

# Cross-section measurements in the NOvA Near Detector

**Dr Linda Cremonesi on behalf of the NOvA Collaboration**

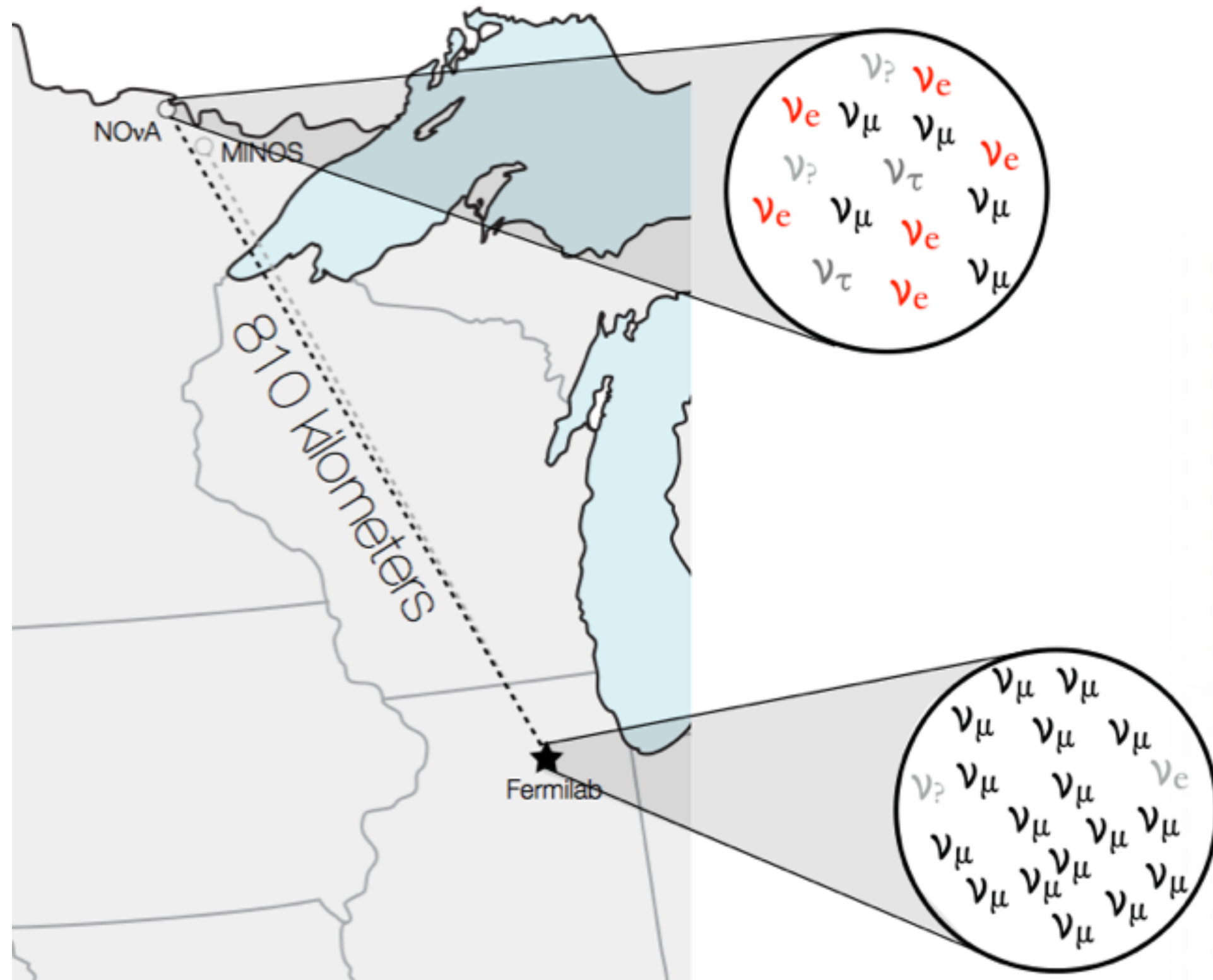
**NEUTRINO 2020**

**The XXIX International Conference on Neutrino Physics and Astrophysics**



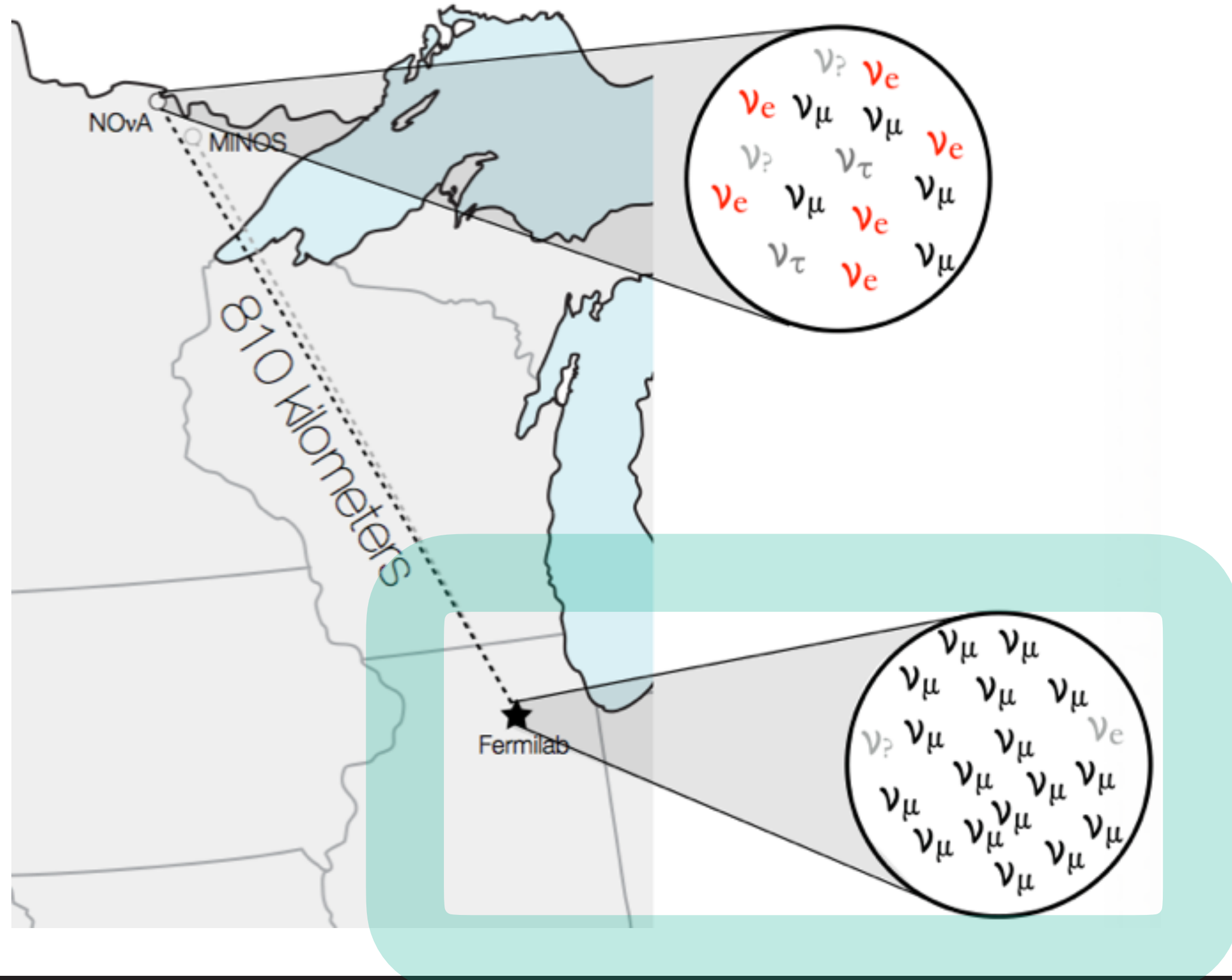
# The NOvA experiment

- NOvA is a long-baseline neutrino experiment:
  - 2 detectors, 14 mrad off-axis, 809 km apart.
  - Designed to measure for  $\nu_\mu \rightarrow \nu_e$  oscillations: detectors provide excellent imaging of both  $\nu_\mu$  and  $\nu_e$  CC events.
- NOvA can run in neutrino-mode or antineutrino-mode.

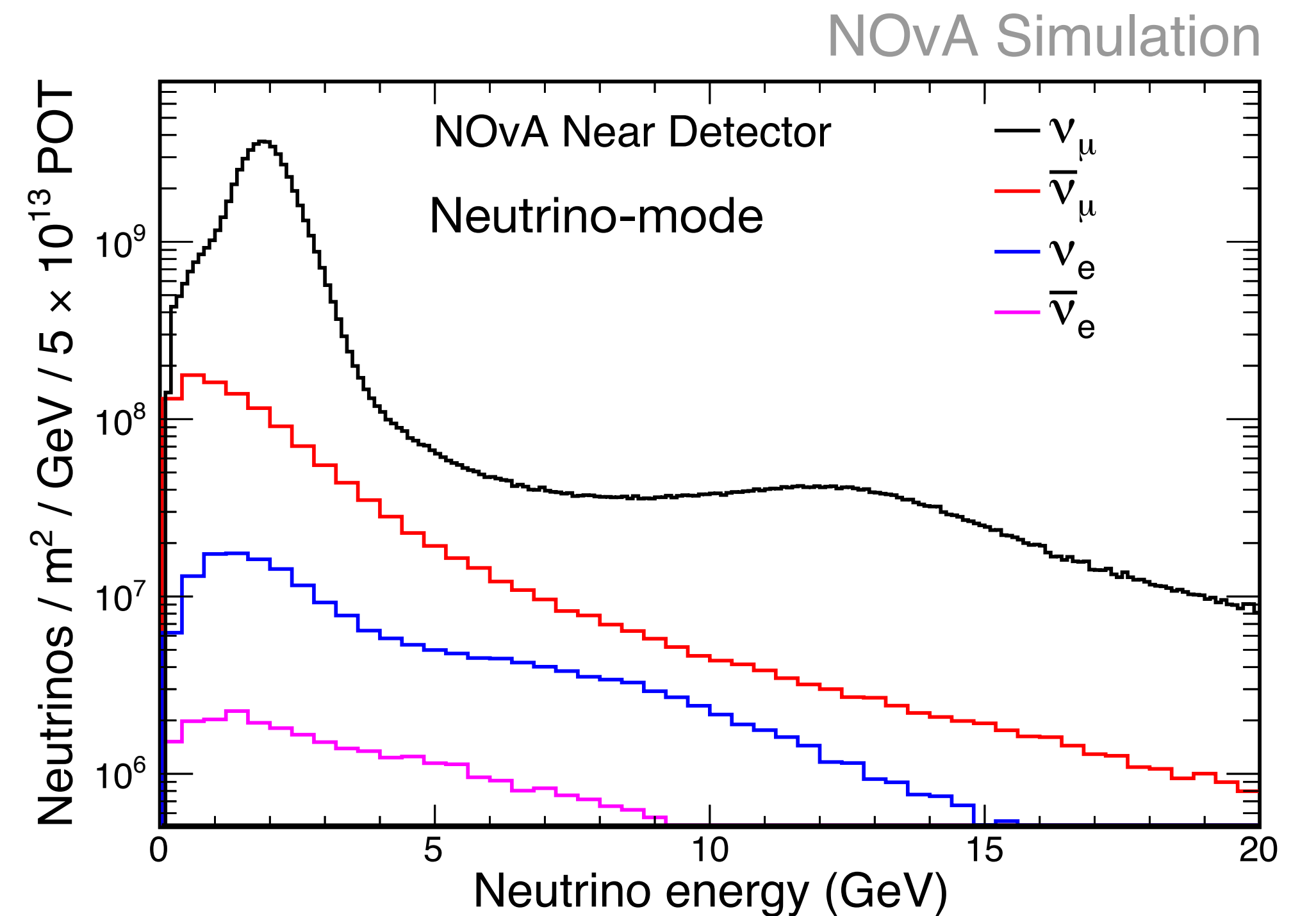


# The NOvA experiment

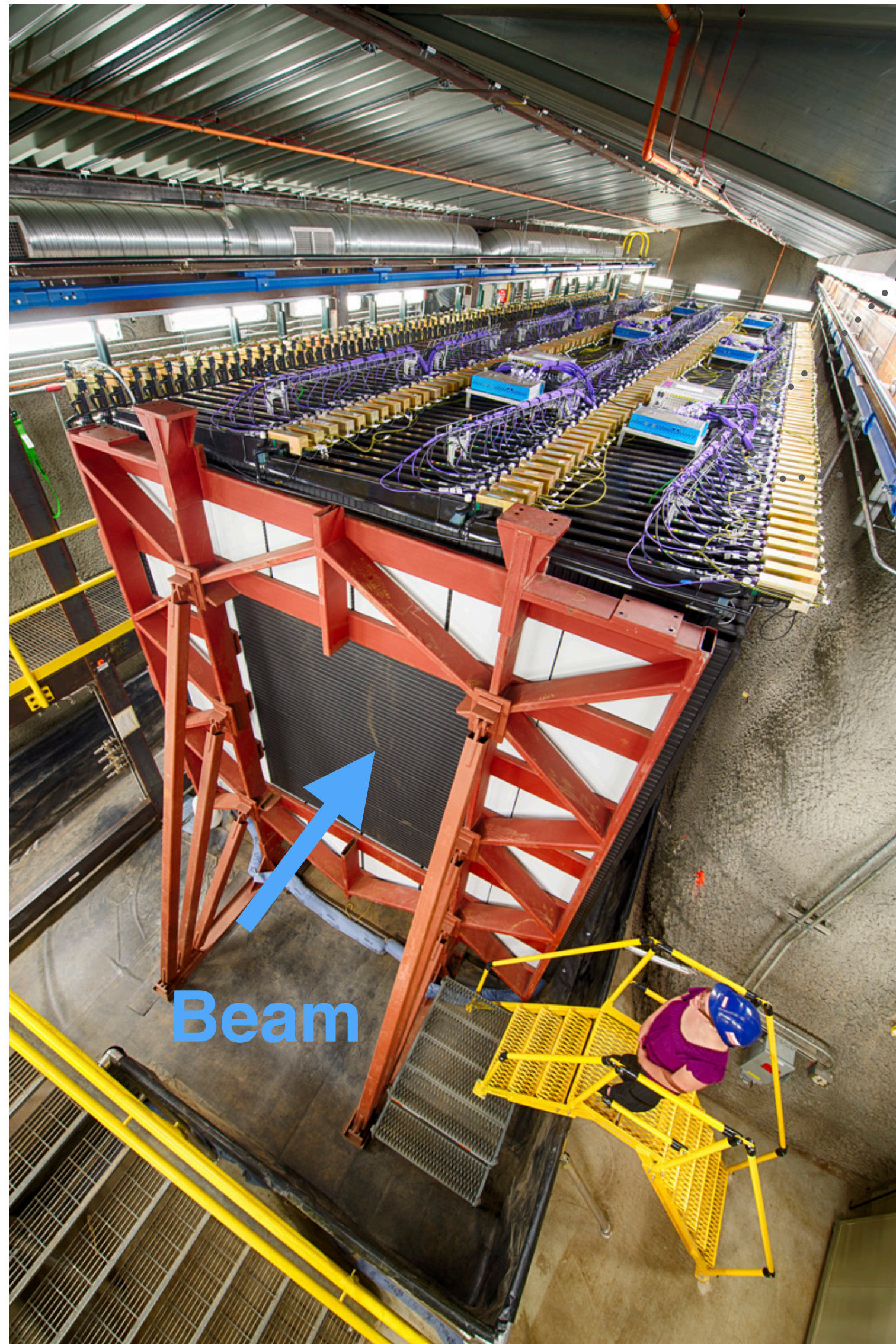
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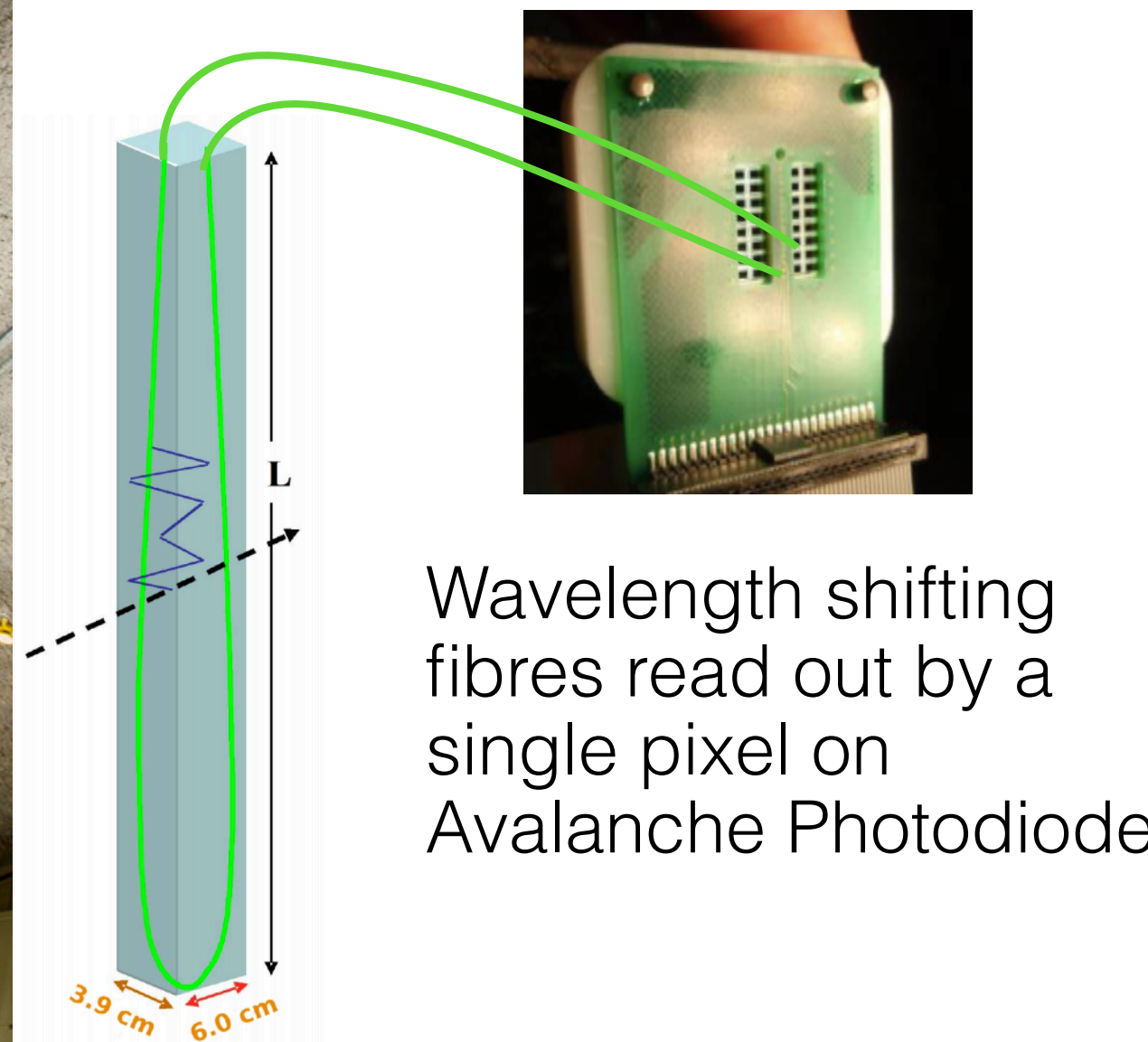
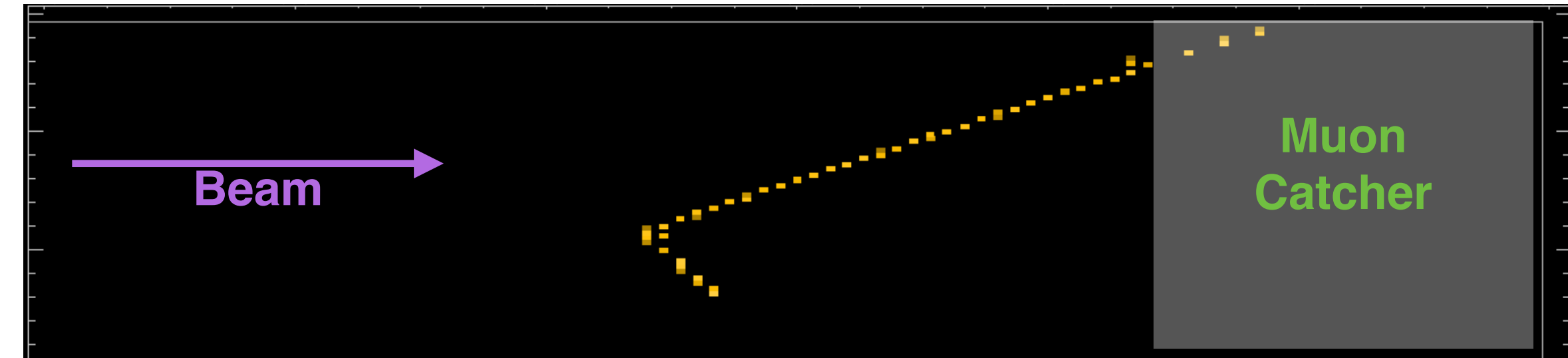
- High neutrino flux at Near Detector:
  - used as control for the oscillation analyses,
  - provides a rich data set for measuring cross sections.
- ND located 1km from the NuMI beam target.
- 96% pure  $\nu_\mu$  beam, 1%  $\nu_e$  and  $\bar{\nu}_e$



# NOvA Near Detector

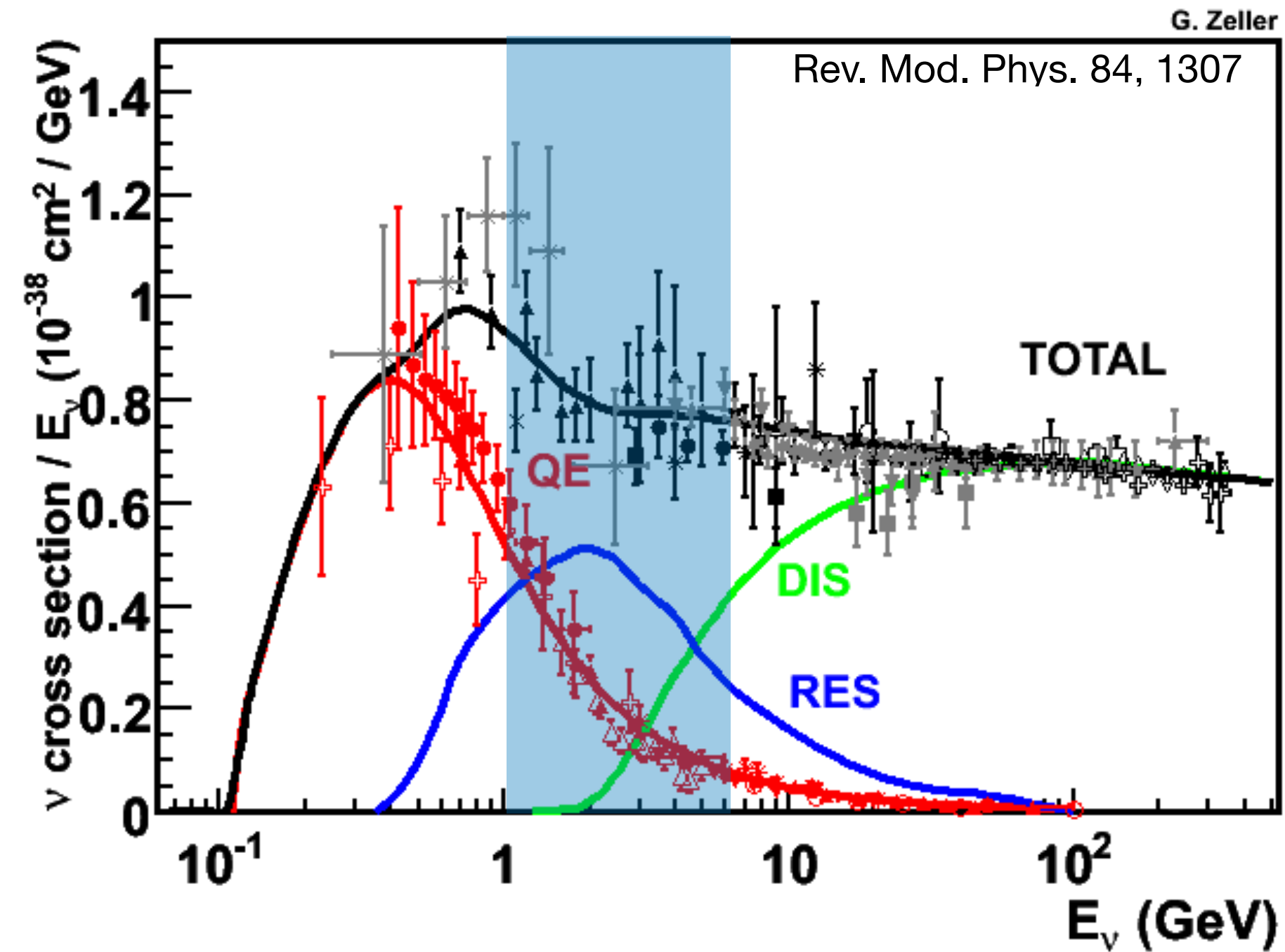


Alternating planes allow for 3D reconstruction



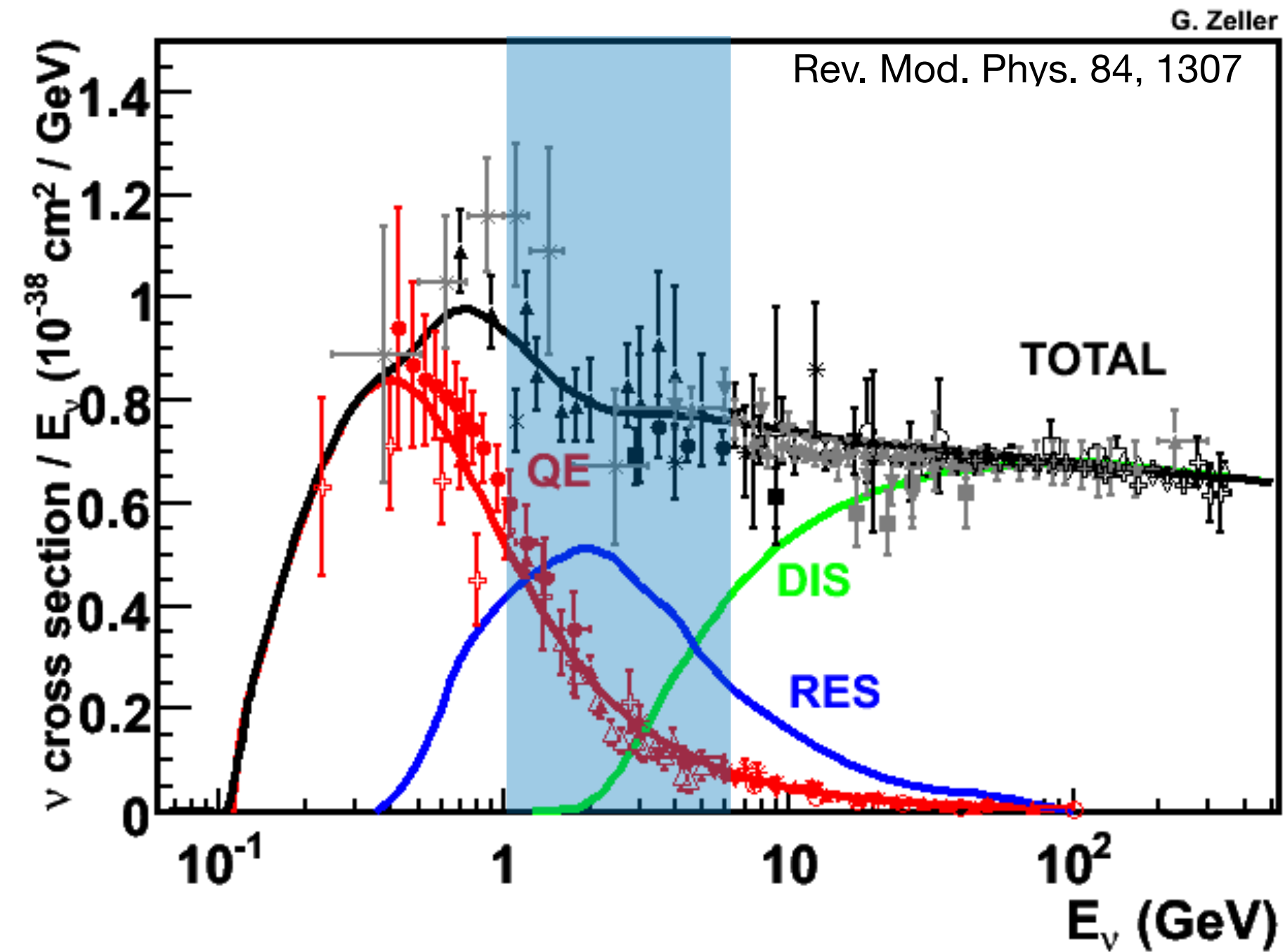
- 300t tracking calorimeter
- Extruded plastic cells, filled with liquid scintillator
- $0.17 X_0$  per layer
- 77% hydrocarbon, 16% chlorine, 6%  $TiO_2$  by mass
- Muon catcher (steel + NOvA cells) at downstream end to range out  $\sim 2\text{GeV}$  muons.

# Neutrino CC interactions at NOvA

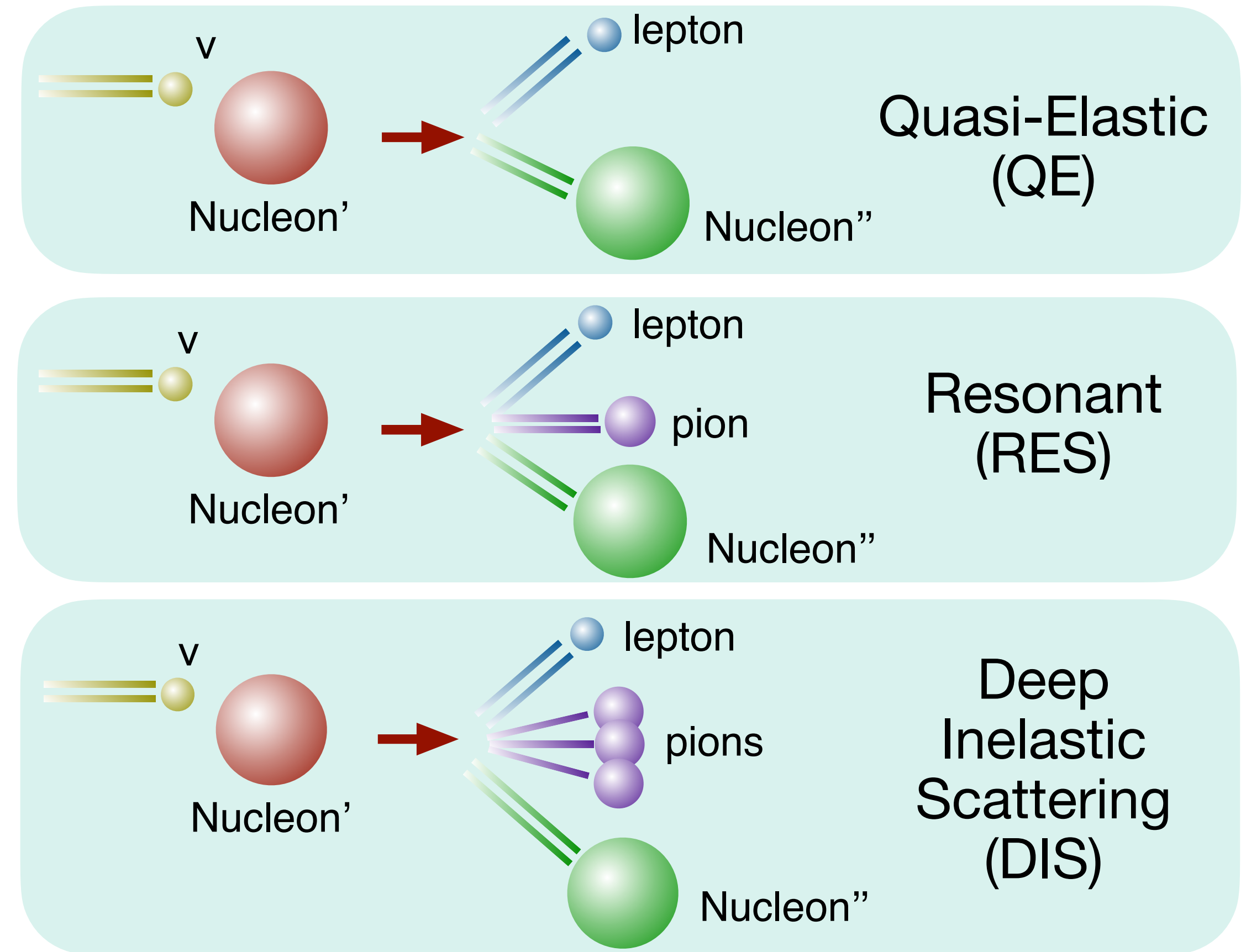


- NOvA flux peaks between 1 and 5 GeV: it sits in the transition region between different neutrino interaction processes.

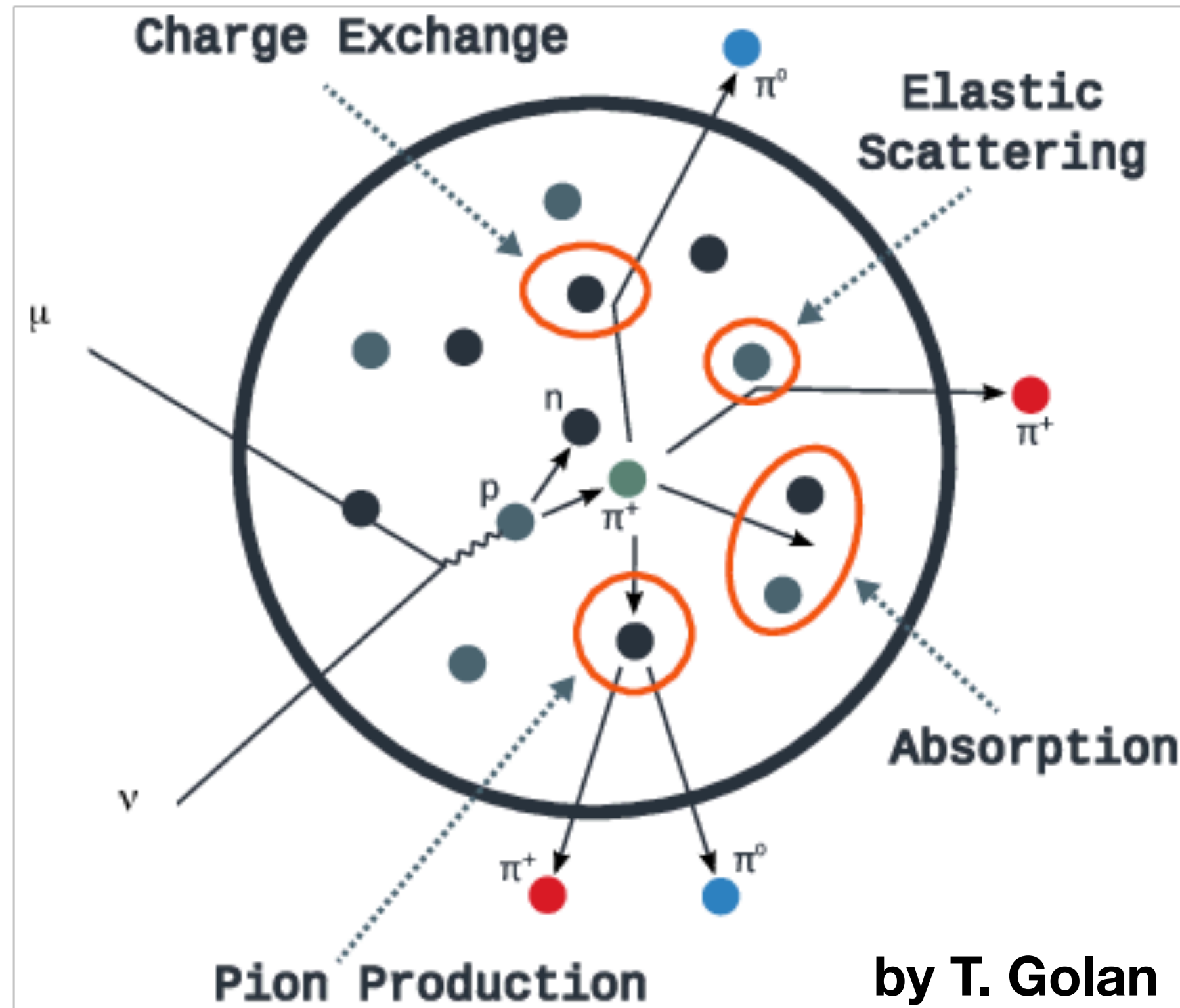
# Neutrino CC interactions at NOvA



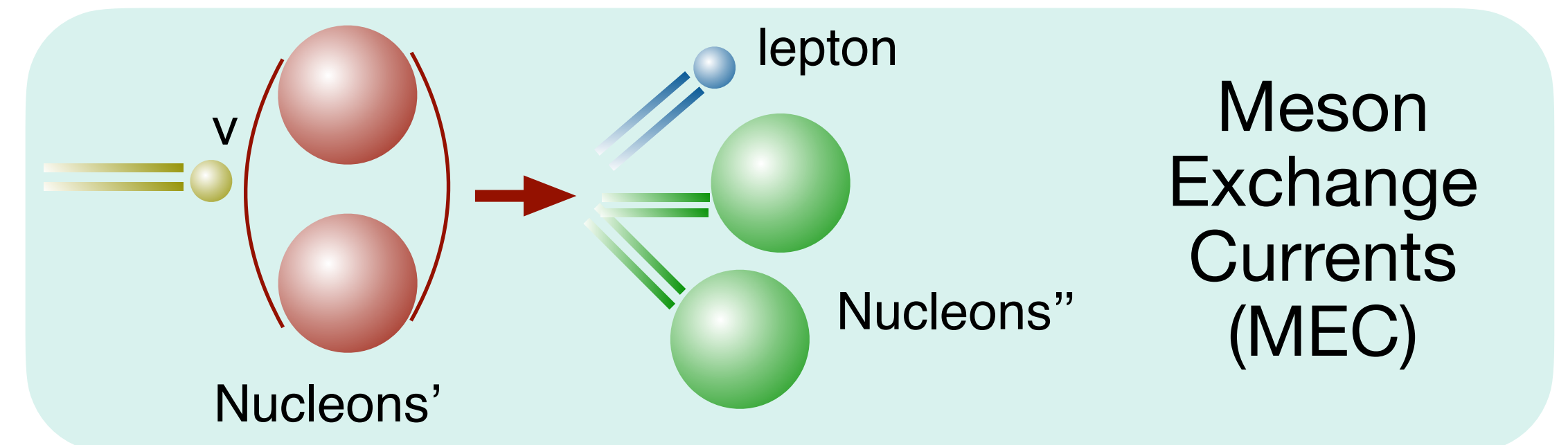
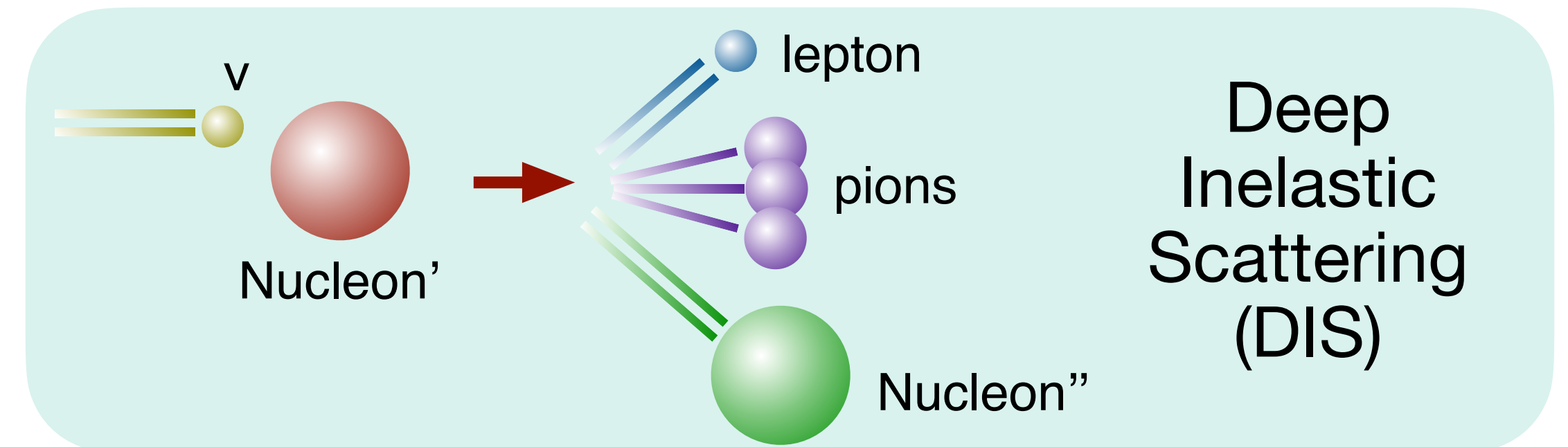
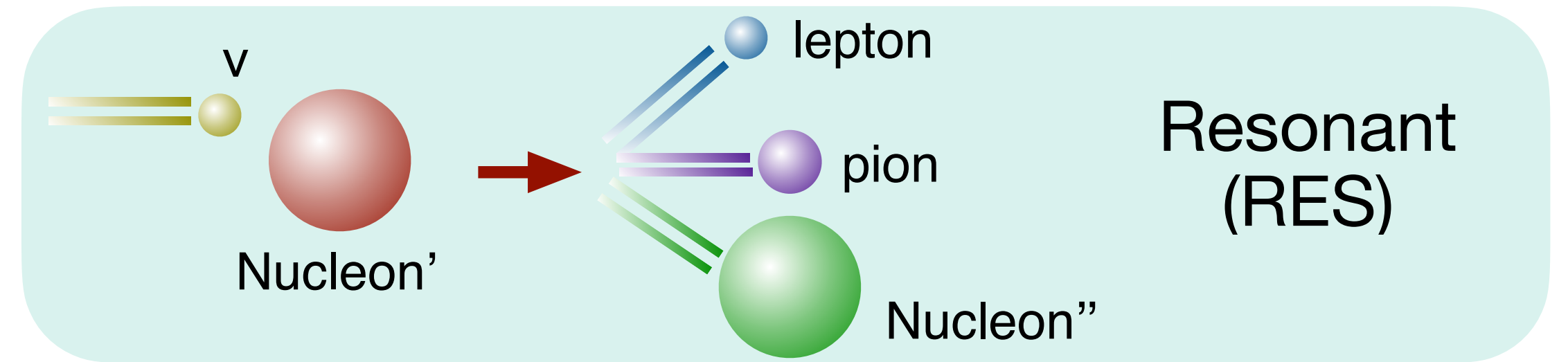
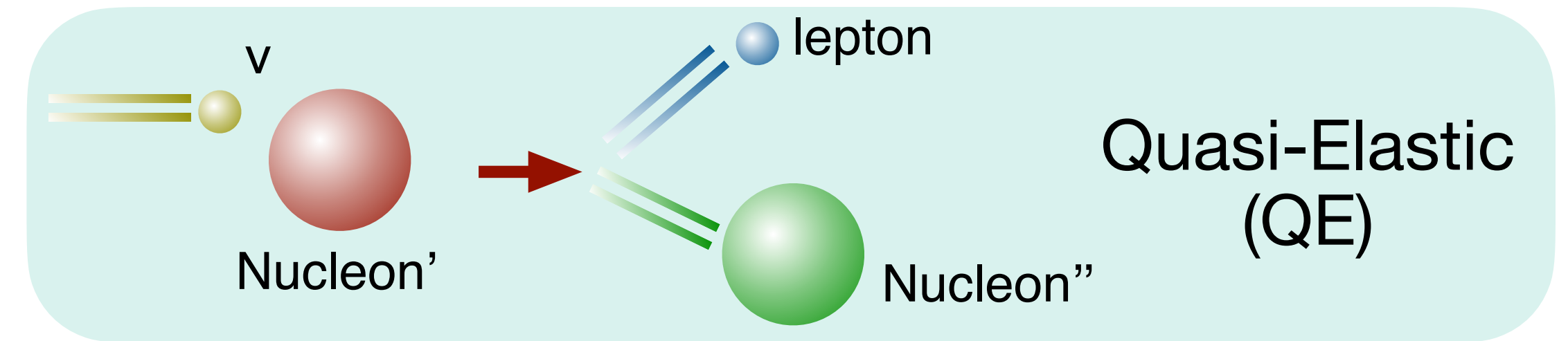
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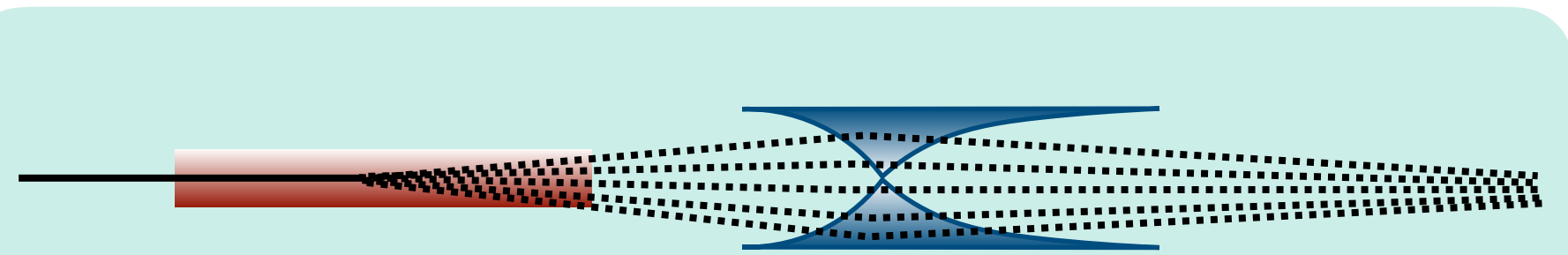
# Neutrino CC interactions at NOvA



- These neutrino interactions happen inside the nuclear media.

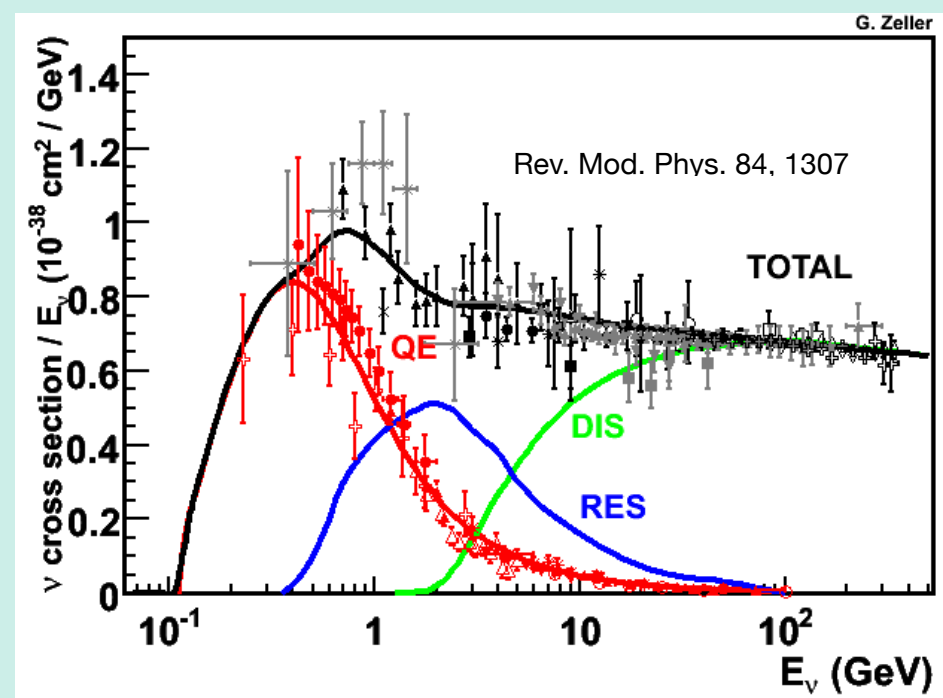


# NOvA simulation

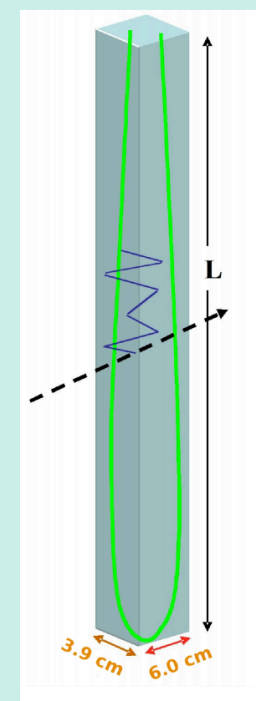


Beamline and Flux: G4NuMI

$\nu$ -A modelling: GENIE



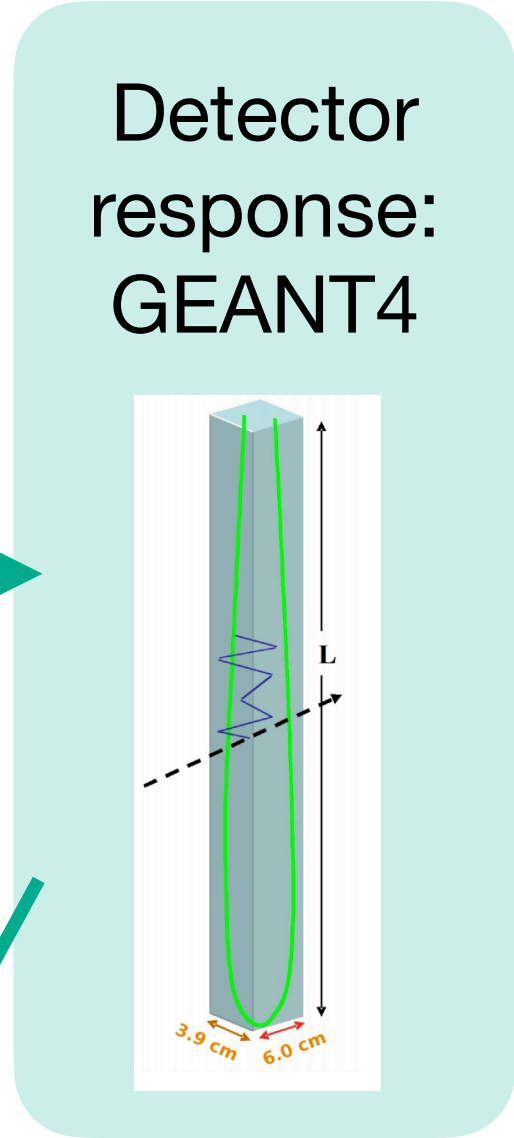
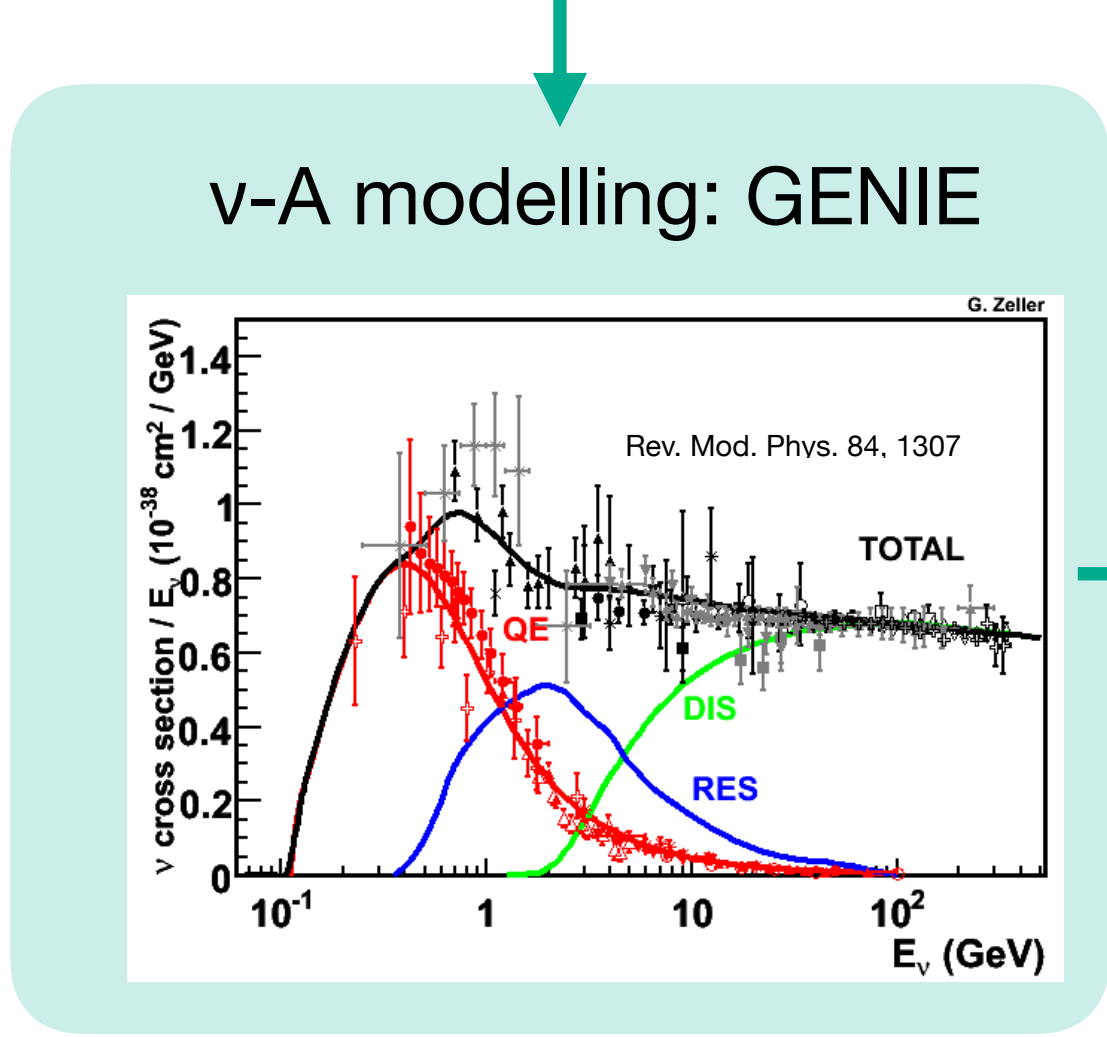
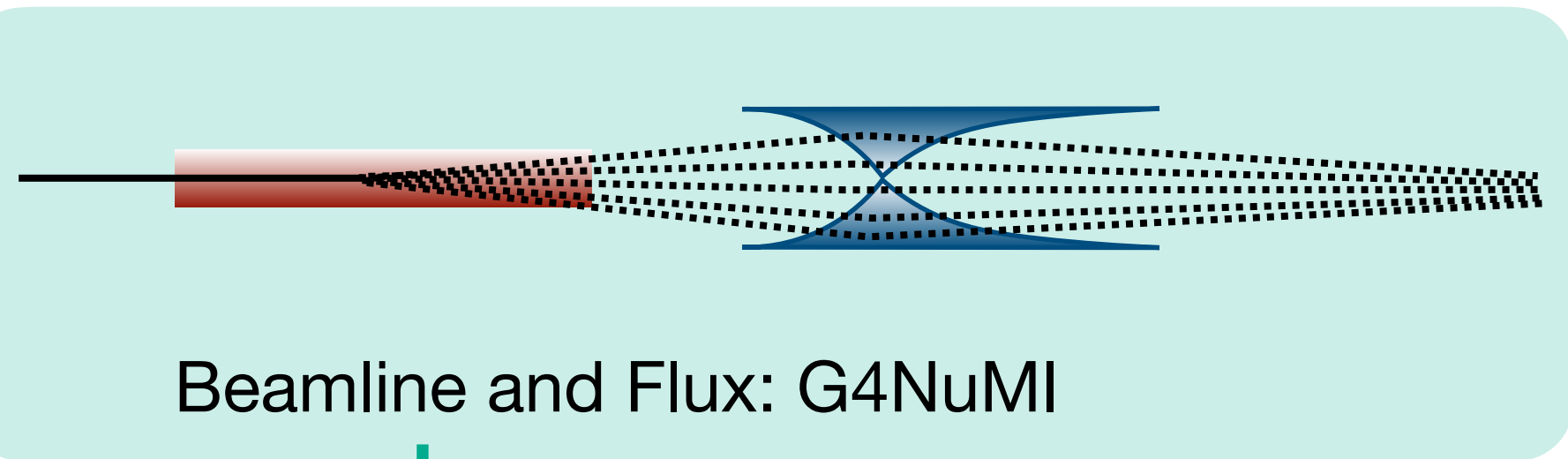
Detector  
response:  
GEANT4



Readout electronics & DAQ:  
Custom simulation routines

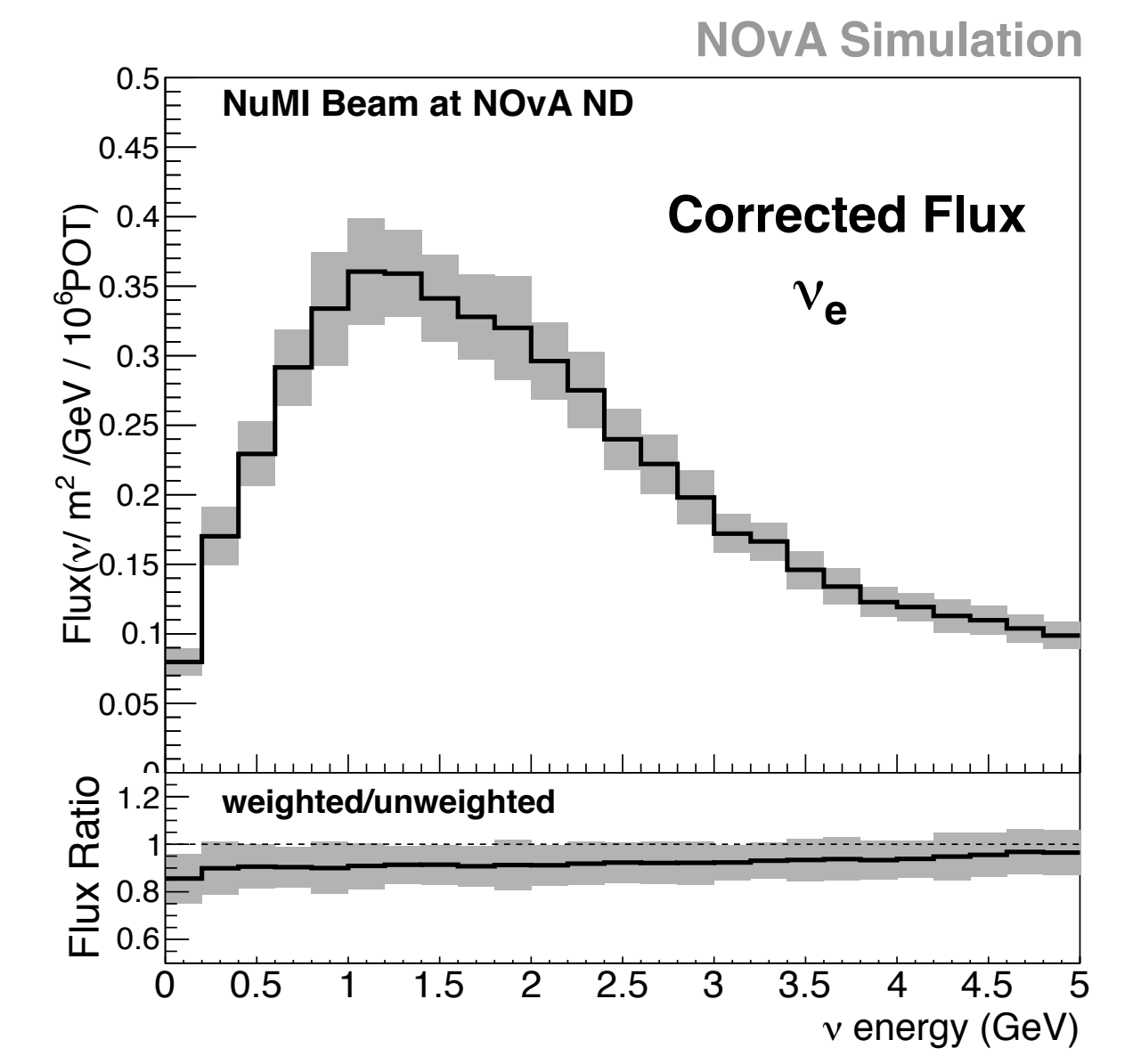
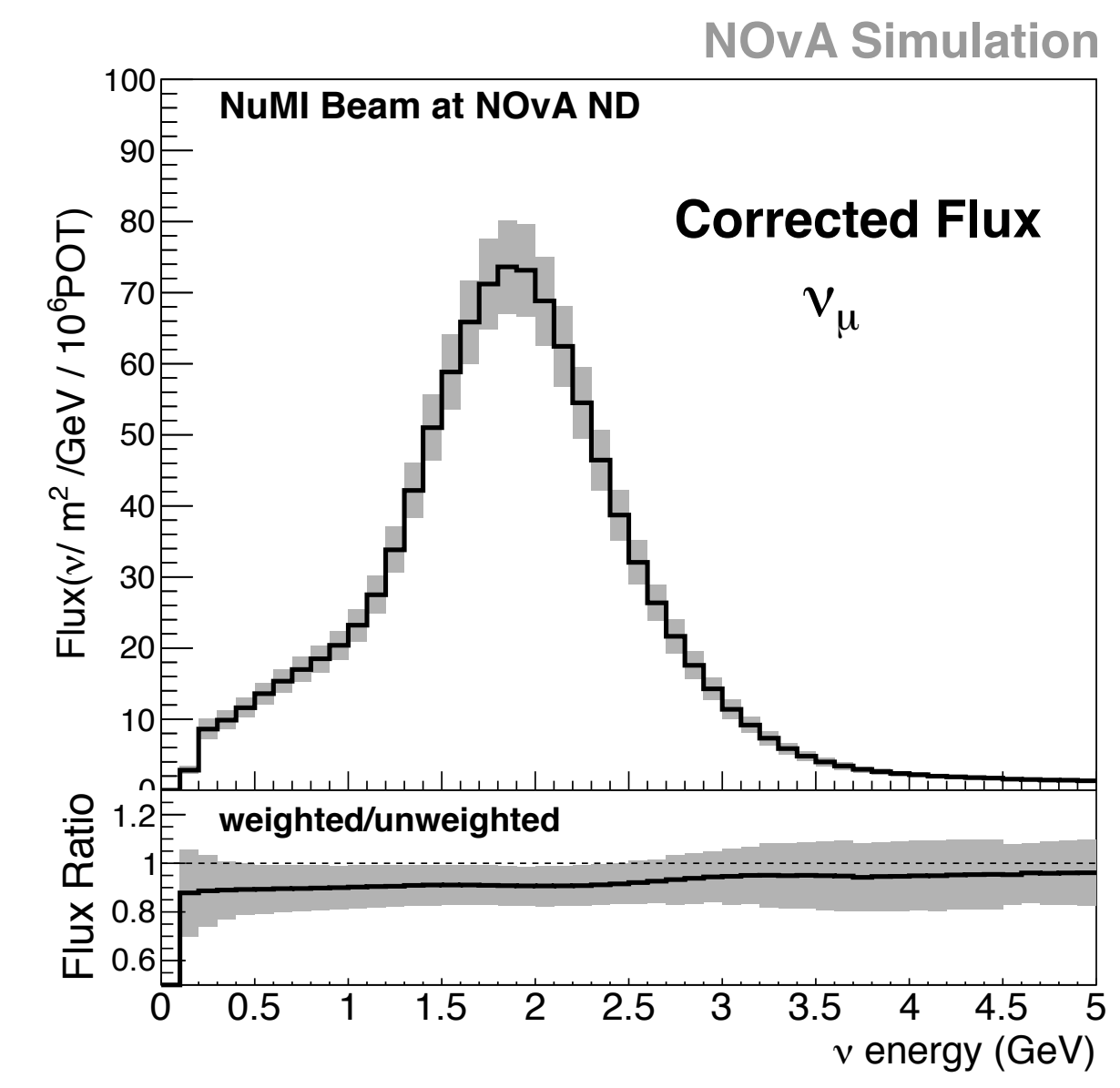


# NOvA simulation



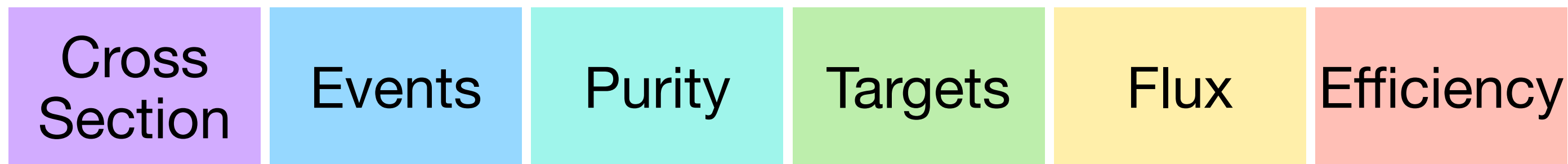
Readout electronics & DAQ:  
Custom simulation routines

Hadron production model constrained with external measurements on thin target.  
Resulting uncertainty  $\sim 10\%$  in normalisation.  
Technique by MINERvA [Phys.Rev.D94, 092005]



# Cross section measurements

$$\sigma = \frac{N_{\text{events}} P}{N_t \Phi \epsilon}$$



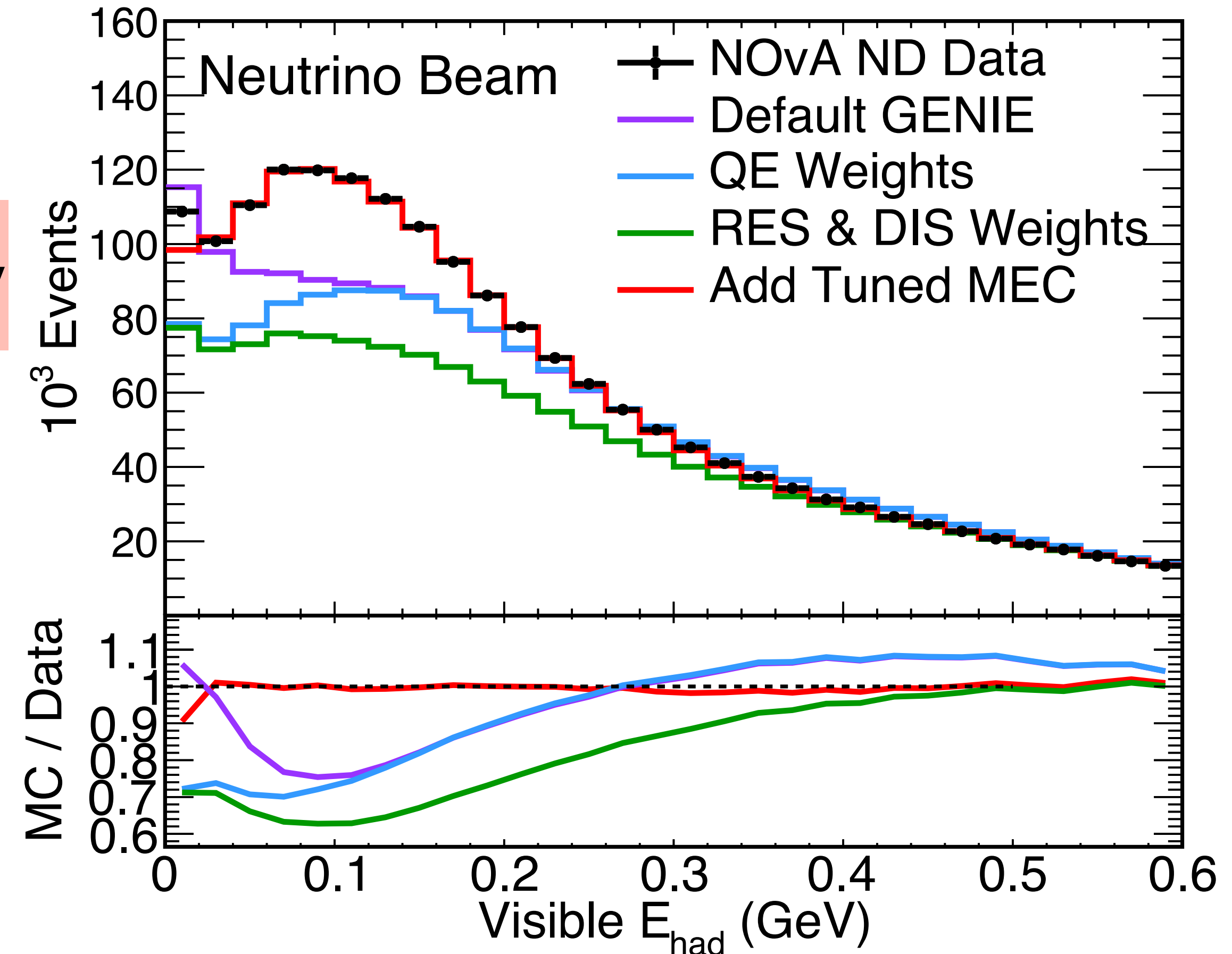
- Measurements of neutrino cross sections depend on the **efficiency** and **purity** which are estimated from our simulation.

# Cross section measurements

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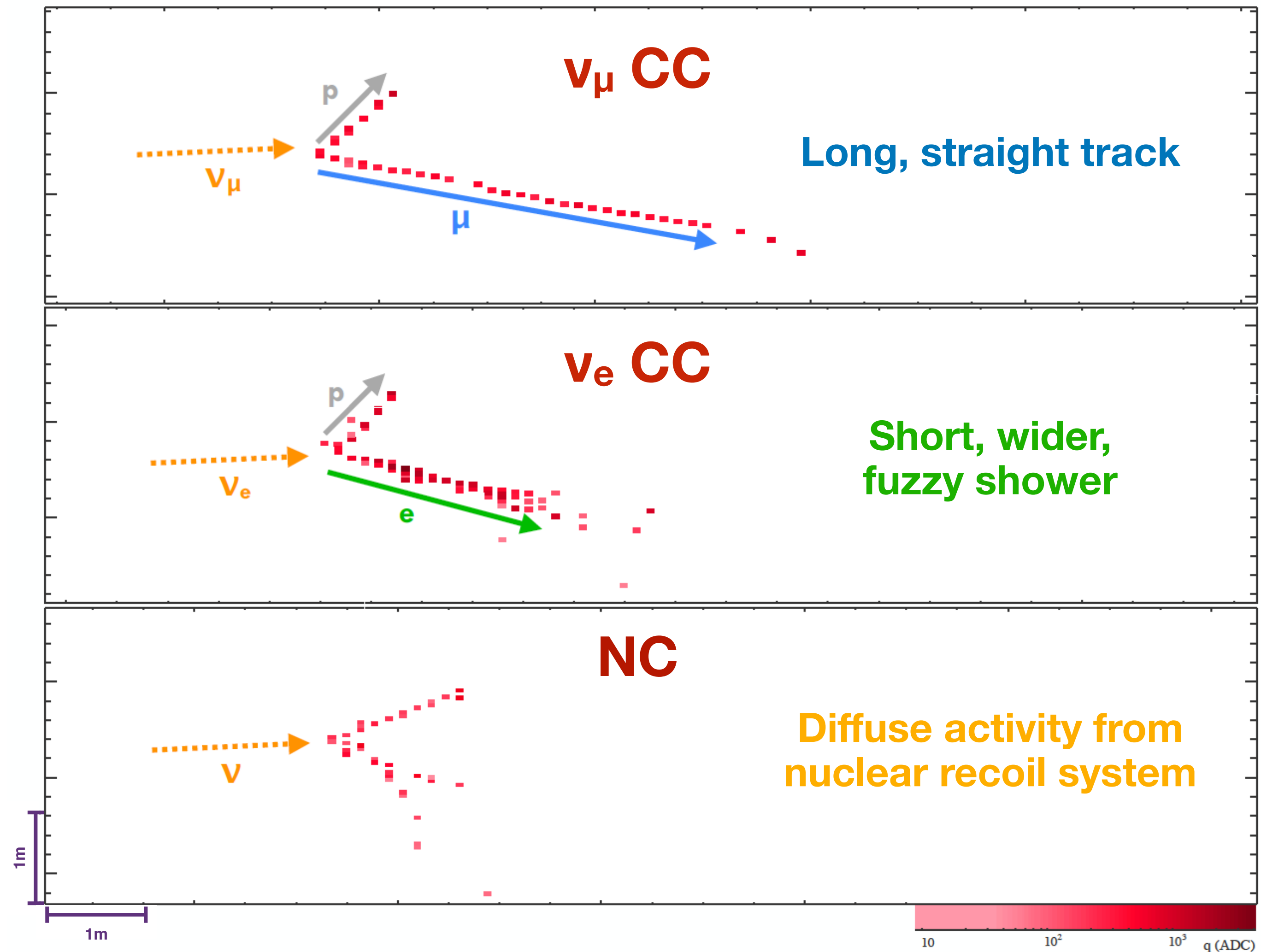
- Measurements of neutrino cross sections depend on the **efficiency** and **purity** which are estimated from our simulation.
- We use NOvA and external data to tune interaction model (GENIE 2.12.2):
  - Suppress QE and RES,
  - Increase DIS,
  - Add MEC.
- Same tune that was used in the NOvA 2018 analysis:
  - Ref to NOvA 2018 Analysis: Phys.Rev.Lett. 123 (2019) 15, 151803
  - Ref to Tune: arXiv:2006.08727.



# Neutrino cross-section measurements at NOvA

Energy range  
Detector technology  
Statistics

} Unique environment for cross section measurements

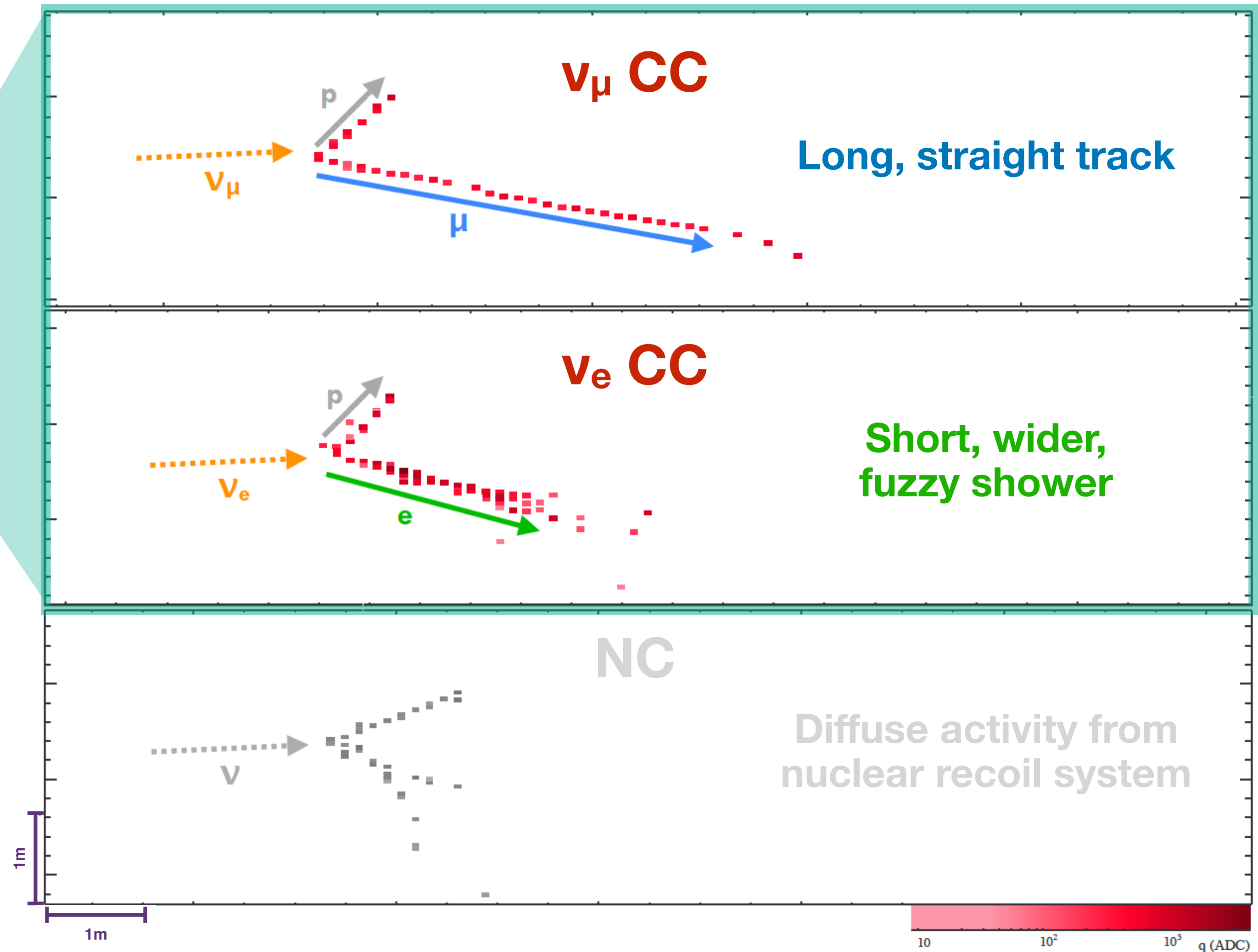


# Neutrino cross-section measurements at NOvA

Energy range  
Detector technology  
Statistics

Unique environment  
for cross section  
measurements

This talk



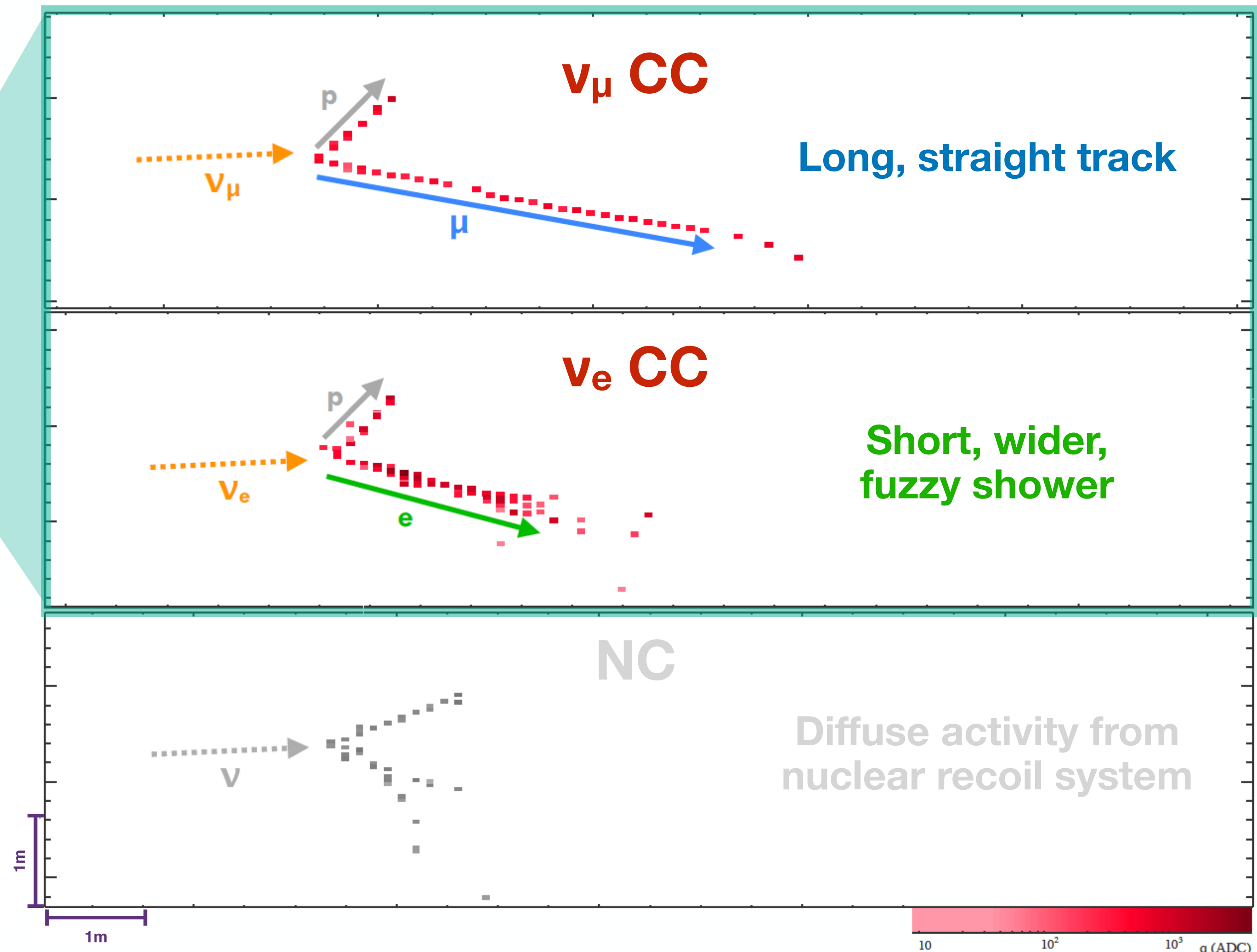
# Neutrino cross-section measurements at NOvA

Energy range  
Detector technology  
Statistics

Unique environment for cross section measurements

## This talk

- NC coherent  $\pi^0$  and  $\nu_\mu$  CC  $\pi^0$  presented last year
- Antineutrino analyses:
  - Connor Johnson's poster (#398)
  - Matt Judah's poster (#505)
- Exclusive channels (explicitly selecting or excluding pions):
  - Cathal Sweeney's poster (#228)
- Low hadronic activity and neutrino-electron scattering measurements are also in the works.



# $\nu_\mu$ CC inclusive



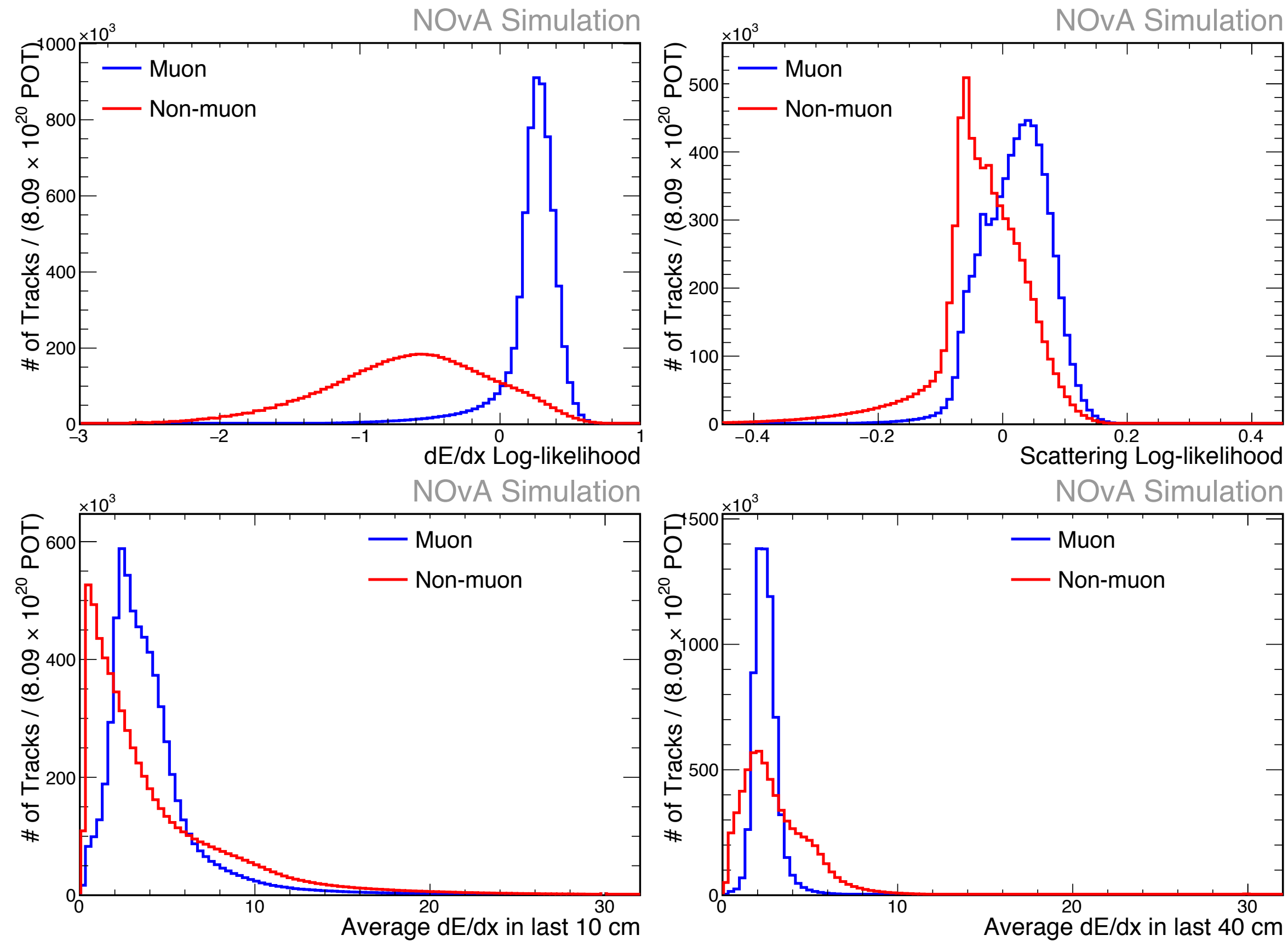
# $\nu_\mu$ CC inclusive



More than 1M  $\nu_\mu$  CC events in our selection



# Particle ID

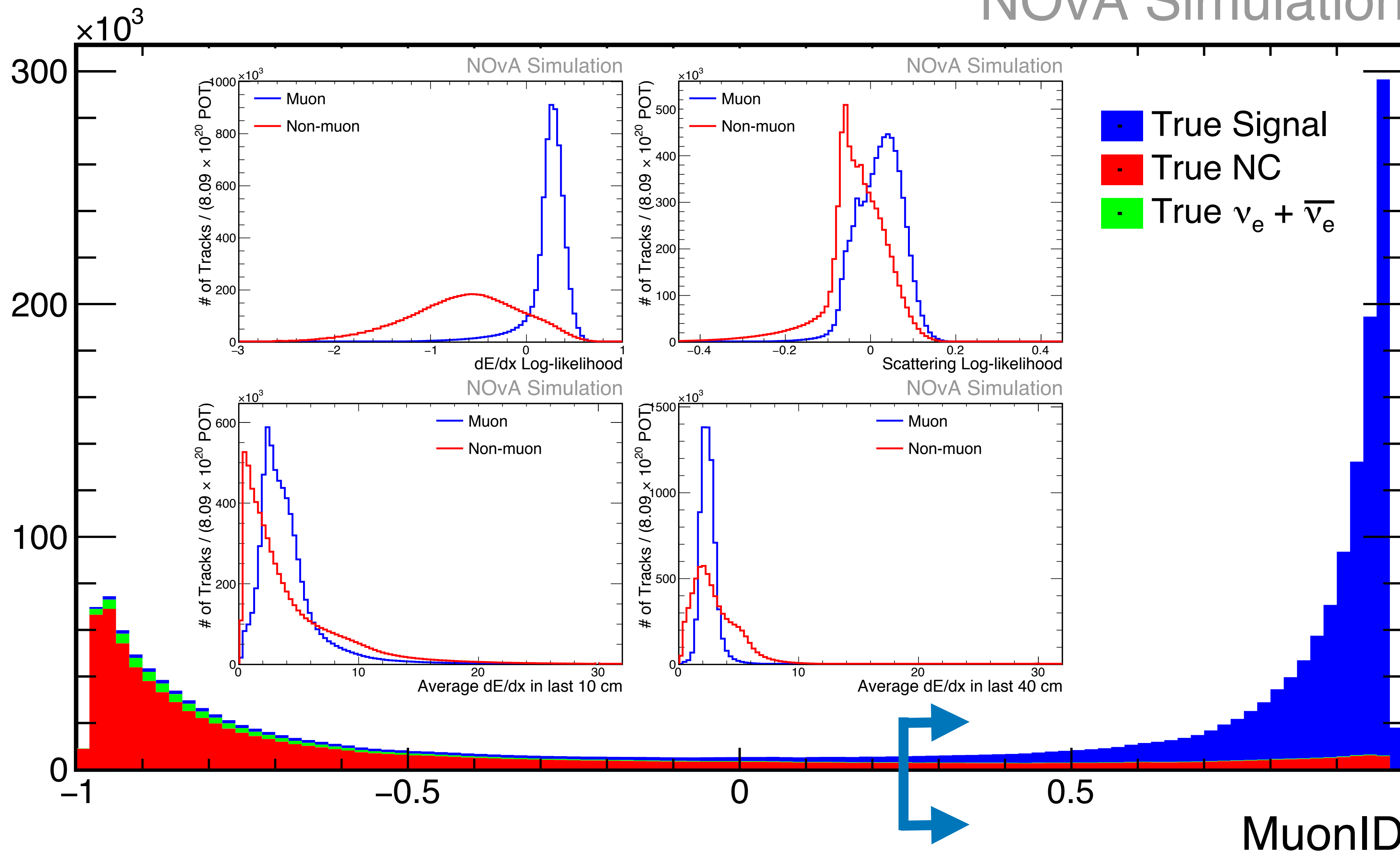


- Preselection: events fully contained and with vertex in fiducial volume.
- Muon ID calculated with a Boosted Decision Tree.

# Particle ID

## NOvA Simulation

Events /  $8.09 \times 10^{20}$  POT

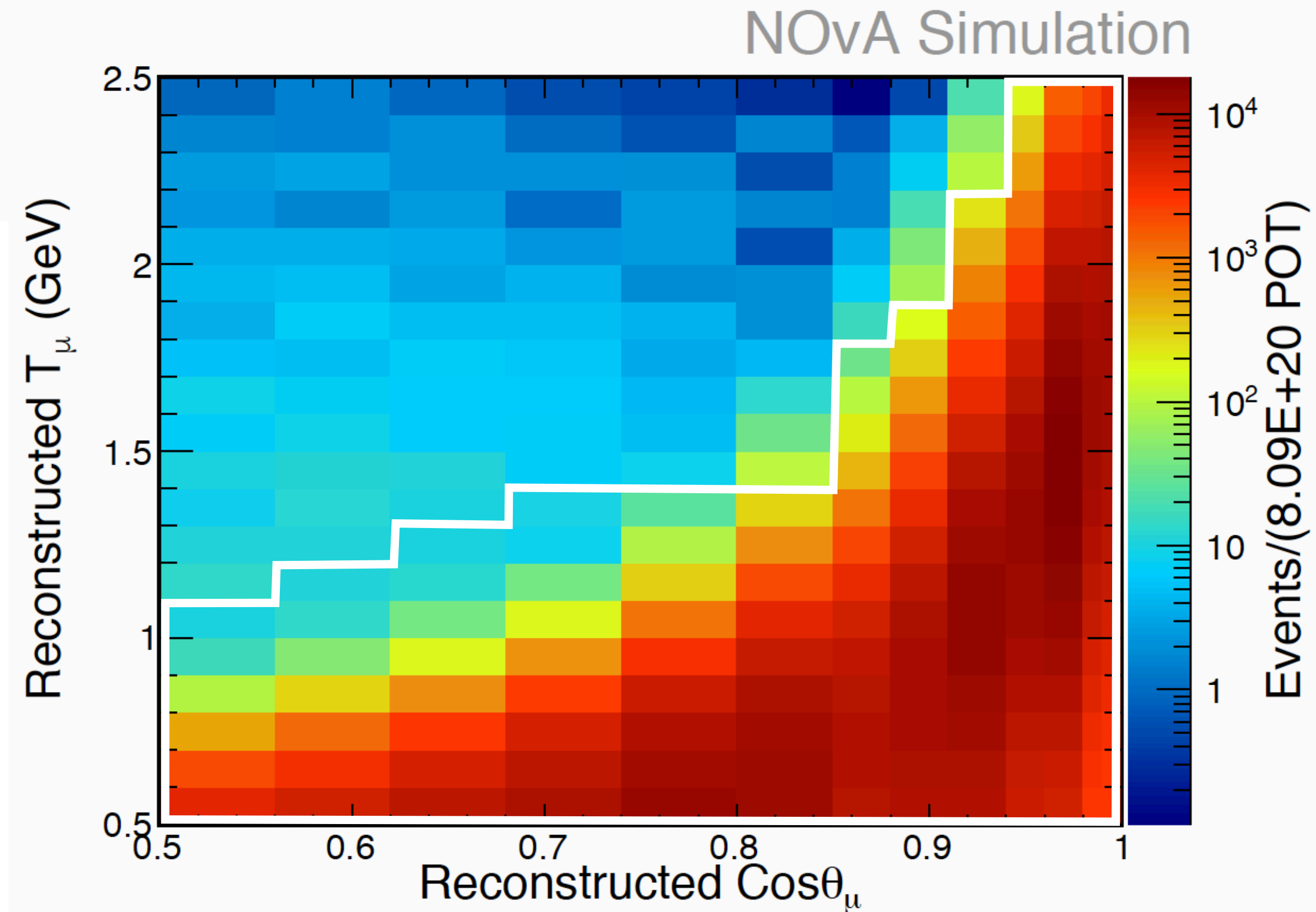


- Preselection: events fully contained and with vertex in fiducial volume.
- Muon ID calculated with a Boosted Decision Tree.
- Cut value corresponds to minimum uncertainties on cross section measurement.
- Resulting sample has 86% purity and ~90% efficiency with respect to preselection.

# Measurement strategy

$$\left( \frac{d^2\sigma}{d\cos\theta_\mu dT_\mu} \right)_i = \sum_k \left( \frac{\sum_j U_{ijk}^{-1} (N^{\text{sel}}(\cos\theta_\mu, T_\mu, E_{\text{avail}})_j P(\cos\theta_\mu, T_\mu, E_{\text{avail}})_j)}{N_t \Phi \epsilon(\cos\theta_\mu, T_\mu, E_{\text{avail}})_{ik} \Delta\cos\theta_{\mu i} \Delta T_{\mu i}} \right)$$

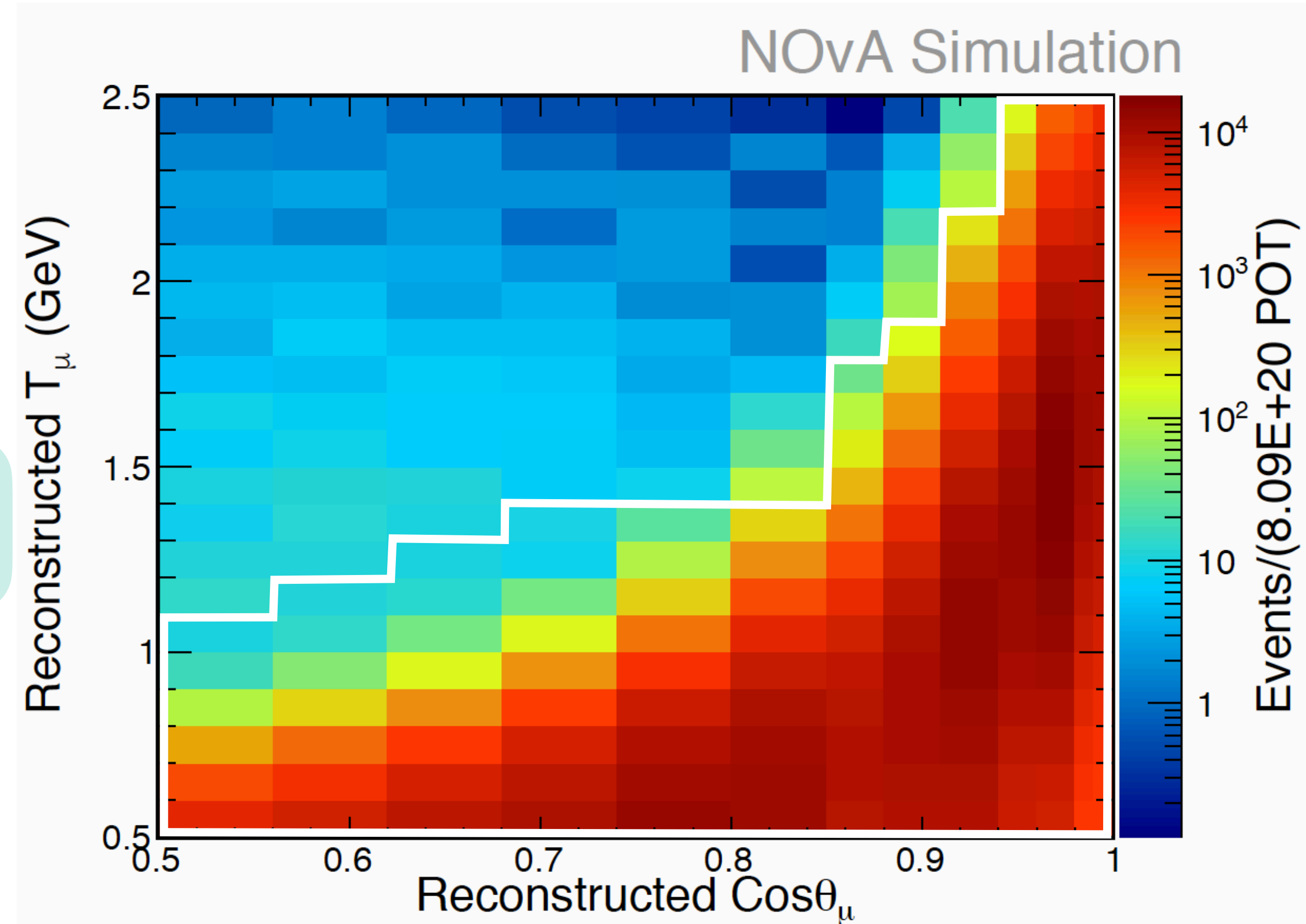
- Flux-averaged double differential cross section in 172 bins (white outline).



# Measurement strategy

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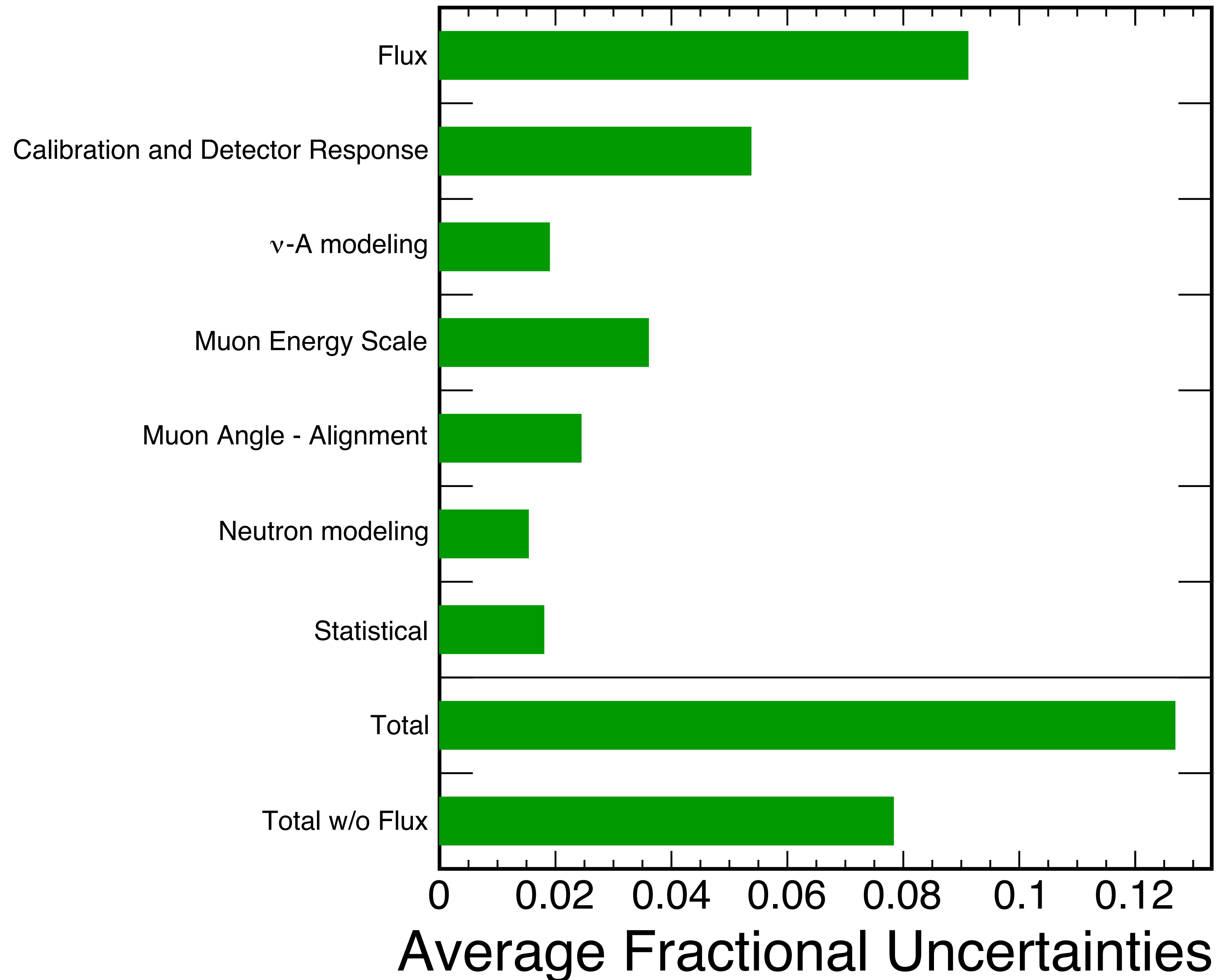
- Flux-averaged double differential cross section in 172 bins (white outline).
- Selection purity and efficiency corrections applied in 3D space ( $T_\mu$ ,  $\cos\theta_\mu$ ,  $E_{\text{avail}}$ ).
- $E_{\text{avail}}$  (available energy): total energy of all observable final state hadrons.
- This reduces potential model dependence of the efficiency and purity corrections on the final-state hadronic system.
- Unfolded 3D result is then integrated over  $E_{\text{avail}}$ .



# Fractional Uncertainties

NOvA Preliminary

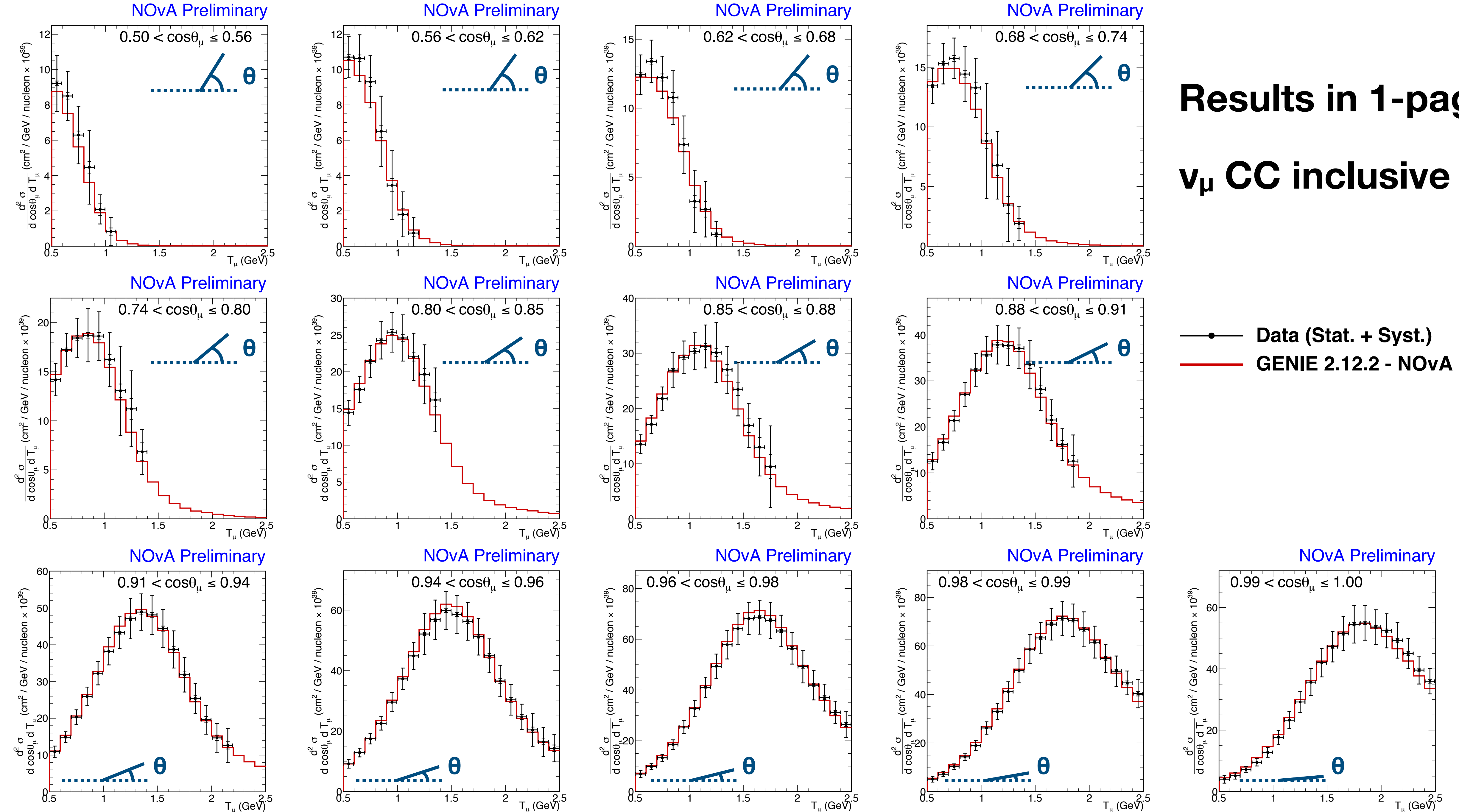
- Weighted average uncertainties to extracted cross section value.
- Flux is a normalisation uncertainty  $\sim 9\%$ .
- Statistical uncertainties at level of a few %.
- Interaction modeling uncertainties are sub-dominant.
- Measurements has typical total uncertainties around 12% in each bin.



# Results in 1-page

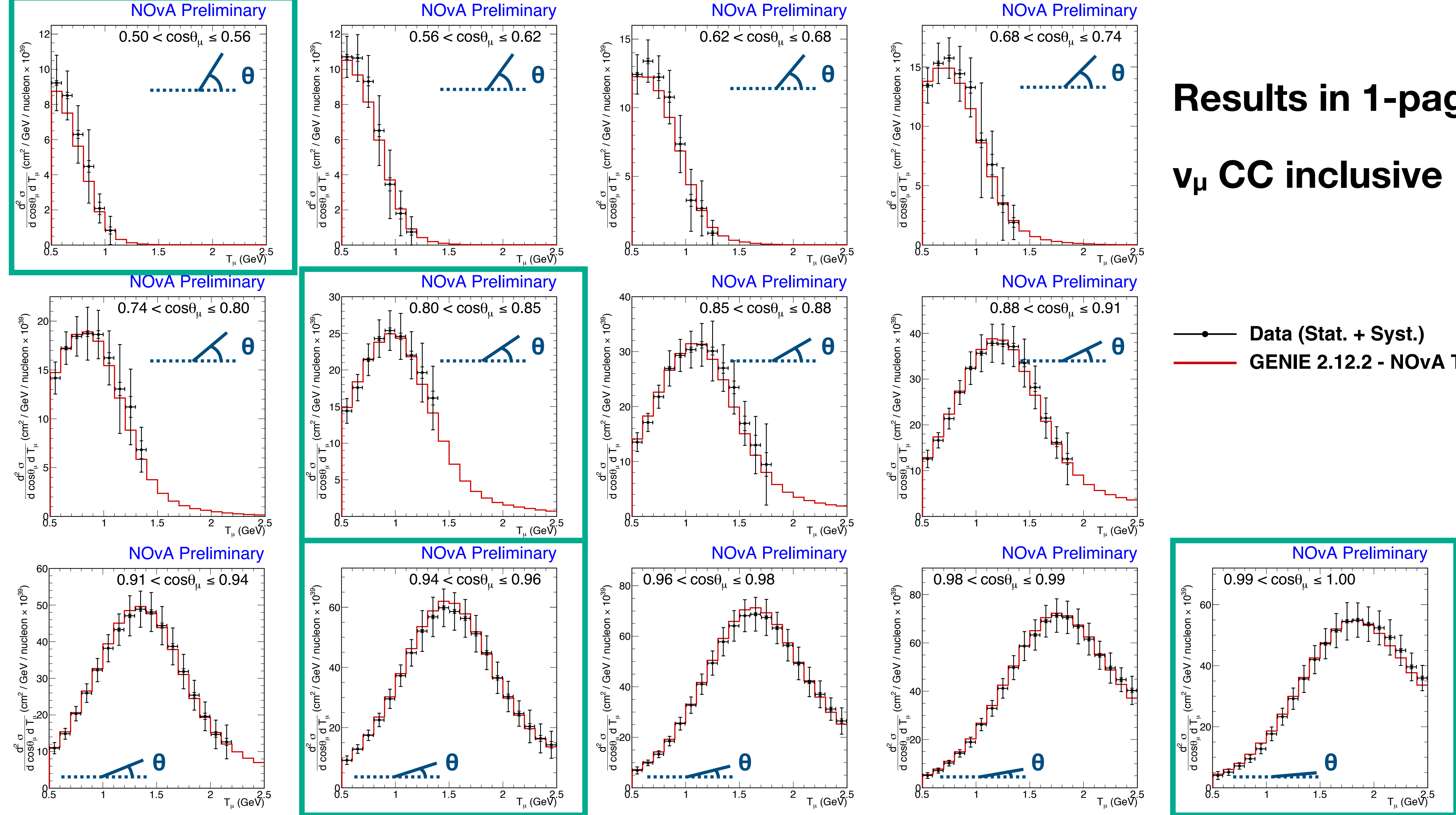
## $\nu_\mu$ CC inclusive

—●— Data (Stat. + Syst.)  
 — GENIE 2.12.2 - NOvA Tune

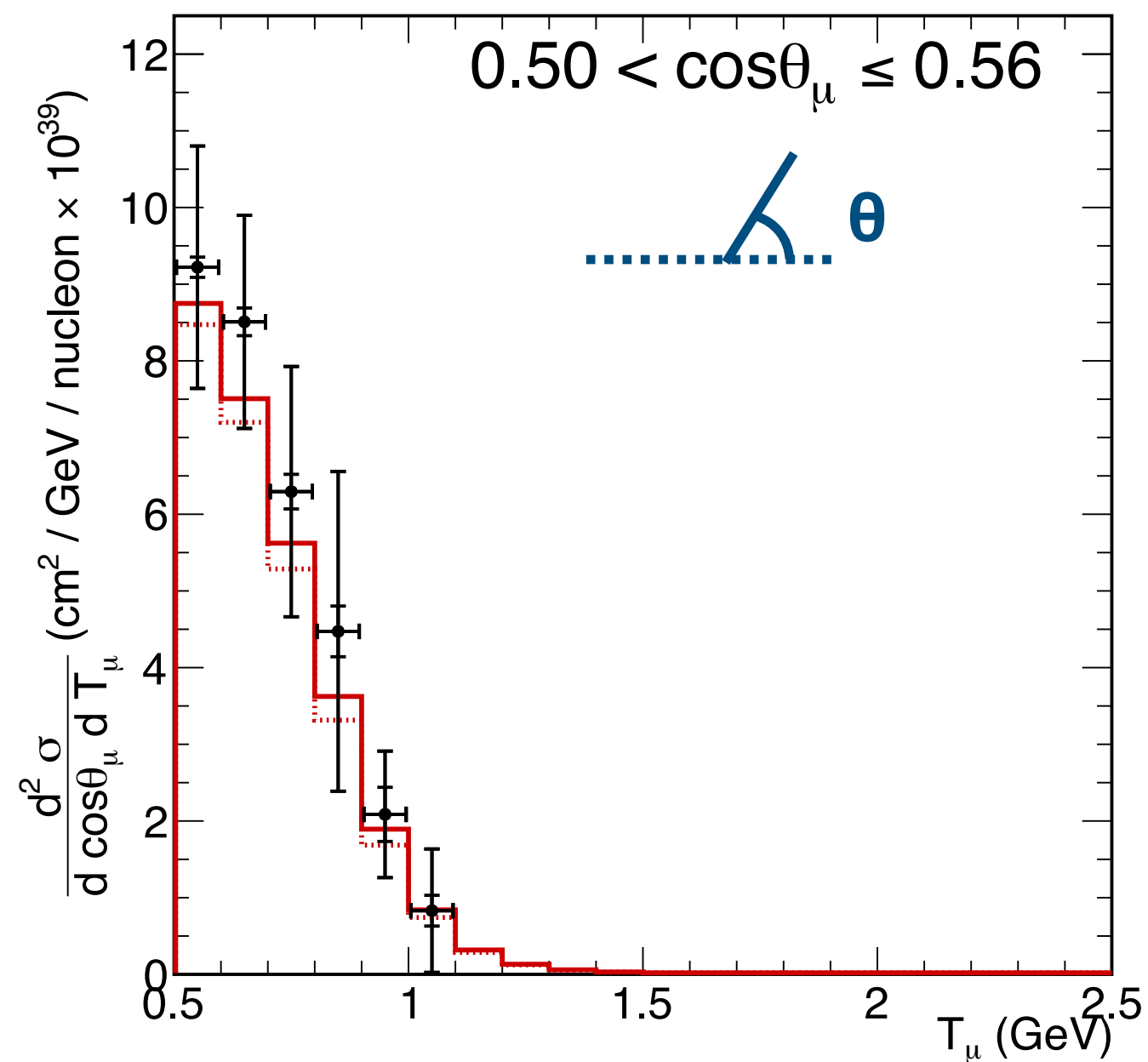


# Results in 1-page $\nu_\mu$ CC inclusive

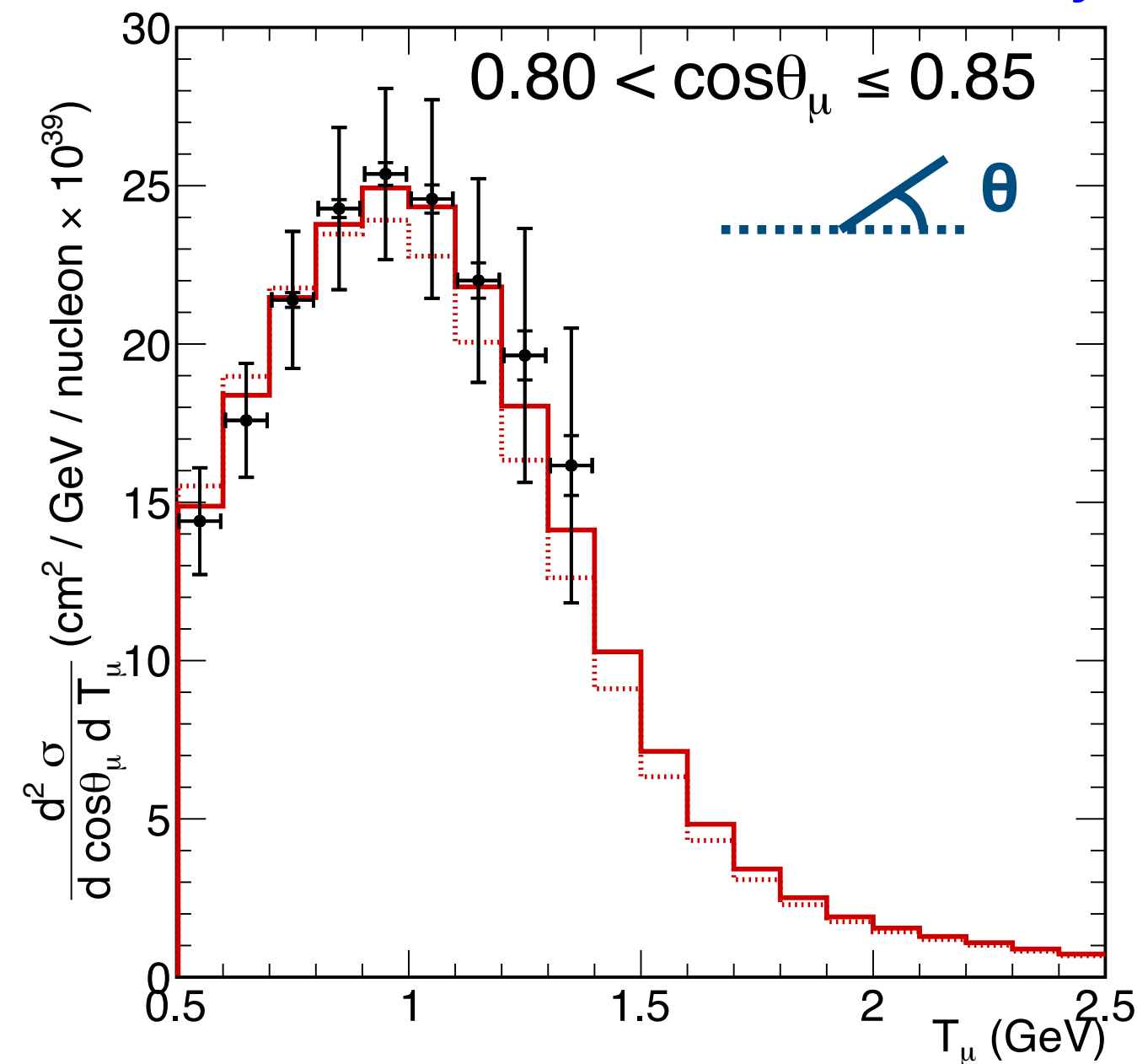
● Data (Stat. + Syst.)  
 — GENIE 2.12.2 - NOvA Tune



NOvA Preliminary



NOvA Preliminary

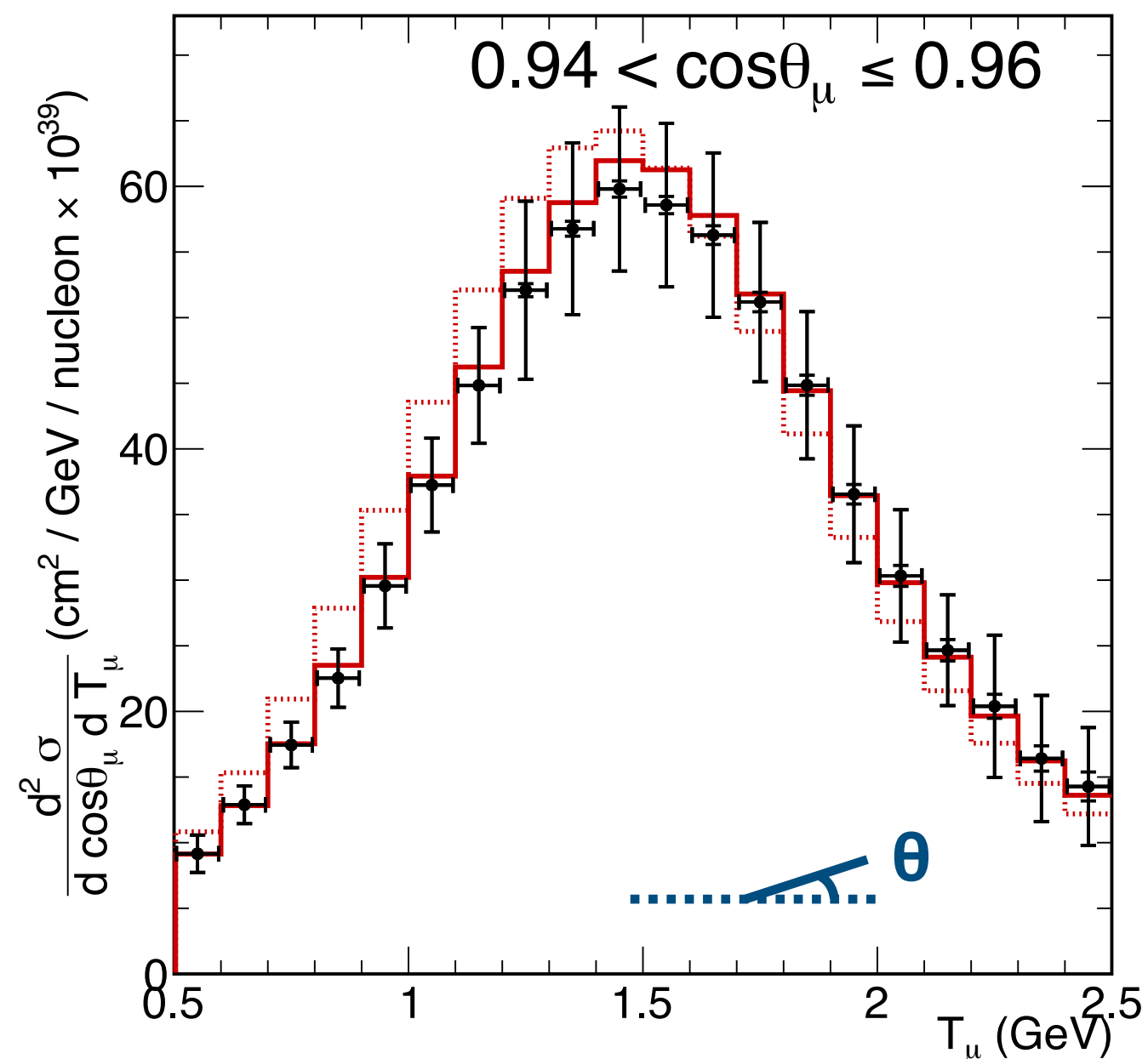


# Example 4 cosine slices

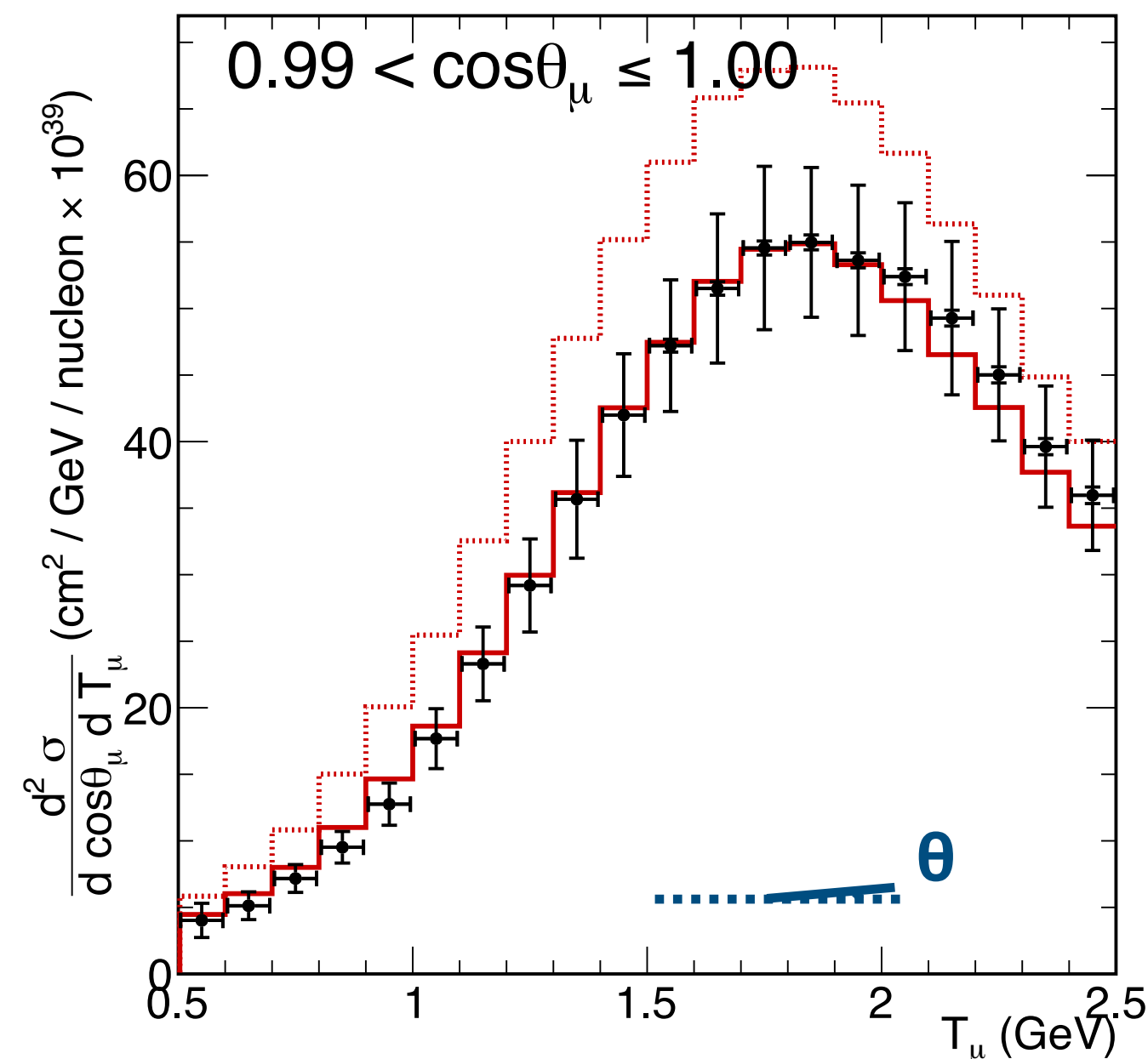
$\nu_\mu$  CC inclusive

- Data (Stat. + Syst.)
- GENIE 2.12.2 - NOvA Tune
- ⋯ GENIE 2.12.2 - Untuned

NOvA Preliminary



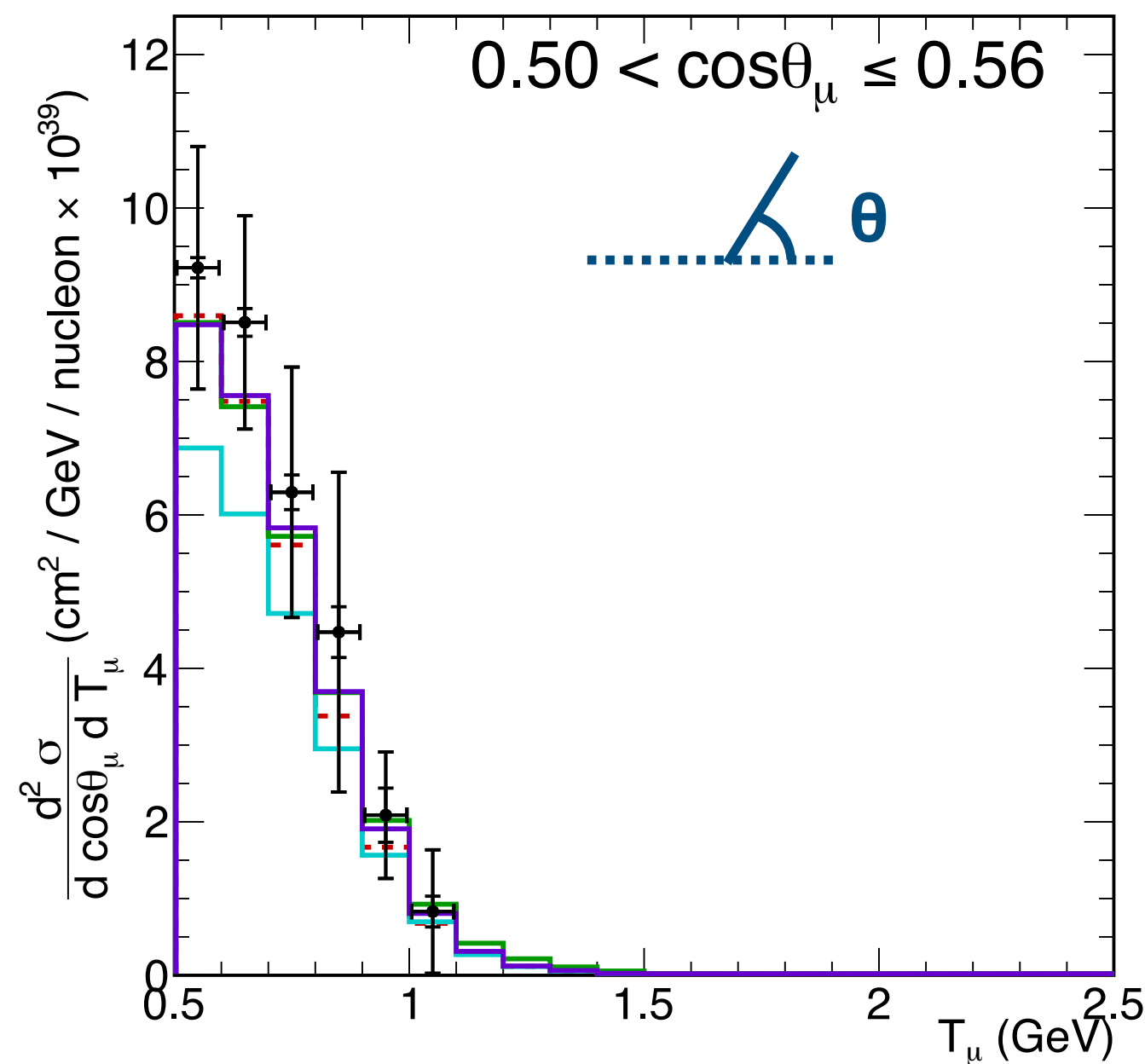
NOvA Preliminary



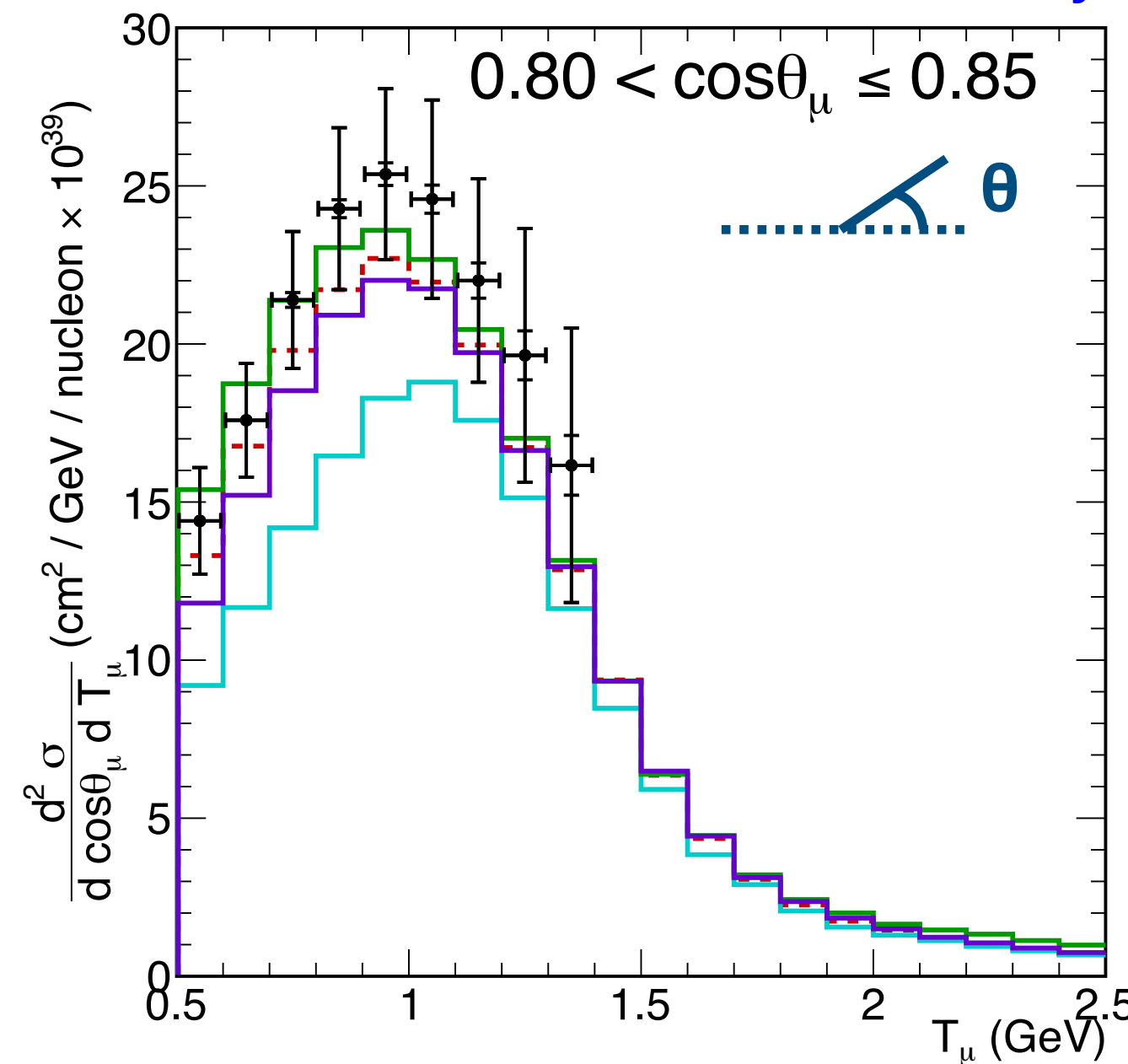
- Good agreement between tuned/untuned GENIE versions in high angle slices.
- At forward angle, where QE and MEC events dominate, the untuned GENIE 2 overshoots data.



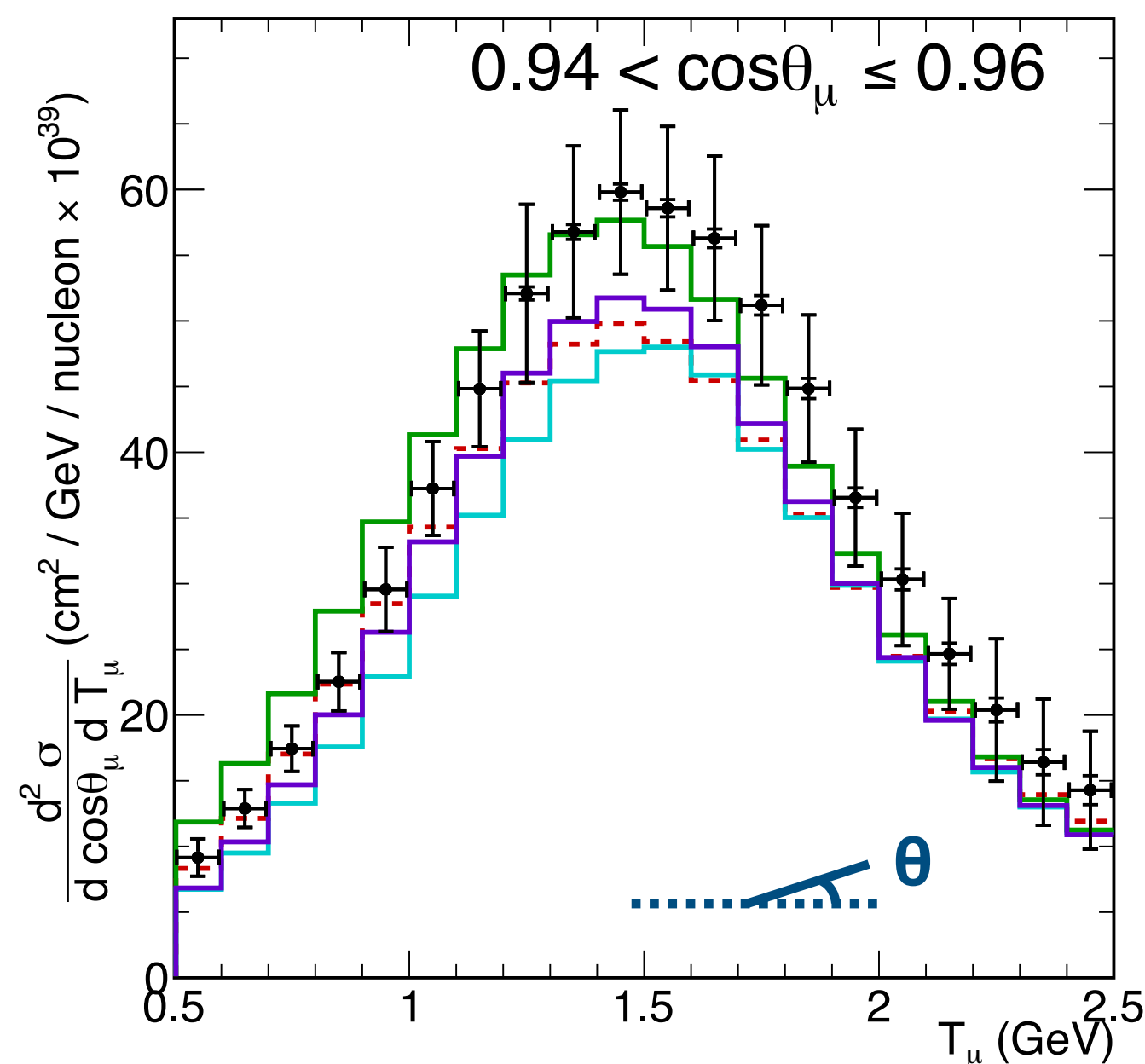
NOvA Preliminary



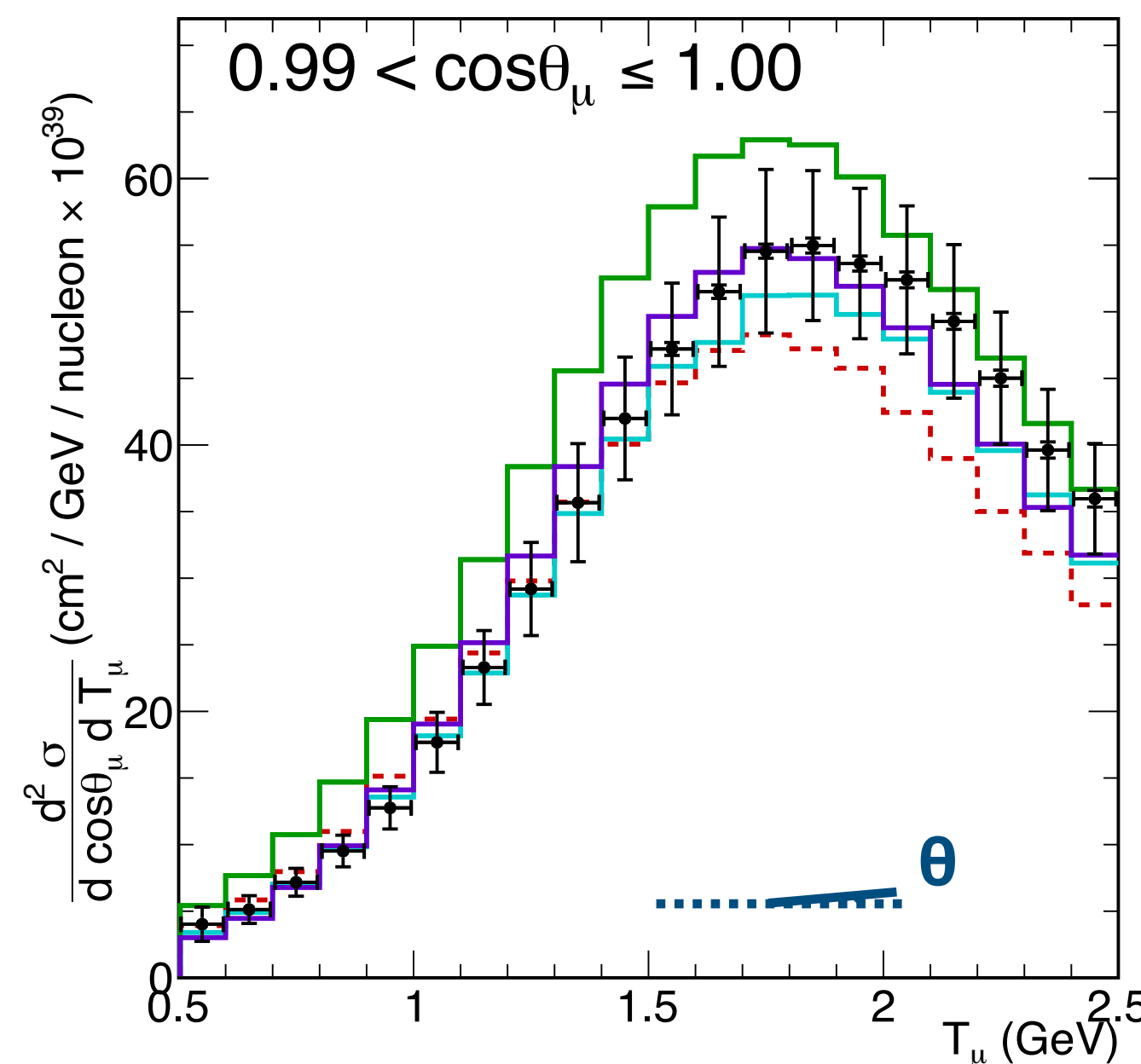
NOvA Preliminary



NOvA Preliminary



NOvA Preliminary



# Example 4 cosine slices

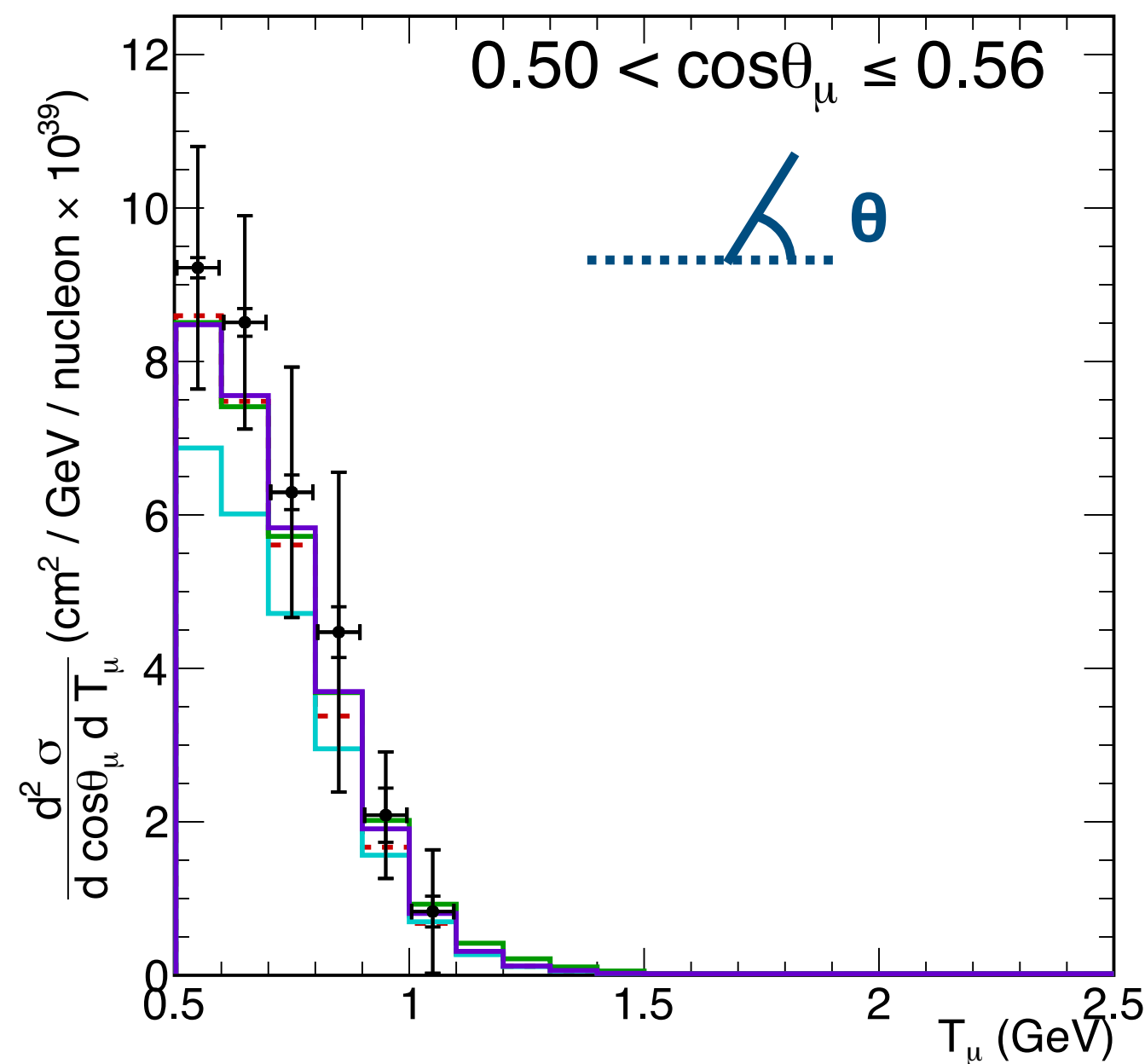
## $\nu_\mu$ CC inclusive

- Data (Stat. + Syst.)
- - - GENIE 3.00.06\*
- GiBUU 2019
- NEUT 5.4.0
- NuWro 2019

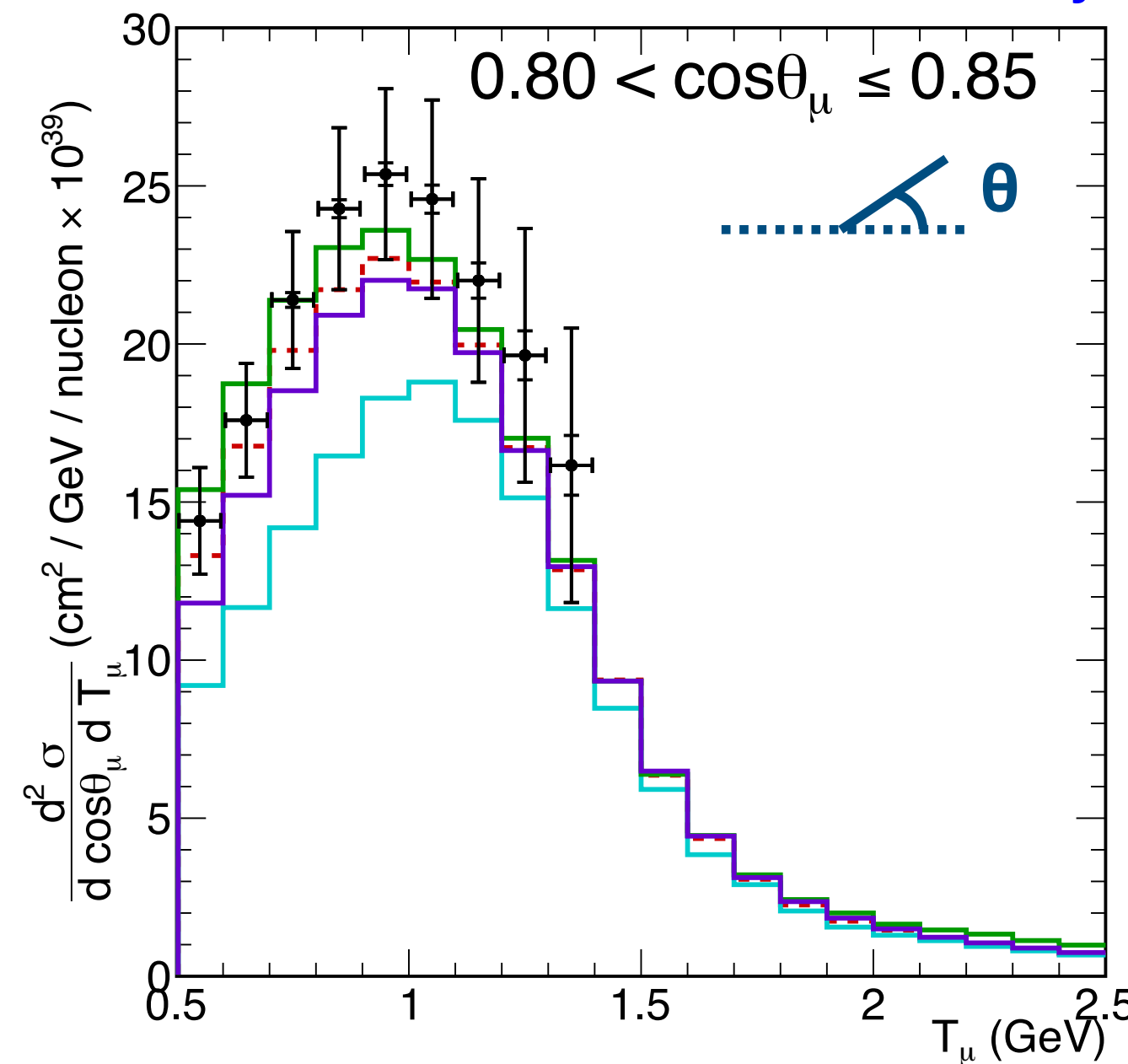
- Out of the box generator comparisons.
- All generators reproduce well the shape of our data.
- We notice an overall normalisation difference in GiBUU.

\*N18\_10j\_02\_11a: combination of G18\_10j\_00\_000 and G18\_10b\_02\_11a

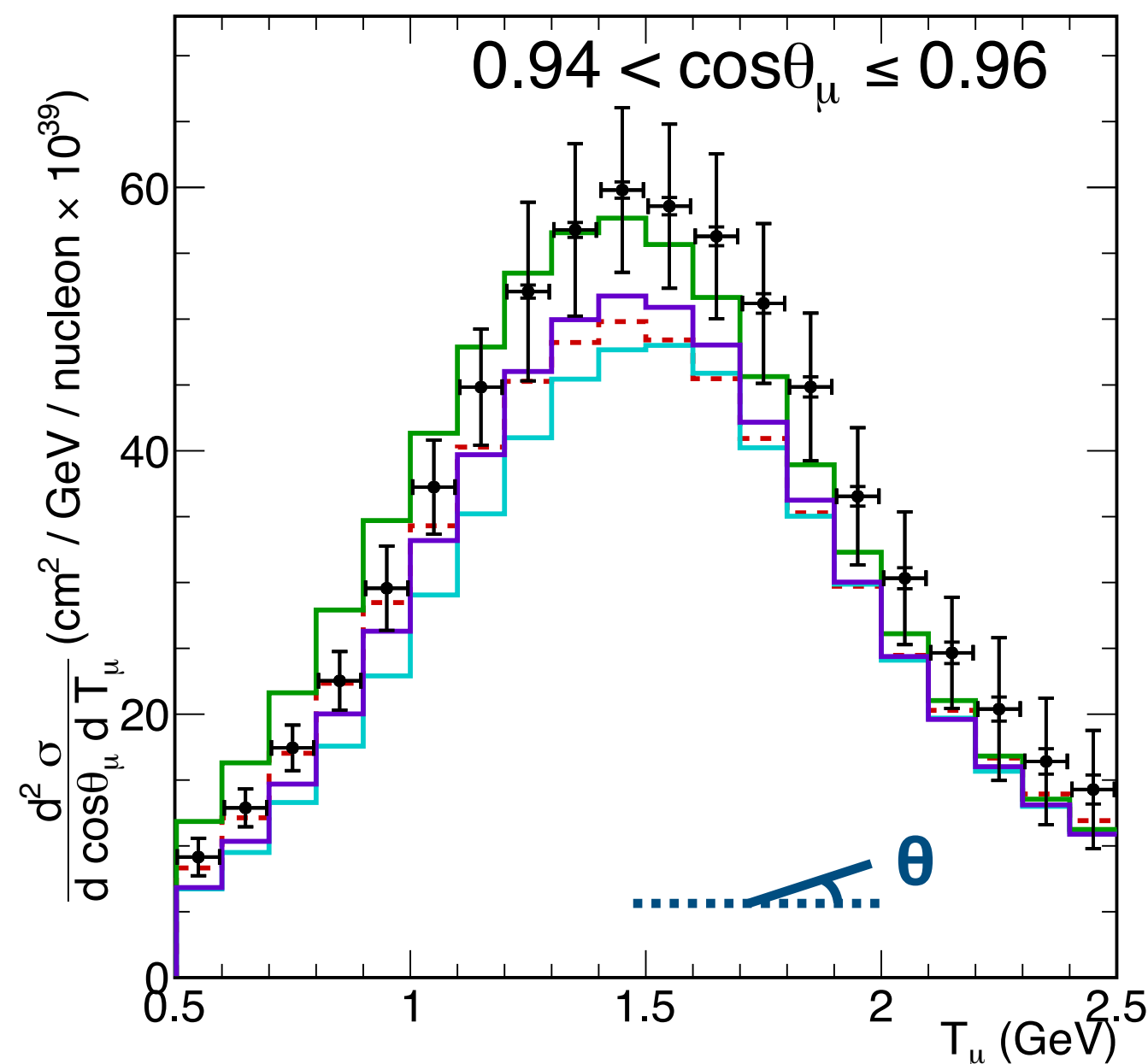
NOvA Preliminary



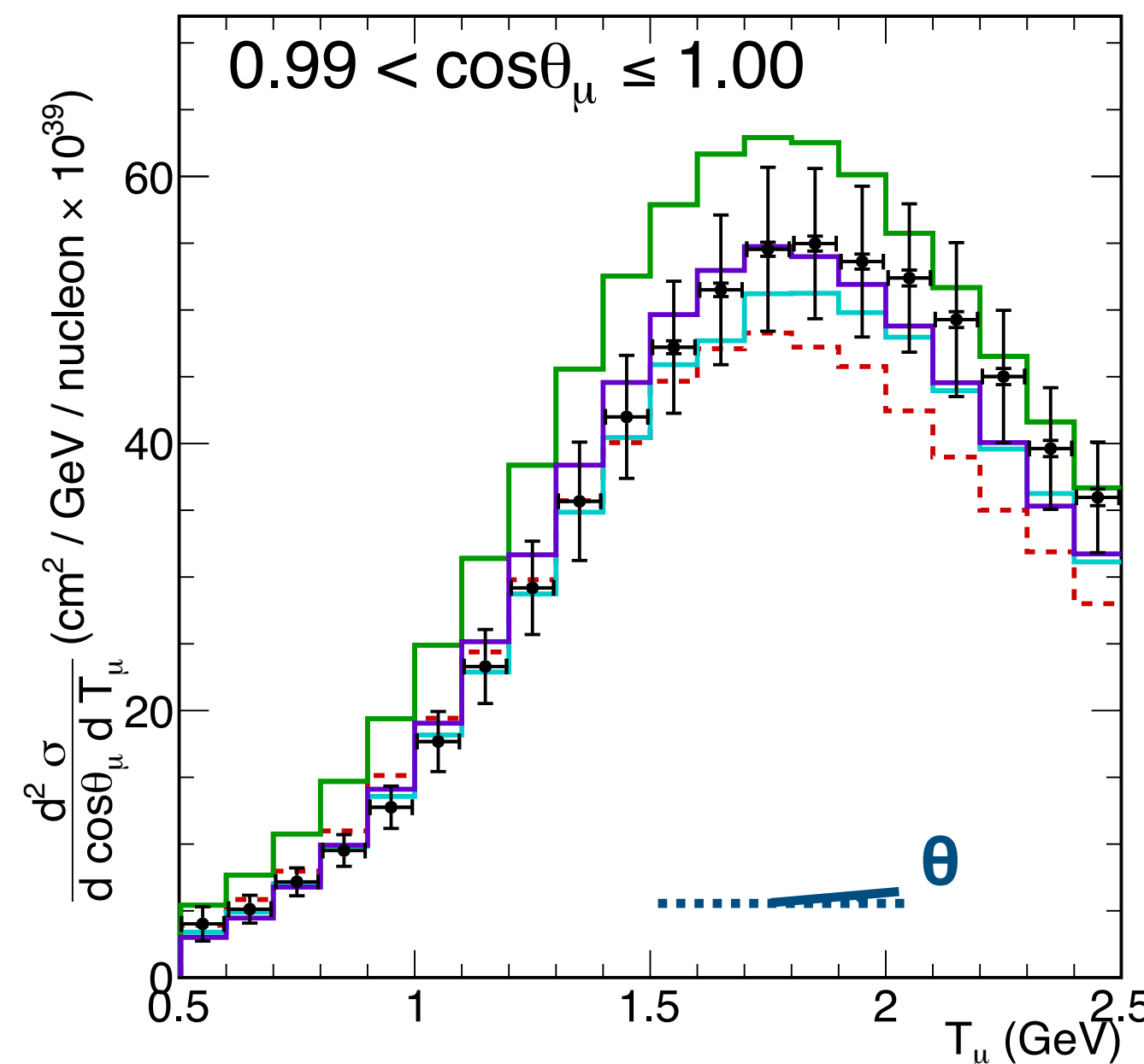
NOvA Preliminary



NOvA Preliminary



NOvA Preliminary



# Example 4 cosine slices

$\nu_\mu$  CC inclusive

- Data (Stat. + Syst.)
- - - GENIE 3.00.06\*
- GiBUU 2019
- NEUT 5.4.0
- NuWro 2019

We used the total covariance matrix to calculate p-values.

Generator	p-value
GENIE 2.12.2 - Tuned	0.93
GENIE 2.12.2 - Untuned	0.24
GENIE 3.00.06*	0.26
GiBUU 2019	0.03
NEUT 5.4.0	0.52
NuWro 2019	0.22

\*N18\_10j\_02\_11a: combination of G18\_10j\_00\_000 and G18\_10b\_02\_11a

# $\nu_e$ CC inclusive



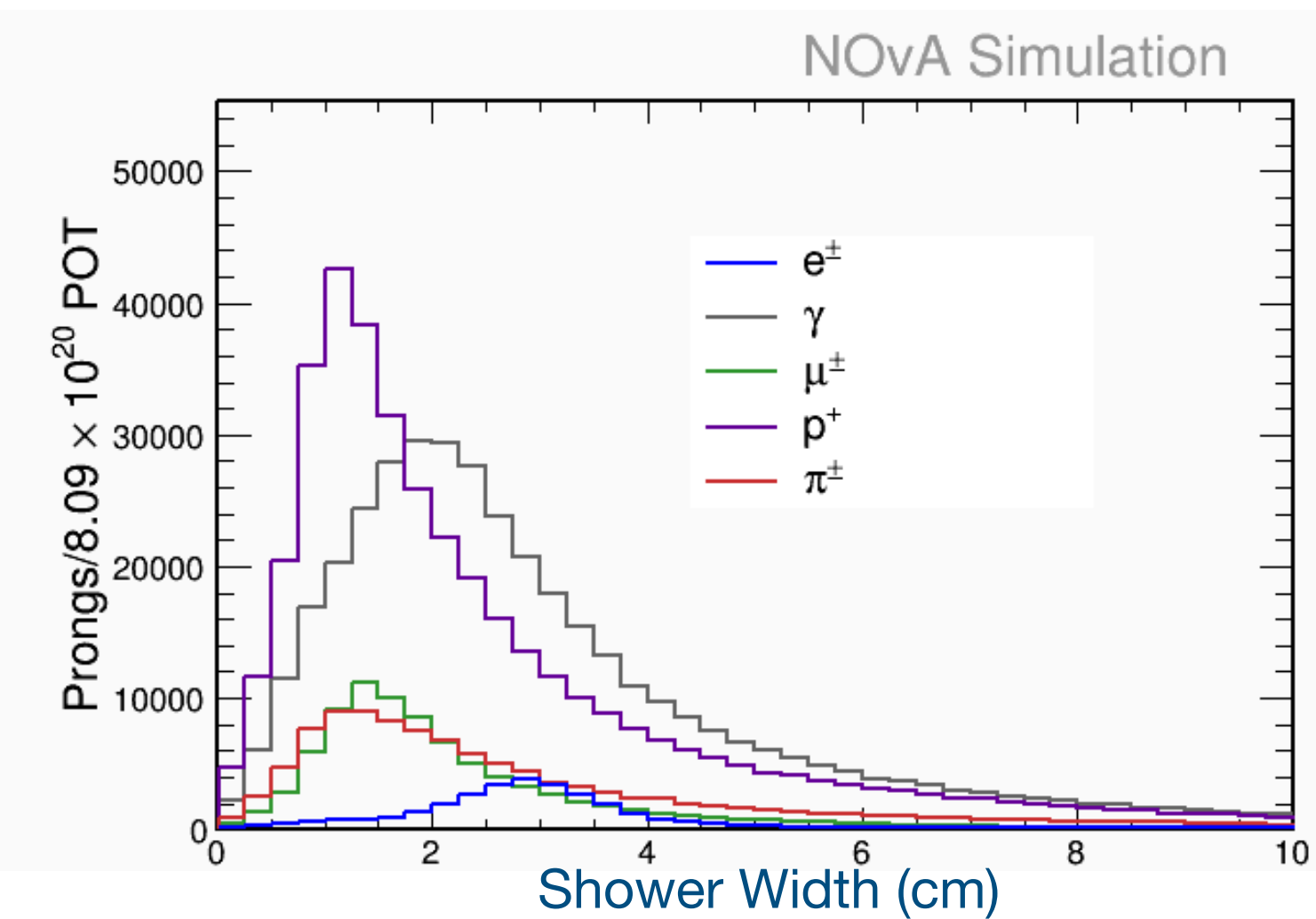
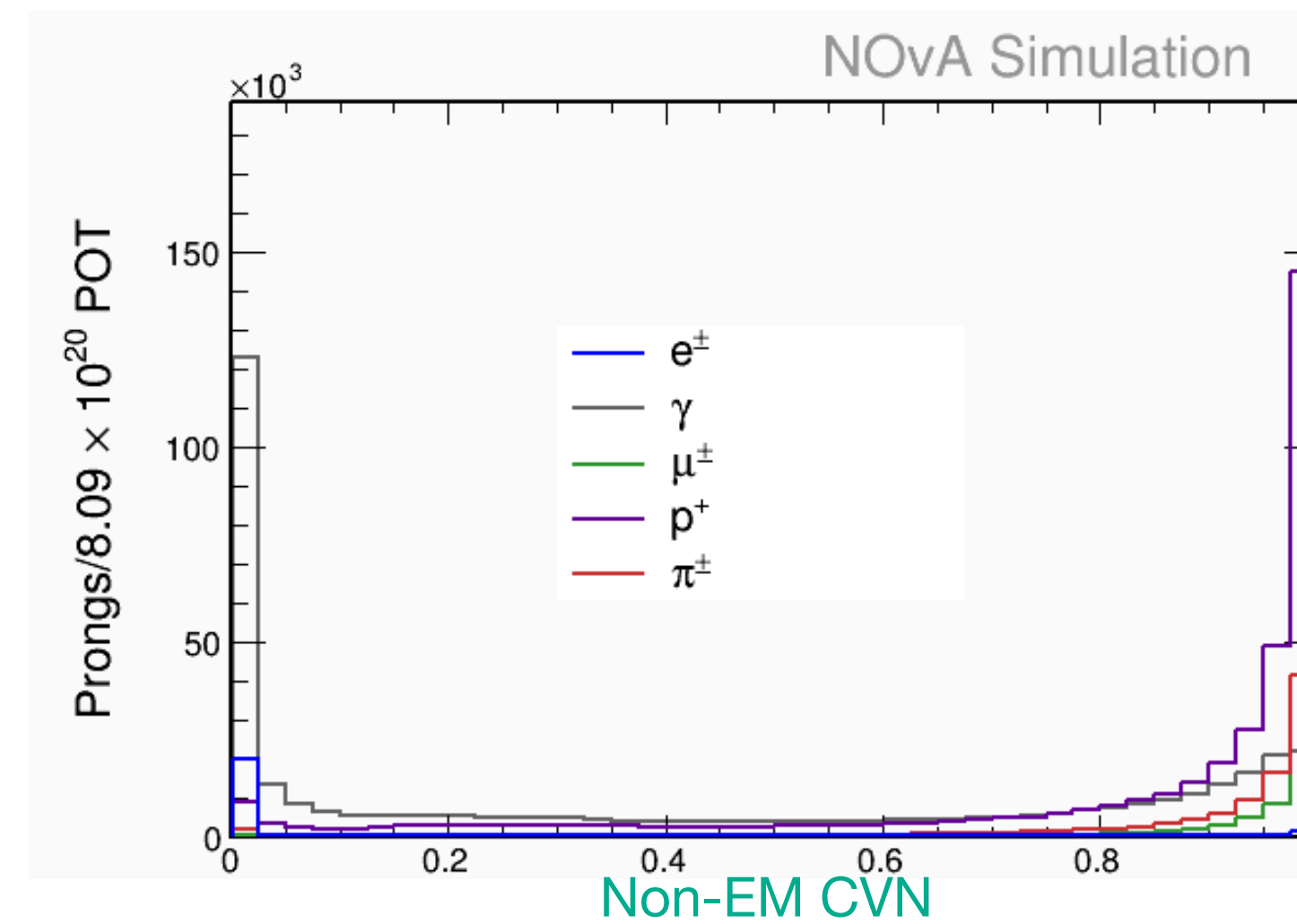
# $\nu_e$ CC inclusive



1% of our event rates, but still around 10k  $\nu_e$  CC events in our selection

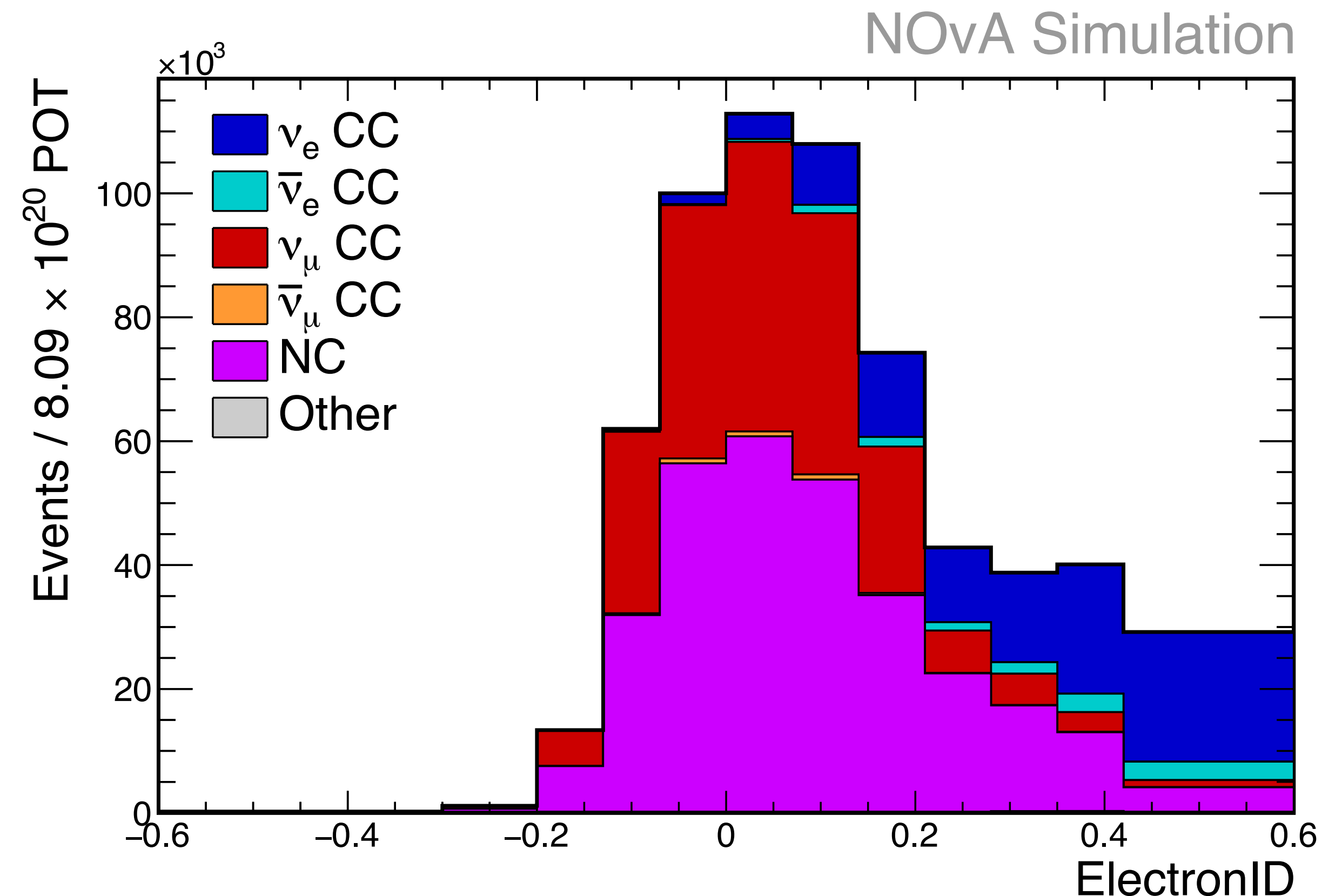
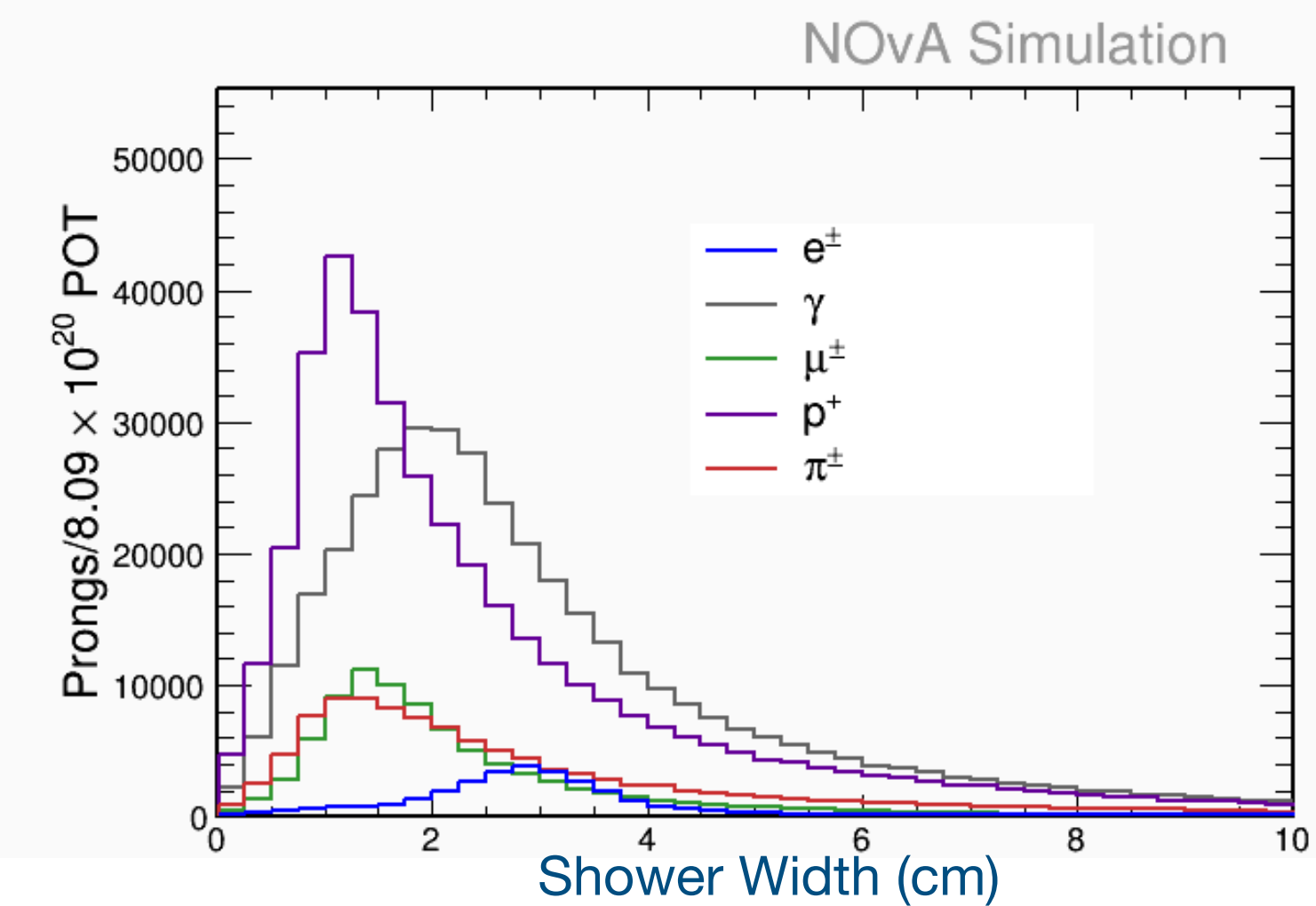
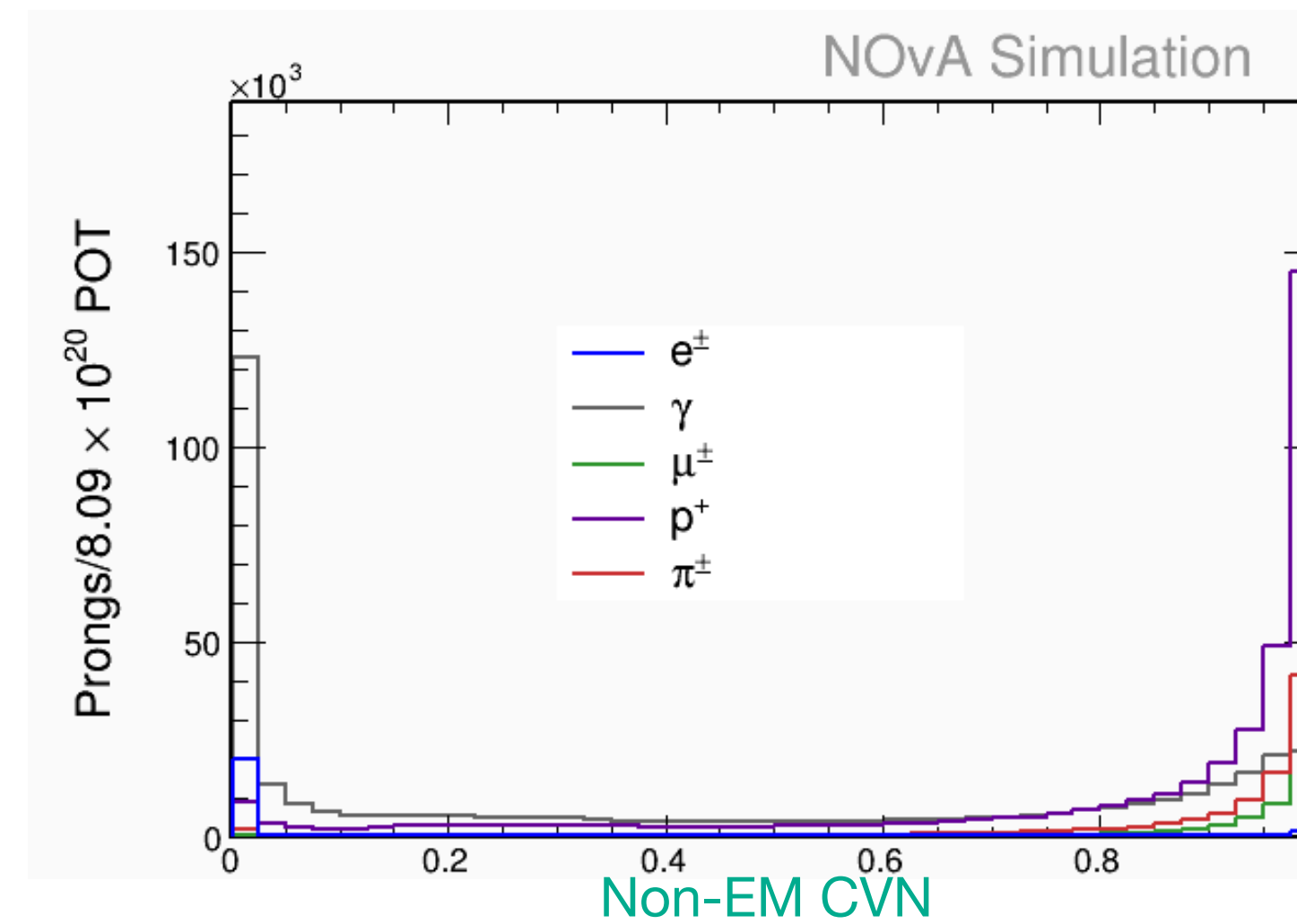
# Analysis strategy

- High efficiency low purity selection and background constrained with template fit on ElectronID
- Boosted Decision tree based on several inputs to distinguish electrons from other particles:
  - Deep convolution network PIDs based on single particle (CVN).
  - Event level information.



# Analysis strategy

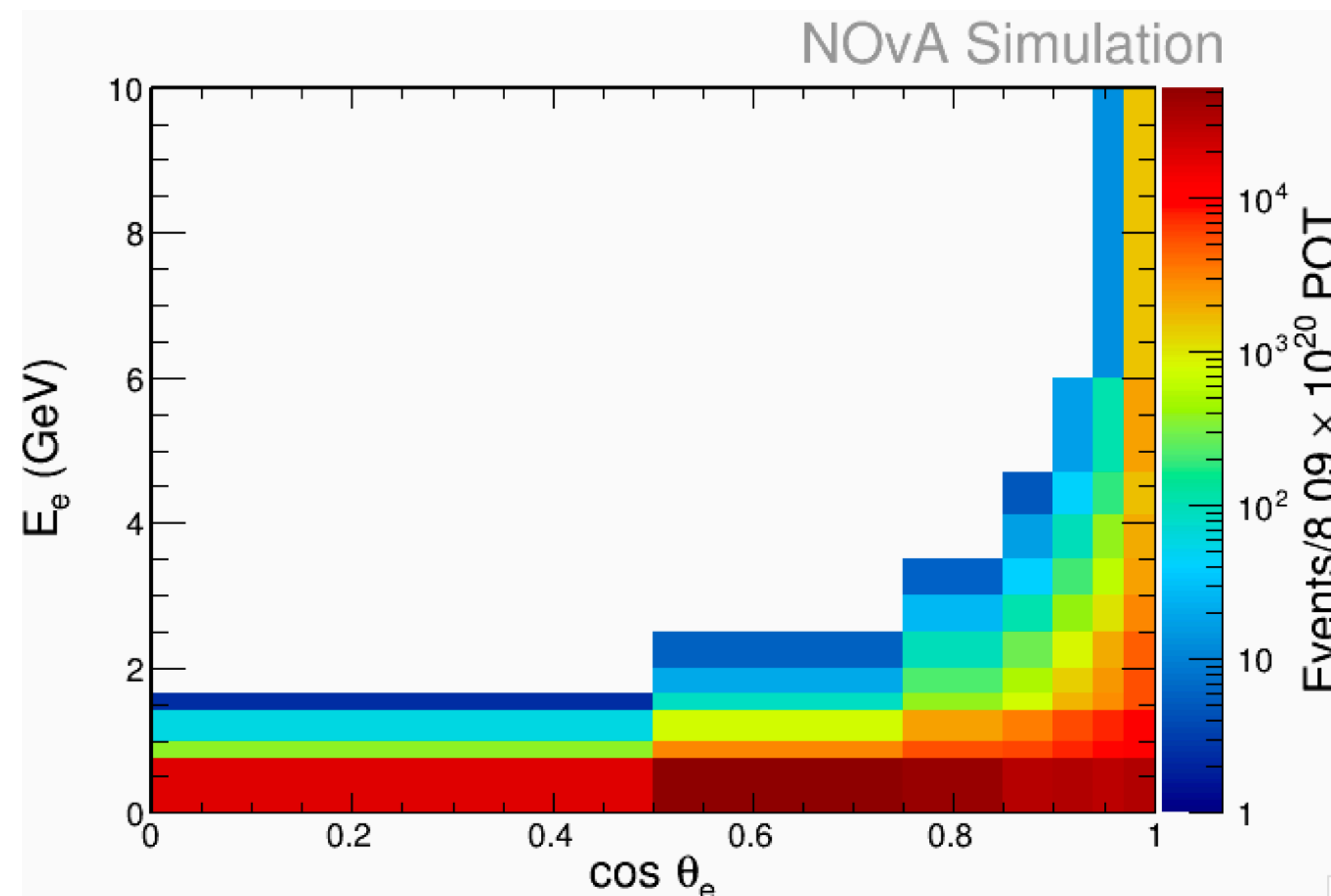
- High efficiency low purity selection and background constrained with template fit on ElectronID
- Boosted Decision tree based on several inputs to distinguish electrons from other particles:
  - Deep convolution network PIDs based on single particle (CVN).
  - Event level information.
- ElectronID not as strongly discriminating as MuonID.



# First $\nu_e$ CC double differential measurement

$$\left( \frac{d^2\sigma}{d\cos\theta_e dE_e} \right)_i = \sum_j \left( \frac{U_{ij}^{-1} (N^{\text{sel}}(\cos\theta_e, E_e)_j - N^{\text{bkg}}(\cos\theta_e, E_e)_j)}{N_t \Phi \epsilon(\cos\theta_e, E_e)_{ik} \Delta\cos\theta_{e_i} \Delta E_{e_i}} \right)$$

- Flux-averaged double differential cross section as a function of the electron kinematics.

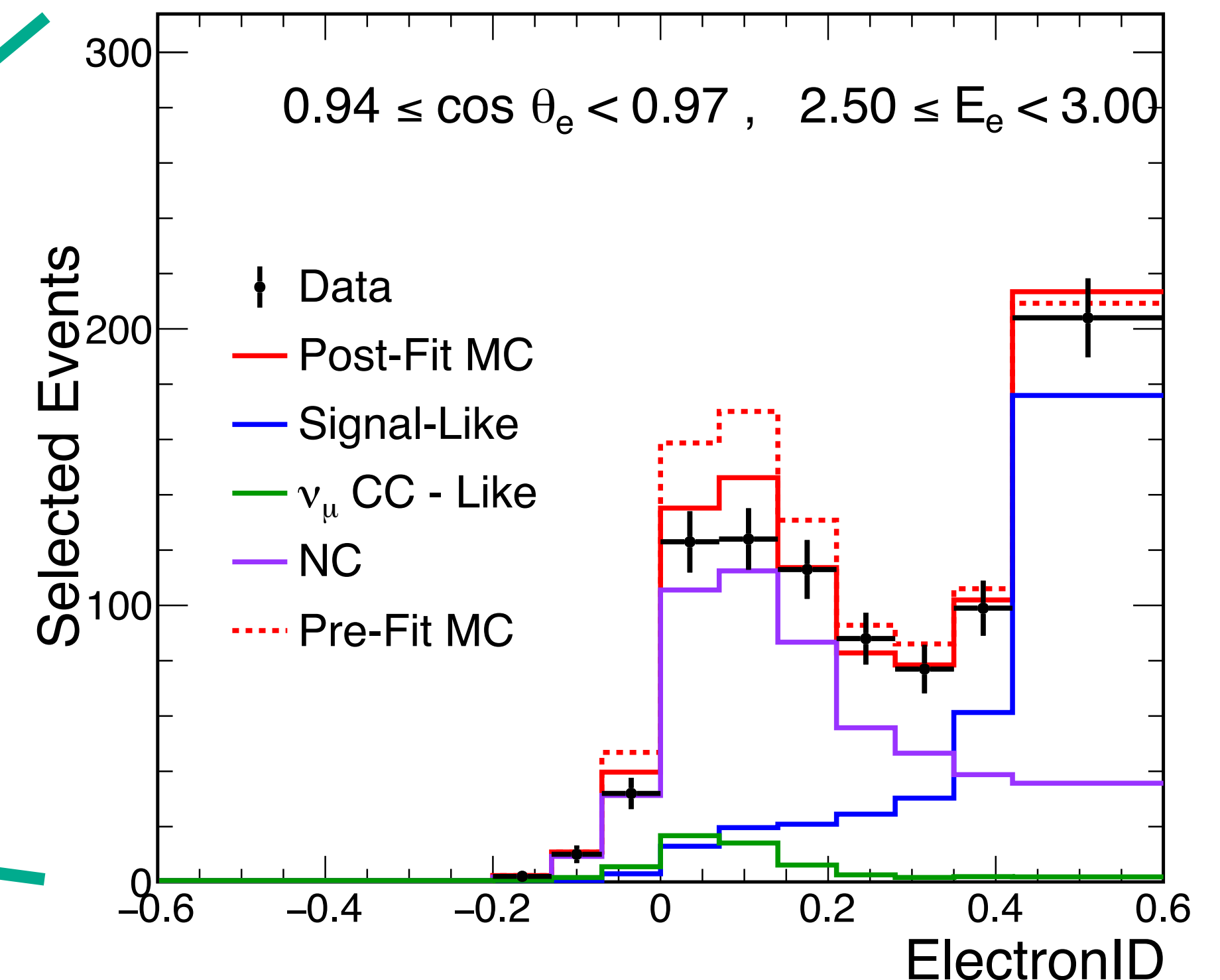
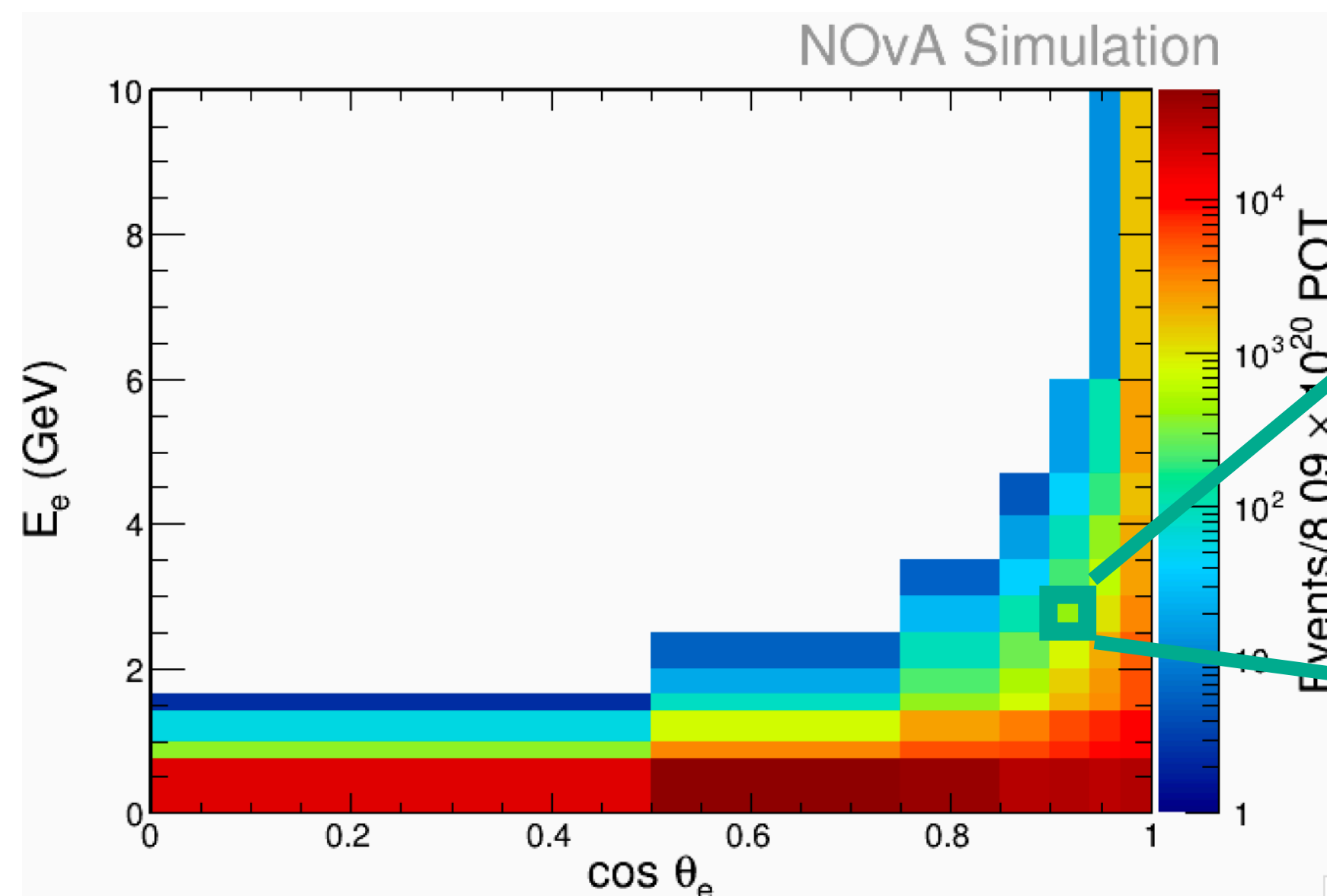


# First $\nu_e$ CC double differential measurement

$$\left( \frac{d^2\sigma}{d \cos \theta_e dE_e} \right)_i = \sum_j \left( \frac{U_{ij}^{-1} (N^{\text{sel}}(\cos \theta_e, E_e)_j - N^{\text{bkg}}(\cos \theta_e, E_e)_j)}{N_t \Phi \epsilon(\cos \theta_e, E_e)_{ik} \Delta \cos \theta_{e_i} \Delta E_{e_i}} \right)$$

- Flux-averaged double differential cross section as a function of the electron kinematics.
- Background estimate in each electron kinematic bin is done via a template fit of the ElectronID distribution.

NOvA Preliminary





# First $\nu_e$ CC double differential measurement

$$\left( \frac{d^2\sigma}{d \cos \theta_e dE_e} \right)_i = \sum_j \left( \frac{U_{ij}^{-1} (N^{\text{sel}}(\cos \theta_e, E_e)_j - N^{\text{bkg}}(\cos \theta_e, E_e)_j)}{N_t \Phi \epsilon(\cos \theta_e, E_e)_{ik} \Delta \cos \theta_{e_i} \Delta E_{e_i}} \right)$$

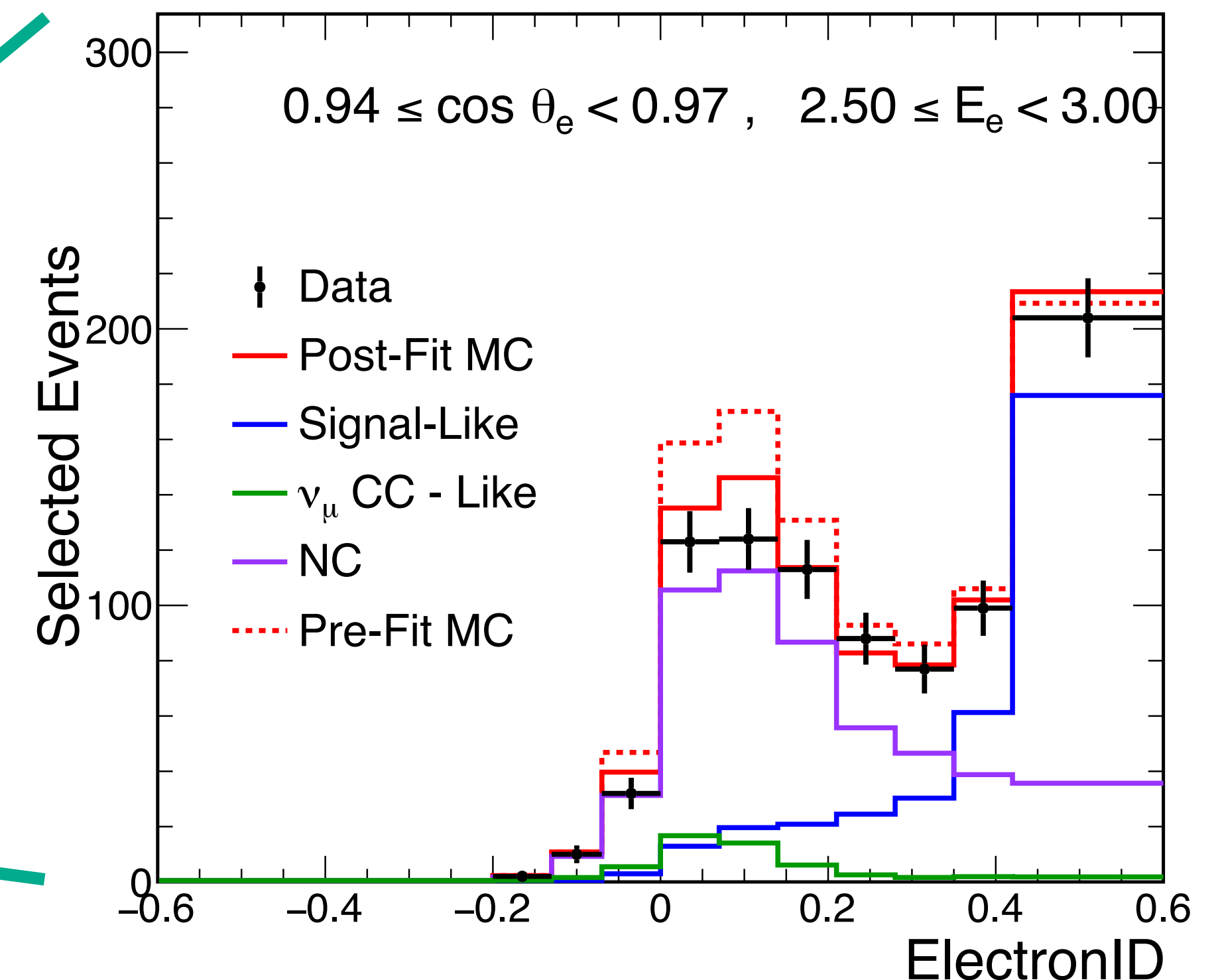
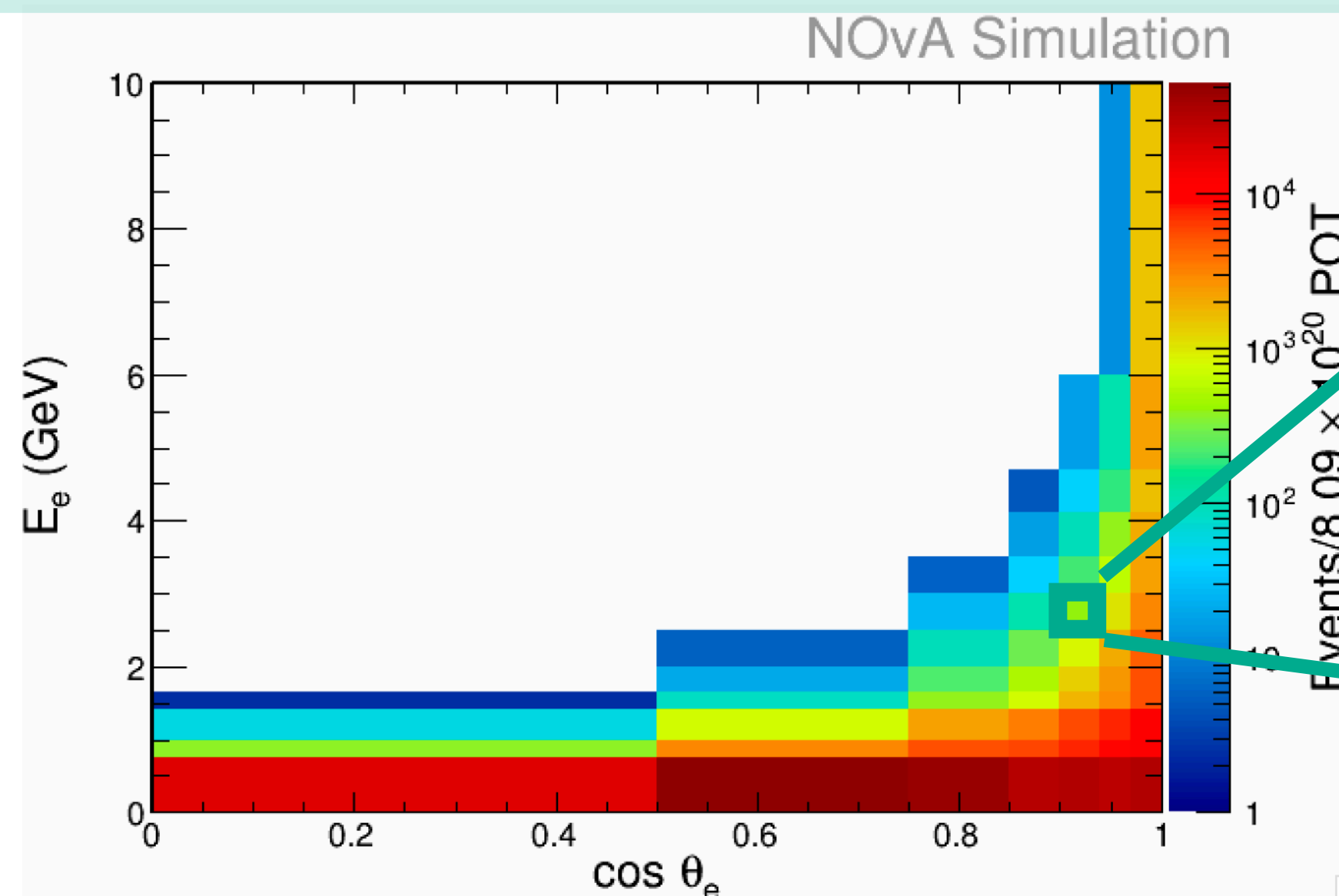
- Flux-averaged double differential cross section as a function of the electron kinematics.
- Background estimate in each electron kinematic bin is done via a template fit of the ElectronID distribution.

$$\chi^2 = (x_i - \mu_i)^T V_{ij}^{-1} (x_j - \mu_j)$$

$i = (E_e, \cos \theta_e, \text{ElectronID})$

- Uncertainties in templates shape are accounted for using a covariance matrix.

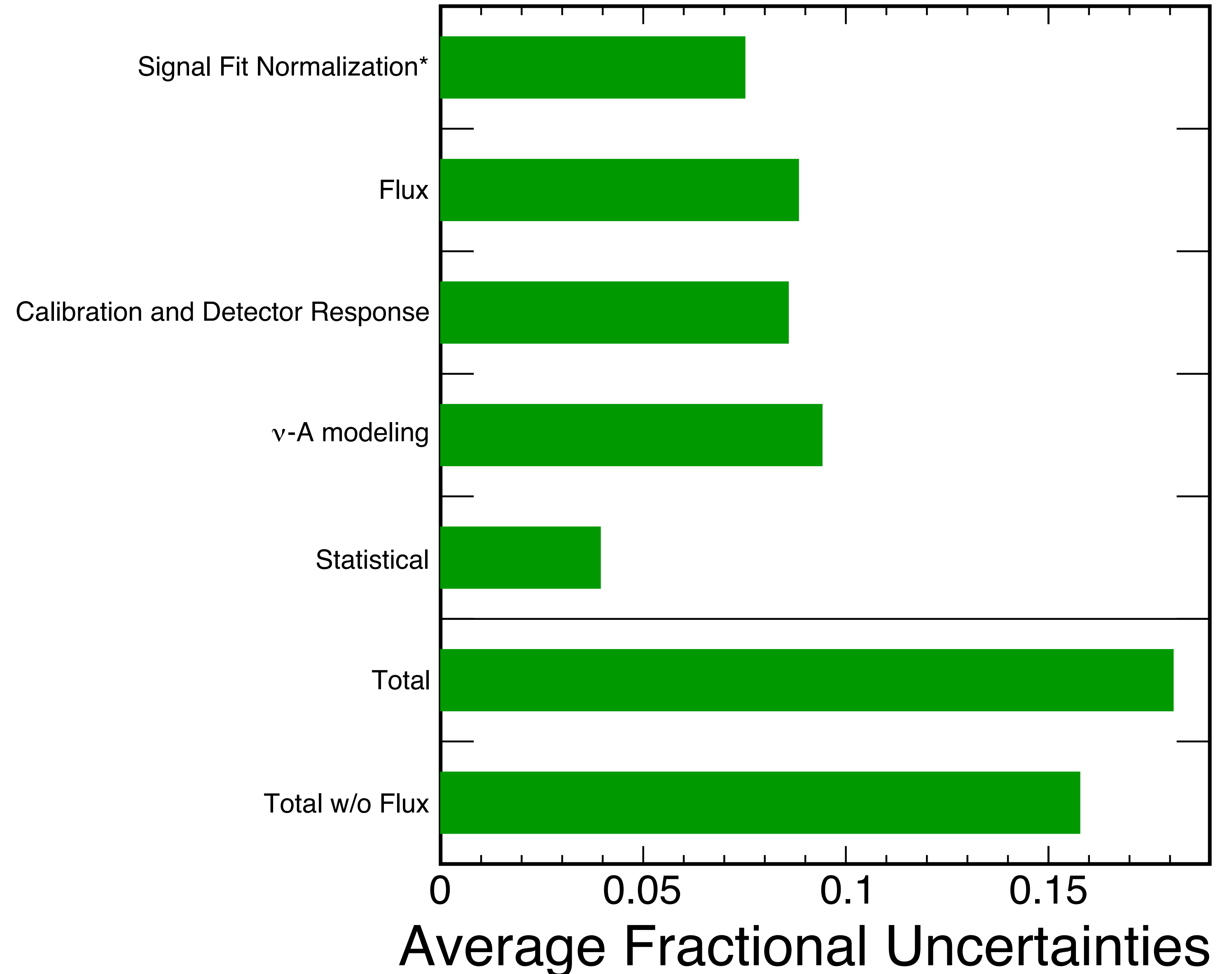
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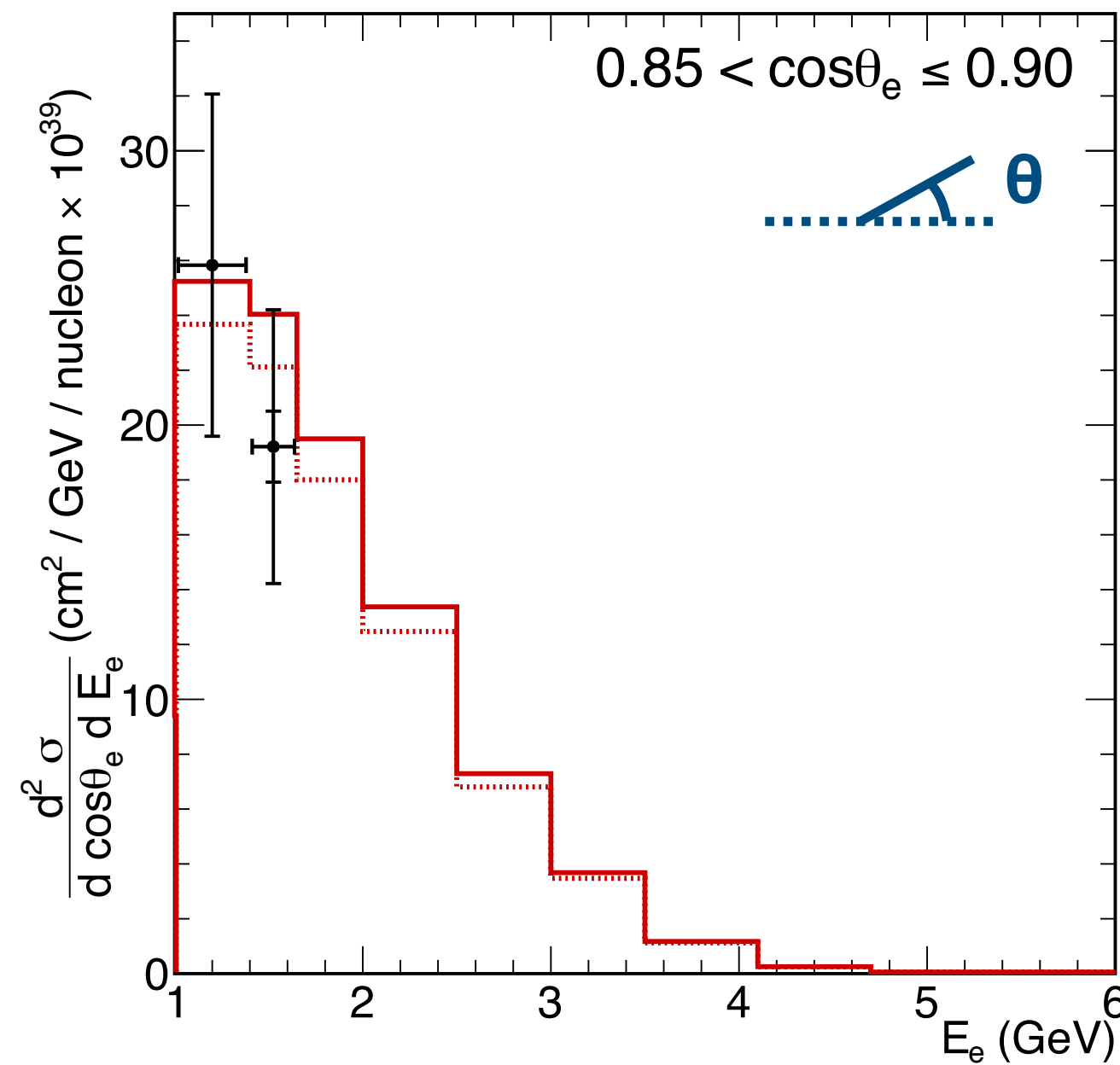
# Fractional Uncertainties

NOvA Preliminary

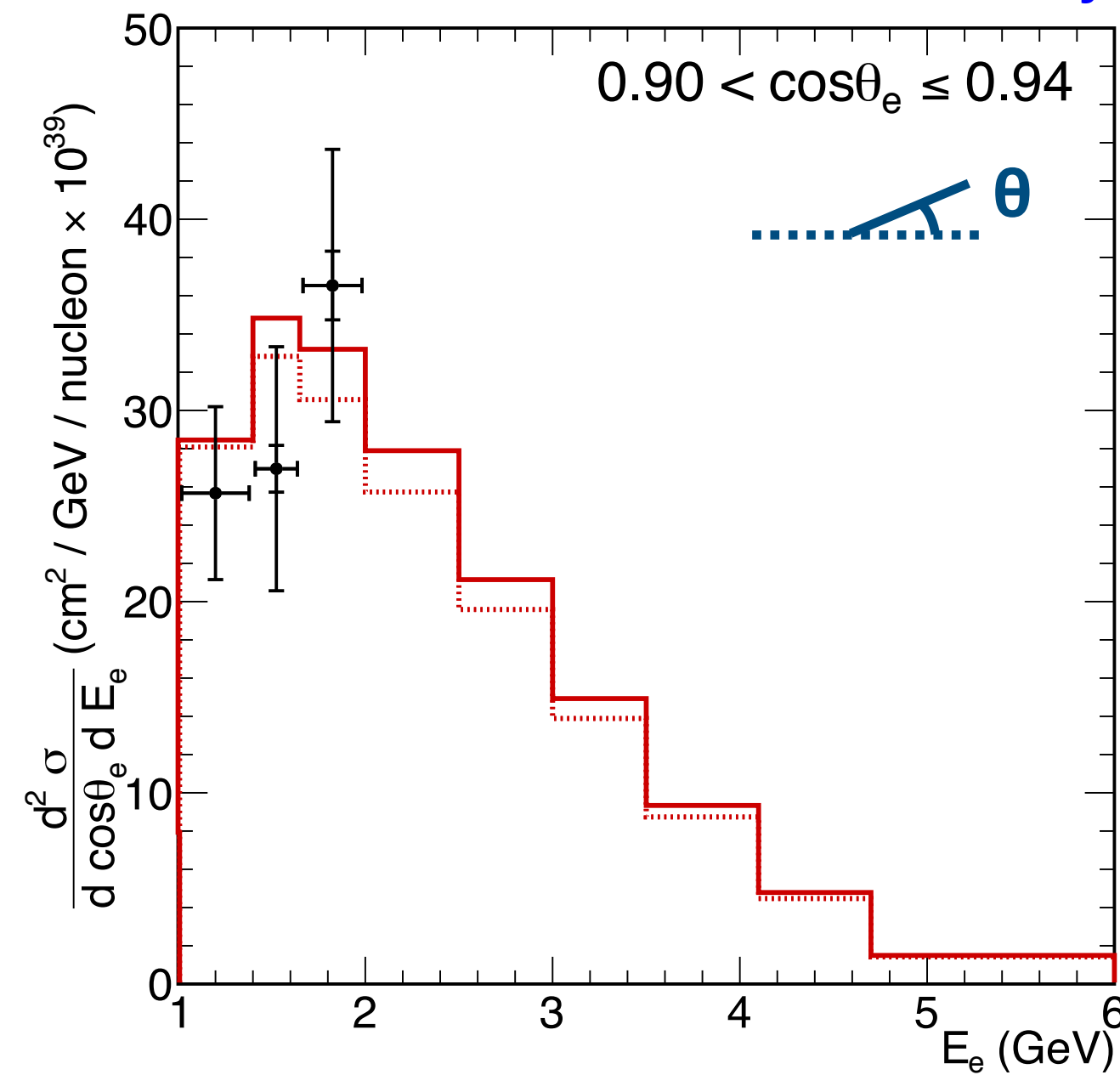
- Average uncertainty is a weighted average to extracted cross section value.
- \*Uncertainty output of the template fit.
- Main uncertainties are related to calibration and detector response as Electron energy is calculated from calorimetry.
- Interaction modeling uncertainties play a substantial role as analysis has a large fraction of background.
- Measurements have typical total uncertainties between 15% and 20% in each bin.



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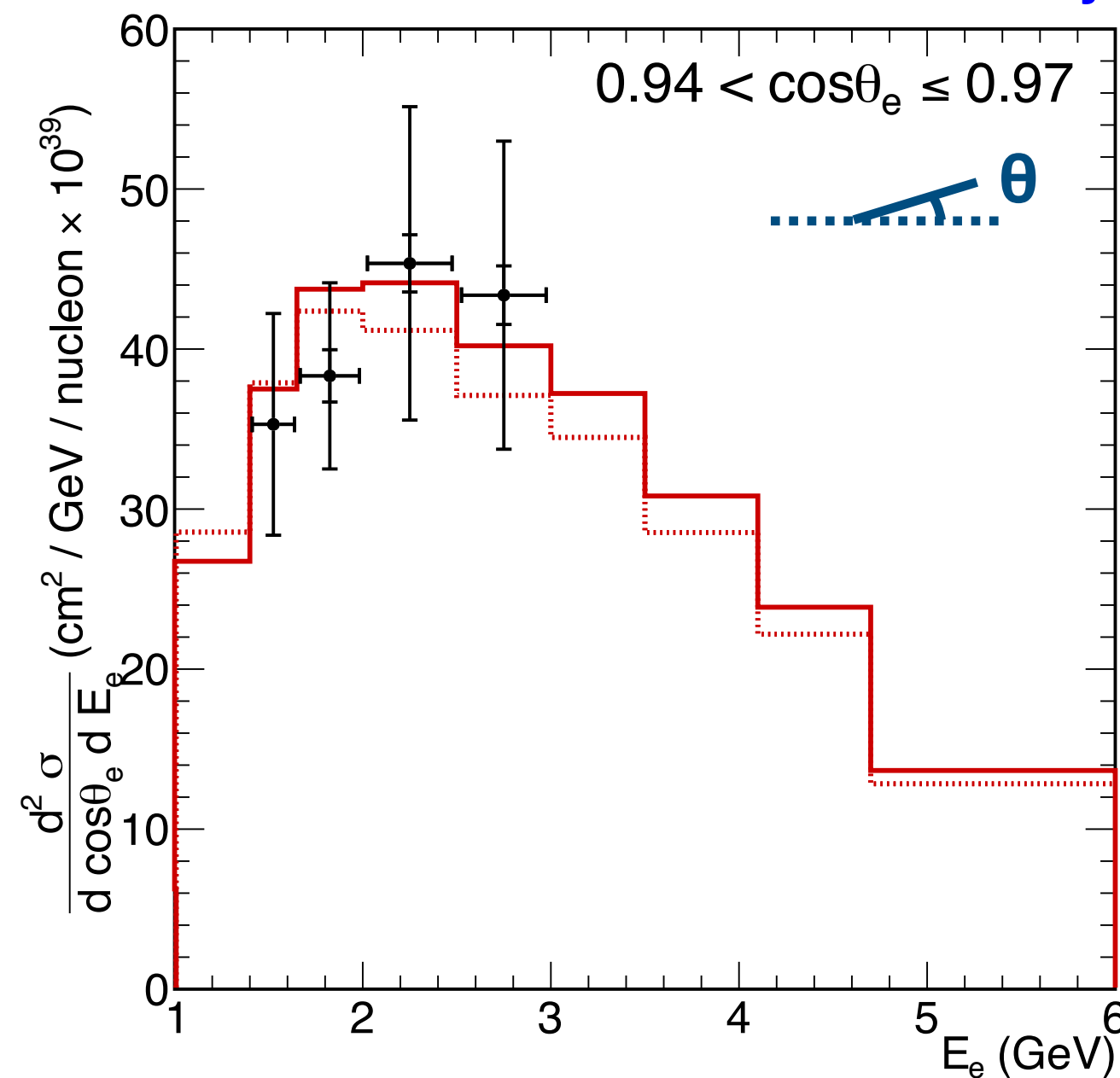
NOvA Preliminary



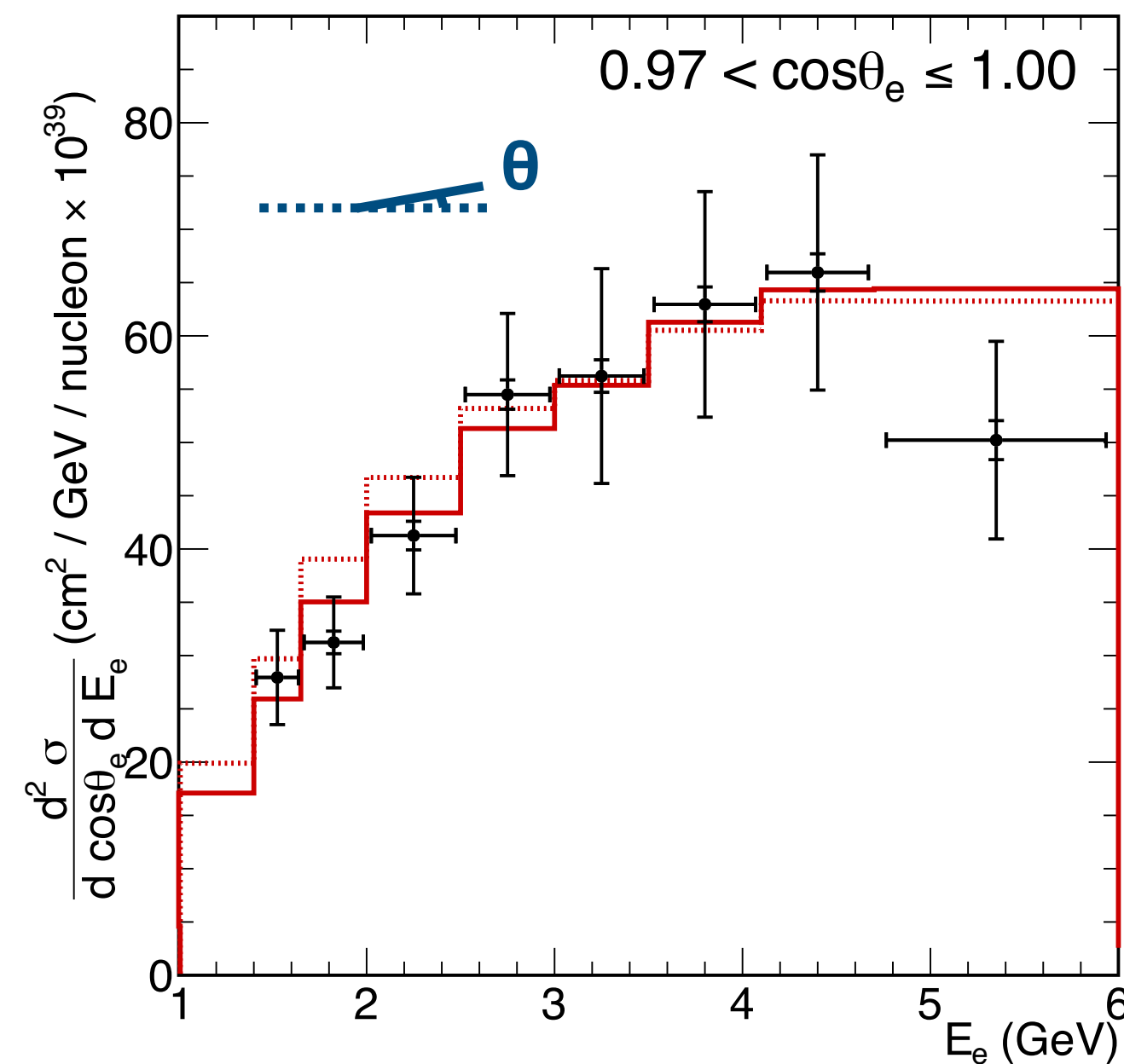
## $\nu_e$ CC inclusive

- Data (Stat. + Syst.)
- GENIE 2.12.2 - NOvA Tune
- ⋯ GENIE 2.12.2 - Untuned

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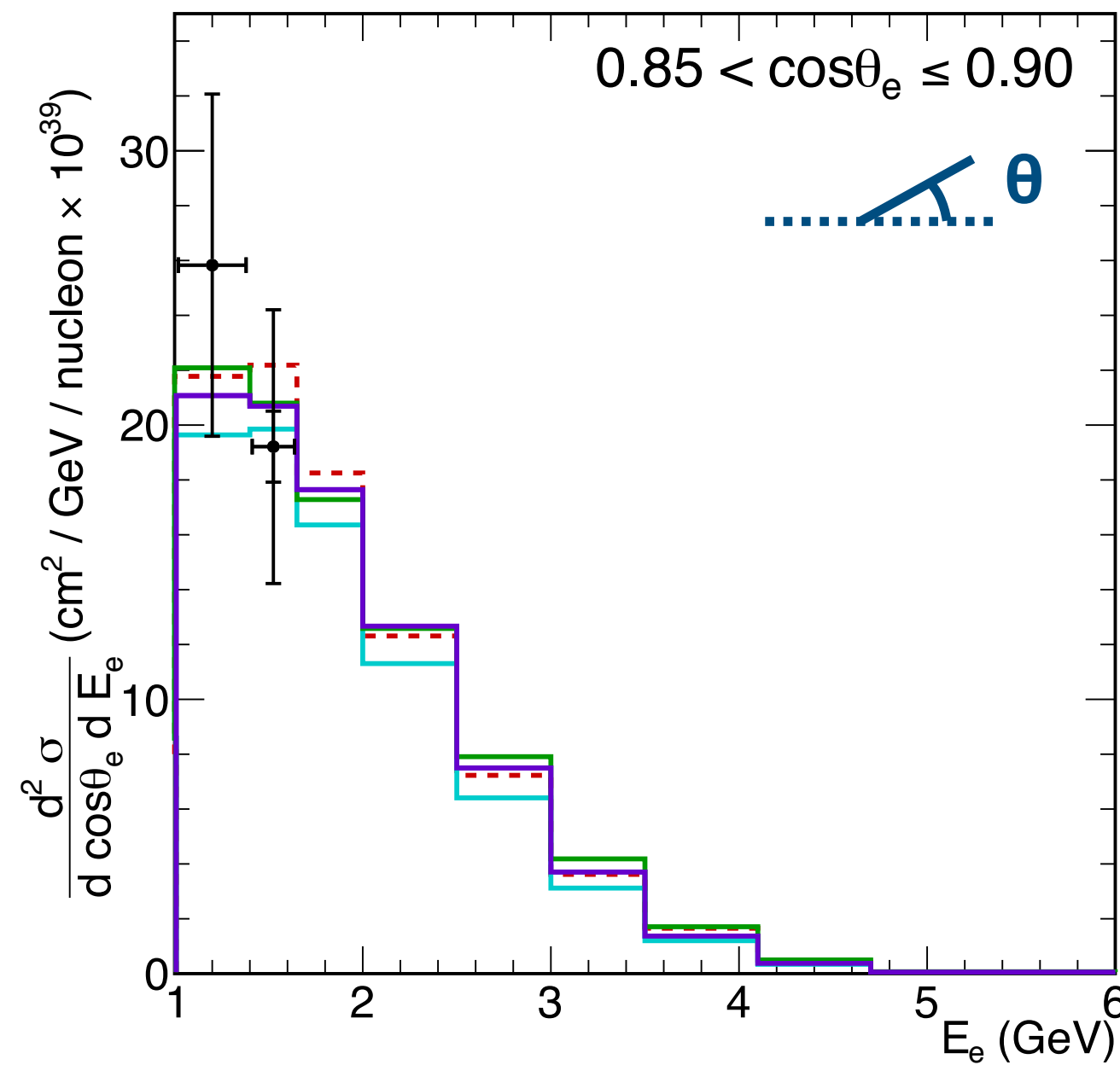


NOvA Preliminary

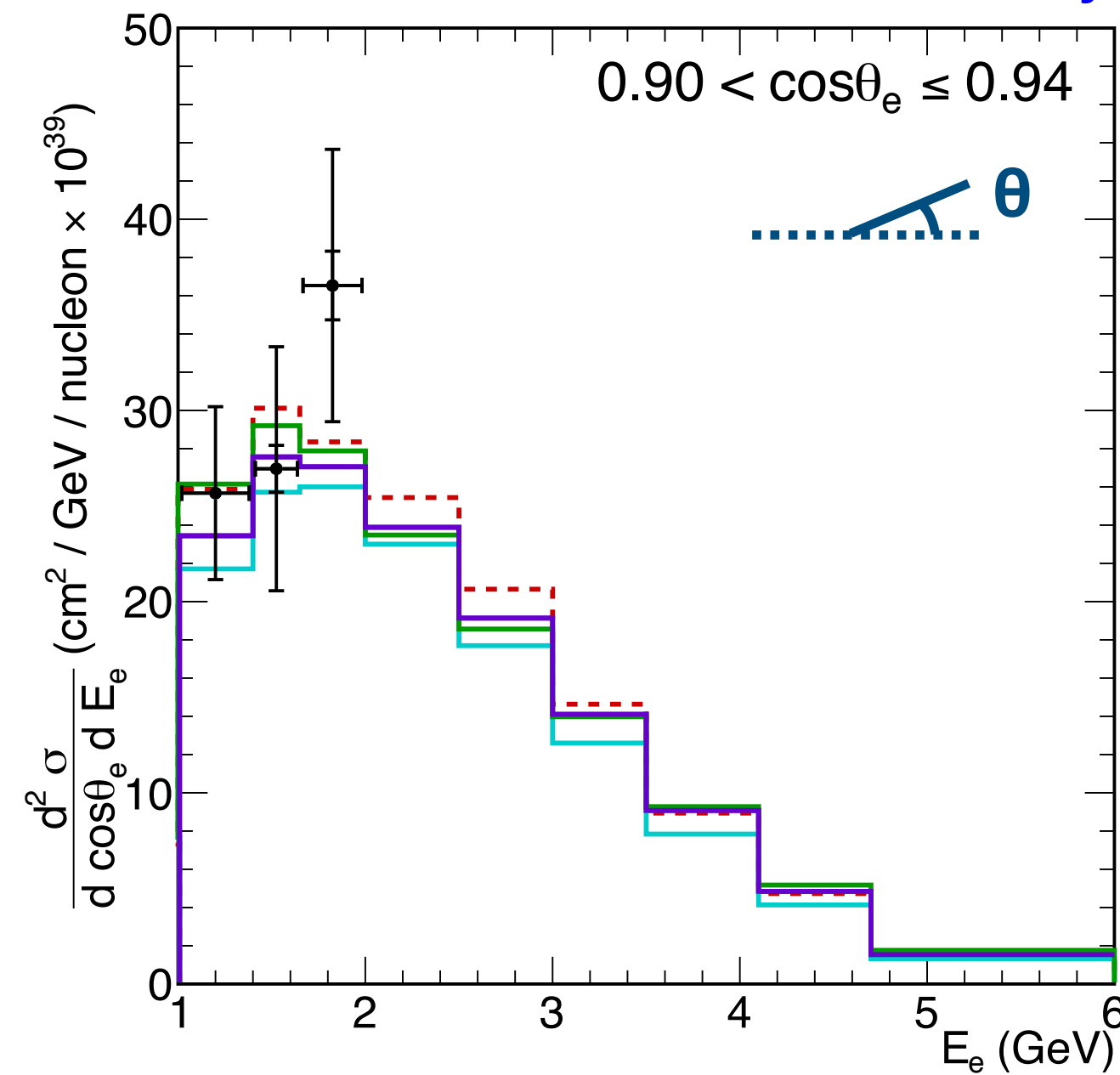


- Good agreement between tuned/untuned GENIE versions in all angle slices.

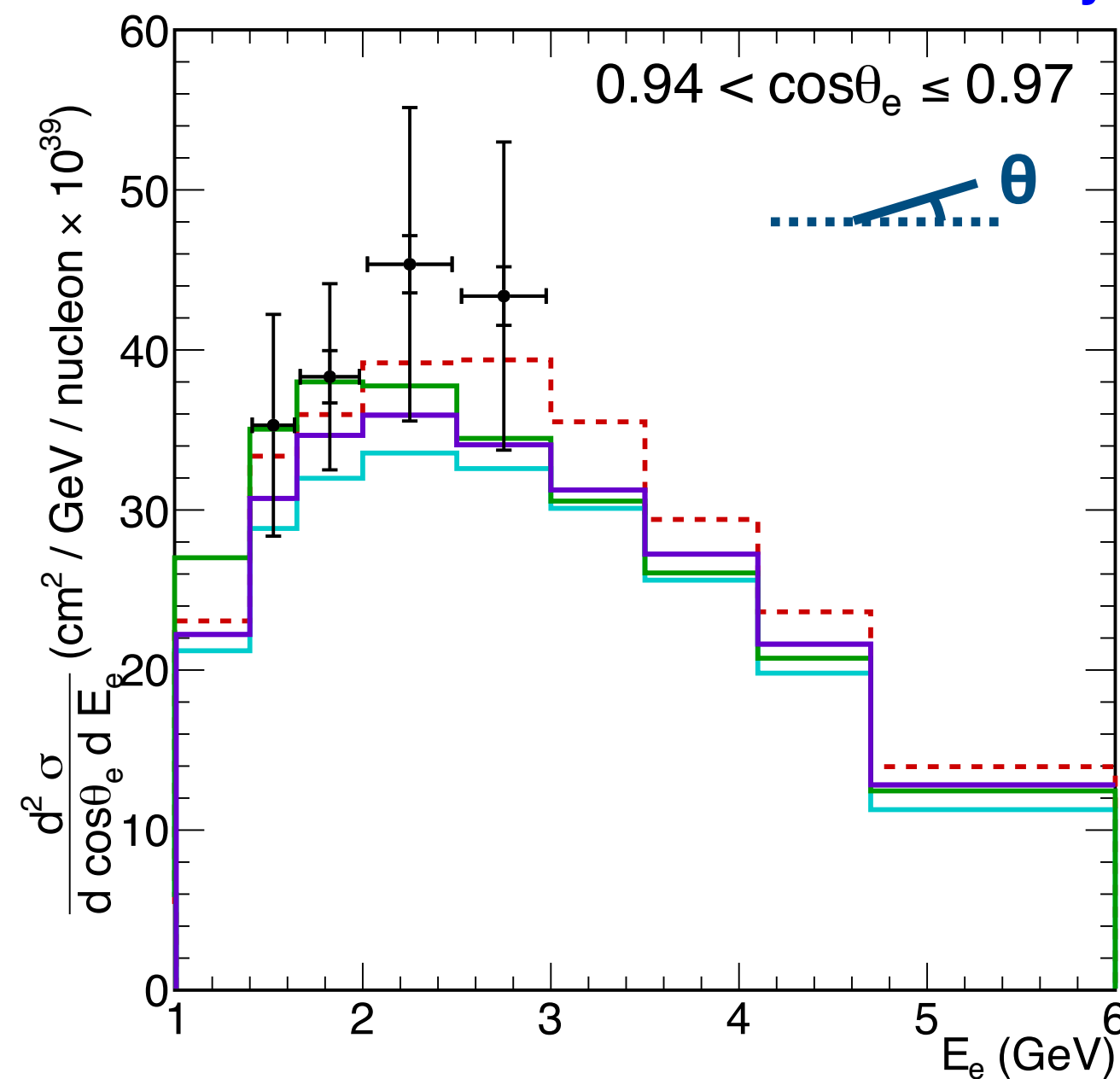
NOvA Preliminary



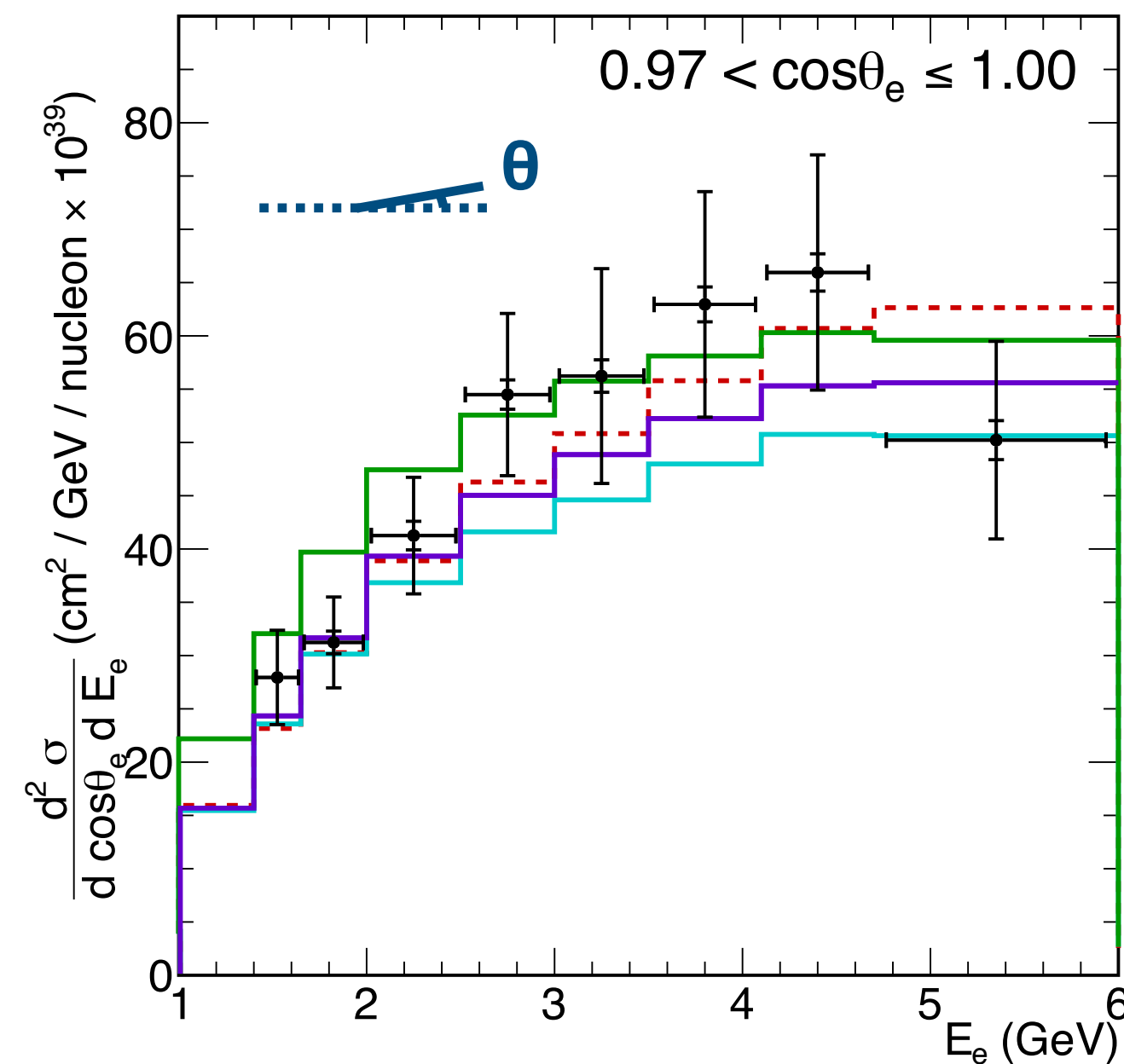
NOvA Preliminary



NOvA Preliminary



NOvA Preliminary



## $\nu_e$ CC inclusive

- Data (Stat. + Syst.)
- - - GENIE 3.00.06\*
- GiBUU 2019
- NEUT 5.4.0
- NuWro 2019

- Out of the box generator comparison.
- Measurement in good agreement with generator predictions.
- p-values ranging from 0.3 to 0.99.

\*N18\_10j\_02\_11a: combination of G18\_10j\_00\_000 and G18\_10b\_02\_11a

# Summary

## $\nu_\mu$ CC inclusive

- More than 1M events.
- 172 bins in muon kinematics.
- Uncertainties  $\sim 12\%$  in each bin.

## $\nu_e$ CC inclusive

- **First double differential measurement.**
- Around 10k events.
- Uncertainties  $\sim 15-20\%$  in each bin.

# Summary

## $\nu_\mu$ CC inclusive

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## $\nu_e$ CC inclusive

- **First double differential measurement.**
- Around 10k events.
- Uncertainties  $\sim 15-20\%$  in each bin.

- Total covariance matrices and p-value calculations will be made available to the community.
- Active programme includes:
  - Ratio of  $\nu_e$  to  $\nu_\mu$  cross sections.
  - Antineutrino version of these analyses and neutrino version of exclusive channels.
  - Data-driven techniques to reduce uncertainties.



NOVA

Thank you!



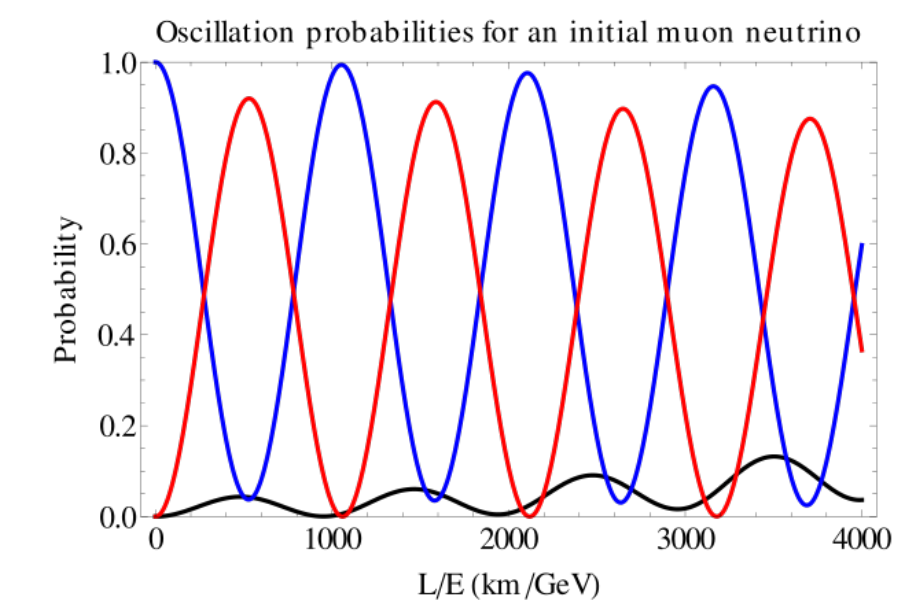
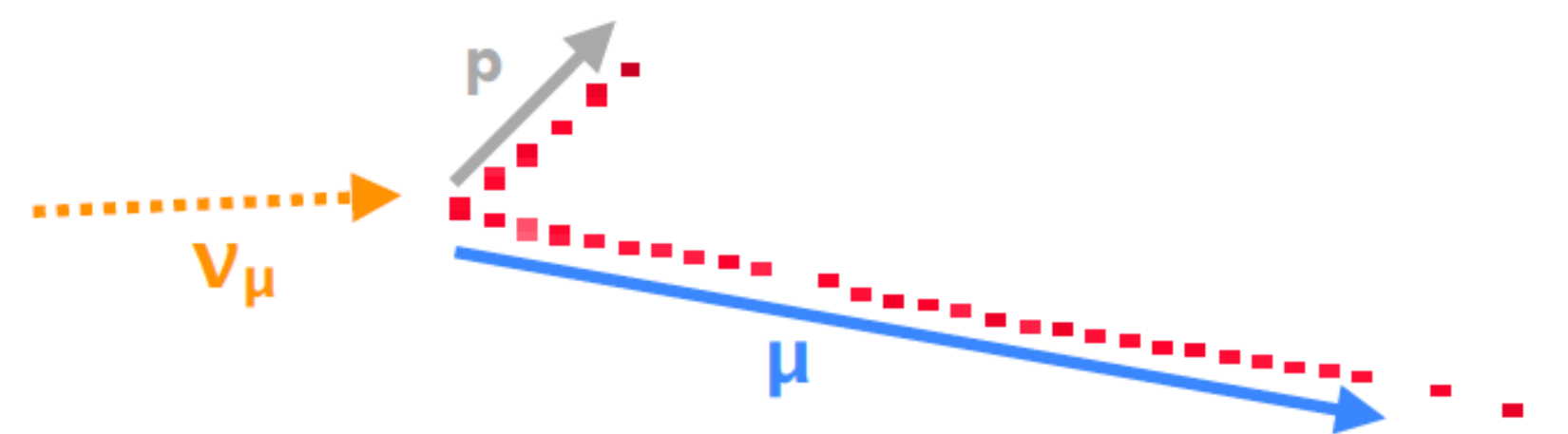
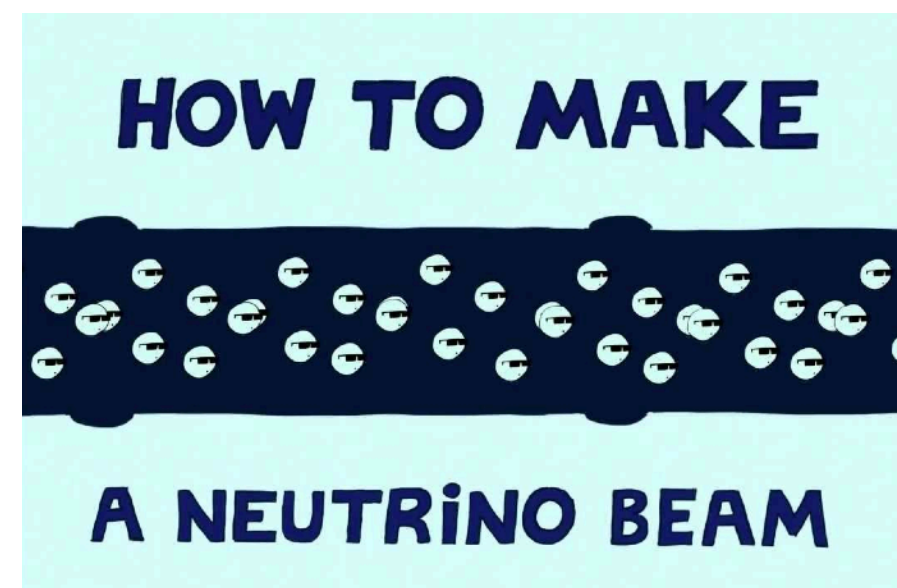
MAY 2020

# BACK UP

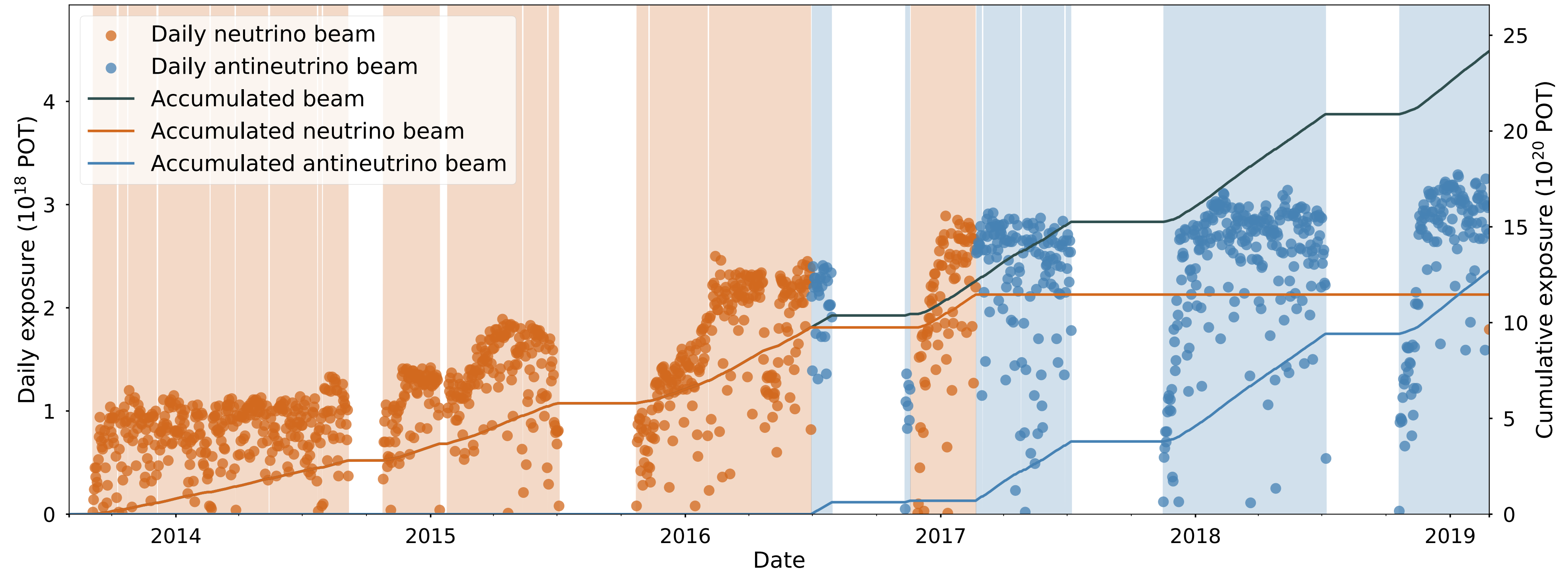


# Measuring neutrino oscillations

$$N \approx \Phi(E_\nu) \otimes \sigma(k, k') \otimes \epsilon \otimes P(\nu_\alpha \rightarrow \nu_\beta)$$



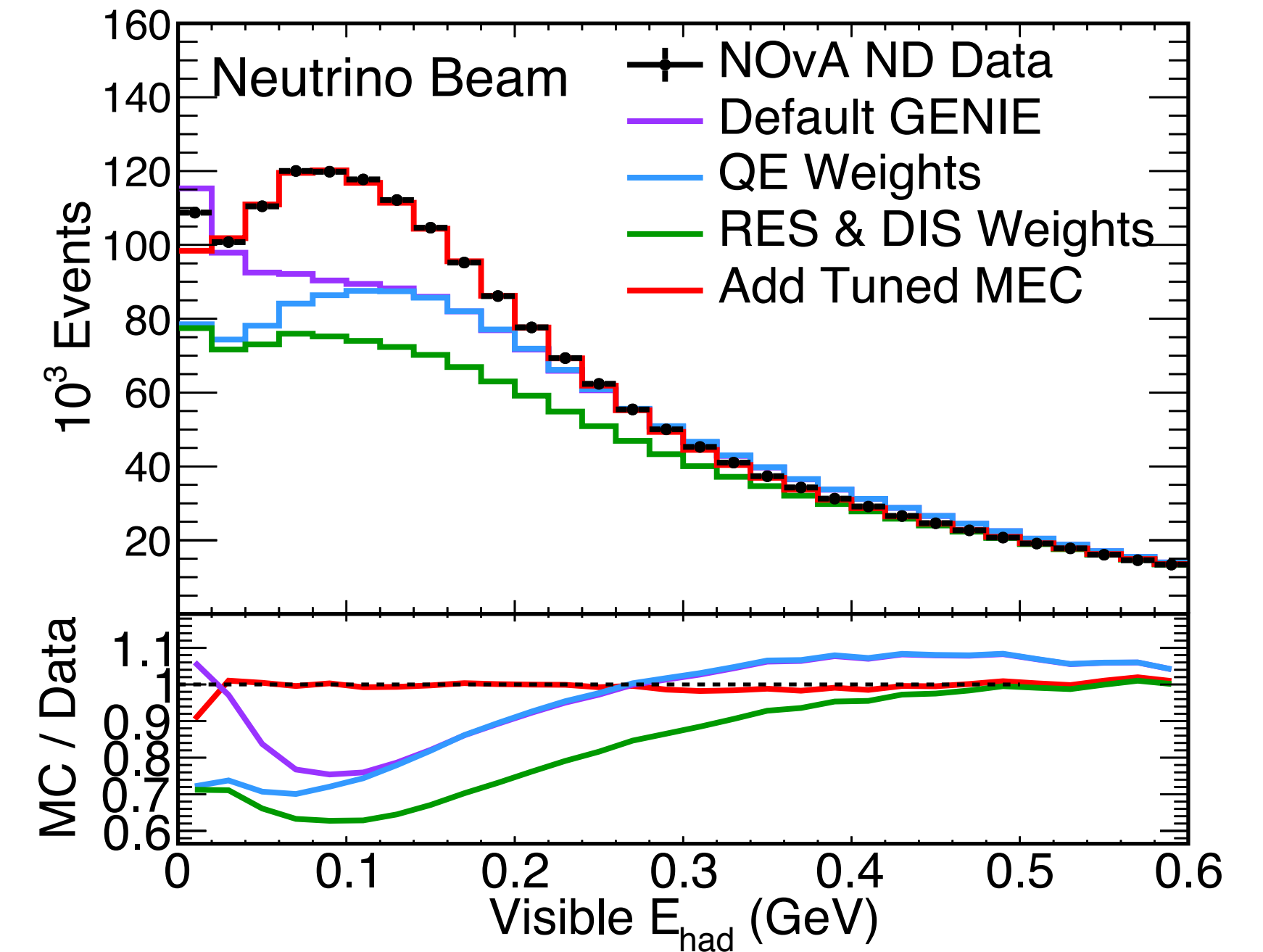
# NuMI Flux



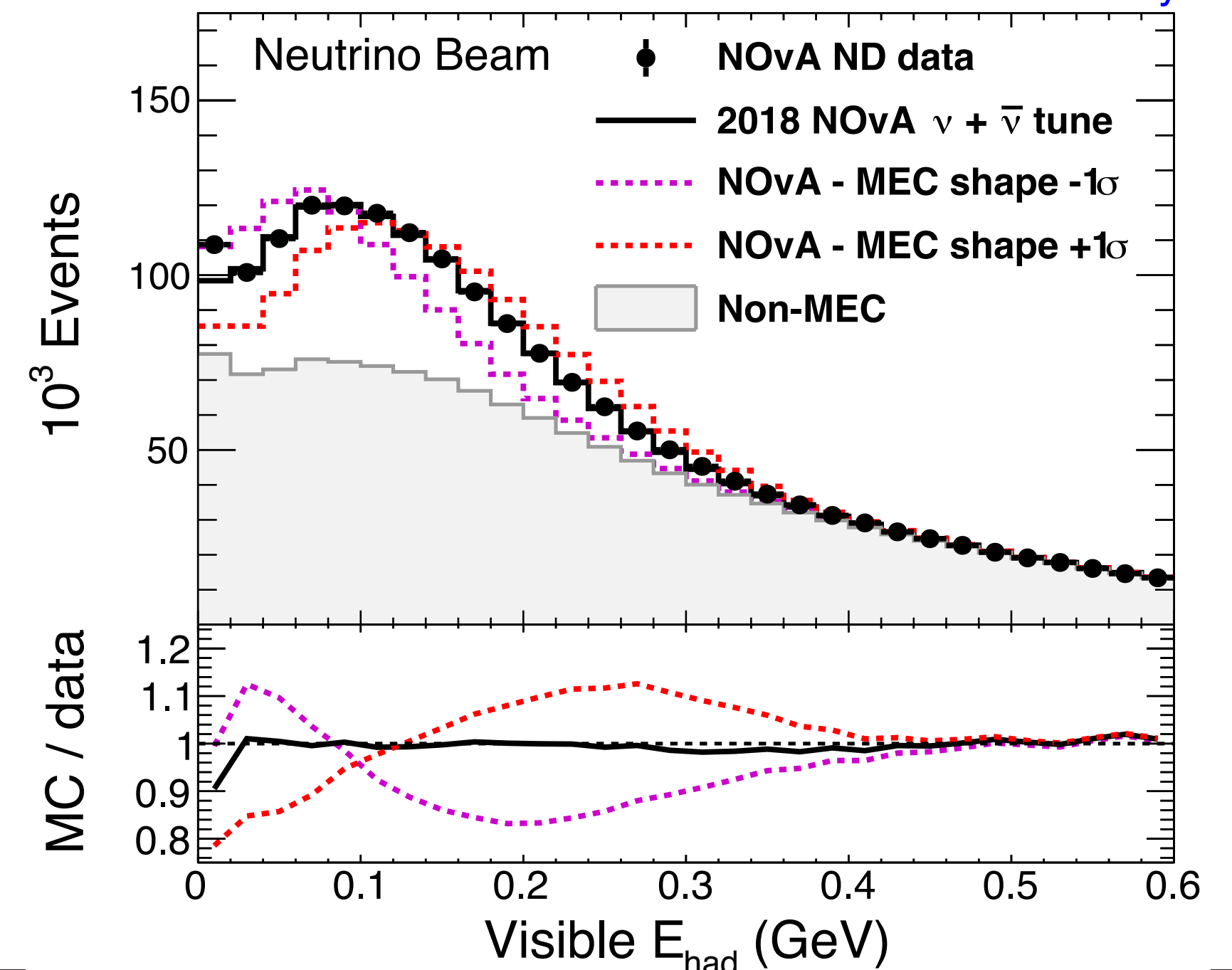
- NuMI beam running at 700 kW design power since January 2017 ( $> 18 \times 10^{18}$  protons per week).  
**Highest power beam in the World!**
- Recorded neutrino-mode running  $8.09 \times 10^{20}$  protons on target (POT) taken from February 2014 to February 2017.
- Antineutrino-mode running recorded from February 2017

# 2018 NOvA tune

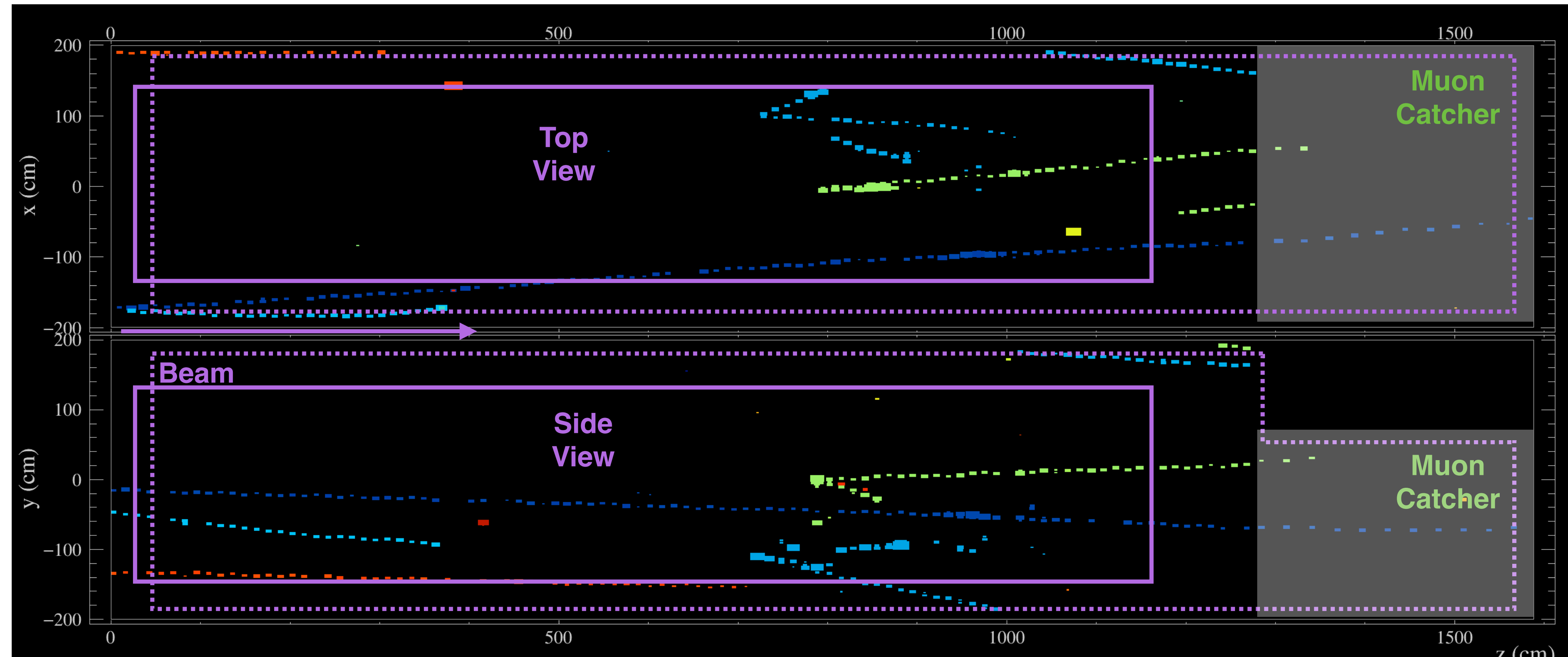
- We use NOvA and external data to tune interaction model
- Correct quasielastic (QE) component to account for low  $Q^2$  suppression using model of Valencia group via work of R. Gran (MINERvA) [<https://arxiv.org/abs/1705.02932>]
- Apply low  $Q^2$  suppression to resonant (RES) baryon production.
- Nonresonant inelastic scattering (DIS) at high invariant mass ( $W > 1.7 \text{ GeV}/c^2$ ) weighted up 10% based on NOvA data.
- "Empirical MEC" based on NOvA ND data to account for multinucleon knockout (2p2h). Tuning is done in bins of momentum transfer using the visible hadronic energy distribution.



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# Event selection (I)



- Solid box is Fiducial Volume
- Containment uses nearest projected distance to an edge (dashed box is rough approximation).
- Events with hadronic activity in or near the muon catcher are excluded

# $\nu_\mu$ CC Inclusive analysis

## 3D selection purity and efficiency corrections

$$\left( \frac{d^2\sigma}{d\cos\theta_\mu dT_\mu} \right)_i = \sum_k \left( \frac{\sum_j U_{ijk}^{-1} (N^{\text{sel}}(\cos\theta_\mu, T_\mu, E_{\text{avail}})_j P(\cos\theta_\mu, T_\mu, E_{\text{avail}})_j)}{N_t \Phi \epsilon(\cos\theta_\mu, T_\mu, E_{\text{avail}})_{ik} \Delta\cos\theta_{\mu i} \Delta T_{\mu i}} \right)$$

Unfolding  
Matrix

Selected  
events

Purity

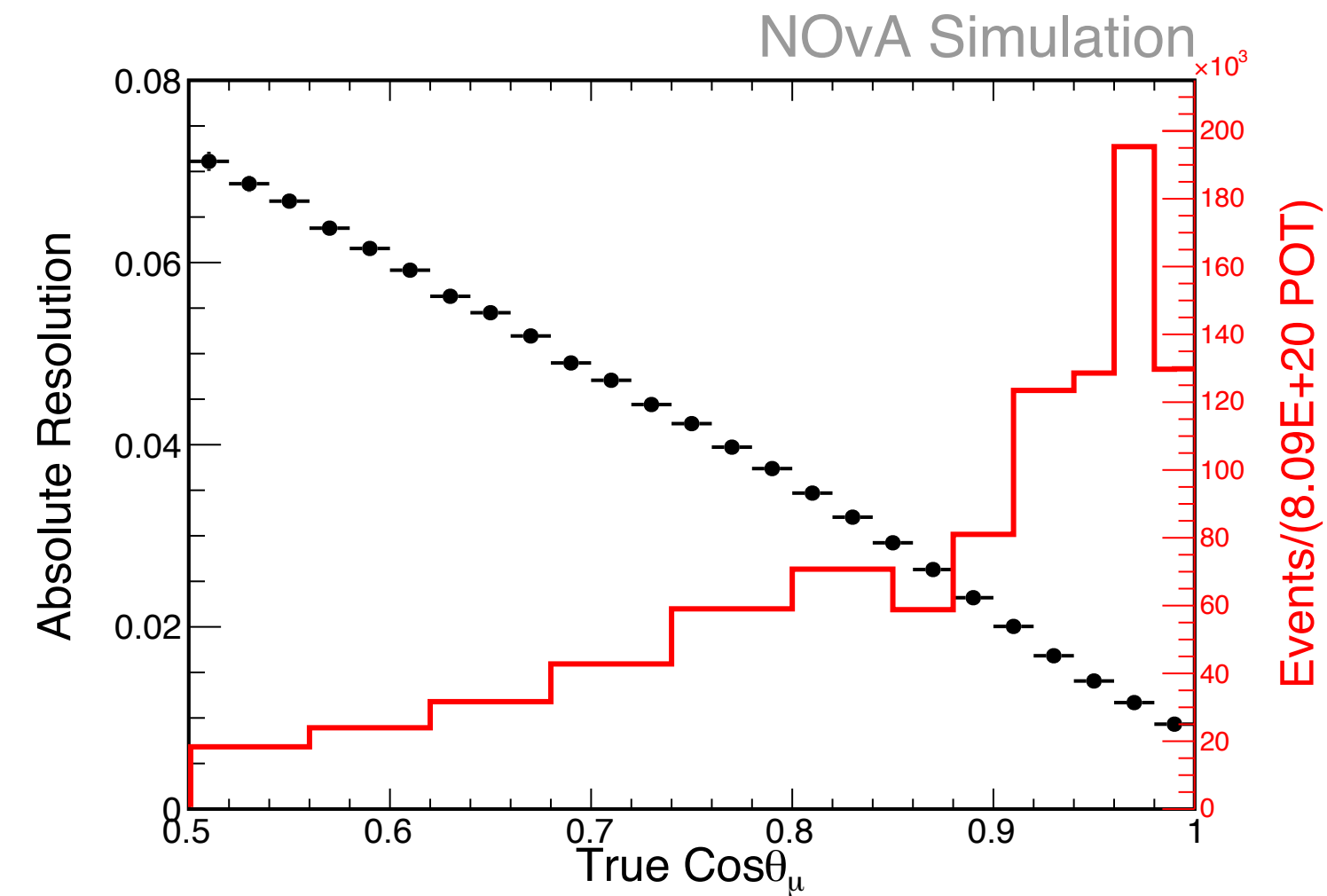
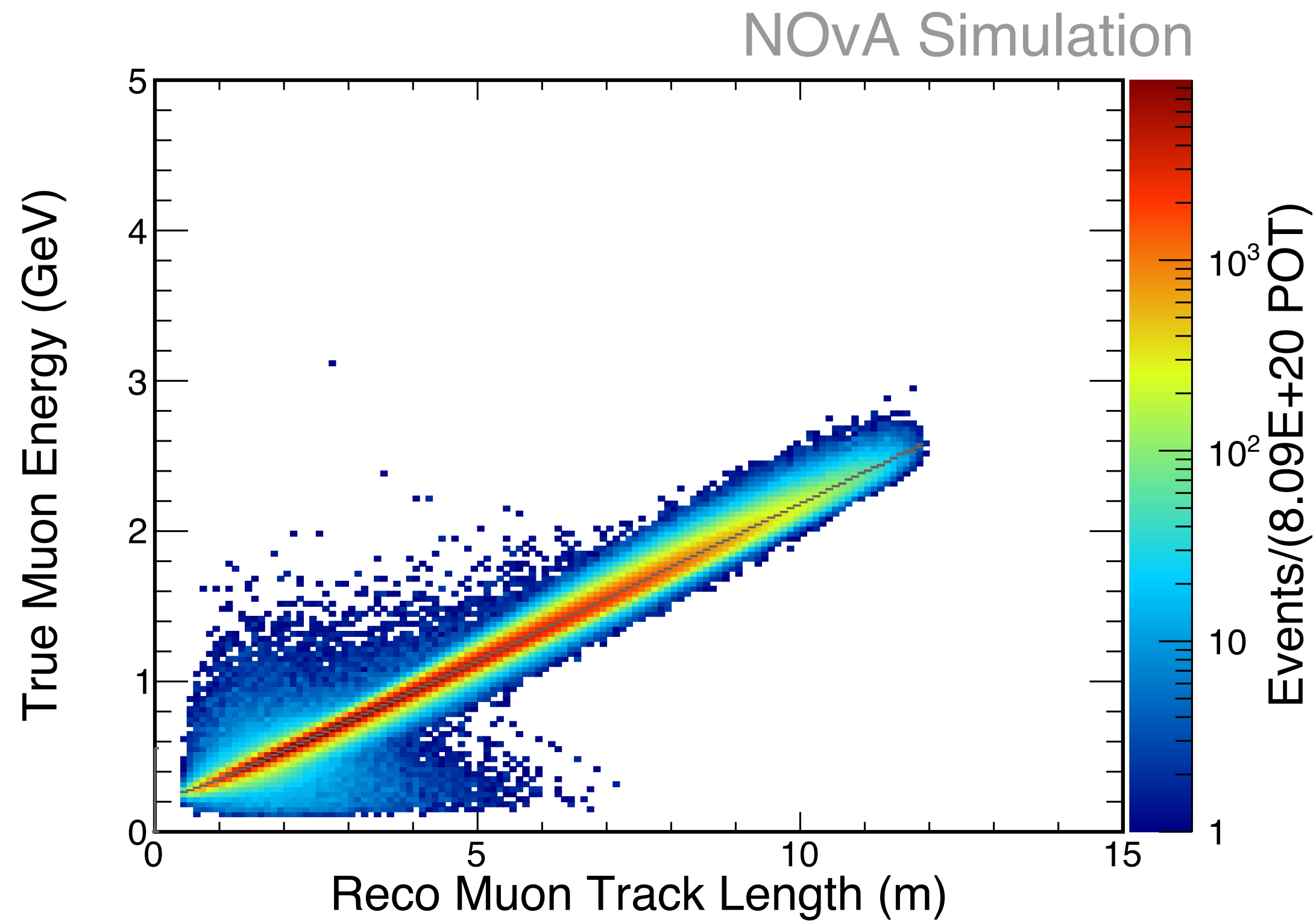
Number of  
Targets

Flux

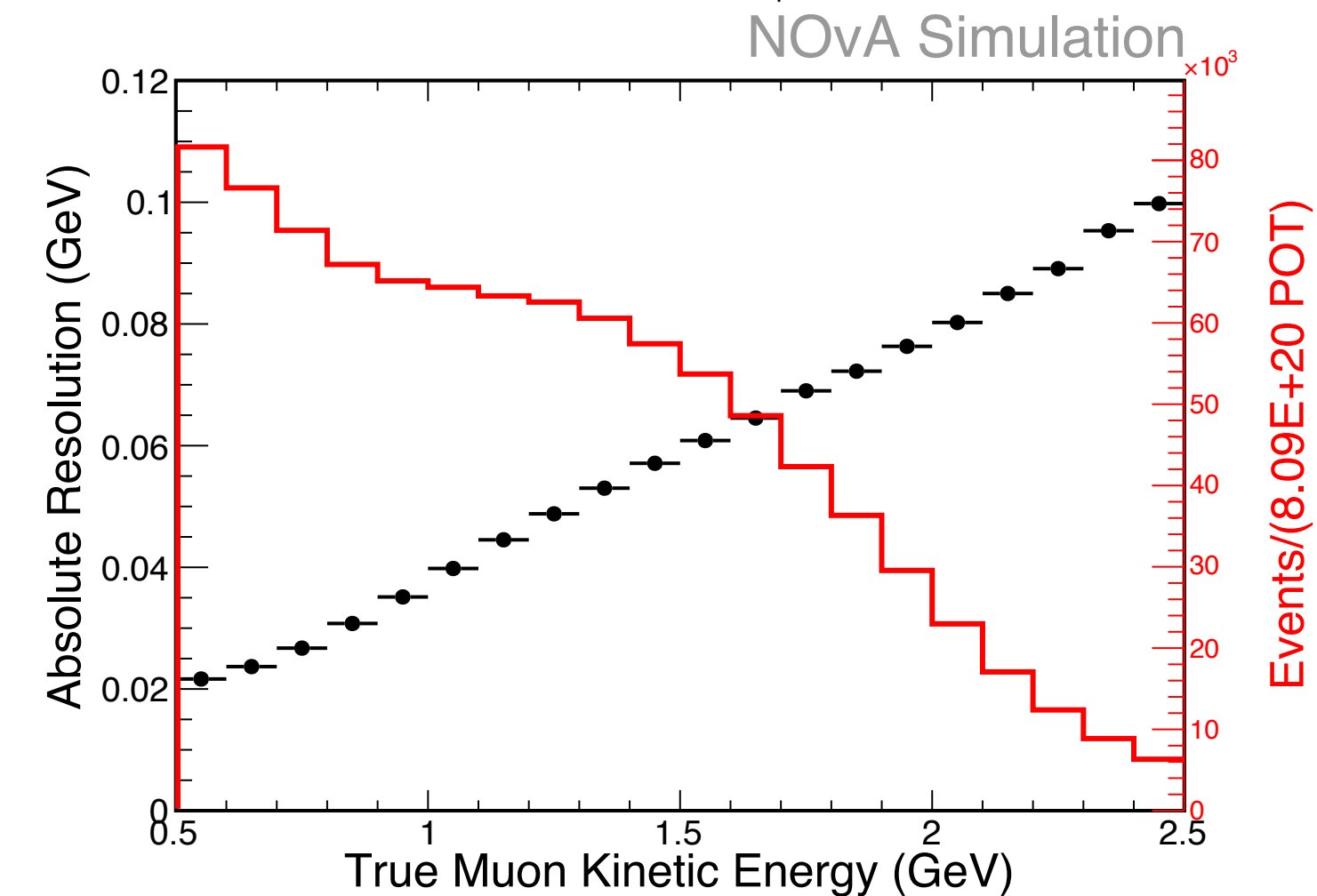
Efficiency

Bin  
Width

# Muon kinematics binning and resolution



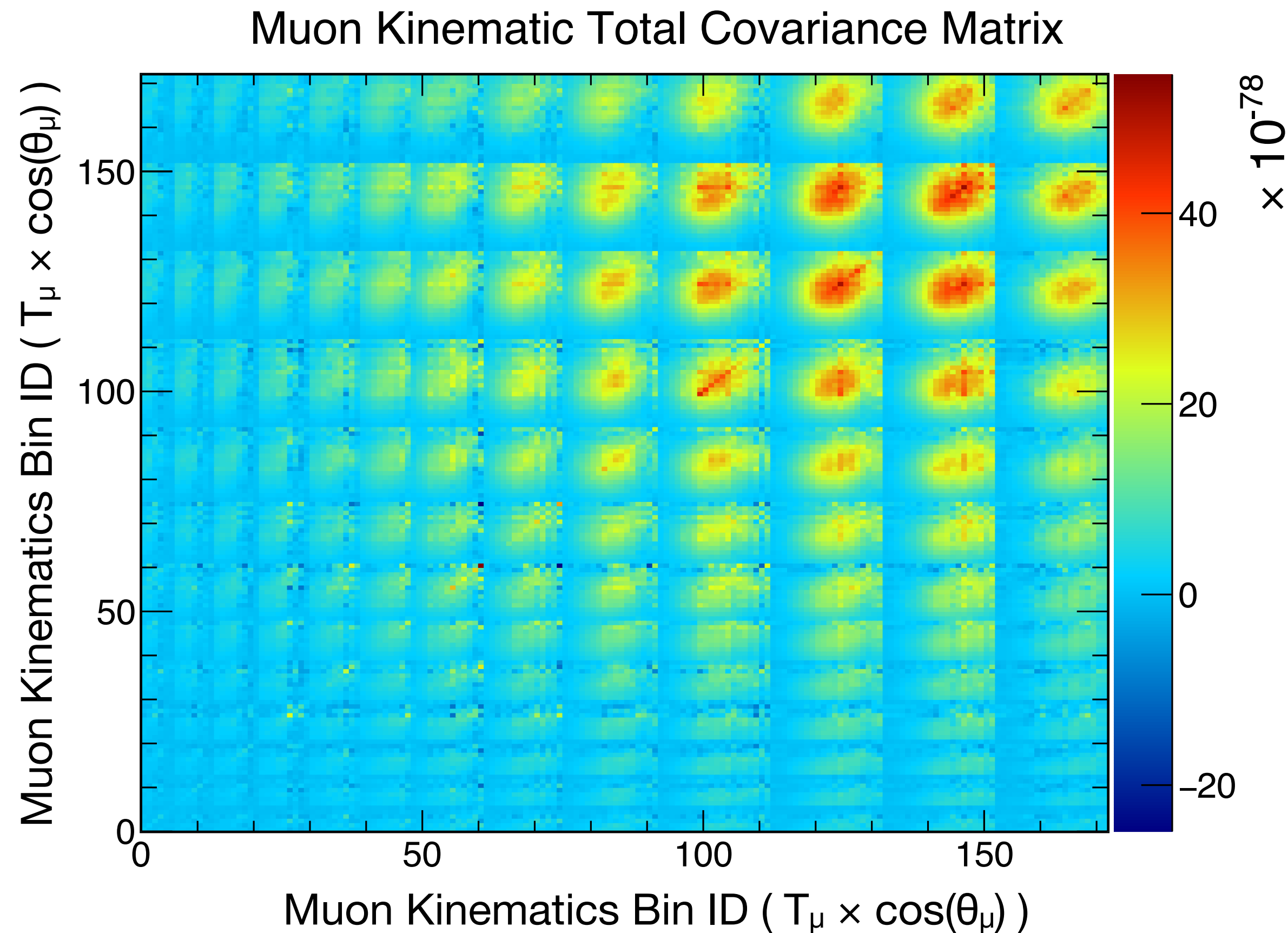
Bin widths are always larger than our resolution.



Above 2.5 GeV our acceptance suffers because of the containment requirement.

# NuMu CC inclusive

## Covariance matrix



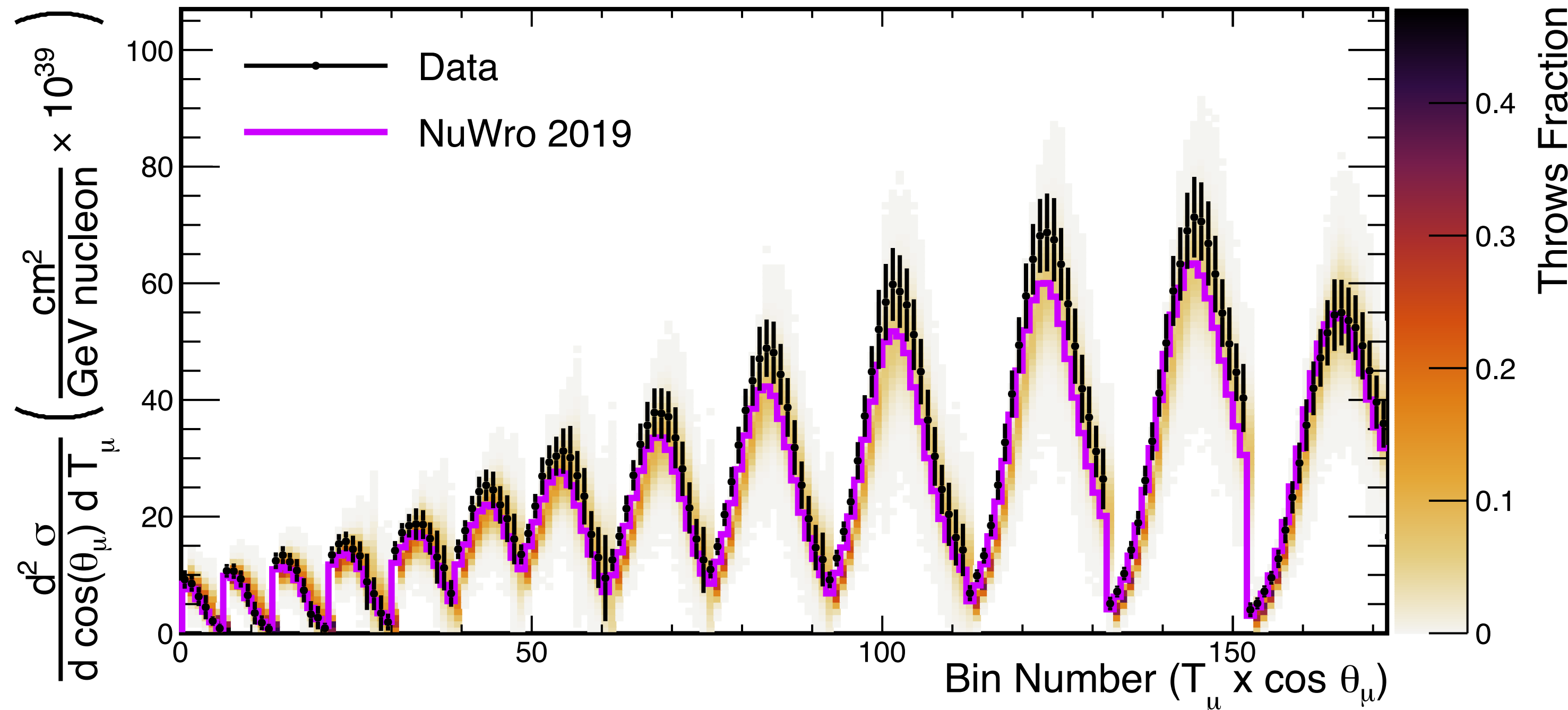
- We use a covariance matrix to calculate our final systematic uncertainties
- We generate 100k+ universes corresponding to different combinations of our systematic uncertainty samples to populate a covariance matrix
- One of the key deliverable of the analysis as it will allow users to access full treatment of our systematics

# Comparison to generators

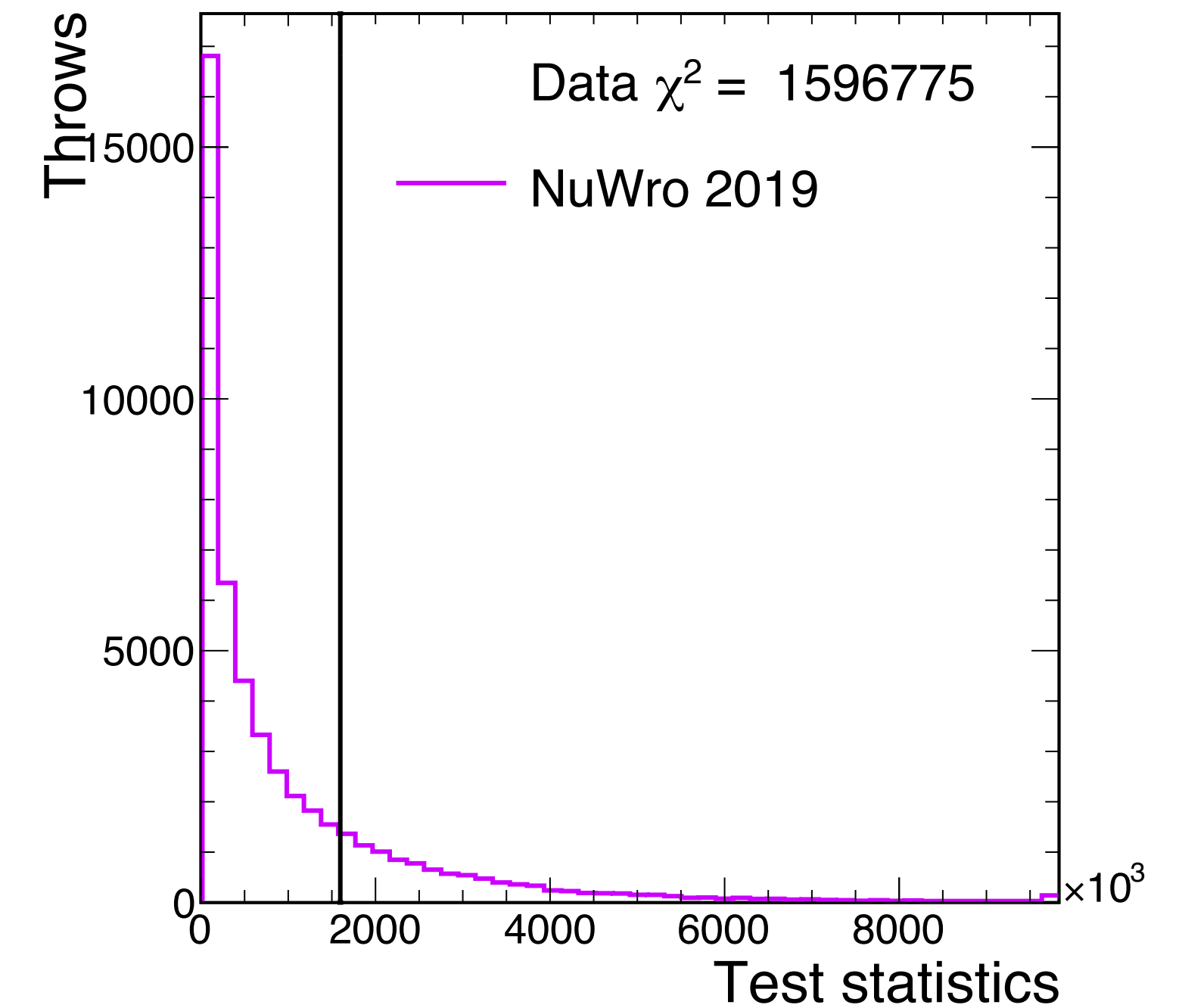
- We generate 100k+ universes corresponding to different combinations of our systematic uncertainty samples to populate a covariance matrix, which accounts for bin to bin correlations.
- We use this covariance matrix to calculate 50,000 throws from each generator prediction (RooFit).
- Compare test statistics of throws to data to find p-values.

Generator	p-value
<b>GENIE 2.12.2 - Tuned</b>	0.93
<b>GENIE 2.12.2 - Untuned</b>	0.24
<b>GENIE 3.00.06 - Untuned</b>	0.26
<b>GiBUU 2019</b>	0.03
<b>NEUT 5.4.0</b>	0.52
<b>NuWro 2019</b>	0.22

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# Shape-only p-values

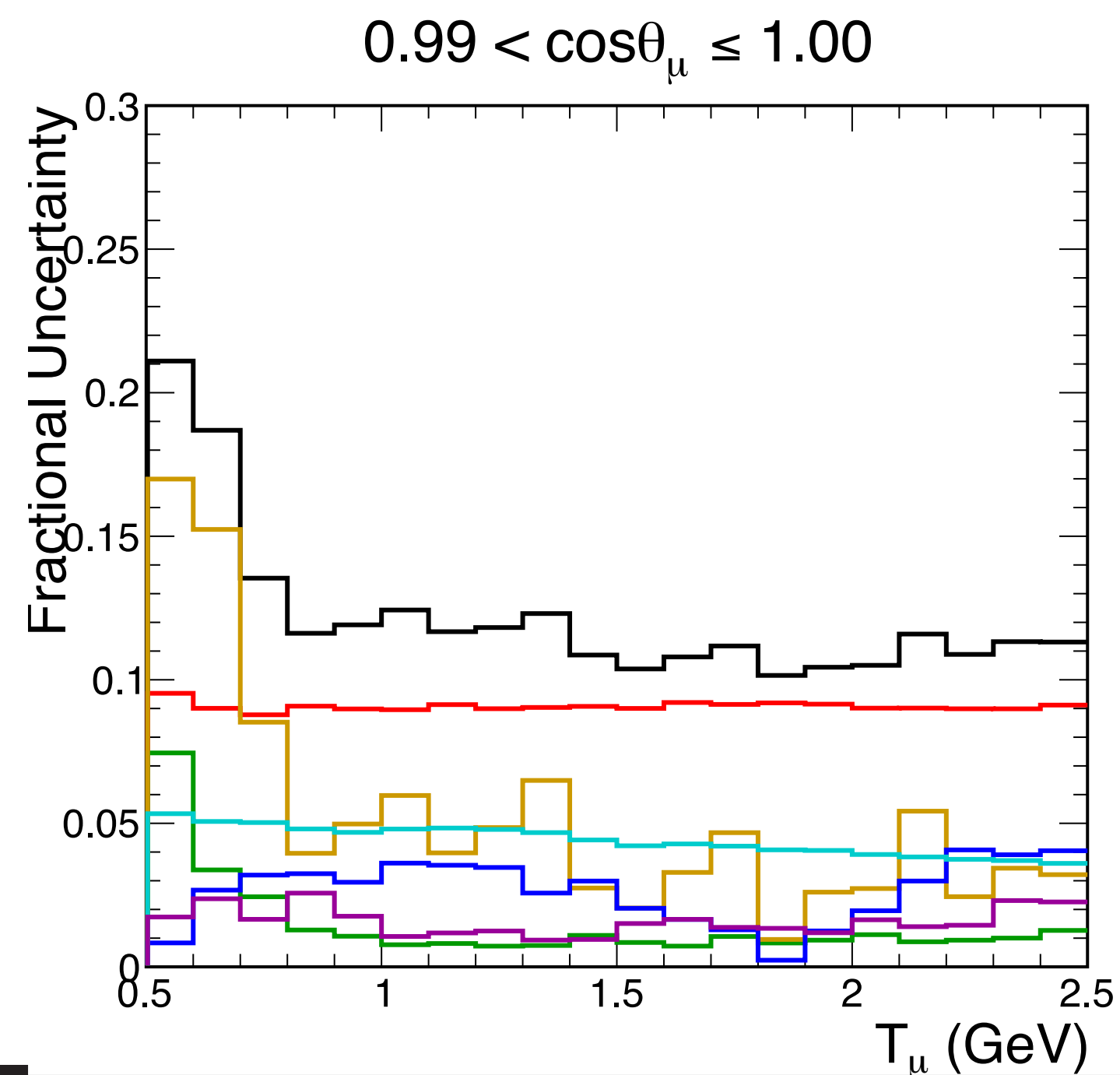
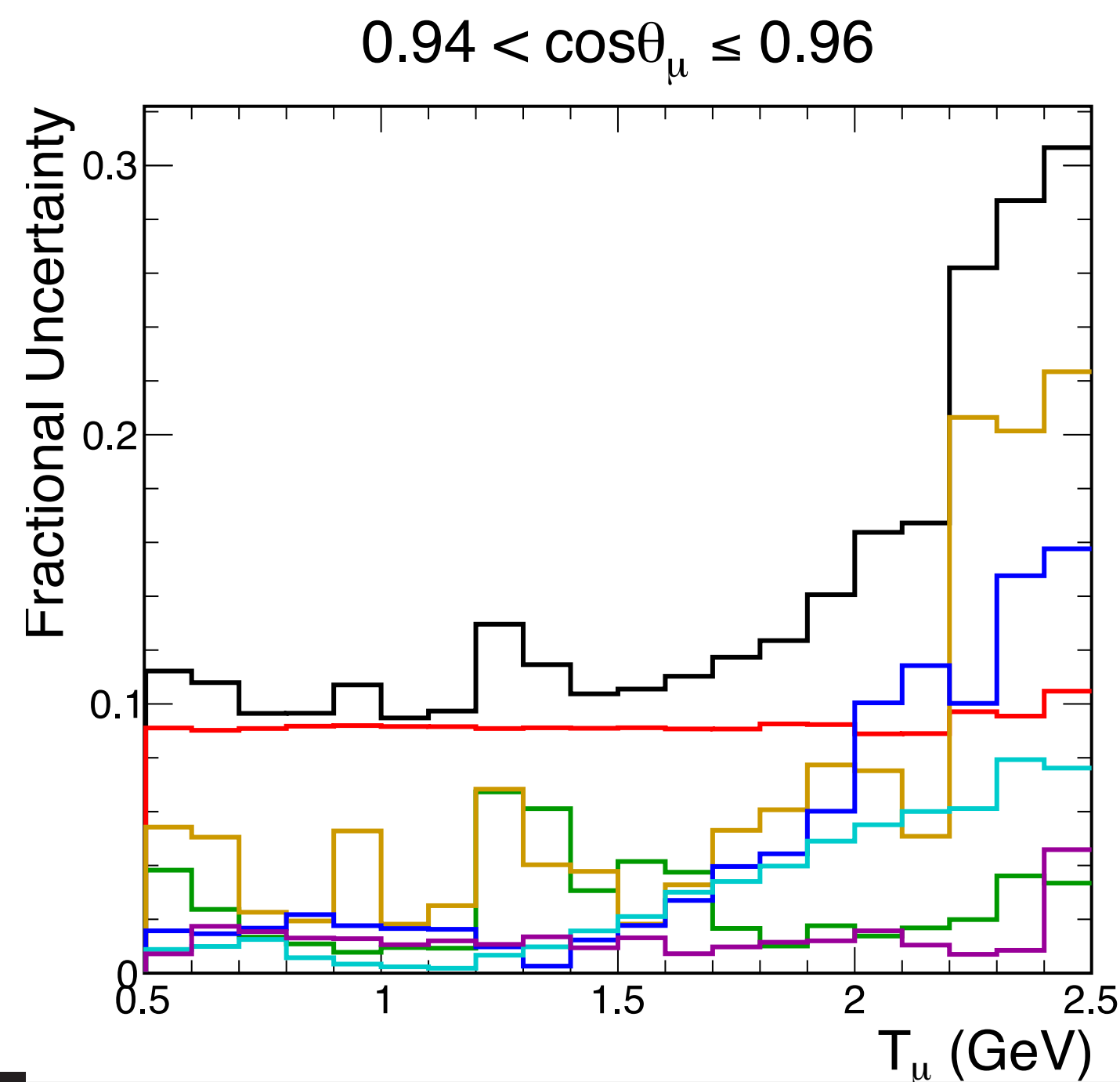
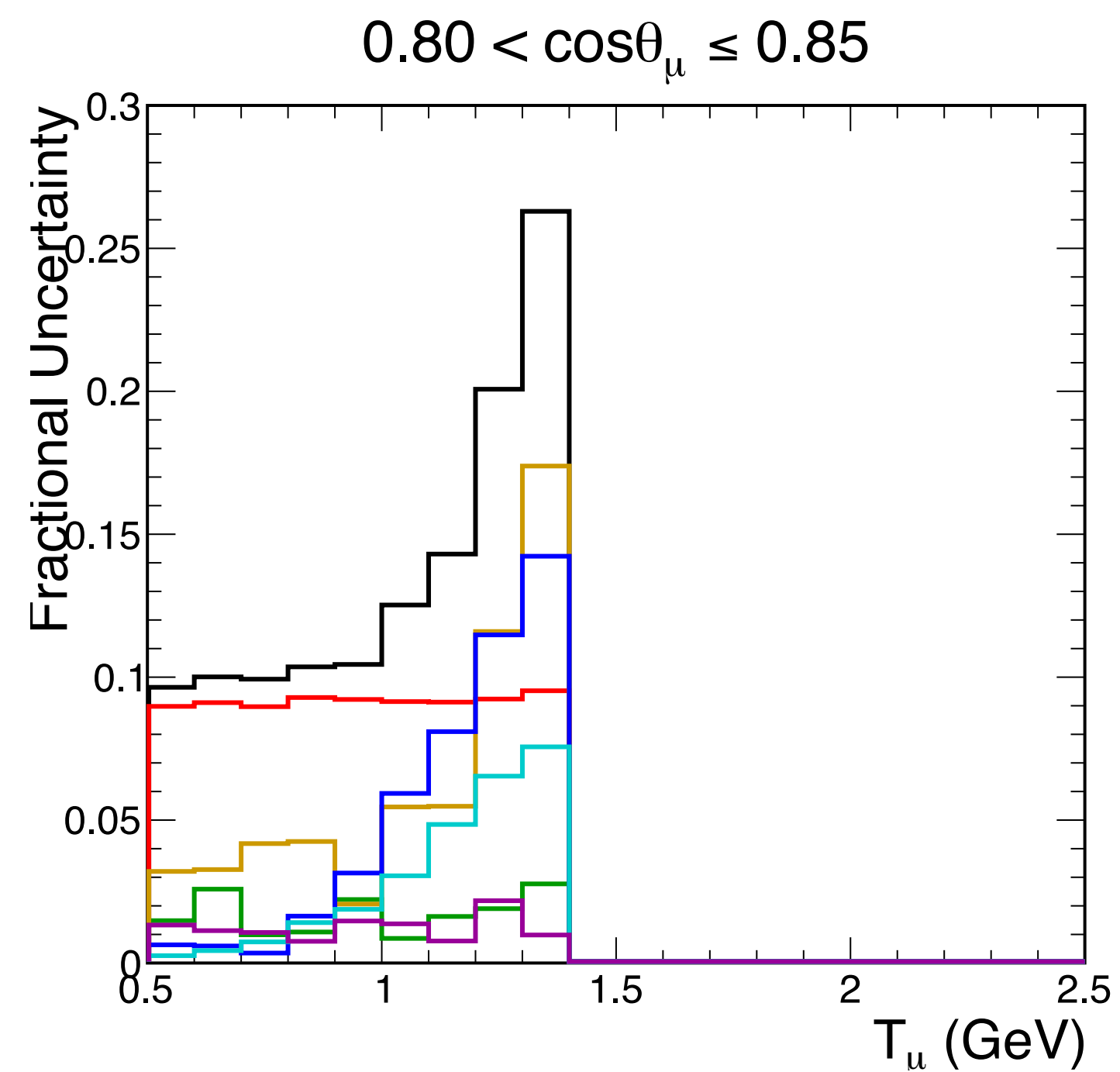
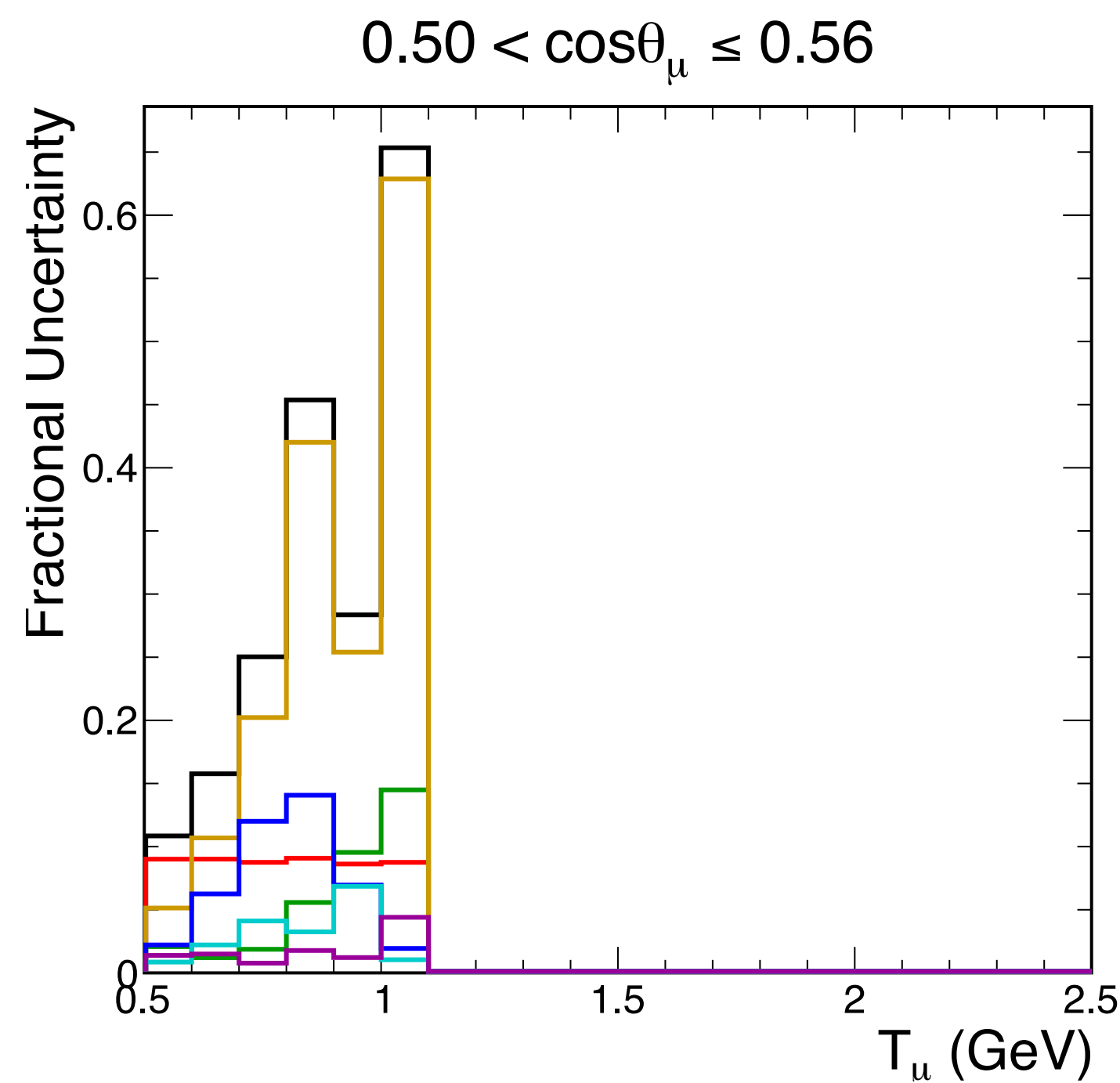
- Shape-only p-values are calculated using data-normalised generator predictions and shape-only covariance matrices.

## $\nu_\mu$ CC inclusive

Generator	p-value
<b>GENIE 2.12.2 - Tuned</b>	0.54
<b>GENIE 2.12.2 - Untuned</b>	0.003
<b>GENIE 3.00.06 - Untuned</b>	0.31
<b>GiBUU 2019</b>	0.38
<b>NEUT 5.4.0</b>	0.004
<b>NuWro 2019</b>	0.54

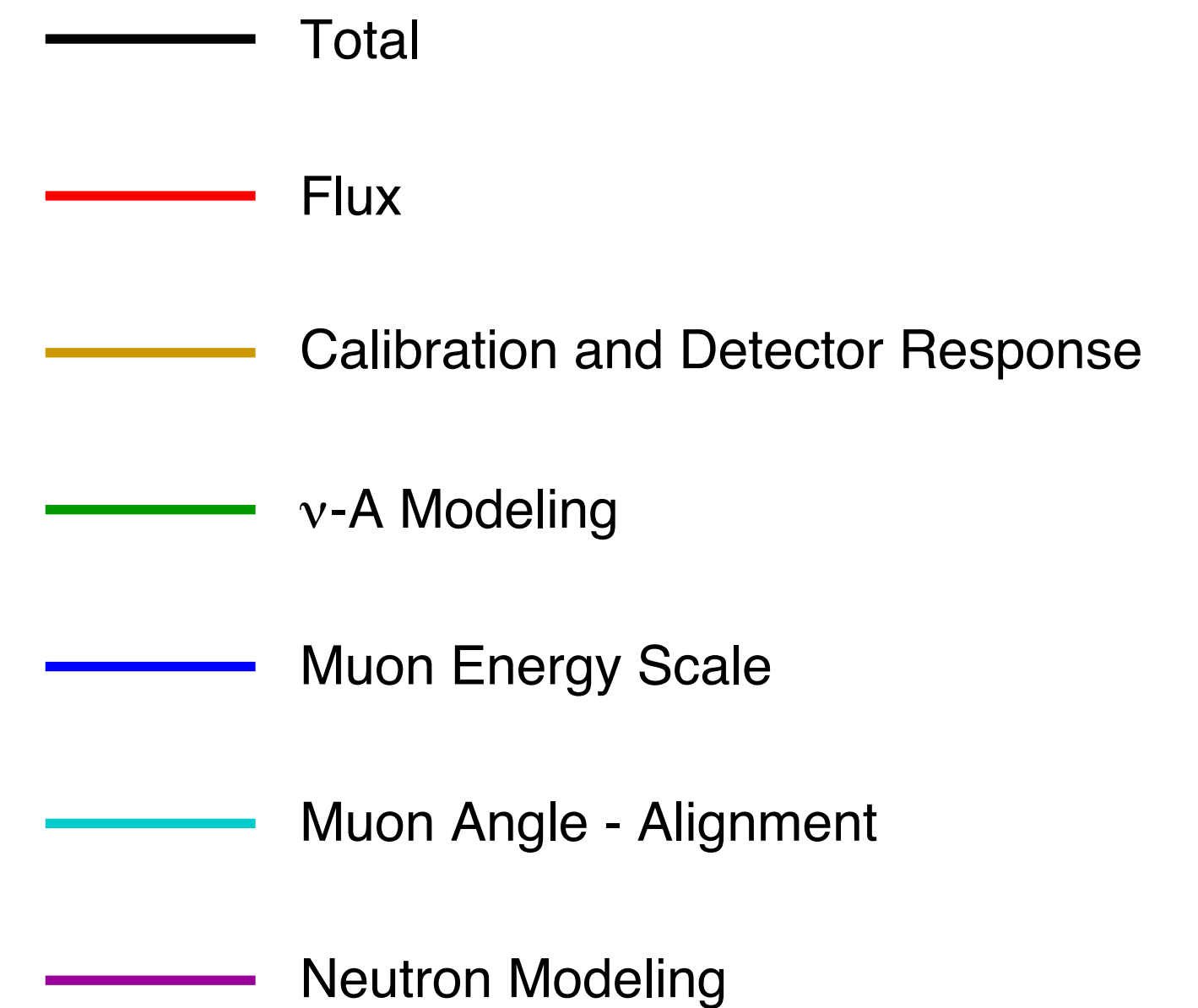
## $\nu_e$ CC inclusive

Generator	p-value
<b>GENIE 2.12.2 - Tuned</b>	0.95
<b>GENIE 2.12.2 - Untuned</b>	0.60
<b>GENIE 3.00.06 - Untuned</b>	0.95
<b>GiBUU 2019</b>	0.72
<b>NEUT 5.4.0</b>	0.40
<b>NuWro 2019</b>	0.78



$\nu_\mu$  CC inclusive

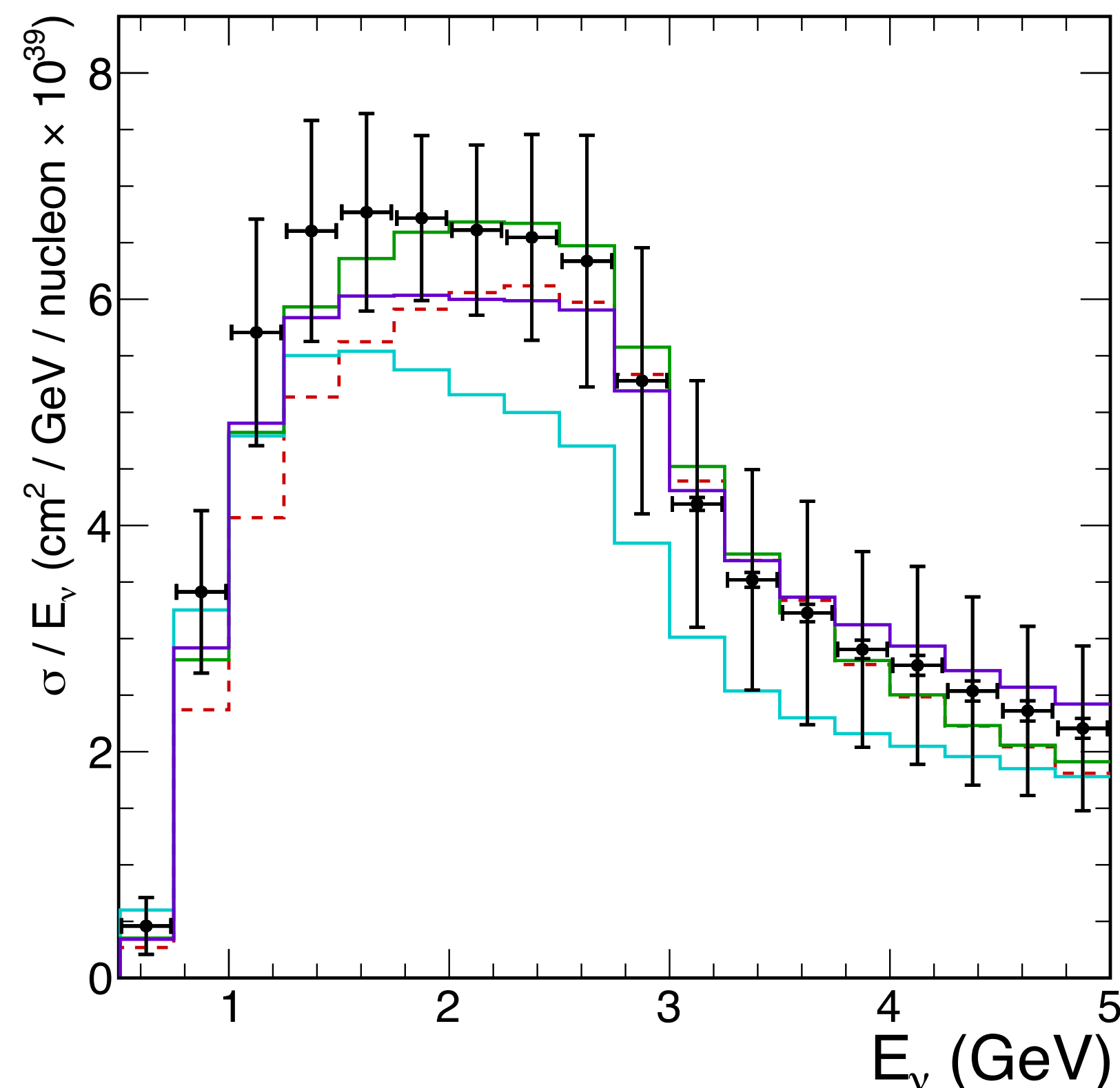
Fractional uncertainties



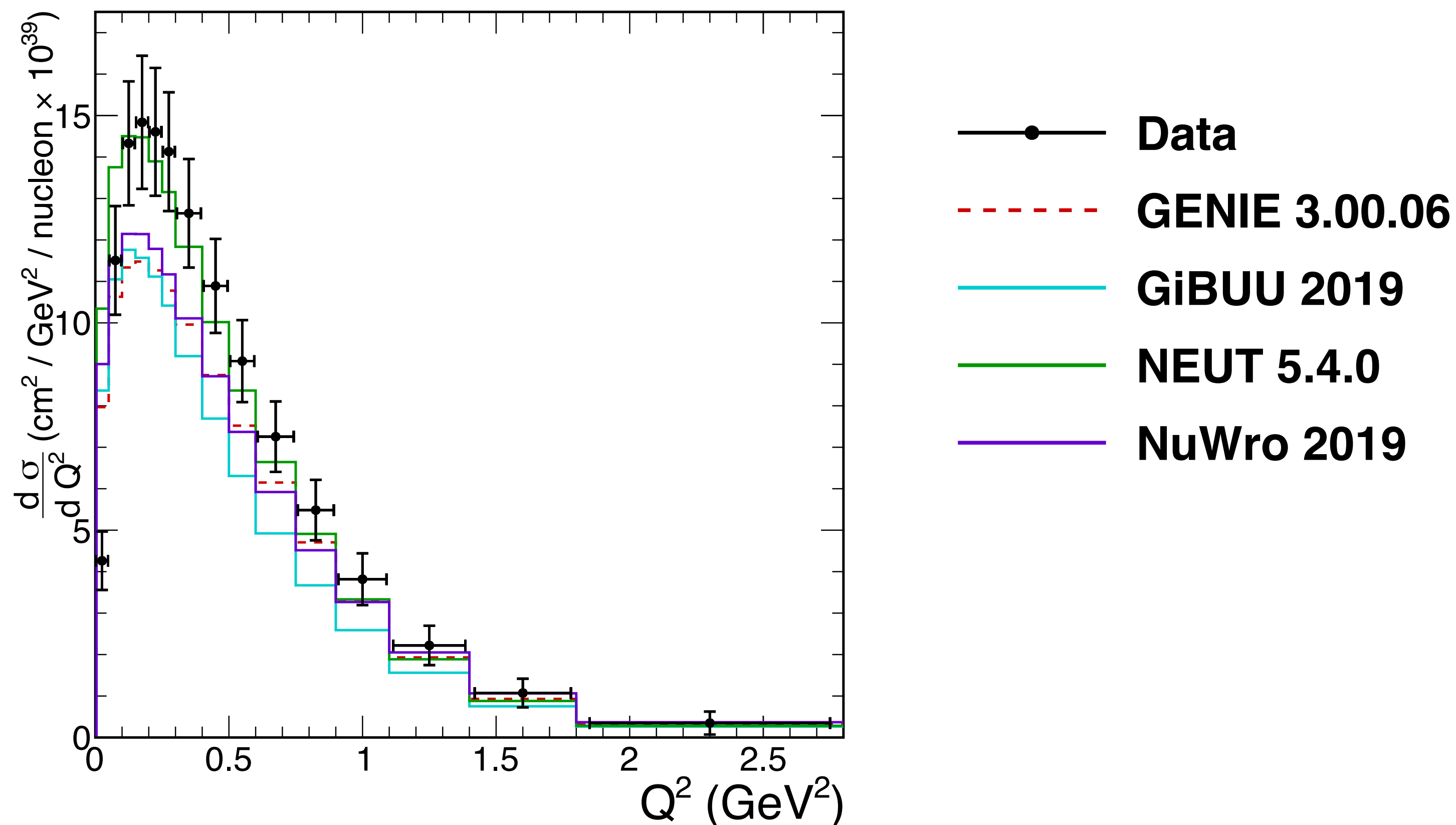
# NuMu CC Inclusive - single differential cross sections

Single differential derived variables ( $E_{\nu}$  and  $Q^2$ ) extracted only over the ranges of muon kinematics reported in the differential measurements

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NOvA Preliminary



# NuE CC Inclusive

$$\left( \frac{d^2\sigma}{d\cos\theta_e dE_e} \right)_i = \sum_j \left( \frac{U_{ij}^{-1} (N^{\text{sel}}(\cos\theta_e, E_e)_j - N^{\text{bkg}}(\cos\theta_e, E_e)_j)}{N_t \Phi \epsilon(\cos\theta_e, E_e)_{ik} \Delta\cos\theta_{e_i} \Delta E_{e_i}} \right)$$

Unfolding  
Matrix

Selected  
events

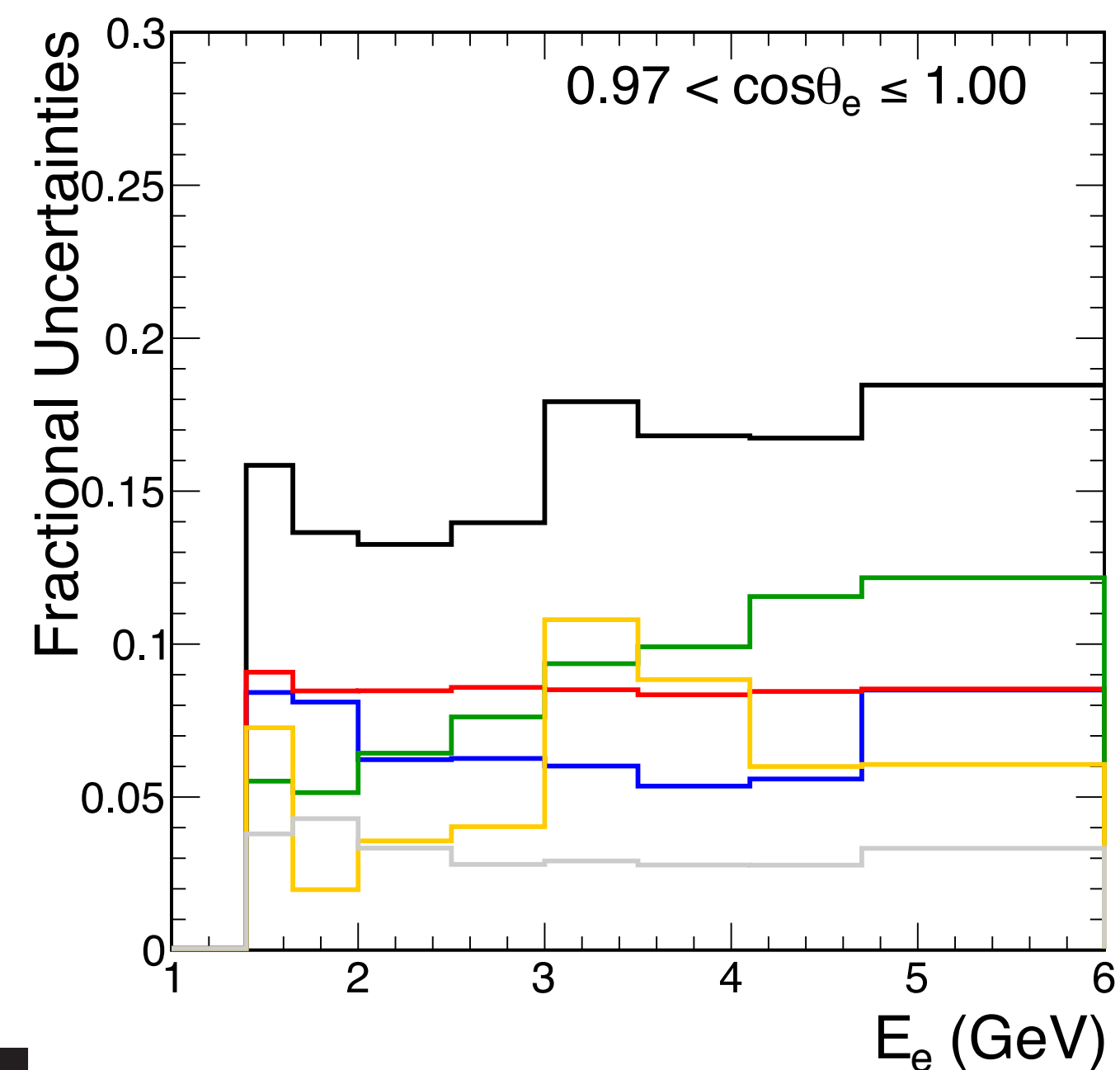
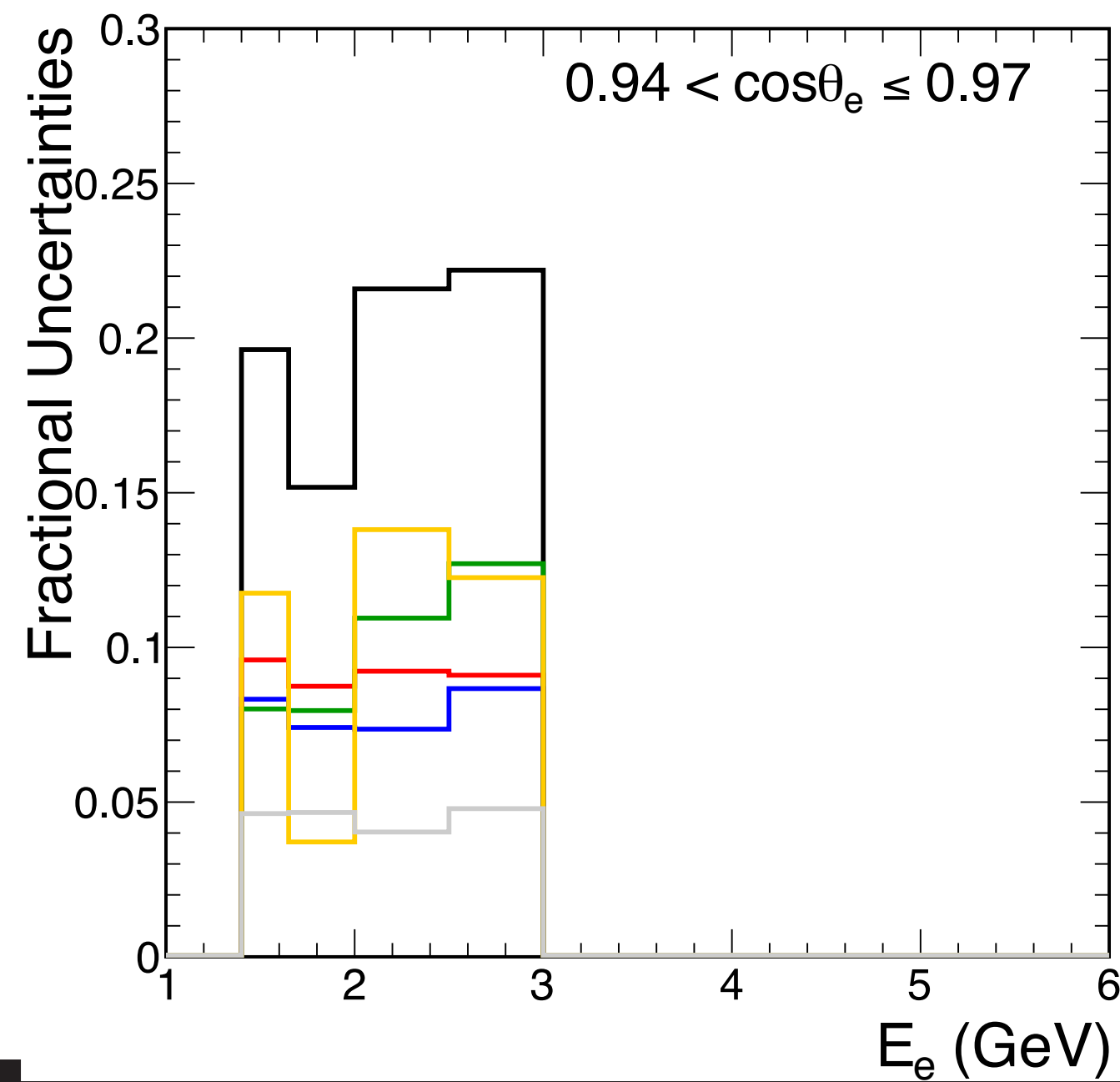
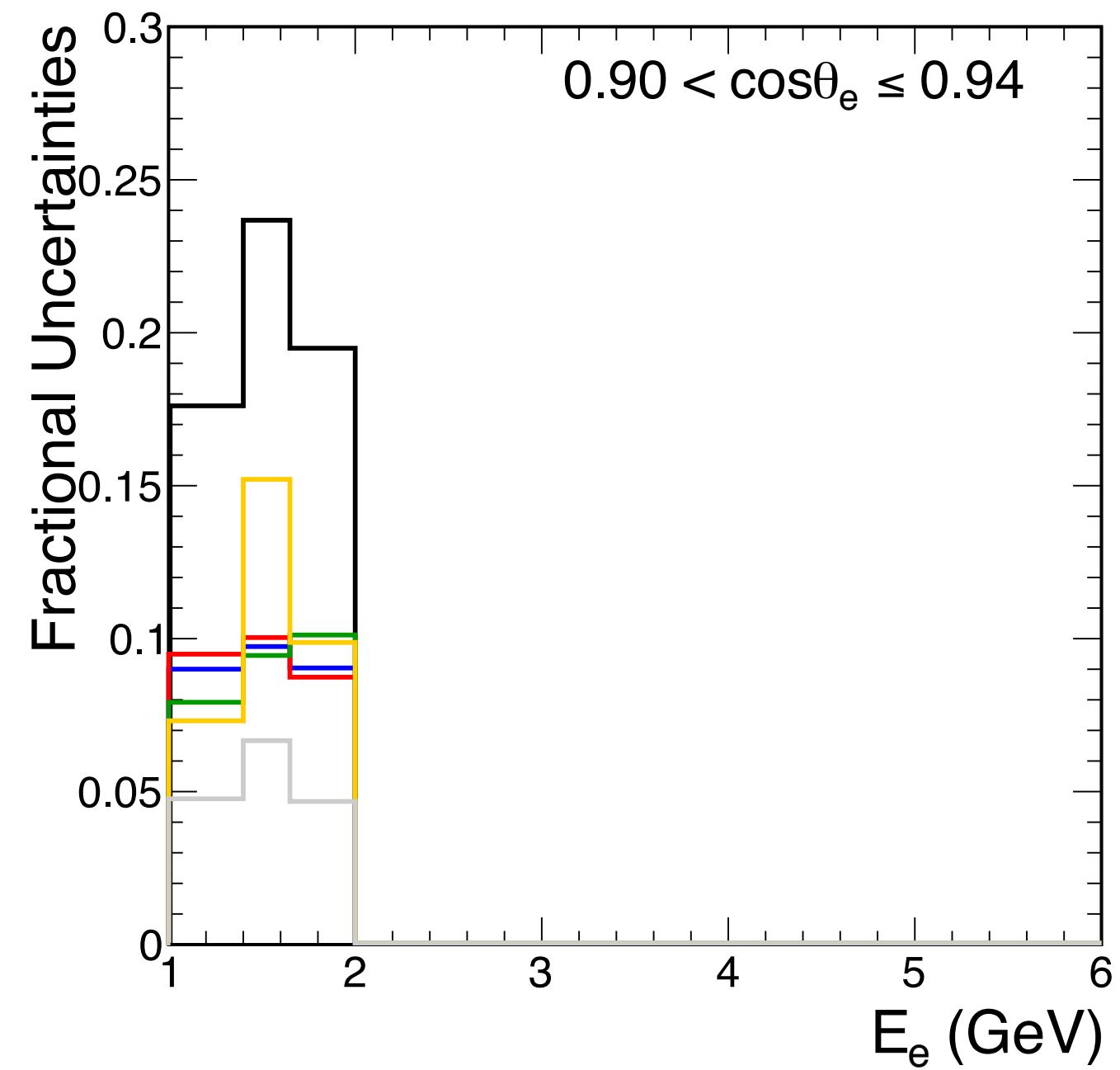
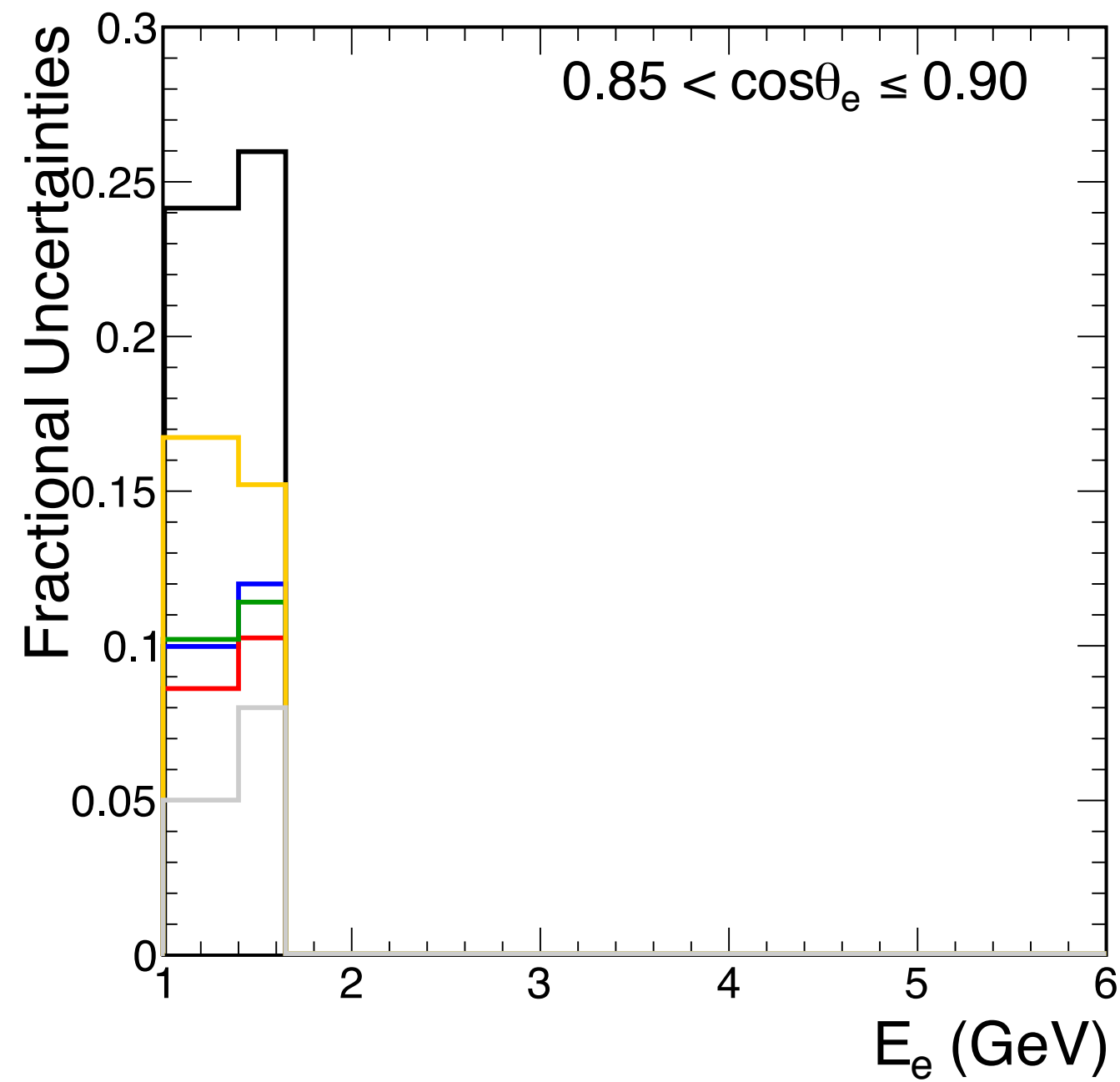
Background  
Estimation

Number of  
Targets

Flux

Efficiency

Bin  
Width

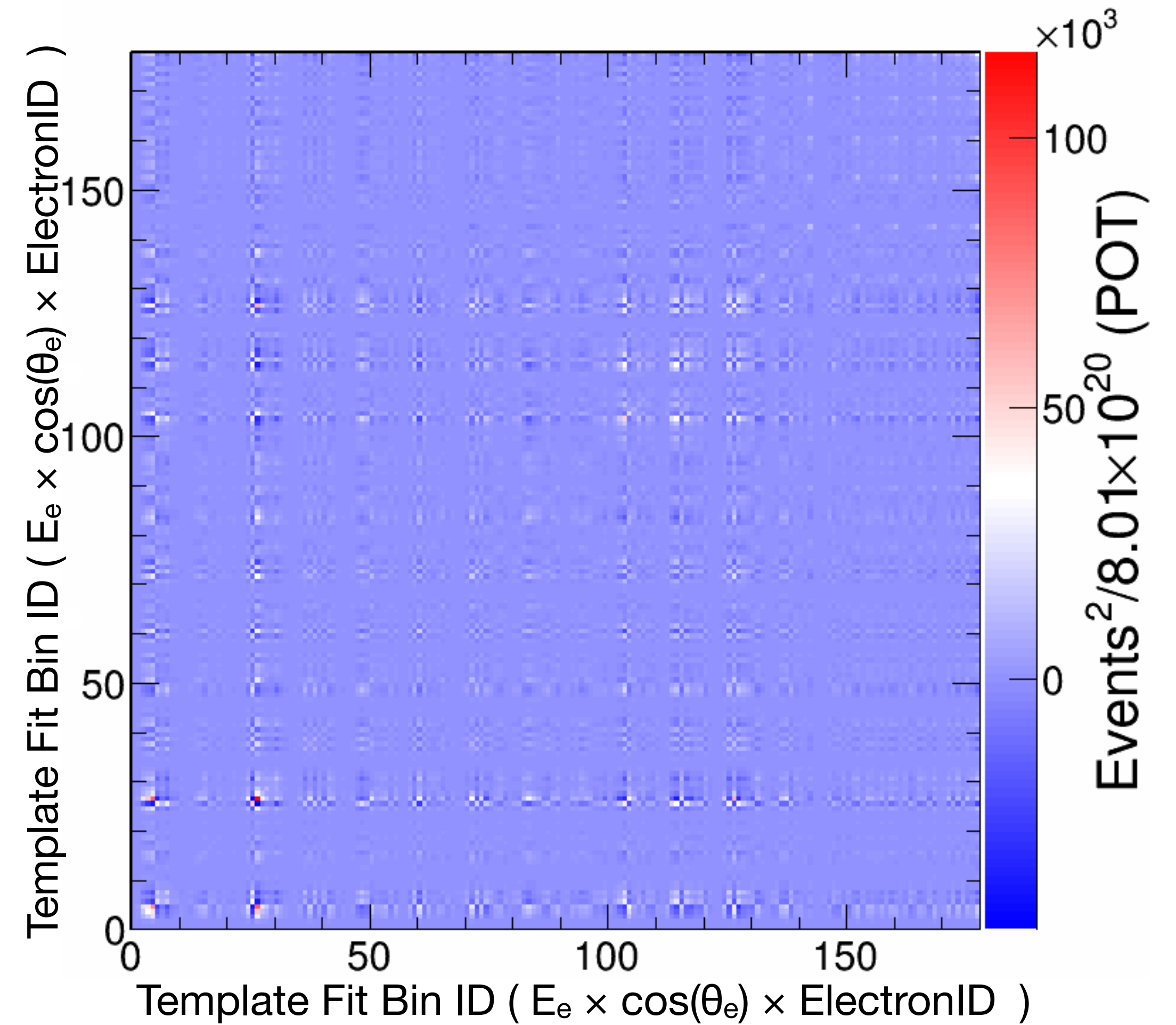
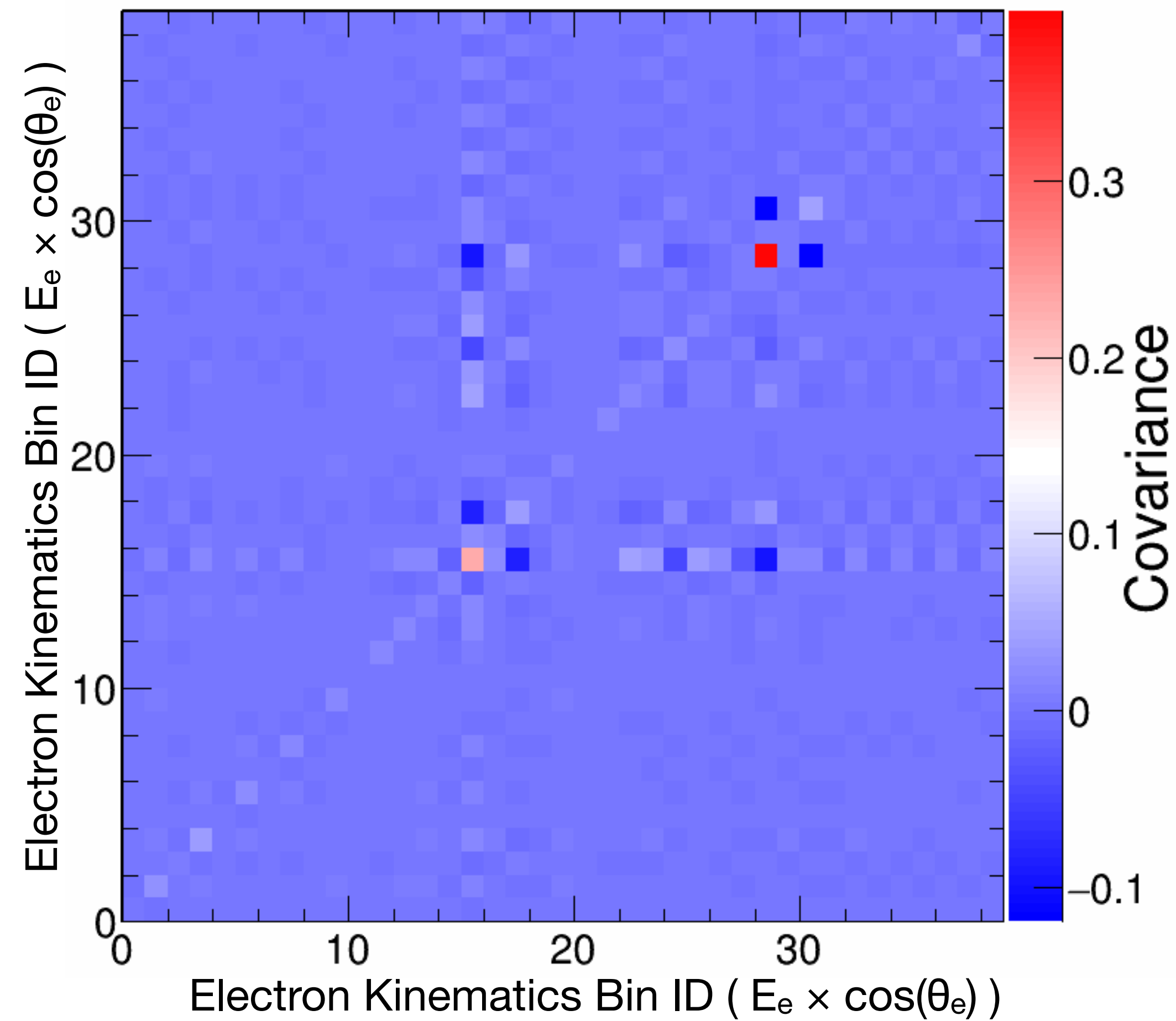


**$\nu_e$  CC inclusive**

**Fractional  
Uncertainties**

- Total
- Full Covariance
- Flux
- $\nu$ -A modeling
- Calibration and Detector Response
- Statistical

# Template fit matrices



# NuE CC Inclusive - single differential cross sections

Single differential derived variables ( $E_{\nu}$  and  $Q^2$ ) extracted only over the ranges of electron kinematics reported in the differential measurements

