You are invited to participate in a survey dedicated to growing our understanding of the global landscape of open access journals and platforms that don't charge authors to publish OA; this is commonly referred to as the "diamond model": journals that are free for both readers and authors. This survey takes place in the context of the Diamond Open Access study commissioned by cOAlition S. With a dissemination of the survey on an international scale, we hope to bring greater visibility and a deeper understanding of this landscape, and provide insights that will help a range of actors, such as research funders, governments and universities to develop effective policies towards supporting this sector.

The survey will be open until the 25th of August 2020.

Scope

The survey specifically targets journals and platforms that provide immediate open access content and do not charge authors a fee to publish. If you belong to the scientific or editorial team of a journal, you can take the survey on behalf of your journal.

A preliminary question will ask if the journal is already registered in the Directory of Open Access Journals (DOAJ). If you answer "yes" to this first question, you will be able to skip a number of questions because we already have the data from DOAJ.

What you will get in return

We understand that completing the survey can be an effort. As a reward to this effort, you'll be able to have, if you wish:

- the name of the journal published on a public webpage on cOAlition S Website listing all the journals that have participated in the survey. With this webpage we look forward to showcasing the breadth of the OA offering.
- a file containing all of your answers sent to you by email, that you'll be able to share as the "identity card" of the journal with third parties such as funders, research organizations, etc.
- The final report of the study will be sent to you at the end of the year.

Instructions

To obtain a comprehensive analysis of this Diamond landscape, we structured the survey questionnaire in short sections covering different aspects of the journal:

1. legal structure and governance
2. authorship
3. content and metadata
4. editorial quality assurance practices
5. technical framework
6. funding model
7. dissemination and readership
8. challenges

You can download the complete list of the questions in a PDF **file before providing your answers.**

Do not be discouraged if you are unable to answer all questions. You'll be able to skip those you can't answer. The survey is available in English, French, Spanish, German, Italian and Portuguese. Answers in those languages are accepted.

Completing the survey will take you around half an hour. At the end of the survey we'll ask you an open question about your ideal model to support open access journals. We hope that going through the different questions of the survey will help you reflect on the question so that you can share with us your expectations for a better future regarding the open access landscape at the end of the survey.

Thank you for your contribution and don't hesitate to contact us if you have any question or issue answering the survey: operas@operas-eu.org

Data sharing policy

The survey questionnaire is managed by OPERAS with a consortium of partners. Answers will be reported in a report and a dataset which will be deposited on Zenodo with a CC0 licence. The dataset will be fully anonymized before sharing: identification of the journal and the respondent will be removed before the dataset is deposited on Zenodo. Therefore, the contact information and identification asked on the first page of the survey will be used for internal purposes only and will not be shared outside of the consortium of partners participating in this study, including cOAlition S and Science Europe.

* 1. **Consent**

Please select your choice below.
Clicking on the "agree" button below indicates that:

• you have read and understood the above information
• you voluntarily agree to participate

If you do not wish to participate in the research study, please decline participation by clicking on the "disagree" button.

◯ Agree

◯ Disagree

* 2. If one of the following applies to your journal or platform, you should not proceed with filling in the survey:
- The journal is not peer-reviewed
- The journal is "delayed" open access (access to full text of the articles is opened to everyone only after a certain period of time)
- The journal requests "article processing charges" (APC) to be paid at least by some authors for publishing
- The journal business model is based on "APC", but reduced to 0 for some or all of the authors thanks to specific agreements (partial or universal, temporary or permanent waivers)
- The access to the full text of some of or all the articles is normally based on subscription but opened thanks to specific "Transformative agreements".

○ I confirm none of the above situations apply

○ Leave survey

Contact Information

* 3. Journal Title

[   ]

* 4. Respondent name

[   ]

* 5. Respondent Email

Email [   ]

* 6. Respondent role in the journal

[   ]

* 7. Is the journal already registered in DOAJ?

○ Yes

○ No

○ Unknown

8. URL of the journal

9. Journal ISSN (print version)

* 10. Journal ISSN (online version)

11. Publisher of the journal.

12. Learned society or Institution that owns the journal

13. Platform, host or aggregator disseminating the journal

14. In which country is the publisher of the journal based?

15. Year when the journal has been made available open access:

16. Average number of published articles each year during the three last years:

17. What type of content does the journal publish? (Tick all that apply)

- [ ] Book reviews
- [ ] Conference proceedings
- [ ] Data paper
- [ ] Editorial
- [ ] Opinion
- [ ] Research article
- [ ] Other (please specify)

[   ]

18. Select the language(s) that the full text of the articles can be published in (tick all that apply)

- [ ] English
- [ ] Portuguese
- [ ] Deutsch
- [ ] French
- [ ] Spanish
- [ ] Italian
- [ ] Russian
- [ ] Chinese
- [ ] Korean
- [ ] Japanese
- [ ] Arab
- [ ] Other(s), please specify

[   ]

19. Does the journal embed or display licensing information in its articles? (Tick all that apply)

- [ ] Yes in pdf
- [ ] Yes in html
- [ ] Yes on the landing page
- [ ] No
- [ ] Unknown

20. Does the journal allow reuse and remixing of content in accordance with a Creative Commons license or other type of license with similar conditions ?

○ Yes

○ No

○ Unknown

21. Which one(s)? (Tick all that apply)

☐ CC-0

☐ CC-by

☐ CC-by-sa

☐ CC-by-nc

☐ CC-by-nc-sa

☐ CC-by-nd

☐ CC-by-nc-nd

☐ Other(s), please specify

[   ]

22. Does the journal allow the author(s) to retain the copyright without restrictions?

○ Yes

○ No

○ Unknown

23. if not, does the journal intend to allow the author(s) to retain publishing rights without restrictions in the future?

○ Yes

○ No

○ Unknown

24. For editorial management, does the journal use a external publisher or publishing service to perform certain functions? This includes commercial or non-commercial services. (Tick all that apply)

☐ Copy-editing

☐ Dissemination

☐ Organising peer review

☐ PR & marketing

☐ Submissions system

☐ Training

☐ Triaging

☐ Typesetting

☐ None of these

25. Is there a URL for the Editorial Board page?

☐ No

☐ Yes (please provide the URL)

[   ]

26. Please select the review process for papers published by the journal

◯ Author and reviewer identities known to each other

◯ Double blind peer review

◯ Editorial review

◯ Peer review

◯ Reviewer identities published

◯ Single blind peer review

◯ None

27. Please indicate which formats of full text are available (Tick all that apply)

☐ XML

☐ HTML

☐ PDF

☐ DOC

☐ TXT

☐ ePub

☐ Mobi

☐ Other, please specify

28. What digital archiving policy does the journal use?

○ no policy in place

○ CINES

○ CLOCKSS

○ LOCKSS

○ PKP PN

○ PMC/Europe, PMC, PMC/Canada

○ Portico

○ A National Library

○ Other

29. Does the journal provide article download statistics?

○ Yes

○ No

○ Unknown

General information

30. Year of the creation of the journal

31. What year was the journal made available online?

32. What year was the journal made available open access?

33. What year was the journal made available open access without charging authors?

Legal structure and governance

34. Who owns the journal?

○ Learned Society

○ University press

○ Other non-profit publisher

○ For-profit publisher

○ University

○ Other research organisation

○ Government agencies

○ Individual

○ Unknown

○ Other (please specify)

[   ]

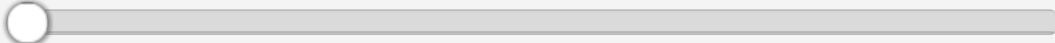35. Is there a legal document establishing this ownership?

○ Yes

○ No

○ Unknown

Authorship
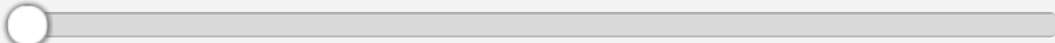
Please tell us more about your authors.

36. Approximate share of authors from outside the owning organisation

0%	50%	100%

37. Approximate share of authors from outside the country where the publisher is based

0%	50%	100%

Content and metadata

38. How has the number of yearly articles published by the journal developed over the last 5 years?

- ◯ Increased
- ◯ Constant
- ◯ Declined
- ◯ Fluctuating
- ◯ Not-relevant because the journal is younger than 5 years

39. What proportion of content published by the journal is peer-reviewed research content (in percentage)?

0	50	100

40. Main discipline covered by the journal

41. Do you have any policy or practice to stimulate open sharing of research data?

- ◯ Yes
- ◯ No
- ◯ Unknown

42. Which persistent identifiers does the journal attribute or use attached to articles, authors, research grants? (Tick all that apply)

- ☐ Crossref DOIs
- ☐ Datacite DOIs
- ☐ Other DOIs
- ☐ ORCID
- ☐ Grant ID
- ☐ Other, please specify

43. Does the journal provide abstracts for all its research articles:

☐ In the same language as article language

☐ Additionally in English

☐ Additionally in French

☐ Additionally in Spanish

☐ Additionally in German

☐ Additionally in Portuguese

☐ Additionally in Italian

☐ No abstract at all

☐ Additionally in other language(s) please specify

[   ]

44. Does the journal provide keywords:

☐ In same language as article language

☐ Additionally in English

☐ Additionally in French

☐ Additionally in Spanish

☐ Additionally in German

☐ Additionally in Portuguese

☐ Additionally in Italian

☐ No keyword at all

☐ Additionally in other language(s) please specify

[   ]

45. Does the journal accept submissions that have been publicly shared as a preprint or working paper before or on submission?

◯ Yes

◯ No

◯ Unknown

46. Are the journal's metadata compliant with the OpenAIRE standard?

◯ Yes

◯ No

◯ Unknown

47. Does the journal or its publisher deposit articles (in a machine-readable community standard format such as JATS XML, and including complete metadata) into author designated or centralised Open Access repositories that fulfil the Plan S criteria ?

○ Yes

○ No

○ Unknown

Editorial quality insurance practices

48. How is the peer-review process managed by the journal?

☐ Email

☐ Spreadsheet

☐ OJS

☐ Manuscript Central

☐ Scholar One

☐ Publisher's system

☐ Other(s), please specify

[   ]

49. Does the journal have a trained copy-editor?

☐ Yes

☐ No

☐ Unknown

50. Does the journal publish annually at least basic statistics? covering in particular:

☐ The number of reviews requested

☐ The number of reviews received

☐ The approval rate

☐ The average time between submission and publication

☐ None of them

☐ Other (please specify)

[   ]

51. Does the journal use a plagiarism detection service on all submissions received?

☐ Yes

☐ No

☐ Unknown

52. Does the journal comply with best practice guidelines on publication practices?

☐ Yes

☐ No

☐ Unknown

53. Which ones (please provide the URL if available)?

[   ]

54. Does the journal require linking to data, code, and other research outputs that underlie the publication and are available in external repositories?

○ Yes

○ No

○ Unknown

55. Does the journal provide openly accessible data on citations according to the standards of the Initiative for Open Citations (I4OC)?

○ Yes

○ No

○ Unknown

Technical framework

56. What software does the journal use to publish online?

- [ ] OJS
- [ ] Lodel
- [ ] Wordpress
- [ ] Dspace
- [ ] Pubsweet
- [ ] Other, please specify

[   ]

57. Where is the journal hosted for its online dissemination? (Please provide the URL)

[   ]

58. What is the type of the dissemination host or platform?

- ( ) International platform
- ( ) National platform
- ( ) Institutional platform
- ( ) Commercial host provider
- ( ) Unknown
- ( ) Other, please specify

[   ]

59. Does the journal allow, legally and technically, text and data mining of the full text of articles by third parties?

- ( ) Yes, unrestricted
- ( ) Yes, restricted
- ( ) Yes, on request
- ( ) No

Funding Model

60. What are your main motivations in pursuing an open access model that does not charge authors or readers?

61. Who has financially supported the journal or platform (including salary costs) over the last 2 years? (Tick all that apply)

☐ Foundation / Trust / Philanthropic Funder / Institutional Philanthropic Organisation

☐ International funding agency

☐ Library

☐ Museum/archive/heritage institution

☐ National academy

☐ National funding/Government agency

☐ NGO/Charity

☐ Research department

☐ Research Funding Organisation (RFO)

☐ Research Performing Organisation (RPO), including University or equivalent

☐ Scholarly or Learned Society

☐ Publisher

☐ Other, please specify

62. How do you currently fund the journal or platform? What funding mechanisms do you use? (Tick all that apply)

- ☐ Collectively organized funding

- ☐ Commercial revenue

- ☐ Cross-subsidized by other (publishing) activities of the organization

- ☐ Donations or gifts

- ☐ Endowment / interest

- ☐ Funding proportional to articles published (by institutions/funders)

- ☐ Freemium content/services

- ☐ Grant funding

- ☐ In kind support by home institution

- ☐ Membership

- ☐ Shared infrastructure

- ☐ Subscribe-to-open

- ☐ Syndication/hosting/reposting (on e.g. JSTOR)

- ☐ Voluntary labour, work (excluding scientific)

- ☐ Other, please specify

63. Has the journal or platform always been funded this way?

- ○ Yes
- ○ No

64. If the way you fund the journal or platform has changed over time, please briefly describe

65. If you receive income from charging for services, what do you charge for? (Tick all that apply)

- [ ] We do not charge for services
- [ ] Copy-editing
- [ ] Ebook versioning
- [ ] Printing costs
- [ ] HTML conversion
- [ ] Layout
- [ ] XML mark-up
- [ ] Other, please specify

[   ]

66. What were your total annual costs last year? Please also include in-kind institutional contributions.

- ( ) 0 - 1000 US$ or €
- ( ) 1000 - 10 000 US$ or €
- ( ) 10 000 - 50 000 US$ or €
- ( ) 50 000 - 100 000 US$ or €
- ( ) Unknown

67. What is the size of paid staff for editing & operational work for the journal (in Full-Time Equivalent (FTE))?

- ( ) Less than 1 FTE
- ( ) 1-2 FTE
- ( ) 3-5 FTE
- ( ) 6-9 FTE
- ( ) 10-20 FTE
- ( ) Over 20 FTE

68. What is the annual amount paid for editing & operational costs?

- ( ) 0-1000 US$ or €
- ( ) 1000 - 10000 US$ or €
- ( ) 10000 - 50000 US$ or €
- ( ) 50000 - 100000 US$ or €
- ( ) Unknown

69. Do you use volunteers?

○ Yes

○ No

Volunteering

70. To what extent do you rely on volunteer effort?

( ) High level of reliance

( ) Medium level of reliance

( ) Low level of reliance

71. If you have volunteers, what do volunteers do? (Tick all that apply) Note that peer reviewing and editorial board membership are excluded.

[ ] Copyediting

[ ] Design

[ ] Editing

[ ] Hosting

[ ] Marketing

[ ] Proof reading

[ ] Technical / software development

[ ] Technical / software support

[ ] Training

[ ] Typesetting

[ ] Other, please specify

Sustainability

72. What are the three main expenses/payables of the journal?

☐ Copyediting

☐ Design

☐ Editing

☐ Editorial Board support

☐ Hosting

☐ Marketing

☐ Peer Review

☐ Proof reading

☐ Technical / software development

☐ Technical / software support

☐ Typesetting

☐ Other, please specify

[   ]

73. Which of the following options describes the current financial status of the journal or platform?

○ Break-even

○ Profit

○ Loss

○ Unknown

74. How sustainable do you consider the journal or platform in the next 3 years? On a scale of 1-10 (where 10 is very secure)

[   ]

75. How might research funders support the financial sustainability of the journal or platform?

76. Are you thinking of moving away from this model to another?

◯ Yes

◯ No

◯ Maybe

◯ Unknown

77. If you are reconsidering this model, what are the reasons for doing so? (Tick all that apply)

☐ Economic viability

☐ Importance of the Impact Factor

☐ Marketing

☐ Longer term feasibility

☐ Scalability

☐ Other, please specify

Dissemination and Readership

78. How many downloads does the journal have per year?

[   ]
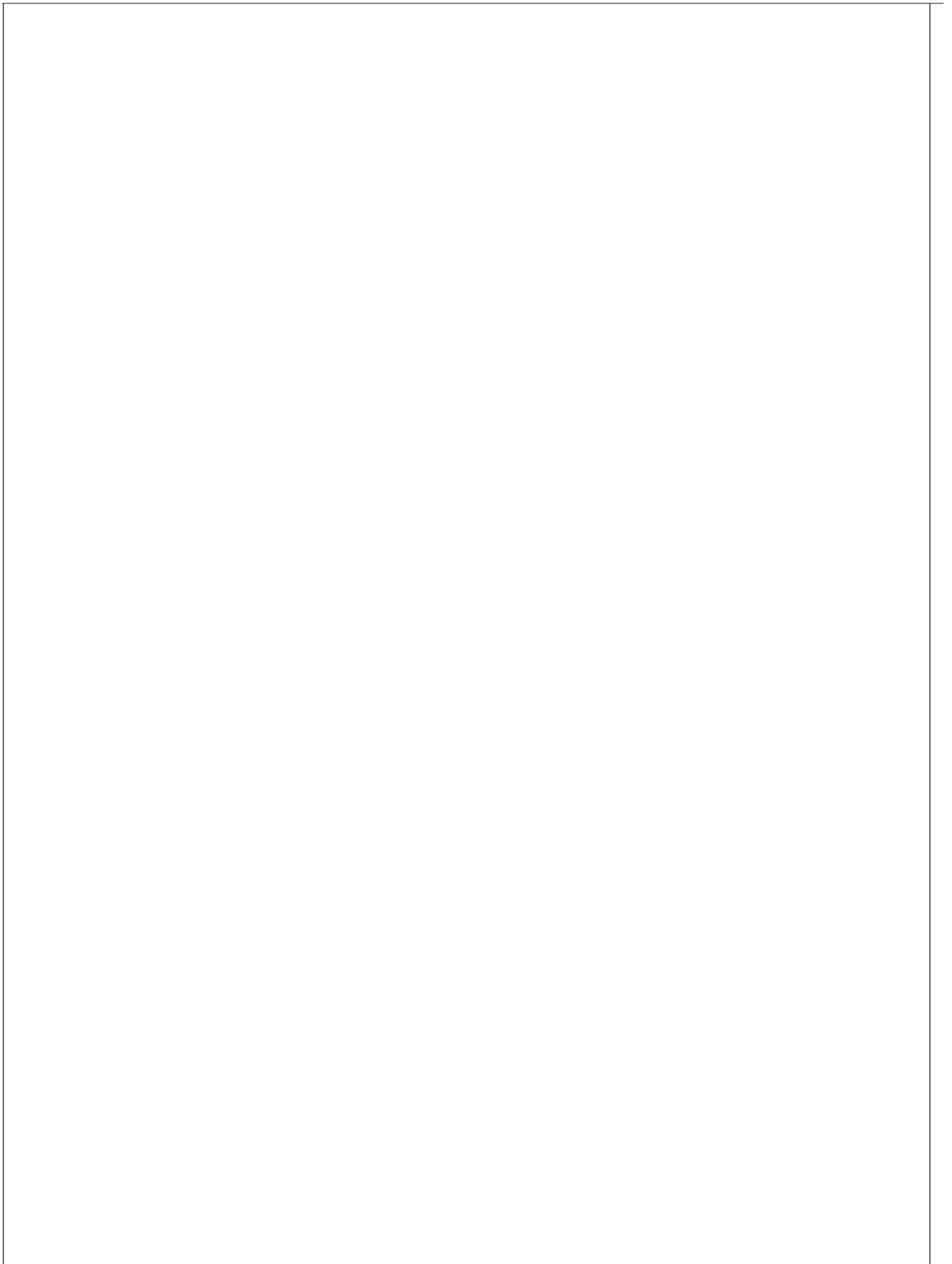
79. How many unique visitors does the journal have per year?

[   ]

80. Is the journal readership more important in numbers outside of the journal's country?

○ Yes

○ No

○ Unknown

81. List of directories and indexes where the journal is indexed (tick all that apply)

☐ DOAJ

☐ Ebsco A-to-Z

☐ ExLibris

☐ Dimensions

☐ Google Scholar

☐ Latindex catalog

☐ Lens

☐ Primo Central

☐ Proquest

☐ Redalyc

☐ Scielo

☐ Scopus

☐ Serial Solutions

☐ Summon

☐ Worldcat Primo

☐ WoS

☐ 360

☐ Other, please specify

[   ]

Challenges

For each of the following topics, please rate and describe the challenge you face. 1 corresponds to low concerns, 5 corresponds to very important/significant concerns.

82. Peer-Review management

Comment

83. Editorial workflow

Comment

84. Dissemination

Comment

85. Indexation and visibility

Comment

86. Skills and competences

Comment

87. Sustainability and funding

Comment

88. Other topic

89. What in an ideal world is your ideal model, how would it be financed? What needs to happen?

* 90. Do you accept to be contacted later on to participate in an interview?

○ Yes

○ No

* 91. Do you agree to have the name of your journal published in the list of Diamond Journals participating to the survey on cOAlition S website?

○ Yes

○ No

* 92. Do you want to receive your responses as a file after the end of the survey?

○ Yes

○ No

* 93. Do you want to receive the final report of the study and further information about its follow-up?

○ Yes

○ No

Thank you for your participation. Don't hesitate to contact us: operas@operas-eu.org

https://operas.hypotheses.org/