics, learned societies, and open technology developers.

To that end, WP3 has built on the foundational research and initial stakeholder engagement that had been put into practice during the first year. Investigation into the legal and contractual prerequisites for setting up pilots to transition the business models of at least two extant publishers to new open access models bore fruit and the pilot model is now advertised and actively taking subscriptions under the *Opening the Future* label. This is a collective library subscription model. It is underpinned by the idea that small contributions from a large number of academic libraries means that no single institution bears a disproportionate burden. In essence, library subscriptions to backlist books pay for new OA frontlist books to be published – a situation from which scholars and institutions around the world stand to benefit.

Technical and platform development led to subsequent engagement of two publishers – Central European University Press, and Liverpool University Press – and much of the work during Y2 has focused on integrating with library acquisition workflows and invoicing, in conjunction with Jisc (UK) and LYRASIS (US). Both pilot case studies are now fully operational as of summer 2021, with libraries able to support these presses' OA models through individual sign-ups or via Jisc, LYRASIS and sales agents.

Opening the Future has had some success and is gradually scaling up: the model's library membership has already accrued enough funding for five monographs. To date, CEU Press' implementation has 24 library members, several of which have opted to subscribe to more than one package of books, garnering 37 annual subscriptions that will be used to pay for new OA frontlist titles. The model was also a finalist at the ALPSP 'Innovation in Publishing' Awards.

Outreach Activities

In Year 2, outreach work in WP3 has primarily focused on promoting the *Opening the Future* model. To that end, the WP lead has been invited to speak at a variety of national and international conferences and workshops, including sessions at the

Jisc/CNI Library Leaders conference; LIBER, UKSG and ALPSP; the German OA Tage conference; Society for Scholarly Publishers; the UK National Acquisitions Group; Canadian Association for Research Libraries; the Munin Conference in Norway; the Open Access Conference at San José State University, and the Charleston Conference in the USA.

Collaborative Research

The research project conducted in collaboration with colleagues in WP2 during Year 1 had investigated business models for OA monographs, culminating in a major **Scoping Report**, *Revenue Models for Open Access Monographs*. This report provides a concise analysis of the open access economic models in use today in scholarly publishing. It comprises a diachronic overview and synthesis of studies published on the subject in the past ten years, and also updates these research findings to include the environment and economics of OA publishing in 2020. With more than 3,300 visits and 1,700 downloads on Zenodo, the report has had a wide international reception.

The scoping report is envisioned to become one component of a practical ‘toolkit’ that WP3 is developing to enable interested presses to convert to OA-amenable business models. These will in turn allow for the establishing of sustainable OA monograph publishing outlets.

Infrastructure Development

The continuous collaboration between WPs 3 and 2 has also led to increasingly aligned conceptual work, which is now exploring the potential of integrating the *Opening the Future* model as part of the larger *Open Book Collective* platform offer.

WP4. Community Governance

Work Package 4 has been conducting research to develop governance procedures to support COPIM's open publication ecosystem for monographs. Building on the strong research-led conceptual and outreach work that has been started during the first year, WP4's research work has now culminated in the publication of a major research report which has formulated various [theoretical models for Community Governance and their implementation](#).

Following up on the work with other Work Packages in COPIM to establish organisational structures for the coordination, governance and administrative support of the project's community-owned infrastructures, a co-design and co-development approach has been successfully implemented. This approach has been put into practice via four internal workshops which took place throughout the first half of 2021, and which included members of all COPIM's work packages and members of the Humanities Commons Working Group, established by WP4 in Year 1. (Dr Judith Fathallah wrote a blogpost summarising the workshop findings [here](#).) These workshops were set up to explore and develop a shared understanding of governance within the project, including which governance models are preferred. These workshops have also informed the drafting of [COPIM's Code of Conduct](#), and the further development of joint statements on the Mission and Vision, Principles and Values, Goals and Objectives, Resources, and History and Narrative underlying COPIM and its communities.

Going forward, WP4 will continue to work closely with the other work packages on COPIM, with a particular focus on the needs of WP2 and its requirements regarding practical implementation of governance in the context of the *Open Book Collective* platform and community.

Outreach Activities & Community Building

Building on the network of communities that had been established in Year 1 through COPIM's [Community Governance Workshop](#), and the **Community Governance Working Group** that has been set up on the [Humanities Commons platform](#), existing connections have been deepened. These include those with the Next Generation Library Publishing project and the OPERAS-P project, both of which have also been conducting research on governance.

Internal outreach with the various COPIM WPs has provided a clearer view on the variety of governance needs within COPIM with respect to the infrastructures,

models, and pilot cases the project is developing – most notably in connection with WP2’s **Open Book Collective**, and WP3’s **Opening the Future**.

Collaborative Research

The research conducted in 2020 on best practices for the governance of open source community-owned infrastructures has now culminated in the publication of WP4’s first major research report [Exploring Models for Community Governance](#) (D4.2), which maps existing organisational governance models, and discusses their applicability to the context of COPIM. In addition to that, Janneke Adema’s and Samuel Moore’s [research article on ‘Scaling Small’](#), the philosophy and organisational principle informing the COPIM project, has been published in the OA journal *Westminster Papers in Communication and Culture*. Meanwhile, WP4 colleague Sherri Barnes has [published an essay](#) for *College and Research Libraries News* on COPIM as a Transformative OA Monograph Initiative, which had been published in December 2020. The WP has also led on the co-development of [a Code of Conduct](#), and has formulated documents on the mission, vision, values and principles underlying COPIM, the resources, objectives, community, and narrative that underlie the project, along with the organisational structures that best fit the project.

The continuation of research on the WP has been slightly delayed due to a change in staffing in Q2 2021, with our new colleague Dr Patrick Hart having started in September 2021. Accordingly, the WP has had to re-align its timeline for deliverables to the development of the OBC platform, where WP2 has also seen some slight delays. Together with WP2, WP4 is currently working on realigned deliverables, including *Official Policies and Procedures for Self-Governance and Administrative Management of the Community-owned Infrastructure* (D4.11 – re-aligned from M16 to M24 to line-up with the Open Book Collective (OBC) Beta-platform development), which will be finalised before the Beta-launch of the OBC. Dr Lidia Uziel has also started work on identifying the *Governance Community/Collective of Representative Stakeholders (Publishers and Researchers)* (M4.3) that will make up the OBC’s governance. Dr Hart is currently working on a *Research report on Best Practices for Governance of Open-Source Community-Owned Infrastructures* (D4.3) that will reflect the above-mentioned co-design method. We aim to finalise this report by the beginning of 2022.

Moreover, WP4 has excelled at sharing their processes and findings at international workshops and conferences organised variously by the [British Library](#), [OASPA](#), [COAR](#), [EIFL](#), and [OpenAIRE](#), [OPERAS-P](#), the University of San Diego, and IFLA.

WP5. Building an Open Dissemination System

This work package is guided by the perspective of new and emerging not-for-profit open access presses that have not yet been sufficiently integrated into existing discovery systems, knowledge bases, and supply routes. Its aim is to develop methods and systems to better integrate the catalogues of open access publishers into curated research records. The implementation of ‘best practices’ workflows for open access book publishers will allow their catalogues to be better integrated into the scholarly record (discoverability, reach, persistence), thus increasing the impact of open access books. To support this, WP5 is building an Open Dissemination System (ODS) for open access books and a shared ‘best practices’ digital catalogue.

During Year 2, WP5 has substantially increased its work on [Thoth](#), the open dissemination system. Two publishers (Open Book Publishers and punctum books) have now adopted Thoth as their only metadata management system, while metadata from three other publishers (matterring press, mediastudies press and meson press) has been uploaded into Thoth. Notably one of these presses (mediastudies.press) is not a member of ScholarLed and is external to the COPIM partners. Metadata files required for submission to an increasing range of distribution platforms, including Project MUSE, OAPEN, DOAB, JSTOR, and EBSCO Host, are being created by Thoth – and these files are now being used by the participating presses in making metadata submissions to these platforms. A new Export API has been built to facilitate this process. The Thoth Wiki has been expanded to include a [Thoth User Manual](#), to document usage and best practices. Planning to establish Thoth as a sustainable service beyond the life of the COPIM project has commenced, with a new post of Product Manager for Thoth established, which is tasked with scoping, characterising and implementing the services provided for users and stakeholders of the Open Dissemination System that is being developed, while also holding responsibility for designing and implementing a sustainability plan for these services beyond the COPIM project completion date. This position is now jointly held by WP5’s new colleagues Tim Elfenbein and Tom Mosterd, who joined COPIM in May and June 2021.

Outreach Activities & Community Building

Against the backdrop of the pandemic, the team decided to realign its outreach strategy, with research for the emerging scoping report and the parallel development of an Open Dissemination System (ODS) making a rescheduling of the second workshop to a later stage the preferred option. Workshops were arranged with ScholarLed and other publishers to introduce Thoth and to provide training and assistance in ingesting their metadata to Thoth. Building on the findings and

recommendations synthesized in the scoping report, and using the ODS prototype as a showcase, this workshop, which will be targeted at discovery vendors, is now envisioned to take place in the first half of 2022.

As part of increased development activities on Thoth, substantial efforts are being undertaken to scope connections and further exchange with key stakeholders in the book dissemination landscape. Meetings have been held (e.g., with EBSCO, Jisc, NISO, Memory of the World, Google) and perspectives sought from university presses, libraries and publishers.

In addition to the above, Thoth has also been approved as a pilot case for integration into the [European Open Science Cloud ecosystem marketplace](#) through the [EOSC Pillar project](#).

Collaborative Research

To reflect the continuous evolution of WP5's scoping and development efforts, the team decided to update the major research report that had been published in Year 1. A second version of WP5's "Building an Open Dissemination System" report had thus been [made available on PubPub in April 2021](#). The updated version reflects the integration of the report's recommendations into the [GitHub development trajectory](#) of Thoth, and the [public Wiki](#) has been evolving in parallel to publicly document the different platforms and outputs Thoth aims to facilitate.

Infrastructure Development

While WP5 does not have a formal infrastructure development deliverable scheduled for the project's first year, it is noteworthy that the open metadata system that will form an integral part of the ODS has begun, with a first public release been made in November 2020. Work on the major Year 2 deliverable has thus begun well ahead of time, with development now happening iteratively and following agile code development best practice. Project code and [general progress](#) of this development project are now made available in an open and transparent way via [GitHub](#) and Thoth's main [website](#).

In line with the strategic decision to develop the ODS as a tool and service offer that aims to provide a viable alternative to the heavily fragmented book metadata ecosystem, WP5 has also increased in-depth scoping efforts to learn more about established publishing workflows at university presses and other interested publishing stakeholders.

WP6. Experimental Publishing, Re-use and Impact

WP6 examines ways to align existing software, technologies, workflows and infrastructures for experimental publishing more closely with the workflow of open access book publishers. It has been co-developing a set of pilot projects of experimental books (including by scholar-led presses Open Humanities Press, Mattering Press, and Open Book Publishers) which are developed with the aid of these new tools and technologies.

While Work Package 6 has seen additional delays due to the pandemic and its impact on recruitment processes (e.g., finding a software developer), the team has continued its work on its main outreach, research, and development goals and successfully published two major research and scoping reports.

Outreach Activities & Community Building

Following in the steps of the experimental publishing workshop with presses and platform and technology providers that has been held in Year 1, the work package replicated the previous event's success with two workshops on **ReUsing Sources (Texts & Data)**, focusing on aspects of experimental publishing and reuse.

Organised by Coventry University in June 2021, these two workshops invited a broader group of scholars, makers, and publishers from Goldsmiths, University of London, TiB Hannover, Threshold Editions, IT University of Copenhagen, Hackerspace Rancho Electrónico, Pontificia Universidad Javeriana Bogotá, Universidad Iberoamericana Ciudad de México, Archives Portal Europe, Malmö University, Linnaeus University, Royal College of Music, London, Birkbeck, University of London, Mattering Press and Open Humanities Press. Many of the participants have previously been looking to develop practices of engaging with texts and data beyond prevalent conventions and technologically mediated forms of citation and quotation.

Focusing on reusing [texts](#) and [data](#), respectively, two reports reflecting on the outcomes of these workshops ([ReUse: Texts](#); [ReUse: Data](#)) have been published.

Next to these workshops, the work package team has continued to co-develop three pilot projects of experimental books along with the relevant presses and authors:

1. *The POP/Data Book Pilot Project* focuses on data books, working together with Mattering Press and the [Politics of Patents](#) project (Goldsmiths, University of London). It explores how texts and books relate to the archive, and how

digital tools complicate this relationship, while also opening ways to render them more dynamically, and potentially available to open and ongoing interpretations.

2. ***Combinatorial Books: Gathering Flowers***, in collaboration with Open Humanities Press, explores and encourages the revisiting and rewriting of books within the OHP catalogue as a means of generating radical new responses to them. In its first iteration a group of scholars, technologists, and students from the Universidad Iberoamericana Ciudad de México (including [Etelvina Bernal](#), [Sandra Hernández Reyes](#), [Sandra Loyola Guízar](#), Fernanda Rodríguez González, Yareni Monteón López, Deni Garciamoreno, [Nidia Rosales](#), [Xóchitl Arteaga Villamil](#) and Carolina Cuevas), led by Dr [Gabriela Méndez Cota](#) are producing a book-length response to OHP's *The Chernobyl Herbarium*, titled "Ecological Re-writing as Disappropriation: Situated Engagements with the Chernobyl Herbarium".
3. ***X-Sketchbook***, in collaboration with TIB Hannover (Germany), The Bartlett School of Architecture (UCL, London, UK), and Open Book Publishers, will explore the state of the art of experimentation in architectural publishing.
4. ***Citizen Science for Research Libraries—A Guide***, in collaboration with TIB Hannover and the LIBER Citizen Science Working Group, will explore ways to assist research libraries in setting up Citizen Science programs at their institutions.

The WP team has been openly documenting the processes involved in these experiments, in a series of blog posts among other places, reflecting on the process of experimental publishing.

Collaborative Research

Following a slight delay in Year 1 due to the impact the pandemic has had on staff recruitment and availability, WP6 has now successfully published its first three-part research and scoping report. [Books Contain Multitudes: Exploring Experimental Publishing](#) maps and situates experimental book publishing, and provides a typology of experimental books and an overview of technical workflows and tools for experimental publishing. This report will be further developed into an online toolkit in Year 3.

In summer 2021, the team has expanded their scoping efforts and begun work on a second major research report. This report, [Promoting and Nurturing Interactions with Open Access Books. Strategies for Publishers and Authors](#), published in October

2021, combines a literature review outlining developments, opportunities, and inhibitions around interaction with open access books (e.g., open annotation, open peer review, reuse and remix, open social scholarship, and versioning) with an exploration of cultural strategies to foster interaction with books, as well as the tools, technologies and guidelines available to do so.

Infrastructure Development

The work package is currently designing and starting development work on the online toolkit that will be produced in Year 3 of the project. The latter will focus on tools and technologies for experimental publishing, juxtaposing these with examples of experimental books, practices, and guidelines to support experimental publishing. Together with the COPIM developer, the presses and author communities involved in the WP have also started to generate the technical workflows for the experimental book pilot projects they are involved in.

WP7. Archiving and Digital Preservation

This work package is concerned with identifying the key challenges associated with archiving research monographs in all their variation and complexity and to develop new solutions to integrate research monograph archiving and preservation aspects into dissemination workflows.

With a nominal start in May 2020, the work package has experienced compounded delays in staff recruitment due to the impact of the pandemic on institutional processes, and an additional change of staff in the WP's Research Associate position.

Outreach Activities & Community Building

Following on from the successful organization of the WP's first Scoping Workshop in 2020, a report summarizing the event had [subsequently been published on COPIM's Open Documentation Site](#).

Collaborative Research

WP7 has built on the literature review of last year and the new RA has been conducting additional research and will be creating a literature review for their probation. Following last year's report, WP7 conducted additional interviews which will feed into the scoping report (see below for timescales). In addition, the RA has reached out to other organisations and projects and a number of meetings have been arranged. This will enable the work package to ensure that work from other projects and existing infrastructures will not be duplicated, while also helping to disseminate the WP's own research into other projects.

While no finalized research output has been scheduled for Year 1, an initial literature review of existing projects, reports, and academic work on archiving and preservation of digital books is currently being produced. Closely related to that research is the collaboration with OPERAS: a survey led by OPERAS colleagues has been set up, reaching out to all 56 members of the OPERAS community, covering 17 countries. In addition, WP7 is reaching out to more stakeholders to conduct interviews in the following weeks.

Infrastructure Development

In Year 2, the WP team has continued with scoping risks and challenges for preservation, with the aim of creating a draft risk register and prioritisation list of

challenges. This first report will benefit from the insight gained during the work package's first scoping workshop on current best practice, and on possible future developments, in preserving and archiving open access books. As outlined above, the change in staffing has meant additional delays for the completion of this deliverable. With a new staff member now in post, WP7 is planning for the report to be ready for publication by M26.

Additional work in close collaboration with the WP5 team has now begun to explore workflows to link repositories with publishers. The work happening there is to scope issues and timings of manual workflows, looking at automated approaches, linking these with metadata requirements, and exploring additional preservation-specific metadata to be fed back into the development of WP5's Open Dissemination System.

APPENDIX

Overview of progress, Year 2

<i>Activities</i>	<i>Outputs</i>	<i>Month Due</i>	<i>Month Delivered</i>	<i>Reason for delay</i>	<i>Mitigation strategy</i>
Collaborative Research					
WP2. Revenue Infrastructures & Management Platform	Scoping Report (including Use Case Studies)	M6	M13	Impact of COVID-19 on staff recruitment, impact on team workload organization	Re-alignment of team workload and workflows
WP4. Community Governance	Research Report on Indicating models to sustain the governance of the infrastructure as a community-based OA service organisation (D4.2)	M21	M18	Realignment and delay due to change in staffing, Impact of COVID-19 on workflows, reduced availability of staff due to increased care duties	Re-alignment of team workload and workflows
WP4. Community Governance	Research report on best practices for governance of open-source community-owned infrastructures (D4.3)	M24	M27 (expected)		
WP4. Community Governance	Official policies and procedures for self-governance and administrative management	M16	M26	Re-aligned timeline for this deliverable as it needed to line-up with the development of the OBC (WP2)	

	of the community-owned infrastructure (D4.1)				
WP6. Experimental Publishing, Re-use and Impact	Scoping report on experimental book publishing software / platforms and integration	M12	M15	Compounded delay in recruiting researcher-developer	Re-alignment of team workload and workflows
WP6. Experimental Publishing, Re-use and Impact	Report on reuse and engagement	M21	M24		
WP4. Community Governance	Scoping Report on Governance Models	M21	M16	n.a.	
Infrastructure Development					
WP2. Revenue Infrastructures & Management Platform	Design Specs	M9	M24 <i>(expected by the end of this month)</i>	Switch in external contractor, delay in setting up external contractor with one of the partners	Re-alignment of team workload and workflows
WP2. Revenue Infrastructures & Management Platform	Beta Platform	M16	M27 <i>(expected)</i>		
WP7. Archiving and Digital Preservation	Report on use cases and technical specification	M9	M26	Impact of COVID-19 on staff recruitment, compounded delay in staff recruitment	

WP5. Open Dissemination System	Open source code, open data and api protocols, all hosted on github	M24	M11	n.a.	
Outreach Activities & Community Building					
WP2. Revenue Infrastructures & Management Platform	2x Stakeholder Workshop	M17 & M19	M26 & M27	Switch in external contractor, delay in setting up external contractor with one of the partners	Re-structuring of workshop organisation, switch to online-only events
WP6. Experimental Publishing, Re-use and Impact	Workshop on reuse of and engagement with OA publications	M19	2 x M19	n.a.	
WP4. Community Governance	A governance community / collective of representative stakeholders, publishers, and researchers)	M24	M26 (expected)	Needs to line-up with the development of the OBC	
WP5. Building an Open Dissemination System	2x Development workshop	M13 & M19	M18 & M19	n.a.	
Public Engagement					
Project Website		Ongoing		see List of Output as part of the Appendix	
Social Media promotion					
Blog posts and press articles					
Free public events					

Outcomes: performance against Key Performance Indicators (KPI)

For a detailed overview in support of the KPIs, please see section *Outputs*

	Measurable impact	
Set-up phase	Governance body and management arrangements established	✓
Revenue Infrastructure and management platform	Key stakeholders engaged (to include publishers, knowledge managers and librarians) (Y1)	✓
	160 libraries enrolled to the CL funding platform (Y3)	
	£150,00 revenue generated by the platform (Y3)	
	Technical methods for the robust archiving of complex digital books (Y3)	<i>in development</i>
	Outreach program to the international library community developed (Y1)	✓
	Finalised model for community-owned OA revenue programme for books (Y2)	<i>in development</i>
	Launch of the ScholarLed revenue platform pilot (Y3)	
Community Building	250+ libraries and publishers internationally briefed about COPIM (Y3)	200+ (Y2)
	Governing body for digital infrastructure & assets (Y2)	<i>in development</i>

	2 non-OA publishers migrate their economic models to OA hospitable versions (Y3)	✓
	3 experimental publications with the COPIM infrastructure (Y3)	<i>in development</i>
	On-line toolkit for booting up and running an OA press (Y3)	
Dissemination Activities	Project website established (Y1)	✓
	1m online interactions (through the project website, twitter and blog posts) (Y3)	+630k reached (Y2)
	Presenting the results of COPIM research at 30 international publishing, library and HSS research conferences	✓ <i>continuing</i>
	26 stakeholder workshops (Y3)	17 (Y2)
	3 international conferences (Y3)	
	5 ScholarLed member presses Implementing the Open Dissemination System workflow (Y3)	<i>work in progress</i>
	Manual on professionalising a small scholar-led press (Y2)	
	5,000+ members of public have been informed of COPIM and OA Books (Y3)	✓ <i>continuing</i>

List of Outreach Activities & Outputs

COPIM Publications in external sources (research output, discussion of COPIM in news & blogs etc.)

☞ For a detailed list of research output in external outlets published by COPIM staff, please see our Zotero [Collection 'on COPIM'](#)

Notable examples from 2020/2021 include:

Adema, J., & Moore, S. A. (2021). Scaling Small; Or How to Envision New Relationalities for Knowledge Production. *Westminster Papers in Communication and Culture*, 16(1), Article 1. <https://doi.org/10.16997/wpcc.918>

Barnes, L., & Bickley, R. (2021, March 31). How to shake up ebook publishing. *Research Professional News*.
<https://www.researchprofessionalnews.com/rr-news-uk-views-of-the-uk-2021-3-how-to-shake-up-ebook-publishing/>

Barnes, S. L. (2020). The Community-Led Open Publication Infrastructures for Monographs (COPIM) project: A transformative open access monograph initiative. *College & Research Libraries News*, 81(10), 4. <https://doi.org/10.5860/crln.81.11.534>

Eve, M. P. (2020, November 6). The future of humanities research work and OA monographs. *Wonkhe*.
<http://wonkhe.com/blogs/the-future-of-humanities-research-work-and-oa-mongraphs/>

Eve, M. P., & Lockett, A. (2021). Communities, Commoning, Open Access and the Humanities: An Interview with Martin Eve. *Westminster Papers in Communication and Culture*, 16(1), Article 1. <https://doi.org/10.16997/wpcc.919>

Kemp, J., & Barnes, L. (2020, October 15). *Infrastructure series: Open Access Books*. FORCE11.
<https://www.force11.org/blog/infrastructure-series-open-access-books>

Steiner, T. (2021). Open Knowledge Infrastructures in Times of the Pandemic: Lessons from the first year of COPIM. *Commonplace*. <https://doi.org/10.21428/6ffd8432.44d61468>

3rd party seminars, workshops, panels with contributions from COPIM members

Type	Contribution title	Event title	Date	Contributor	Abstract & presentation online
Conference - keynote	Experimental Book Publishing	16th Munin Conference on Scholarly Publishing	2021-11-15	Janneke Adema	https://site.uit.no/muninconf/keynote-janneke-adema/
Open Access Week event & UCSB	UCSB Library and the Community-led Open Publication Infrastructures for Monographs (COPIM) Project	Open Access Week @ UCSB	2021-10-25	Eileen Joy & Lidia Uziel	https://www.library.ucsb.edu/events-exhibitions/open-knowledge
Conference Panel	Evaluating New Funding Models for Open Access Books	Charleston Conference 2021	2021-10-19	Lucy Barnes, Judith Fathallah, Lidia Uziel, Martin Eve, Vincent Van Gerven-Oei, Rupert Gatti	https://www.charleston-hub.com/evaluating-new-funding-models-for-open-access-books/
Workshop	Central European University Press and Opening the Future	UKSG webinar - Making Open Access Book Funding Work Fairly	2021-10-15	Martin Eve	https://www.uksg.org/event/free-uksg-webinar-making-open-access-book-funding-work-fairly-central-european-university
Conference - presentation	Panel: New Directions in Open Access	SSP 2021 Seminar: New Directions in	2021-10-05	Martin Eve	https://customer.sspnet.org/ssp/Events/ssp/EventDisplayNPGF.aspx?EventKey=SEM21FALL

Scholarly Publishing					
Conference - Presentation	"Scaling Small" für ein gemeinnütziges scholar-led Open Access-Ökosystem für Buchpublikationen	OA Tage 2021	2021-09-28	Tobias Steiner	https://sched.co/kuF8 Presentation slides: 10.5281/zenodo.5532903
Conference - Presentation	New systems for Open Access books: an innovative platform from COPIM	OEGlobal 2021	2021-09-27	Judith Fathallah	Abstract & presentation: 10.5281/zenodo.5509824
Conference - Poster Talk	The COPIM project platform: New infrastructures for Open Access books	OASPA 2021	2021-09-23	Judith Fathallah	Abstract & poster: 10.5281/zenodo.5509793
Conference - Lightning Talk	Introducing Thoth: Open Metadata and Four Use-Cases for OA Books	Open Science Fair 2021	2021-09-23	Tom Mosterd	https://www.opensciencefair.eu/2021/programme
Conference - Lightning Talk	'Opening the Future' - a new collaborative library funding model for OA monographs	Open Science Fair 2021	2021-09-23	Martin Eve / Tom Grady	https://www.opensciencefair.eu/2021/programme
Conference - Poster	'Opening the Future' – a new funding model for open-access monographs. Introducing an innovative approach to publishing OA books through library membership funding	OASPA 2021 Conference	2021-09-22	Martin Eve	https://oaspa.org/oaspa-2021-conference-program/ Poster: https://oaspa.org/wp-content/uploads/2021/09/Eve-OASPA21-Opening-the-Future-Poster-Session-Prof-Martin-Eve-1.pdf
Conference - Panel	Panel 1: Open Infrastructure and Collaboration	OASPA 2021 Conference	2021-09-21	Janneke Adema	https://oaspa.org/oaspa-2021-conference-program/

Conference - Panel	Session 2: What about books?	ALPSP Annual Conference 2021	2021-09-15	Martin Eve	Programme: https://alpsp.cventevents.com/event/5a76c9bf-384d-433c-8719-104efee5daff/websitePage:cba4999b-30cc-48f6-8642-a3c51a247927
Conference - Panel	'Scaling Small': A strategy to support scholar-led, open access book publishing	OAI12	2021-09-06	Lucy Barnes	Video recording: https://vimeo.com/588295747 from 2:05
Conference	The emerging landscape in collective library funding for OA monographs	Jisc and CNI leaders conference	2021-07-09	Martin Eve	https://www.jisc.ac.uk/events/jisc-and-cni-conference-07-jul-2021/programme
Workshop	Post-publishing as a critical praxis	Publication workshop Exploring (for) Publicness @ Royal Danish Academy of Fine Arts	2021-08-24	Janneke Adema	
Webinar	Focus on Experimental Publishing	BooOkmArks: Experimental Publishing	2021-06-29	Janneke Adema, Marcell Mars, and Tobias Steiner	Blog post and video recording
Conference - Panel	Enabling Library Support for Open Access Books	LIBER 2021 conference	2021-06-25	Lucy Barnes	slides: 10.5281/zenodo.5081855 video at: https://youtu.be/jOTY8SkIEuc?t=3772

Conference - Panel	A shared future for open access books – exploring partnerships between libraries and publishers	LIBER 2021 conference	2021-06-25	Martin Eve	slides: 10.5281/zenodo.5039961 video at https://youtu.be/p-ZfUj16qS8?t=1378
Conference - Presentation	New infrastructures for open access monographs: the COPIM project platform.	IASC 2021	2021-06-11	Judith Fathallah	10.5281/zenodo.5509300
Workshop - Panel	OA book publishers' business models	OPERAS-P & OASPA workshop	2021-05-19	Martin Eve	https://oapen.hypotheses.org/197
Workshop - Panel	OA book publishers' business models	OPERAS-P & OASPA workshop	2021-05-10	Rupert Gatti, Vincent W.J. van Gerven Oei	https://oapen.hypotheses.org/197
Webinar	<i>Participant</i>	EOSC-Pillar: Integrating Community Services	2021-05-17	Tobias Steiner	
Conference- Panel	'Opening the Future' - a new funding model for open-access monographs	Library Publishing Forum	2021-05-13	Martin Eve	https://librarypublishing.org/panel-th1b/
Conference - Presentation	International, Scholar-led Collaboration around Community-led Open Publishing Infrastructures for Monographs	Digital Initiatives Symposium 2021	2021-04-27	Eileen Joy & Janneke Adema	digital.sandiego.edu/symposium/2021/2021/12
Conference - Presentation	A new funding model for open-access monographs: introducing a novel approach to publishing OA books through library membership funding	UKSG Annual Conference 2021	2021-04-13	Martin Eve	Slides & recording: 10.48448/j2r2-f575

Conference-Panel	'Opening the Future' - a new funding model for open-access monographs	RLUK21 conference	2021-03-17	Martin Eve	pheedloop.com/rluk21/site/sessions/?id=SESY20FDZFMIBZZRW
Conference-Panel	'Opening the Future' - a new funding model for open-access monographs	ER&L 2021 Virtual Event	2021-03-11	Martin Eve & Sharla Lair	pheedloop.com/erl2021/site/sessions/?id=SESHTQ61UCLDVJN93
Workshop		RLUK/AHRC Town Hall: The role of academic and research libraries as active participants and leaders in the production of scholarly research	2021-03-03	Gareth Cole	www.rluk.ac.uk/recap-ahrc-town-hall-meetings/
Workshop - Panel	Future of scholarly writing in SSH	OPERAS-P Workshop "The Future of Scholarly Communication"	2021-02-26	Janneke Adema	operas.hypotheses.org/4512#future
Workshop - Panel	<i>Panel chair</i>	OASPA Webinar: Open Book Metadata	2021-02-24	Vincent W.J. van Gerven Oei	oaspa.org/webinar-open-book-metadata/
Presentation - Conference	Funding Open Access Books	Researcher to Reader.	2021-02-24	Martin Eve	eprints.bbk.ac.uk/id/eprint/43174/
Presentation - Workshop	Innovative Business Models for OA books	The Future of Scholarly Communication –	2021-02-24	Agata Morka & Rupert Gatti	10.5281/zenodo.4569833

		OPERAS-P Workshop			
Presentation - Working Group	COPIM - An update	UC Office of Scholarly Communication working group meeting	2020-12-08	Sherri L. Barnes	10.5281/zenodo.4314311
Presentation - Conference	A new funding model for open-access monographs	15th Munin Conference on Scholarly Publishing	2020-11-19	Martin Eve	10.7557/5.5611
Presentation - Conference (90 participants)	Negotiations between libraries and Open Access books: Towards a more open future?	Northern Collaboration 2020 Conference	2020-11-18	Joe Deville & Elli Gerakopoulou	10.5281/zenodo.4292548
Presentation - Webinar		Sussex Humanities Lab — Open Academic Publishing: what it is, what it could become and why that matters.	2020-11-09	Lucy Barnes	www.sussex.ac.uk/staff/newsandevents/eventscalendar/?id=53532
Presentation - Conference Panel	Panel discussion “Why no one is reading your OA publications”	Falling Walls conference, Berlin	2020-11-02	Agata Morka	falling-walls.com/event/why-no-one-is-reading-your-open-access-publications/
Presentation - Conference Panel	Every Trick in the Book: How to Make OA Books Work for European Libraries	OPERAS2020: Panel: “A Plan S for Academic	2020-11-02	Agata Morka	www.operas2020.com/speakers-workshops

		Books: Voices from the Community"			
Presentation - Conference Panel	Opening the Future: A New Open Access Model for Books	OPERAS2020: Panel: "A Plan S for Academic Books: Voices from the Community"	2020-11-02	Martin Eve	www.operas2020.com/speakers-workshops
Presentation - Conference	tbd. (COPIM & punctum)	Statewide California Electronic Library Consortium (SCELC) event	2020-10-30	Joe Deville & Eileen Joy	10.5281/zenodo.4266476
Presentation - Webinar	Community Governance Explored - Lessons for COPIM on how to scale small	COAR, EIFL & OpenAIRE webinar "Equity and inclusion: community-owned infrastructures for open science"	2020-10-21	Janneke Adema	www.openaire.eu/item/equity-and-inclusion-community-owned-infrastructures-for-open-science 10.5281/zenodo.4267793
Presentation - Panel		The British Library conference: Inequities in Scholarly Communications	2020-10-19	Janneke Adema	blogs.bl.uk/living-knowledge/2020/10/open-and-engaged-conference-2020-inequities-in-scholarly-communications.html 10.23636/1227

Presentation - Workshop	Network building of scholar-led publishers	Transfer workshop "Sustainable Financing without APCs", project "Innovative Open Access in Small Sciences", Alexander von Humboldt Institute for Internet and Society (HIIG)	2020-10-06 & 07	Sam Moore	www.hiig.de/events/workshops-sustainable-open-access-de/
Presentation - Panel	'Scaling small': new forms of collectivity for resilient open access	OASPA conference (online), panel 'Funding and business mechanisms for equitable open access'	2020-09-21	Sam Moore	Video: https://youtu.be/hXEoGGPQYWk Slides: https://oaspa.org/wp-content/uploads/2020/10/Moore-OASPA-presentation.pptx
Organizing committee		OPERAS Conference: Knowledge Infrastructures and Digital Governance. History, Challenges, Practices	2020-09-07 & 08	Janneke Adema Sherri Barnes	www.c2dh.uni.lu/thinkering/knowledge-infrastructures-and-digital-governance-workshop

Presentation - Workshop	The COPIM Project: exploring community governance for open publishing infrastructures	OPERAS Conference: Knowledge Infrastructures and Digital Governance. History, Challenges, Practices	2020-09-07	Sam Moore	
Presentation - Special Interest Group	Introduction to the COPIM project	CrossRef monthly call	2020-09-03	Lucy Barnes	tbc
Presentation	COPIM Open Documentation	PubPub Users Summit	2020-09-15	Dan Rudmann	
Panel	Open Publishers + Libraries: Resilient Pathways for Research	Open Publishing Fest	2020-05-28	Dan Rudmann	https://youtu.be/cn-0ezXrFxo
Panel presentation	OA Books and Business Models	Redux Online Webinar 3: Open Access: Sales - Open Access business models for books and journals	2020-06-25	Martin Eve	10.33774/coe-2020-0511b

Conference keynote	A conversation on the relationalities of care in Open Access Publishing	OER20: Care at the Core	2020-04-02	Janneke Adema, Joe Deville	https://copim.pubpub.org/pub/oer20-keynote-adema-deville-relationalities-of-care-in-open-access-publishing/
Webinar presentation	Developments in OA books	EIFL Webinar	2020-04-02	Lucy Barnes	www.eifl.net/events/webinar-developments-oa-books
Lightning Talk	Introduction to the COPIM project	Electronic Resources & Libraries	2020-03-10	Dan Rudmann	www.electroniclibrarian.org/past-conferences-2020
Panel	Radical Futures: realising community owned infrastructures	OASPA 2019	2019-09-	Joe Deville, Rupert Gatti	10.21428/785a6451.e4002472
Presentation - Poster	The ScholarLed Consortium - OA Monograph Publishing and 'Scaling Small'	14th Munin conference on Scholarly Publishing 2019. UiT The Arctic University of Norway.	2019-11-27	Lucy Barnes, Rupert Gatti	10.7557/5.4914 (abstract & presentation) Video here .

Misc. external outreach activities w. COPIM members

- [Open Publishing Fest](#): COPIM participation in a variety of sessions
- Podcast “Open Science Talks”: No 27 (2020) Publishing Open Access Monographs, with Lucy Barnes ([link](#))
- OLH [OpenInsights interview with Gary Hall and Janneke Adema](#), Jan 13, 2020
- Mention in [UCSB library newsletter](#) Oct 14, 2020
- “Preserving Open Access Books: The COPIM Project” [DPC Blog](#)
- Signatory of the UK Association of Learning Technology’s [Open Covid Pledge for Education](#)
- COPIM [on Wikipedia](#)
- COPIM [response to the UKRI OA policy](#)

COPIM workshops & stakeholders

from copim.ac.uk/events/

Workshop	Date	external	participants	internal
COPIM Publishers Workshop	2020-03-16	University of Michigan, Lyrasis, UCL Press, University of North Carolina Press, Arc Humanities Press, Jisc NBK, African Minds, Westminster University Press, MIT Press, Florence University Press, Lexis Compagnia Editoriale, Stockholm University Press, Stockholm University Library, University of Wales Press, MIT Knowledge Futures Group/pubpub	15	Open Book Publishers, Lancaster University, Mattering Press, punctum books, Coventry University, OAPEN, Jisc, DPC, Open Library of the Humanities, Birkbeck, University of London, Open Humanities Press,
COPIM Community Governance Workshop	2020-05-01	University of California, Santa Barbara, UCSB Library, AmeliCA, University of Toronto, Michigan State University, University of Virginia, SPARC, Anglia Ruskin University, Edinburgh Napier University, California Digital Library, Curtin University, éditions science et bien commun, University of Cape Town, Educopia Institute	14	Coventry University, Lancaster University, Mattering Press, punctum books
COPIM Libraries & Publishers US Workshop, May 13, 2020	2020-05-13	University of Michigan, Michigan Publishing, Lyrasis, Middlebury College Library, Wayne State University Libraries, University of Iowa, Iowa State University, Kelvin Smith Library, University of Minnesota, Macalester College, Case Western Reserve University, Oberlin College	12	Open Book Publishers, Lancaster University, Mattering Press, punctum books, Coventry University, Open Library of the Humanities, Birkbeck, University of London, Open Humanities Press

COPIM Libraries & Publishers UK Workshop	2020-06-16	Southern Universities Purchasing Consortium, University of York, University of Cambridge, University of Sussex, University of Salford, Maynooth University, Birkbeck, University of London, Loughborough University	8	Lancaster University, Mattering Press, Trinity College, University of Cambridge, Open Book Publishers, punctum books, Coventry University, Jisc
COPIM Experimental Publishing Workshop	2020-07-01	Scalar, UMP/Manifold, thresholds, Hybrid Publishing Group, Vega, Meson Press, Goldsmiths Press, PubPub, UCL Press, University of Westminster Press, Coko Foundation	11	Mattering Press, Open Humanities Press, Open Book Publishers, punctum books, Coventry University
COPIM Libraries & Publishers US Workshop	2020-07-07	University of Redlands, Denver University, University of Texas Austin & the University of Texas System Digital Library, University of Rhode Island, Virginia Tech University, Emory University, North Carolina State University, George Washington University, University of Pennsylvania, Temple University	11	Lancaster University, Mattering Press, Trinity College, University of Cambridge, Open Book Publishers, punctum books, University of California Santa Barbara, Coventry University, Jisc
Joint COPIM, open-access.network and OPERAS-P workshop, Germany	2020-07-30	OPERAS-P, open.access.network, Niedersächsische Staats- und Universitätsbibliothek Göttingen, Staats- und Universitätsbibliothek Hamburg, Karlsruher Institut für Technologie (KIT), Universität Regensburg, Forschungszentrum Jülich, Helmholtz-Gemeinschaft, Technische Informationsbibliothek (TIB), Technische Universität Berlin, Universität Duisburg-Essen, Max-Planck-Institut für Wissenschaftsgeschichte, Sächsische Landesbibliothek (SLUB), Open-Access-Büro Berlin, Universität Konstanz	15	Open Book Publishers, Coventry University, Lancaster University, Jisc
Joint COPIM and OPERAS-P Poland workshop	2020-08-13	OPERAS-P, Jagiellonian University in Cracow, Nicolaus Copernicus University in Torun, University of Lodz, Lodz University of Technology, Gdansk University of Technology, University of Gdansk, Adam Mickiewicz University in Poznan, Institute of Literary Research of	10	Open Book Publishers, Coventry University, Lancaster University, Jisc

the Polish Academy of Sciences, Scientific
Information Centre and Academic Library in Katowice

Joint COPIM and DPC workshop	2020- 09-16	Archaeology Data Service, Cambridge University Library, Educopia, Internet Archive, Library of Congress, Los Alamos National Lab, Portico	7	Loughborough University, Open Book Publishers, the British Library, DPC, Jisc
Joint COPIM and OPERAS-P Scandinavia workshop	2020- 09-30	OPERAS-P, Danish Royal Library, Stockholm University Library, University of Copenhagen Library, University Library of Tromsø, Haaga-Helia University of Applied Sciences, Laurea University of Applied Sciences, Tampere University Library, University of Roskilde, Uppsala University Library, Lund University Library, National Library of Finland, Turku University Library	13	Open Book Publishers, Coventry University, Lancaster University, Birkbeck, University of London, Jisc
Joint COPIM and OPERAS-P South Europe workshop	2020- 10-08	OPERAS-P, University of Zagreb, University of Zadar, University of Ljubljana, Slovenian Academy of Science and Arts, University of Patras, National Technical University of Athens, University of Thessaloniki	8	Open Book Publishers, Coventry University, Lancaster University, Birkbeck, University of London, Jisc
ReUsing Sources 1 – Texts: Foraging, Slashing, Weeding, Composting, Assembling, Caring for Texts	2021- 06-03	Goldsmiths, University of London; TiB Hannover; Threshold Editions; IT University of Copenhagen; Hackerspace Rancho Electrónico; Pontificia Universidad Javeriana Bogotá; Universidad Iberoamericana Ciudad de México (plus other institutional representatives who have chosen to remain anonymous in project outputs).	13	Coventry University, Mattering Press, Open Humanities Press
ReUsing Sources 2 – Data: Translating, Performing,	2021- 06-17	Goldsmiths, University of London; TiB Hannover; Archives Portal Europe, Malmö University; Linnaeus University; Royal College of Music, London; Birkbeck, University of London.	11	Coventry University, Mattering Press, Open Humanities Pres

COPIM: List of Publications

Type	Title	Date publ.	Link / DOI	License	Usage*
Scoping Reports					
Deliverable - Report WP5	WP5 Scoping Report: Building an Open Dissemination System	2020-07-27, updated 2021-04-21	10.5281/zenodo.3961563 and 10.21428/785a6451.939caeab	CC BY 4.0	Zenodo: 652 views / 338 DL PubPub: 572 views / 17 DL
Deliverable - Report WP3	COPIM – Revenue Models for Open Access Monographs 2020	2020-09-07	10.5281/zenodo.4011835	CC BY 4.0	Zenodo: 3,284 views / 1,715 DL
Deliverable - Report WP6	Books Contain Multitudes: Exploring Experimental Publishing	2021-01-31	10.5281/zenodo.4471571 and 10.21428/785a6451.933fa904	CC BY 4.0	Zenodo: 787 views / 311 DL PubPub: 310 views / 23 DL
Deliverable - Report WP6	Promoting and Nurturing Interactions with Open Access Books: Strategies for Publishers and Authors	2021-10-19	10.5281/zenodo.5572413 and PubPub link	CC BY 4.0	Zenodo: 277 views / 97 DL
Deliverable - Report WP4	Exploring Models for Community Governance	2021-05-06	10.5281/zenodo.4730687 and 10.21428/785a6451.0304a2a8	CC BY 4.0	Zenodo: 277 views / 97 DL

					PubPub: 483 views / 10 DL
Deliverable - Report WP2	The promise of collaboration: collective funding models and the integration of Open Access books into libraries	2021-05-04	10.5281/zenodo.4501511	CC BY 4.0	Zenodo: 1,374 views / 662 DL
Deliverable - Report WP2	Academic Libraries and Open Access Books in Europe: a Landscape Study	2021-01-19	10.5281/zenodo.4483773 and 10.21428/785a6451.f710a335	CC BY 4.0	Zenodo: 3,086 views / 1,808 DL PubPub: 111 views / 5 DL

Workshop Reports

Milestone - Workshop Report WP2	COPIM Publishers Workshop: Collaborative Library Support and Archival Processes	2020-04-15	10.21428/785a6451.93d20bd2	CC BY 4.0	PubPub: 121 views
Deliverable - Workshop Report WP2	WP2 Workshop in Partnership with University of Michigan, MPublishing, and Lyris	2020-05-25	10.21428/785a6451.c6005f3a	CC BY 4.0	PubPub: 97 views
Milestone - Workshop Report WP3	COPIM Publishers Workshop: Cost and Revenue Models	2020-04-15	10.21428/785a6451.c77576a6	CC BY 4.0	PubPub: 187 views
Milestone - Workshop Report WP4	COPIM Community Governance Workshop Recap: Part 1	2020-05-25	10.21428/785a6451.6a3a2ca2	CC BY 4.0	PubPub: 186 views
Milestone - Workshop Report WP4	COPIM Community Governance Workshop Recap: Part 2 - On the Meaning of Community	2020-06-15	10.21428/785a6451.dfe7dc68	CC BY 4.0	PubPub: 241 views

Milestone - Workshop Report WP5	COPIM Publishers Workshop: Discovery & dissemination — the present	2020-04-15	10.21428/785a6451.3cc46720	CC BY 4.0	PubPub: 131 views
Milestone - Workshop Report WP5	COPIM Publishers Workshop: Discovery & dissemination — the future	2020-04-15	10.21428/785a6451.4657252e	CC BY 4.0	PubPub: 95 views
Workshop Report WP2	Library Support for OA Books Workshop: the German perspective.	2020-08-06	10.21428/785a6451.91ef1b29	CC BY 4.0	PubPub: 307 views
Workshop Report WP2	Library Support for OA Books Workshop: the Polish perspective.	2020-09-23	10.21428/785a6451.5af83711	CC BY 4.0	PubPub: 189 views
Workshop Report WP2	Library Support for OA Books Workshop: the Scandinavian perspective.	2020-10-06	10.21428/785a6451.ebae812c	CC BY 4.0	PubPub: 195 views
Workshop Report WP2	Library Support for OA Books Workshop: the South European perspective.	2020-10-12	10.21428/785a6451.c1cd7059	CC BY 4.0	PubPub: 201 views
Deliverable - Workshop Report WP2	Sketching a direction of travel: An update from Work Package 2	2020-10-23	10.21428/785a6451.a0537c6d	CC BY 4.0	PubPub: 260 views
Milestone - Workshop Report WP6	COPIM Experimental Publishing Workshop - Part 1: Inhibitions Towards Experimental Book Publishing	2020-10-19	10.21428/785a6451.8265afcb	CC BY 4.0	PubPub: 186 views
Milestone - Workshop Report WP6	COPIM Experimental Publishing Workshop - Part 2: Promoting Experimental Publishing	2020-10-20	10.21428/785a6451.a21d57b6	CC BY 4.0	PubPub: 157 views
Milestone - Workshop Report WP6	Tentative Florilegium: Experiments & Recipes for ReWriting Books	2021-09-30	10.21428/785a6451.840fc9e5	CC BY 4.0	PubPub: 51 views

Milestone - Workshop Report WP6	Data Books & Data Bodies: Performing Archival Data differently	2021-10-11	10.21428/785a6451.fb44bb05	CC BY 4.0	PubPub: <i>tbd</i>
Milestone - Workshop Report WP7	Joint COPIM and DPC workshop: The Technical Issues of Archiving Third-Party Material in OA Books	2020-10-27	10.21428/785a6451.0e666456	CC BY 4.0	PubPub: 176 views
Blog posts					
Outreach	Moving a workshop online: what works and what doesn't?	2020-03-26	10.21428/785a6451.370f67b5	CC BY 4.0	PubPub: 484 views
Outreach	Using PubPub for scholarly output: Import, Collaboration, Citations, and Zotero	2020-07-26	10.21428/785a6451.f72bab42	CC BY 4.0	PubPub: 497 views
Outreach	An Introduction to our Documentation Site	2019-11-04	10.21428/785a6451.87ca0615	CC BY 4.0	PubPub: 168 views
Outreach	COPIM FAQ	2020-01-15	10.21428/785a6451.13be85b7	CC BY 4.0	PubPub: 402 views
Outreach / WP1	COPIM Kicks Off in Coventry	2020-01-16	10.21428/785a6451.105afcdb	CC BY 4.0	PubPub: 126 views
Outreach / WP1	COPIM Newsflash! Project Update March 2020	2020-03-31	10.21428/785a6451.b16436b6	CC BY 4.0	PubPub: 220 views
Outreach / WP1	COPIM Newsflash! #2 Project Update June 2020	2020-06-22	10.21428/785a6451.cd14eb38	CC BY 4.0	PubPub: 240 views
Outreach / WP1	One year of COPIM! The story so far	2020-11-11	10.21428/785a6451.7a4a30ae	CC BY 4.0	PubPub: 343 views
Outreach / WP1	COPIM Newsflash! #3 Project Update May 2021	2021-06-09	10.21428/785a6451.7400a855	CC BY 4.0	PubPub: 100 views

WP1	COPIM's response to the UKRI OA Consultation	2020-06-05	10.21428/785a6451.9e58d1d8	CC BY 4.0	PubPub: 246 views
WP1	COPIM response to new UKRI Open Access Policy	2021-08-11	10.21428/785a6451.7bb97ac2	CC BY 4.0	PubPub: 436 views
WP2	Open access publishing and the promise of collaboration	2021-05-04	10.21428/785a6451.5ba5502c	CC BY 4.0	PubPub: 270 views
WP2	Collective Governance: an Update from The Open Book Collective Work Package	2021-10-11	10.21428/785a6451.f47c5c51	CC BY 4.0	PubPub: <i>tbd</i>
WP3	Changed priorities ahead: scholarly publishing and Covid-19	2020-08-17	PubPub link	CC BY 4.0	PubPub: 236 views
WP3	Sharing knowledge in COPIM and beyond	2020-09-17	PubPub link	CC BY 4.0	PubPub: 224 views
WP3	New report released today: Revenue Models for OA Monographs 2020	2020-09-08	PubPub link	CC BY 4.0	PubPub: 679 views
WP3	Open for business! Opening the Future goes live	2021-01-19	PubPub link	CC BY 4.0	PubPub: 264 views
WP3	COPIM releases free code for Open Access project sign up system	2021-01-29	PubPub link	CC BY 4.0	PubPub: 235 views
WP3	CEU Press announces first OA book funded entirely by our library membership programme	2021-05-05	PubPub link	CC BY 4.0	PubPub: 106 views
WP3	Jisc subscriptions manager is now live for Opening the Future and CEU Press	2021-05-13	PubPub link	CC BY 4.0	PubPub: 127 views
WP3	Liverpool University Press join Opening the Future	2021-06-08	PubPub link	CC BY 4.0	PubPub: 332 views

WP3	COPIM/CEU Press Opening the Future initiative announced as a finalist in ALPSP Award for Innovation in Publishing	2021-06-23	PubPub link	CC BY 4.0	PubPub: 105 views
WP3	Opening the Future programme hits funding target for 2nd and 3rd books	2021-08-16	PubPub link	CC BY 4.0	PubPub: 25 views
WP3	Jisc licence subscriptions manager goes live for Liverpool University Press' Opening the Future	2021-08-23	PubPub link	CC BY 4.0	PubPub: 71 views
WP3	Liverpool University Press announces first sign up to their Opening the Future OA books programme	2021-09-10	PubPub link	CC BY 4.0	PubPub: 112 views
WP3	Librarian spotlight on KU Leuven	2021-10-13	PubPub link	CC BY 4.0	PubPub: <i>tbd</i>
WP4	Community Governance Explored	2020-04-27	10.21428/785a6451.20a5c646	CC BY 4.0	PubPub: 302 views
WP4	New WP4 report: Exploring Models for Community Governance	2021-05-06	PubPub link	CC BY 4.0	PubPub: 132 views
WP4	Co-designing a Code of Conduct	2021-06-01	PubPub link	CC BY 4.0	PubPub: 59 views
WP4 & all WPs	COPIM Code of Conduct	2021-06-01	PubPub link	CC BY 4.0	PubPub: 146 views
WP5	Open Metadata in Thoth	2020-11-24	10.21428/785a6451.eb0d86e8	CC BY 4.0	PubPub: 465 views
WP5	Prioritizing Metadata Output Formats for Thoth	2021-02-03	PubPub link	CC BY 4.0	PubPub: 472 views

WP6	Thinking Experimental Publishing: introducing COPIM Work Package 6	2020-07-23	PubPub link	CC BY 4.0	PubPub: 262 views
WP6	On the same page/screen: Making books, making collectives.	2021-01-11	10.21428/785a6451.ea946f58	CC BY 4.0	PubPub: 165 views
WP6	New COPIM WP6 Report Released Today: "Books Contain Multitudes: Exploring Experimental Publishing"	2021-02-01	PubPub link	CC BY 4.0	PubPub: 409 views
WP6	Combinatorial Books - Gathering Flowers - Part I	2021-04-28	10.21428/785a6451.d3ec6cc	CC BY 4.0	PubPub: 640 views
WP6	Combinatorial Books - Gathering Flowers - Part II	2021-04-29	10.21428/785a6451.5be753b2	CC BY 4.0	PubPub: 115 views
WP6	Combinatorial Books - Gathering Flowers - Part III	2021-04-29	10.21428/785a6451.6804756b	CC BY 4.0	PubPub: 259 views
WP6	Experimental Publishing collaboration with POP, the Politics of Patents project	2021-07-01	10.21428/785a6451.1ea4b573	CC BY 4.0	PubPub: 81 views
WP6	New COPIM Experimental Publishing report focusing on Interaction and Reuse published today	2021-10-19	PubPub link	CC BY SA 4.0	PubPub: <i>tbd</i>

Code, datasets and technical specifications

Code repository	Thoth: Open Metadata System	github.com/thoth-pub	code: Apache 2.0 metadata: CC0
Wiki	Overview of technical specifications and key stakeholders in the Open Access Book Dissemination ecosystem	github.com/thoth-pub/thoth/wiki	CC BY 4.0

Code repository	Open Access Membership Signup	github.com/BirkbeckCTP/otf-signup	GNU Affero General Public License v3.0
Code repository	Open Book Collective	github.com/COPIM/open-book-collective	code: Apache 2.0 text: CC BY 4.0

Usage metrics collected on Oct 01, 2021, via PubPub impact tool, and Zenodo metadata.

Risk Register (updated to reflect Year 2 developments)

Risk	Probability & Impact	Mitigation
Management Risks		
Key staff leaves the project	M/M	Recruit new staff from the extended community established around the consortium. Principles for the storing, sharing, and archiving of ongoing work will be agreed at the beginning of the project and all activities will be thoroughly documented.
Inability to recruit qualified personnel	H/H	Project partners will widely advertise these positions in their networks. Potentially suitable candidates have already been identified and will be encouraged to apply.
Failure of partner to complete tasks	L/H	Depending on the situation leading to the partner not performing to expectations, alternative remedial actions will vary from other partners offering assistance, initiation of project task forces, and in the extreme case replacing the partner. Provisions for dealing with non-performing contractors and penalties for non-compliance with obligations will be governed by the consortium agreement.
Lack of coordination between work packages. The complexity of project causes inter-dependencies between work packages to jam	M/M	Dedicated full-time Project Manager (PM). Dedicated WP to coordinate activities, led by the Management Team (MT) (PI and Co-I). Regular management meetings across all 3 governance tiers: (1) bi-weekly meetings of the MT with the PM; (2) monthly meetings between MT, PM, and WP leaders; (3) monthly meetings of the Senior Management Committee (SMC); (4) yearly meetings with the International Advisory Board. Effective lines of communication (Slack, Skype). Use of project management tools. If necessary, frequency of meetings will be increased to quickly address project drift. Swift corrective measures will be recorded and actioned to ensure progress is maintained and will be reviewed at SMC Meetings.

Implementation Risks		
Insufficient number of libraries subscribing to the COPIM consortial library funding platform	M/H	Additional incentives in the form of expert advice for new members and additional workshops to promote the new funding platform will be offered. Identification and development of new channels to maximize communication and acceptance. Funding from the Arcadia Trust will account for the extra costs of such activities.
Competition from well-established consortial library funding models for OA books (e.g. Knowledge Unlatched)	M/M	i) Early engagement with libraries and presses through requirements collection; (ii) extensive outreach programme to present the COPIM infrastructure and its unique features (deploying the Advisory Board); (iii) analysis of competition to improve COPIM's unique selling points; (iv) support from Coventry University's Enterprise team on business development for COPIM.
Dissemination Pilot: Publishers reluctant to share information about their business models delaying requirement collection.	H/H	Tap into the network of presses established by COPIM publishing partners. Clear signalling in advance that support from business modellers is conditional on internal disclosure of finances. Upfront negotiation on degree of disclosure that is permissible in final outputs. Increase outreach activities to establish trust across scholar-led presses.
Problems engaging with the appropriate stakeholder representatives (libraries, publishers and scholars) to establish a governance community	M/H	i) The COPIM consortium includes all major stakeholders in academic book publishing that will constitute the kernel of the governance community; (ii) project activities are designed to tap into the partners extensive networks and contacts to attract key stakeholders; (iii) work with the international Advisory Board to enrol key stakeholders. Review of outreach activities to customise them to feedback from participants.
Failure to scale up the COPIM consortial library model across presses and libraries to ensure sustainability	M/H	Existing partners in WP are well positioned to facilitate well designed project and adoption by broader community. Engagement and compatibility of system design with existing and robust community of open source software, such as that for Linked Open Datasets, will also mitigate this risk.
Open Dissemination System: inability to grow a community around the metadata standards developed by COPIM	M/M	The COPIM consortium constitutes the core of a growing OA ecosystem for monographs. The dissemination and advocacy workshops and community building activities are designed to found a dynamic and mutually

		supportive digital preservation community to adopt and disseminate the metadata standards of the ODS.
Open Archiving System: inability to grow a community of repositories and publishers around the standards and protocols developed by COPIM.	M/H	The community of libraries and publishers developed through the whole COPIM project will facilitate broader community adoption. Creation of detailed user case studies and associated technical specification for effective archiving of alternative content types will ensure appropriate processes are developed for well-defined content. Involvement and expertise of existing digital archiving community within the Digital Archiving Coalition will also be mitigate this risk. Expert advice and support offered during the outreach programme will help overcome technical barriers to community growth.
Cultural barriers too high to overcome where it concerns experimental book publishing and reuse of and engagement with OA books	M/H	Dedicated WP to address user needs and requirements. Increase of outreach activities to authors and OA book publishers. Development of an online resource on experimental publishing and a reuse and engagement strategy for OA presses and scholars
Technical Risks		
Software development experiences growth of requirements	M/H	(i) Early information on user requirements' fulfilment; (ii) systematic prioritisation of requirements; (iii) impact assessment of new requirements proposed by the users and decision whether to implement it or not.
Quality deviations in infrastructure components	M/H	(i) Notification of WP leader, PM and SMC, (ii) Analysis of impact on project. The SMC decides on and initiates contingency plan depending on severity of deviation & impact on project.
Consortial library platform: Presses encounter difficulties in revising existing business models or meeting technical requirements delaying implementation or dropping out of the pilot	M/H	Possible delays to implementation will be addressed by (i) systematic review of technical requirements during project meetings; (ii) establishment of feedback mechanisms for presses to review requirements; (iii) early identification of a second group of presses that could join the pilot; (iv) recruitment of additional presses through partner networks

Open Dissemination System: Failure to adequately incorporate the technical requirements from across all stakeholders in scholarly dissemination channels (including compliance with future policy, e.g. Plan S)	M/H	Collaborative work with major stakeholders to develop well-documented “use cases”, which will form the basis for the subsequent specification of development objectives. Assignment of suitable “product owners” from appropriate stakeholder groups for each development package. Partners’ commitment to implement the ODS packages developed.
Open Dissemination System: 3rd party discovery and dissemination channels may change their specifications, processes or acceptance criteria. New discovery channels will emerge over time.	H/H	The modular design will ensure that separate code is developed for alternative discovery and dissemination channels, and these can easily be updated or added to as new channels and processes emerge without disrupting the entire platform. Designing and implementing a sustainable revenue stream to cover these ongoing maintenance costs will be conducted in conjunction with WPs 2 & 3.
Open Dissemination System: Without ongoing maintenance, the ODS will progressively become ineffective.		
Technical Debt: Adoption by publishers of processes developed in WPs 5 & 7 may be difficult given the publishers’ existing technical design. Adapting or revising existing process and data management structures may be difficult and/or be undertaken gradually - delaying or preventing adoption.	H/H	The modular design and use of proxy servers allow for components of the systems to be adopted gradually, rather than requiring a wholesale reform of all processes. In addition, the system will be designed to allow either selfhosted systems for publishers or publishers utilising a third-party provider (at least in the interim). Emphasis will be made on creating systems for adoption with new publications - allowing backlist content to be included gradually. Developing processes and documentation for adoption by partner publishers will ensure many of these difficulties are recognised and incorporated into the design and development processes.
Experimental Publishing Pilot: Inability to integrate experimental books into the COPIM infrastructure (particularly WPs 5 & 7).	M/H	(i) Early collection of requirements from experimental books publishers, platforms and software providers to feed into technical specifications of other work packages; (ii) meticulous design of archiving and digital preservation guidelines to be fit for purpose as part of WP7; Dedicated developer in WP6.

[added Feb 2020] Consortial library platform: changes in development partner (from internal hire to external partner [Cast Iron Coding]) ⁷	M/H	(i) Ensuring terms of relationship are established clearly from the outset, managed via appropriate contracts and data sharing agreements; (ii) working with project team at an early stage to identify alternative development partners to be approached if Cast Iron Coding are no longer able to work on the project, to ensure the project has redundancy when it comes to development partners.
[added May 2020] Consortial library platform: inability to fulfil all requirements hoped for by libraries/publishers within budget	M/H	(i) Ensuring that research with publishers includes working on lists of desired features ranked by priority and discussing different development with them at an early stage in order to (a) manage expectations and (b) explore the feasibility of different solutions; (ii) ensuring development is focused on modular solutions that can be scaled up further in the future should further funding routes be identified.
[added May 2020] Cancelling of in-person participation, reduced or nonavailability of expert informants could further impact on research	H/H	Switch to online, reformulation of research questions, overall restructuring of engagement approach
[added May 2020] Reduced engagement of project staff: A noticeable increase in other duties (e.g. looking after dependants) due to quarantine might impact ability to be involved in work packages	M/H	a) Other network partners need to take on duties, b) considering to temporarily halt parts of the project, both options might in turn result in a need to extend the overall project.
[added May 2020] Recruitment: Even more difficulty to find suitable specialist applicants willing to work in UK-based project	M/H	Redistribution of workload to existing network, which may in turn impact on overall outcome. An extension of the project end date could help to mitigate delays in the hiring process.

<p>[added May 2020] Outreach: participation in external workshops & conferences, i) Diminished overall networking activities, as conferences are being cancelled, which impacts COPIM presentations. ii) Impact on deliverables and Key Success Criteria, as the original number of conferences/workshops COPIM participates in will not be met</p>	H/H	Explore other channels of engagement (e.g. social media)
<p>[added Aug 2020] Covid-19 pandemic causes cuts in funding for HE institutions and library budgets - libraries unable/unwilling to contribute to WP3 case study membership subscriptions.</p> <p>[update March 2021]: initial feedback from some libraries indicates that this risk is becoming a reality, with some budgets reduced to 'essential spending only'. This will likely have consequences for both WP2 and WP3's efforts to secure library funding.</p>	M/H	<p>Increase advocacy/marketing across both WP2 and WP3 and emphasise that libraries traditionally subscribe to access content - to show that this model fits alongside their existing processes. Emphasise that collective funding models are not expensive and that, in subscribing, libraries are contributing positively to the wider open access agenda. Highlight that Covid-19 pandemic has thrown a spotlight on the importance and merit of OA and digital books. Make the models offered as frictionless as possible: make it and the benefits easy to articulate, and ensure it is a high value offer delivering value for money.</p>
<p>[added March 2021] Extended staff absences (e.g. carers leave) and hiring delays, stops, and difficulties at an institutional level result in interruptions and delays to design and development work</p>	H/H	<p>Redistribution of workload to existing network, which may in turn impact on overall outcome. Move budget across budget categories where appropriate (i.e. from staff to consultancy). An extension of the project end date could help to mitigate delays in the hiring process.</p>
<p>[added March 2021] Consortial Library Platform: Internal disagreements about the direction of the platform resulted in delays to the design work</p>	H/H	<p>Work on new timetable for the work in discussion with the design agency. Engage stakeholders directly through forms of 'consultative outreach' alongside the design work. Push back the launch of the final platform and reduce but intensify final phase of outreach. Explore options for a project extension.</p>

<p>[added June 2021] Change in software development partner (currently a consultancy category within the budget) on COPIM WP2, from Cast Iron Coding to ΔQ Programming LLP</p>	<p>M/H</p>	<p>(i) Ensuring terms of relationship are established clearly from the outset, managed via appropriate contracts and data sharing agreements; (ii) closely working with project team at an early stage to identify alternative options to be approached should ΔQ Programming LLP no longer be able to work on the project. Extra benefit of ΔQ Programming LLP's addition to the project is their extensive experience in working with OA publishing projects and platforms, which the team expects to add crucial additional expertise to the development work happening in the Work Package, thus allowing for a more streamlined approach to platform development.</p>
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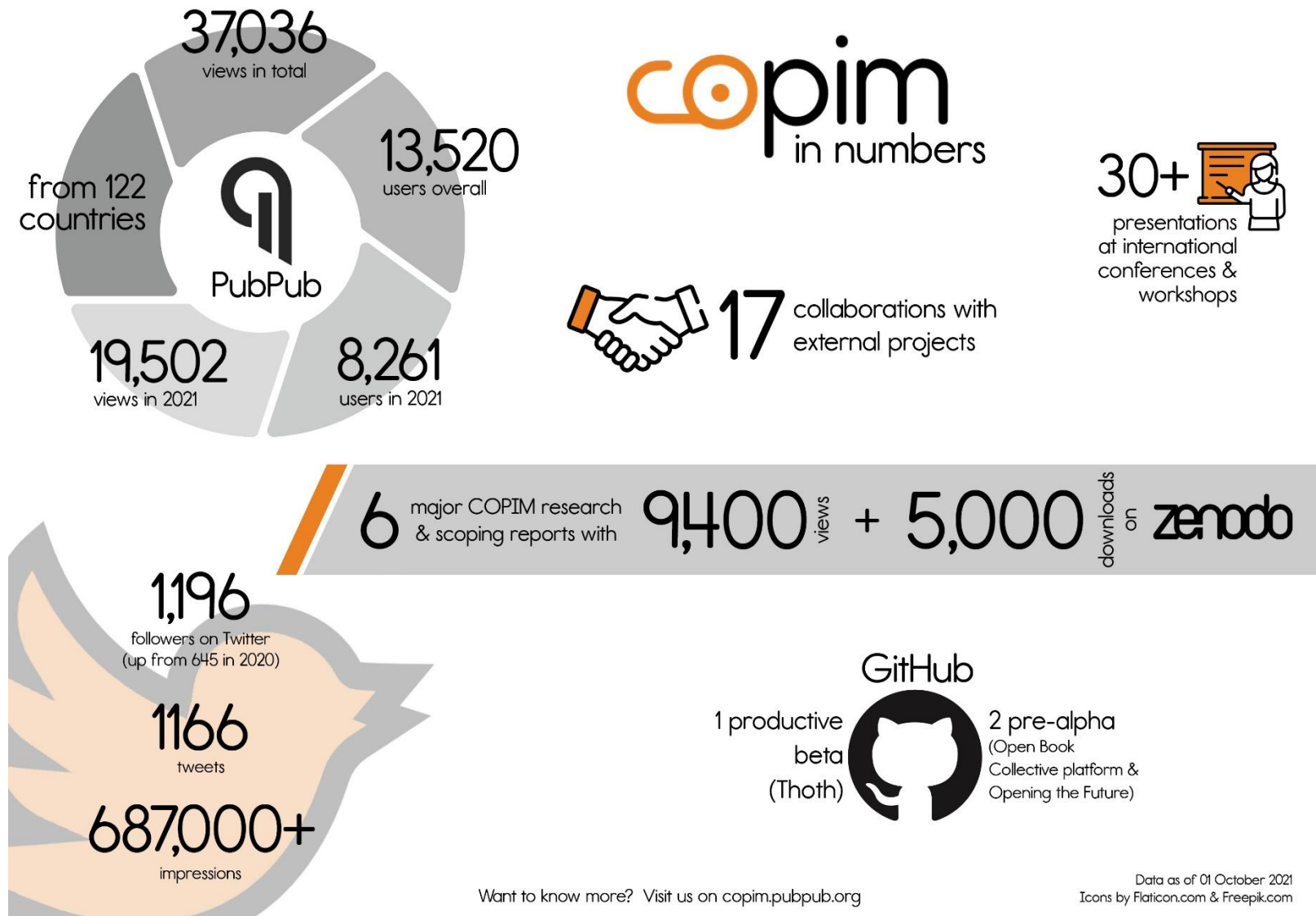


Figure 1: Infographic - COPIM Outreach, Year 2. Image credit: COPIM, 2021. CC BY 4.0.