

MOTIVATIONS: OBSERVATIONS OF THE HALO FORMATION AND OF SOLAR WIND HEAT FLUX REGULATION BY INSTABILITIES.

Electron velocity distribution functions (VDFs) in the solar wind often consist of multiple components: an isotropic **core**, a suprathermal **suprathermal halo**, a suprathermal field-aligned **strahl** (Marsh 2006).

The peculiar structure of the electron VDF carries an important amount of **heat flux in the solar wind** (Feldman et al. 1975).

Recent observations by the **Parker Solar Probe (PSP)** mission have shown in the inner heliosphere: a **low halo fractional density**, a **more pronounced strahl** (Halekas et al. 2020).

While the **halo fractional density increases** with the heliocentric distance, the **strahl fractional density decreases** (Maksimovic et al. 2005).

These observations suggest that the **formation of the halo population** takes place from the **pitch-angle scattering of the strahl**.

Oblique whistler-mode waves, whose existence in the inner heliosphere has been recently confirmed by PSP observations (Cattell et al. 2020), can explain the **scattering of the strahl** and the **reduction of the solar wind heat flux**.

METHOD: 2D FULLY KINETIC SIMULATION MODELING NEAR-SUN SOLAR WIND CONDITIONS.

2D fully kinetic Particle-In-Cell simulation of whistler heat flux instabilities performed with **IPIC3D** code (Markidis et al. 2010).

A collisionless plasma with an initially uniform background magnetic field has been modeled: the plasma and magnetic field parameters correspond to those measured by **Parker Solar Probe** during its first perihelion.

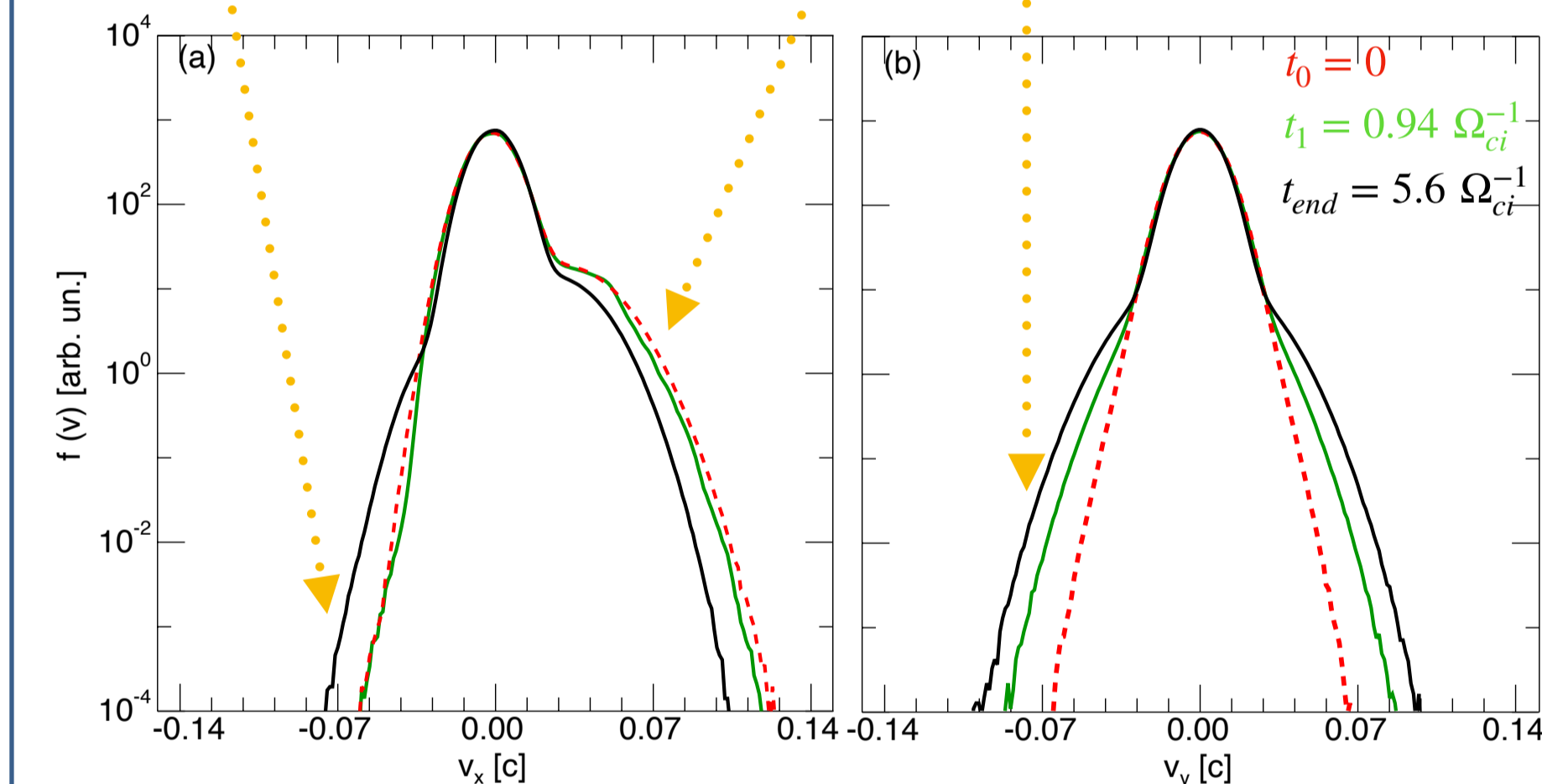
The initial electron VDF is composed of **core and strahl populations**.

The strahl is modeled as a **drifting bi-Maxwellian** distribution with a thermal spread in the direction parallel to the magnetic field.

RESULTS: STRAHL PITCH ANGLE SCATTERING AND FORMATION OF HALO ELECTRONS.

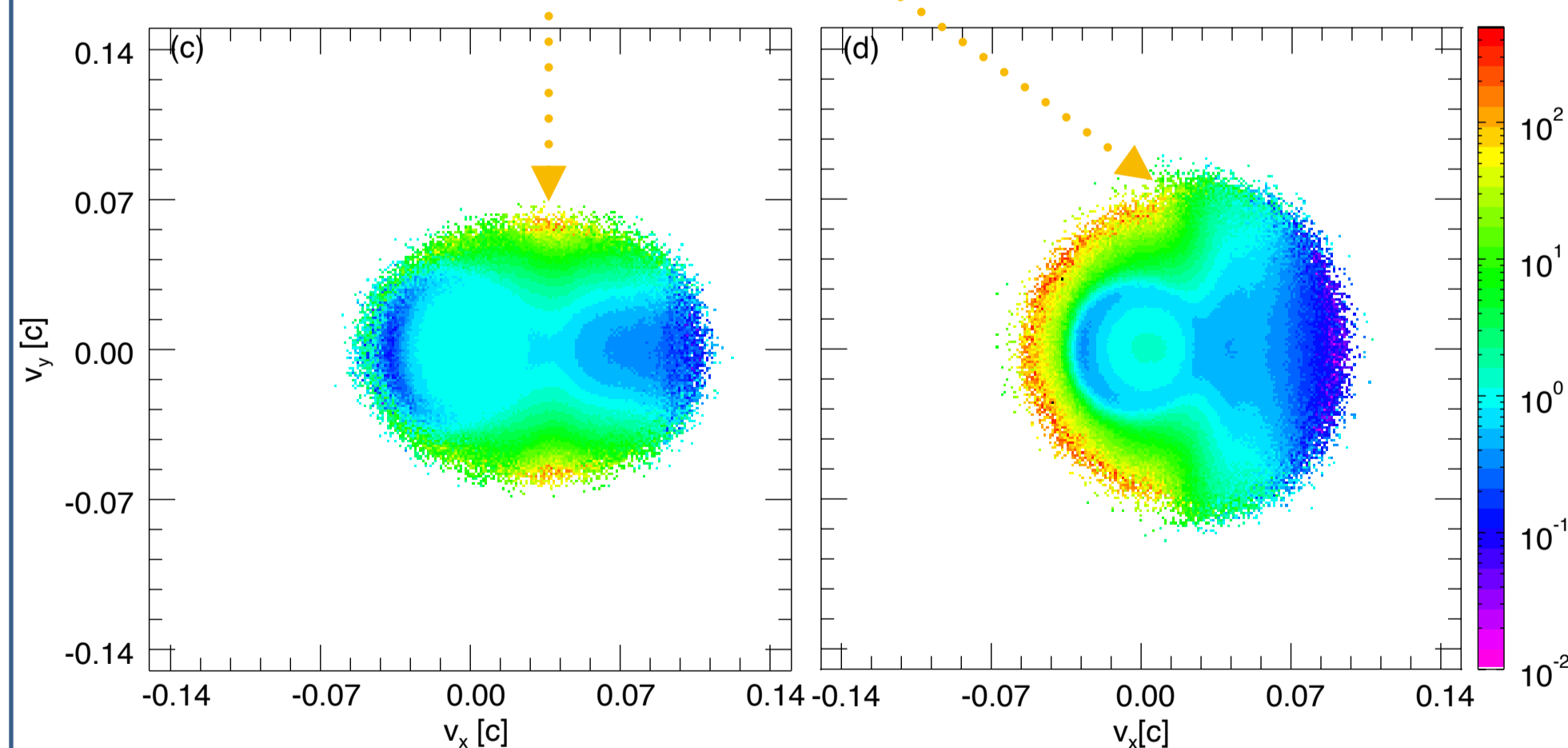
The strahl undergoes pitch-angle scattering by the excited whistler waves, which results in the **reduction of the strahl drift velocity** and in the simultaneous **broadening of the strahl pitch angle distribution**.

A further portion of suprathermal electrons undergoes **secondary scattering processes**, which lead to a consequent relaxation of the strahl drift velocity and to a more isotropic electron VDF.



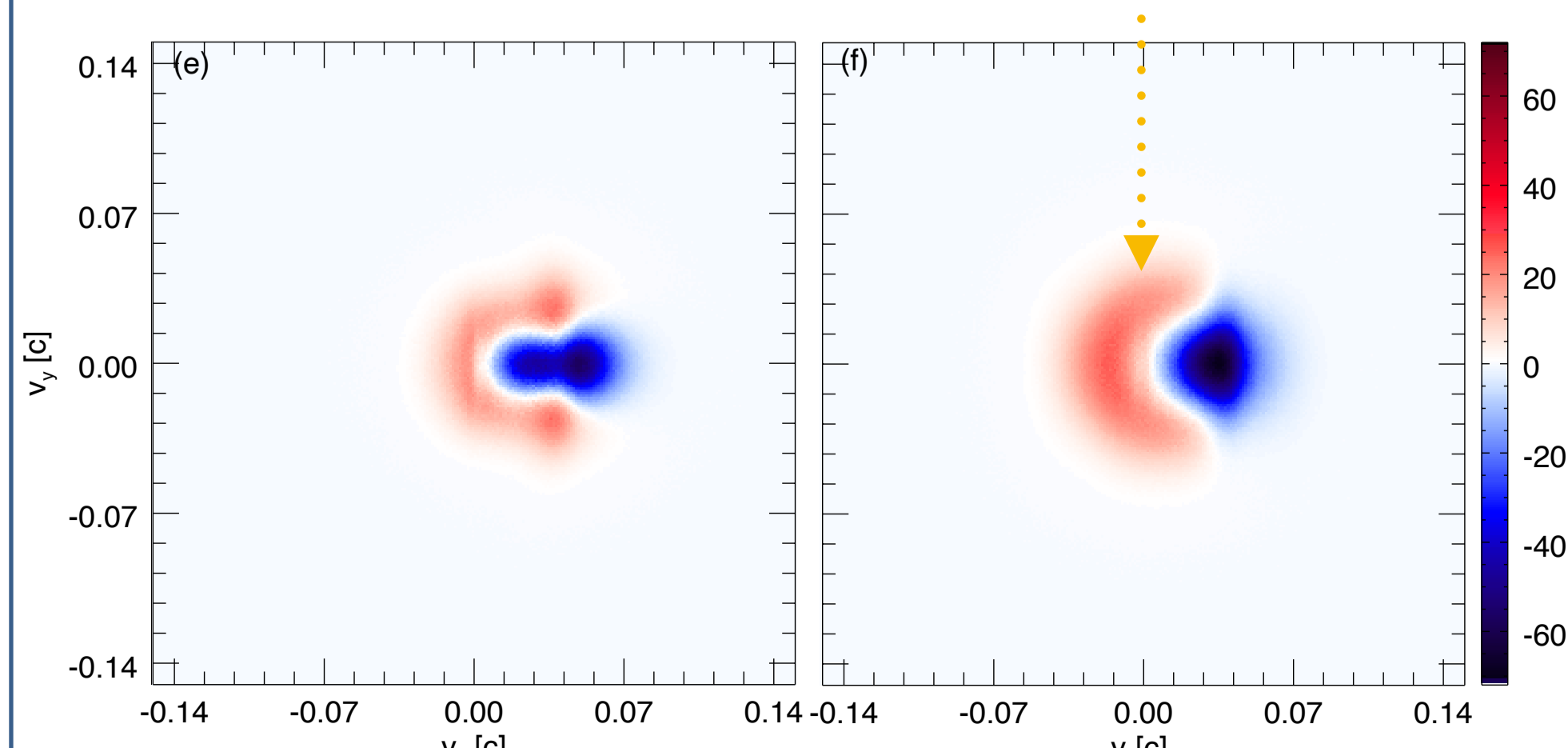
Cuts of the total electron VDFs at different times.

The scatter of the strahl electrons leads to the **formation of a new population that can be seen as a halo**, which, at higher energies, deviates from the Maxwellian distribution, and whose features are noticeable in the electron VDF from the onset of the oblique whistler heat flux instability (O-WHFI) to the final stages of the simulation.



Temporal variation of the total electron VDF: $f(t_2)/f(t_1)$ and $f(t_{end})/f(t_1)$, with $t_1 = 0.94 \Omega_{ci}^{-1}$.

Later on, scattering processes lead to the **generation of a tail-like structure** in the distribution function at $v_x < 0$ and hence of a **more symmetric halo**, in agreement with observations.

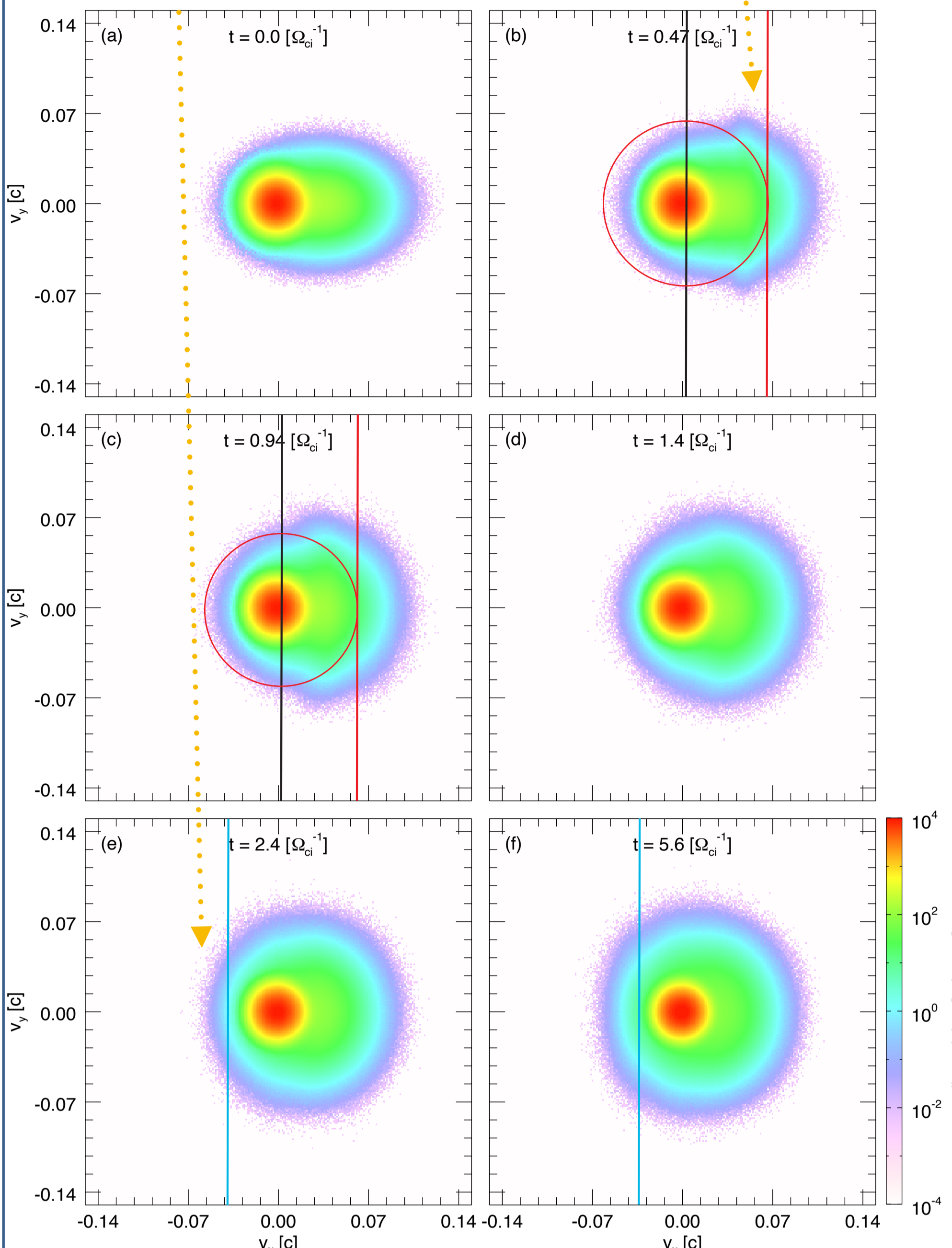


Variation of the strahl distribution function: $f(t_2) - f(t_1)$ and $f(t_{end}) - f(t_1)$.

RESULTS: CYCLOTRON AND LANDAU RESONANCES SHAPE THE ELECTRON VDF

The electron population, that fulfills the **$n=1$ resonance condition** with the oblique whistler waves, **diffuses via pitch-angle scattering towards higher values of perpendicular velocities**.

The generation of the **tail in the distribution function** can be traced back to the **$n=-1$ cyclotron resonance** that the electron VDF experiences later in time when there is a sufficient number of electrons with $v_x < 0$ and **quasi-parallel WHFI** is triggered.

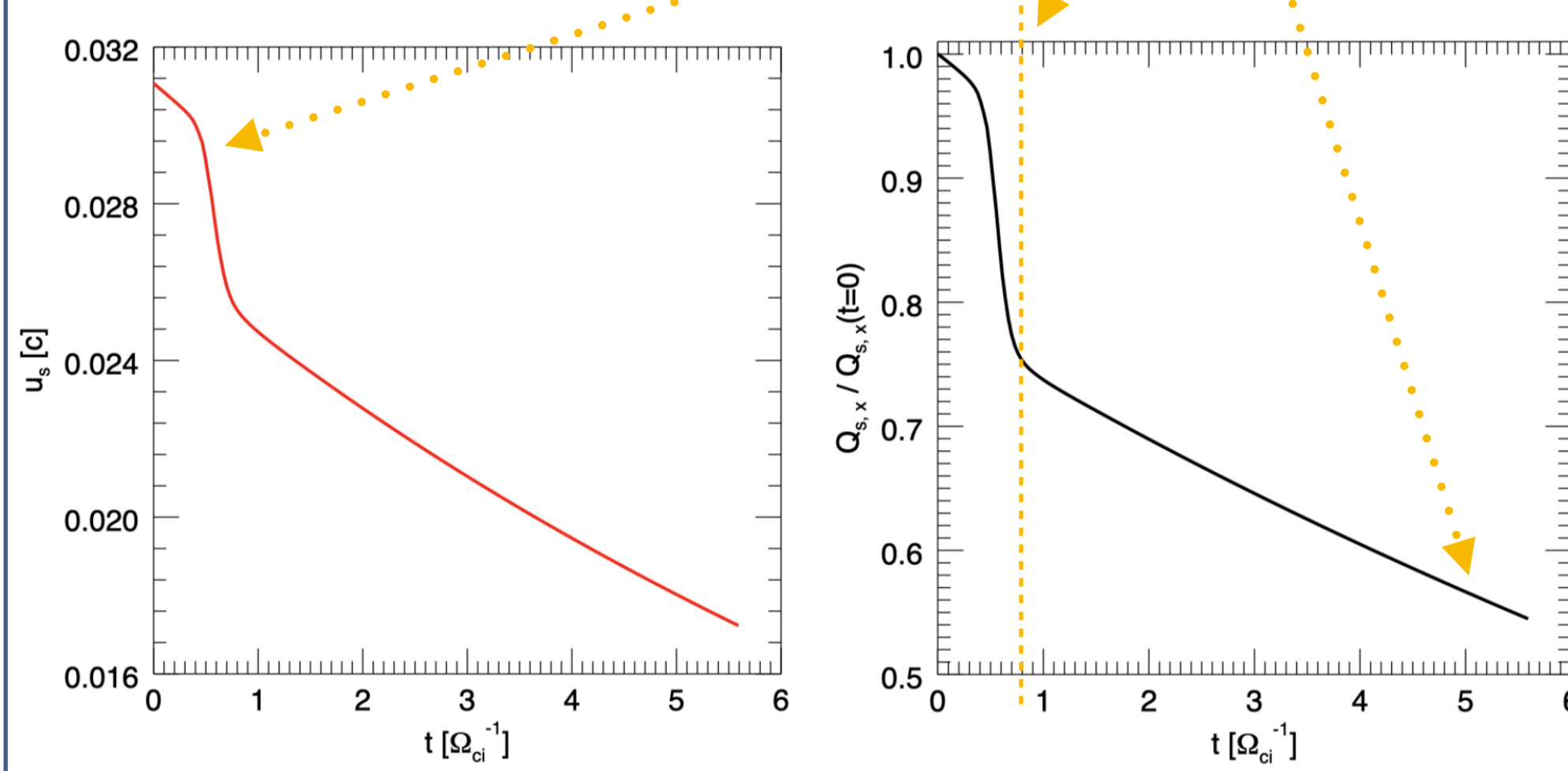


Electron VDF at different times. Black and red vertical lines indicate velocity values at which $n=0$ and $n=1$ resonances of oblique whistler waves are expected, while circles show electrons diffusion paths due to the $n=1$ resonance interaction. Cyan vertical lines in panels indicate velocity values at which $n=-1$ resonance is expected.

RESULTS: HEAT FLUX REDUCTION AS A CONSEQUENCE OF WHISTLER HEAT FLUX INSTABILITIES

The process is accompanied by a significant **decrease of the heat flux carried by the strahl population**: The decrease of the heat flux is around **46 % of the initial value**.

The strongest heat flux rate decrease is **simultaneous with the growth of the oblique wave modes** and the **consequent decrease of the strahl drift velocity** that it produces, and it lasts until their saturation.

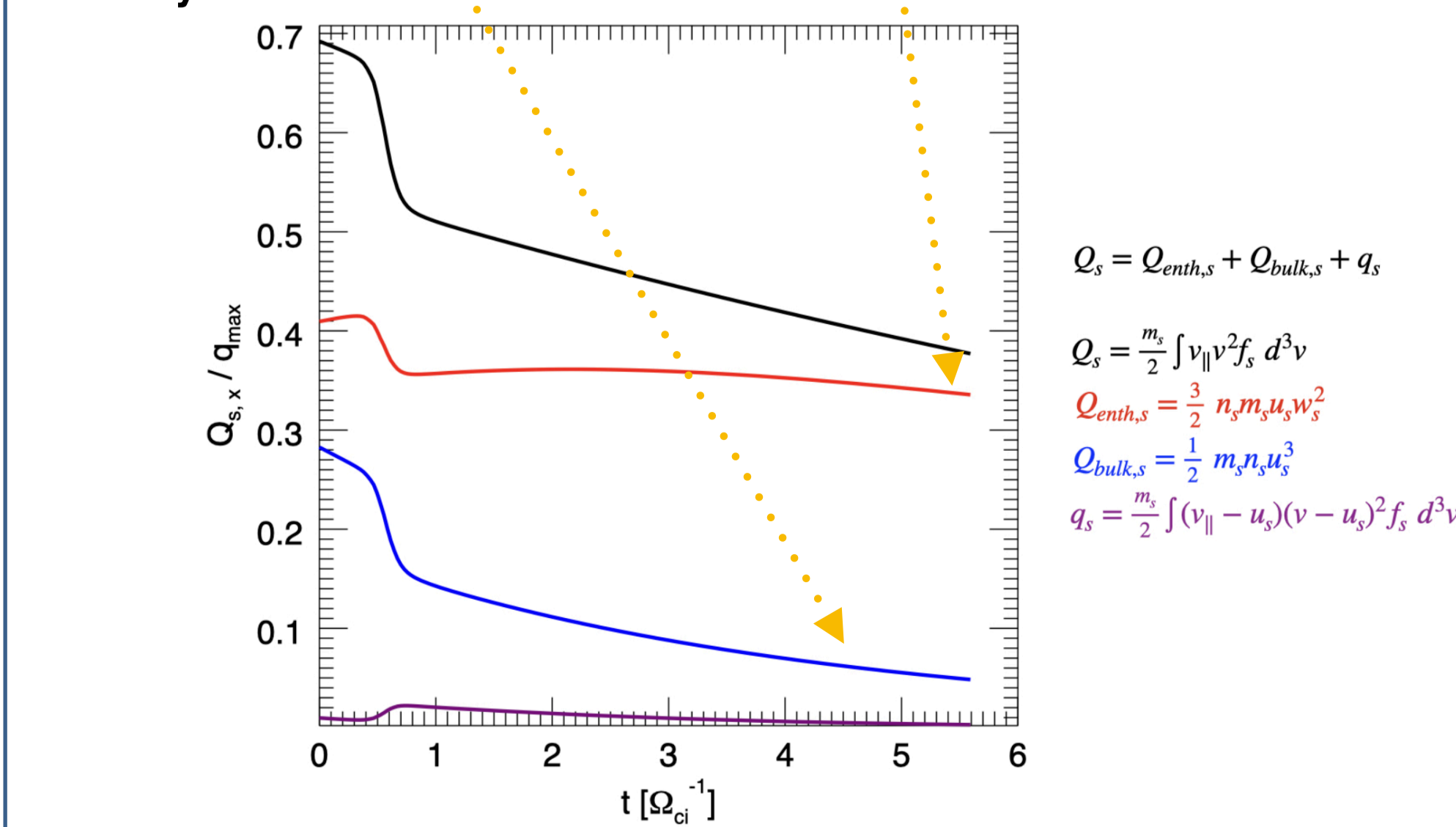


Temporal evolution of parallel strahl drift velocity and of strahl energy flux normalized to its initial value.

After the O-WHFI is already saturated, the plasma is still unstable to the **quasi-parallel WHFI** and then the **heat flux is subject to a further decrease**.

The heat flux is mostly carried by the **convection of the strahl electron enthalpy**.

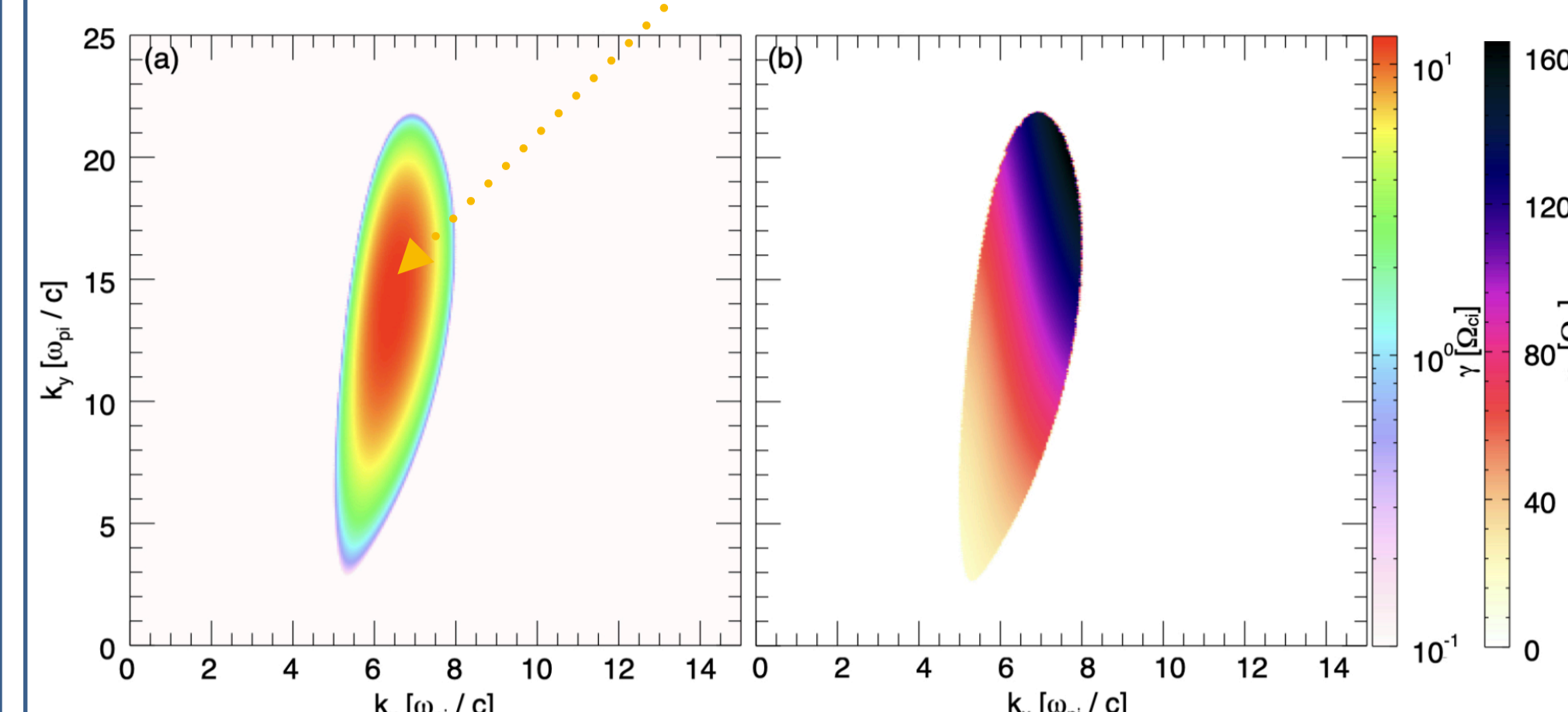
However, the **regulation of the global strahl energy flux along the background magnetic field** is essentially produced by the **relaxation of the strahl drift velocity** rather than by the variation of the thermal velocity.



Energy flux components carried by the electron strahl along the magnetic field direction.

RESULTS: ELECTRONS SCATTERED BY INTERACTIONS WITH WHISTLER-MODE WAVES.

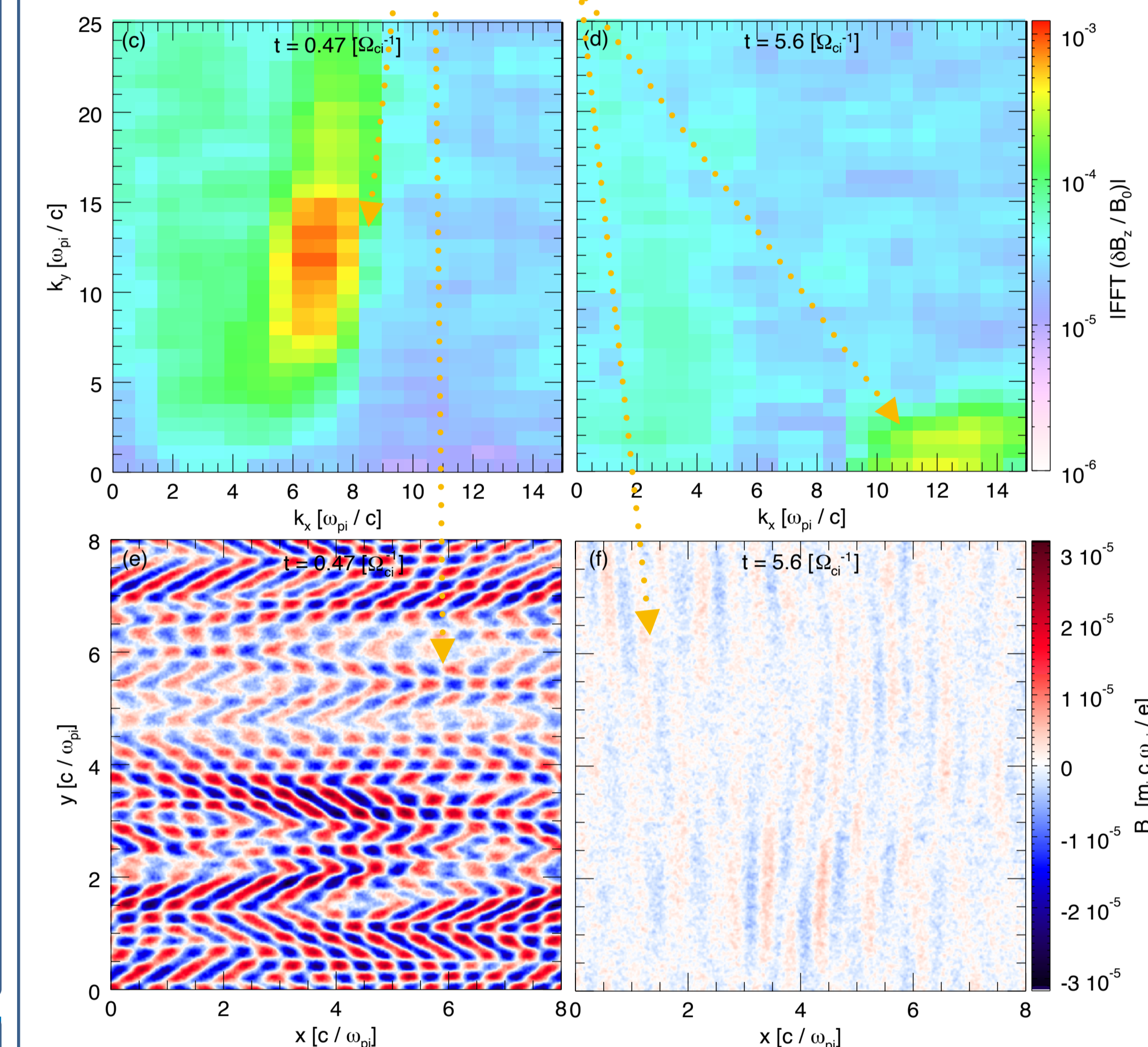
The strongest instability induced by the initialized core-strahl electron VDF is the **Oblique Whistler Heat Flux Instability (O-WHFI)**, with the maximum growth rate at $\theta = 65^\circ$.



O-WHFI growth rates and wave frequencies obtained from the linear dispersion relation.

The simulation clearly exhibits evidence of the O-WHFI. Indeed, the FFT of the simulated $\delta B_z / B_0$ shows that the power is initially concentrated at **highly oblique angles**.

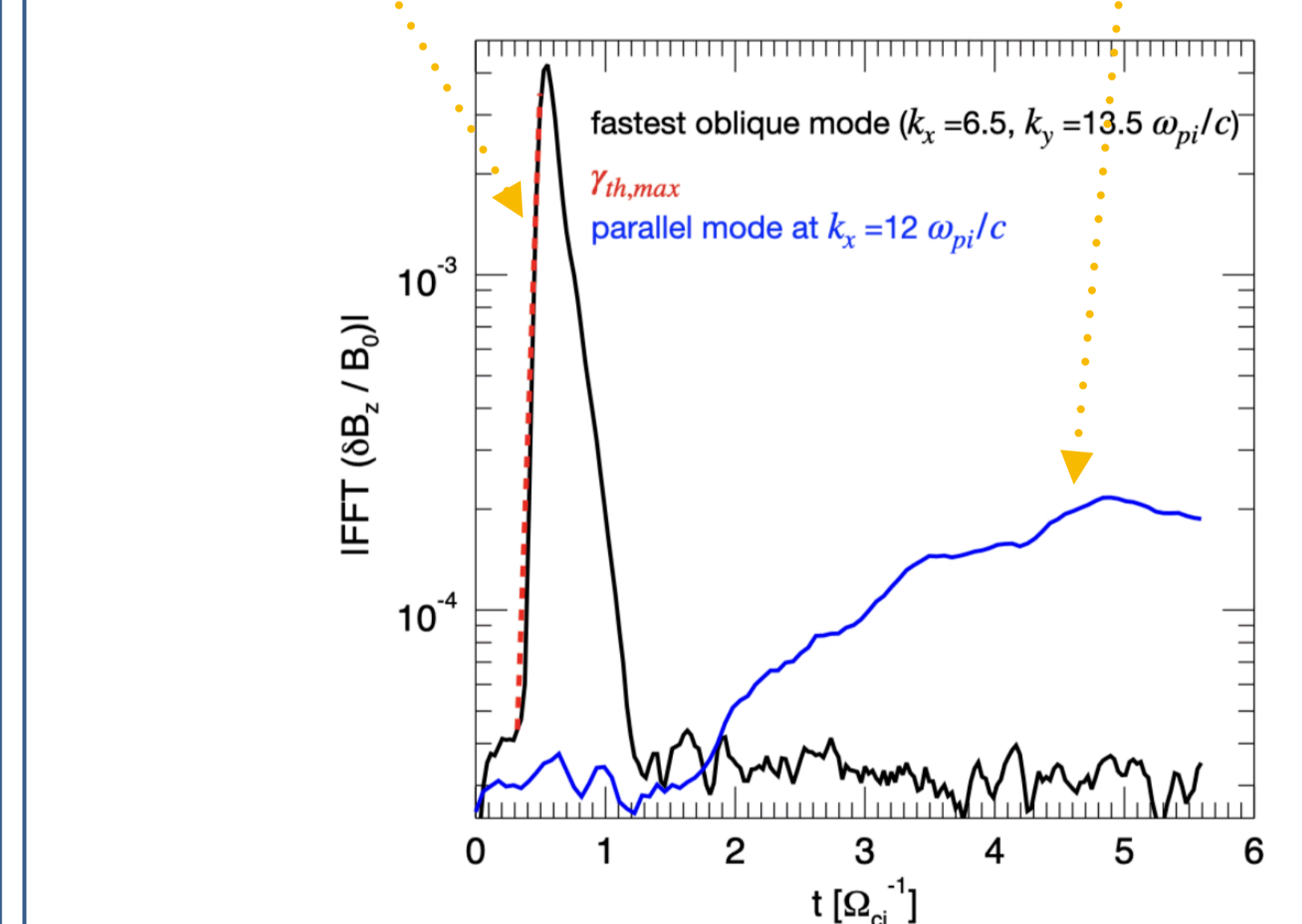
When the O-WHFI is already saturated, we observe weak modes which are mainly **parallel or quasi-parallel to B_0** .



Fast Fourier transforms of the simulated transverse magnetic fluctuations at t and t_{end} . B_z component (color scale) in the x - y plane at two different time stages.

The scattering of the strahl electrons by the generated whistler waves provokes the **saturation of the O-WHFI instability** but, at this point, the newly generated **halo** provides the energy for the **excitation of quasi-parallel whistler waves**.

The **theoretical growth rate** of the fastest growing mode shows remarkable agreement with the numerical growth rate.



Temporal evolution of the simulated fastest-growing oblique mode, of the simulated parallel mode at $k_x = 12 \omega_{pi}/c$, and comparison with the maximum theoretical growth rate.

SUMMARY AND CONCLUSIONS

2D fully kinetic simulation has been performed to investigate the role of the **oblique and parallel branches of whistler heat flux instability** in shaping the electron VDFs in the solar wind.

In a plasma consisting of a drifting **core and strahl electrons**, as recently observed by **Parker Solar Probe** in the near-Sun solar wind, whistler waves, propagating at oblique angles with respect to the background magnetic field, can be excited.

The oblique whistler waves drive a significant **pitch-angle scattering of the strahl**, which results in the formation of a suprathermal electron **halo population**.

The excited **whistler-mode waves shift towards smaller angles of propagation** as the bulk velocity of the strahl decreases.

The electron system experiences secondary effects due to the **resonant interaction with parallel whistler waves**, which lead to a further relaxation of the suprathermal electrons and hence to a **more symmetric halo**.

The process leads to a significant **decrease of the heat flux** carried by the strahl population.