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# Politicians' Preferences in Public Procurement: Ideological or Strategic

# **Reasoning**?

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#### ABSTRACT

Governments do not exclusively buy from the cheapest bidder and increasingly use public procurement as a policy instrument to achieve wider environmental, innovative and social objectives. Past studies have shown the process of government contracting to be connected to political factors. This paper studies the extent to which politicians' preferences for price and non-price criteria in the contract awarding stage are associated with politicians' ideological reasoning (the Citizen Candidate model), and strategic reasoning (the Downsian approach). Politicians' preferences are analysed through a discrete choice experiment. We find that politicians' preferences for non-price criteria are strongly connected to ideological reasoning and to a limited extent to strategic reasoning. We also observe that, regardless of their political ideology and financial situation of the municipality, politicians are willing to look beyond price, and consider environmental, innovative and social criteria when awarding contracts.

Keywords: privatization, contracting out, political ideology, political interests, quantitative research, continental Europe.

#### INTRODUCTION

Public procurement represents approximately 14 percent of the gross domestic product of the European single market every year (European Commission 2019), and has therefore often been identified as 'the largest business sector in the world' (Grandia 2016, 183). In line with neo-classical contracting theory, the process of government contracting has long been associated with government efficiency and cost reduction objectives (Pollitt and Bouckaert 2017). The primary goal of government contracting was to decrease the costs connected to the delivery of public services through the introduction of competition (Ferris and Graddy 1986; Ferris 1986), leading governments to almost exclusively award contracts based on price (Keulemans and Van de Walle 2017).

Yet, although price remains an essential criterion (Igarashi, De Boer, and Michelsen 2015; Young, Nagpal, and Adams 2016; Fuentes-Bargues, González-Cruz, and González-Gaya 2017), governments are increasingly willing to link public procurement contracts to the realization of secondary policy goals such as environmental, social, and innovation-related objectives (Morettini 2011; McCrudden 2004; Walker and Brammer 2009; Uyarra et al. 2014; Aldenius and Khan 2017). Public procurement has consequently developed over the years as a policy instrument to promote a multitude of policy goals that are difficult to attain otherwise, such as environmentally friendly policies, social justice, good governance, and public sector innovation. Thanks to the inclusion of secondary policy objectives in public procurement contracts, governments are capable of creating value for society (Mouraviev and Kakabadse 2015), and regulating the market (Jaehrling 2015).

Bel and Fageda (2017) argue that the process of government contracting is closely connected to political characteristics, and, more particularly to ideological attitudes, and political interests. This strand of the contracting out literature exclusively focuses on

governments' decision to insource or outsource the delivery of public services. Yet, little attention has been paid to how contract awarding may be associated with political characteristics. Once the decision to outsource a public service has been taken, governments have to evaluate the offers based on various criteria (e.g. price, environmental, innovative, and social aspects), and are supposed to award the contract to the best candidate. Similarly to the decision to insource or outsource a public service, some governments might be more willing to promote certain criteria over others depending on political interests, and politicians' political ideology. This research therefore intends to study the extent to which politicians' preferences for price and secondary policy objectives in the contract awarding stage are associated with politicians' political ideology and political interests.

Among the limited studies examining the relationship between secondary policy objectives and political characteristics, several empirical studies in the US found that local entities that are predominantly liberal are more likely than republican ones to opt for sustainable practices such as the inclusion of environmental and social criteria into government contracts (Konisky, Milyo, and Richardson 2008; Portney and Berry 2010; Wang et al. 2012; Opp and Saunders 2012; Alkadry, Trammell, and Dimand 2019). Opp and Saunders (2012, 688) explain that 'Republicans are less likely to trust government and embrace government solutions to perceived societal problems compared with Democrats'. In contrast, Lubell, Feiock and Handy (2009) highlight that the political context plays a minor role in the development of sustainable practices at the municipal level.

These studies however suffer from a number of limitations that our research aims at addressing. First, Alkadry, Trammell, and Dimand (2019) highlight the importance of political characteristics in sustainable procurement. However, in contrast with our research, previous studies rarely distinguish between political interests and decision-makers' political ideology. Second, few empirical studies have analysed decision-makers' attitudes in public procurement (Trammell, Abutabenjeh, and Dimand 2019), and if they do, they do not examine the environmental, innovative and social criteria simultaneously. Given this gap, our research aims at developing a more comprehensive understanding of politicians' preferences for the full range of secondary policy objectives (environmental, innovative, and social features). Lastly, Bel and Fageda (2009) found that the influence of ideological attitudes on service delivery practices highly depends on the geographical area. Because most empirical studies examining the association between political characteristics and secondary policy objectives were conducted in the United States (Konisky, Milyo, and Richardson 2008; Portney and Berry 2010; Wang et al. 2012; Opp and Saunders 2012; Alkadry, Trammell, and Dimand 2019; Lubell, Feiock, and Handy 2009), it is difficult to generalize their findings to other contexts. By examining a European country, our study aims at investigating this phenomenon in another geographical context.

To fill these gaps, this study empirically examines the extent to which politicians' consideration of price and secondary policy objectives may be associated with the political context. We consider that a political context is constituted of two different dimensions: politicians' political ideology (the Citizen Candidate model), and political interests (the Downsian approach). We argue that each dimension can be related to politicians' consideration of price and secondary policy objectives. Whereas the Citizen Candidate model predicts that politicians' public policy choices are driven by their political ideology (Osborne and Slivinski 1996; Elinder and Jordahl 2013; Alonso, Andrews, and Hodgkinson 2016), the Downsian model postulates that politicians' public policy preferences are determined by the preferences of the median voter, and are consequently the result of some strategic reasoning (Downs 1957; Elinder and Jordahl 2013; Alonso, Andrews, and Hodgkinson 2016).

awarding of contracts to for-profit enterprises in the field of waste collection at the municipal level in Belgium.

This article is structured as follows. The first section outlines the theoretical background as well as the hypotheses that will be tested in this study. The second section describes the research setting, the design of the discrete choice experiment, the data collection, the operationalization of the relevant variables, the sample, and the empirical strategy. The penultimate section presents, and discusses the empirical findings. The final section highlights the implications of our results, the limitations, and the avenues for future research.

## POLITICIANS' IDEOLOGICAL AND STRATEGIC REASONING

#### Citizen Candidate model – Ideological reasoning

The Citizen Candidate model has been developed to examine electoral participation, and the factors influencing individuals' decision to run for office in a representative democracy (Osborne and Slivinski 1996). According to this approach, citizens' motivations to become candidates are driven by the perspective of being able to formulate and implement their preferred public policies (Osborne and Slivinski 1996; Elinder and Jordahl 2013; Schoute, Budding, and Gradus 2018). Once elected, office holders will aspire to carry out their policy objectives, taking into account the constraints that are inherent to their position (Osborne and Slivinski 1996). From this perspective, it can be expected that different ideological preferences will also imply different formulation and implementation of public policies (Schoute, Budding, and Gradus 2018). According to Elinder and Jordahl (2013), the Citizen Candidate model can explain the divergence in public policy choices between right-wing and left-wing politicians.

In line with the Citizen Candidate approach, we claim that politicians choose their preferred level of price, environmental, innovative and social standards according to their

political ideology. We argue that right-wing and left-wing politicians' divergent preferences with regards to price and secondary policy objectives mainly reside in right-wing and leftwing politicians' different ideological opinions on the role of the state. While left-wing politicians strongly favour state-oriented solutions, right-wing politicians prefer to rely on market-oriented solutions (Guo and Willner 2017). The ideological positions are also very different when it comes to public spending. The literature highlights that, compared to left-wing politicians, right-wing politicians pay more attention at diminishing public expenditures (Bel and Fageda 2009; Petersen, Houlberg, and Christensen 2015). Furthermore, Serritzlew (2003, 332) states that '[1]eft-wing government leads to increased public spending'.

In light of these observations and the high cost secondary policy objectives can generate (Walker and Brammer 2009), we expect that right-wing politicians consider that the government should not subsidize the development of secondary policy objectives. In contrast, we predict that left-wing politicians consider that developing secondary policy objectives should be the role of the state. Therefore, we assume that right-wing politicians will be more hesitant than left-wing politicians to consider environmental, innovative and social criteria. Yet, as very limited attention has been paid to the association between politicians' preferences for secondary policy objectives and political ideology, the formulation of the hypotheses remains relatively exploratory. Based on the previous argument, we develop the following hypotheses:

H1a: Compared to left-wing politicians, right-wing politicians are *more* likely to consider price when awarding contracts.

H1b: Compared to left-wing politicians, right-wing politicians are *less* likely to consider environmental criteria when awarding contracts.

H1c: Compared to left-wing politicians, right-wing politicians are *less* likely to consider innovation-related criteria when awarding contracts.

H1d: Compared to left-wing politicians, right-wing politicians are *less* likely to consider social criteria when awarding contracts.

### **Downsian approach – Strategic reasoning**

Taking an economic perspective, the Downsian approach considers that politicians can be viewed as actors who perform their social function of formulating, and carrying out public policies with the unique aim of gaining political support from their constituents (Downs 1957, 137). The theory postulates that, to attract political support and to gain an executive position, politicians attach great importance to develop public policy proposals that are favourable to the median voter (Elinder and Jordahl 2013; Alonso, Andrews, and Hodgkinson 2016; Schoute, Budding, and Gradus 2018). From this perspective, voters' political interests guide politicians' formulation, and implementation of public policies; 'when most members of the electorate know what policies best serve their interests, the government is forced to follow those policies in order to avoid defeat' (Downs 1957, 147). Politicians' public policy choices therefore depend on the preferences of the median voter rather than their own ideological preferences (Elinder and Jordahl 2013).

Consistent with the Downsian approach, we highlight that, in order to gain electoral support, politicians tend to rely on the preferences of the median voter with respect to the implementation of secondary policy objectives. In line with Downs (1957), we claim that voters' income is a crucial mechanism behind politicians' consideration of secondary policy objectives.

According to Wang, Hawkins, and Berman (2014, 9), 'high income populations may be more willing to participate and render resources because they perceive a high stake in

sustainability policies', implying that richer communities are more likely to support the development of secondary policy objectives. In line with this argument, Alkadry, Trammell, and Dimand (2019) found that municipalities with higher income population were more likely to consider sustainable practices. Therefore, politicians in higher income municipalities might be more inclined to take secondary policy objectives into consideration, as this appears to be more in line with voters' desires.

In municipalities with lower income residents, taking secondary policy objectives into consideration might be perceived as an unnecessary measure by the constituents who might feel their financial interests threatened (Fernandez, Ryu, and Brudney 2008). One could argue that, in municipalities where voters have fewer means, residents are also more dependent on social benefits received from local governments. Individuals with lower incomes might therefore be afraid that higher spending on the secondary policy objectives increases the overall deficit of their municipality, potentially jeopardizing the benefits they receive from their local entity (Fernandez, Ryu, and Brudney 2008). Therefore, we assume that in municipalities with lower income voters, politicians tend not to take secondary policy objectives into consideration as these are not favoured by their constituents. Yet, in contrast with the innovative and environmental criteria, taking the social criterion into consideration might be perceived as a favourable policy decision to boost employment and social justice. Voters in lower income municipalities might consequently be supportive of this type of measure, giving some impetus to the politicians to consider this criterion.

As few studies have examined the relationship between politicians' preferences for secondary policy objectives, and voters' political interests, this research remains exploratory in the formulation of its hypotheses. Based on the aforementioned observations, the following hypotheses are formulated:

H2a: In municipalities with lower income residents, politicians will be *more* likely to consider price when awarding contracts.

H2b: In municipalities with lower income residents, politicians will be *less* likely to consider environmental criteria when awarding contracts.

H2c: In municipalities with lower income residents, politicians will be *less* likely to consider innovation-related criteria when awarding contracts.

H2d: In municipalities with lower income residents, politicians will be *more* likely to consider social criteria when awarding contracts.

## DATA AND METHOD

We conduct a discrete choice experiment (DCE) to examine the extent to which politicians' preferences for price and secondary policy objectives are connected to politicians' political ideology and political interests. In a DCE, participants are given a hypothetical scenario and have to select, across several choice sets, the option they favour the most. They are presented with at least two options per choice set and each option is described by a set of attributes that can take several levels (Lancsar and Louviere 2008; Lancsar, Fiebig, and Hole 2017).

### **Research setting**

We examine politicians' preferences for price, and secondary policy objectives in the field of waste collection at the local level in Belgium. We focus on government contracting to forprofit enterprises as they are the most frequent external service provider selected by local decision-makers to deliver public services (Schoute, Budding, and Gradus 2018). We chose the waste collection sector as it is considered one of the most visible and essential public service provided by local authorities to the population. In addition, Schoute, Budding, and Gradus (2018) observed that waste collection services are mostly contracted out to for-profit

enterprises. We concentrate on the door-to-door collection of bulky waste, which is a particular type of municipal waste that is too big to be placed in standard waste containers. It includes items such as old furniture, mattresses or white goods (European Commission 2016a).

#### Design of the discrete choice experiment

During the DCE, politicians were given a hypothetical scenario that the municipality, where they occupy a decision-making position, has decided to award a new contract for the collection of bulky waste. The scenario then asks politicians to choose among two for-profit enterprises described by price and environmental, innovative and social criteria the one that should become, in their opinion, the new bulky waste collector of their municipality. Additionally, in order to deal with potential endogeneity issues resulting from omitted attributes, we instructed participants to exclusively rely on the attributes given in the DCE (Lancsar and Louviere 2006). Although we do not rule out the risk that some participants may have disregarded this instruction, we argue, in line with Lancsar and Louviere (2006), that this substantially reduces the risk of omitted variable bias.

#### Operationalization of the criteria

This study focuses on four criteria: price, environmental, innovative, and social criteria. These three secondary policy objectives have been selected as the European Commission has concentrated on their integration into government contracts (European Commission 2011, 2016b, 2018). Moreover, Belgium has developed strategies to encourage their inclusion into public procurement contracts (OECD 2019). By including secondary policy objectives into public procurement contracts, governments aim at developing environmentally-friendly solutions (Testa et al. 2012), promoting social benefits for society (Loosemore 2016), and stimulating the creation of innovative goods and services (Uyarra et al. 2014).

Price, environmental, innovative, and social criteria were operationalized in a three steps procedure. First, we conducted a desk research of the documents concerning the implementation of environmental, innovative, and social criteria, of the main waste collection companies operating in Belgium. From these strategic plans, we were able to derive a first list of attributes.

Second, we conducted six face-to-face semi-structured interviews with experts in the waste collection field in Belgium. The interviewees were selected purposively based on their expertise and experience in waste collection, and came from different management levels, including waste collection agencies, inter-municipal associations, a bulky waste collection enterprise and a municipality. Each interview lasted approximately one hour and was divided into two parts. In the first part of the interview, questions about the organization and the contracting out process of waste collection at the municipal level were asked to the experts. The second part of the interview focused on the secondary policy objectives. Based on the list of attributes derived from the desk research, experts were asked to rank the attributes from the most important to the least important one. They could also add attributes to the list if they consider a non-price criteria could be better operationalized by another attribute. As a result of the interviews, four attributes and their respective levels, were identified as being the most considered by the experts (see table 1). The four attributes were chosen by the experts to reflect as closely as possible the reality of the bulky waste collection market.

As a last step, and to check the reliability and validity of these most considered attributes, we conducted a pilot study of the DCE with civil servants dealing with waste collection at the municipal level. During the pilot study, we asked participants to describe orally how they understood the attributes and they did not observe any inconsistencies.

	Level 1	Level 2	Level 3
Price	The price per ton is 250 EUR.	The price per ton is 270 EUR.	
Environmental criterion	The average age of the fleet of vehicles is 0 years (an entire new fleet will be put into circulation).	The average age of the fleet of vehicles is 3 years.	The average age of the fleet of vehicles is 6 years.
Innovative criterion	The for-profit enterprise does not offer a mobile application for smart- phones for service users.	The for-profit enterprise offers a mobile application for smart-phones for service users (this mobile application has a calendar, informs on pick-up days and offers a contact tool,).	
Social criterion	The for-profit enterprise is not currently involved in a training scheme for long-term unemployed.	The for-profit enterprise is currently involved in a training scheme for long-term unemployed.	

### Table 1. Attributes and their respective levels

## Fractional factorial design

Because the total number of choice sets to show to the respondents is quite large, we conducted a fractional factorial design to decrease it. It is defined as 'a sample from the full factorial selected such that all effects of interest can be estimated' (Lancsar & Louviere, 2008, p.667). To ensure orthogonality (the statistical independence of the attributes) and level balance (all the attribute levels have the same likelihood to appear throughout the choice sets), we performed the rotation method in R using an orthogonal main effect array (Ryan et al. 2012; Aizaki 2012). The number of possible choice sets was reduced to twelve and divided into two blocks of six choice sets (see figure A1 in the appendix for an example of a

choice set). Respondents were then randomly assigned to one of the two blocks and had to assess six choice sets consisting of two alternatives each.

### **Data collection**

As, in Belgium, waste collection falls under the umbrella of the environmental department of the municipality, we surveyed the executive politicians (aldermen or mayors) responsible for the environmental portfolio of their municipality. These politicians therefore have direct experience with the selection of waste collectors, implying that they can easily recognize how environmental, innovative and social criteria were operationalized in the DCE. Moreover, in our sample, most of the politicians stated to have already evaluated tender documents, confirming that the local politicians surveyed are experienced with regards to contract awarding.

The survey-experiment was sent to all the Belgian local politicians we identified as responsible for the environmental portfolio of their municipalities (556 politicians). Their contact details were collected via a database collecting information on Belgian administrations. When the contact details were not available in the database, we manually searched for them on the website of the municipality. The DCE was translated into Dutch and French, the two main official languages of Belgium, and was electronically distributed via personalized emails to the politicians.

### Measure of political ideology and political interests

Similarly to previous government contracting studies (Bhatti, Olsen, and Pedersen 2009; Zafra-Gómez et al. 2016; Schoute, Budding, and Gradus 2018), we measured political ideology through politicians' party affiliation. We manually searched for the respondents' party affiliation on the website of their municipality. We used the 2014 Chapel Hill expert survey to place the politicians into the left-wing or right-wing group (see Figure A2 in the appendix) (Polk et al. 2017). The Chapel Hill expert survey was previously used by Schoute, Budding, and Gradus (2018) in their study on local governments' decision to contract out.

Yet, many Belgian politicians are affiliated to a local party at the municipal level in Belgium and Otjes (2018) states that local parties constitute a separate group that cannot be directly associated to a right-wing or left-wing ideology. It was therefore crucial to generate a categorical variable, including right-wing, left-wing and local parties to examine local politicians' preferences. Having a continuous measure of ideology would have resulted in some important loss of data and empirical results as we would not have been able to include politicians from local parties.

In line with past research on political interests (Downs 1957; Fernandez, Ryu, and Brudney 2008; Sundell and Lapuente 2012), we operationalize political interests by the average income of the population. For this purpose, we examine the average income per inhabitant for every municipality. This variable has been divided into four categories according to the quartiles of the distribution; low, medium-low, medium-high and high average income municipalities per inhabitant.

#### **Data description**

The response rate is 31.7 percent, indicating that a total of 176 Belgian politicians responded to the DCE. To examine the reliability of those answers, we compare politicians' stated gender (the one specified in the survey-experiment) with their actual gender. As two politicians stated a different gender than their actual gender, we considered their answers not reliable, and deleted them from the dataset. In addition, we took a closer look at the discrete choices of politicians who answered in less than four minutes (the estimated minimum time to complete the survey-experiment). After a close inspection of four politicians who were

considered "too fast", no answer was found to be problematic or irrational. A total of 174 respondents are therefore analysed.

We examine the representativeness of our sample by looking at variables at the level of the municipality (region, population size and average income per inhabitant) and the individual level (gender and function in the municipality). The sample appears to be representative of the population (see table A1 in the appendix). Most of the politicians in our sample are males, middle aged, aldermen, and have a university degree as well as experience with contract awarding (see table A2 in the appendix).

## **Empirical strategy**

The dependent variable of this research is either 1 for the for-profit enterprise that is chosen or 0 for the for-profit enterprise that is not chosen by the politicians. Therefore, we conduct a conditional (fixed effects) logistic regression that has been shown by McFadden (1974) to be in line with random utility theory. The level of the fixed effect has been specified at the choice set level (Ryan et al. 2012). As politicians' party affiliation and average income per inhabitant remain constant over alternatives, they can only be included as interaction terms in the regression models (Train 2002). We therefore interact the secondary policy objectives with politicians' party affiliation and average income per inhabitant to test our hypotheses. It worth noting that this study interprets the interaction effects as multiplicative effects, via odds ratio, instead of an additive scale.

## EMPIRICAL ANALYSIS

#### **Ideological Reasoning – Findings**

In line with government contracting studies that find a relationship between political ideology and the decision to contract out (Dubin and Navarro 1988; Bhatti, Olsen, and Pedersen 2009; Plantinga, de Ridder, and Corra 2011; Zafra-Gómez et al. 2016; Schoute, Budding, and

Gradus 2018), we observe, except for price, that the divergent political ideologies of politicians lead to different levels of preferences for secondary policy objectives (see table 2). Our study therefore confirms the existence of ideological reasoning when politicians consider secondary policy objectives. Yet, all politicians, independent of their ideology, are more likely to take lower prices, and higher environmental, innovative, and social criteria into consideration.

With regards to price (see model 1), we could not find any significant difference between right-wing politicians and left-wing politicians. This finding contradicts previous government contracting studies observing that ideological attitudes predict government contracting decisions (Bel and Fageda 2009; Petersen, Houlberg, and Christensen 2015; Serritzlew 2003), as well as our assumption that right-wing politicians will be more likely than left-wing politicians to consider price.

Conclusions about the environmental aspect (see model 2 and 3) need to be treated with caution. On the one hand, in line with our hypothesis, the results show that, compared to right-wing politicians, left-wing politicians are 2.17 times more likely to award contracts to for-profit enterprises with an average age of the fleet of 3 years compared to for-profit enterprises with an average age of the fleet of 6 years. On the other hand, the output also indicates that left-wing politicians are less likely than right-wing politicians to award contracts to for-profit enterprises with a new fleet of vehicles compared to for-profit enterprises with an average age of the fleet of 6 years. We consequently cannot conclude whether left-wing or right-wing politicians have stronger preferences for the environmental criterion. Next, the coefficient of the interaction term between political ideology and the innovative criterion (see model 4) shows that, left-wing politicians are less likely than right-wing politicians to award contracts to for-profit enterprises with an app compared to for-profit enterprises with an app compared to for-profit enterprises with a new fleet of the environmental criterion. Next, the coefficient of the interaction term between political ideology and the innovative criterion (see model 4) shows that, left-wing politicians are less likely than right-wing politicians to award contracts to for-profit enterprises with an app compared to for-profit enterprises that do not offer an app.

Although secondary policy objectives are often considered costly (Walker and Brammer 2009), right-wing politicians appear to consider for-profit enterprises with a new fleet of vehicles and a mobile application to a larger extent than left-wing politicians. In line with Dubin and Navarro (1988) who highlighted that politicians might be willing to pay more for better ideologically aligned values, we advance the assumption that right-wing politicians might encourage the development of environmental and innovative practices to reflect their ideological preferences.

Alternatively, one could argue that right-wing politicians may still aim to reduce public expenditures by being more likely to choose, compared to left-wing politicians, for-profit enterprises with a new fleet of vehicles and a mobile application. Although innovative solutions may require some significant initial investments, one of their main objectives is to increase the efficiency of public services and, as a result, to reduce its costs. In addition, right-wing politicians may see a new fleet of vehicles as the sign that the for-profit enterprise is quite modern and innovative rather than environmentally friendly. Having a new fleet of vehicles may reduce the potential long-term costs associated with an older fleet of vehicles and might also be more efficient.

The interaction term between political ideology and the social criterion (see model 5) shows that left-wing politicians are 2.41 times more likely than right-wing politicians to award contracts to for-profit enterprises that have a training scheme for long-term unemployed compared to for-profit enterprises that do not have it, confirming H1d. This finding is in line with previous studies arguing that left-wing politicians promote pro-social values and pay great attention to the working conditions of the workers (Sørensen and Bay 2002; Lindh and Johansson Sevä 2018). Left-wing politicians appear to pursue their own political objectives by choosing to promote the social conditions of their municipality.

Finally, our findings shed light on the preferences of politicians affiliated to local parties in Belgium, and indicate that right-wing politicians' preferences significantly differ from the ones of politicians affiliated to local parties for price, the new fleet of vehicles and the social criterion. Politicians affiliated to local parties, are 1.03 times more likely than right-wing politicians to take the highest price into consideration compared to the lowest price (see model 1). With regards to the environment, politicians affiliated to local parties are less likely than right-wing politicians to consider a new fleet of vehicles compared to a for-profit enterprise with an average age of the fleet of vehicles of 6 years (see model 3). Compared to right-wing politicians, politicians affiliated to local parties are 1.5 times more inclined to award contracts to for-profit enterprises currently involved in a training scheme for long-term unemployed (see model 5).

	(1)	(2)	(3)	(4)	(5)
Price	0.948***	0.961***	0.960***	0.962***	0.962***
<b>Environment</b> (3 years) (ref. 6 years)	2.003***	1.467*	2.077***	2.067***	2.078***
Environment (new fleet)	3.354***	3.339***	6.261***	3.317***	3.362***
(ref. 6 years)	0.000	0.000	0.201	0.017	0.002
Innovative criterion	2.852***	2.838***	2.815***	3.915***	2.872***
(ref. no app)	2.002	2.030	2.010	51710	2.072
Social criterion	3 584***	3 543***	3 661***	3 547***	2 431***
(ref. no training)	5.504	5.545	5.001	5.547	2.731
Price*Ideology (ref. right-					
wing)					
Left-wing	1.013				
Local	1.028**				
Environment (3years)					
*Ideology (ref.: right-wing)		2 1 60 **			
Left-wing		2.168**			
Local Environment (new fleet)		1.430			
*Ideology (rof : right wing)					
Left wing			0 425**		
Local			0.425		
Innovative criterion			0.500		
*Ideology (ref · right-wing)					
Left-wing				0.517**	
Local				0.693+	
Social criterion					
*Ideology (ref.: right-wing)					
Left-wing					2.413***
High					1.495*
ASC	1.052	1.053	1.039	1.050	1.038
Number of respondents	174	174	174	174	174
Number of events	1,044	1,044	1,044	1,044	1,044
Number of observations	2,088	2,088	2,088	2,088	2,088
McFadden pseudo R <sup>2</sup>	0 405	0.406	0.409	0.406	0.410

# Table 2. Ideological Reasoning – Politicians' preferences for the criteria

## **Strategic Reasoning – Findings**

Our results, displayed in table 3, show that only one result is found to be statistically significant. Compared to municipalities with a low average income per inhabitant, municipalities with a high average income per inhabitant are 1.95 times more likely to award contracts to for-profit enterprises with a new fleet of vehicles compared to for-profit enterprises with a new fleet of 6 years, confirming H2b. Yet, except from this result, our research suggests that politicians' preferences for price and secondary policy objectives do not appear to be linked to voters' political interests. It worth noting that all politicians, independent of the financial situation of the municipality, are more likely to take lower prices, higher environmental, innovative, and social criteria into consideration.

# Table 3. Strategic Reasoning – Politicians' preferences for the criteria (average

income	/inha	bitant)

	(1)	(2)	(3)	(4)	(5)
Price	0.960***	0.962***	0.961***	0.962***	0.962***
Environment (3 years)	2.053***	2.401***	2.064***	2.060***	2.062***
(ref. 6 years)					
Environment (new fleet)	3.323***	3.326***	2.908***	3.332***	3.335***
(ref. 6 years)					
Innovative criterion	2 819***	2 821***	2 842***	2 765***	2 830***
(ref. no app)	2.017	2.021	2.042	2.705	2.050
Social criterion	3 511***	3 514***	3 561***	3 515***	4 223***
(ref. no training)	5.511	5.514	5.501	5.515	7.223
Price*Income (ref.: Low) Medium-Low Medium-High High Environment (3years) *Income (ref.: Low)	1.001 1.007 1.000				
Medium-Low Medium-High		0.879 0.853			
High Environment (new fleet)		0.719			
*Income (ref.: Low) Medium-Low Medium-High High			1.009 0.919 1.952*		
Innovative criterion					
*Income (ref.: Low) Medium-Low Medium-High High Social criterion				1.034 1.162 0.901	
*Income (ref.: Low) Medium-Low Medium-High High					$0.836 \\ 0.899 \\ 0.645^+$
ASC	1.049	1.050	1.049	1.049	1.048
Number of respondents Number of events Number of observations McFadden Pseudo R <sup>2</sup>	174 1,044 2,088 0,400	174 1,044 2,088 0,401	174 1,044 2,088 0,405	174 1,044 2,088 0,401	174 1,044 2,088 0,403

<sup>a</sup> Note: conditional logistic regression coefficients (standard errors).\*\*\*p < 0.001, \*\*p < 0.01, \*p < 0.05, \*p < 0.1. A robustness check, using the continuous measure of average income per inhabitant, has been performed, leading to similar empirical results except for the environmental criterion (new fleet of vehicles) that is not statically significant with the continuous measure of average income per inhabitant. More information is available in the supplementary material.

#### DISCUSSION AND CONCLUSION

Previous studies have shown that the process of government contracting is influenced by political factors (Bel and Fageda 2017). Yet, little empirical evidence exists on the relationship between political characteristics and the next stage of government contracting; the process of contract awarding where decision-makers evaluate tender documents based on numerous criteria such as environmental, innovative and social objectives. This research therefore aimed at investigating the extent to which politicians' preferences for price and secondary policy objectives during the contract awarding stage are related to the political context. We understood the political context as being composed of two aspects: politicians' political ideology (Citizen Candidate model), and political interests (the Downsian approach).

The findings indicated that, in addition to price, politicians are willing to consider environmental, innovative and social criteria when awarding contracts. We also found that politicians' preferences for secondary policy objectives are associated with political ideology, supporting the Citizen Candidate model. However, limited support has been found for the Downsian approach with only one hypothesis (H2b) being supported.

Our study provides three main relevant implications for research on government contracting and public procurement. First, our analysis sheds light on politicians' preferences for price and secondary policy objectives. A topic that has hitherto received very limited attention from past research. Our results suggest that, regardless of their political ideology, politicians are willing to consider environmental, innovative and social criteria when awarding contracts. This finding significantly contributes to the field of public procurement where limited studies examining politicians' attitudes have been conducted (Trammell, Abutabenjeh, and Dimand 2019). Moreover, by simultaneously examining politicians'

preferences for environmental, innovative and social criteria, our study sheds light on politicians' stated behaviour with regards to the full range of secondary policy objectives.

Next, our study shows that politicians seem to be guided by their political ideology and aim at implementing and carrying out their preferred policies. This finding contradicts previous government contracting studies that did not find any statistical relationships between political ideology and the decision to insource or outsource public services (Bel and Fageda 2017). This indicates that the political mechanisms associated with the contract awarding stage might be somehow different from the ones connected to the decision to contract out.

Few studies have conducted experimental research in the field of public procurement. More particularly, DCEs have rarely been carried out in public administration (but see Van Puyvelde et al. 2016; Jensen and Pedersen 2017; Bellé and Cantarelli 2018). We believe that DCEs constitute a reliable method to derive valid preferences for government contracting arrangements.

Despite these contributions, our research has some limitations that create avenues for future studies. First, we investigated the relationship between politicians' preferences for price and secondary policy objectives and voters' political interests in a technical service but one could argue that voters' political interests might be more prominent in social services. The population might show more interest in influencing the outcome of contract awarding for social services as they might feel more directly concerned or might see it as one of the main prerogatives of the state. Future studies should examine whether our findings, especially with regards to political interests, are similar in social services. Additionally, future research should investigate other sets of secondary policy objectives that the ones identified in this study.

Second, the focus of our study on bulky waste collection in Belgium restrains the generalizability of our findings. Yet, the organization of waste collection is quite similar across European countries. We, therefore, believe that our conclusions could be applicable to other countries in the European Union where secondary policy objectives are also taking a more prominent role. Future research should intend to confirm this expectation by examining this phenomenon in different contexts.

Finally, to be as close as possible to real-life decisions, this study exclusively surveyed politicians who are responsible for waste collection, and consequently the environmental department of their municipality – as waste collection falls under the umbrella of the environmental department. These politicians might consequently be more sensitive towards environmental issues than other politicians, resulting in higher preferences for the environmental criterion (Baekgaard 2010). However, we believe that it reflects a real-life setting where, politicians responsible for the environment, might also be more likely to favour green policies through public procurement contracts.

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# APPENDICES

Figure A1 Example of a choice set displayed to respondents (English version)

# Question 1 out 6

# Which company do you prefer?

Please only tick one box.

	Company 1	Company 2
Social criterion	The company is currently involved in a training scheme for long-term unemployed.	The company is not currently involved in a training scheme for long- term unemployed.
Environmental criterion	The average age of the fleet of vehicles is 3 years.	The average age of the fleet of vehicles is 6 years.
Innovative criterion	The company does not offer a mobile application for smart-phones for service users.	The company offers a mobile application for smart-phones for service users (this mobile application has a calendar, informs on pick-up days and offers a contact tool,).
Price	The price per ton is 270 EUR.	The price per ton is 250 EUR.

I prefer company 1

I prefer company 2

### Figure A2 - Position of the Belgian parties on Chapel Hill scale

The Belgian political parties were classified into the left-right political spectrum according to the 2014 Chapel Hill expert survey (Polk et al. 2017). The Chapel Hill expert survey asks experts on political parties and European integration to classify the main political parties of their country into a left-right scale by answering the following question: "Please tick the bow that best describes each party's overall ideology on a scale ranging from 0 (extreme left) to 10 (extreme right)". The experts were then presented with a scale ranging from 0 (extreme left) to 10 (extreme right) and had to position the political parties on this scale. We decided to use 5 as a cut-off point to separate left-wing from right-wing political parties.



	Population	Sample
Region		
n	581	174
Brussels	3.27%	3.45%
Flanders	51.64%	52.30%
Wallonia	45.09%	44.25%
Population size of the municipality		
n	581	174
Mean	19 675 4	20 901 95
Standard deviation	31 727 59	20,901.95
Minimum	83	1.065
Maximum	528,935	262,219
Income nor inhabitant		
n n n n n n n n n n n n n n n n n n n	581	172
n Mean	19 007 43	19 327 47
Standard deviation	2 618 23	2 650 512
Minimum	0 207	10.406
Maximum	30.748	30 748
Maximum	50,740	50,740
Gender		
n	556	169
Female	29.14%	34.32%
Male	70.86%	65.68%
Function		
n	556	169
Alderman	95.32%	94.08%
Mayor	4.68%	5.92%
•		

 Table A1 Representativeness check

Table A2.	Characteristics	of	politicians
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	Descriptive
Region	
n	174
Brussels	3.45%
Flanders	52.30%
Wallonia	44.25%
Ideology	
n	174
Left-wing parties	25.29%
Right-wing parties	32.76%
Local parties	41.95%
Gender	
n	169
Female	34.32%
Male	65.68%
Age	
n	163
Mean	49.50
Standard deviation	11.78
Minimum	20
Maximum	69
Function	
n	169
Alderman	94.08%
Mayor	5.92%
Education	
n	170
No university degree	18.82%
University degree	81.18%
Experience with contract awarding	
n	169
No experience with contract awarding	7 10%
Experience with contract awarding	92 90%