

1 **Double stranded sperm DNA damage is a cause of delay in embryo**
2 **development and can impair implantation rates**

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28 **Running title:** Double strand breaks cause embryo delay

29 **Keywords:** Sperm, Embryo kinetics, DNA damage, implantation, male factor

30 **Conflict of interest:** Agustín García Peiró is a shareholder of CIMAB, a spin-off company that offers
31 a diagnostic test based on comet assay. The other authors declare no conflict of interest.

32

33 **CAPSULE**

34 The present work shows that double stranded sperm DNA damage may be an important male-
35 factor parameter causing embryo delay and implantation failures.

36

37 **ABSTRACT**

38 **Objective:** To analyze the effect of single and double stranded sperm DNA fragmentation (ssSDF
39 and dsSDF) on human embryo kinetics monitored under time-lapse system.

40 **Design:** Observational, double blind, prospective cohort study.

41 **Setting:** University, University spin-off and private center.

42 **Patients:** 196 embryos from 43 infertile couples included prospectively.

43 **Interventions:** None

44 **Main outcome Measures:** ssSDF and dsSDF were analyzed in the same semen sample used for
45 ICSI. Embryo kinetics was then monitored using time-lapse technology, obtaining timings of each
46 embryo division.

47 **Results**

48 When comparing embryos obtained from semen samples with low dsSDF and high dsSDF, splitting
49 data using, a statistically significant delay in high dsSDF was observed in 2nd polar body extrusion,
50 T4, T8, morula, and starting blastocyst ($p < 0.05$) and embryo implantation rates were impaired
51 ($p = 0.037$). Embryo kinetics and implantation rates were not significantly affected when high
52 values of ssSDF are present ($p > 0.05$ and $p = 0.102$). Different patterns of delay in embryo kinetics
53 were observed for these different types of DNA damage: dsSDF caused a delay along all stages of
54 embryo development, however, its major effect was observed at 2nd polar body extrusion and
55 morula stages, coinciding with embryo DNA damage checkpoints activation described before;
56 ssSDF caused its major effect at pronucleus stage, but embryo kinetics was then restored at all the
57 following stages. Results show that dsSDF could be the main type of DNA damage present
58 affecting embryo development in ICSI cycles, probably due motility-based sperm selection in this
59 assisted reproduction procedure.

60

61 ***Conclusions***

62 Double stranded sperm DNA damage caused a delay on embryo development and impaired
63 implantation, while single stranded DNA damage did not significantly affect embryo kinetics and
64 implantation.

65 **INTRODUCTION**

66 Standard methods to assess embryo quality are based on intermittent evaluation of parameters
67 such as cell number, cell fragmentation, symmetry and embryo compaction (1). In a scenario
68 where less than half of ICSI cycles show implantation (2), laboratories had done a technological
69 effort in order to perform the best embryo selection to achieve implantation. Then, technologies
70 such as time-lapse recording have been implemented to a vast number of ART centers. Thanks to
71 time-lapse, embryo evaluation turned from discrete to dynamical observation, which allowed
72 both clinicians and researchers to perform a better embryo evaluation along all the
73 preimplantational development (1,3–5). Different studies analyzing embryo kinetics pointed out
74 that times of embryo cleavage might be an important parameter defining the embryo
75 implantation potential. This fact allowed to generate mathematic algorithms to predict the best
76 embryo to transfer (4,6). However, the effectiveness of these models could be altered by multiple
77 cofounding factors (7), evidencing the necessity of validating them in an independent set of
78 samples to prove their utility (3,8). The research of biomarkers with high predictive power in ART
79 success and new embryo selection criteria is a topic of high interest in clinical practice. Regarding
80 to male-factor, studies in ICSI cycles show that the traditional semen analysis (sperm
81 concentration, motility and morphology) is not predictive of ICSI implantation rates (9). The
82 introduction of sperm DNA fragmentation techniques seemed promising as complementary
83 parameter for the prediction of ART success. Different research groups have performed studies
84 analyzing the predictive power of sperm DNA fragmentation (SDF) using different techniques
85 (TUNEL, SCSA and SCD tests). Some studies showed a relation between DNA damage and
86 implantation rates in ICSI (10–13) but others showed opposite results (14–18). These unclear
87 results could be related to the bias between ejaculate analysis and the selection of a motile sperm
88 cell prior to realization of ICSI (19,20), as it is known that a negative correlation is present
89 between sperm motility and DNA fragmentation (21–23).

90 In the recent years, studies analyzing the clinical effect of different types of sperm DNA damage
91 showed that ssSDF detected by alkaline Comet is an extensive DNA damage related to natural
92 pregnancy achievement (24,25), showing good correlation with TUNEL, SCSA and SCD tests (26).
93 Alternatively, DNA breaks detected using neutral Comet correspond to matrix attachment region
94 (MAR) specific double stranded DNA damage (24–26) related to higher miscarriage risk. These
95 highly localized DNA breaks do not show a correlation to the prior techniques neither to sperm
96 motility. In animals, physiological studies showed that induced double strand breaks in mouse
97 sperm cells cause complex paternal chromosomal reorganizations at male pronucleus, showing a
98 delay in first embryo DNA replication (27). Other studies inducing sperm double strand breaks
99 through radiation identified embryo checkpoints related to p53 and p21 in response to paternal
100 double stranded DNA damage, and less number of fetuses were found when an irradiated sperm
101 sample was used for fertilization (28,29). Studies analyzing the effect of double stranded sperm
102 DNA breaks in human ICSI treatments are still emerging (10), but is a topic that has not been
103 extensively studied.

104 Until our knowledge, only two studies have analyzed the relation between sperm DNA
105 fragmentation and embryo kinetics during preimplantational development, showing a slower
106 embryo development when high SDF is detected using sperm chromatin dispersion test (30) and
107 TUNEL assay (31). As single and double strand DNA fragmentation show different clinical
108 implications in natural pregnancies, the aim of the present study is to analyze the relation of both
109 types of sperm DNA damage and embryo kinetics in ICSI cycles.

110

111 **MATERIAL AND METHODS**

112 *Study design and participants*

113 The present prospective and double blind study included data for 196 embryos from 43 infertile
114 couples that attended to our center seeking for assisted reproductive treatments. The patients
115 included in the study were not under antioxidant treatment. The Parc Taulí Hospital Ethics
116 Committee approved the study (Ref. 2017902).

117 From the patients included in the study, a semen sample with three or less days of abstinence was
118 obtained the day of the ICSI, a 250 µl aliquot was cryopreserved, and the rest of the sample was
119 used for ICSI procedure. Sperm concentration and motility was also determined and single and
120 double stranded sperm DNA fragmentation analysis was performed using Comet assay. All the
121 embryos were cultured under time-lapse monitoring system (Primo Vision™, Vitrolife, Sweden).

122

123 *Comet assay: Single and double strand sperm DNA fragmentation analysis*

124 Particular conditions of Comet assay methodology allow the discrimination of single and double
125 strand DNA damage. The alkaline Comet protocol described previously (24) and used in the
126 present work mainly evidences the presence of single strand DNA breaks, while the neutral Comet
127 shows double strand DNA breaks. Briefly, semen sample was thawed, washed in PBS and sperm
128 concentration was adjusted to $1 \cdot 10^6$ spermatozoa/mL. 25 µL of sample was mixed in 50 µL of
129 melted 1% agarose in distilled water. The mixture was allowed to jellify on two slides at 4°C, and
130 slides were treated in two consecutive lysis solutions (0.8M Tris-HCl, 0.8M DTT, 1% SDS, pH 7.5
131 and 0.4M Tris-HCl, 0.4M DTT, 50 mM EDTA, 2M NaCl, pH 7.5) for 30 minutes each in order to
132 remove proteins and unwind sperm DNA. After lysis step, the slide designated to dsSDF analysis
133 was electrophoresed at 20 volts for 12.5 minutes in TBE buffer at pH 8, and washed in 0.9% NaCl
134 solution. Meanwhile, the slide designated to ssSDF analysis was denatured in NaOH solution at
135 4°C and electrophoresed at 20 volts for 4 minutes in NaOH at pH 13. Both slides were washed in
136 TBE and dehydrated in ethanol series (70%, 90% and 100%) for two minutes each. Finally, samples

137 were stained using DAPI (Thermo Fisher Scientific, Waltham, MA, USA) and spermatozoa were
138 scored under epifluorescence microscope (Nikon E200, Tokyo, Japan) following the criteria
139 reported before (24) and depicted in figure 1. Results were expressed as a percentage of
140 fragmented sperm cells. An internal control sample was repeatedly included in each experiment
141 in order to control technique variability.

142

143 *Ovarian stimulation, oocyte retrieval and ICSI*

144 Patients were stimulated following a personalized protocol that included an initial ovarian
145 stimulation with 150 – 300 UI FSH (Menopur, Ferring, Switzerland). Doses were calculated
146 considering patient's age, body mass index, ovarian reserve and the response if the patient had
147 previous cycles. LH and/or urine gonadotropins were added to the stimulation protocol
148 considering patient's age and LH basal values. In most cases, short protocols with GnRH
149 antagonists, triggering with an agonist 34-36h before egg collection to initiate ovarian maturation
150 were used. Cumulus oocyte complexes (COC) were retrieved 34-36h post-GnRH trigger injection.
151 COCs were washed using HEPES medium (LifeGlobal, Canada) and cultured in Global Fertilization
152 medium (LifeGlobal, Canada) in a Labotect C60 incubator (Labotect, Germany) at 7.2% CO₂,
153 atmospheric O₂ and 37°C for 2-3h before denudation. ICSI was performed 4h after egg collection
154 using HEPES medium (LifeGlobal, Canada) and injected eggs were placed in a pre-equilibrated
155 Primo Vision™ slide (Vitrolife, Sweden) containing 80µl of Global medium (LifeGlobal, Canada)
156 and 4 ml overlay of mineral oil (Ovoil, Vitrolife, Sweden).

157

158 *Embryo incubation and time-lapse imaging and data acquisition*

159 Embryos were cultured during 5/6 days after sperm injection in the Primo Vision culture dish at
160 7.2% CO₂, atmospheric O₂ and 37°C. Embryos were cultured uninterruptedly through the whole
161 development until blastocyst stage using Global medium (LifeGlobal, Canada).

162 Time-lapse imaging provided images every 10 minutes for all embryos analyzed. These images
163 were compiled in videos, and one researcher annotated time points corresponding to the
164 following stages of embryo development: 2nd polar body extrusion, pronuclei appearance,
165 pronuclei disappearance, starting first cell division (Starting T2), two cells (T2), three cells (T3),
166 four cells (T4), five cells (T5), six cells (T6), seven cells (T7), eight cells (T8), nine cells (T9), morula
167 stage, starting blastocyst and blastocyst stage. Data obtained is expressed in hours post ICSI.

168

169 *Embryo scoring and selection*

170 Embryo morphology was evaluated using Gardner's blastocyst classification (32), taking into
171 account the blastocel expansion, the number of trophectoderm cells and the inner cell mass.
172 Embryos were vitrified and one or two best quality embryos were transferred a month later
173 following a natural cycle that was determined by the LH peak.

174

175 *Statistics*

176 Data distribution was evaluated using Kolmogrov-Smirnov Test. Comparisons of quantitative
177 variables were performed using Mann-Whitney U test, since non-parametric analysis was
178 required due to lack of normality. The 95% of confidence interval was chosen for statistical
179 significance ($p < 0.05$) in all statistical tests.

180

181 **RESULTS**

182 *General data about patients*

183 Patients' data for low or high ssSDF and dsSDF is displayed in Table 1. Median values were taken
184 as the cut value to discriminate low or high ssSDF and dsSDF. No differences were found in any
185 parameter when comparing couples with high ssSDF and couples with low ssSDF. However, when
186 patients were classified by double strand DNA damage, implantation rate showed significantly
187 higher rate in low dsSDF compared to high dsSDF. None of the other parameters showed
188 statistical differences (Table 1).

189

190 *Single and double stranded DNA damage and progressive motility*

191 A negative correlation between progressive motility and single stranded DNA fragmentation has
192 been found ($r=-0.390$; $p=0.037$), while no correlation was found between progressive motility and
193 double stranded DNA damage ($p=-0.092$; $p=0.642$).

194

195 *Single strand sperm DNA fragmentation and embryo kinetics*

196 Embryo kinetics results were classified according low or high ssSDF (Table 2), expressed in median
197 (range) of hours after fertilization. None of the timings showed statistically significant results
198 when comparing low ssSDF to high ssSDF samples ($p>0.05$) (Table 2).

199

200 *Double strand sperm DNA fragmentation and embryo kinetics*

201 Embryo development timings were also classified according low or high values for dsSDF. Median
202 (range) for every stage is displayed in Table 2. Statistically significant results were found in
203 different stages ($p<0.05$): 2nd polar body extrusion, T4, T8, morula, and starting blastocyst.

204

205 *Single and double strand sperm DNA damage caused different patterns of delay in embryo kinetics*

206 Taken the differences from Table 2, we expressed the relative percentage of embryo delay of high
207 ssSDF and dsSDF groups in relation to low ssSDF and dsSDF groups, respectively. Figure 2 displays
208 these differences along the embryo development. Single stranded DNA damage shows its major
209 effect at pronuclei stage, but no increase of delay is shown among other stages. Alternatively,
210 double stranded DNA damage cause a delay at the extrusion of second polar body, but the
211 embryo development delay shows a progressive increase as embryo development proceeds. The
212 major effect of double stranded DNA damage is shown to be at second polar body and between
213 T9 and morula stages.

214

215 *Implantation and embryo kinetics*

216 We afterwards obtained results for those embryos that were known to achieve an implantation
217 with positive heart beat (n=16) and those that were transferred and did not succeed as an
218 implantation (n=28). Timings showed by this small subgroup of embryos are displayed in Table 3.

219 After obtaining these embryo development timings, they were compared to those embryo timings
220 from patients with low or high dsSDF displayed in Table 2. Embryo kinetics of those embryos that
221 achieved implantation was similar to low dsSDF kinetics (mean of 0.4% of difference; p=0.975)
222 and different to high dsSDF kinetics (mean of 3.8% of difference; p=0.001). Embryo kinetics from
223 those embryos that did not achieve implantation was similar to high dsSDF kinetics (mean of 1.3%
224 of difference; p=0.670) and different to low dsSDF kinetics (mean of 5.7% of difference; p=0.001).

225

226 **DISCUSSION**

227 In the present study, the effect of single and double stranded DNA damage on embryo kinetics,
228 monitored using time-lapse was evaluated. Results suggest that double stranded DNA
229 fragmentation could be the main type of DNA damage affecting embryo kinetics. Available data
230 showed a delay in embryo kinetics and worse implantation rates when double stranded DNA
231 damage is increased in the semen sample used for ICSI (Table 1). In contrast, an increase of single
232 stranded sperm DNA damage did not cause any significant effect neither on embryo kinetics nor
233 in implantation rates (Table 1). These results may bring light about the effect of different types of
234 sperm DNA damage in embryo development. Until our knowledge, this is the first study analyzing
235 different types of sperm DNA breaks and human embryo development.

236 The effect of sperm DNA fragmentation in embryo quality and ICSI outcomes has been a
237 controversial topic in the last years, existing some studies which found an association between
238 these parameters (10–13), and others showing opposite conclusions (14–18). However, studies
239 analyzing the paternal effect on embryo development may show different cofounding factors
240 based on oocyte quality (13) or the technique used for fertilization (33). The consensus point is
241 that oocyte may have the ability to repair some of the paternal DNA breaks, depending on its
242 quality (19,34–39). In this sense, a vast study of female factors would be desirable in order to
243 elucidate the effect of male factor, and this may be a limitation for any male factor study. In fact,
244 in the present study we confirmed that no differences were present between DNA fragmentation
245 groups regarding oocyte age, years of infertility, previous pregnancies and MII oocytes retrieved
246 (Table 1), however, more parameters such as body mass index or FSH levels would have been
247 interesting to be included.

248 Results showed that single stranded DNA breaks were not associated to a delay of embryo
249 kinetics in any stage (Table 2). This fact may be explained by the negative correlation found
250 between progressive motility and ssSDF measured by alkaline Comet assay and other techniques
251 measuring SDF (21–23). Taking into account this correlation, and knowing that all sperm cells

252 selected for ICSI show good motility, one would expect that most ICSI selected sperm cells would
253 not show a high amount of single stranded DNA breaks regardless of ejaculate ssSDF. In fact, this
254 strong bias introduced by the sperm selection in ICSI cycles was previously described in studies
255 trying to find associations between DNA integrity and embryo quality (19,20). More research is
256 necessary in order to clarify the effect of oxidative DNA breaks in embryo development.

257 Regarding double stranded DNA breaks, an association to a delay has been found at different
258 stages of embryo development, including 2nd polar body extrusion, T4, T8, morula and starting
259 blastocyst (Table 2). Following the hypothesis explained above, dsSDF do not show a correlation
260 to progressive motility, therefore, one would expect that the proportion of positive dsSDF in ICSI-
261 selected sperm cells and the ejaculate should be similar. Then, dsSDF could be the predominant
262 DNA damage in ICSI-selected sperm cells and this could explain the associations found between
263 dsSDF and embryo kinetics. From studies in somatic cells, it is well known that double stranded
264 DNA breaks trigger the initiation of DNA repair machinery and/or apoptosis (40,41) and a
265 misrepair of a double strand break is the previous step to chromosome reorganizations, loss of
266 chromosomal fragments and/or complex reorganizations (42–46). In reproduction, these
267 processes may be happening in a similar way during gametogenesis and embryo development
268 (47–49), as it is known that chromosome reorganizations may be present in germ cells, causing
269 higher risk of miscarriage and infertility (24,50–52). Thus, we previously observed that alterations
270 of dsSDF are related to a higher risk of recurrent pregnancy loss in couples experiencing natural
271 pregnancy (25). During embryo development in ICSI treatments, embryos may accumulate
272 structural chromosomal alterations from paternal origin, leading to a slower development to
273 blastocyst. In fact, aneuploidy has been described as one factor causing delay in embryo
274 development (6,53–55). Moreover, the presence of blastomere multinucleation, related to
275 implantation rates (56), supports the presence of chromosomal alterations. This multinucleation
276 may resemble micronuclei appearing when chromosomal aberrations due to double strand breaks
277 occur in somatic cells (57–59).

278 The embryo kinetics shown in Figure 2 present different patterns of delay: while the sperm cells
279 from samples with high single stranded DNA damage cause their major effect at pronuclei
280 appearance, double stranded DNA damage causes an initial delay after fertilization which is
281 restored and then a progressive increase of delay is observed until reaching morula stage (Figure
282 2). On one hand, ssSDF may cause defects of DNA replication at pronuclear stage because its
283 extensive nature affects the whole genome (25). If a spermatozoa containing single stranded DNA
284 breaks is used for fertilization, the zygote may induce single stranded DNA repair before DNA
285 replication in an efficient manner, since the complementary DNA strand is present (29,34,60,61).
286 Therefore, DNA repair might be the reason why embryos do not present delays after first
287 cleavage, and ssSDF may not have a more severe implication in embryo development.

288 On the other hand, double stranded DNA breaks in sperm cells are localized mainly at MAR
289 regions (25) and both DNA ends have been demonstrated to be attached at the nuclear matrix
290 (62), which is inherited at male pronucleus until first mitotic division (63,64). One of the first steps
291 carried out at male pronucleus is the replacement of protamines by histones. Due to the
292 attachment of both ends of a DNA break to protamines and to the nuclear matrix, the DNA repair
293 must be produced during this phase, where two DNA ends remain tightly attached (62). In fact,
294 DNA repair and paternal pronucleus replication mechanisms have hypothesized to be linked to
295 the nuclear matrix (60,65–68). The results obtained here are similar to those obtained by (27) in a
296 mouse model with induced double stranded DNA breaks, where a delay was observed in paternal
297 pronucleus compared to maternal pronucleus before the first embryo cleavage, with the presence
298 of H2AX phosphorylation and chromosome aberrations (27). If DNA damage cannot be repaired
299 during this short stage, embryo divisions can be performed but double strand breaks may remain
300 on the embryo, causing split of chromosome fragments, chromosome reorganizations, or complex
301 chromatin reorganizations to maintain chromosomal integrity (29,48,49,69). These chromosomal
302 aberrations may remain in some blastomeres causing mosaicism, which is in fact an observation
303 described in PGS embryos (70–73). It is not until morula stage, where chromosome fragments can

304 activate G1/S and G2/M checkpoints, triggering DNA damage apoptotic mechanisms on affected
305 cells (29). In fact, when mouse sperm cells with induced dsSDF are used to fertilize p21 and p53
306 knockout embryos, where apoptosis is continuously suppressed even on day 3.5, embryos
307 accumulate chromosomal aberrations and failed implantation (28). In relation to that, a higher
308 implantation rate was observed when patients were classified regarding dsSDF (Table 1).

309 We finally selected the small subgroup of transferred embryos (n=44) and analyzed the
310 coincidence of embryo kinetics between embryos with and without successful implantation and
311 low or high dsSDF groups (Tables 2 and 3). Results obtained were that embryos with successful
312 implantation showed higher similarity to low dsSDF group, whereas embryos without successful
313 implantation showed higher similarity to high dsSDF group. Therefore, embryos from high dsSDF
314 patients that achieved implantation might either have been successful on dsSDF repair or they do
315 come from a spermatozoa lacking of dsSDF. Different works related a delay of embryo kinetics at
316 different stages to a lower implantation, and some authors propose decision algorithms to select
317 the best embryo to transfer (1,3,4,74,75). However, achieving a reduction of sperm double strand
318 breaks incidence could also be an important factor to improve implantation rates.

319 *Conclusion*

320 Double stranded DNA damage, and not single stranded DNA damage, has an effect in embryo
321 kinetics and is related to implantation rates. The analysis of dsSDF in ICSI patients could be a
322 relevant prognostic value of male-factor in ICSI cycles.

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325

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537 **FIGURES AND TABLE LEGENDS**

538 Figure 1. Composition of non-fragmented and fragmented sperm cells visualized after alkaline or
539 neutral Comet. Fragmented sperm cells show migrated DNA towards the cathode.

540

541 Figure 2. Percentage of delay between low and high ssSDF and dsSDF. Single stranded DNA
542 damage caused a delay at pronuclei appearance, but kinetics was recovered at next stages.
543 Alternatively, double stranded caused its major delay at 2nd polar body extrusion and morula
544 stages, however, the delay is present along all the preimplantational embryo development.

545

546

547 Table 1. General data of couples included in the study. Data was split into groups
548 regarding low or high single and double stranded sperm DNA fragmentation.

549 ** statistical differences (p<0.05)

550

551

552 Table 2. Embryo kinetics classified into low or high single and double stranded sperm DNA
553 fragmentation. ** statistical differences (p<0.05)

554

555

556 Table 3. Embryo kinetics for transferred embryos according if they achieved implantation
557 or not.

558