

# 1 **The Origins of SARS-CoV-2: A Critical Review**

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61 **Since the first reports of a novel SARS-like coronavirus in December 2019 in Wuhan, China,**  
62 **there has been intense interest in understanding how SARS-CoV-2 emerged in the human**  
63 **population. Recent debate has coalesced around two competing ideas: a “laboratory escape”**  
64 **scenario and zoonotic emergence. Here, we critically review the current scientific evidence**  
65 **that may help clarify the origin of SARS-CoV-2.**

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67

### 68 **Evidence supporting a zoonotic origin of SARS-CoV-2**

69 Coronaviruses have long been known to present a high pandemic risk. SARS-CoV-2 is the ninth  
70 documented coronavirus that infects humans and the seventh identified in the last 20 years  
71 (Lednický et al., 2021; Vlasova et al., 2021). All previous human coronaviruses have zoonotic  
72 origins, as have the vast majority of human viruses. The emergence of SARS-CoV-2 bears several  
73 signatures of these prior zoonotic events. It displays clear similarities to SARS-CoV that spilled  
74 over into humans in Foshan, Guangdong province, China in November 2002, and again in  
75 Guangzhou, Guangdong province in 2003 (Xu et al., 2004). Both these SARS-CoV emergence  
76 events were associated with markets selling live animals and involved species, particularly civets  
77 and raccoon dogs (Guan et al., 2003), that were also sold live in Wuhan markets in 2019 (Xiao et  
78 al., 2021) and are known to be susceptible to SARS-CoV-2 infection (Freuling et al., 2020).  
79 Animal traders working in 2003, without a SARS diagnosis, were documented to have high levels  
80 of IgG to SARS-CoV (13% overall and >50% for traders specializing in civets; Centers for Disease  
81 Control and Prevention, 2003). Subsequent serological surveys found ~3% positivity rates to  
82 SARS-related coronaviruses (SARSr-CoV) in residents of Yunnan province living close to bat  
83 caves (Wang et al., 2018), demonstrating regular exposure in rural locations. The closest known  
84 relatives to both SARS-CoV and SARS-CoV-2 are viruses from bats in Yunnan, although animals  
85 from this province have been preferentially sampled. For both SARS-CoV and SARS-CoV-2 there  
86 is a considerable geographic gap between Yunnan and the location of the first human cases,  
87 highlighting the difficulty in identifying the exact pathway of virus emergence and the importance  
88 of sampling beyond Yunnan.

89

90 SARS-CoV-2 also shows similarities to the four endemic human coronaviruses: HCoV-OC43,  
91 HCoV-HKU1, HCoV-229E, and HCoV-NL63. These viruses have zoonotic origins and the

92 circumstances of their emergence are unclear. In direct parallel to SARS-CoV-2, HCoV-HKU1,  
93 which was first described in a large Chinese city (Shenzhen, Guangdong) in the winter of 2004,  
94 has an unknown animal origin, contains a furin cleavage site in its spike protein, and was originally  
95 identified in a case of human pneumonia (Woo et al., 2005).

96

97 Based on epidemiological data, the Huanan market in Wuhan was an early and major epicenter of  
98 SARS-CoV-2 infection. Two of the three earliest documented COVID-19 cases were directly  
99 linked to this market selling wild animals, as were 28% of all cases reported in December 2019  
100 (WHO, 2021). Overall, 55% of cases during December 2019 had an exposure to either the Huanan  
101 or other markets in Wuhan, with these cases more prevalent in the first half of that month (WHO,  
102 2021). Examination of the locations of early cases shows that most cluster around the Huanan  
103 market, located north of the Yangtze river (**Figure 1B-E**), although case reporting may be subject  
104 to sampling biases reflecting the density and age structure of the population in central Wuhan, and  
105 exact location of some early cases is uncertain. These districts were also the first to exhibit excess  
106 pneumonia deaths in January 2020 (**Figure 1F-H**), a metric that is less susceptible to the potential  
107 biases associated with case reporting. There is no epidemiological link to any other locality in  
108 Wuhan, including the Wuhan Institute of Virology (WIV) located south of the Yangtze and the  
109 subject of considerable speculation. Although some early cases do not have a direct  
110 epidemiological link to a market (WHO, 2021), this is expected given high rates of asymptomatic  
111 transmission and undocumented secondary transmission events, and was similarly observed in  
112 early SARS-CoV cases in Foshan (Xu et al., 2004).

113

114 During 2019, markets in Wuhan – including the Huanan market – traded many thousands of live  
115 wild animals including high-risk species such as civets and raccoon dogs (Xiao et al., 2021).  
116 Following its closure, SARS-CoV-2 was detected in environmental samples at the Huanan market,  
117 primarily in the western section that traded in wildlife and domestic animal products, as well as in  
118 associated drainage areas (WHO, 2021). While animal carcasses retrospectively tested negative  
119 for SARS-CoV-2, these were unrepresentative of the live animal species sold, and specifically did  
120 not include raccoon dogs and other animals known to be susceptible to SARS-CoV-2 (Xiao et al.,  
121 2021).

122

123 The earliest split in the SARS-CoV-2 phylogeny defines two lineages - denoted A and B (Rambaut  
124 et al., 2020) - that likely circulated contemporaneously (**Figure 1A**). Lineage B, which became  
125 dominant globally, was observed in early cases linked to the Huanan market and environmental  
126 samples taken there, while lineage A contains a case with exposure to other markets (**Figure 1A-**  
127 **B**) as well as with later cases in Wuhan and other parts of China (WHO, 2021). This phylogenetic  
128 pattern is consistent with the emergence of SARS-CoV-2 involving one or more contacts with  
129 infected animals and/or traders, including multiple spill-over events, as potentially infected or  
130 susceptible animals were moved into or between Wuhan markets via shared supply chains and sold  
131 for human consumption (Xiao et al., 2021). The potential emergence of SARS-CoV-2 across  
132 multiple markets again mirrors SARS-CoV in which high levels of infection, seroprevalence and  
133 genetic diversity in animals were documented at both the Dongmen market in Shenzhen (Al, 2004;  
134 Guan et al., 2003) and the Xinyuan market in Guangzhou (Tu et al., 2004; Wang et al., 2005).

135

136 Viruses closely related to SARS-CoV-2 have been documented in bats and pangolins in multiple  
137 localities in South-East Asia, including in China, Thailand, Cambodia, and Japan (Lytras et al.  
138 2021; Zhou et al., 2021), with serological evidence for viral infection in pangolins for more than a  
139 decade (Wacharapluesadee et al., 2021). However, a significant evolutionary gap exists between  
140 SARS-CoV-2 and the closest related animal viruses: for example, the bat virus RaTG13 collected  
141 by the WIV has a genetic distance of approximately 4% (~1,150 mutations) to the Wuhan-Hu-1  
142 reference sequence of SARS-CoV-2, reflecting decades of evolutionary divergence (Boni et al.,  
143 2020). Widespread genomic recombination also complicates the assignment of which viruses are  
144 closest to SARS-CoV-2. Although RaTG13, sampled from a *Rhinolophus affinis* bat in Yunnan  
145 (Zhou et al., 2020b), has the highest average genetic similarity to SARS-CoV-2, a history of  
146 recombination means that three other bat viruses – RmYN02, RpYN06 and PrC31 – are closer in  
147 most of the virus genome (particularly ORF1ab) and thus share a more recent common ancestor  
148 with SARS-CoV-2 (Li et al., 2021; Lytras et al. 2021; Zhou et al., 2021). None of these three closer  
149 viruses were collected by the WIV and all were sequenced after the pandemic had begun (Li et al.,  
150 2021; Zhou et al., 2020a; Zhou et al., 2021). Collectively, these data demonstrate beyond  
151 reasonable doubt that RaTG13 is not the progenitor of SARS-CoV-2, with or without laboratory  
152 manipulation or experimental mutagenesis.

153

154 No bat reservoir nor intermediate animal host for SARS-CoV-2 has been identified to date. This  
155 is presumably because the right animal species and/or populations have not yet been sampled  
156 and/or any progenitor virus may be at low prevalence. Initial cross-species transmission events are  
157 also very likely to go undetected. Most SARS-CoV-2 index case infections will not have resulted  
158 in sustained onward transmission (Pekar et al., 2021) and only a very small fraction of spillovers  
159 from animals to humans result in major outbreaks. Indeed, the animal origins of many well-known  
160 human pathogens, including Ebola virus, Hepatitis C virus, poliovirus, and the coronaviruses  
161 HCoV-HKU1 and HCoV-NL63, are yet to be identified, while it took over a decade to discover  
162 bat viruses with >95% similarity to SARS-CoV and able to use hACE-2 as a receptor (Hu et al.,  
163 2017).

164

### 165 **Could SARS-CoV-2 have escaped from a laboratory?**

166 There are precedents for laboratory incidents leading to isolated infections and transient  
167 transmission chains, including SARS-CoV (Parry, 2004). However, with the exception of Marburg  
168 virus (Ristanović et al., 2020), all documented laboratory escapes have been of readily identifiable  
169 viruses capable of human infection and associated with sustained work in high titer cultures  
170 (Geddes, 2006; Lim et al., 2004; Senio, 2003). The 1977 A/H1N1 influenza pandemic, that most  
171 likely originated from a large-scale vaccine challenge trial (Rozo and Gronvall, 2015), is the only  
172 documented example of a human epidemic or pandemic resulting from research activity. No  
173 epidemic has been caused by the escape of a novel virus and there is no data to suggest that the  
174 WIV—or any other laboratory—was working on SARS-CoV-2, or any virus close enough to be  
175 the progenitor, prior to the COVID-19 pandemic. Viral genomic sequencing without cell culture,  
176 which was routinely performed at the WIV, represents a negligible risk as viruses are inactivated  
177 during RNA extraction (Blow et al., 2004). No case of laboratory escape has been documented  
178 following the sequencing of viral samples.

179

180 Known laboratory outbreaks have been traced to both workplace and family contacts of index  
181 cases and to the laboratory of origin (Geddes, 2006; Lim et al., 2004; Ristanović et al., 2020; Senio,  
182 2003). Despite extensive contact tracing of early cases during the COVID-19 pandemic, there have  
183 been no reported cases related to any laboratory staff at the WIV and all staff in the laboratory of  
184 Dr. Shi Zhengli were said to be seronegative for SARS-CoV-2 when tested in March 2020 (WHO,

185 2021), with the laboratory reportedly following the appropriate biosafety protocols during their  
186 coronavirus work (Cohen, 2020). During a period of high influenza transmission and other  
187 respiratory virus circulation (Liu et al., 2020a) reports of illnesses would need to be confirmed as  
188 caused by SARS-CoV-2 to be relevant. Epidemiological modeling suggests that the number of  
189 hypothetical cases needed to result in multiple hospitalized COVID-19 patients prior to December  
190 2019 is incompatible with observed clinical, genomic, and epidemiological data (Pekar et al.,  
191 2021).

192

193 The WIV possesses an extensive catalogue of samples derived from bats (Latinne et al., 2020) and  
194 has reportedly successfully cultured three SARSr-CoVs from bats – WIV1, WIV16 and Rs4874  
195 (Ge et al., 2013; Hu et al., 2017; Yang et al., 2015). Importantly, all three viruses are more closely  
196 related to SARS-CoV than to SARS-CoV-2 (Ge et al., 2013; Hu et al., 2017; Yang et al., 2015).  
197 In contrast, bat virus RaTG13 from the WIV has reportedly never been isolated nor cultured and  
198 only exists as a nucleotide sequence assembled from short sequencing reads (Cohen, 2020). The  
199 three cultured viruses were isolated from fecal samples through serial amplification in Vero E6  
200 cells, a process that consistently results in the loss of the SARS-CoV-2 furin cleavage site  
201 (Davidson et al., 2020; Klimstra et al., 2020; Liu et al., 2020b; Ogando et al., 2020; Sasaki et al.,  
202 2021; Wong et al., 2020; Zhu et al., 2021b). It is therefore highly unlikely that these techniques  
203 would result in the isolation of a SARS-CoV-2 progenitor with an intact furin cleavage site. No  
204 published work indicates that other methods, including the generation of novel reverse genetics  
205 systems, were used at the WIV to propagate infectious SARSr-CoVs based on sequence data from  
206 bats. Gain-of-function research would be expected to utilize an established SARSr-CoV genomic  
207 backbone, or at a minimum a virus previously identified via sequencing. However, past  
208 experimental research using recombinant coronaviruses at the WIV has used a genetic backbone  
209 (WIV1) unrelated to SARS-CoV-2 (Hu et al., 2017) and SARS-CoV-2 carries no evidence of  
210 genetic markers one might expect from laboratory experiments (Andersen et al., 2020). There is  
211 no rational experimental reason why a new genetic system would be developed using an unknown  
212 and unpublished virus, with no evidence nor mention of a SARS-CoV-2-like virus in any prior  
213 publication or study from the WIV (Ge et al., 2012; Hu et al., 2017; Menachery et al., 2015), no  
214 evidence that the WIV sequenced a virus that is closer to SARS-CoV-2 than RaTG13, and no  
215 reason to hide research on a SARS-CoV-2-like virus prior to the COVID-19 pandemic. Under any

216 laboratory escape scenario SARS-CoV-2 would have to have been present in a laboratory prior to  
217 the pandemic, yet no evidence exists to support such a notion and no sequence has been identified  
218 that could have served as a precursor.

219  
220 A specific laboratory escape scenario involves accidental infection in the course of serial passage  
221 of a SARSr-CoV in common laboratory animals such as mice. However, early SARS-CoV-2  
222 isolates were unable to infect wild-type mice (Wan et al., 2020). While murine models are useful  
223 for studying infection *in vivo* and testing vaccines, they often result in mild or atypical disease in  
224 hACE2 transgenic mice (Bao et al., 2020; Hassan et al., 2020; Israelow et al., 2020; Rathnasinghe  
225 et al., 2020; Sun et al., 2020b). These findings are inconsistent with a virus selected for increased  
226 pathogenicity and transmissibility through serial passage through susceptible rodents. Although  
227 SARS-CoV-2 has since been engineered (Dinnon et al., 2020) and mouse-adapted by serial  
228 passage (Gu et al., 2020; Leist et al., 2020; Sun et al., 2020a), specific mutations in the spike  
229 protein, including N501Y, are necessary for such adaptation in mice (Gu et al., 2020; Sun et al.,  
230 2020a). Notably, N501Y has arisen convergently in multiple SARS-CoV-2 variants of concern in  
231 the human population, presumably being selected to increase ACE2 binding affinity (Khan et al.,  
232 2021; Kuzmina et al., 2021; Liu et al., 2021; Starr et al., 2020). If SARS-CoV-2 resulted from  
233 attempts to adapt a SARSr-CoV for study in animal models, it would likely have acquired  
234 mutations like N501Y for efficient replication in that model, yet there is no evidence to suggest  
235 such mutations existed early in the pandemic. Both the low pathogenicity in commonly used  
236 laboratory animals and the absence of genomic markers associated with rodent adaptation indicate  
237 that SARS-CoV-2 is highly unlikely to have been acquired by laboratory workers in the course of  
238 viral pathogenesis or gain-of-function experiments.

239

#### 240 **Evidence from genomic structure and ongoing evolution of SARS-CoV-2**

241 Considerable attention has been devoted to claims that SARS-CoV-2 was genetically engineered  
242 or adapted in cell culture or “humanized” animal models to promote human transmission (Zhan et  
243 al., 2020). Yet, since its emergence, SARS-CoV-2 has experienced repeated sweeps of mutations  
244 that have increased viral fitness (Deng et al., 2021; Otto et al., 2021; Simmonds, 2020). The first  
245 clear adaptive mutation, the D614G substitution in the spike protein, occurred early in the  
246 pandemic (Korber et al., 2020; Volz et al., 2021). Recurring mutations in the receptor binding



247 domain of the spike protein, including N501Y, K417N/T, L452R, and E484K/Q—constituent  
248 mutations of the variants of concern—similarly enhance viral infectivity (Cai et al., 2021; Khan et  
249 al., 2021; Kuzmina et al., 2021) and ACE2 binding (Liu et al., 2021; Starr et al., 2020; Zhu et al.,  
250 2021a), refuting claims that the SARS-CoV-2 spike protein was optimized for binding to human  
251 ACE2 upon its emergence (Piplani et al., 2021). Further, some pangolin-derived coronaviruses  
252 have receptor binding domains that are near-identical to SARS-CoV-2 at the amino acid level  
253 (Andersen et al., 2020; Xiao et al., 2020) and bind to human ACE2 even more strongly than SARS-  
254 CoV-2, showing that there is capacity for further human adaptation (Dicken et al., 2021). SARS-  
255 CoV-2 is also notable for being a host generalist virus (Conceicao et al., 2020), capable of efficient  
256 transmission in multiple mammalian species, including mink, tigers, cats, gorillas, dogs, raccoon  
257 dogs, ferrets, and large outbreaks have been documented in mink with spill-back to humans (Oude  
258 Munnink et al., 2021) and to other animals (van Aart et al., 2021). Combined, these findings show  
259 that no specific human “pre” adaptation was required for the emergence or early spread of SARS-  
260 CoV-2, and the claim that the virus was already highly adapted to the human host (Zhan et al.,  
261 2020), or somehow optimized for binding to human ACE2, is without validity.

262  
263 The genesis of the polybasic (furin) cleavage site in the spike protein of SARS-CoV-2 has been  
264 subject to recurrent speculation. Although the furin cleavage site is absent from the closest known  
265 relatives of SARS-CoV-2 (Andersen et al., 2020), this is unsurprising as the lineage leading to this  
266 virus is poorly sampled and the closest bat viruses have divergent spike proteins due to  
267 recombination (Boni et al., 2020; Lytras et al. 2020; Zhou et al., 2021). Furin cleavage sites are  
268 commonplace in other coronavirus spike proteins, including some feline alphacoronaviruses,  
269 MERS-CoV, most but not all strains of mouse hepatitis virus, as well as in endemic human  
270 betacoronaviruses such as HCoV-OC43 and HCoV-HKU1 (Gombold et al., 1993; de Haan et al.,  
271 2008; Kirchdoerfer et al., 2016). A near identical nucleotide sequence is found in the spike gene  
272 of the bat coronavirus HKU9-1 (Gallaher, 2020), and both SARS-CoV-2 and HKU9-1 contain  
273 short palindromic sequences immediately upstream of this sequence that are indicative of natural  
274 recombination break-points via template switching (Gallaher, 2020). Hence, simple evolutionary  
275 mechanisms can readily explain the evolution of an out-of-frame insertion of a furin cleavage site  
276 in SARS-CoV-2 (**Figure 2**).

277

278 The SARS-CoV-2 furin cleavage site (containing the amino acid motif RRAR) does not match its  
279 canonical form (R-X-R/K-R), is suboptimal compared to those of HCoV-HKU1 and HCoV-OC43,  
280 lacks either a P1 or P2 arginine (depending on the alignment), and was caused by an out-of-frame  
281 insertion (**Figure 2**). The RRAR and RRSR S1/S2 cleavage sites in feline coronaviruses (FCoV)  
282 and cell-culture adapted HCoV-OC43, respectively, are not cleaved by furin (de Haan et al., 2008).  
283 There is no logical reason why an engineered virus would utilize such a suboptimal furin cleavage  
284 site, which would entail such an unusual and needlessly complex feat of genetic engineering. The  
285 only previous studies of artificial insertion of a furin cleavage site at the S1/S2 boundary in the  
286 SARS-CoV spike protein utilized an optimal ‘RRSRR’ sequence in pseudotype systems  
287 (Belouzard et al., 2009; Follis et al., 2006). Further, there is no evidence of prior research at the  
288 WIV involving the artificial insertion of complete furin cleavage sites into coronaviruses.

289  
290 The recurring P681H/R substitution in the proline (P) residue preceding the SARS-CoV-2 furin  
291 cleavage site improves cleavage of the spike protein and is another signature of ongoing human  
292 adaptation of the virus (Peacock et al., 2021a). The SARS-CoV-2 furin site is also lost under  
293 standard cell culture conditions involving Vero E6 cells (Ogando et al., 2020; Peacock et al.,  
294 2021b), as is true of HCoV-OC43 (Follis et al., 2006). The presence of two adjacent CGG codons  
295 for arginine in the SARS-CoV-2 furin cleavage site is similarly not indicative of genetic  
296 engineering (Maxmen and Mallapaty, 2021). Although the CGG codon is rare in coronaviruses, it  
297 is observed in SARS-CoV, SARS-CoV-2 and other human coronaviruses at comparable  
298 frequencies (Maxmen and Mallapaty, 2021). Further, if low-fitness codons had been artificially  
299 inserted into the virus genome they would have been quickly selected against during SARS-CoV-  
300 2 evolution, yet both CGG codons are more than 99.8% conserved among the >2,300,000 near-  
301 complete SARS-CoV-2 genomes sequenced to date, indicative of strong functional constraints  
302 (**Supplementary Information, Table S1**).

303  
304 **Conclusions**

305 As for the vast majority of human viruses, the most parsimonious explanation for the origin of  
306 SARS-CoV-2 is a zoonotic event. The documented epidemiological history of the virus is  
307 comparable to previous animal market-associated outbreaks of coronaviruses with a simple route  
308 for human exposure. The contact tracing of SARS-CoV-2 to markets in Wuhan exhibits striking

309 similarities to the early spread of SARS-CoV to markets in Guangdong, where humans infected  
310 early in the epidemic lived near or worked in animal markets. Zoonotic spillover by definition  
311 selects for viruses able to infect humans. Although strong safeguards should be consistently  
312 employed to minimize the likelihood of laboratory accidents in virological research, those  
313 laboratory escapes documented to date have almost exclusively involved viruses brought into  
314 laboratories specifically because of their known human infectivity.

315  
316 There is currently no evidence that SARS-CoV-2 has a laboratory origin. There is no evidence that  
317 any early cases had any connection to the WIV, in contrast to the clear epidemiological links to  
318 animal markets in Wuhan, nor evidence that the WIV possessed or worked on a progenitor of  
319 SARS-CoV-2 prior to the pandemic. The suspicion that SARS-CoV-2 might have a laboratory  
320 origin stems from the coincidence that it was first detected in a city that houses a major virological  
321 laboratory that studies coronaviruses. Wuhan is the largest city in central China with multiple  
322 animal markets and is a major hub for travel and commerce, well connected to other areas both  
323 within China and internationally. The link to Wuhan therefore more likely reflects the fact that  
324 pathogens often require heavily populated areas to become established (Pekar et al., 2021).

325  
326 We contend that although the animal reservoir for SARS-CoV-2 has not been identified and the  
327 key species may not have been tested, in contrast to other scenarios there is substantial body of  
328 scientific evidence supporting a zoonotic origin. While the possibility of a laboratory accident  
329 cannot be entirely dismissed, and may be near impossible to falsify, this conduit for emergence is  
330 highly unlikely relative to the numerous and repeated human-animal contacts that occur routinely  
331 in the wildlife trade. Failure to comprehensively investigate the zoonotic origin through  
332 collaborative and carefully coordinated studies would leave the world vulnerable to future  
333 pandemics arising from the same human activities that have repeatedly put us on a collision course  
334 with novel viruses.

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369 **Declaration of Interests**

370 ECH is an Honorary Visiting Professor at Fudan University (Shanghai Public Health Clinical  
371 Center), Shanghai, China, and between 2014-2020 was a Guest Professor at the Chinese Center  
372 for Disease Control and Prevention, Beijing, China. These affiliations are only used in papers co-  
373 authored with Prof. Yong-Zhen Zhang (Shanghai Public Health Clinical Center) and involve no  
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377 MFB, SAG, and KGA have received consulting fees and compensated expert testimony on  
378 SARS-CoV-2 and the COVID-19 pandemic. RFG is co-founder of Zalgen Labs.

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625 **Figure Legends**

626 **Figure 1. Phylogenetic and epidemiological data on the early COVID-19 pandemic in**  
627 **Wuhan.** (A) Phylogenetic tree of early SARS-CoV-2 genomes sampled from Wuhan during  
628 December 2019-January 2020. The split between lineages A and B is labelled with the coordinates  
629 and base of the two differentiating nucleotide mutations. Cases with a known association to the  
630 Huanan or other markets are denoted by symbols (reported in WHO, 2021). (B) Map of districts  
631 of Wuhan showing the location of markets, the Wuhan National Biosafety Laboratory at the  
632 Zhengdian Scientific Park of the Wuhan Institute of Virology (denoted WIV), where the  
633 coronavirus isolation and culture work of Dr. Shi Zhengli is performed, and the earliest known  
634 cases. (C-E) Location of recorded COVID-19 cases in Wuhan from 8th December to 31st  
635 December 2019. Cases with a home address outside of Wuhan city are not shown. (F-H) Map of  
636 districts of Wuhan indicating the first record of excess deaths due to pneumonia (shaded green)  
637 from 15th January 2020. Case and excess death data were extracted and redrawn from figures  
638 provided in WHO, 2021. For more details see **Supplementary Information**.

639

640 **Figure 2. Evolution of the furin cleavage site (FCS) in the spike protein of betacoronaviruses.**  
641 (A) Sequence alignment of the region around the FCS in SARS-CoV-2 (NCBI accession  
642 MN908947) and bat coronavirus RaTG13 (NCBI accession MN996532) showing that the former  
643 was the result of an out-of-frame nucleotide sequence insertion. (B) Amino acid sequence  
644 alignment of the FCS region in representative members of the different subgenera of  
645 betacoronaviruses, highlighting the evolutionary volatility of this site and that the relevant amino  
646 acid motif (RRAR) in SARS-CoV-2 is functionally suboptimal. The residues predicted to be O-  
647 linked glycans are also marked. For more details see **Supplementary Information**.

**Figure 1**

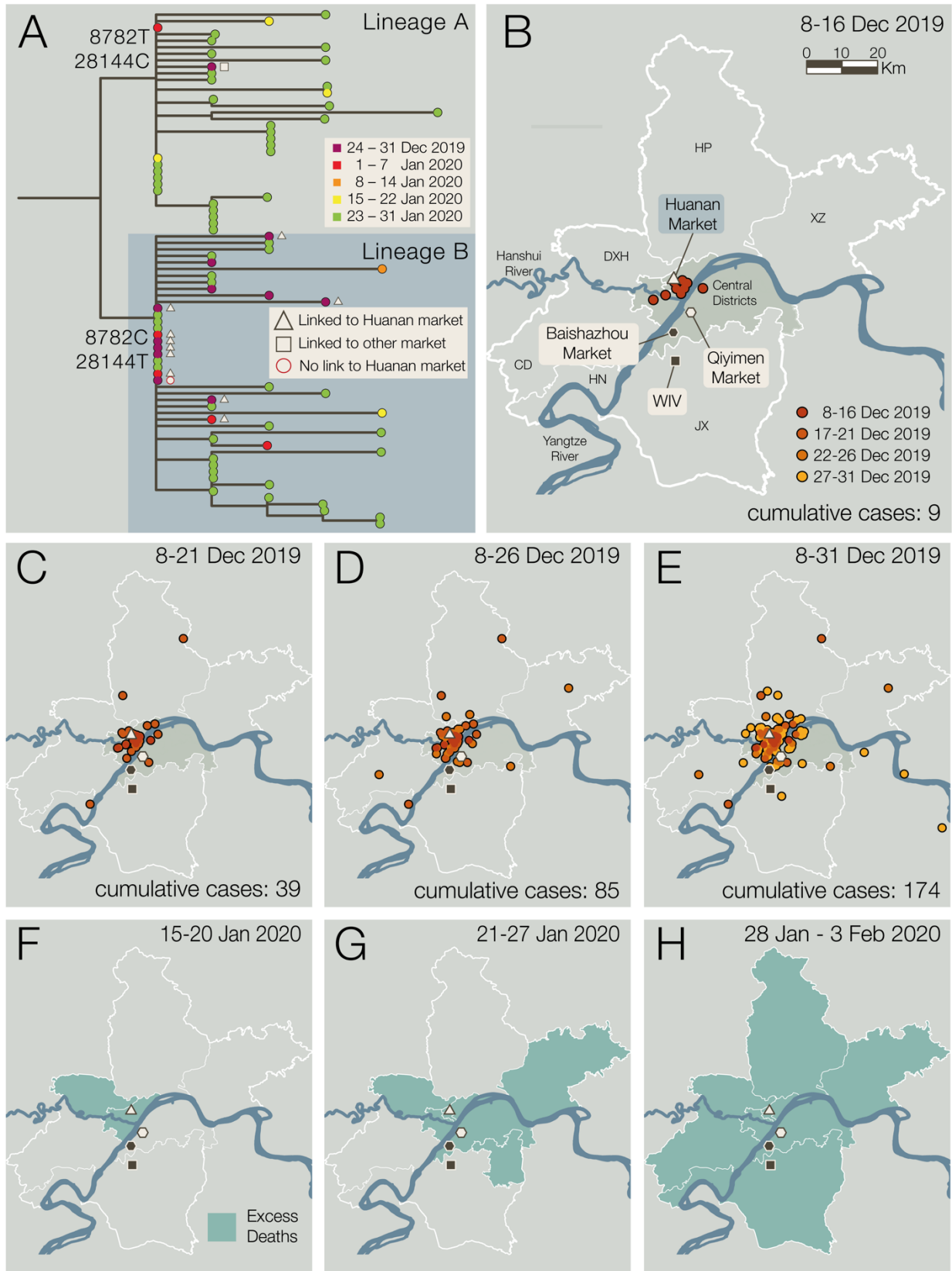
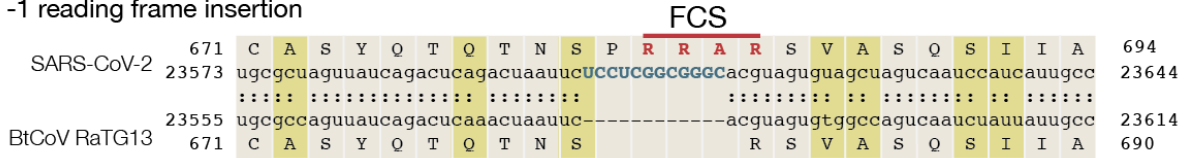




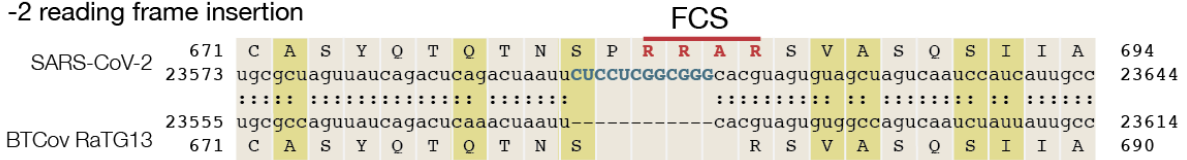
Figure 2

A

-1 reading frame insertion



-2 reading frame insertion



B

Betacoronavirus Subgenera

<i>Sarbeco</i>	SARS-CoV-2	671	C	A	S	Y	Q	T	Q	T	N	S	P	R	R	A	R	S	V	A	S	Q	S	I	I	A	694			
	BtCoV RmYN02	631	C	A	S	Y	Q	T	Q	T	N	S	P	A	A	R	S	V	A	S	Q	S	I	I	A	647				
	BtCoV RaTG13	671	C	A	S	Y	Q	T	Q	T	N	S	P					R	S	V	A	S	Q	S	I	I	A	690		
	SARS-CoV	657	C	A	S	Y	H	T	V	S	L	L									R	S	T	S	Q	K	S	I	V	A
<i>Merbeco</i>	MERS-CoV	736	C	A	L	P	D	T	P	S	T	L	T	P	R	S	V	R	S	V	P	G	E	M	R	L	A	760		
	BtCoV HKU5	739	C	A	I	P	P	T	T	S	S				R	F	R	R	A	T	S	G	V	P	D	V	F	760		
	BtCoV HKU4	740	C	A	V	P	P	V	S	T	F									R	S	Y	S	A	S	Q	--	F	756	
<i>Embeco</i>	HCoV HKU1a	744	C	V	D	Y	N	S	P	S	S	S	S	S	R	R	K	R	R	S	I	S	A	S	Y	R	F	V	769	
	HCoV HKU1b	743	C	I	D	Y	A	L	P	S				S	R	R	K	R	R	G	I	S	S	P	Y	R	F	V	765	
	HCoV OC43	756	C	L	D	Y	S	K						N	R	R	S	R	R	A	I	T	T	G	Y	R	F	T	776	
	Bovine CoV	757	C	V	D	Y	S	T						K	R	R	S	R	R	S	I	T	T	G	Y	R	F	T	775	
	RatCoV HKU24	752	C	V	D	Y	S	S						T	W	R	A	K	R	D	L	N	T	G	Y	R	L	T	770	
<i>Hibeco</i>	BtCov HpZj13	714	C	V	N	Y	T	A	D					T	R	L	R	T	A	R	A	A	D	R	A	L	T	F	N	736
	BtCov HcNG08	698	C	L	N	I	T	R	G								R	V	G	S	R	S	A	G	H	L	K	E	S	718

optimal FCS **RXR/KR** or **RRXR/KR**; minimal FCS **RXXR**

monobasic cleavage site **R**; predicted O-linked glycan **S/T**