



# Impact of Satellite Observations on SST Forecasts via Variational Data Assimilation and Heat Flux Calibration

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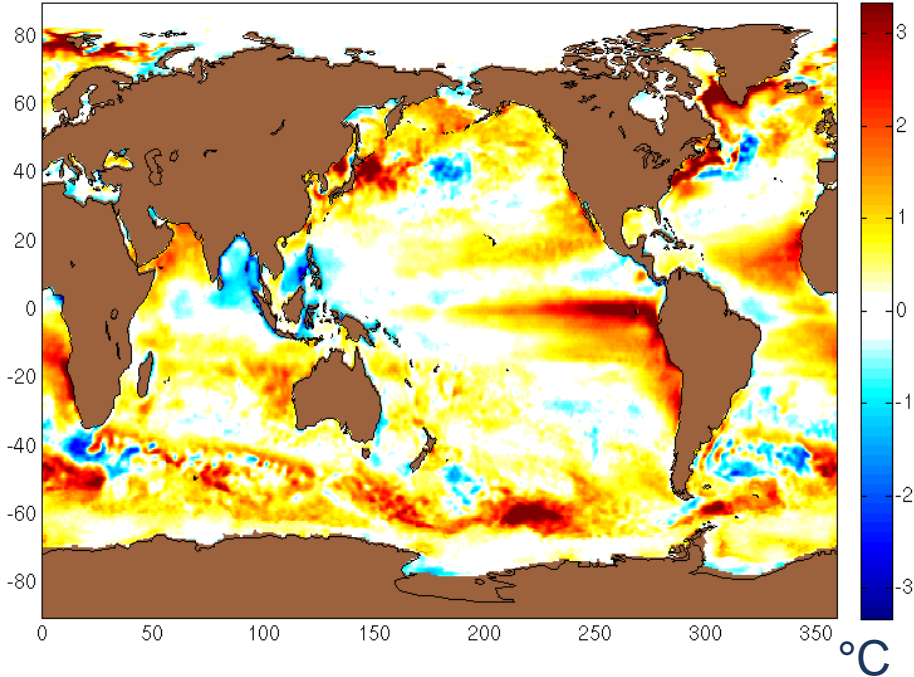
**GHR SST XVII Science Team Meeting**  
**Washington DC, USA**  
**6-10 June 2016**

# Motivation: Non-assimilative ocean simulations have temperature bias

Calculate bias by running the global ocean model for an extended period, using forcing from the global atmospheric model.

Compare to observed mean SST.

annual Sea Surface Temperature (SST) bias

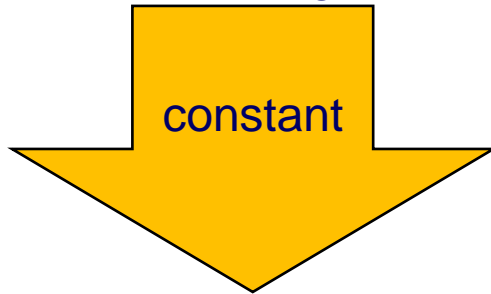


Without assimilation, simulations exhibit a significant SST bias

We could change the mean heat flux to account for the long term bias, but this would be unrealistic ...

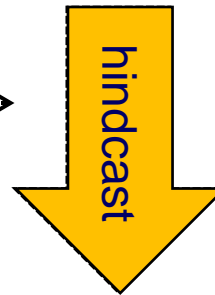
# Paradigm shift: from constant bias to time-dependent partition

Remove flux term  
equivalent to long term bias



prior approach  
COFFEE approach

Errors in heat flux contributions  
solar long-wave latent sensible  
measure predict



Errors in SST

- No variation in time or differentiation among flux terms
- Omits model error
- Unresponsive to local conditions, changing system

Errors in SST



measure

predict

Errors in ocean model contributions  
advection upwelling mixing attenuation

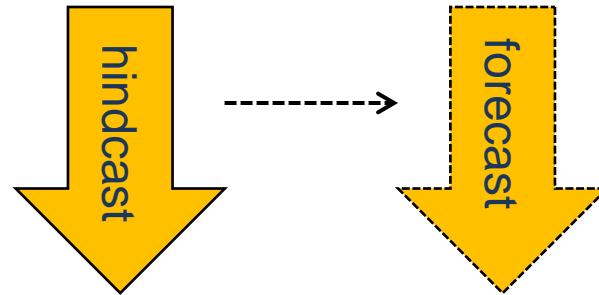
# Use satellite observations, 4DVAR to estimate flux error, guide correction

Use satellite observations to estimate flux values and errors

Errors in heat flux contributions  
solar long-wave latent sensible

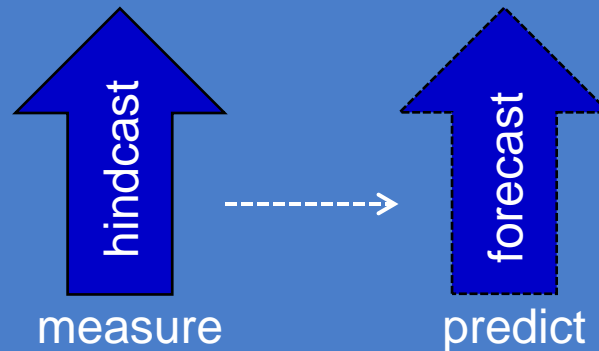
measure

predict



Relate mismatches with ocean observations to errors in the surface flux and ocean state

Errors in SST



Errors in ocean model contributions  
advection upwelling mixing attenuation

# Heat Flux Components

Mean January  
surface heat flux

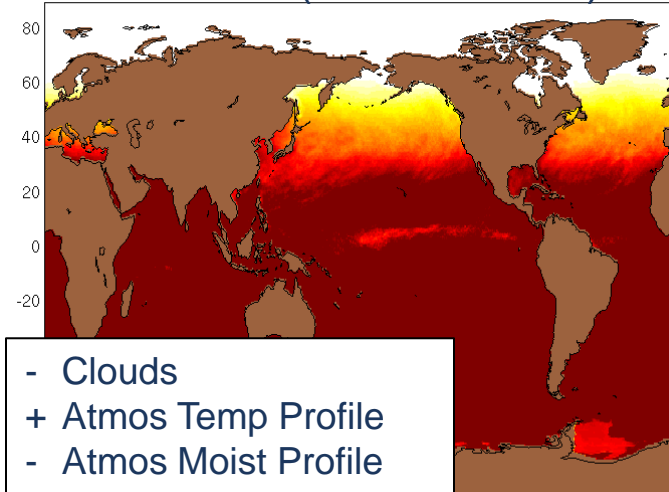
Use convention that  
positive flux is  
atmosphere → ocean

Positive (red)  
warms ocean;  
(blue) cools ocean

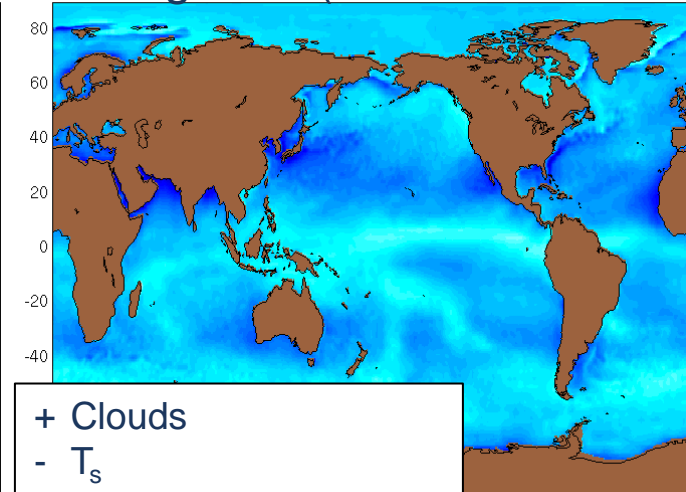
Quantify sensitivity  
of flux errors to  
errors in ocean,  
atmospheric  
properties

Flux sensitivity:  
+ positive  
- negative  
|| magnitude only

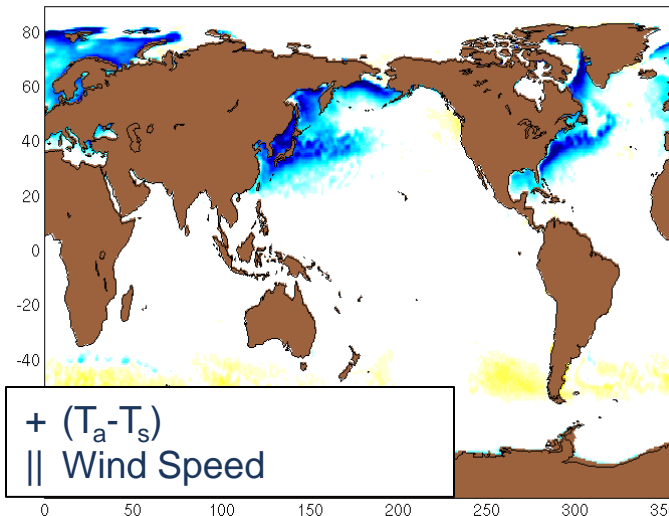
Solar (sun to ocean)



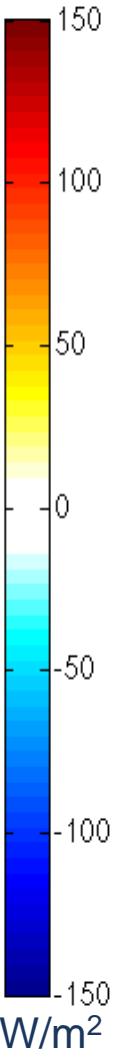
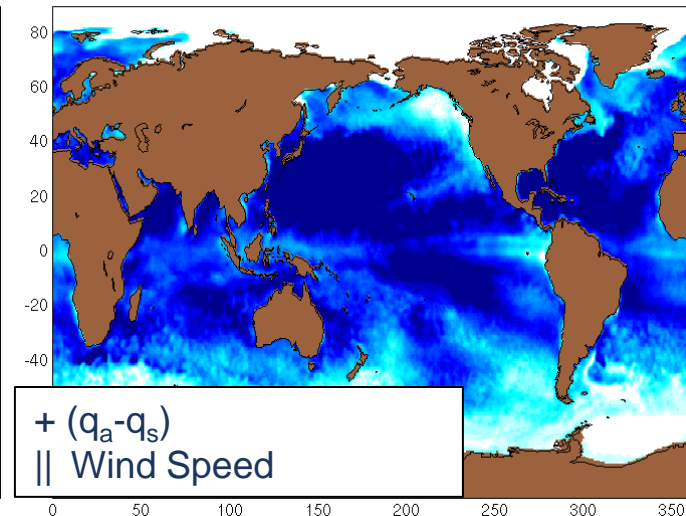
Longwave (thermal radiation)



Sensible (direct heating)

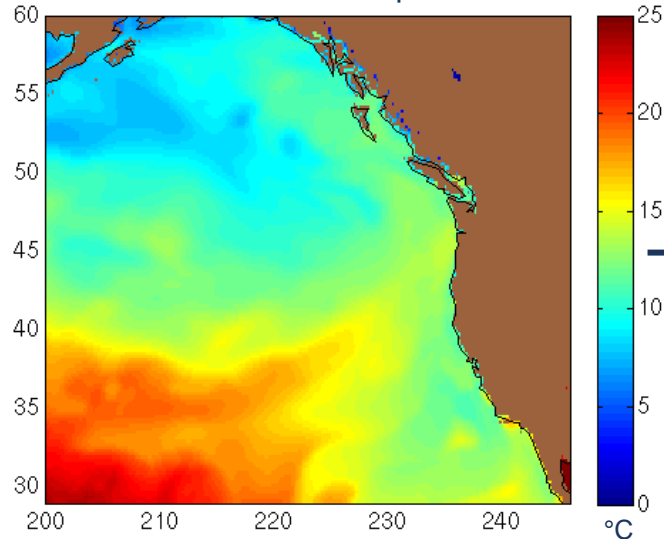


Latent (evaporation)

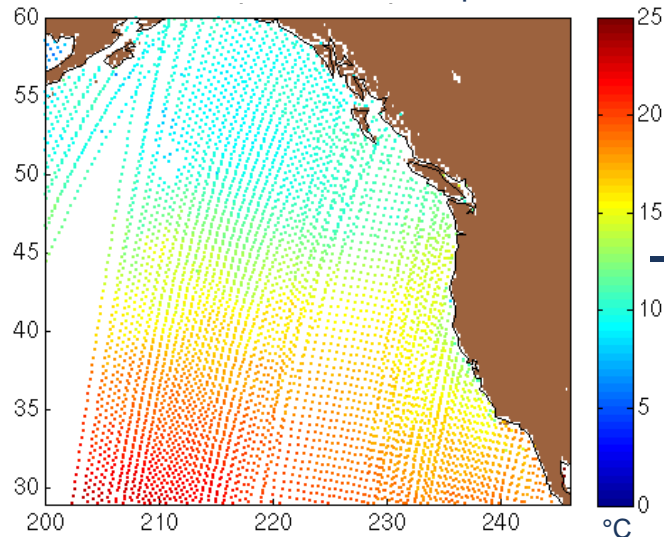


# Use satellite measurements to correct estimates of contributing fields

Forecast air temperature

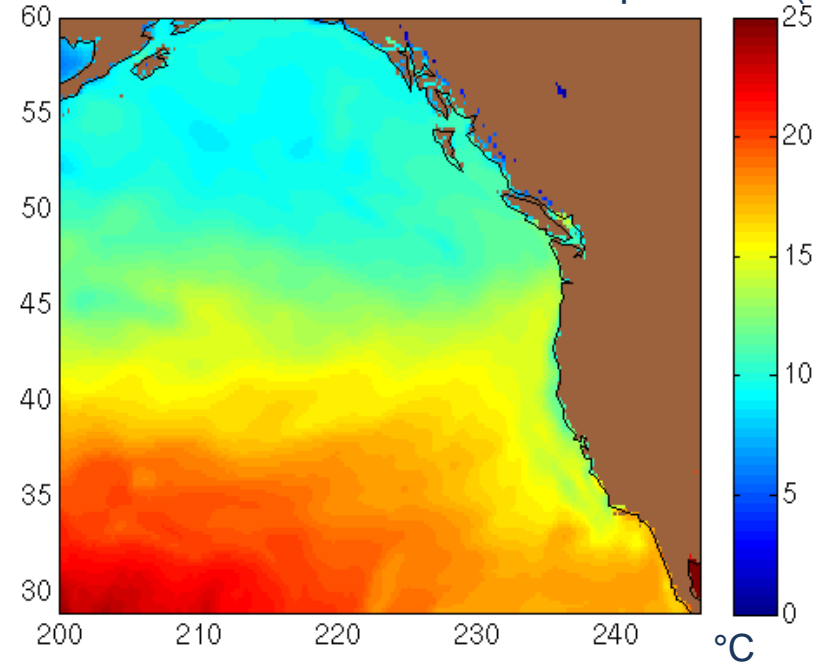


Satellite-observed air temperature



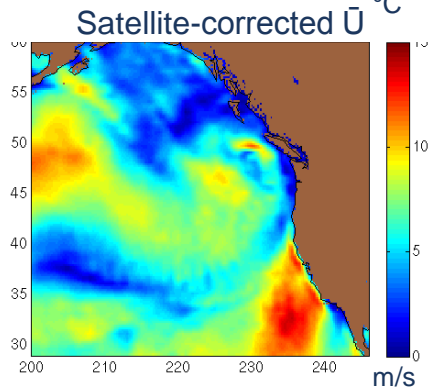
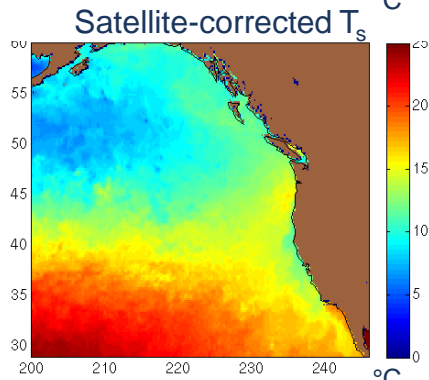
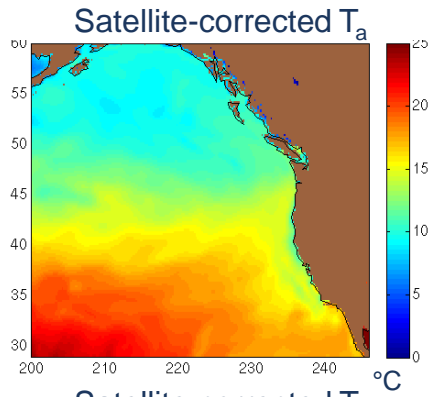
Combine satellite, in situ, and model data to make satellite-corrected estimates of properties used to calculate heat flux: temperatures, humidity, wind speed, etc.

Satellite-corrected surface air temperature ( $T_a$ )

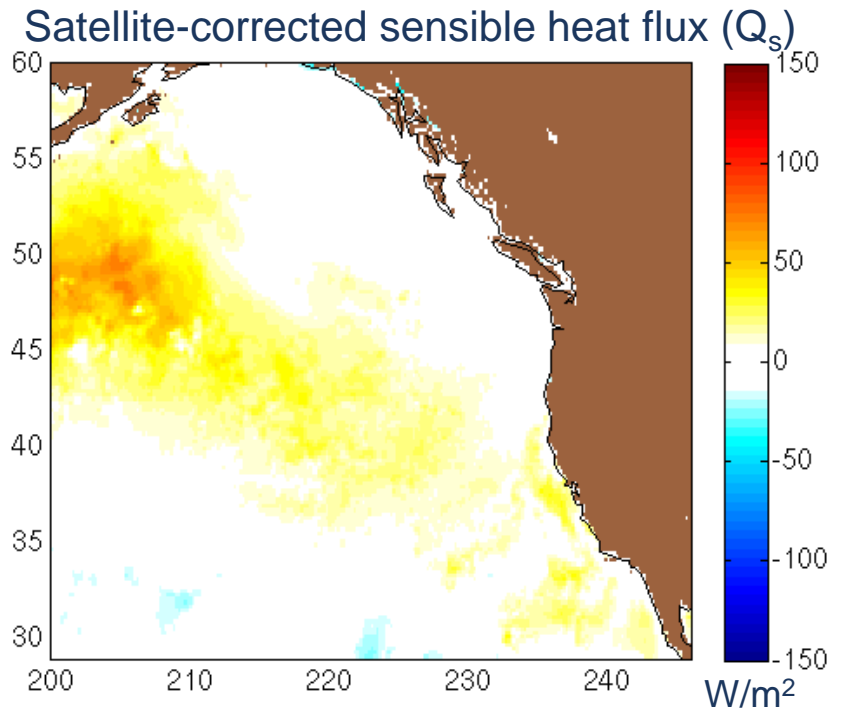


1 July 2010 12Z

# Use satellite measurements to correct estimates of contributing fields, heat flux



Satellite-corrections in surface properties lead to satellite-corrected surface fluxes.

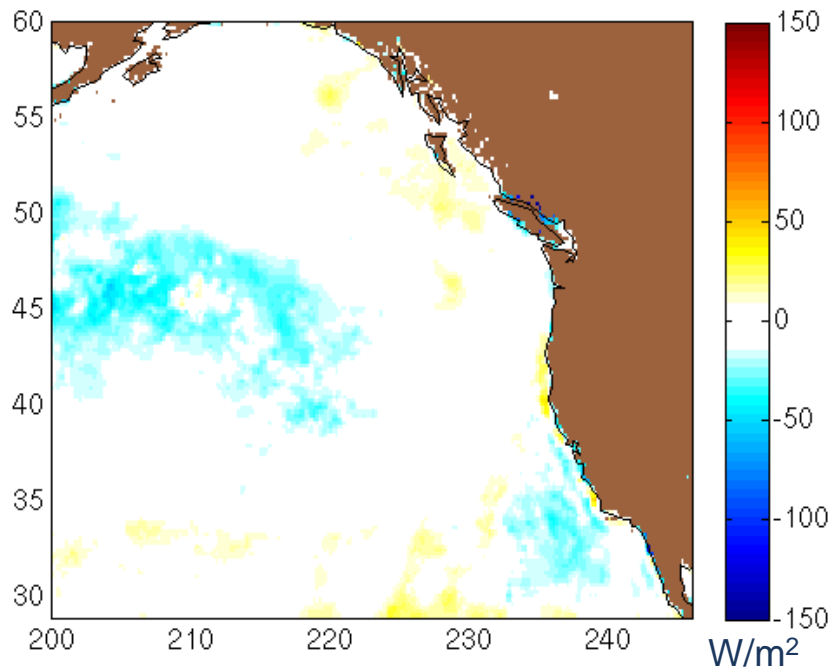


1 July 2010 12Z

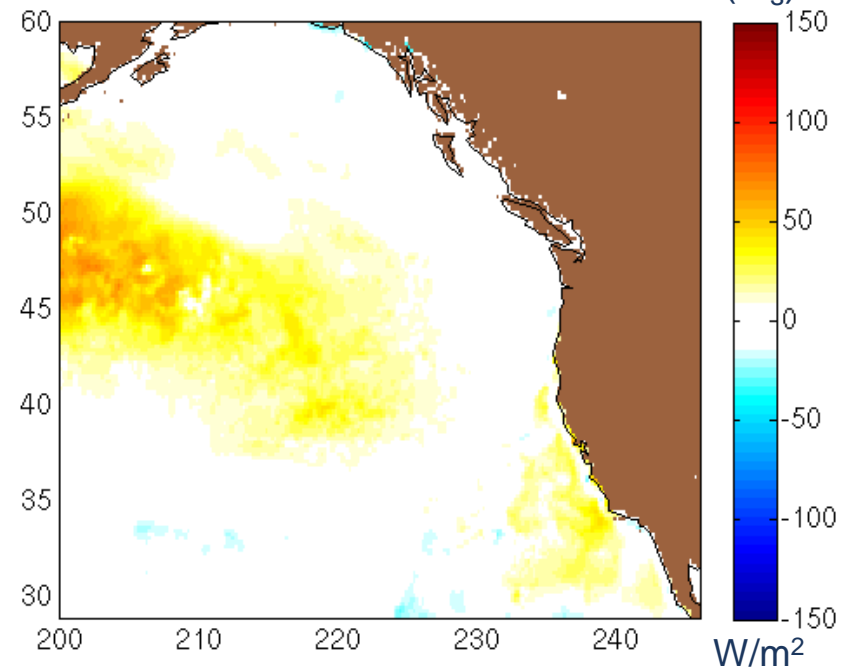
# Prepare a time series of satellite corrected heat fluxes to estimate error covariance

The time series of sensible heat flux bias provides a basis for automatically estimating the flux error covariance.

Estimated sensible heat flux bias



Satellite-corrected sensible heat flux ( $Q_s$ )

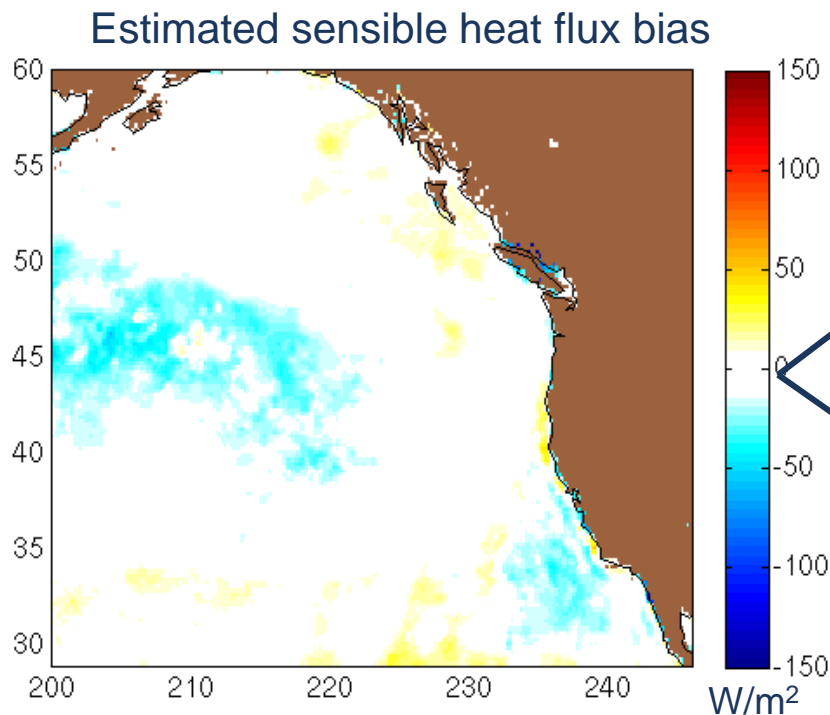




# Use flux error covariance to relate hindcast observations to flux corrections

The time series of sensible heat flux bias provides a basis for automatically estimating the flux error covariance.

Decompose hindcast corrections into persistent and transient modes to inform forecast corrections



- Persistent bias correction
- 20-day running average
- Apply in full over forecast

- Transient bias correction
- Daily running average of remaining bias
- Weight by decorrelation scale

# NFLUX reduces turbulent flux errors

Flux or Constituent		Bias	St. Dev.	RMSE	R <sup>2</sup>	N
Air temp T <sub>a</sub> (°C)	NFLUX	0.24	1.22	1.24	0.98	199,944
	NAVGEN	-0.30	1.21	1.25	0.98	
Humidity q <sub>a</sub> (g kg <sup>-1</sup> )	NFLUX	0.25	1.18	1.21	0.96	117,298
	NAVGEN	-0.50	1.19	1.29	0.96	
Wind speed $\bar{U}$ (m s <sup>-1</sup> )	NFLUX	0.21	2.06	2.07	0.64	194,649
	NAVGEN	-0.33	2.14	2.17	0.63	
Latent Flux Q <sub>LH</sub> (W m <sup>-2</sup> )	NFLUX	-17.41	59.31	61.81	0.49	15,707
	NAVGEN	14.28	62.70	64.30	0.49	
Sensible Flux Q <sub>SH</sub> (W m <sup>-2</sup> )	NFLUX	-2.06	19.21	19.32	0.48	15,707
	NAVGEN	2.28	19.71	19.84	0.51	
Shortwave Flux Q <sub>SW</sub> (W m <sup>-2</sup> )	NFLUX	23.98	150.00	151.90	0.74	10,066
	NAVGEN	25.58	153.98	165.96	0.69	
Longwave Flux Q <sub>LW</sub> (W m <sup>-2</sup> )	NFLUX	-5.41	28.75	29.25	0.75	17,138
	NAVGEN	-10.72	33.05	34.75	0.72	

# Sat-estimated heat flux: NFLUX prepared daily; similar NASA products are delayed

## NFLUX is prepared daily

- for operational short-medium forecasts
- real-time satellite data
- See May *et al.*, *Applied Meteorology and Climatology*, 2016

## NASA products are delayed weeks-months

- for long-term climatological forecasts
- use delayed-mode satellite data
- CERES (Kratz *et al.*, 2010) delayed 6+ months
- FLASHFlux (Kratz *et al.*, 2014) delayed 1 week

**NFLUX gives us the capability to use satellite observations to estimate flux values and errors**

**4DVAR data assimilation gives us the capability to relate mismatches with ocean observations to errors in the surface flux and ocean state**

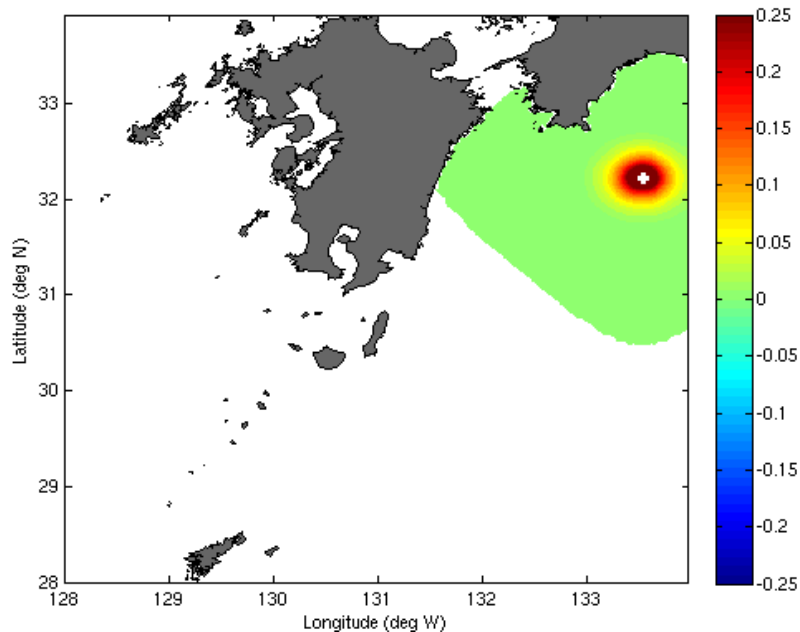
# Variational data assimilation: 3DVAR, 4DVAR adjust the model state

3DVAR corrects the initial state only

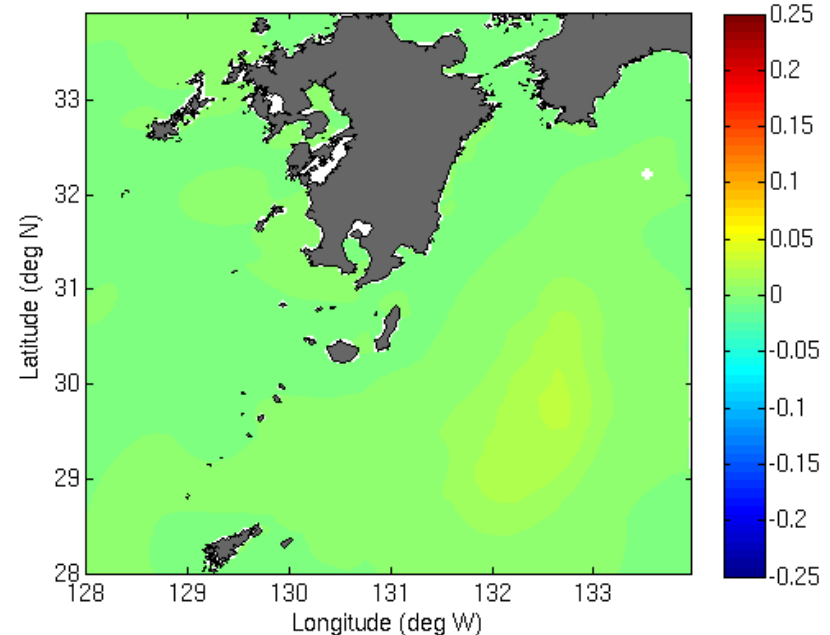
4DVAR extends observation correction in time and space along model flow

Extended 4DVAR includes boundary-layer with ocean in adjoint, TLM

3DVAR SST increments (°C)



4DVAR SST increments (°C)



RELO NCOM: Rowley and Mask, 2014

NCOM 4DVAR: Ngodock et al., 2014

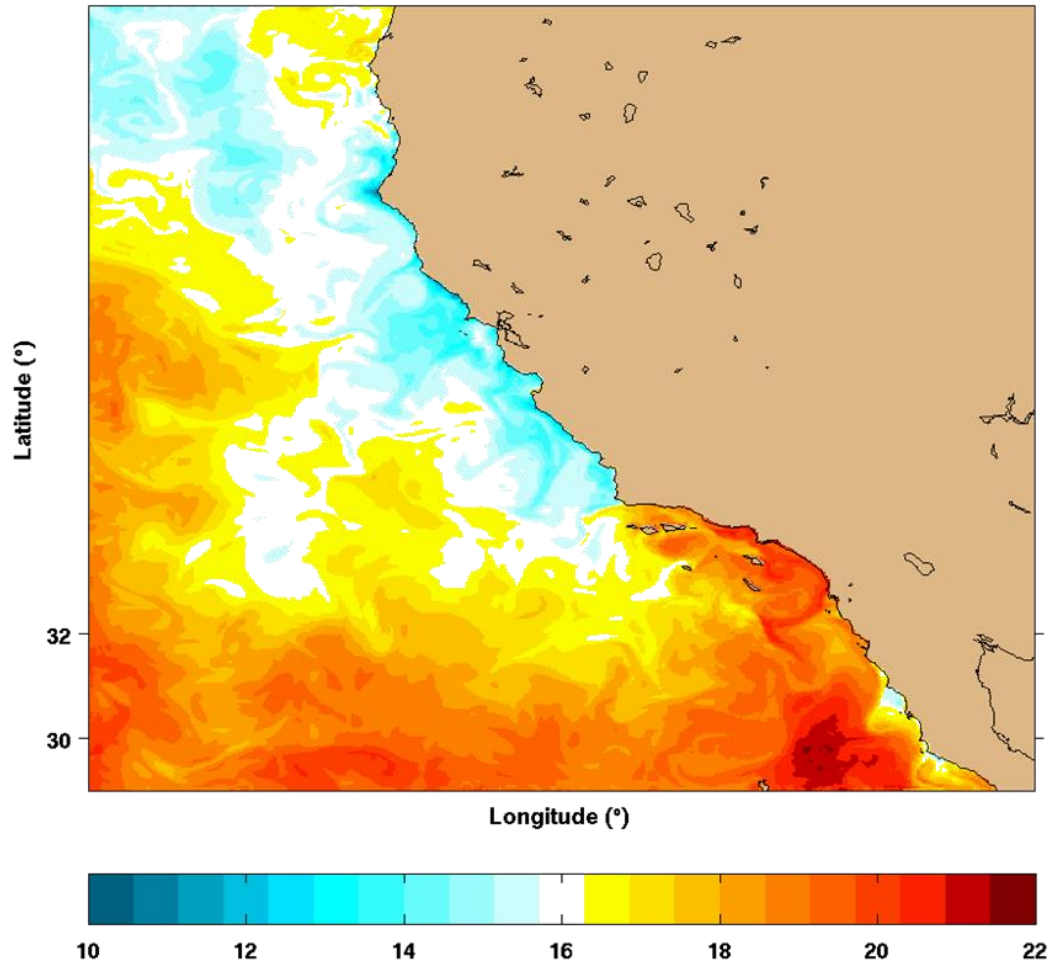
# COFFEE experiments using NFLUX and variational assimilation

Fluxes		Assimilation Type		
		3DVAR	4DVAR standard	4DVAR ocn + bdry layer
COAMPS	unmodified	■	■	
	NFLUX-corrected hindcast	■	■	
	NFLUX-corrected forecast			
NAVGEN	unmodified	■	■	
	NFLUX-corrected hindcast	■	■	
	NFLUX-corrected forecast			

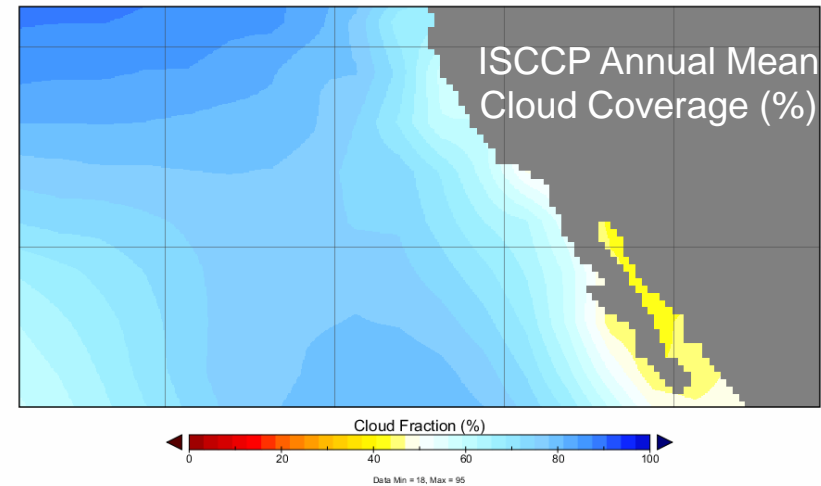
COFFEE has completed year-long May 2013-April 2014 experiments marked with the ■, the period coinciding with the MIRS cloud data.

# Southern California Current: cloud gradients, eastern boundary upwelling

SST [°C], 2013-07-01 00:00:00



ISCCP Annual Mean Cloud Coverage



- Positive equivalent annual heat flux bias
- High cloud coverage, decreasing shoreward
- Eastern boundary current system with upwelling
- Results from 3DVAR NFLUX COAMPS

# COFFEE experiment results (VIIRS) California Current May 2013 – Apr. 2014

		NAVGEM								
		bias (°C)				rms error (°C)				N
SOCAL case		24 h	48 h	72 h	96 h	24 h	48 h	72 h	96 h	42123179
VIIRS	3DVAR original	-0.15	-0.15	-0.15	-0.15	0.74	0.79	0.83	0.85	42123179
	3DVAR NFLUX	-0.14	-0.13	-0.12	-0.11	0.77	0.82	0.85	0.88	42123179
	4DVAR original	-0.17	-0.19	-0.20	-0.21	0.64	0.70	0.75	0.78	42123179
	4DVAR NFLUX	-0.13	-0.15	-0.15	-0.15	0.64	0.70	0.74	0.78	42123179

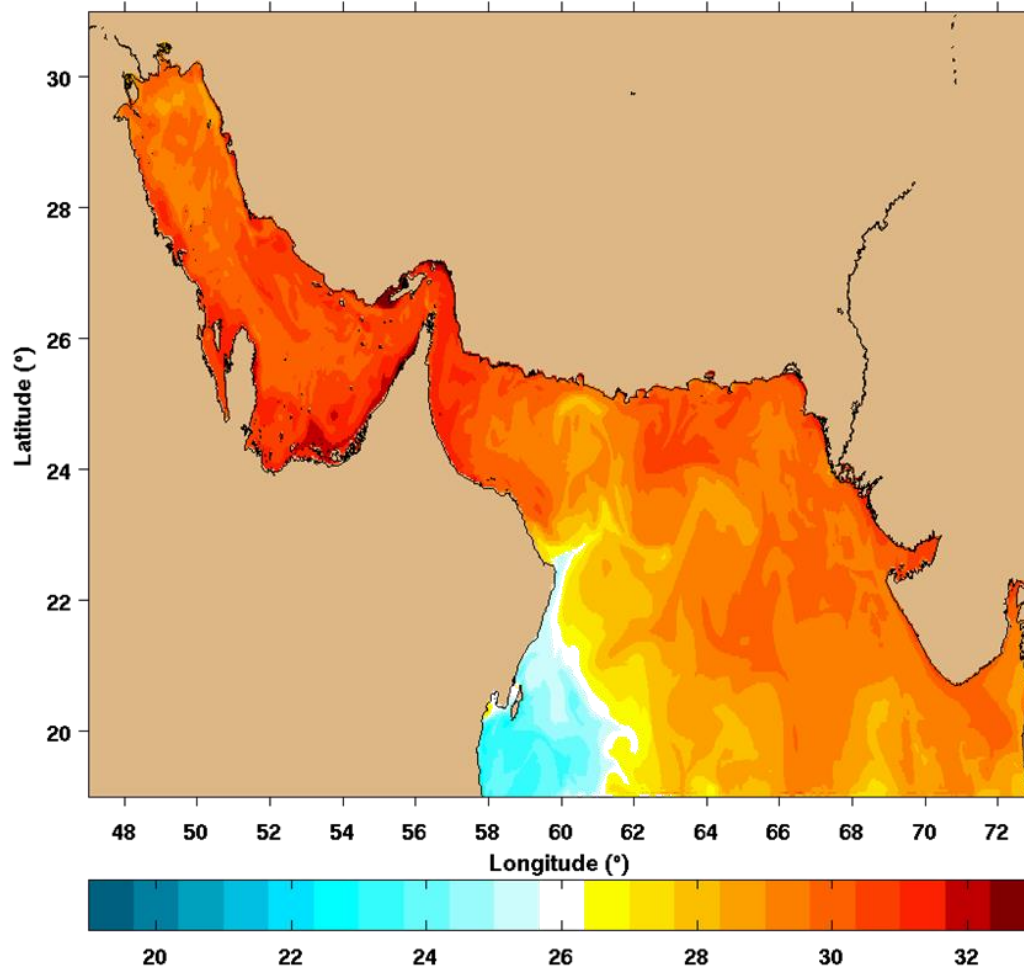
		COAMPS								
		bias (°C)				rms error (°C)				N
SOCAL case		24 h	48 h	72 h	96 h	24 h	48 h	72 h	96 h	42123179
VIIRS	3DVAR original	-0.28	-0.31	-0.32	-0.31	0.79	0.84	0.88	0.90	42123179
	3DVAR NFLUX	-0.23	-0.25	-0.25	-0.25	0.79	0.84	0.87	0.89	42123179
	4DVAR original	-0.20	-0.25	-0.28	-0.28	0.66	0.73	0.78	0.81	42123179
	4DVAR NFLUX	-0.18	-0.21	-0.23	-0.23	0.65	0.72	0.77	0.79	42123179

NFLUX reduces forecast bias and RMS error.

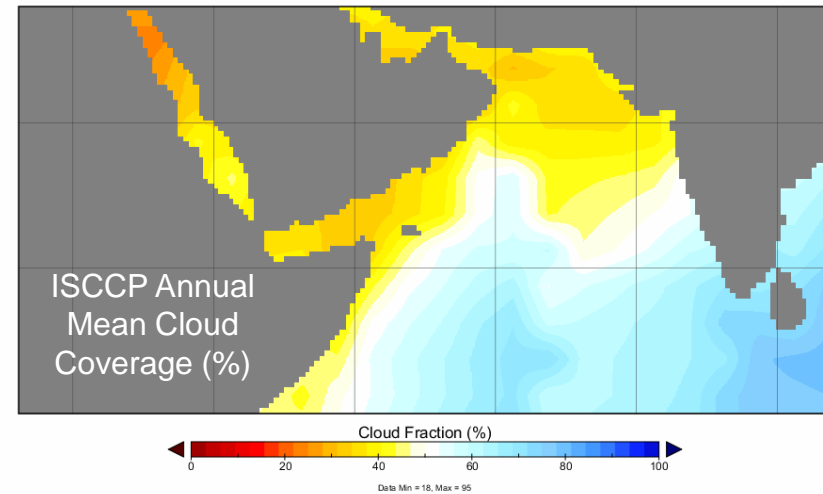
4DVAR outperforms 3DVAR in 3 of 4 cases, similar in fourth.

# Northern Arabian Sea: Low mean cloud coverage, monsoon effects, upwelling

SST [°C], 2013-07-01 00:00:00



ISCCP Annual Mean Cloud Coverage



- Low mean cloud coverage
- Monsoon cycle
- Upwelling east of Oman
- Differences between Persian Gulf and Arabian Sea
- Results from 3DVAR NFLUX NAVGEM



# COFFEE experiment results (VIIRS)

## North Arabian Sea May 2013 – Apr. 2014

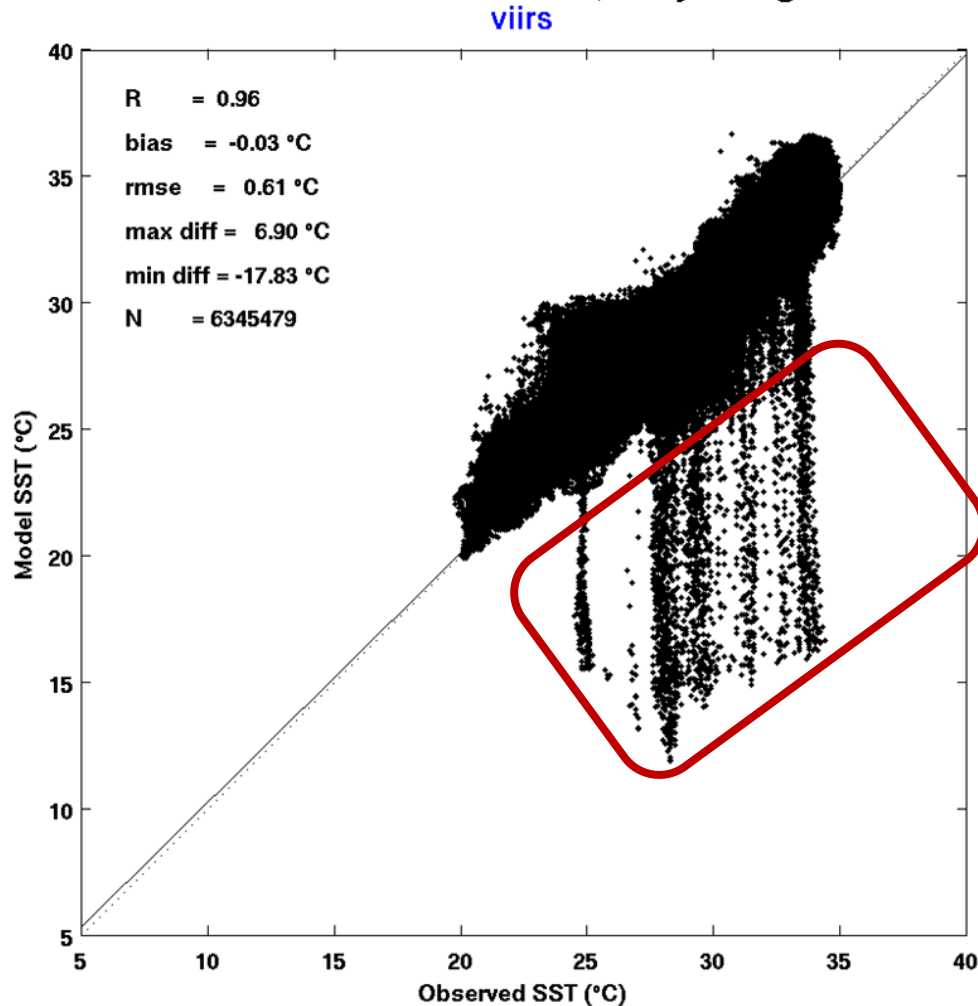
		NAVGEM								
		bias (°C)				rms error (°C)				N
NAS case		24 h	48 h	72 h	96 h	24 h	48 h	72 h	96 h	52156075
VIIRS	3DVAR original	-0.05	-0.08	-0.09	-0.08	0.43	0.50	0.53	0.55	52156075
	3DVAR NFLUX	-0.05	-0.08	-0.09	-0.08	0.42	0.50	0.53	0.55	52156075

		COAMPS								
		bias (°C)				rms error (°C)				N
NAS case		24 h	48 h	72 h	96 h	24 h	48 h	72 h	96 h	52156075
VIIRS	3DVAR original	-0.08	-0.13	-0.14	-0.14	0.45	0.53	0.57	0.60	52156075
	3DVAR NFLUX	-0.06	-0.10	-0.11	-0.10	0.44	0.52	0.55	0.57	52156075

NFLUX reduces forecast bias and RMS error.  
12-month 4DVAR results are incomplete.

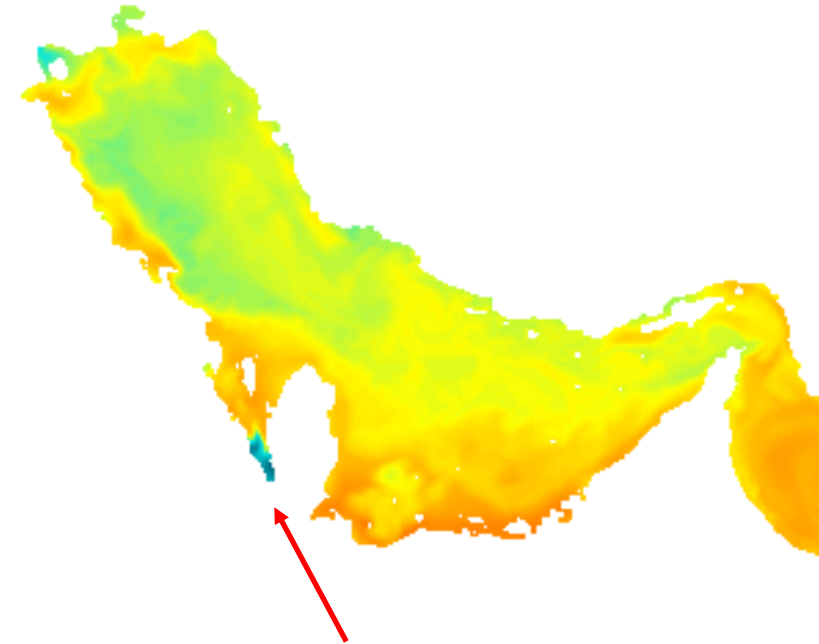
# COFFEE experiment results (VIIRS) North Arabian Sea May 2013 – Apr. 2014

North Arabian Sea Model Comparison with  
All viirs Observations, for NAS\_4DV\_NFLUX\_COAMPS  
NCOM 24-Hour Forecast, May -August 2013

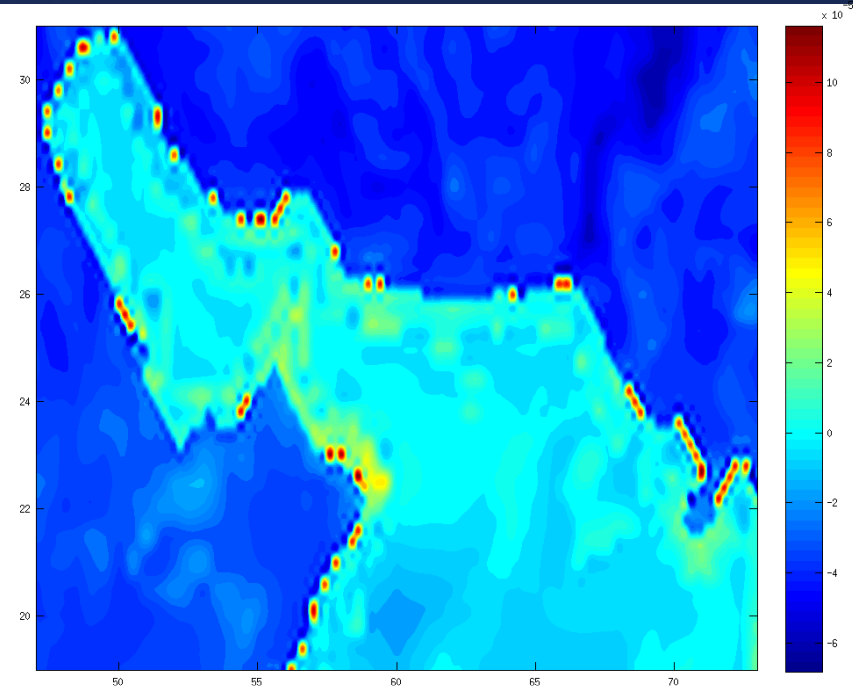


Small bias and RMS  
error in bulk statistics  
over 6M matchups May-  
August 2013 obscures  
large errors occurring in  
a small number of  
comparisons

# Identify nearshore NFLUX COAMPS error apparently due to mismatch in SST/land T



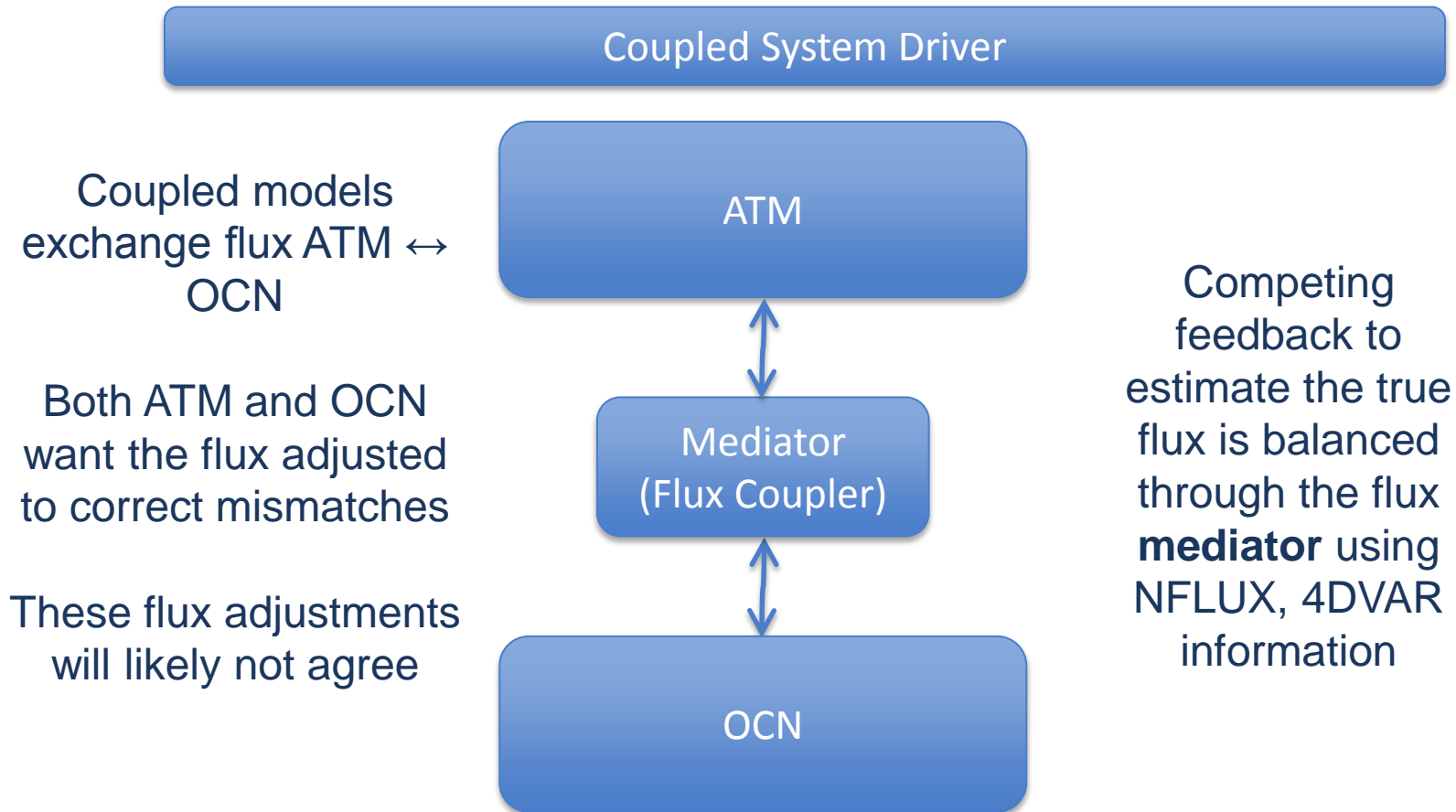
Spurious low values in  
May 2013, around 12°C



NFLUX\_COAMPS longwave –  
NFLUX\_NAVGEM longwave  
Isolated discrepancies along the  
boundaries (including the coast of  
Qatar where the cold spot is)

Ongoing examination in extrapolating NFLUX longwave corrections nearshore

# Payoff for coupled systems: capability to determine errors, balance of fluxes



# NRL SSC postdoc opportunities

## NRL SSC is recruiting postdocs interested in

- SST/radiance/flux data assimilation in coupled air/ocean/ice/wave forecast systems
- Velocity data assimilation in ocean models
- Automated guidance for unmanned observing systems (floats, gliders, Remus)

**More info for postdocs: stipend ~\$75K/year**

**ASEE: <https://nrl.asee.org/>**

**NRC: <http://sites.nationalacademies.org/pga/rap/>**

**(both open to US citizens or US permanent residents)**

**Errors in heat flux are significant for ocean forecasts**

**Assimilation of satellite retrievals relating to air, water temperatures and wind speed near the air-sea interface reduces errors in forecast turbulent heat flux**

**Assimilation of additional satellite observations reduces errors in forecast radiant heat flux**

**Using satellite-corrected heat fluxes reduces forecast errors of sea surface temperature**

**The combination of flux corrections with 4DVAR assimilation reduces errors more than either approach alone**

**Use of satellite-corrected fluxes or additional satellite retrievals relating to near-surface properties will likely be important in guiding coupled forecast systems**