

# Connections between Bell numbers, Stirling numbers of first and second kind and Partitions. Evaluation of these numbers.

## 1. Introduction

Bell and Stirling numbers of first and second kind tell the number of ways that  $n$  objects, or  $n$  cycles in the case of Stirling numbers of first kind, can be distributed in  $k$  cells. They are usually obtained through recurrence rules. However, recurrence rules only tell how many distributions are possible, not the specific form of each distribution, so they cannot be used to build the distributions themselves.

Here we present a relationship between these distributions and the  $P(n)$  and  $P(n,k)$  partitions, where  $P(n,k)$  represents the partition of  $n$  objects in exactly  $k$  parts. Such a relationship shows the nature of these distributions and provides a quick and direct way to compute them as well.

## 2. Review and some properties of Bell and Stirling numbers

### Bell numbers - $B(n)$

Bell numbers are the number of ways  $n$  objects can be distributed among  $n$  cells. The order of the cells or the order of the objects inside each cell doesn't matter. Empty cells are allowed. For instance,  $B(3) = 5$  means that there are 5 ways to distribute 3 objects (A, B, C) in 3 cells.

| 1 <sup>st</sup> cell | 2 <sup>nd</sup> cell | 3 <sup>rd</sup> cell |
|----------------------|----------------------|----------------------|
| A                    | B                    | C                    |
| A B                  | C                    | -                    |
| A C                  | B                    | -                    |
| B C                  | A                    | -                    |
| A B C                | -                    | -                    |

It is important to note, e.g., that, by definition, the 3<sup>rd</sup> mode (AC, B, -) is identical to (B, AC, -) or (-, CA, B) and to (CA, B, -).

$B(n)$  can be obtained through the recurrence rule:

- $B(n+1) = \sum_{k=0}^n \binom{n}{k} B(k)$  or it can be approximated through Comtet-Dobinsky's formula:

$$B(n) \approx \frac{1}{e} \sum_{k=1}^{2n} \frac{k^n}{k!}$$

For instance, for  $n=5$ ,  $B(5)$  is equal to 52 and the Comtet-Dobinsky's formula gives  $\frac{141.3460345}{e} = 51.99830018$  when computed to its 10<sup>th</sup> term (using 9 significant digits).

- $B(n) = \sum_{i=1}^n \left\{ \begin{matrix} n \\ i \end{matrix} \right\}$  where  $\left\{ \begin{matrix} n \\ i \end{matrix} \right\}$  are Stirling numbers of second kind.

- The sequence of  $B(n)$  values is available through OEIS - A000110 where the first few values are:

|        |   |   |   |   |    |    |     |     |      |       |        |
|--------|---|---|---|---|----|----|-----|-----|------|-------|--------|
| $n$    | 0 | 1 | 2 | 3 | 4  | 5  | 6   | 7   | 8    | 9     | 10     |
| $B(n)$ | 1 | 1 | 2 | 5 | 15 | 52 | 203 | 877 | 4140 | 21147 | 115975 |

**Stirling numbers of the second kind**

Stirling numbers of the second kind provide the number of ways that  $n$  objects can be distributed among  $k$  cells (boxes). No empty cells are allowed. They are represented as  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$ . Examples of values are given in

**Table 1.**

- As discussed above, the definition implies  $B(n) = \sum_{i=1}^n \left\{ \begin{matrix} n \\ i \end{matrix} \right\}$

For instance,  $B(4) = \left\{ \begin{matrix} 4 \\ 1 \end{matrix} \right\} + \left\{ \begin{matrix} 4 \\ 2 \end{matrix} \right\} + \left\{ \begin{matrix} 4 \\ 3 \end{matrix} \right\} + \left\{ \begin{matrix} 4 \\ 4 \end{matrix} \right\} = 1+7+6+1=15$

- The recurrence rule  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\} = k \left\{ \begin{matrix} n-1 \\ k \end{matrix} \right\} + \left\{ \begin{matrix} n-1 \\ k-1 \end{matrix} \right\}$  holds, that is useful to compute the value table.

- The values of Stirling  $n$  numbers of the second kind can be obtained using the formula:

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\} = \frac{1}{k!} \sum_{i=1}^k (-1)^{k-i} \binom{k}{i} i^n$$

For instance:  $\left\{ \begin{matrix} 9 \\ 5 \end{matrix} \right\} = \frac{1}{5!} \left[ \binom{5}{1} 1^9 - \binom{5}{2} 2^9 + \binom{5}{3} 3^9 - \binom{5}{4} 4^9 + \binom{5}{5} 5^9 \right] =$

$$\frac{1}{120} [5 - 10 * 512 + 10 * 19683 - 5 * 262144 + 1953125] = \frac{834120}{120} = 6951$$

This formula is fast and fairly easy to use but it doesn't tell how to "build" Stirling numbers, i.e. how the 9 objects in the example are distributed among the 5 cells.

- It's important to note that these 'constructions' are not unique; there are  $k! \left\{ \begin{matrix} n \\ k \end{matrix} \right\}$  of them (M. Fiorentini. Stirling di prima specie – bitman.name/math/article/32).

**Stirling numbers of the first kind**

Stirling numbers of the first kind provide the number of ways  $n$  cycles, not objects, can be distributed in  $k$  non-empty cells. Cycles with only 1 element are allowed. They were initially (~1730) introduced as coefficient in the series expansion of  $(x)_n$ , i.e.  $x*(x+1)*(x+2)*... = \sum_{k=0}^n \left[ \begin{matrix} n \\ k \end{matrix} \right] x^k$

For instance:  $x(x+1)(x+2) = \left[ \begin{matrix} 3 \\ 0 \end{matrix} \right] + \left[ \begin{matrix} 3 \\ 1 \end{matrix} \right] x + \left[ \begin{matrix} 3 \\ 2 \end{matrix} \right] x^2 + \left[ \begin{matrix} 3 \\ 3 \end{matrix} \right] x^3 = 2x + 3x^2 + x^3$

- We remind the reader that  $n$  elements generate  $(n-1)!$  cycles. For instance, 4 objects (A, B, C, D) produce the  $3!=6$  cycles below:

A B C D » A    A C B D » A    A D C B » A    A B D C » A    A C D B » A    A D B C » A

- Some properties:

The recurrence rule  $\left[ \begin{matrix} n \\ k \end{matrix} \right] = \left[ \begin{matrix} n-1 \\ k-1 \end{matrix} \right] + (n-1) \left[ \begin{matrix} n-1 \\ k \end{matrix} \right]$  holds, used to compute the values in **Table 2.**

$$\sum_{k=0}^n \begin{Bmatrix} n \\ k \end{Bmatrix} = n!$$

$$\sum_{p=k}^n \begin{Bmatrix} n \\ p \end{Bmatrix} \binom{p}{k} = \begin{Bmatrix} n+1 \\ k+1 \end{Bmatrix}$$

$$\sum_{m=0}^n \begin{Bmatrix} n \\ m \end{Bmatrix} \begin{Bmatrix} m \\ k \end{Bmatrix} = \binom{n-1}{k-1} \frac{n!}{k!} \quad (\text{Lah numbers})$$

### 3. Evaluation of $B(n)$ , $\begin{Bmatrix} n \\ k \end{Bmatrix}$ and $\begin{Bmatrix} n \\ k \end{Bmatrix}$ using partitions

To see  $B(n)$ , i.e. to see its form, and to compute its values, we start from the partition of  $n$ ,  $P(n)$ .

$P(n)$  is made of  $m$  parts:  $p_1, p_2, p_3, \dots, p_m$

Each part  $p_i$  is made by many elements  $a_1, a_2, a_3, \dots, a_m$ , the sum of which is obviously  $n$ . Some elements of one part may occur more times, let  $R_1, R_2, R_3, \dots, R_m$  be the number of repetitions of the same element  $a_i$  inside the same part  $p_i$ .

For instance, for  $n = 4$ ,  $P(4)$  is made of 5 parts:  $p_1 = 4$  with  $R_1 = 1$ ,  $p_2 = 3 \ 1$  with  $R_1 = 1$  and  $R_2 = 1$ ,  $p_3 = 2 \ 2$  with  $R_1 = 2$ ,  $p_4 = 2 \ 1 \ 1$  with  $R_1 = 1$  and  $R_2 = 2$ ,  $p_5 = 1 \ 1 \ 1 \ 1$  with  $R_1 = 4$

It is possible to evaluate a contributing amount  $c_i$  for each part  $p_i$  so that:

$$\sum_{i=1}^{P(n)} c_i = B(n) \tag{1}$$

For each part  $p_i$  the contribution  $c_i$  is:

$$c_i = \binom{n}{a_1} \binom{n-a_1}{a_2} \binom{n-a_1-a_2}{a_3} \dots \binom{n-a_1-a_2-\dots-a_{m-1}}{a_m} \frac{1}{R_1!} \frac{1}{R_2!} \dots \frac{1}{R_m!} \tag{2}$$

as  $\binom{n}{a_1}$  is the number of ways  $a_1$  objects can be chosen among  $n$  objects, after which  $n-a_1$  objects are left, that can be chosen in  $\binom{n-a_1}{a_2}$  ways and so on.

For instance, the contribution of the  $211$  part of  $B(4)$  is  $\binom{4}{2} \binom{2}{1} \binom{1}{1} \frac{1}{2!} = 6 \cdot \frac{2}{2} = 6$

In the same example, the two parts  $4$  and  $31$  contribute  $4 \rightarrow \binom{4}{4} = 1$  and  $31 \rightarrow \binom{4}{3} \binom{1}{1} = 4$ , respectively.

Part  $22$  contributes  $\binom{4}{2} \binom{2}{2} \frac{1}{2!} = 3$  and part  $1111$  contributes  $\binom{4}{1} \binom{3}{1} \binom{2}{1} \binom{1}{1} \frac{1}{4!} = 1$

Summing all contributions, we get  $1+4+3+6+1 = 15$ .

Therefore,  $B(4) = 15$ .

Because of how binomial coefficients are defined, formula **(2)** can be written:

$$c_i = \frac{n!}{a_1! a_2! a_3! \dots a_m! R_1! R_2! \dots R_m!} \quad \text{(2b)}$$

• Example: Let's determine the value and composition of  $B(5)$ , which we already know to be 52:  $P(5)$  is made of the following 7 parts: 5, 41, 32, 311, 221, 2111, 11111. Using **(2b)**:

$$\begin{aligned} 5 &\rightarrow \frac{5!}{5!} = 1 & 41 &\rightarrow \frac{5!}{(4!1!)} = 5 & 32 &\rightarrow \frac{5!}{(3!2!)} = 10 \\ 311 &\rightarrow \frac{5!}{(3!1!2!)} = 10 & 221 &\rightarrow \frac{5!}{(2!2!1!)} = 15 & 2111 &\rightarrow \frac{5!}{(2!1!1!1!)} = 10 \\ 11111 &\rightarrow \frac{5!}{(1!1!1!1!1!)} = 1 \end{aligned}$$

Therefore  $B(5) = 1+5+10+10+15+10+1 = 52$ , as already established above.

### Evaluation of Stirling numbers of the second kind

The construction and evaluation of Stirling numbers of the second kind  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$  is the same of the one for  $B(n)$ . Formulas **(1)**, **(2)**, and **(2b)** are still valid as long as  $P(n,k)$  are substituted for  $P(n)$ , i.e. the partition of  $n$  in exactly  $k$  elements is used.

$$\sum_{i=1}^{P(n,k)} c_i = \left\{ \begin{matrix} n \\ k \end{matrix} \right\} \quad \text{(3)}$$

Where the contributions  $c_i$  are evaluated with formula **(2)** or **(2b)**.

For instance, let's build  $\left\{ \begin{matrix} 9 \\ 5 \end{matrix} \right\} = 6951$ . The parts which make  $P(9,5)$ , i.e. 51111 42111 33111 32211 22221, and the corresponding contributions are (we are omitting  $1!=1$ ):

$$\begin{aligned} 51111 &\rightarrow \frac{9!}{5! 4!} = 126 & 42111 &\rightarrow \frac{9!}{(4!2!)} = 1260 & 33111 &\rightarrow \frac{9!}{(3!3!2!)} = 840 \\ 32211 &\rightarrow \frac{9!}{(3!2!2!)} = 3780 & 22221 &\rightarrow \frac{9!}{(2!2!2!2!)} = 945 \end{aligned}$$

Therefore  $\left\{ \begin{matrix} 9 \\ 5 \end{matrix} \right\} = 126+1260+840+3780+945 = 6951$

### Evaluation of Stirling numbers of the first kind

To build Stirling numbers of the first kind we should remember that  $\left[ \begin{matrix} n \\ k \end{matrix} \right]$  gives the number of ways that  $n$  cycles, not elements, are distributed among  $k$  cells. Given that  $n$  elements generate  $(n-1)!$  cycles, we just need to multiply each part of the partition  $P(n,k)$  by  $(a_1 - 1)! (a_2 - 1)! (a_3 - 1)! \dots$  (where  $a_1, a_2, \dots$  are the elements of the part).

$$\sum_{i=1}^{P(n,k)} t_i = \begin{bmatrix} n \\ k \end{bmatrix} \quad (4)$$

with

$$t_i = (a_1 - 1)!(a_2 - 1)!(a_3 - 1)! \dots (a_m - 1)! c_i \quad (5)$$

where the contributions  $c_i$  are evaluated with formula (2) or (2b).

From formula (5),  $t$  can be directly expressed as:

$$t_i = \frac{n!}{a_1 a_2 a_3 \dots a_m} \frac{1}{R_1!} \frac{1}{R_2!} \dots \frac{1}{R_m!} \quad (5b)$$

This formula is very useful to compute Stirling numbers of the first kind.

For instance, in the case of  $\begin{bmatrix} 8 \\ 4 \end{bmatrix} = 6769$ , we have  $P(8,4) = 5111 \quad 4211 \quad 3311 \quad 3221 \quad 2222$ , and, therefore, the various contributions are given by:

$$\begin{aligned} 5111 &\rightarrow \frac{8!}{5} \frac{1}{3!} = 1344 \\ 4211 &\rightarrow \frac{8!}{4 \cdot 2} \frac{1}{2!} = 2520 \\ 3311 &\rightarrow \frac{8!}{3 \cdot 3} \frac{1}{2!} \frac{1}{2!} = 1120 \\ 3221 &\rightarrow \frac{8!}{3 \cdot 2 \cdot 2} \frac{1}{2!} = 1680 \\ 2222 &\rightarrow \frac{8!}{2 \cdot 2 \cdot 2 \cdot 2} \frac{1}{4!} = 105 \end{aligned}$$

Therefore,  $\begin{bmatrix} 8 \\ 4 \end{bmatrix} = 1344 + 2520 + 1120 + 1680 + 105 = 6769$

#### 4. Examples of evaluation and construction

##### Examples of evaluation of Stirling numbers of the second kind

In addition to knowing in how many ways  $n$  objects can be distributed among  $k$  non-empty cells, it is also interesting finding out how this distribution occurs.

For instance, let's consider  $\left\{ \begin{matrix} 5 \\ 4 \end{matrix} \right\} = 10$ . We know that  $P(5,4) = 1$ , i.e. 2111. Because of (2b), 2111 gives

$\frac{5!}{2!} \frac{1}{3!} = 10$ . Therefore, each of the 5 objects ABCDE appears 10 times among all the cells. The 4 cells contain 2 objects, 1 object, 1 object, and 1 object, respectively.

One possible construction is:

| 1 <sup>st</sup> cell | 2 <sup>nd</sup> cell | 3 <sup>rd</sup> cell | 4 <sup>th</sup> cell |
|----------------------|----------------------|----------------------|----------------------|
| AB                   | C                    | D                    | E                    |
| AC                   | B                    | D                    | E                    |
| AD                   | B                    | C                    | E                    |
| AE                   | B                    | C                    | D                    |
| BC                   | A                    | D                    | E                    |
| BD                   | A                    | C                    | E                    |
| BE                   | A                    | C                    | D                    |
| CD                   | A                    | B                    | E                    |
| CE                   | A                    | B                    | D                    |
| DE                   | A                    | B                    | C                    |

The objects appear in the various cells with different frequencies; such frequencies are listed below each object.

| 1 <sup>st</sup> | 2 <sup>nd</sup> | 3 <sup>rd</sup> | 4 <sup>th</sup> |
|-----------------|-----------------|-----------------|-----------------|
| ABCDE           | ABCDE           | ABCDE           | ABCDE           |
| 44444           | 63100           | 03430           | 00136           |

It is immediately apparent that, using this construction, the frequency of each element in a cell is irregular: D and E are missing in the 2<sup>nd</sup> cell, A and E are missing in the 3<sup>rd</sup> cell, and A and B are missing in the 4<sup>th</sup> cell. Only the 1<sup>st</sup> cell is homogenous.

However, the construction showed above for  $\left\{ \begin{matrix} 5 \\ 4 \end{matrix} \right\}$  is not the only possibility. For each  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$  there are  $k!$   $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$  possible constructions. This means that, in our example, we have  $4! \left\{ \begin{matrix} 5 \\ 4 \end{matrix} \right\} = 24 * 10 = 240$  options. Exchanging objects among the 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> cell, we get the following:

| 1 <sup>st</sup> | 2 <sup>nd</sup> | 3 <sup>rd</sup> | 4 <sup>th</sup> |
|-----------------|-----------------|-----------------|-----------------|
| AB              | C               | D               | E               |
| AC              | D               | E               | B               |
| AD              | C               | B               | E               |
| AE              | B               | C               | D               |
| BC              | D               | E               | A               |
| BD              | E               | A               | C               |
| BE              | A               | C               | D               |
| CD              | E               | A               | B               |
| CE              | B               | D               | A               |
| DE              | A               | B               | C               |

Now, the object frequencies in the 2<sup>nd</sup>, 3<sup>rd</sup> and 4<sup>th</sup> cell become (no changes for the 1<sup>st</sup> cell):

| 2 <sup>nd</sup> | 3 <sup>rd</sup> | 4 <sup>th</sup> |
|-----------------|-----------------|-----------------|
| ABCDE           | ABCDE           | ABCDE           |
| 22222           | 22222           | 22222           |

- When  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$  comes from several parts, as in the case of  $\left\{ \begin{matrix} 5 \\ 3 \end{matrix} \right\} = 25$ , that is constructed from  $P(5,3) = 311$  and  $221$ , each part has to be optimized individually. As an example, let's focus on the construction related to the 311 part. The contribution to  $\left\{ \begin{matrix} 5 \\ 3 \end{matrix} \right\}$  given by the 311 part is  $\frac{5!}{3!2!} = 10$ . Exchanging objects among cells, this contribution can be optimized to:

|     |   |   |
|-----|---|---|
| ABC | E | D |
| ABD | C | E |
| ABE | D | C |
| ACD | B | E |
| ACE | B | D |
| ADE | C | B |
| BCD | E | A |
| BCE | D | A |
| BED | A | C |
| CED | A | B |

where the frequencies are:

| 1 <sup>st</sup> | 2 <sup>nd</sup> | 3 <sup>rd</sup> |
|-----------------|-----------------|-----------------|
| ABCDE           | ABCDE           | ABCDE           |
| 66666           | 22222           | 22222           |

Similarly, for the 221 part (that contributes  $\frac{5!}{(2!*2!)2!} = \frac{120}{8} = 15$ ) we get:

|    |    |   |
|----|----|---|
| AB | CD | E |
| AC | BE | D |
| AD | CE | B |
| AE | CD | B |
| BC | AE | D |
| BD | AE | C |
| BE | CD | A |
| CD | BE | A |
| CE | BD | A |
| DE | AC | B |
| BC | AD | E |
| DE | AB | C |
| DA | BC | E |
| CE | AB | D |
| AB | ED | C |

with optimized frequencies:

| 1 <sup>st</sup> | 2 <sup>nd</sup> | 3 <sup>rd</sup> |
|-----------------|-----------------|-----------------|
| ABCDE           | ABCDE           | ABCDE           |
| 66666           | 66666           | 33333           |





**Table 1**  $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$

|        | $k=0$ | $k=1$ | $k=2$ | $k=3$ | $k=4$ | $k=5$ | $k=6$ | $k=7$ | $k=8$ | $k=9$ | $k=10$ |
|--------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|--------|
| $n=0$  | 1     |       |       |       |       |       |       |       |       |       |        |
| $n=1$  | 0     | 1     |       |       |       |       |       |       |       |       |        |
| $n=2$  | 0     | 1     | 1     |       |       |       |       |       |       |       |        |
| $n=3$  | 0     | 1     | 3     | 1     |       |       |       |       |       |       |        |
| $n=4$  | 0     | 1     | 7     | 6     | 1     |       |       |       |       |       |        |
| $n=5$  | 0     | 1     | 15    | 25    | 10    | 1     |       |       |       |       |        |
| $n=6$  | 0     | 1     | 31    | 90    | 65    | 15    | 1     |       |       |       |        |
| $n=7$  | 0     | 1     | 63    | 301   | 350   | 140   | 21    | 1     |       |       |        |
| $n=8$  | 0     | 1     | 127   | 966   | 1701  | 1050  | 266   | 28    | 1     |       |        |
| $n=9$  | 0     | 1     | 255   | 3025  | 7770  | 6951  | 2646  | 462   | 36    | 1     |        |
| $n=10$ | 0     | 1     | 511   | 9330  | 34105 | 42525 | 22827 | 5880  | 750   | 45    | 1      |

**Table 2**  $\left| \begin{matrix} n \\ k \end{matrix} \right|$

|        | $k=0$ | $k=1$  | $k=2$   | $k=3$   | $k=4$  | $k=5$  | $k=6$ | $k=7$ | $k=8$ | $k=9$ | $k=10$ |
|--------|-------|--------|---------|---------|--------|--------|-------|-------|-------|-------|--------|
| $n=0$  | 1     |        |         |         |        |        |       |       |       |       |        |
| $n=1$  | 0     | 1      |         |         |        |        |       |       |       |       |        |
| $n=2$  | 0     | 1      | 1       |         |        |        |       |       |       |       |        |
| $n=3$  | 0     | 2      | 3       | 1       |        |        |       |       |       |       |        |
| $n=4$  | 0     | 6      | 11      | 6       | 1      |        |       |       |       |       |        |
| $n=5$  | 0     | 24     | 50      | 35      | 10     | 1      |       |       |       |       |        |
| $n=6$  | 0     | 120    | 274     | 225     | 85     | 15     | 1     |       |       |       |        |
| $n=7$  | 0     | 720    | 1764    | 1624    | 735    | 175    | 21    | 1     |       |       |        |
| $n=8$  | 0     | 5040   | 13068   | 13132   | 6769   | 1960   | 322   | 28    | 1     |       |        |
| $n=9$  | 0     | 40320  | 109584  | 118124  | 67284  | 22449  | 4536  | 546   | 36    | 1     |        |
| $n=10$ | 0     | 362880 | 1026576 | 1172700 | 783680 | 269325 | 63273 | 9450  | 870   | 45    | 1      |