

OpenAPC webinar

Making costs of open access publishing transparent

in the European Open Science Cloud (EOSC)

6th October

11:00 - 12:00 (CEST)

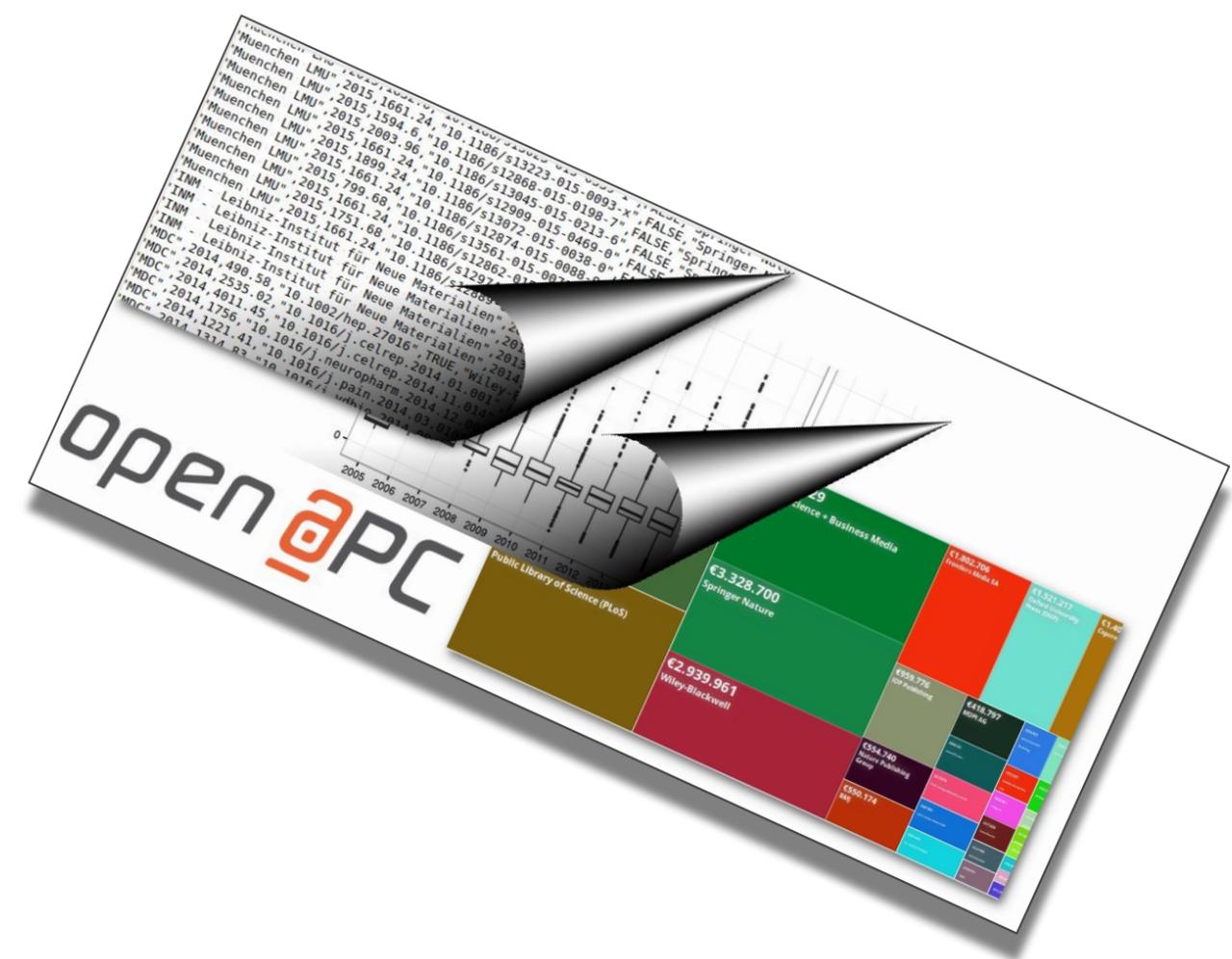
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Agenda

- Introduction of OpenAPC
 - APC, BPC, and TA
- Participation
- European Open Science Cloud
- Conclusion / Outlook



Introduction

- Open Data project, which is aggregating, normalizing and disseminating data on APC (and BPC) expenditures.
- Established at Bielefeld University Library 2014.
- Aim 1: To release data sets on fees paid for OA journal articles and monographs by universities and funders under an Open Database License.
- Aim 2: Enable transparent and reproducible reporting for institutions and funders and create cost transparency on the APC (and BPC) market.

Article Processing Charges

What are APCs?

- APC: Article Processing Charge.
- One-time fee to be paid to a publisher to have an article published in a fee based (OA) journal.
- Can either be mandatory (for fee based Gold-OA journals) or optional (for hybrid journals).

Why are they important?

- APCs are paid by academic institutions, funders, authors, libraries.
- APCs allow transparent reporting and cost monitoring for publications in journals.
- [Horizon Europe General Model Grant Agreement](#): “Only publication fees in full open access venues for peer-reviewed scientific publications are eligible for reimbursement.” (Article 17, p. 109).

Book Processing Charges

What are BPCs?

- BPC: Book Processing Charge.
- One-time fee that authors pay to a commercial publisher for the immediate OA publication of a scientific book publication.

Why are they important?

- Allow authors especially from the HSS to publish OA.
- Market is developing, cost transparency and reporting at the beginning.
- Horizon Europe allows also the reimbursement of BPCs.

Transformative agreements

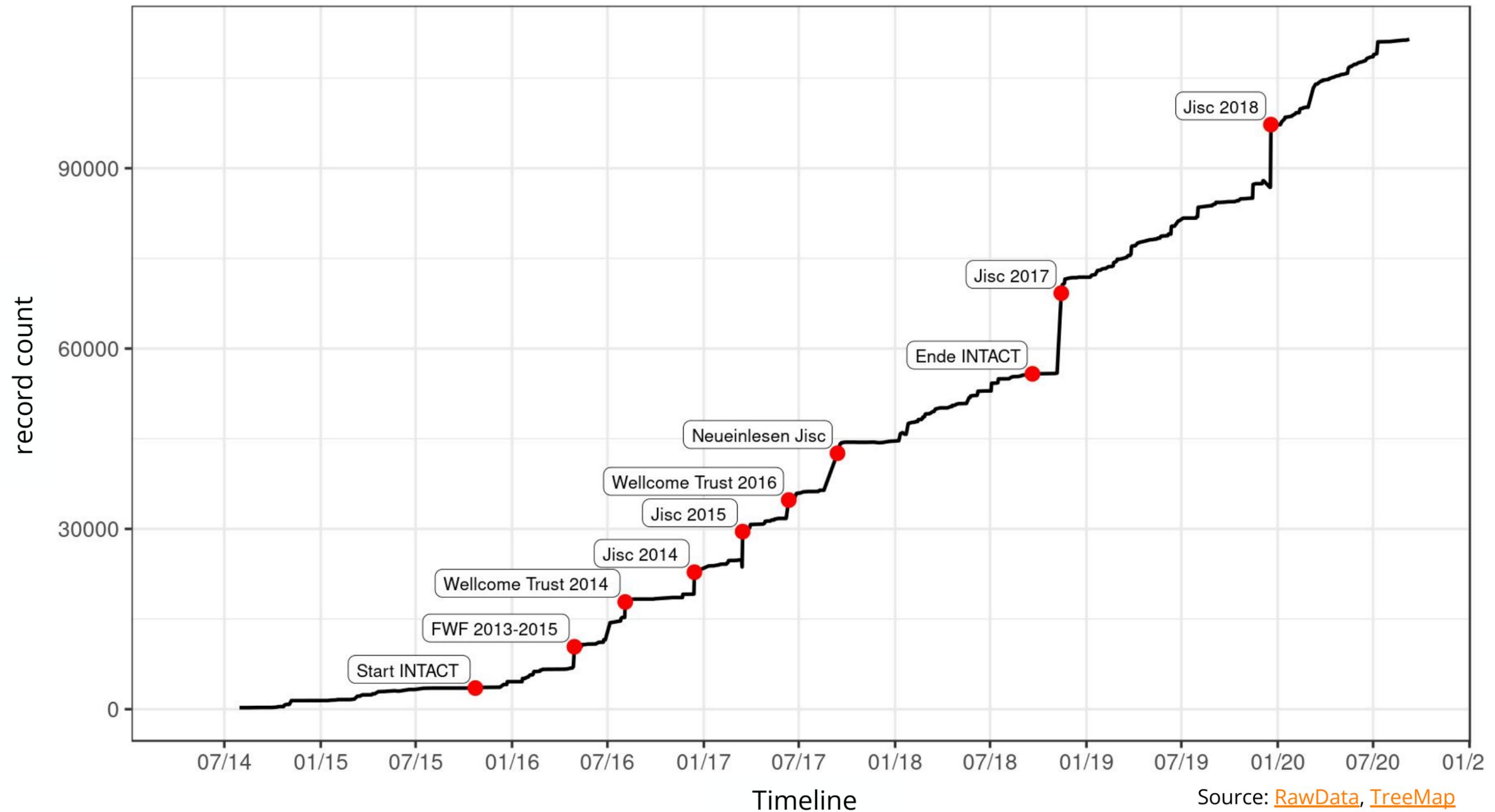
What are TAs?

- TAs aim to transform subscription based journals to OA and spendings for subscriptions into OA publishing.
- TAs still incorporate historical subscription expenditures (“read & publish agreements”, “publish & read fee”).

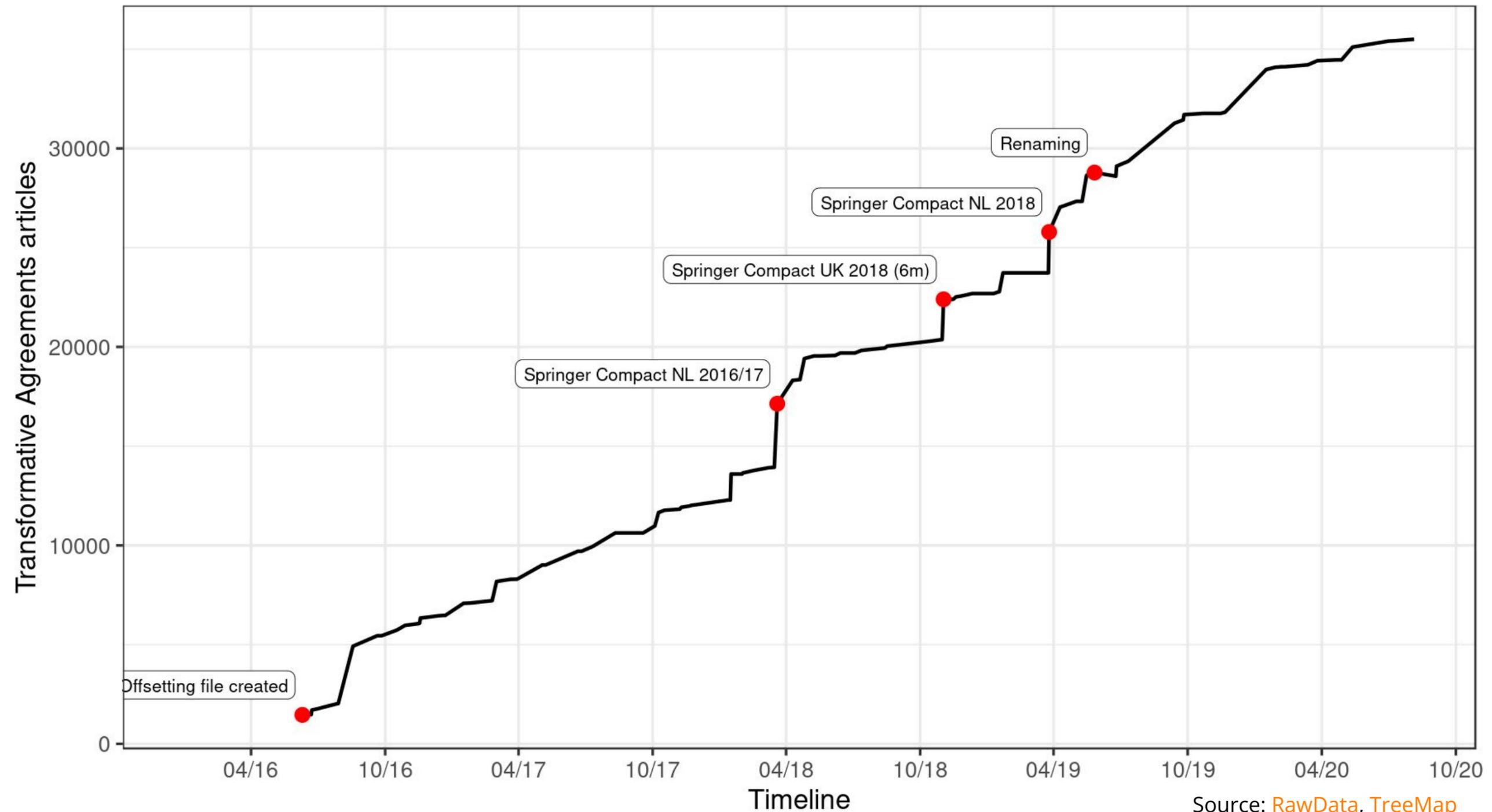
Why are they important?

- TAs transform large numbers of articles in hybrid journals into OA.
- During transition, average costs per article are hard to calculate, but needed (“equivalent APCs”).
- Coalition S funders allow reimbursement for articles in hybrid journals within TAs until 2024 ([Plan S](#)). Exception: EC (Horizon Europe 2021-2027).

Overview - APCs



Overview - TAs



Current data sets

APCs

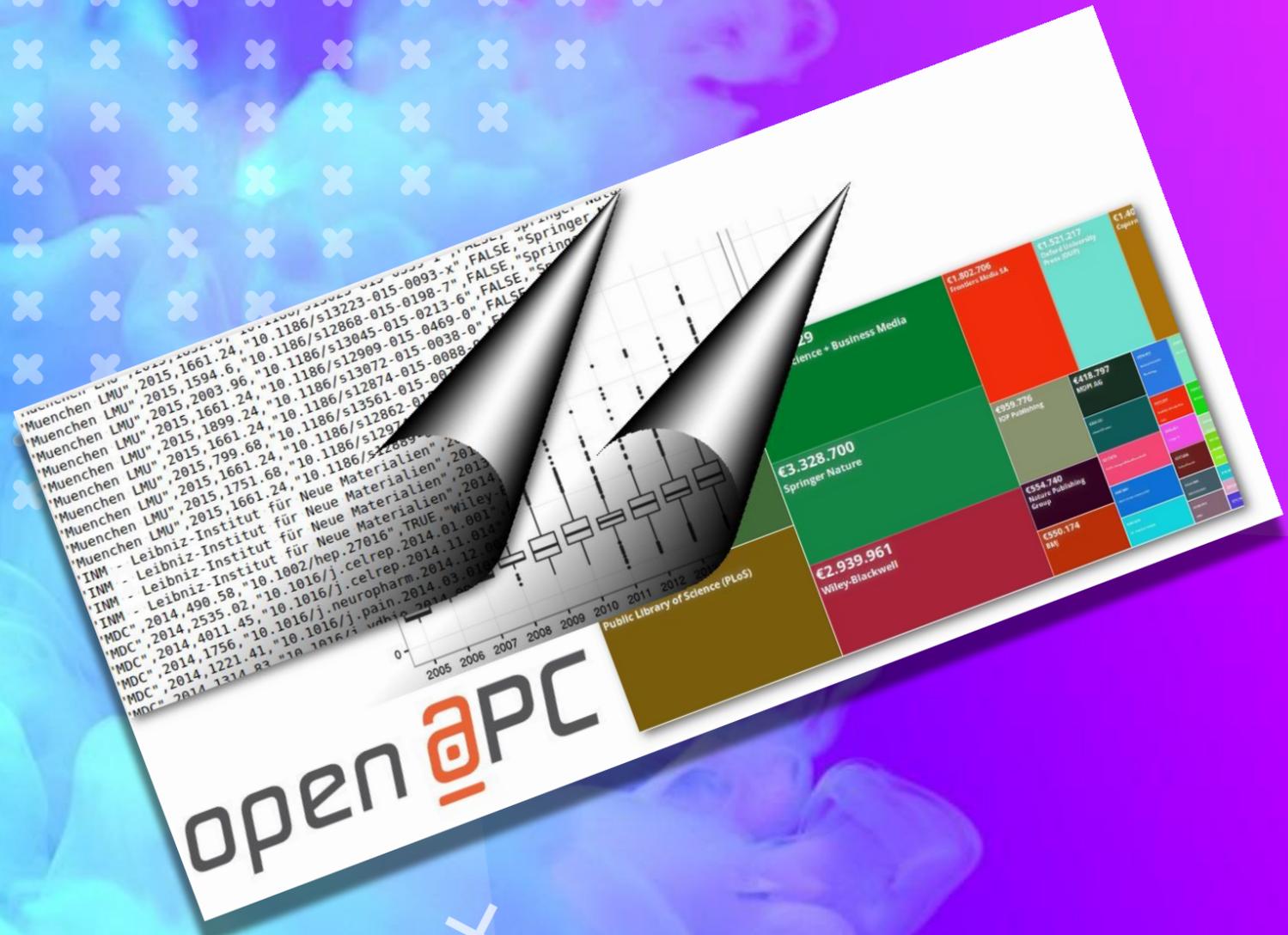
- 137,444 articles
- 324 Contributing Institutions/Organizations
- 265 Million EURO
- average 1,928 EUR

BPCs (since Mid-2020)

- 1,324 books
- 14 Contributing Institutions/Organizations
- 8,3 Million EURO
- average 6,332 EUR

TAs (since 2016)

- 35,501 articles
- 214 Contributing Institutions/Organizations
- 13 Transformation Agreements



Participation

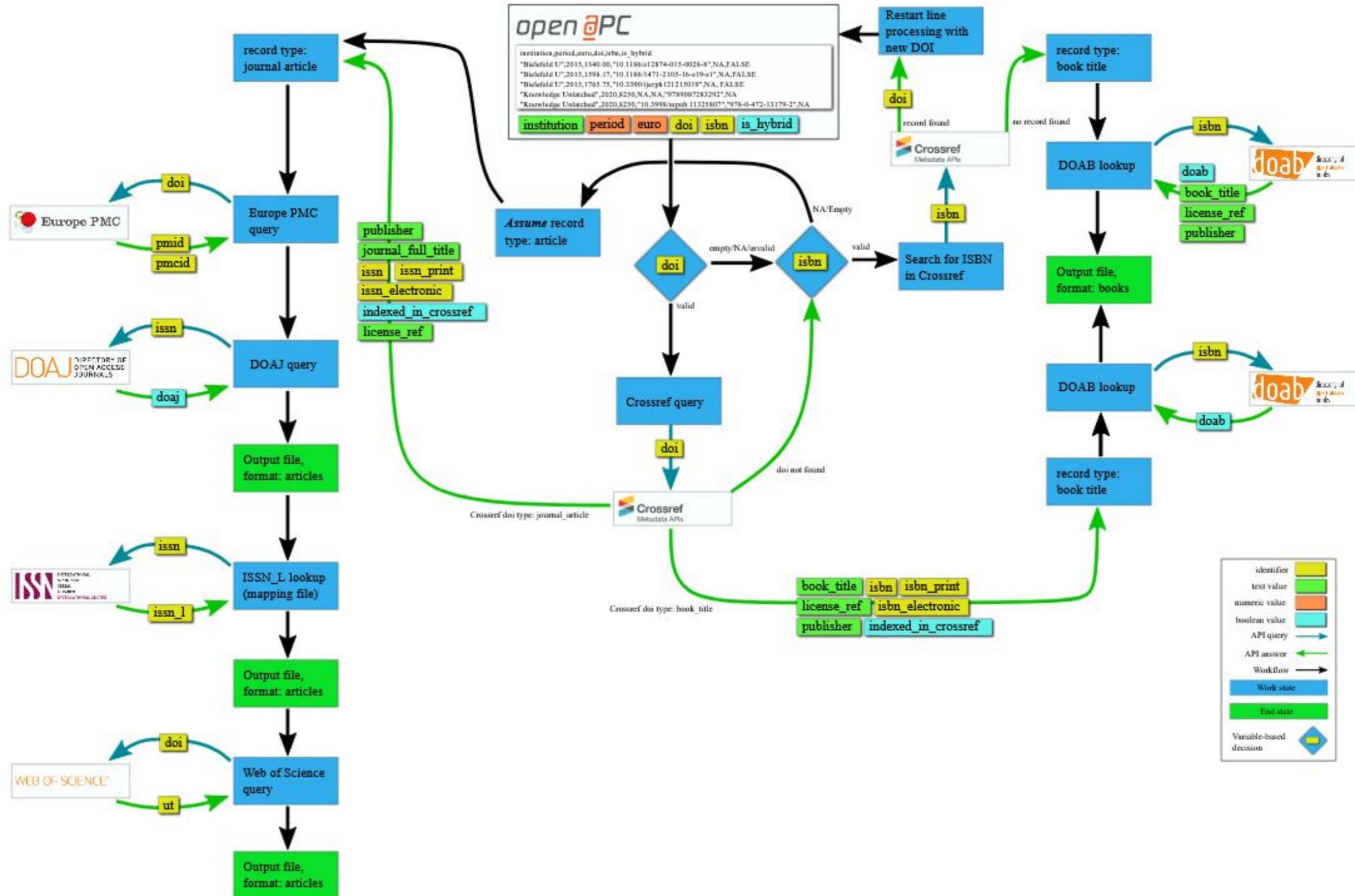
Data submission handout

- [Handout](#) defines minimal requirements for participation.
- Definition of costs.
- Submission: Email, GitHub pull request.
- [Metadata harvesting via OAI-PMH](#) from Institutional Repositories (implemented with pilots, to be expanded in a new DFG funded project in 2022).

Data submission

- Single institutions, national aggregators, national library consortia, national and international funders, ...
- OA publication expenditures often managed centrally, in universities e.g. by libraries.
- If not managed centrally, cost data can be analysed from institutional financial systems.

Workflow



Participating with APC data

- APC data is reported and stored in a simple table format (CSV)
- 18 data fields, only **5** are mandatory when reporting data to OpenAPC
- Remaining metadata is automatically imported from external sources

institution	period	euro	doi	is_hybrid	publisher	journal_full_title	issn	issn_p	issn_e	issn_l	license_ref	in_crossref	pmid	pmcid	ut	url	doaj
Bamberg	2013	1372	10.2147...	FALSE	Dove Medical...	Journal of...	1178-7090	NA	1178-7090	1178-7090	http://creative...	TRUE	2378...	PMC3...	ut:00020...	NA	TRUE
Bamberg	2013	960	10.3389...	FALSE	Frontiers...	Frontiers in...	1664-1078	NA	1664-1078	1664-1078	NA	TRUE	2376...	PMC3...	ut:00033...	NA	TRUE
Bamberg	2013	960	10.3389...	FALSE	Frontiers...	Frontiers in...	1664-1078	NA	1664-1078	1664-1078	NA	TRUE	2384...	PMC3...	ut:00033...	NA	TRUE

Reported by institution
 Crossref
 Europe Pubmed Central
 ISSN Organisation
 Web of Science
 DOAJ

Reports - APCs

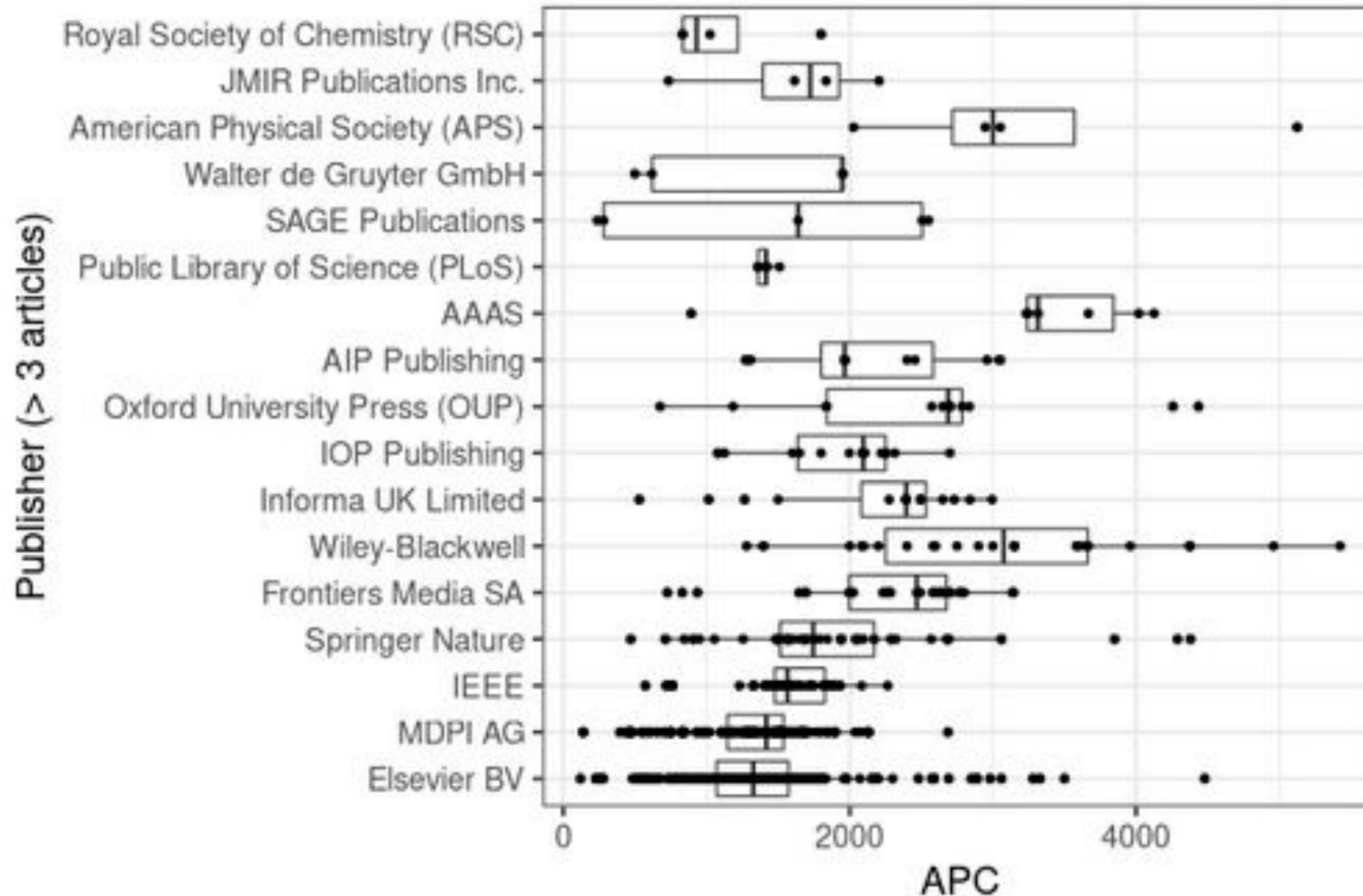
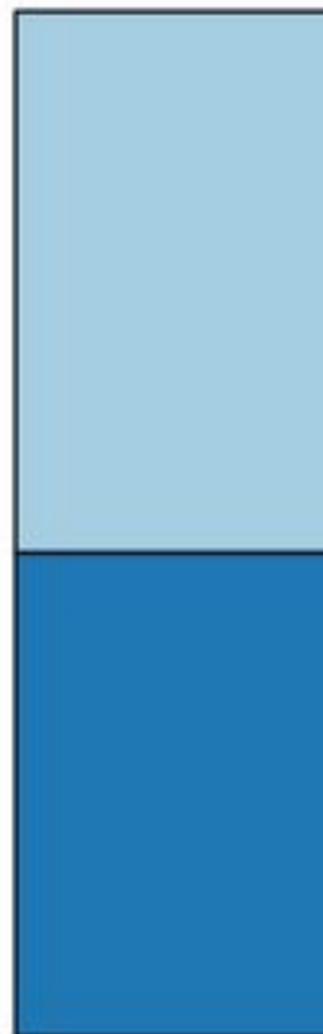
Average costs per publisher (in EURO)

Cost data

The updated data set provided 817 articles published between institutions, replacing the previous amounts to 1,283,013€ and the provided some BPC data for OA

Elsevier BV
MDPI AG
IEEE
Springer Nature
Frontiers Media SA
Wiley-Blackwell
Informa UK Limited
IOP Publishing

Fees paid



Participating with BPC data

- BPC data is also reported and stored in a simple table format (CSV)
- 13 data fields, only **5** are mandatory when reporting BPC data to OpenAPC
- Remaining metadata is automatically imported from external sources

institution	period	euro	doi	backlist_oa	publisher	book_title	isbn	isbn_print	isbn_electronic	license_ref	in_crossref	doab
■	■	■	■	■	■	■	■	■	■	■	■	■
■	■	■	■	■	■	■	■	■	■	■	■	■
■	■	■	■	■	■	■	■	■	■	■	■	■

■ Mandatory ■ Mandatory if DOI isn't available ■ useful

Reports - BPCs

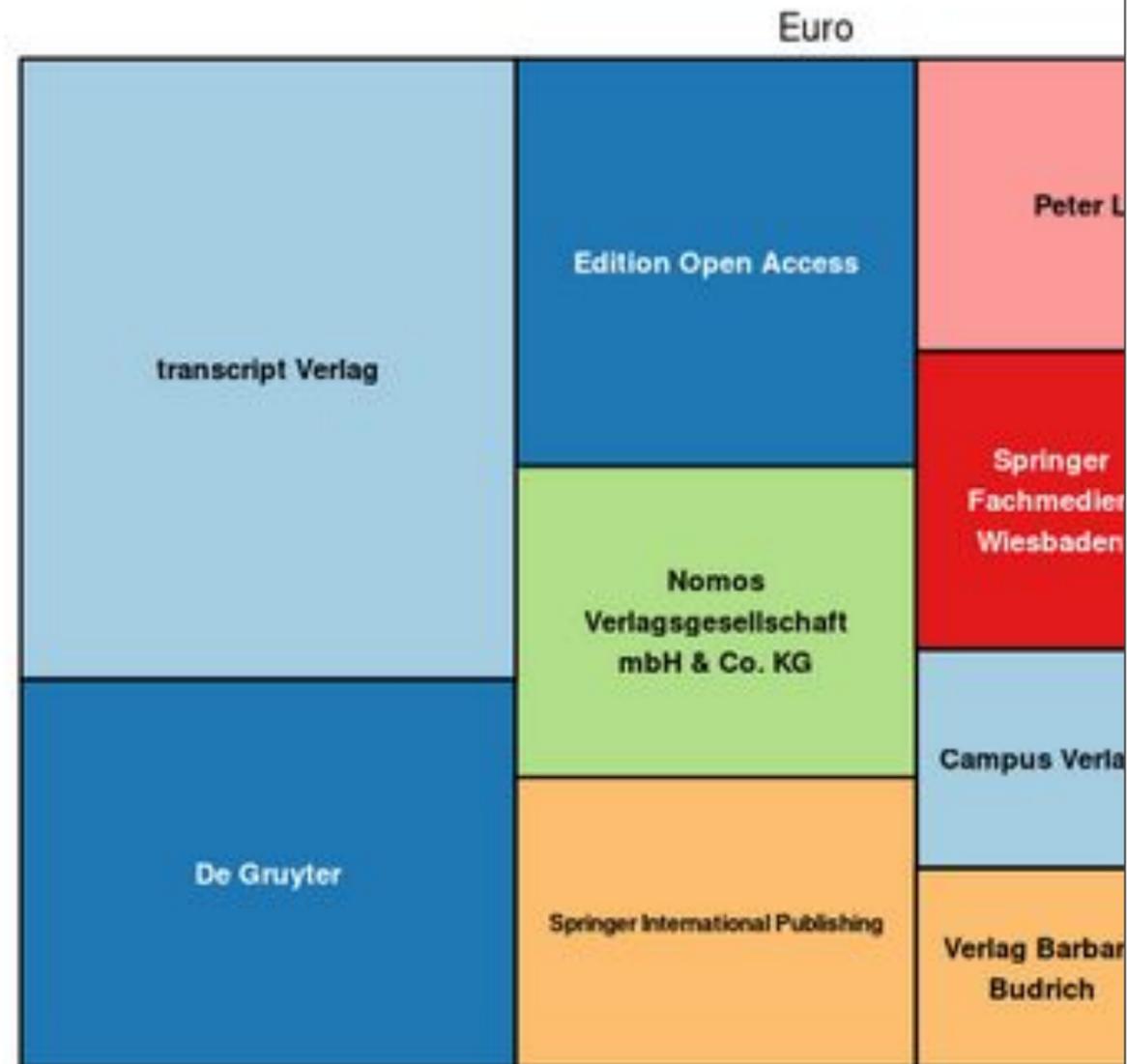
Cost data

The new data set covers publication fee expenditure amounts to 207 860€ and

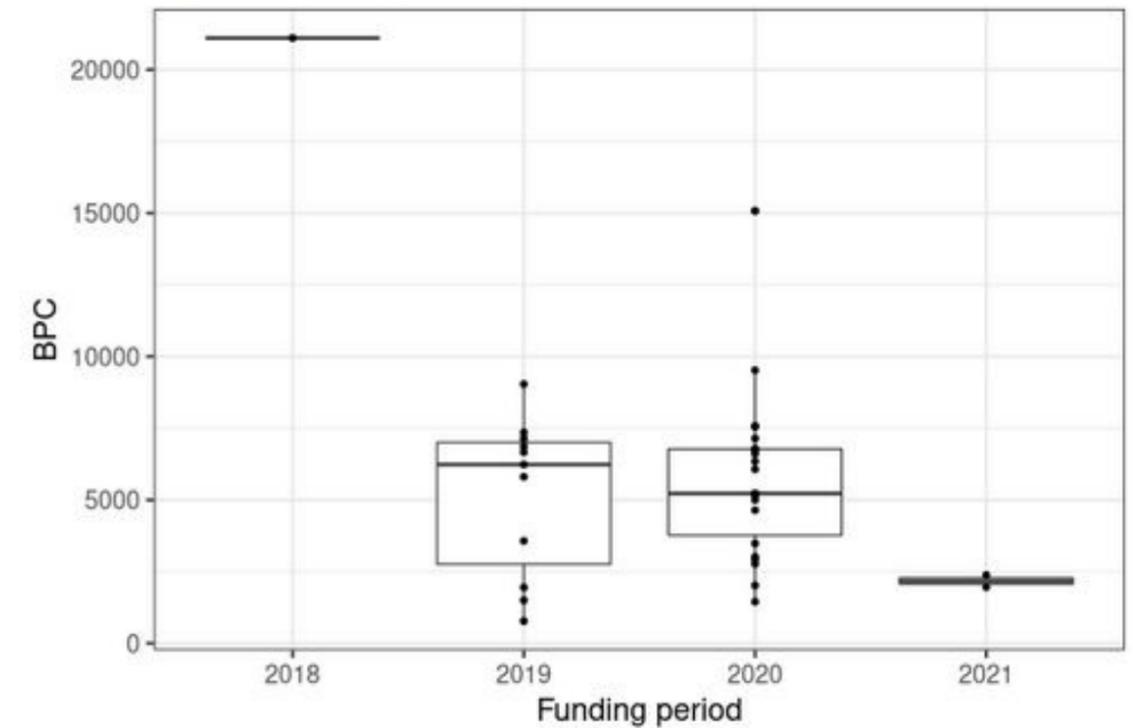
The following tables and plots provide a made to book publishers from 2018 to open access books that were funded o Library of HU Berlin.

	Article
transcript Verlag	
De Gruyter	
Nomos Verlagsgesellschaft mbH & Co. KG	
Waxmann Verlag	
Peter Lang	
Springer Fachmedien Wiesbaden	

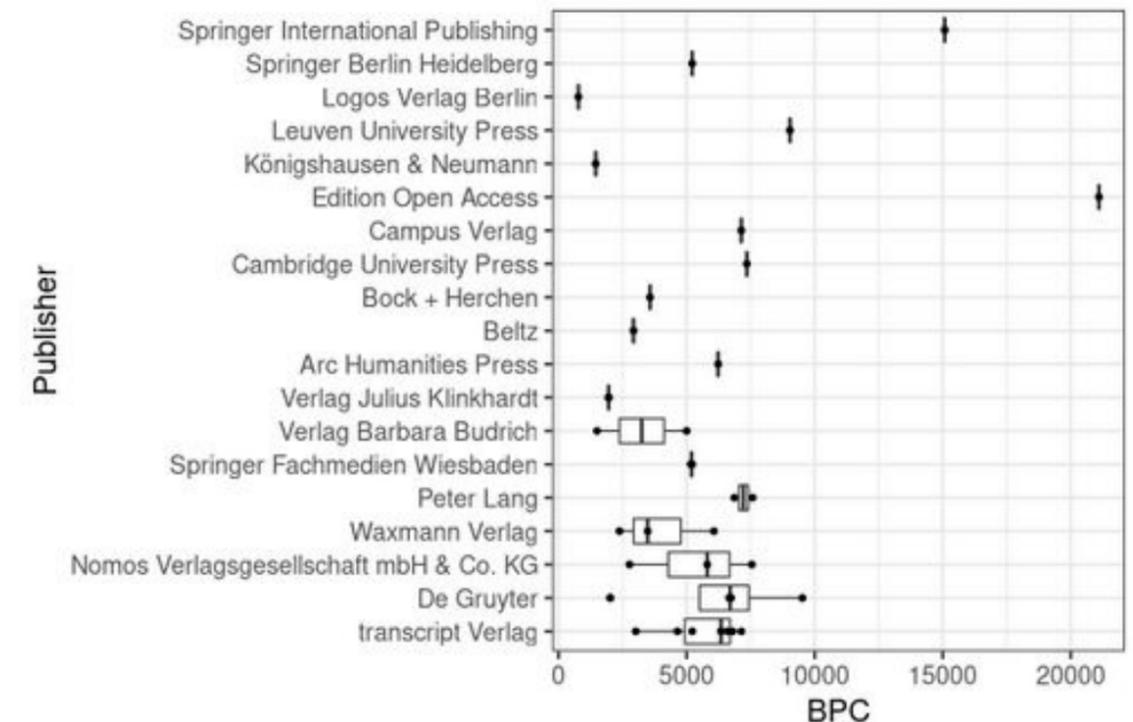
Fees paid per publisher (in EURO)



Average costs per year (in EURO)



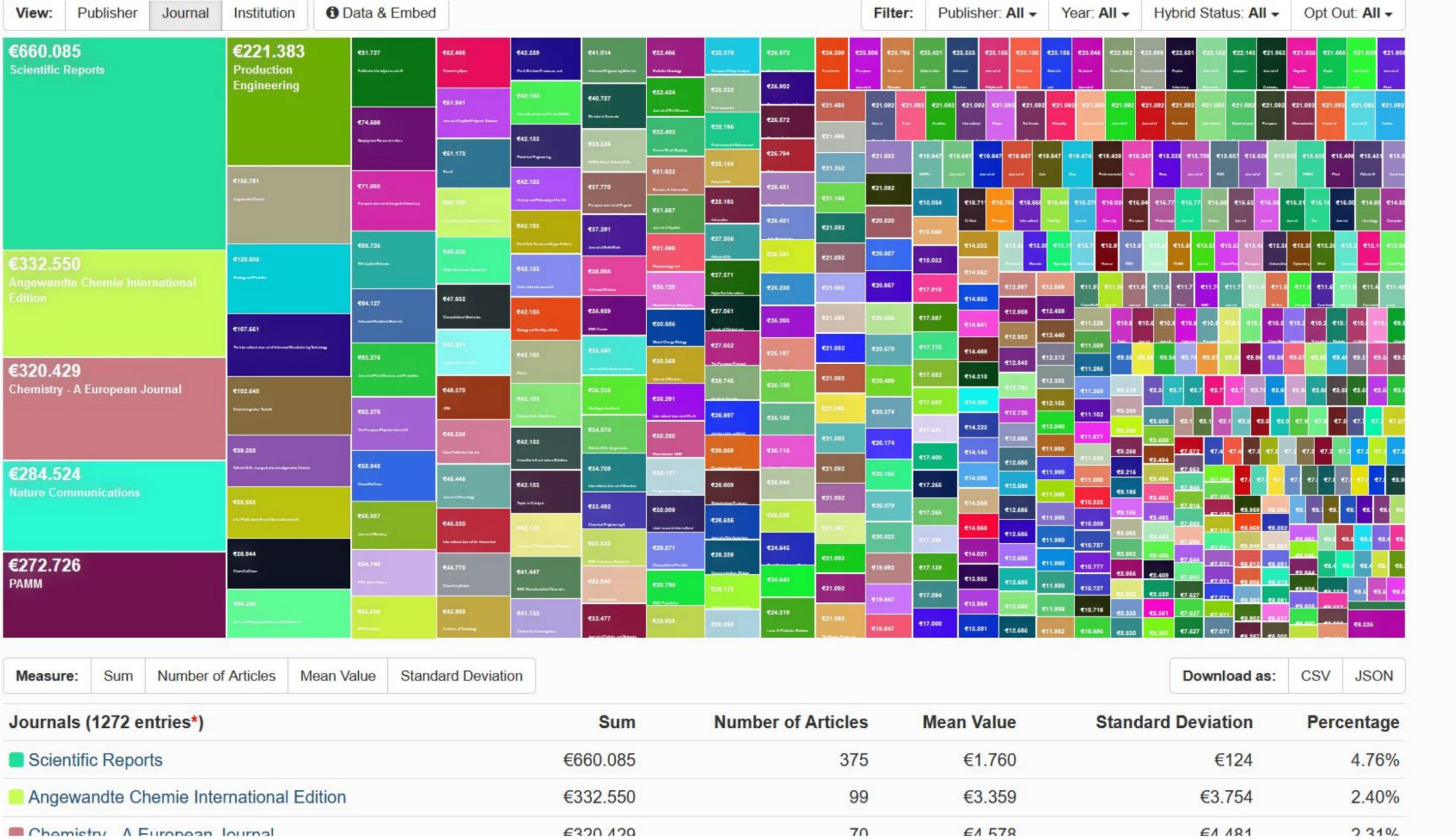
Average costs per publisher (in EURO)



Participating with TA data

- Same requirements as for APC data, but due to the complex calculation of OA article costs, most data providers don't submit institutional cost data per article in hybrid journals.
- Bibliographic data without cost information are also useful, but ...
- OpenAPC developed workflows with participating institutions of the German [DEAL agreements](#) to report cost data within a TA.

Example TA with cost data: DEAL





European Open Science Cloud

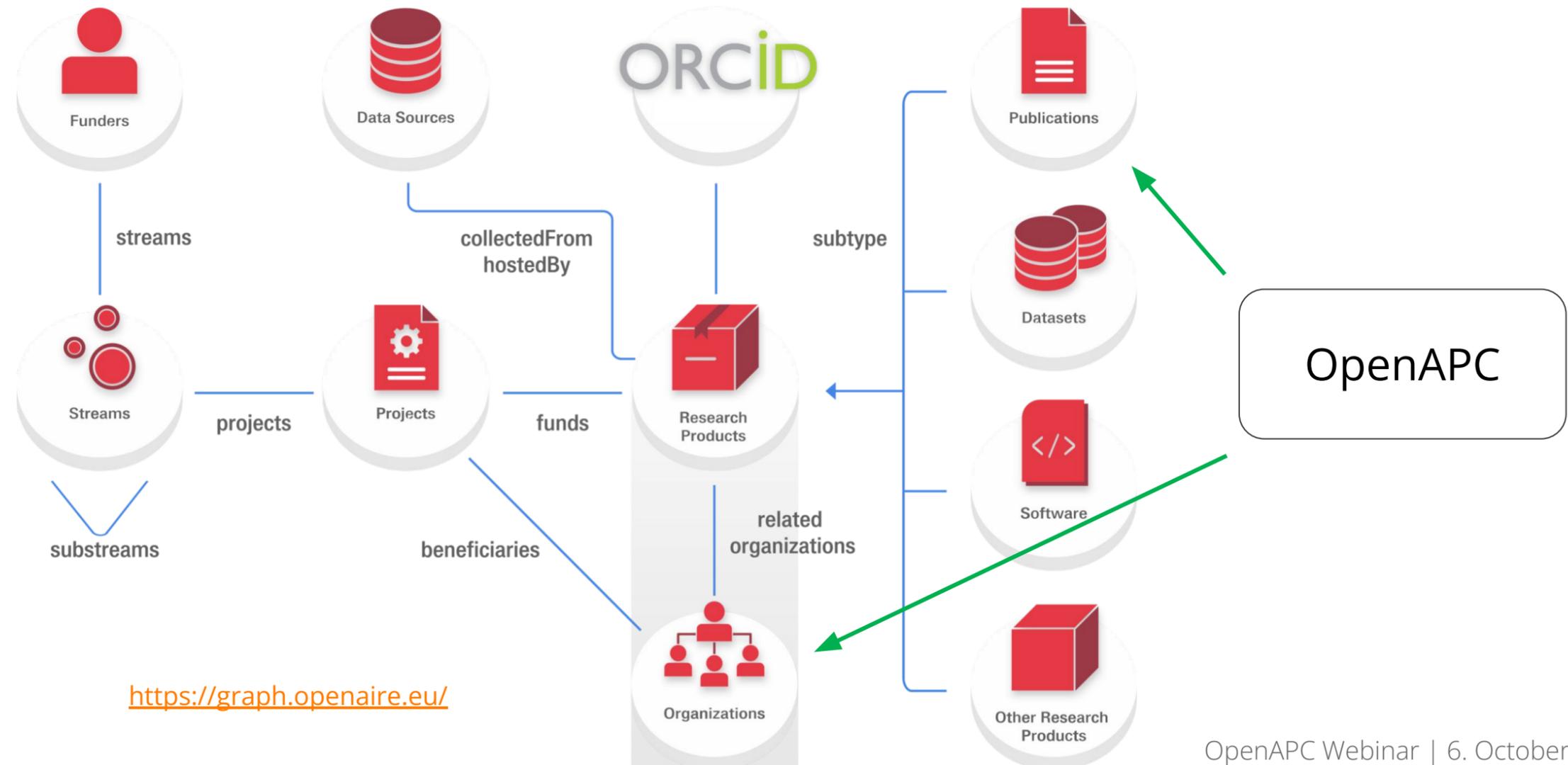
EOSC

- **Aim is to create an environment which is**
 - trustworthy, virtual, and federated
 - for interdisciplinary scientific collaboration
 - in a FAIR manner.
- **To achieve with steps of**
 - Cost estimation for OA publishing for research funders
 - Estimating the costs of publishing research results for researchers

OpenAIRE Research Graph

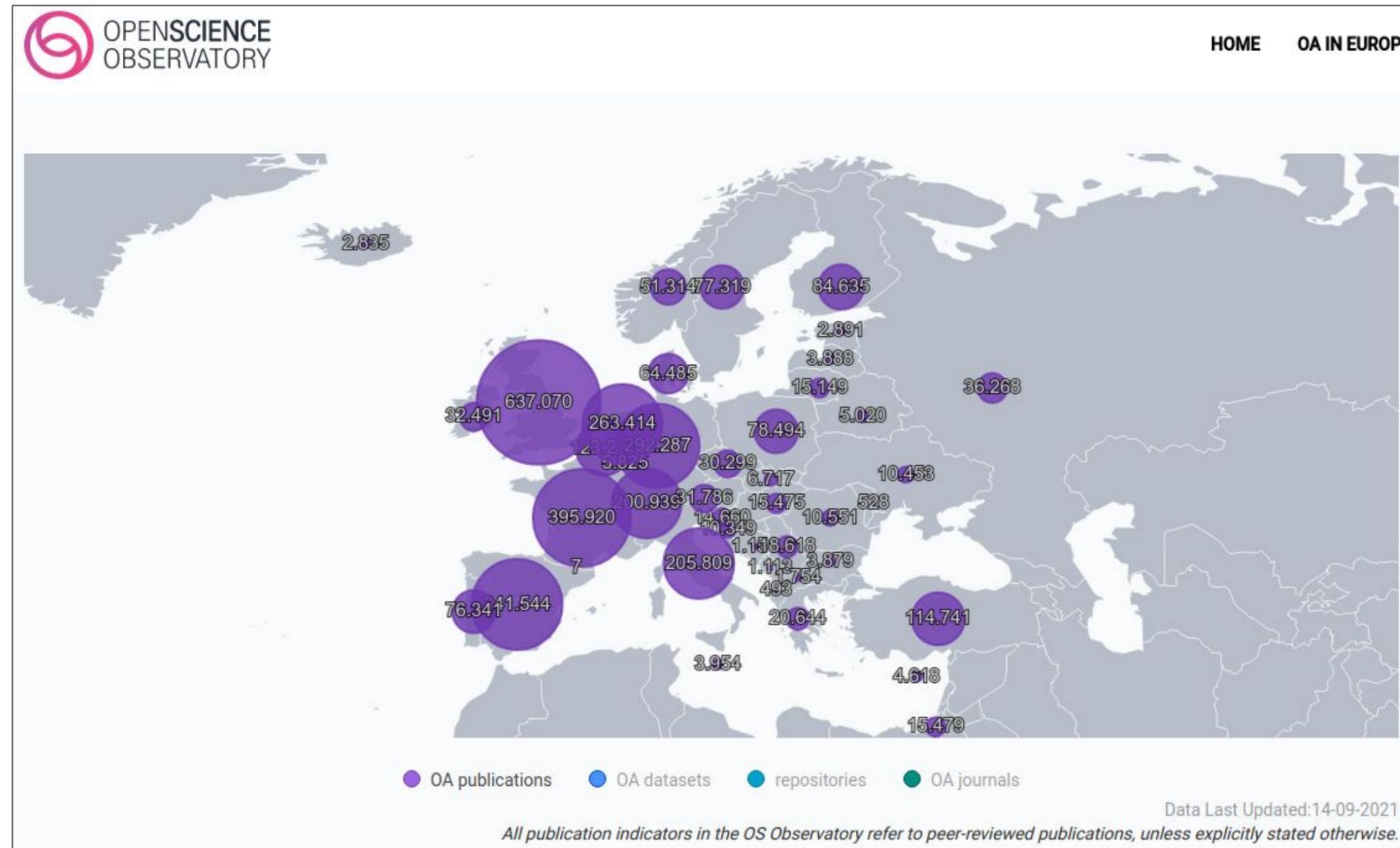


- OpenAPC is a part of *OpenAIRE Research Graph* and integrated in the *OpenAIRE services*
- APC data is enriched with organization identifiers (ROR, GRID) and integrated



EOSC service - OpenScience Observatory

- APC data will be made available in different EOSC services, eg.



Conclusion

- OpenAPC is compliant with [FAIR principles](#), fully transparent, and community driven
- Data are disseminated via [GitHub](#) (raw and enriched data), [treemaps visualisation](#) and the [OpenAPC blog](#)
- OpenAPC provides price and cost transparency, used by libraries, consortia and funders to prepare for OA transformation
- Data sets are used for e.g. [institutional reporting](#), [funder reports](#) or [bibliometric studies](#)

Conclusion

OpenAPC becomes more valuable for everyone, the more institutions contribute data.

Every data set counts, please become part of our community!

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References

- <https://OpenAPC.net>
- OpenAPC project at GitHub: <https://github.com/OpenAPC/>
- Contact for support or contributions: openapc@uni-bielefeld.de
- Application Programming Interfaces to use/embed OpenAPC data directly
 - Analytics Cube of OpenAPC: <https://olap.openapc.net>
 - scholarly metadata records: <https://api.openaire.eu>

[TreeMaps](#) and [Blog](#)

<https://github.com/OpenAPC/>

openapc@uni-bielefeld.de

<https://olap.openapc.net>

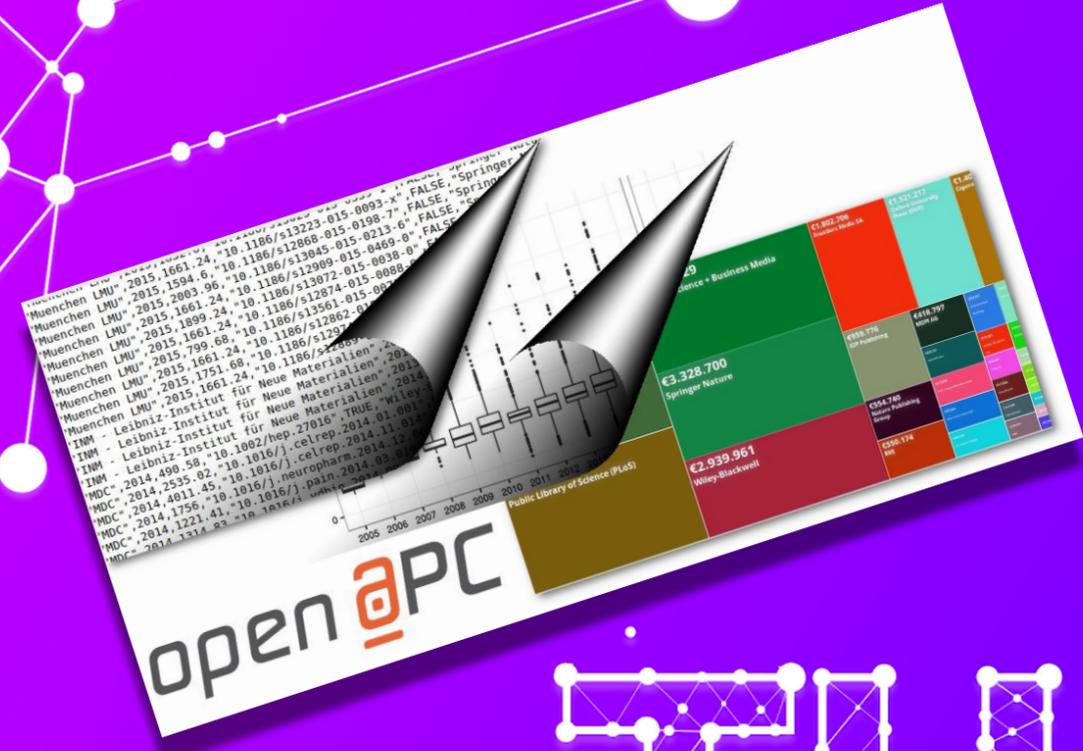
<https://api.openaire.eu>



@openaire_eu

#OpenAIRE-Nexus

Join OpenAPC! contact:
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THANK YOU

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