# Phonology Ex Nihilo aka Radical Substance-Free Phonology and why I might recant <br> Wednesday? <br> by <br> David Odden 

(Some newly discovered passages from The Theaetetus, probably penned by Aristotle) Question 1: How substance-free can one (you, me, he, she) be in the theory of grammar?
Answer 1: My good Theaetetus, completely. No principle of grammar cares whether the feature that spreads or deletes is [nasal], [round] or [voice]. The features may be A, B, C, D, E, F.

Question 2: But don't you have to be able to pronounce phonological outputs?
Answer 2: Yes, but that's handled by the phonetic interpretation component. Phonology doesn't cover what's on the other side of the door.


Question 3: But without substance, how could a child learn that $[\beta]$ is $\mathrm{A}, \mathrm{B}, \mathrm{E}$ and not $\mathrm{C}, \mathrm{F}, \mathrm{G}$ ? Answer 3: Why do you think $[\beta]$ isn't $\mathrm{C}, \mathrm{F}, \mathrm{G}$ ?

Question 4: Well, because [ $\beta$ ] is a natural class with $[\gamma, \delta]$ which are all A, and with [ m p ] which are B.
Answer 4: No, I would say that $[\gamma, \delta]$ are G and $[\mathrm{m}, \mathrm{p}]$ are F .
Question 5: But, but, but... Socrates, you're just playing with labels.
Answer 5: Moi? I'm not the one reifying the labels. Here, let me show you how you could arrive at feature classification inductively, importing no assumptions about phonetics into grammar.

Thus was born a new, minimally-nativistic linguistic theory that works both for human phonology and, with a tiny bit of jiggering, for the language of the famous Martian linguist Foley Ilähsihråm.


Note the lack of ears or tongue. It turns out lllha ${ }^{1}$ shares only $1 \%$ of the human genome but $98 \%$ of the human phonological faculty. That's why lllha invented MFP (Martian-Friendly Phonology). Martian cognition is primarily based on abstracting categories grounded in observing groups of objects that must, for a specific reason, be distinguished from another group of objects. I.e. for them, "because I can" is not enough of a reason. Martians can also hold $100^{\text {googol }}$ bits of information in their brains (a.k.a. veldig mykje), so "to economize" is not a reason.

[^0]
## The 8-Fold Assumption path

Assumption 1. The idea of "feature( $\sim$ node)", "hierarchy", and the theory of computation (the theory of grammar - what rules are, do, and how they can be arranged) are all that is in UG.
Corollary: Specific features are not in UG. The maximum number of features in a language is not in UG. Whether features are binary or monovalent is in UG (and since there is no universal content, either all features are binary, or none are).
Assumption 2. Feature assignment is arrived at inductively by reference to how sounds in the language behave phonologically - and not by authoritarian reference to physical properties of the sounds.
Assumption 3. Children acquire the segments of the language, learn underlying and surface forms of morphemes, identify alternations in the language and hold them in the form of inchoate (or final forms of) rules, and learn the contents of their language's phonetics box which tells them to pronounce segment \#41 as [u] (versus what some distant linguistic cousins do with segment \#27 namely utter [u]).
Assumption 4. Features are induced on the basis of the need to "identify", specifically to "group" and "distinguish" segments, both lexically and with respect to rules.
Corollary: Rules are written with features, but features have to be induced partially on the basis of what segments are in what classes, w.r.t. rules, so the rule-under-construction context "_ $\{t, \mathrm{~s}, \mathrm{n}, \mathrm{d}, \mathrm{l}\}$ ", which states what the child is aware of factually, maps to the rule object "_ $\left[\mathrm{F}_{3}\right]$ ". Furthermore, if two sounds $\alpha, \beta$ are grammatically distinct sounds of the language, even in lieu of natural class behavior and rule-related considerations, some feature assignment must make the sounds formally different.
Assumption 5. Segments are composed of features (i.e. features are not just set-membership labels).
Corollary: Partial matrices (floating elements) are also well-formed: to take a typical example, a floating tone has some tone features, but no (segmental) laryngeal, vowel place or even skeletal features, as opposed to a H -toned vowel which does.
Assumption 6. Rules operate in terms of the "is a" relationship (i.e. can mention A but not the lack of A), no complements. This plus the theory of privative features gives privative behavior.
Assumption 7: Structure preservation is a real requirement on phonological rules: "structure" = "registered segment" and rule outputs must produce registered segments.
Corollary: rule outputs may have to be fiddles with to preserve structure.
Assumption 8: Rules may apply vacuously (e.g. when $/ \mathrm{n} / \rightarrow\{\mathrm{m} ; \mathrm{n} ; \mathrm{n}\} /$ _ $\{\mathrm{p} ; \mathrm{t} ; \mathrm{k}\}$, either $/ \mathrm{t} /$ is not in the conditioning class A so change is precluded before /t/; or, /t/ is in the class A and $/ \mathrm{n}, \mathrm{t} /$ have the same value for the changing feature (vacuous application)).

A model of non-grammar (short-course in psycholinguistics)
Symbolization: acquire a representation of the acoustic waveform (left) as a mental symbol (right)
S=1
i.e. learn to ignore unimportant differences, pay attention to important ones. Find the units. Gram-mar-construction operates on [1] and not on يـ. Prequisite: Field Methods 550 or permission.

## Speech Production:



Puzzle for the child (not my concern): what is in 兹?
Puzzle for the phonetician (not my concern): what is the nature of 断?

Kikerewe: a Bantu language of Tanzania spoken here:
(on the dry land parts)

The noticeable (acquired) phones of Kikerewe (omitting tone) -


12345678910111213141516171819202122232425262728293031323334
Please, no peeking
[v] [omudelééva] "driver" - exhaustive list AFAIK ( $[\beta$ éé $\beta$ á] "their husbands", [kunéé $\beta$ a] "to be flexible", [ilee $\beta a]$ "dance contest".
[ $\mathrm{\eta}$ V] [kuyámúla] "to discover trickery", [kuyoloota] "to groan" - exhaustive list AFAIK (but robust before $[\mathrm{k}, \mathrm{g}]$ )
[[-nas]+̌̌] [eǰaaházi] "jahazi dhow" [ǰoomba] "maternal uncle", [ekǐjíko] "spoon" ([kußúúny̌a] "to peddle", [embúúny̌á] "jigger" etc.)
[[-nas]+b] [ebalúúwa] "letter", [kubómóka] "to fall apart", [kuhútúbiya] "to make a speech" ([mbálá] "I kick", [kußáámba] "to tied down", [mbe] "ja vel")

The phonological rules of Kikerewe. The visible changes, and guidance from the invisible hand.
$\{\mathrm{a}, \mathrm{b}, \mathrm{c}\}$ is the unordered set $\{\mathrm{a}, \mathrm{b}, \mathrm{c}\} .\{\mathrm{a} ; \mathrm{b} ; \mathrm{c}\}$ is "respectively"-ordering of sets. $\mathrm{a} \sim \mathrm{x}$ indicates that the rule could be stated in terms of /a/ or $/ \mathrm{x} /$, given rule ordering: "before $\mathrm{m} \sim \mathrm{n}$ " would mean "before $m$, or you could state it as before $n$ if this rule precedes the change of $/ \mathrm{n} /$ to $[\mathrm{m}]$ "

## Nasal Assimilation


Input $/ \mathrm{m}, \mathfrak{n}, \mathfrak{y} /$ don't appear / __C (except homorganic $C$ ) so this could refer to $/ \mathrm{n} /$ or $/ \mathrm{m}, \mathrm{n}, \mathfrak{n}, \mathfrak{y} /$, depending on what is simpler. $/ \mathrm{n}+\mathrm{j} /$ doesn't arise but [ yy ] $]$ is plentiful. $/ \mathrm{n}+\mathrm{n} /$ doesn't arise. $/ \mathrm{v} \mathrm{w} /$ don't appear in the context, and $/ \mathrm{y} /$ does something else, so these can be included or excluded ad libitum. $/ \beta 1 \mathrm{~h} / \rightarrow[\mathrm{bdp}]$ so could be included or excluded, depending on what is advantageous. $/ \mathrm{nn} /=/ \mathrm{n}: /$. /a,e,o,i,u,a:,e:,o:,i:,u:/ and /t d ls z/ could be directly excluded, or vacuously paired with output [n].

## Postnasal Fortition

$\{\beta ; 1, \mathrm{~h}\} \rightarrow\{\mathrm{b} ; \mathrm{d} ; \mathrm{p}\} /\{\mathrm{m} \sim \mathrm{n} ; \mathrm{n} ; \mathrm{m} \sim \mathrm{n}\}$ $\qquad$
/w y v/ don't appear here or else they do something else. /f s z/, also /p t č k b dy jg/ do appear here, and are unchanged.

## Vowel Harmony

```
{i;u} -> {e;o} / {e,o;o} {p,t,č,k,f,s,\beta,z,b,d,y,g,w,l,y,h,m,n,n,y}o
```

$\qquad$

Rare $/ \mathrm{v} /$ is never attested in $\mathrm{X}_{0}$. Note the famous Bantu asymmetry: $/ \mathrm{u} / \rightarrow[\mathrm{o}]$ only after $/ \mathrm{o} /$.

## Fusion

$$
a+\{u, o ; i, e ; a\} \rightarrow\{e: ; \text { o:; a: }\} \quad \text { word-internally only }
$$

## Phrasal V-whack <br> \{a,e\} \# \{a;e;i;o\} $\rightarrow$ \{a:;e:;i:;o:\}

/u/ does not appear word-initially; there are no long vowels word-initially or word finally.

## Degemination

\{a,a:; e,e:; i,i:; o,o:; u,u:\}+ \{m:; n:; n:; ŋ:\} $\rightarrow$ \{a:; e:; i:; o:; u:\}+\{m; n; n; n\}

## Pre-NC lengthening


$/ \mathrm{mm} \sim \mathrm{m}: / \mathrm{etc}$, so $/ \mathrm{mm}, \mathrm{nn} /$ etc don't arise. $/ \beta 1 \mathrm{~h} /$ go away, $/ \mathrm{v} /$ doesn't appear in this context.

## Final Shortening

\{a:;e:;o:;i:;u:\} $\rightarrow$ \{a;e;;;;;u\} / __ \#

## Glide Formation

$$
\{i ; u ; o\}\{+, \#\}\{a ; e ; i ; o ; u\} \rightarrow\{y ; w ; w\}+\text { \{a;;e:;i:; ;:;u:\} }
$$

o\#V only arises phrasally; e\#V similarly, but can be excluded by prior Phrasal V-whack

## Coronal mutation

$\{t ; 1 ; \mathrm{d}\} \rightarrow\{\mathrm{s} ; \mathrm{z} ; \mathrm{z}\} /$ $\qquad$ $\mathrm{i}_{\mathrm{X}} \quad$ (a class of 3 morphemes beginning with $/ \mathrm{i} /$ )
all consonants except [v] can appear before those morphemes

## Rules that refer just to single segments

$\mathrm{ky} \rightarrow \mathrm{c} \quad$ ( kw is not changed)
$\mathrm{y} \rightarrow \varnothing / \ldots \mathrm{i} \quad$ (/wu/ does not arise, other glide +V are retained except that...)
$\mathrm{y} \rightarrow \varnothing / \overline{\mathrm{V}}$ _ (optional: w does not occur)
$\mathrm{y} \rightarrow \varnothing / \mathrm{w} \quad$ _ (yw does arise and isn't changed)

## Now induce a grammar

Scanning for sets of segments with overlap in membership
Phrasal V-whack: $\quad\{a ; e ; i ; 0\}, \rightarrow\{a: ; \mathrm{e}: ; \mathrm{i}: ; \mathrm{o}:\}$
Degemination: $\quad$ a,a:; e,e:; i,i:; o,o:; u,u:\}, $\rightarrow$ \{a:;e:;i:;o:;u:\}
Glide Formation: $\quad$ a;e;;;;; u\}, $\rightarrow$ \{a:;e:;i:;o:;u:\}
Pre-NC length: $\quad\{a ; e ; o ; i ; u\}, \rightarrow$ \{a:;e:;o:;i:;u:\}
Final shortening: $\quad\{a ; ; e ; ; 0 ; ; i ; ; u:\}, \rightarrow$ \{a;e;;;i;u\}
The preceding are included in $\{\mathrm{a}, \mathrm{a}: ; \mathrm{e}, \mathrm{e}: ; \mathrm{i}, \mathrm{i}: ; \mathrm{o}, \mathrm{o}: ; \mathrm{u}, \mathrm{u}:\}$ (trigger for degemination), establishing $\mathrm{F}_{1} . \mathrm{F}_{1}$ is exhaustively partitioned into \{a:;e:;i;;o:;u:\} (output of degemination, pre-NC length) $=\mathrm{F}_{2}$ vs. $\{\mathrm{a} ; \mathrm{e} ; \mathrm{o} ; ; ; \mathrm{u}\}=\mathrm{F}_{3}$ (output of shortening). This fully distinguishes long and short versions of vowels.
"Consonants"

| $\{\mathrm{p}, \mathrm{t}, \mathrm{c}, \mathrm{c}, \mathrm{k}, \mathrm{f}, \mathrm{s}, \beta, \mathrm{z}, \mathrm{b}, \mathrm{d}, \mathrm{j}, \mathrm{g}, \mathrm{w}, \mathrm{l}, \mathrm{y}, \mathrm{h}, \mathrm{m}, \mathrm{n}, \mathrm{n}, \mathrm{y}\}$ | vowel harmony |
| :--- | :--- |
| $\{\mathrm{p}, \mathrm{t}, \mathrm{c}, \mathrm{k}, \mathrm{f}, \mathrm{s},(\beta), \mathrm{z} ; \mathrm{b}, \mathrm{d}, \mathrm{j}, \mathrm{g},(\mathrm{w}, \mathrm{l}, \mathrm{y}, \mathrm{h}, \mathrm{m}, \mathrm{n}, \mathrm{n}, \mathrm{y})\}$ | pre-NC length |

This motivates $\mathrm{F}_{4}=$ " C " excluding [v], and yields the strict partitioning:


## Vowel height

Fusion:

$$
\{\mathrm{u}, \mathrm{o} ; \mathrm{i}, \mathrm{e}\} \rightarrow\{\mathrm{e}: ; \mathrm{o}:\} \quad \text { (caused by } / \mathrm{a} / \text { ) }
$$

Vowel Harmony: $\quad\{\mathrm{i}(\mathrm{e}) ; \mathrm{u}(\mathrm{o})\} \rightarrow\{\mathrm{e} ; \mathrm{o}\} \quad$ (trigger $/ \mathrm{e}, \mathrm{o} /$ for $/ \mathrm{i} /$; relation of $/ \mathrm{i} /$ to $/ \mathrm{e} /, / \mathrm{u} /$ to $/ \mathrm{o} /$ )
$\{\mathrm{u}, \mathrm{o}\}$ and $\{\mathrm{i}, \mathrm{e}\}$ observed in fusion: shared feature(s) define these sets. Harmony (of $i$ ) indicates that $/ \mathrm{e}, \mathrm{o} /$ have $\mathrm{F}_{5}$ which spreads. $/ \mathrm{i} /$, /e/ differ only in $\mathrm{F}_{5}$. Thus $\mathrm{F}_{5} \equiv\{\mathrm{e}, \mathrm{o}\}$ (for now).

What is retained when $/ \mathrm{a} /$ fuses? $\mathrm{F}_{5}$ ? If /a/ were $\mathrm{F}_{5}$, it would trigger Harmony, contra naturem. So either (A) $/ \mathrm{a} /$ is not $\mathrm{F}_{5}$, or $(\mathrm{B})^{\oplus}$ it is and Harmony also refers to $\mathrm{F}_{\mathrm{n}}$. Since fusion only results in change to $\mathrm{F}_{5}$ by combining with $/ \mathrm{a} /, \mathrm{la} /$ must have some property (cannot be fattiglæm).
Can A be true? If $/ \mathrm{a} /$ is not $\mathrm{F}_{5}$, it is $\mathrm{F}_{6}$. Anticipating a feature for $/ \mathrm{i}, \mathrm{e} / \mathrm{vs}$. $/ \mathrm{u}, \mathrm{o} /$ where $/ \mathrm{i}, \mathrm{e} /$ are $\mathrm{F}_{7}$, then:

| i | e | u | o | a |
| :--- | :--- | :--- | :--- | :--- |
|  | 5 |  | 5 |  |

6
$7 \quad 7$
$a+i$ i.e. $6+7$ gives an illegal vowel, repaired by $6 \rightarrow 5 /[\ldots 7]$. This fails to explain $[a+u] \rightarrow[0]$. Therefore, $/ \mathrm{u},(\mathrm{o}) /$ have $\mathrm{F}_{8}, 6+8$ is also illegal, and $6 \rightarrow 5 /[\ldots 8]$. These two rules merge with a generalized version of the structure-preserving rule if both 7 and 8 are grouped as $\mathrm{F}_{9}$. Thus:

| i | e | u | o | a |
| :--- | :--- | :--- | :--- | :--- |
|  | 5 |  | 5 |  |

$7 \quad 7$

|  |  | 8 | 8 |
| :--- | :--- | :--- | :--- |
| 9 | 9 | 9 | 9 |

But $\mathrm{F}_{8} \neq \mathrm{F}_{9}$ was superfluous: we only needed a way of referring to $\{\mathrm{i},(\mathrm{e}), \mathrm{u},(\mathrm{o})\}$. So finally:

| i | e | u | o | a |
| :--- | :--- | :--- | :--- | :--- |
|  | 5 |  | 5 |  |

6
$7 \quad 7$
$\begin{array}{llll}8 & 8 & 8 & 8\end{array}$
Go $\quad / \mathrm{a} /$ is $\mathrm{F}_{5}$ but lacks a feature of $/ \mathrm{e}, \mathrm{o} /$, and Harmony refers to that feature. $\mathrm{F}_{7}$ is the difference direct evidence for that. Thus:

| i | e | u | o | a |
| :--- | :--- | :--- | :--- | :--- |
|  | 5 |  | 5 | 5 |
|  | 6 |  | 6 |  |
| 7 | 7 |  |  |  |

Harmony spreads $\mathrm{F}_{5}$ from $\mathrm{F}_{6}$. Fusion combines $\mathrm{F}_{5}$ and the features of the second vowel, so $/ \mathrm{i} /\left(\mathrm{F}_{7}\right)+$ $/ \mathrm{a} /\left(\mathrm{F}_{5}\right)$ gives $\left[\mathrm{F}_{7}, \mathrm{~F}_{5}\right]$, which does not exist but can be created by structure-preservingly supplying $\mathrm{F}_{6}$ to any $\left[\mathrm{F}_{7}, \mathrm{~F}_{5}\right]$. But again this fails for $/ \mathrm{u} /$ which is featureless (so far). Conclusion: $/ \mathrm{u} /$ is $\mathrm{F}_{6}$ as well. /i/ can be underlyingly $F_{6}$, eliminating the requirement for a $F_{6}$-supplying mechanism. So:

| i | e | u | o | a |
| :--- | :--- | :--- | :--- | :--- |
|  | 5 |  | 5 | 5 |
| 6 | 6 | 6 | 6 |  |
| 7 | 7 |  |  |  |

Notice that A and B are essentially identical, except whether a feature identifies /a/. The B solution posits fewer feature entities, so is assumed, following Ockham (1321) and forthcoming.

## Vowel color

GF indicates a relation between $/ \mathrm{i} ; \mathrm{u} /$ and $/ \mathrm{y} ; \mathrm{w} /: / \mathrm{i}, \mathrm{y} /$ is $\mathrm{F}_{7}$ and $/ \mathrm{u}, \mathrm{w} /$ not; or vice versa (i.e. $\mathrm{F}_{1} \rightarrow \mathrm{~F}_{4}$ and $/ \mathrm{i}, \mathrm{y} /, / \mathrm{u}, \mathrm{w} /$ are the same except for $\mathrm{F}_{1} / \mathrm{F}_{4}$ ). Since $k y \rightarrow \check{c}$ and $w$ does not trigger, $/ \mathrm{y} /$ must have a mark. Thus $/ \mathrm{i}, \mathrm{y} /$ are $\mathrm{F}_{7}$ and $/ \mathrm{u}, \mathrm{w} /$ are not. Additionally, mutation is triggered by /i/ (lexically specified), and $/ \mathrm{y} /$ deletes after a glide but /w/ actively doesn't. This gives a complete differentiation of vowels (above), based exclusively on the metatheoretical principle that a segment has a feature $F_{n}$ only if it is required to distinguish the segment from other segments not so referred to in a rule.

## Special problems (cracks to not trip on)

Harmony: /i/ lowers after $\{\mathrm{e}, \mathrm{o}\}$; /u/ lowers after /o/, not /e/. Maybe /o/ has a property which /e/ lacks (a new feature). If $u$-lowering is a separate rule, /o/ must be distinguished from /e/ and $/ \mathrm{u}$ / (non-triggers): /o/ is $\mathrm{F}_{8}$, /e/ is not.
/a/ fuses word-internally, deletes phrasally. /e,o/ not prevocalic in words; phrasally, all Vcombinations are possible except $\mathrm{V} \# \mathrm{u}$. So regarding deletion (a,e) and glide formation (i,o,u): (A) 2 rules or 3? and (B) GF before deletion, or the opposite?

$$
\text { 1. } \quad \operatorname{GF}(\mathrm{i}, \mathrm{u}), \mathrm{GF}(\mathrm{o})>\operatorname{Deletion}(\mathrm{V})
$$

2. Deletion $(\mathrm{a}, \mathrm{e})>\operatorname{GF}(\mathrm{V})$
3. $\quad \mathrm{GF}(\mathrm{i}, \mathrm{u}, \mathrm{o})>$ Deletion $(\mathrm{V})$

1 requires a feature for $/ \mathrm{i}, \mathrm{u} / .2$ requires a feature for $/ \mathrm{a}, \mathrm{e} / .3$ requires a feature for $/ \mathrm{i}, \mathrm{u}, \mathrm{o} / . / \mathrm{o} /$ triggering $u$-lowering shows that/o/ has a feature distinguishing it from /e/. Simplest solution: the feature for $u$-harmony is the feature for phrasal vowel patterns, ruling out 2.1 differs from 3 only in positing 2 rules rather than 1 for GF, and requring a feature for $/ \mathrm{i}, \mathrm{u} /$. Thus $\mathrm{F}_{8}$ for $/ \mathrm{i}, \mathrm{u}, \mathrm{o} /$. GF applies to $\mathrm{F}_{8}$ vowels, and deletion applies to any remaining V-V. Final answer:

| i | e | u | o | a | Vowel length and <br>  <br>  <br> 6 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 5 |  | 5 | 5 | 6 | 6 |
| 7 | 7 |  |  |  |  |
| 8 |  | 8 | 8 |  |  |

## Consonants

GF reveals the features of $/ \mathrm{y} /$ and $/ \mathrm{w} /$. The main C rules are Nasal Assimilation, Postnasal Fortition, Degemination, Pre-NC lengthening, and Coronal mutation. Nasals are actively referred to by Degemination and Pre-NC lengthening (context of N : and NC ). Thus $/ \mathrm{mnng} /$ are $\mathrm{F}_{9}$.

Nasal Assimilation motivates 4 features: $\mathrm{F}_{10}$ shared by $/(\mathrm{h}), \mathrm{b},(\beta), \mathrm{f}, \mathrm{p}, \mathrm{m} / ; \mathrm{F}_{11}$ shared by $/ \check{c}$, $(\mathfrak{j}), \mathrm{n} /$; and $\mathrm{F}_{12}$ shared by $/ \mathrm{k}, \mathrm{g} /$. A first approximation:



"Feature" can be feature-sets, so $\mathrm{F}_{13}=\left\{\mathrm{F}_{10}, \mathrm{~F}_{11}, \mathrm{~F}_{12}\right\}$. Spreading $\mathrm{F}_{13}$ carries the subordinate features, as usual. Features are not recursive (features do not dominate themselves). Structure is "flat" in lieu of evidence for grouping (simultaneous operation on multiple features).
$\mathrm{F}_{14}$ refers to $/ \beta 1 \mathrm{~h} /$ (fortition): $\{\beta, \mathrm{b}\},\{1, \mathrm{~d}\}$ and $\{\mathrm{h}, \mathrm{p}\}$ are identical except that the first member is identified by $\mathrm{F}_{14}$ and the second is not. Then $\mathrm{F}_{14} \rightarrow \varnothing / \mathrm{F}_{9} \ldots . \mathrm{F}_{15}$ identifies the targets of Coronal Mutation (/l d t/). Unless the change is deletion of $\mathrm{F}_{15},\{\mathrm{~s}, \mathrm{z}\}$ are $\mathrm{F}_{15}$ since they derive from segments that are $\mathrm{F}_{15}$. The sets $\{\mathrm{t}, \mathrm{s}\}$ and $\{1, \mathrm{~d}, \mathrm{z}\}$ are featurally identical except for one new feature (plus $\mathrm{F}_{14}$ which distinguishes $\{1, \mathrm{~d}\}$ ). This gives the partial solution:

| f | p | t | č | k | $\beta$ | v | b | d | ǰ | g | l | s | z | h | m | n | n | y |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 9 | 9 | 9 | 9 |
| 10 | 10 |  |  |  | 10 |  | 10 |  |  |  |  |  |  | 10 | 10 |  |  |  |
|  |  |  | 11 |  |  |  |  |  | 11 |  |  |  |  |  |  |  | 11 |  |
|  |  |  |  | 12 |  |  |  |  |  | 12 |  |  |  |  |  |  |  | 12 |
| 13 | 13 |  | 13 | 13 | 13 |  | 13 |  | 13 | 13 |  |  |  | 13 | 13 |  | 13 | 13 |
|  |  |  |  |  | 14 |  |  |  |  |  | 14 |  |  | 14 |  |  |  |  |
|  |  | 15 |  |  |  |  |  | 15 |  |  | 15 | $(15)$ | $(15)$ |  |  |  |  |  |

Not yet distinguished: $\{\mathrm{f}, \mathrm{p}, \mathrm{b}\},\{\mathrm{t}, \mathrm{d},(\mathrm{s}, \mathrm{z})\},\left\{\check{c}_{\mathrm{c}}, \mathfrak{\jmath}\right\},\{\mathrm{k}, \mathrm{g}\}$, entailing at least $\mathrm{F}_{16}$. If $\{\mathrm{s}, \mathrm{z}\}$ are not $\mathrm{F}_{15}$, we must distinguish among $\{\mathrm{v}, \mathrm{s}, \mathrm{z}\}$. Assume that $\{\mathrm{s}, \mathrm{z}\}$ are $\mathrm{F}_{15}$. Because $k y$ becomes $\check{c}, / \mathrm{k}, \mathrm{c} /$ have common feature(s) not found in all consonants. Either $/ \mathrm{k}, \check{\mathrm{c}} /$ are $\mathrm{F}_{16}$ and $/ \mathrm{g}, \mathrm{j} /$ are not, or vice versa.

This leaves just $\{\mathrm{f}, \mathrm{p}, \mathrm{b}\}$, $\{\mathrm{t}, \mathrm{d}, \mathrm{s}, \mathrm{z}\}$, entailing $\mathrm{F}_{16}$ or another feature). Ex hypothesii $/ \beta, 1 \mathrm{~h} / \mathrm{h}$ and $/ \mathrm{b}, \mathrm{d}, \mathrm{p} /$ differ only in the former having $\mathrm{F}_{14}$. So either $/ 1, \mathrm{~d} /$ are $\mathrm{F}_{16}$ and $/ \mathrm{t} /$ is not, or vice versa. Given the coronal-mutation analogy, we would also put $/ \mathrm{z} /$ in a set with $/ 1, \mathrm{~d} /$ and $/ \mathrm{s} /$ in with $/ \mathrm{t} /$. No other rules refer specifically to the undistinguished segments (we cannot decide between marking $\{\mathrm{p}, \mathrm{t}, \check{\mathrm{c}}, \mathrm{k}\}$ and leaving $\{\mathrm{b}, \mathrm{d}, \mathfrak{\mathrm { y }}, \mathrm{g}\}$ unmarked or the converse based on rules). Apart from $\{\mathrm{k}, \check{c}\}$ relationship, nothing indicates that $\{\mathrm{p}, \mathrm{t}, \mathrm{c}, \mathrm{k}\}$ that are a class - perhaps $\{\mathrm{b}, \mathrm{d}, \mathrm{c}, \mathrm{k}\}$ vs. $\{\mathrm{p}, \mathrm{t}, \check{\mathrm{j}}, \mathrm{g}\}$ ?

There are at most 4 segments in an undifferentiated set, so two features suffice: $\mathrm{F}_{16}$ and $\mathrm{F}_{17}$. Values can be assigned at random (keeping $\{k, \check{c}\}$ together, also $\{\beta, \mathrm{b}\}$ and $\{\mathrm{h}, \mathrm{p}\}$ ). In the row for $\mathrm{F}_{16}$, indices on marked values and the corresponding non-subscripted letter indicate which segment the $i$ th phoneme needs to have an opposite value from. Voiceless consonants and fricatives arbitrarily marked for a feature.

| f | p | t | č | k | $\beta$ | v | b | d | j | g | l | s | z | h | m | n | n | y |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 9 | 9 | 9 | 9 |
| 10 | 10 |  |  |  | 10 |  | 10 |  |  |  |  |  |  | 10 | 10 |  |  |  |
|  |  |  | 11 |  |  |  |  |  | 11 |  |  |  |  |  |  |  | 11 |  |
|  |  |  |  | 12 |  |  |  |  |  | 12 |  |  |  |  |  |  |  | 12 |
| 13 | 13 |  | 13 | 13 | 13 |  | 13 |  | 13 | 13 |  |  |  | 13 | 13 |  | 13 | 13 |
|  |  |  |  |  | 14 |  |  |  |  |  | 14 |  |  | 14 |  |  |  |  |
|  |  | 15 |  |  |  |  |  | 15 |  |  | 15 | 15 | 15 |  |  |  |  |  |
|  | $16_{\mathrm{i}}$ | $16_{\mathrm{j}}$ | $16_{\mathrm{k}}$ | $16_{\mathrm{k}}$ | i |  | i | j | k | k | j | $16_{\mathrm{j}}$ | j | $16_{\mathrm{i}}$ |  |  |  |  |
| $17_{\mathrm{i}}$ |  | j |  |  |  |  | i | k |  |  | k | $17_{\mathrm{j}}$ | $17_{\mathrm{k}}$ |  |  |  |  |  |

## Feature Recycling

Vowels use $\mathrm{F}_{5-8}$, and are distinguished from consonants with $\mathrm{F}_{1}$ and $\mathrm{F}_{4}$, so these can be used for consonants. Features can be reduced by using $\mathrm{F}_{5-8}$ on consonants (deleting 4 consonant features). It does not initially seem to matter which 4 are "recycled". If features are recycled, rules may be restated, e.g. vowel harmony only applies to an $\mathrm{F}_{1}$ segment that is $\mathrm{F}_{6}$. The cost of feature reduction is rule-complication; this brings up the classic praeter neccesitatem question. Also be sure that the glides can be dealt with. But: $\mathrm{ky} \rightarrow \mathrm{c}$ indicates that $\mathrm{F}_{7}=\mathrm{F}_{11}$, hence neccesitatem.

Concretely, assume a language with $[\mathrm{i}, \mathrm{e}]$ and $[\mathrm{k}, \mathrm{q}]$; also assume sufficient active evidence for partitioning segments into "consonants" $\left(=\left[\mathrm{F}_{1}\right]\right)$ and "vowels" $\left(=\left[\mathrm{F}_{2}\right]\right)$. Further assume no rule natural class evidence showing that [i:e::k:q]. Finally assume a rule that refers to [e] to the exclusion of $i$ and also $q$.

$$
\begin{array}{ll}
\text { More features, simpler rules } & \text { Fewer features, more complex rules } \\
/\left[\mathrm{F}_{1}\right],\left[\mathrm{F}_{2}\right],\left[\mathrm{F}_{1}, \mathrm{~F}_{3}\right],\left[\mathrm{F}_{2}, \mathrm{~F}_{4}\right] / & / \mathrm{k} \mathrm{q} \mathrm{i} \mathrm{e} / \\
/ \mathrm{e} /=\left[\mathrm{F}_{4}\right] & /\left[\mathrm{F}_{1}\right],\left[\mathrm{F}_{2}\right],\left[\mathrm{F}_{1}, \mathrm{~F}_{3}\right],\left[\mathrm{F}_{2}, \mathrm{~F}_{3}\right] / \\
& / \mathrm{e} /=\left[\mathrm{F}_{2}, \mathrm{~F}_{3}\right]
\end{array}
$$

Does the number of rules picking /e/ matter, i.e. 2 such rules favors "more features, simpler rules"? I say yes, and that's due to the nature of the concept "generalization". Generalizing meaning "abstracting over two or more similar things, focusing on the common properties and setting aside the differences". To disregard the common characteristic is "missing a generalization" about a common cause, in violation of the Obligatory Generalization Principle. When you have a single instance (rule, for example), there is no "generalization", just an ungeneralizable observation. Then the tradeoff is to posit a new feature, vs. add mention of a feature in a rule. Here, I argue that the evidence for a new feature isn't compelling.

Since $F_{9}$ includes both $F_{7}$ and non- $F_{7}$ members (given $F_{7}=F_{11}$ ), then $F_{9}$ cannot stand for a feature for so-called "place of articulation", idem $\mathrm{F}_{13}$. Plausible candidates for feature-collapsing include (consonant) $\mathrm{F}_{10}$, $\mathrm{F}_{12}$, $\mathrm{F}_{15}$, which could map to (vowel) $\mathrm{F}_{5}, \mathrm{~F}_{6}, \mathrm{~F}_{8}$. No grammatical facts force a particular mapping, so randomly map $\mathrm{F}_{10}=\mathrm{F}_{5}, \mathrm{~F}_{12}=\mathrm{F}_{6}, \mathrm{~F}_{15}=\mathrm{F}_{8}$ (divide by 2), and renumber:

| f | p | t | č | k | $\beta$ | v | b | d | j | g | l | s | z | h | m | n | n | y |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 9 | 9 | 9 | 9 |
| 5 | 5 |  |  |  | 5 |  | 5 |  |  |  |  |  |  | 5 | 5 |  |  |  |
|  |  |  | 7 |  |  |  |  |  | 7 |  |  |  |  |  |  |  | 7 |  |
|  |  |  |  | 6 |  |  |  |  |  | 6 |  |  |  |  |  |  |  | 6 |
| 10 | 10 |  | 10 | 10 | 10 |  | 10 |  | 10 | 10 |  |  |  | 10 | 10 |  | 10 | 10 |
|  |  |  |  |  | 11 |  |  |  |  |  | 11 |  |  | 11 |  |  |  |  |
|  |  | 8 |  |  |  |  |  | 8 |  |  | 8 | 8 | 8 |  |  |  |  |  |
|  | $12_{\mathrm{i}}$ | $12_{\mathrm{j}}$ | $12_{\mathrm{k}}$ | $12_{\mathrm{k}}$ | i |  | i | j | k | k | j | $12_{\mathrm{j}}$ | j | $12_{\mathrm{i}}$ |  |  |  |  |
| $13_{\mathrm{i}}$ |  | j |  |  |  |  | i | k |  |  | k | $13_{\mathrm{j}}$ | $13_{\mathrm{k}}$ |  |  |  |  |  |

See Odden (1992) for discussion of vast-search problems in deriving maximally-cheap URs, both w.r.t. count of used features and the total volume of ink in writing out the inventory (no consideration of lexical or speech-token frequency). I assume only type-minimalist assumptions about features, viz. posit no feature that lacks data-based justification, and posit no limits on the use of justified features which are not themselves justified by facts, so smoke 'em if you've got 'em.

## Empirical Underdetermination Justified Escape Clause

Suppose Language ${ }_{1999}$ presents no grammatical data to indicate whether $\{\mathrm{p}, \mathrm{b}, \mathrm{m}\}$ have the feature that groups $\{\mathrm{u}, \mathrm{o}, \mathrm{O}\}=\mathrm{F}_{\alpha}$, or the feature that groups $\{\mathrm{i}, \mathrm{e}, \mathfrak{æ}\}=\mathrm{F}_{\beta}$; but $\{\mathrm{p}, \mathrm{b}, \mathrm{m}\}$ are a phonological group, and under the terms of the feature-nonproliferation treaty, these consonants must have one of these two existing features, rather than causing creation of a new feature. In lieu of definitive
evidence, speakers would be expected to map at random, so that $\approx 50 \%$ of the population conclude $\{\mathrm{p}, \mathrm{b}, \mathrm{m}\}=\mathrm{F}_{\alpha}$ and $\approx 50 \%$ conclude that $\{\mathrm{p}, \mathrm{b}, \mathrm{m}\}=\mathrm{F}_{\beta}$. Now assume language change over 1 year due to social disruption from the movie Borat, where Language ${ }_{1999}$ maps to Language ${ }_{2007}$, and Language $_{2007}$ introduces data which crucially establish that $\{p, b, m\}=F_{\alpha}$. Such scenarios must be studied, to see if they falsify the random-mapping hypothesis.

Suppose that Language ${ }_{2007}$ establishes $\{\mathrm{p}, \mathrm{b}, \mathrm{m}\}=\mathrm{F}_{\alpha}$ behavior by all speakers - does this falsify formally contentless phonology (which, in lieu of nativist slavish obedience to phonetics, says that speakers make random assignments)? No, because grammars do not change in an uncaused fashion: $\forall \mathrm{i} \forall \mathrm{j}\left(\mathrm{L}_{\mathrm{t}_{\mathrm{i}}} \neq \mathrm{L}_{\mathrm{t}_{\mathrm{j}}}\left(\mathrm{t}_{\mathrm{i}}<\mathrm{t}_{\mathrm{j}}\right)\right)$ iff some new fact forces non-identity. I.e.: established conclusions are not rejected when they can be, they are rejected when they must be. Observe the longitudinal acquisition of an adult grammar.

| Time | Ambient | Child conceptual <br> data | Analysed <br> linguistic form |
| :--- | :--- | :--- | :--- |
| $\mathrm{T}_{0}$ | $[$ joni kəm hrr $]$ | $\left[\mathrm{b}^{\prime} \mathrm{ab}^{\prime} \mathrm{ab}^{\prime} \mathrm{ab}^{1} \mathrm{a}\right]$ | $\left[\mathrm{F}_{1}\right]\left[\mathrm{F}_{2}\right]\left[\mathrm{F}_{1}\right]\left[\mathrm{F}_{2}\right]\left[\mathrm{F}_{1}\right]\left[\mathrm{F}_{2}\right]\left[\mathrm{F}_{1}\right]\left[\mathrm{F}_{2}\right]$ |

(Kid gets a clue that people sometimes mean something by using [a] versus [i]. He guesses that you have to pay attention to that acoustic scrunched-together pattern versus the spread out pattern).
$\mathrm{T}_{1} \quad$ [joni kəm hrr] $\quad\left[\mathrm{b}^{1} \mathrm{ab}^{1}{ }^{1} \mathrm{ibab}^{1}{ }^{\mathrm{i}} \mathrm{i}\right] \quad\left[\mathrm{F}_{1}\right]\left[\mathrm{F}_{2}, \mathrm{~F}_{3}\right]\left[\mathrm{F}_{1}\right]\left[\mathrm{F}_{2}\right]\left[\mathrm{F}_{1}\right]\left[\mathrm{F}_{2}, \mathrm{~F}_{3}\right]\left[\mathrm{F}_{1}\right]\left[\mathrm{F}_{2}\right]$ (Kid gets a clue that people sometimes mean something by using different consonants, so the buzzy sound is important).
$\mathrm{T}_{2}$ [jँni kəm hrr] $\quad\left[\mathrm{b}^{1}{ }^{1}{ }^{1}{ }^{1}{ }^{\text {ip }}{ }^{1}{ }^{1}{ }^{1}{ }^{\mathrm{i}}\right] \quad\left[\mathrm{F}_{1}\right]\left[\mathrm{F}_{2}, \mathrm{~F}_{3}\right]\left[\mathrm{F}_{1}\right]\left[\mathrm{F}_{2}\right]\left[\mathrm{F}_{1}, \mathrm{~F}_{4}\right]\left[\mathrm{F}_{2}, \mathrm{~F}_{3}\right]\left[\mathrm{F}_{1}\right]\left[\mathrm{F}_{2}\right]$
(Kid gets a clue that the bottle-sounding consonant is actually different from the hissy-sounding one)
$\mathrm{T}_{3} \quad\left[\right.$ jigni kəm hrr] $\quad\left[\mathrm{jab}^{1}{ }^{\mathrm{ip}}{ }^{1}{ }^{1}{ }^{1}{ }^{1} \mathrm{i}\right] \quad\left[\mathrm{F}_{1}, \mathrm{~F}_{5}\right]\left[\mathrm{F}_{2}, \mathrm{~F}_{3}\right]\left[\mathrm{F}_{1}\right]\left[\mathrm{F}_{2}\right]\left[\mathrm{F}_{1}, \mathrm{~F}_{4}\right]\left[\mathrm{F}_{2}, \mathrm{~F}_{3}\right]\left[\mathrm{F}_{1}\right]\left[\mathrm{F}_{2}\right]$
etc.
A child does not initially know that A and B are distinct phonemes of the language, and only learns this by inducing consistent form/reference relations: i.e. [ba] "sheep" at first sounds linguistically the same as [pa] "dad" and the child thinks the language has a lot of homophones, ${ }^{2}$ since the sen-sorily-available difference doesn't seem to be important for the symbolic system at that moment.

Early phonological error correction reduces to seeing that certain acoustic facts may be important. Just as adult fieldworkers have to learn to distinguish between $[\mathrm{h}]$ and $[\mathrm{h}],[/]$ and $[\neq]$ or $[\mathrm{u}]$ and $[\mathrm{u}]$, children have to learn to attend to classes of acoustic cues ( $\neq$ "attend classes on acoustic cues"). This can give rise to largish and apparently phonetically-based new classes, assuming that the Saami child doesn't learn the surface distinction [hp ht hc hč hk] as 5 separate and unrelated things. Once $\left[F_{n}\right]$ is induced as a means of distinguishing a bunch of sounds, $\left[F_{n}\right]$ will persist until overridden by direct evidence (i.e. the rules of grammar require different feature assignments).

## Final Note

The child induces a hierarchy of phonological ideas by generalizing from concretes to higher abstractions that subsume the concretes:

$$
\mathrm{n} \rightarrow \mathrm{~m} / \ldots \mathrm{p} ; \mathrm{n} \rightarrow \mathrm{~m} / \_\mathrm{b} ; \mathrm{n} \rightarrow \mathrm{~m} / \ldots \mathrm{f} ; \mathrm{l} \rightarrow \mathrm{~d} / \mathrm{n} \_; \mathrm{h} \rightarrow \mathrm{p} / \mathrm{m} \ldots
$$

I pre-unified the rules at this level into obvious generalizations. Well, my knowledge of "single rule" is highly influenced by nativist feature theory. Syntactically, the phoneme-specific generalizations " $\mathrm{n} \rightarrow \mathrm{m} / \ldots \mathrm{f}$ " and " $\mathrm{l} \rightarrow \mathrm{d} / \mathrm{n}$ _ " aren't a rule (because of focus/determinant order). Simi-

[^1]larly, Vowel Harmony and Fortition don't unify into one large "after" rule, since harmony has a variable $\mathrm{C}_{0}$. It seems to turn out that all rules of Kerewe can be distinguished on such formal grounds.

This leaves open the possibility of unifying the following sets into one rule which adds the feature $F_{3}$ to final segments in some language:

$$
\mathrm{b} \rightarrow \mathrm{p} / \ldots \# ; \mathrm{g} \rightarrow \mathrm{k} / \ldots \# ; \mathrm{i} \rightarrow \mathrm{e} / \ldots \# ; \mathrm{u} \rightarrow \mathrm{o} / \ldots \# ; \mathrm{n} \rightarrow \mathrm{y} / \ldots \#
$$

Fortunately, I don't have this problem in Kerewe. I might, postnasally, in Bukusu. The question of what a formally possible rule is becomes excruciatingly important, so if it turns out that in reality Rule Theory must allow "immediately after A or before the sequence BC" as a single rule, sub-stance-free phonology might be nigh impossible.

Some Rules. $\mathrm{X}_{\mathrm{n}}$ means "a segment which is $\mathrm{F}_{\mathrm{n}}$ ", and is equivalent to autosegmental $\mathrm{X}-\mathrm{F}_{\mathrm{n}}$.


Vowel
Harmony





You might imagine inductively extracting $\mathrm{CV} / \mu$ phonology to handle compensatory lengthening.
The syntax of rules can't be "anything you want" in MFP: otherwise, Kikerewe would have a single horking rule:

$$
\left\{\begin{array}{lll}
\mathrm{h} & & \mathrm{p} \\
\mathrm{t} & & \mathrm{~s} \\
\mathrm{l} \\
\mathrm{l}, \mathrm{z}>_{\mathrm{i}} & \overline{\mathrm{n}}-\overline{\mathrm{i}} \\
\mathrm{n} & \rightarrow & \overline{\mathrm{~m}, \mathrm{n}, \mathrm{y}, \varnothing>_{\mathrm{j}}} \\
\mathrm{i} & & / \text { etc } \\
\mathrm{en}>_{\mathrm{i} \alpha} \_\mathrm{y}>_{\mathrm{k}} &
\end{array}\right\}
$$

But imagine a language with just these rules:

$$
\mathrm{t} \rightarrow \mathrm{~s} / \__{\mathrm{i}} \quad \mathrm{t} \rightarrow \mathrm{n} / \not \_\mathrm{m} \quad \mathrm{t} \rightarrow \mathrm{r} / \__{\mathrm{a}} \mathrm{t} \rightarrow \mathrm{l} / \__{1}
$$

Rules must be collapsed if they can be (see SPE conventions); this must be one rule; therefore the features that distinguish $t$ from $s, n, r, l$ must be spread as a group, so $\mathrm{F}_{5}$ dominates $\mathrm{F}_{1}(\mathrm{~s}, \mathrm{i}), \mathrm{F}_{2}$ $(\mathrm{n}, \mathrm{m}), \mathrm{F}_{3}(\mathrm{r}, \mathrm{a}), \mathrm{F}_{4}(\mathrm{l})$, and the rule is "Spread $\mathrm{F}_{5}$ ". If this good? bad? Only brain surgery will tell us for sure.


[^0]:    ${ }^{1}$ This is the appropriate $6{ }^{\text {th }}$ person masco-ptãaäh? quadratic pronoun for the referent: Martian has 16 genders and 7 numbers, as well as 8 persons.

[^1]:    ${ }^{2}$ Sometimes this is due to the child thinking that his dad is a sheep.

